Application Form - UK



*Mandatory fields to be completed. Please fill in this application form below ("Application").

| Customer Info | rmation | | | | | | | | 1 | | |
|---|--|----------|-----------------|-------------------|----------------------------|---|--|---------|-------------------------------|--|--|
| *New Application | Central Con | | Change of Legal | Entity | Portfolio United Kingdo | om | *Portfolio Country | | Site Survey ☐ Yes ☐ Telesales | | |
| **Funding Currency | y | *Client | Group | | *Card Bundle | | MCC | | Association Code/s | | |
| Parent Chain Code | | *Parent | Entity Code | | Old MID | | Pre Assigned MID | | New MID | | |
| *Sales Rep Code *Chargeback Di Email (recommend | | | | | ☐ Email | ☐ Mail | | | | | |
| Exclusion group/s | | | | | (rocommond | cu) | | | | | |
| *Legal Name | | | | | | | *Registered Office Address is contact for: All Chargeback Communication Shipping Statement Settlement PCI (for current and future MIDs) | | | | |
| *Legal/Registered (| Office Address | 1 | | | | | | | | | |
| *City | | | | | | *Postcode | | | | | |
| *Attention (First/Mi | ddle/Last Nan | ne) 🗆 | Ms | ☐ Miss | s 🗌 Mr | Email Address | | | | | |
| *Phone No. | | | | | | Mobile No. | | | | | |
| *Trading (DBA) Name | | | | | - 1 | *Trading (DBA) Address is contact for: All Chargeback Communication Shipping Statement Settlement PCI (for current and future MIDs) | | | | | |
| *Trading (DBA) Add | dress (if differe | ent from | above) | | | | | | | | |
| *City | | | | *Postcode | | | | | | | |
| *Attention (First/Middle/Last Name) | | | | Email Address | | | | | | | |
| *Phone No. | | | | | | Mobile No. | | | | | |
| Statement Address | (if different fr | omTradi | ng (DBA) Addres | s or Leg | gal /Registered Offi | ce Address) | | | | | |
| *City | | | | | | *Postcode | | | | | |
| PCI contact person *Contact name | | | | | | *Email Address | | | | | |
| *Phone No. | | | | | | *Mobile No. | | | | | |
| Internet Custo | mer | | | | | | | | 2 | | |
| | | | | What is your ema | il address for cu | stomer queries? | | | | | |
| Which of the follow | Which of the following security checks do you undertake? ☐ Bin check | | | | | | Uelocity checks | | ☐ IP/GEO checks | | |
| | ☐ Maximum Amount checks ☐ Other | | | | | | | | | | |
| Payment Service Provider (Gateway) | | | | | | Internet Servi | ce Provider | | | | |
| Customer Prof | file | | | | | | | | 3 | | |
| *Ownership Type | ☐ Sole Trac | | | □ Partr □ Char | nership; No. of Par ity | tners: | ☐ Private Limited Con☐ Other (please spect | | ☐ Public Limited Company | | |
| *Company/Charity | Reg no. | | *Government O | wned Er | ntity | *Date of Incorp | ooration | *Currer | nt ownership since | | |

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| *VAT Details VAT Number In Business Confirmation | on (I confirm that | ☐ VAT Number Pending I am engaged in | Outlet Store No. | | Previous Ind | ustry experience since. | |
|---|-------------------------------|---|---|----------------------------|--|--------------------------------|--|
| business activities but not Other Tax Number (to be completed if VAT I | registered for VA | T in the European Union.) | | . November | | | |
| ☐ Income Tax Number | morea abore, | ☐ Corporate Tax Number☐ Charity Number☐ Charity Number | | | | | |
| *Country of Incorporation | *Are you new to Card Proce | essing? | If no, who w | as your previous acquirer? | | | |
| *Description of goods and/or services that | at you require N | Merchant Services for (and, i | f different from that, please | also note your | core busines | s activity) | |
| Web URL www. | | | | | | | |
| *Customer Annual Turnover | | *(Anticipated) Card sales p | D.a. | *Average Tr | ansaction Valu | ie | |
| *% of total turnover relating to Card Pres | sent % | *% of total turnover relatir Order / Telephone Order | ng to Mail % | | | | |
| Seasonal Sales Yes No. If applic | able, please sta | ate your quarterly percentag | es and underline the peak m | nonth of each | quarter | | |
| JAN, FEB, MAR | A | APR, MAY, JUN % | JUL, AUG, SEF | % | | OCT, NOV, DEC % | |
| *Please state the country which generate | es the highest r | evenue per annum for your | business. If you operate in o | nly one count | y, please stat | e that country | |
| *PCI Level: Please tick the box that best | describes your | (anticipated) numbers of Tra | ansactions | | | | |
| Level 4 : Processing less than 20,000 Internet Transactions or up to 1 million MO/TO and Card Present Transactions p.a. | | | Level 2: Processing 1 m 6 million Transactions p | | Level 1 – processing >6 million Transactions p.a. | | |
| Initial contract term 12 months | | | | | | | |
| Travel Agencies/Tour Operators | S | | | | | 4 | |
| Do you offer Currency Exchange Facilities? ☐ Yes ☐ No | Are you Memb Bonding Assoc | oer of any ciations? | Name of Bonding Associati | ion | | | |
| Membership No./ Bond No. | | | Bond Amount | | | | |
| Authorised Signatory / Beneficia | al Owner / D | Director | | | | 5 | |
| The individual signing this form ("Authorised Signatory") must complete the information in the section below and tick all applicable boxes. If applicable, please also complete one section for each individual who is the beneficial owner of the Customer. Beneficials Owners are those individuals who are the business owners (e.g. Sole Traders) or who directly or indirectly hold 25% or more of the shares/voting rights ("Interest / control") of the Customer. In the case of a complex structure, please provide details of any individual holding, directly or indirectly, 10% or more of the Interest. Where applicable, please also provide the information requested below for any two directors of the Customer. (Please provide either the date of birth or address. However, in the case of a complex structure please provide the name, date of birth or address for all of the directors of the Customer). Individuals, who perform two or more of these functions, please tick all appropriate boxes and provide the required information by only completing the section once. A "Responsible Party" is an individual who has control over the day-to-day operations of the business. Please ensure that at least one of theindividuals identified below is a Responsible Party. Please use the Continuation Page if necessary. | | | | | | | |
| 1) Authorised Signatory | | | ease tick all applicable | | | | |
| *Name (First/Middle/Last Name) Ms | ∐ Mrs ∐ I | Miss Mr | *Date of Birth | *Interest | % | *Responsible Party ☐ Yes ☐ No | |
| *Private Residential Address | | *City | | | | | |
| *State / Province | *Country PI | | Phone No. | Phone No. | | | |
| Email Address | ' | | | Mobile No. | | | |
| *Identification Number (passport no. or go | overnment issue | d no.) | Issue Date Expiry Date | | | | |
| Nationality/Citizenship (**mandatory for Authorised Signatories & Beneficial Owners only) If applicable, what is your second nationality. | | | | | | second nationality? | |



| 2) Authorised Signatory Beneficial Owne | r Director (Ple | ease tick all applicable | e boxes) | | | |
|--|----------------------------|---|---------------|----------------|-------------------------------|--|
| *Name (First/Middle/Last Name) Ms Mrs Miss | ☐ Mr | *Date of Birth | *Interest | % | *Responsible Party ☐ Yes ☐ No | |
| *Private Residential Address | | | *City | | | |
| *State / Province | *State / Province Postcode | | | | | |
| Email Address | | Mobile No. | | | | |
| *Identification Number (passport no. or government issued no. | .) | Issue Date | | Expiry Date | expiry Date | |
| Nationality/Citizenship (**mandatory for Authorised Signatorie | es & Beneficial Owners | s only) | If applicable | , what is your | second nationality? | |
| 2) Authorized Signatury Denoticial Owns | y Divertoy (DI | | a havaa) | | | |
| 3) ■ Authorised Signatory ■ Beneficial Owner | , | | · · | | *D '11 '5 ' | |
| *Name (First/Middle/Last Name) Ms Mrs Miss | ∟ Mr | *Date of Birth | *Interest | % | *Responsible Party ☐ Yes ☐ No | |
| *Private Residential Address | | *City | | | | |
| *State / Province | Postcode | *Country | | Phone No. | | |
| Email Address | | | | Mobile No. | | |
| *Identification Number (passport no. or government issued no. | Issue Date Expiry Date | | | | | |
| Nationality/Citizenship (**mandatory for Authorised Signatorie | s only) | If applicable, what is your second nationality? | | | | |
| 4) ■ Authorised Signatory ■ Beneficial Owne | er Director (Pl | ease tick all annlicable | e hoxes) | | | |
| *Name (First/Middle/Last Name) Ms Mrs Miss | | *Date of Birth | *Interest | | *Responsible Party | |
| - Ivalile (i iisuviiluule/Last Ivalile) Ivis Iviis Iviis | | Date of birti | | % | Yes No | |
| *Private Residential Address | | *City | | | | |
| *State / Province | Postcode | *Country | | Phone No. | | |
| Email Address | | | Mobile No. | | | |
| *Identification Number (passport no. or government issued no. | Issue Date | Expiry Date | | | | |
| Nationality/Citizenship (**mandatory for Authorised Signatorie | es & Beneficial Owners | s only) If applicable, what is your second not | | | second nationality? | |
| | | | | | | |



| Transaction Details | | | | | | | | | | | | 6 |
|---|-----------|----------------------------------|-----------------|-------------------------------------|---|------------------|---|---------------------------|---------------------|---------------------|---|----|
| *Who supplies the goods/performs the service? | | | Anticipated a | Anticipated average Cashback amount | | | | | | | | |
| *Do you take deposits? Size of deposit as a % of total transact ☐ Yes ☐ No % | | | | action value? | | How far deposits | | e of the supply Days | • | ds/services eeks | are Months | |
| What % of your annual turnover relates to deposits? Time % of (anticipated) turnover p.a. | | | | ne between taki Days | petween taking deposit and receiving remaining balance of payment? Days Weeks Months | | | | | | | |
| *Do you ever take full payment How far in advance of suppl up front? ☐ Yes ☐ No payment taken? Days | | | | | | - | of your annual turnover o upfront full payments? % p.a | | | | | |
| If you wish to provide any further details, please insert here: | | | | | | | | | | | | |
| Financial Information | | | | | | | | | | | | 7 |
| *Payment Method: ☐ EBA | □ALB | ☐ Chain ☐ ED | I | | | | | | | | | • |
| Delay Days | | nding Frequency Daily Weekl | y 🗌 Month | Funding Da | ay | | 1 | VAT Override ☐ Yes / Rate | | | *Faster Payments ☐ Yes (with fee) ☐ No | |
| Billing Frequency ☐ Daily ☐ Weekly ☐ Mont | | | Settlement | | | Account | | | illing Chargeback | | | |
| *Account Name | | | *Account Number | | | | *Sort Code | | | | | |
| *IBAN | | | *SWIFT / | *SWIFT / BIC Code | | | | Bank Name | | | | |
| Statement Information | | | | | | | | | | | | 8 |
| ☐ Billing Statement | | | | ☐ Hardco | py (see Sc | hedule of Fe | ees for cha | arge) | | Н | old Stateme | nt |
| ☐ Funding Statement (EDI) | | Batch Summary | | ☐ Online | ☐ Online via the Reporting Tool | | | | | | | |
| Reporting Tool | | | | | | | | | | | | 9 |
| Full name of selected registered user(s) of the Reporting Tool | | | | | Preferred Username(s) (if any) | | | | | | | |
| Email address and telephone number of registered user(s) | | | | | ☐ Please tick this box if you would like to view your Chargebacks online via the Reporting Tool | | | | | | | |
| Terminal Rental 10 | | | | | | | | | | | | |
| Will you be renting terminals from Elavon? ☐ Yes ☐ No | | | | | | | | | | | | |
| Third Party Products a | nd Servic | ces (Third Pa | rty Vendo | rs) | | | | | | | | 11 |
| Terminal Provider | | | Terminal Typ | е | | | IP | OS Provider | | | | |
| | | | | | | | | | | | | |



Privacy Notice 12

References to "We", "Us" or "Our" are references to Elavon Financial Services DAC, incorporated in Ireland (Registered No. 418442), having its registered office address at Block F1, Cherrywood Business Park, Dublin 18, D18 W2X7, Ireland operating through its UK Branch at 125 Old Broad Street, Fifth Floor, London, EC2N 1AB. United Kingdom.

References to "You", "you", "Your" and "your" are defined in the UK Terms of Service (the "TOS").

By "Your Information" we mean the personal and financial information We obtain from you or from third parties (such as credit reference and fraud prevention agencies, joint account holders, Your employees or officers of Your business, other organisations who introduced Us, or act on your or Our behalf) which, where you are a sole trader or partnership, may relate to you and/or your business partners and guarantors and where you are a company or limited liability partnership, may relate to your officers, shareholders, partners, owners and guarantors.

HOW DO WE USE YOUR INFORMATION?

We use Your Information for the following purposes:

| Purpose: | Legal basis of processing: |
|--|--|
| Where it is necessary to use Your Information in order to provide our services to you. | Contractual necessity |
| To conduct anti-money laundering checks and fulfil our regulatory obligations in connection with the services. | Legal obligation |
| To comply with legal requirements and Card Scheme Rules (as such term is defined in the TOS) | Legal obligation, contractual necessity and legitimate interests |
| To carry out credit checks and other enquiries to help us make decisions about whether to enter into a contract with you and to evaluate our ongoing relationship with you | Legitimate interests |
| For the prevention, investigation and detection of crime and fraud | Legitimate interests |
| To analyse and improve the running of our business | Legitimate interests |
| To market and sell to you products and services offered by Elavon, its Affiliates or third parties, whether relating to Merchant Services or otherwise | Legitimate interests |
| For analytical purposes and we may share this aggregated information with others from time to time. This regards use of records of the transactions in combination with the records of our merchants in an aggregated form | Legitimate interests |
| For credit-scoring to assess this Application and to verify your identity and that of the Other Individuals | Contractual necessity |

Please note that where we are using Your Information and the legal basis of our processing activities is identified as 'legitimate interests' you have a right to object to our use of Your Information. Please refer to Your rights section for further details.

HOW DO WE SHARE YOUR INFORMATION?

We may at any time give Your Information to:

- Any organisation who introduced Us or who acts on Your behalf to allow them to provide services to you and/or to allow them to conduct, monitor and analyse their business;
- Service providers, advisors and agents providing services to Us (including our group companies and Affiliates);
- Card Schemes covered by the TOS;
- VMASTM and MATCHTM in order to report Customer's business name and the name of Customer's principals to the VMSSTM and MATCHTM listings pursuant to the Card Scheme Rules.
- Anyone who has a legal right to require disclosure of your information or to whom we are permitted by law to disclose your information (this may include third parties such as bailiffs, receivers, the police and the courts);
- Regulatory bodies where required for regulatory purposes;
- Credit reference agencies and fraud prevention agencies (for more information on these disclosures please see below):
- Our professional advisors in order to obtain advice in relation to our relationship with you;
- Any person to whom we assign or sub-contract any of the rights or obligations under our agreement with you;
- Third party investors or potential investors in Elavon or its Affiliates or otherwise in the event of the sale, disposal, merger or transfer of the business of Elavon or its Affiliates, or obtaining financing for Elavon's business, or negotiations in connection with that purpose.

In addition, We may at any time give Your Information to any organisation that requires disclosure of Your Information for regulatory purposes or as a matter of law whether or not their power is derived from an Act/Acts of Government.

You further acknowledge and agree that any information provided in connection with this Application and all o ther relevant information, may be supplied by Us to our Affiliates for all of the purposes listed above.

OVERSEAS TRANSFERS OF YOUR INFORMATION

We may transfer Your Information to countries outside the European Economic Area (EEA) where data protection laws may not be as strict as they are in the EEA. If we do so we will put in place appropriate controls to ensure that Your Information is protected adequately, in particular through standard data protection model clauses adopted by the European Commission. For more information about these controls please contact us at EUDataProtectionOffice@elavon.com.

FOR HOW LONG DO WE KEEP YOUR INFORMATION?

We will keep Your Information for as long as we have a contract with you and for as long as we need Your Information for regulatory or evidential purposes after expiry of termination of your contract.



YOUR RIGHTS

Individuals have the following rights under data protection legislation:

- a right to ask for a copy of their personal data
- a right to ask Us to delete or correct any information We hold about them that is inaccurate;
- a right to request erasure of information in certain circumstances;
- a right to data portability (this is a right to ask for Your Information in a commonly used electronic format where information has been provided by the individual and the legal basis for processing that information is consent or contractual necessity);
- a right to restrict processing and a right to object to processing activities in certain circumstances;
- a right to stop Your Information from being used for direct marketing purposes; and
- a right to lodge a complaint with the Data Protection Commissioner (or other supervisory authority in the European Union) if You believe that Your Information has not been
 processed in accordance with the requirements of the data protection legislation.

Where any processing is based on consent you have a right to withdraw consent at any time, without affecting the lawfulness of processing based on consent before its withdrawal.

If you would like to exercise any of these rights, please contact us at EUDataProtectionOffice@elavon.com.

PROVISION OF INFORMATION ABOUT OTHER PEOPLE

If you are providing personal information about other individuals in this Application, you must explain to those individuals whose personal details you have disclosed ("Other Individuals"), the categories of personal information that is being disclosed and all uses and processing of their personal data as detailed in this Application. This explanation must be provided to Other Individuals before you submit the Application to us.

CREDIT REFERENCE AND FRAUD PREVENTION AGENCIES

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING AND MAINTAINING OF A MERCHANT SERVICES ACCOUNT. To ensure compliance with current legal and regulatory requirements aimed at preventing financial crime, money laundering and terrorist financing and to enable Us to proceed with your Application, We are required to obtain, verify and record information that identifies each person who opens up a merchant services account. We shall search your records and those of the Other Individuals at credit reference agencies who shall supply Us with information as well as information from the Electoral Register for the purpose of verifying your identity and that of the Other Individuals. Alternatively, We may ask you to provide physical forms of identification. Prior to our acceptance of this Application and from time to time thereafter, we may investigate the individual and business history and background of the Customer, each such representative and any other officers, partners, proprietors and/or owners of the Customer, and obtain credit reports or other background investigation reports on each of them that We consider necessary to review the acceptance and continuation of this Application.

Credit reporting agencies and other relevant agencies used by us will compile information to answer those credit inquiries and supply Us with such information as well as information from the Electoral Register for the purpose of verifying your identity and that of the Other Individuals. Credit reference agencies will record any credit searches on their file whether or not this Application proceeds. It is important that you give Us accurate details. We shall check your details and those of the Other Individuals with crime prevention agencies and if you give Us false or inaccurate information and We suspect fraud, We shall record this. We may disclose Your details and details of how you conduct your business and account to such agencies.

This information may be used by other credit grantors for making decisions about you or people with whom you are financially associated. The information may also be used for prevention of financial crime and money laundering.

If upon review of the information submitted in Your Application (which may or may not include all of the checks specified above) it appears that We require further information from You, We shall request for this from You. Please note that unless and until we receive this additional information we cannot proceed with your Application. Please note that any such checks and inquiries may occur after opening of Your merchant services account, as they may be desirable or necessary to evaluate the continuance of the Agreement.

If We are satisfied with the credit checks and other enquiries, this Application, the TOS and the Operating Guide, each updated by Us from time to time, will form your Agreement with Us.

IMPORTANT PRINCIPLES AND OBLIGATIONS

The Customer must obtain an Authorisation Code via an electronic terminal or similar device before completing any Transaction. The Customer understands that an AUTHORISATION CODE IS NOT A GUARANTEE OF ACCEPTANCE OR PAYMENT OF A TRANSACTION. RECEIPT OF AN AUTHORISATION CODE DOES NOT MEAN THAT THE CUSTOMER WILL NOT RECEIVE A CHARGEBACK FOR THAT TRANSACTION.

The Customer further understands and acknowledges that the acceptance of Card Not Present Transactions is done entirely at their/its own risk. All Customers must comply with the requirements of the Payment Card Industry Data Security Standards ("PCI DSS"). We require all Level 3 and Level 4 Merchants (determined by Transaction volume) to validate PCI DSS compliance on an annual basis with initial validation to occur no later than ninety (90) days from when the Application has been accepted by Us. The Customer will be charged the PCI Charges further described in the Schedule of Fees. Further, if you are new to card payment processing, you must also comply with the requirements of the Payment Card Industry Payment Application Data Security Standard ("PCI PA DSS"). You must use a value added re-seller ("VAR") whose payment application software (the software used to process the card payment transactions in a secure manner) is compliant with the PCI PA DSS.

It is very important that you read this Application, the TOS and the Operating Guide, before you submit this Application.

CUSTOMER DECLARATION

By submitting this Application, the Customer and its representative(s) warrant and represent to Us that (i) all information provided in this Application is true, complete and accurate, properly reflects the business, financial condition and principal partners, owners or officers of the Customer; and (ii) the person submitting this Application is duly authorised to and has the power (corporate or otherwise) to bind the Customer to all provisions of this Application and the TOS. Transmission of a Transaction Receipt for a Transaction to Elavon, shall be the Customer's acceptance of and agreement to the TOS. The submission by a representative of the Customer of this Application, or the transmission of a Transaction Receipt or other evidence of a Transaction to Us, shall be the

Customer's acceptance of and agreement to the terms and conditions contained in the Agreement, comprising, this Application, the TOS and the Operating Guide. The Customer agrees to comply with the Application, the TOS, the Operating Guide and all applicable laws, rules and regulations including the rules and regulations of the Card Schemes, and understands that failure to comply may result in termination of processing services. Words and phrases not specifically defined in this Application shall have the same meaning as set out in the TOS.

AUTHORISATION TO USE PERSONAL DATA FOR PAYMENT SERVICE PURPOSES

In order to provide the Merchant Services to You we need to access, retain and process personal data. You hereby authorise us to use personal data to enable us to provide the Merchant Services.



*Signatures

This Application (including the Privacy Notice as well as any Continuation Pages), the Schedule of Fees, the TOS and the Operating Guide together constitute the Agreement to which this Application applies.

By submitting this Application, I confirm that I have read, understood and agree to the Operating Guide, the Privacy Notice and the Terms of Service (TOS) which are available at: www.elavon.co.uk/resource-center.html

KEEPING YOU INFORMED

| We may tell you about products or services or invite you to take part in offers | of Ours and Our business partners t | .hat We think may benefit you. | . We may do this by post, by |
|---|-------------------------------------|--------------------------------|------------------------------|
| telephone (including by way of automatic dialing), by fax or e-mail. | | | |

☐ Tick this box if you do not wish to receive this information, but remember this shall preclude you from receiving any of our special offers or promotions.

By submitting this Application, You understand that provided You meet Elavon's criteria for accepting Customers, a binding agreement is entered into between You and Elavon. If You do not meet these criteria, Elavon will decline the Application. Elavon retains the right to decline the Application without further explanation.

| Signature | Full Name & Title (Please print) | Date (DD/MM/YYYY) |
|--|---|--------------------------------------|
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| INTERNAL USE ONLY | | |
| I certify to the best of my knowledge and belief that the informati respects. I further certify that the signatures were provided by the | on provided in this Application was provided by the Customer and i e Customer's owner(s) or officer(s), as appropriate | s true, complete and accurate in all |
| Signature | Full Name & Title (Please print) | Date (DD/MM/YYYY) |
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