

# On the Recursive Teaching Dimension of VC Classes

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## Definition

A  $(x,y)$  concept class is a concept class, which every  $x$  instances of it has maximum  $y$  patterns.

## Definition

$$f(x,y) = \max_{C \in (x,y)} TD_{min}(C)$$

# Exponential Upper Bound on RTD

## Theorem

If  $VCD(C) = d$ , Then  $TS_{min}(C) = 2^{d+1}(d - 2) + d + 4$ .

## Proof.

We'll prove by induction, base of induction is clear.

We'll define  $C_b^Y = \{c \in C : C|_Y = b\}$ , imagine  $k = 2^d(d - 1) + 1$ . we'll denote  $Y^*$ ,  $b^*$ , smallest size  $C_b^Y$  which is not empty and  $|Y| = |b| = k$ .

Without loss of generality imagine  $Y^* = [k]$  and  $b^* = 0$ . If

$VCD(C_{b^*}^{Y^*}) \leq d - 1$ , the by induction we'll drive

$TS_{min}(C) \leq TS_{min}(C_{b^*}^{Y^*}) + k \leq 2^{d+1}(d - 2) + d + 4$ . By the sake of contradiction imagine  $VCD(C_{b^*}^{Y^*}) = d$ . consider  $a$  the size  $d$  set in  $[n] \setminus Y^*$ , which is shattered, then for all  $i$  between 1 and  $k$   $C_1^{x_i}$  must miss a pattern  $p_i$  in  $a$ , or else VC-Dimension will be  $d + 1$ .



## Exponential Upper Bound on RTD (cont.)

### Proof.

number of possible patterns is  $2^d$ , and  $k = 2^d(d - 1) + 1$ , so there exist a pattern  $p$ , which  $d$  of  $p_i = p$ . Replace those indexes in  $Y^*$  with  $a$ , since non of those indexes had pattern  $p$ , the number of concepts in new  $C_{b^*}^{Y^*}$  is strictly less than the previous one, which is in contradiction with our assumption.



# Exponential Upper Bound on RTD (cont.)

						<u>0</u>	<u>0</u>
						0	1
	0	<u>0</u>	<u>0</u>	<u>0</u>	0	1	0
						1	1
1						<del>0</del>	<del>0</del>
	1					<del>0</del>	<del>1</del>
		1				<del>1</del>	<del>0</del>
			1			<del>1</del>	<del>1</del>
				1		<del>0</del>	<del>0</del>

Figure 1: An illustration for the proof of Lemma 4,  $\text{TS}_{\min}(\mathcal{C}) \leq 6$  when  $d = 2$ . We prove by contradiction that the smallest nonempty set  $\mathcal{C}_{\mathbf{b}^*}^{Y^*}$ , after fixing five bits, has  $\text{VCD}(\mathcal{C}_{\mathbf{b}^*}^{Y^*}) = 1$ , where  $Y^* = \{1, 2, 3, 4, 5\}$  and  $\mathbf{b}^* = \mathbf{0}$ . In this example, we have  $Z = \{6, 7\}$ ,  $Y' = \{2, 3, 4, 6, 7\}$  and  $\mathbf{b}' = \mathbf{0}$ . Note that  $\mathcal{C}_0^{Y'}$  is indeed a nonempty proper subset of  $\mathcal{C}_0^{Y^*}$ .

# Lower Bound on Worst-Case RTD

Warmuth represented a concept classes  $C_W$  which,  $RTD(C_W) = 3$ , and  $VCD(C_W) = 2$ . Here we a concept class is represented which  $RTD(C_O) = 5$ , and  $VCD(C_O) = 3$ . note that succinct representation is a representation that for every concept we have all of the rotations of it in concept class, and  $C_O$  have 100 concepts.

# Lower Bound on Worst-Case RTD (cont.)

$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$	$x_7$	$x_8$	$x_9$	$x_{10}$	$x_{11}$	$x_{12}$
<u>0</u>	<u>0</u>	0	<u>0</u>	<u>0</u>	1	<u>0</u>	1	0	1	0	1
<u>0</u>	<u>0</u>	0	<u>0</u>	<u>0</u>	1	<u>1</u>	1	0	1	0	1
<u>0</u>	<u>0</u>	0	<u>0</u>	<u>1</u>	1	<u>0</u>	1	0	1	0	1
0	<u>0</u>	<u>0</u>	<u>1</u>	<u>0</u>	1	<u>1</u>	1	0	1	0	1
<u>0</u>	<u>0</u>	0	<u>1</u>	<u>1</u>	1	0	1	0	1	0	<u>1</u>
<u>0</u>	<u>0</u>	<u>1</u>	1	0	1	<u>0</u>	1	0	1	0	<u>1</u>
<u>0</u>	<u>0</u>	<u>1</u>	1	<u>0</u>	1	<u>1</u>	1	0	1	0	1
0	<u>1</u>	<u>0</u>	1	0	<u>1</u>	<u>1</u>	1	0	1	<u>1</u>	1
<u>0</u>	1	<u>1</u>	1	<u>0</u>	1	<u>1</u>	1	0	1	<u>1</u>	1

Figure 4: The succinct representation of a concept class  $\mathcal{C}_0$  with  $\text{RTD}(\mathcal{C}_0) = 5$  and  $\text{VCD}(\mathcal{C}_0) = 3$ . The teaching set of each concept is marked with underline.



# Quadratic Upper Bound on RTD

## Lemma

For any  $x, y, z$ , that  $y \leq 2^x - 1$ , and  $z \leq 2y + 1$  following inequality holds:

$$f(x+1, z) \leq f(x, y) + \left\lceil \frac{(y+1)(x-1) + 1}{2y - z + 2} \right\rceil$$

## Proof.

Imagine  $C$  is a  $(x+1, z)$ , just like previous section, we'll denote  $Y^*, b^*$ , smallest size  $C_b^Y$  which is not empty and  $|Y| = |b| = k$ . Without loss of generality imagine  $Y^* = [k]$  and  $b^* = 0$ . Now, we only need to prove  $C_{b^*}^{Y^*}$  is  $(x, y)$  class. Assume for the sake of contradiction that previous statement is false, it means that there exist a  $|Z| \leq x$ , which  $|\{c|_Z : c \in C_{b^*}^{Y^*}\}| \geq y + 1$  (Generally assume that  $Z \cap Y^* = \emptyset$ , otherwise consider  $Z \setminus Y^*$ , instead of  $Z$  ( $Y^*$  has only one pattern which is zero so  $Z \setminus Y^*$  cannot be empty)). □

# Quadratic Upper Bound on RTD

## Proof.

Now Define,

$$C_{b^*}^{Y^*, Z} = \{c|_Z : c \in C_{b^*}^{Y^*}\}.$$

Since  $C$  is  $(x+1, z)$  class, the projection of  $C$  on the set  $Z \cup \{w\}$  has no more than  $z$  patterns. Thus

$$|C_{b^*}^{Y^*, Z}| + |C_1^{\{w\}, Z}| \leq z$$

we know  $|C_{b^*}^{Y^*, Z}|$ , so  $|C_1^{\{w\}, Z}| \leq z - y - 1$ . Now find  $\tilde{C}_{b^*}^{Y^*, Z} \subseteq C_{b^*}^{Y^*, Z}$  so that  $|\tilde{C}_{b^*}^{Y^*, Z}| = y + 1$ .  $\forall W \in Y^* : |\tilde{C}_{b^*}^{Y^*, Z} \setminus C_1^{\{w\}, Z}| \geq 2y + 2 - z \geq 1$ .

Thus,

$$\sum_{w \in Y^*} \geq k(2y - z + 2) > (y + 1)(x - 1) = |\tilde{C}_{b^*}^{Y^*, Z}|(x - 1) \geq |\tilde{C}_{b^*}^{Y^*, Z}|(|Z| - 1).$$



# Quadratic Upper Bound on RTD (cont.)

## Proof.

It then follows from the Pigeonhole Principle that there exists  $W \subseteq Y^*$  such that  $|W| = |Z|$  and  $\bigcap_{w \in W} (C_{b^*}^{Y^*, Z} \setminus C_1^{\{w\}, Z}) \neq \emptyset$ . Pick any string in it called  $s$ , now just like previous section  $C_{0 \circ s}^{(Y^* \setminus W) \cup Z}$  is a non empty and proper subset of  $C_{b^*}^{Y^*}$ , which is contradiction.  $\square$

## Corollary

$$\forall 1 < \alpha < 2 : f(X, \lfloor \alpha^x \rfloor) \leq \frac{(x-1)^2}{4-2\alpha} + \frac{3-2\alpha}{4-2\alpha} \cdot (x-1) = o(X^2)$$

## Lemma

For some constant  $c$  for every  $x > cd$ ,  $(\frac{ex}{d})^d \leq \alpha^x$ .

# Quadratic Upper Bound on RTD (cont.)

## Theorem

$$RTD(C) = O(VCD(C)^2).$$

## Proof.

By Saur's lemma we know that every concept class with VC-Dimension  $d$ , is  $(x, (\frac{ex}{d})^d)$ , after using previous lemma we'll drive that  $\forall x > cd$ , every concept class with VC-Dimension  $d$  is  $(x, \lfloor \alpha^x \rfloor)$  class. Using the corollary we'll drive  $TD_{min}(C) \leq O(x^2) = O((cd)^2) = O(d^2)$ .  $\square$

# The End