

# Frobenius Additive Fast Fourier Transform

Wen-Ding Li

Research Center for Information Technology Innovation, Academia Sinica, Taiwan

July 19, 2018

ISSAC 2018, New York, USA

Joint work with Ming-Shing Chen, Po-Chun Kuo, Chen-Mou Cheng,  
Bo-Yin Yang

# Polynomial Multiplication over $\mathbb{F}_2$

# Polynomial Multiplication over $\mathbb{F}_2$

- Schoolbook :  $O(n^2)$
- Karatsuba or Toom-Cook :  $O(n^\omega)$  ,  $1 < \omega < 2$
- Fast Fourier Transform (FFT) :  $\tilde{O}(n)$

# Multiplication with FFT

Fourier transform of  $f \in \mathbb{F}[x]$  : Evaluation of  $f$  in some zero set  $Z \subset \mathbb{F}$ .

# Multiplication with FFT

Fourier transform of  $f \in \mathbb{F}[x]$  : Evaluation of  $f$  in some zero set  $Z \subset \mathbb{F}$ .

How do we multiply  $h = f \cdot g$  in  $\mathbb{F}[x]$  ?

# Multiplication with FFT

Fourier transform of  $f \in \mathbb{F}[x]$  : Evaluation of  $f$  in some zero set  $Z \subset \mathbb{F}$ .

How do we multiply  $h = f \cdot g$  in  $\mathbb{F}[x]$  ?

- Evaluate  $f$  and  $g$  at points of some zero set  $Z \subset \mathbb{F}$
- Multiply pointwise to obtain  $\{f(\alpha) \cdot g(\alpha), \alpha \in Z\}$
- Interpolate: recover  $h$  from  $\{f(\alpha) \cdot g(\alpha), \alpha \in Z\}$

# Multiplication with FFT

Fourier transform of  $f \in \mathbb{F}[x]$  : Evaluation of  $f$  in some zero set  $Z \subset \mathbb{F}$ .

How do we multiply  $h = f \cdot g$  in  $\mathbb{F}[x]$  ?

- Evaluate  $f$  and  $g$  at points of some zero set  $Z \subset \mathbb{F}$
- Multiply pointwise to obtain  $\{f(\alpha) \cdot g(\alpha), \alpha \in Z\}$
- Interpolate: recover  $h$  from  $\{f(\alpha) \cdot g(\alpha), \alpha \in Z\}$

Multiplication in  $\mathbb{F}_2[x]$

- Not many evaluation points in  $\mathbb{F}_2 \Rightarrow$  **work in an extension field**
- Naive method:  $\mathbb{F}_2[x] \rightsquigarrow \mathbb{F}_{2^d}[x]$

# Multiplication with FFT

Fourier transform of  $f \in \mathbb{F}[x]$  : Evaluation of  $f$  in some zero set  $Z \subset \mathbb{F}$ .

How do we multiply  $h = f \cdot g$  in  $\mathbb{F}[x]$  ?

- Evaluate  $f$  and  $g$  at points of some zero set  $Z \subset \mathbb{F}$
- Multiply pointwise to obtain  $\{f(\alpha) \cdot g(\alpha), \alpha \in Z\}$
- Interpolate: recover  $h$  from  $\{f(\alpha) \cdot g(\alpha), \alpha \in Z\}$

Multiplication in  $\mathbb{F}_2[x]$

- Not many evaluation points in  $\mathbb{F}_2 \Rightarrow$  **work in an extension field**
- Naive method:  $\mathbb{F}_2[x] \rightsquigarrow \mathbb{F}_{2^d}[x] \Rightarrow$  incurs  $d$ -times penalty.



# The Kronecker segmentation

- Schönhage's ternary FFT (GF2x: Brent, Gaudry, Thome, Zimmermann)  
 $\mathbb{F}_2[x] \rightsquigarrow \mathbb{F}_2[x]_{<M}[y] \rightsquigarrow \mathbb{F}_2[x]/(x^{2L} + x^L + 1)[y], y = x^M, L \geq M$
- Mixed Radix FFT over  $\mathbb{F}_{2^{60}}$  (ISSAC 2016: Harvey, van der Hoeven, Lecerf)  
 $\mathbb{F}_2[x] \rightsquigarrow \mathbb{F}_2[x]_{<30}[y] \rightsquigarrow \mathbb{F}_{2^{60}}[y], y = x^{30}$
- Additive FFT over  $\mathbb{F}_{2^{256}}$  (Chen, Cheng, Kuo, Li, Yang - 2017)  
 $\mathbb{F}_2[x] \rightsquigarrow \mathbb{F}_2[x]_{<128}[y] \rightsquigarrow \mathbb{F}_{2^{256}}[y], y = x^{128}$

Pack half as many bits in each coefficients as the extension field

# The Kronecker segmentation

- Schönhage's ternary FFT (GF2x: Brent, Gaudry, Thome, Zimmermann)  
 $\mathbb{F}_2[x] \rightsquigarrow \mathbb{F}_2[x]_{<M}[y] \rightsquigarrow \mathbb{F}_2[x]/(x^{2L} + x^L + 1)[y], y = x^M, L \geq M$
- Mixed Radix FFT over  $\mathbb{F}_{2^{60}}$  (ISSAC 2016: Harvey, van der Hoeven, Lecerf)  
 $\mathbb{F}_2[x] \rightsquigarrow \mathbb{F}_2[x]_{<30}[y] \rightsquigarrow \mathbb{F}_{2^{60}}[y], y = x^{30}$
- Additive FFT over  $\mathbb{F}_{2^{256}}$  (Chen, Cheng, Kuo, Li, Yang - 2017)  
 $\mathbb{F}_2[x] \rightsquigarrow \mathbb{F}_2[x]_{<128}[y] \rightsquigarrow \mathbb{F}_{2^{256}}[y], y = x^{128}$

Pack half as many bits in each coefficients as the extension field

**Factor-of-two loss!**

# The Frobenius Fourier transform - ISSAC 2017

Directly compute Fourier transform of a polynomial  $f$  in  $\mathbb{F}_2[x]_{<n}$  :

$$\{f(1), f(\omega), f(\omega^2), \dots, f(\omega^{n-1})\}$$

where  $\omega \in \mathbb{F}_{2^d}$  primitive root of unity.

# The Frobenius Fourier transform - ISSAC 2017

Directly compute Fourier transform of a polynomial  $f$  in  $\mathbb{F}_2[x]_{<n}$  :

$$\{f(1), f(\omega), f(\omega^2), \dots, f(\omega^{n-1})\}$$

where  $\omega \in \mathbb{F}_{2^d}$  primitive root of unity.

Save some computation by using the Frobenius automorphism:

$$f(w^2) = f(\phi(w)) = \phi(f(w)) = (f(w))^2$$

$\Rightarrow$  For each orbit  $w, \phi(w), \phi^{\circ 2}(w), \phi^{\circ 3}(w), \dots$ , we only need to compute at one point:  $f(w)$  and all other values  $\phi^{\circ 2}(f(w)), \phi^{\circ 3}(f(w)), \dots$  are determined.

# The Frobenius Fourier transform - ISSAC 2017

Directly compute Fourier transform of a polynomial  $f$  in  $\mathbb{F}_2[x]_{<n}$  :

$$\{f(1), f(\omega), f(\omega^2), \dots, f(\omega^{n-1})\}$$

where  $\omega \in \mathbb{F}_{2^d}$  primitive root of unity.

Save some computation by using the Frobenius automorphism:

$$f(w^2) = f(\phi(w)) = \phi(f(w)) = (f(w))^2$$

$\Rightarrow$  For each orbit  $w, \phi(w), \phi^{\circ 2}(w), \phi^{\circ 3}(w), \dots$ , we only need to compute at one point:  $f(w)$  and all other values  $\phi^{\circ 2}(f(w)), \phi^{\circ 3}(f(w)), \dots$  are determined.

Result:  $d$ -times faster than naive method.

# Cantor's FFT and its derivatives

- Cantor showed how to compute  $f(Z)$  for some additive subgroup  $Z$  of  $\mathbb{F}_{p^q}$  in  $O(n(\log n)^2)$  time for  $n = |Z|$  via what he called “an analogue of the fast Fourier transform”
  - Based on a tower  $\mathbb{F}_p, \mathbb{F}_{p^p}, \mathbb{F}_{p^{p^2}}, \dots$  of Artin-Schreier extensions of  $\mathbb{F}_p$
- Gao and Mateer improved it to  $O(n \log n \log \log n)$  when  $p = 2$  and  $f \in \mathbb{F}_{2^{2^k}}[x]$
- We showed that van der Hoeven and Larrieu's idea of using Frobenius map to accelerate polynomial multiplication beautifully generalizes to Cantor-Gao-Mateer-FFT

# Additive FFT

Let  $s(x) = x^2 + x$ ,  $s_0(x) = x$  and

$$s_i(x) := \underbrace{s(s(\cdots s(x) \cdots))}_{i \text{ times}} = s^{\circ i}(x)$$

- Let  $W_i$  be the zero set of  $s_i(x) = \prod_{\omega \in W_i} (x - \omega)$ , then

$$\mathbb{F}_2 = W_1 \subset W_2 \subset \cdots \subset \widetilde{\mathbb{F}_2}$$

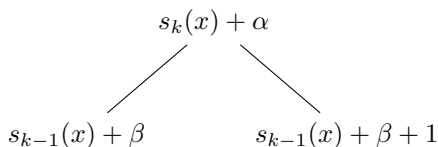
- Since  $s_i$ 's are linear,  $W_i$ 's are vector spaces over  $\mathbb{F}_2$
- Since  $s_{2^k} = x^{2^{2^k}} + x$ ,  $W_{2^k}$  is a field  $\mathbb{F}_{2^{2^k}}$ .  
e.g.  $W_1 = \mathbb{F}_2$ ,  $W_2 = \mathbb{F}_{2^2}$ ,  $W_4 = \mathbb{F}_{2^4}$ ,  $W_8 = \mathbb{F}_{2^8}, \dots$
- Cantor showed that there is a basis  $(v_0, v_1, v_2, \dots)$  such that  $W_i = \text{span}\{v_0, v_1, \dots, v_{i-1}\}$  and  $s(v_i) = v_i^2 + v_i = v_{i-1}$
- We'll denote  $a_0 v_0 + a_1 v_1 + \dots + a_{d-1} v_{d-1}$  as  $a_{d-1} a_{d-2} \dots a_0$ .  
e.g. 1101 is  $v_3 + v_2 + v_0$ .

# Additive FFT - Subproduct Tree

$s_k(x) + \alpha$  can be written as the product of

$$s_{k-1}(x) + \beta \text{ and } s_{k-1}(x) + \beta + 1,$$

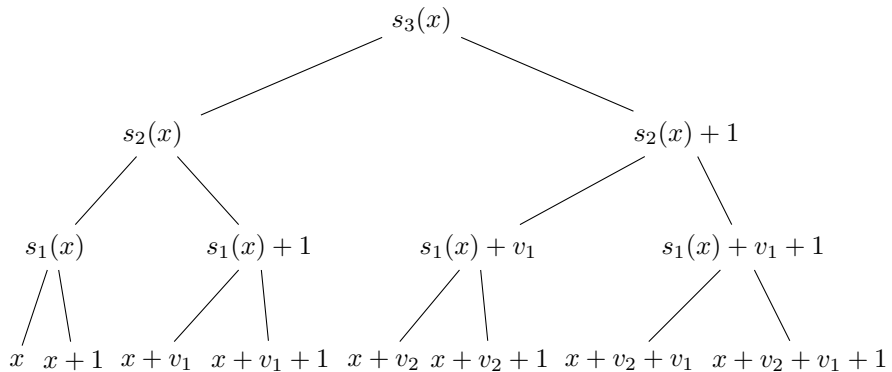
where  $\beta^2 + \beta = \alpha$ .



right child = left child + 1

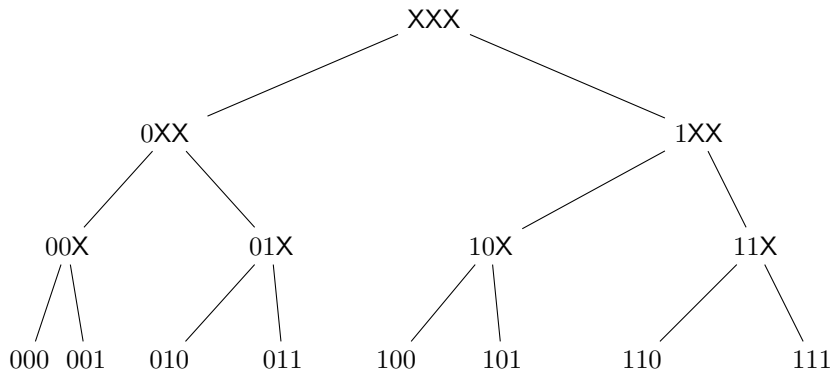


# Additive FFT

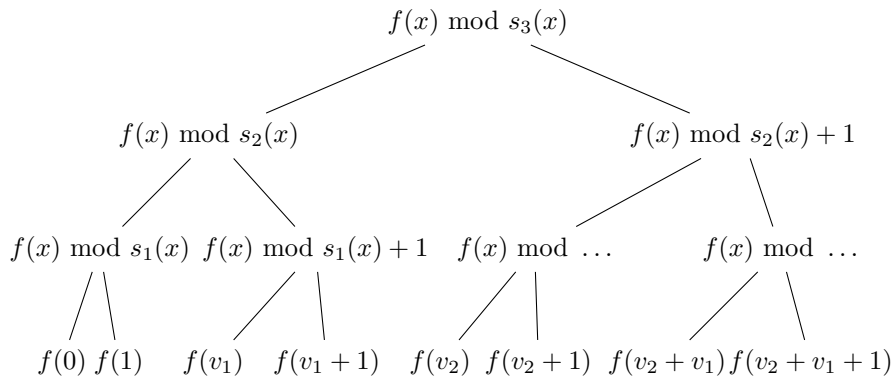


# Additive FFT

The roots of polynomial in subproduct tree. The “X” means it could take 0 or 1.



# Additive FFT



## Additive FFT

Let  $(f(x) \bmod s_n(x) + \alpha) = P(x)s_{n-1}(x) + Q(x)$  [Gao-Mateer], then

$$\begin{array}{ccc} & f(x) \bmod s_n(x) + \alpha & \\ & \swarrow \quad \searrow & \\ f(x) \bmod s_{n-1}(x) + \beta & & f(x) \bmod s_{n-1}(x) + \beta + 1 \\ = Q(x) + \beta P(x) & & = Q(x) + \beta P(x) + P(x) \end{array}$$

Let the left child be  $f_0(x)$  and the right child be  $f_1(x)$ , then

$$\begin{aligned} f_0(x) &= Q(x) + \beta P(x) \\ f_1(x) &= P(x) + f_0(x) \end{aligned}$$

By applying this recursively, we get

$$\{f(x) \bmod x + \omega \mid s_n(\omega) = \alpha\} = \{f(\omega) \mid \omega \in W_i + \gamma\}$$

where  $s_n(\gamma) = \alpha$

# Frobenius Additive FFT

Question: Given  $d$  a power of two, when computing **additive** FFT of  $f$  in  $\mathbb{F}_{2^d}[x]$ , can we achieve  $d$ -times speedup if  $f$  actually admits only coefficients in  $\mathbb{F}_2$ ?

# Frobenius Additive FFT

Question: Given  $d$  a power of two, when computing **additive** FFT of  $f$  in  $\mathbb{F}_{2^d}[x]$ , can we achieve  $d$ -times speedup if  $f$  actually admits only coefficients in  $\mathbb{F}_2$ ?

Save some computation by using the Frobenius automorphism:

$$f(w^2) = (f(w))^2$$

# Frobenius Additive FFT

Question: Given  $d$  a power of two, when computing **additive** FFT of  $f$  in  $\mathbb{F}_{2^d}[x]$ , can we achieve  $d$ -times speedup if  $f$  actually admits only coefficients in  $\mathbb{F}_2$ ?

Save some computation by using the Frobenius automorphism:

$$f(w^2) = (f(w))^2$$

**$\Rightarrow$  If we have  $f(w)$ ,  $f(w^2)$  can be obtained efficiently. Only need to evaluate a subset of the original points**

# Orbits under the action of $\phi : x \mapsto x^2$

Denote the Orbit of  $w$  under the action  $\phi$  be

$$\begin{aligned}\text{Orb}_w &= \{w, \phi(w), \phi^{\circ 2}(w), \phi^{\circ 3}(w), \phi^{\circ 4}(w), \dots\} \\ &= \{w, w^2, w^4, w^8, w^{16}, \dots\}\end{aligned}$$

- For  $w \in W_{i+1} \setminus W_i$ ,  $|\text{Orb}_w| = 2^{\lfloor \lg i \rfloor + 1}$
- How the action affect the points:

$$\phi^{\circ 2^k}(x) = s_{2^k}(x) + x$$

Change the position whose distance is  $2^k$  from most significant bits



# Main Result: the Cross section of the orbit

Let  $\Sigma_0 = \{0\}$ , and  $\forall k > 0$ , let

$$\Sigma_k = \left\{ v_{k-1} + j_1 v_{k-2} + \cdots + j_{k-1} v_0 : \begin{array}{l} j_i = 0 \text{ if } i \text{ is a power of 2,} \\ j_i \in \{0, 1\} \text{ otherwise.} \end{array} \right\}$$
$$= 100X0XXX0XXXXXXXXX0XX \dots$$

## Theorem

$\Sigma_k$  is a cross section of  $W_k \setminus W_{k-1}$ . That is,  $\forall k > 0$ ,  $\forall w \in W_k \setminus W_{k-1}$ , there exists exactly one  $\sigma \in \Sigma_k$  such that  $\phi^{\circ j}(\sigma) = w$  for some  $j$ .

# Main Result: the Cross section of the orbit

Let  $\Sigma_0 = \{0\}$ , and  $\forall k > 0$ , let

$$\Sigma_k = \left\{ v_{k-1} + j_1 v_{k-2} + \cdots + j_{k-1} v_0 : \begin{array}{l} j_i = 0 \text{ if } i \text{ is a power of 2,} \\ j_i \in \{0, 1\} \text{ otherwise.} \end{array} \right\}$$
$$= 100X0XXX0XXXXXXXXX0XX \dots$$

## Theorem

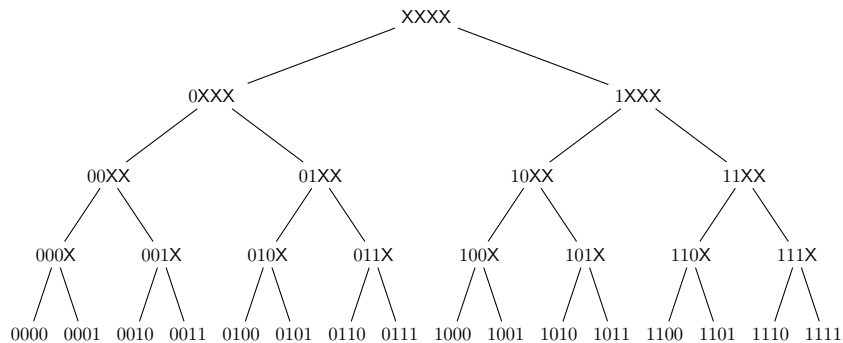
$\Sigma_k$  is a cross section of  $W_k \setminus W_{k-1}$ . That is,  $\forall k > 0$ ,  $\forall w \in W_k \setminus W_{k-1}$ , there exists exactly one  $\sigma \in \Sigma_k$  such that  $\phi^{\circ j}(\sigma) = w$  for some  $j$ .

A cross section of  $W_m$  is

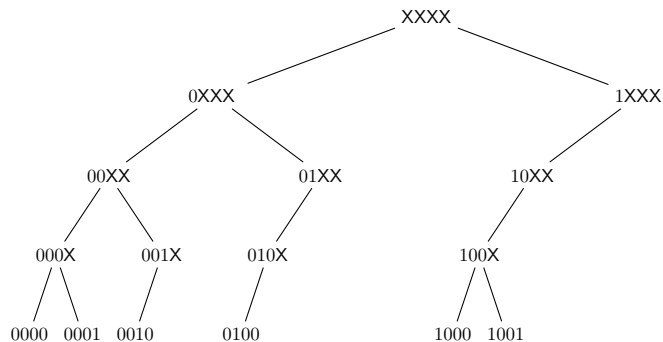
$$\Sigma_0 \cup \Sigma_1 \cup \Sigma_2 \cup \dots \cup \Sigma_m \text{ .}$$

.

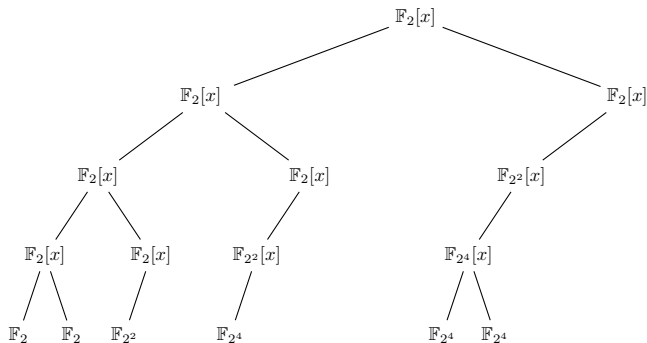
# Truncated additive FFT



# Truncated additive FFT



# Truncated additive FFT



# New speed records in terms of bit-operation count

- Use subfield to accelerate the constant multiplication - Tower field representation
- Use common subexpression elimination technique

# New speed records in terms of bit-operation count

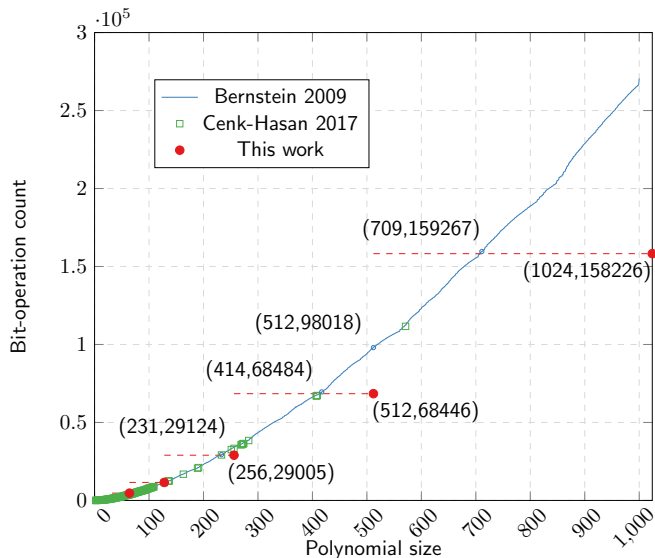


Figure: Complexity for multiplication in  $\mathbb{F}_2[x]$

## New speed records on modern CPUs

We need to use the PCLMULQDQ instruction to multiply in  $\mathbb{F}_{2^{128}}[x]$ :

Cross-section of size  $2^{m-7}$  we use to enable truncated additive FFT:

$$\begin{aligned}\Sigma &= \{v_k + j_{64}v_{k-64} + j_{65}v_{k-65} + \cdots + j_{2^{m-7}-1}v_{k-63-2^{m-7}} : j_i\} \\ &= \{1 \overbrace{00 \cdots 0}^{64} \overbrace{XX \cdots X}^{m-7}\}\end{aligned}$$

**Table:** Timing of multiplications in  $\mathbb{F}_2[x]_{<n}$  on Intel Skylake Xeon E3-1275 v5 @ 3.60GHz ( $10^{-3}$  sec.)

$\log_2(n/64)$	16	17	18	19	20	21	22	23
This work, $\mathbb{F}_{2^{128}}$	9	20	41	88	192	418	889	1865
FDFT <sup>c</sup>	11	24	56	127	239	574	958	2465
ADFT	16	34	74	175	382	817	1734	3666
FFT over $\mathbb{F}_{2^{60}}$ <sup>b</sup>	22	51	116	217	533	885	2286	5301
gf2x <sup>a</sup>	23	51	111	250	507	1182	2614	6195

<sup>a</sup> Version 1.2. Available from <http://gf2x.gforge.inria.fr/>

<sup>b</sup> SVN r10663. Available from <svn://scm.gforge.inria.fr/svn/mmx>

<sup>c</sup> SVN r10681. Available from <svn://scm.gforge.inria.fr/svn/mmx>