

U-Net For Learning And Inference Of Dense Representation Of Multiple Air Pollutants From Satellite Imagery

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Motivation

New research linking pollution to COVID-19: lethality rate and as a transmission vector



- Wu, X., Nethery, R. C., Sabath, B. M., Braun, D., and Dominici, F. (2020) *Exposure to air pollution and COVID-19 mortality in the United States: A nationwide cross-sectional study*. *medRxiv*.
- Coccia, M. (2020) *Two mechanisms for accelerated diffusion of COVID-19 outbreaks in regions with high intensity of population and polluting industrialization: the air pollution-to-human and human-to-human transmission dynamics*. *medRxiv*.

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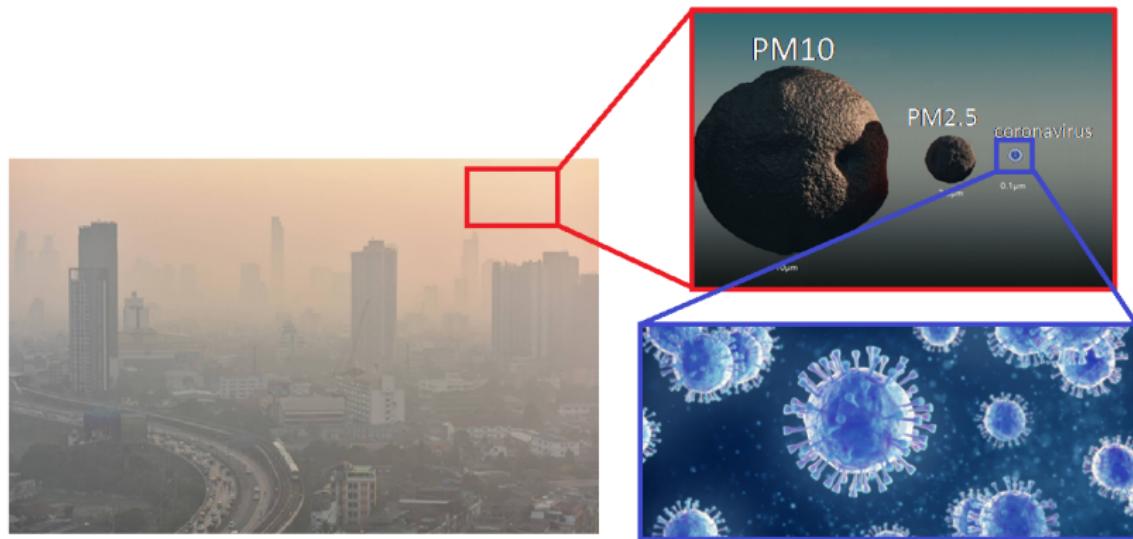
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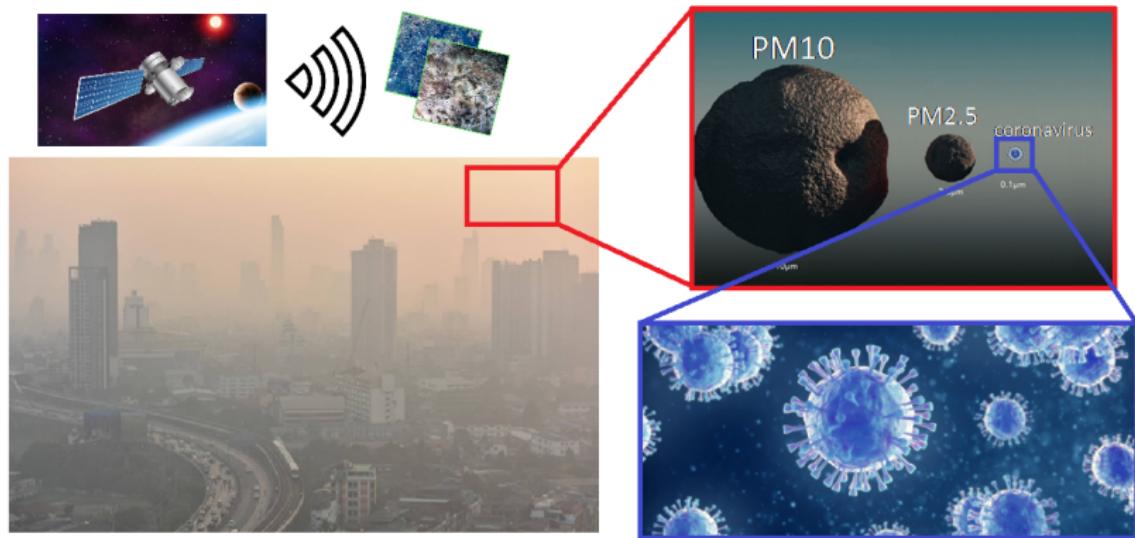


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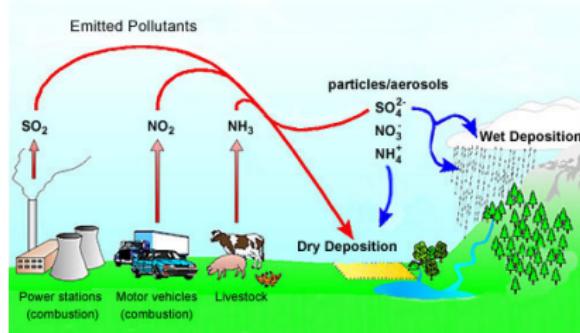
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⇒ **Goal:** Machine learning to estimate air pollution concentrations at fine scale using satellite imagery



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Air pollution – From primary air pollutants to aerosols

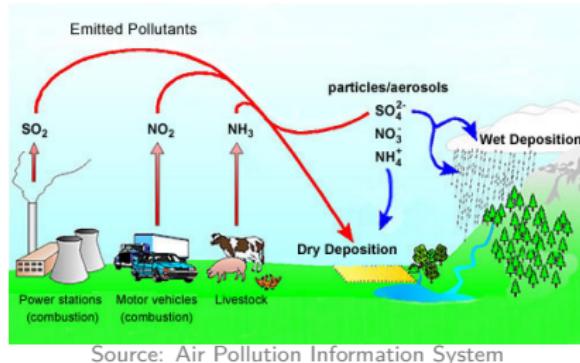


Source: Air Pollution Information System

Primary pollutants (ground emissions):

- ▶ Nitrogen oxides – NO_x
- ▶ Sulphur oxides – SO_x
- ▶ Volatile organic compounds – VOC

Air pollution – From primary air pollutants to aerosols



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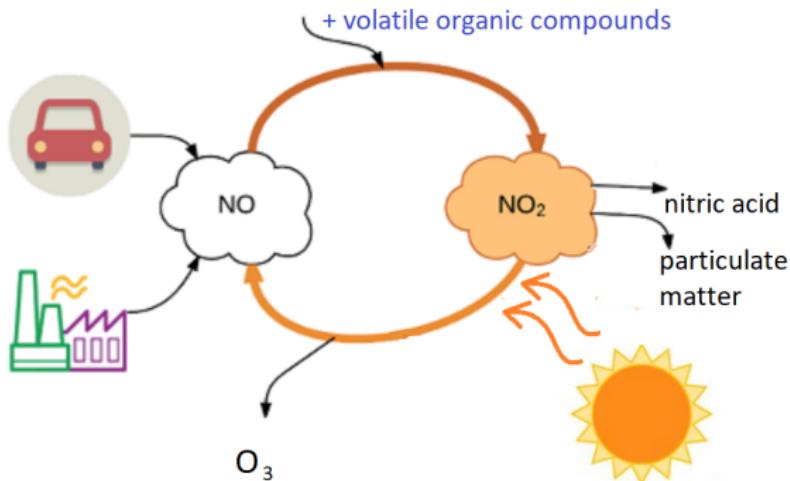
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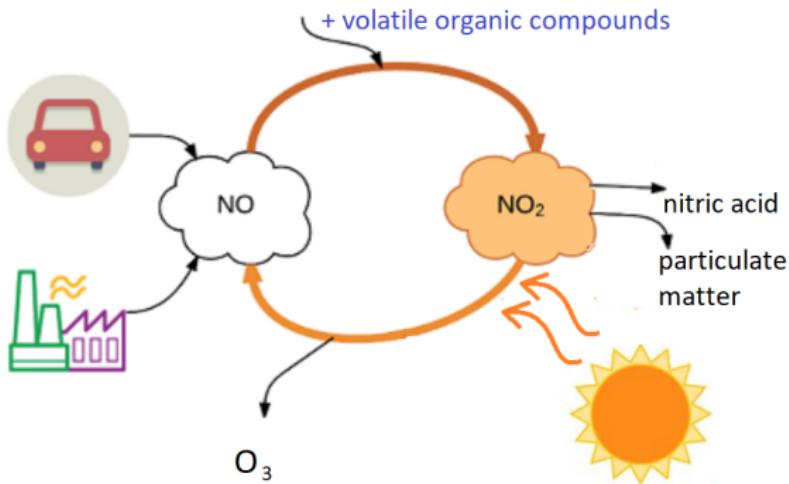
Secondary pollutants (form in atmosphere):

- ▶ React with each other
- ▶ Can travel long distances as aerosols
- ▶ Eventually return to the surface as wet or dry deposition

Air pollution – Nitrogen cycle in atmosphere



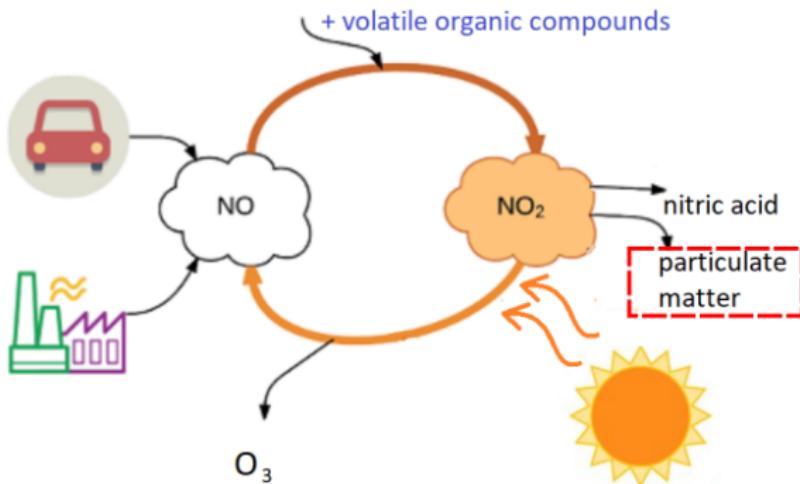
Air pollution – Nitrogen cycle in atmosphere



Source: World Air Quality Index

- ▶ Nitric acid and ammonia react to form NH_4^+ , NH_3^-

Air pollution – Nitrogen cycle in atmosphere



Source: World Air Quality Index

- ▶ Nitric acid and ammonia react to form NH_4^+ , NH_3^-
- ▶ Result: **aerosol ammonium nitrate contribute to particulate matter**

Air pollution – Consequences

...include the following:

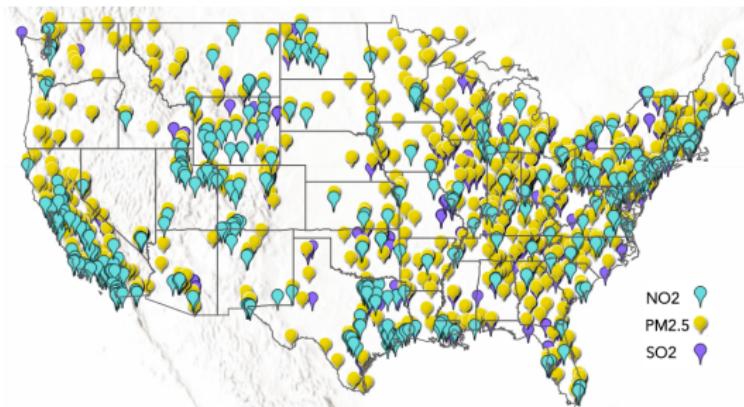
- ▶ NO_2 linked to respiratory problems in humans
- ▶ Nitric acid formation leads to acid rain
- ▶ Affects visibility by altering the way light is absorbed and scattered in the atmosphere
- ▶ Some constituents of the ambient PM mixture promote climate warming (e.g., black carbon) or cooling influence (e.g., nitrate and sulfate)
- ▶ Adversely affect ecosystems, including plants, soil and water through deposition of PM and its subsequent uptake by plants or its deposition into water, affecting water quality/clarity

Worldwide monitoring of air pollution

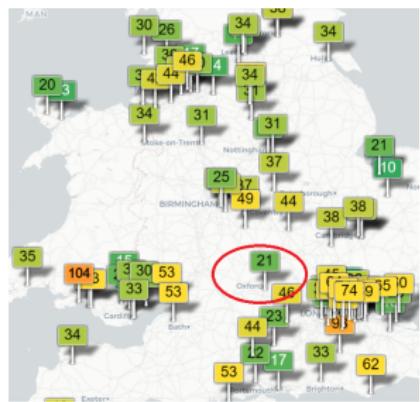
- ▶ Dedicated measurement stations for NO_x, SO_x, O_3, PM
- ▶ More than 30,000 stations in the World (source: World Air Quality Index)

Worldwide monitoring of air pollution

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- ▶ More than 30,000 stations in the World (source: World Air Quality Index)
- ▶ Low spatial resolution



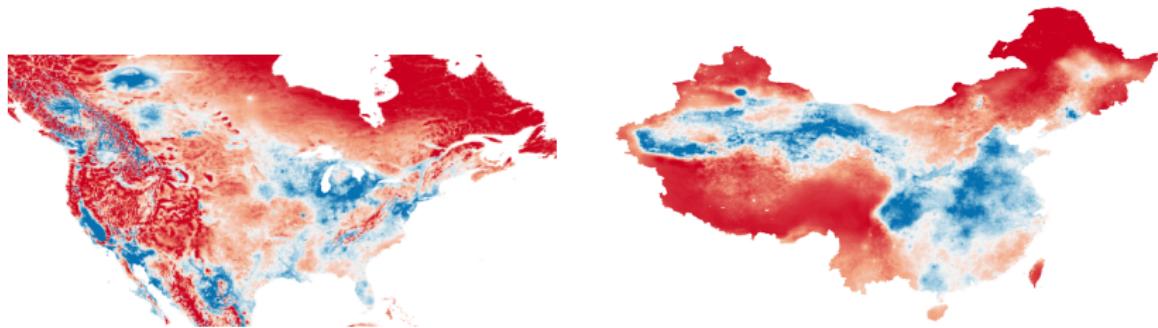
United States - locations of pollution sensors (epa.gov)



Daily pollution values in UK (waqi.info)

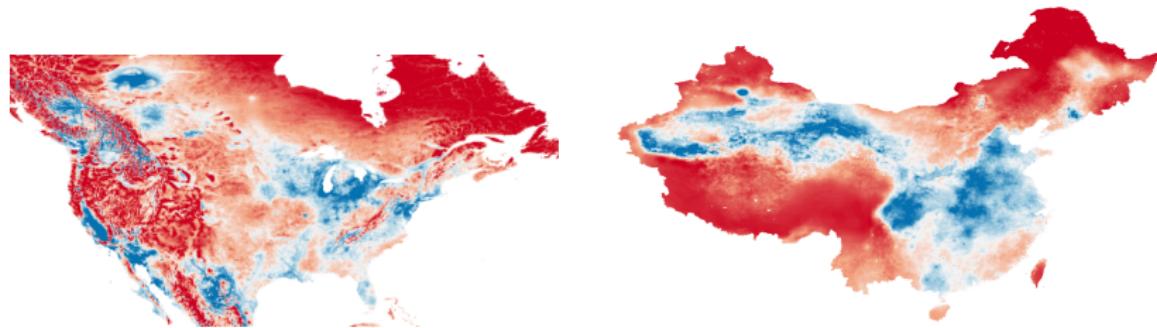
Pollution data – Ground-truth

- ▶ Pollution database: (Aaron van Donkelaar et al., 2019)
monthly estimates of $PM_{2.5}$ for North America and China,
from 2001-2017 at 0.01° resolution (no further updates)



Pollution data – Ground-truth

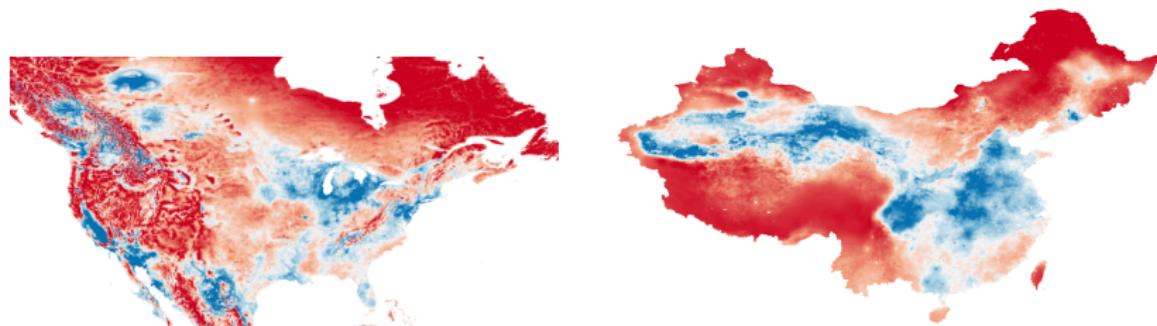
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- ▶ Source: ground sensors, sat. imagery, chem. transfer model
- ▶ Partial masses of component pollutants (NO_3^- , NH_4^+ , ...)

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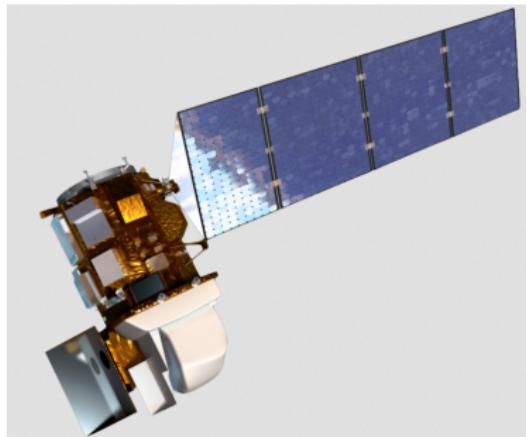
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 - ▶ Partial masses of component pollutants (NO_3^- , NH_4^+ , ...)
- ⇒ **Goal:** learn from this historical pollution data to predict new pollutant concentrations at fine scale

Multispectral satellite imagery - Landsat 8

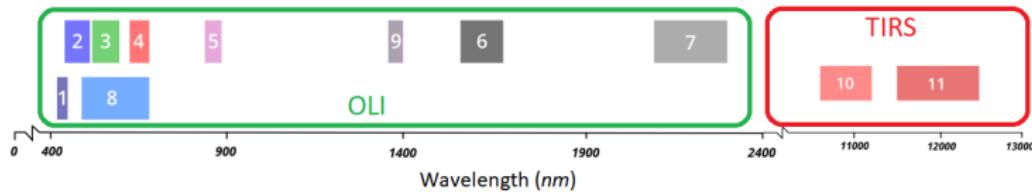
Landsat series: longest continuous satellite mission in operation (since 1972)



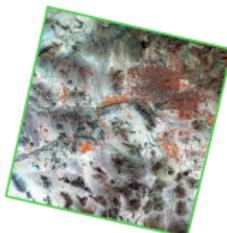
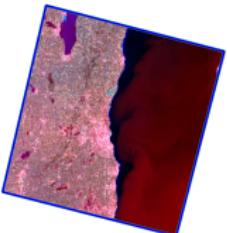
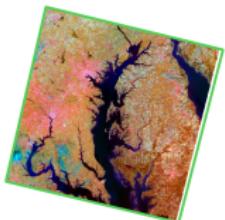
Source: US Geological Survey

- ▶ Carries two independent optical instruments
 - ▶ Operational Land Imager
 - ▶ Thermal Infrared Sensor
- ▶ Worldwide coverage with 16 day revisit period
 - ▶ 2 images per month
- ▶ Ground sampling distance: 30 m (OLI) and 100 m (TIRS)

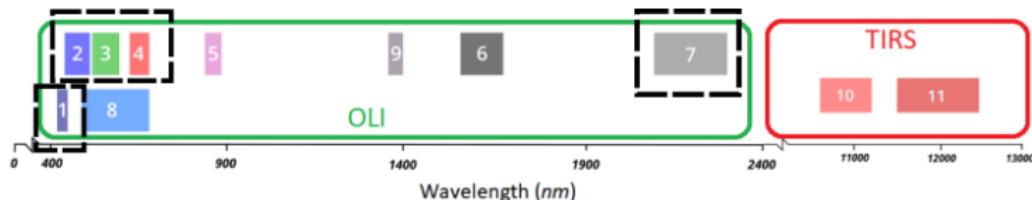
Multispectral satellite imagery - Landsat 8



- ▶ Covers various parts of the electromagnetic spectrum from violets to thermal infrared with 11 spectral bands
- ▶ Publicly available from US Geological Survey
 - ▶ Mirrors hosted by Google/Amazon (for bulk download)



Multispectral satellite imagery – Pollution



Aerosol optical depth (AOD): measures attenuation of light rays passing through atmosphere

- ▶ Proxy for $PM_{2.5}$ content (light scattering on aerosol)
- ▶ Explicit estimation methods based on deep blue (#1), blue (#2), red (#4) bands
- ▶ Bands #3 and #7 have also been linked to PM levels

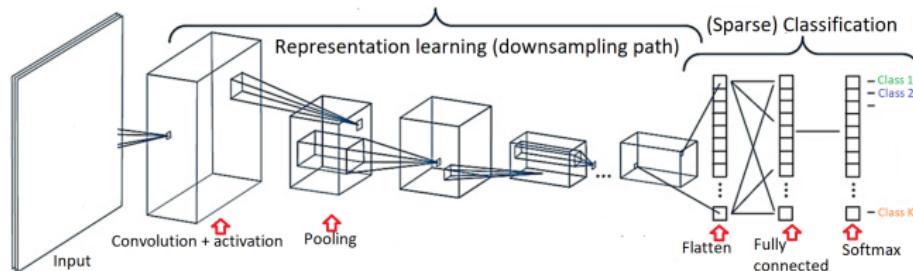
→ Vermote, E., Justice, C., Claverie, M., Franch, B. (2016) Preliminary analysis of the performance of the Landsat 8/OLI land surface reflectance product. *Remote Sens. Env.*

→ Zhang, B.; Zhang, M.; Kang, J.; Hong, D.; Xu, J.; Zhu, X. (2019) Estimation of PM_x Concentrations from Landsat 8 OLI Images Based on a Multilayer Perceptron Neural Network. *Remote Sens.*

Fully Convolutional Networks (FCNs)

Classic Convolutional Neural Networks

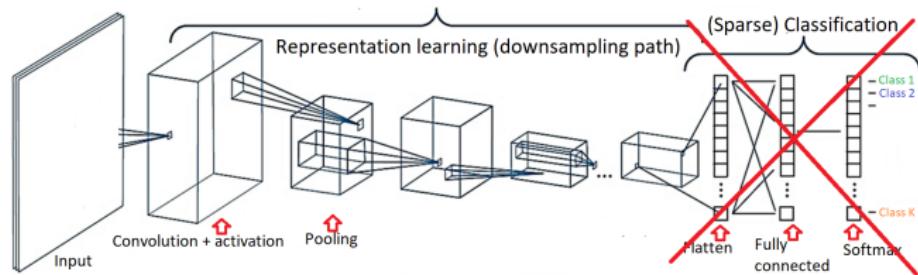
- ▶ Sparse representation learning (size independent)
- ▶ Classification with Fully Connected Layers (size-bound)



Fully Convolutional Networks (FCNs)

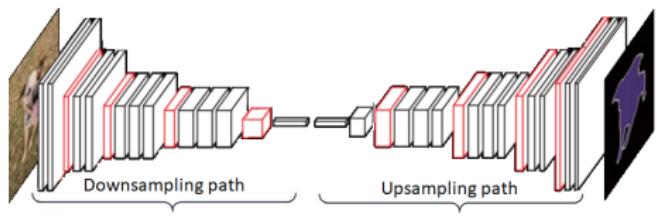
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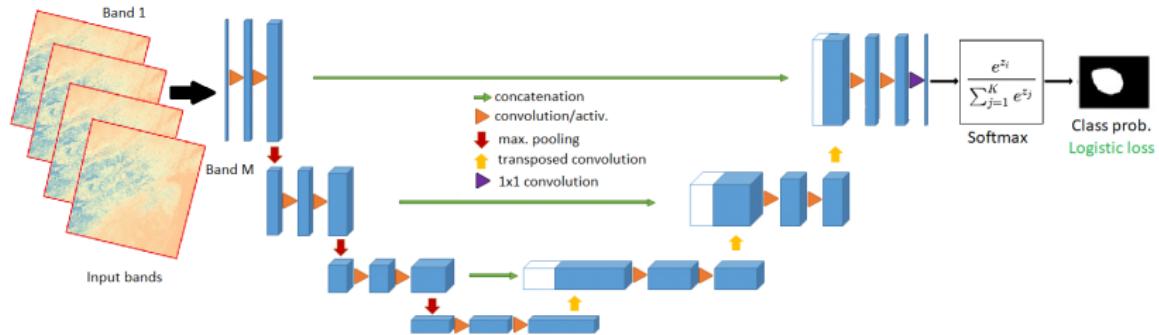


Fully Convolutional Networks

- ▶ Only size-independent operations
- ▶ Dense (per-pixel) predictions (non-sparse)

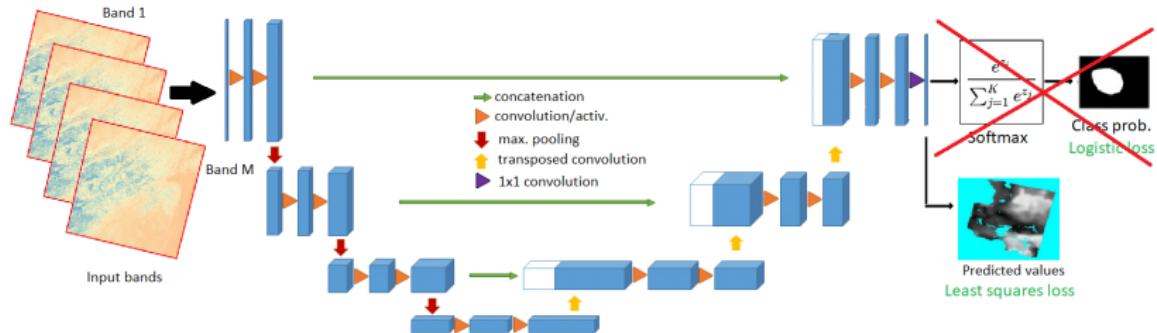


U-Net: a state-of-the-art Fully Convolutional Neural Networks



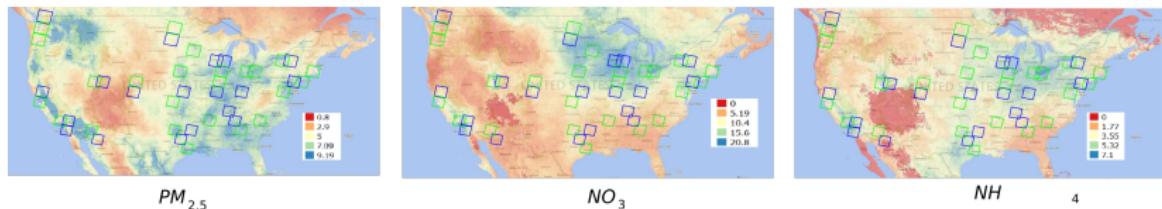
- ▶ Introduced by Ronneberger et al. (2015) in the context of classification
- ▶ To alleviate loss of detail, includes high-resolution original feature maps in upsampling

U-Net: a state-of-the-art Fully Convolutional Neural Networks



- ▶ Introduced by Ronneberger et al. (2015) in the context of classification
- ▶ To alleviate loss of detail, includes high-resolution original feature maps in upsampling
- ▶ We remove softmax layer and trained with least-squares loss directly on the 1x1 convolution output (final layer)

Experiments – Setting



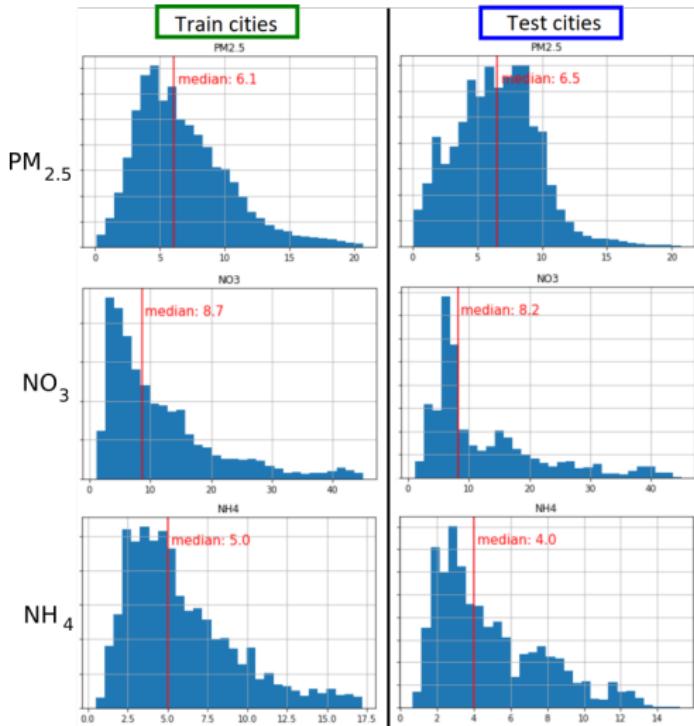
Satellite data:

- ▶ $N = 114$ Landsat images from 2013 – 2017 → 24 training cities
 - ▶ Additional $N_T = 24$ images from 20 test cities
- ▶ Locations of US cities chosen to reasonably represent the diverse US geography
- ▶ Rectangles indicate bounding boxes of the Landsat images' locations:
green boxes = training and validation, blue boxes = testing

Pollution data:

- ▶ Pollutant concentration maps and regions defining training and testing data
- ▶ Background: average concentrations of $PM_{2.5}$, NO_3 , NH_4 in 2017 [$\mu\text{g}/\text{m}^3$]

Experiments – Setting

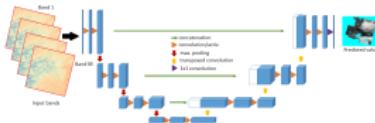


Data properties:

- ▶ Eliminated outliers beyond 1st and 99th quantile
- ▶ Training and testing cities show similar medians and distributions

Experiments – Setting

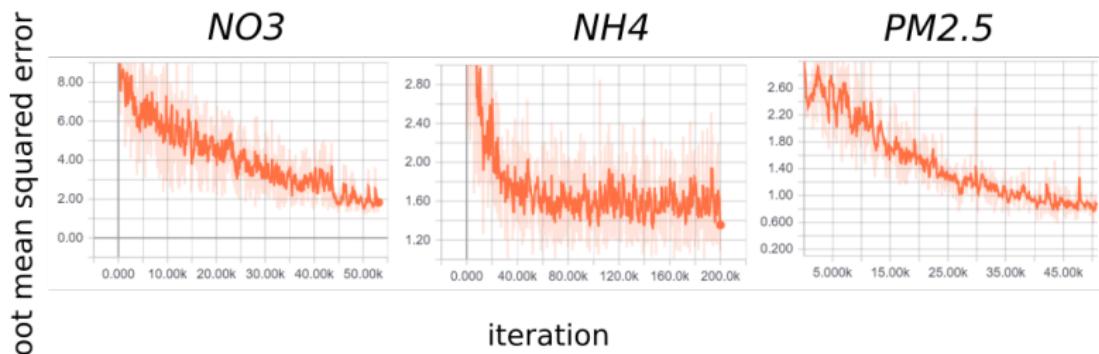
U-net set-up:



- ▶ **Optimization:** network trained separately for each pollutant – $PM_{2.5}$, NO_3 , NH_4 – by optimizing with ADAM over 500 epochs w. minibatch size of 15 and 100 internal iterations
- ▶ **Parameterization:** dropout ratio = 0.5, learning rate = 0.00005
- ▶ **Structure:** 3 layers, 32 feature maps at top level
- ▶ **Input:** 10/11 Landsat bands (high-resolution panchromatic dropped), 200x200 tiles
 - ▶ Save download time (4x size), no new information

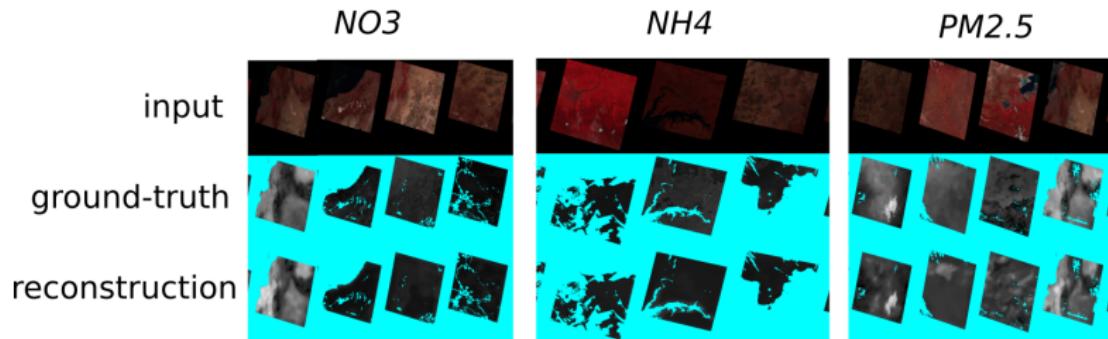
Experiments – 1. Sanity check with ground-truth

Convergence on training data



- ▶ Trained on 93 of 114 images from training cities
- ▶ Shown: Convergence of U-net performance to low predictive error indicated by root mean squared error loss function (y-axis) on training set *NO₃*, *NH₄*, and *PM_{2.5}* over iterations (x-axis)

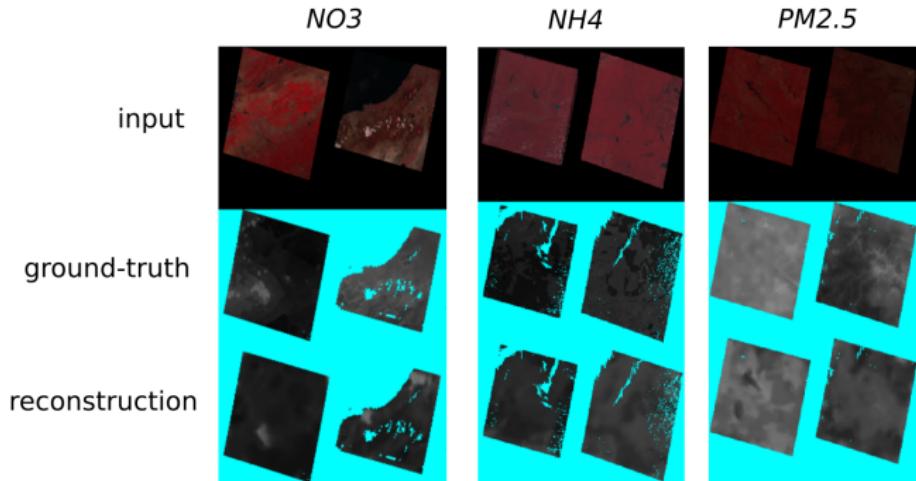
Experiments – 2. Generalize to temporally novel data



- ▶ Goal: Test on 23 previously unseen images from training cities
- ▶ Results: Mean absolute deviations: $NO_3 = 4.75$, $NH_4 = 2.24$, and $PM_{2.5} = 2.23 \mu\text{g}/\text{m}^3$
- ▶ Shown: Ex. of pollutant concentrations predicted by the U-Net for new temporal data at locations used during training for all pollutants

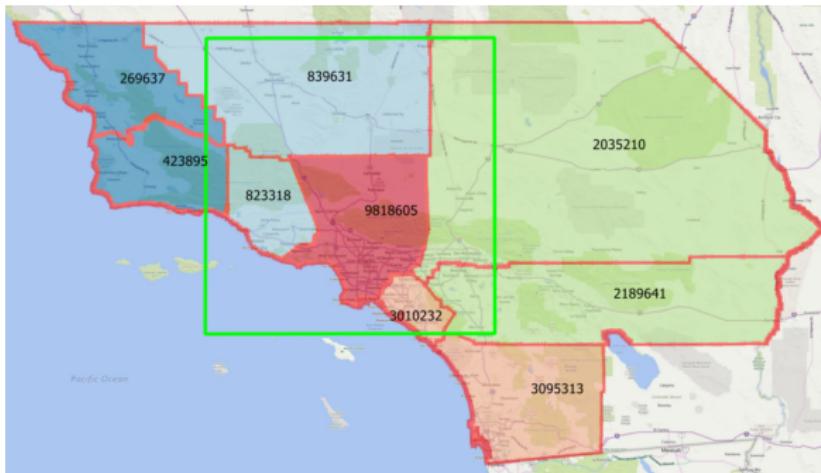
Experiments – 3. Cities with similar pollution profile

- ▶ Goal: Evaluate performance of predicting pollutant concentrations at cities not seen during training
- ▶ Results: Mean absolute deviations:
 $NO_3 = 2.46$, $NH_4 = 4.07$, $PM_{2.5} = 2.27 \mu\text{g}/\text{m}^3$
- ▶ Shown: Ex. of predicted concentrations of 20 unseen cities

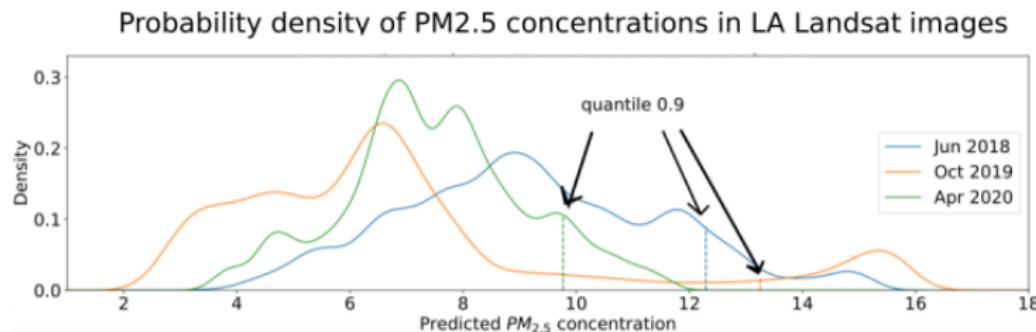
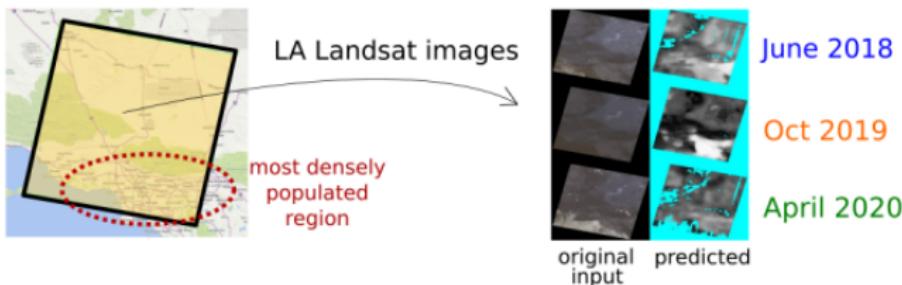


Experiments – 4. Predicting $PM_{2.5}$ for COVID-19

- ▶ Goal: Predict $PM_{2.5}$ concentration structure around Los Angeles before/during COVID-19 lockdown and analyze shift in concentration distributions – years 2018-2020
- ▶ **No ground-truth $PM_{2.5}$ concentrations for time or city**



Experiments – 4. Predicting $PM_{2.5}$ for COVID-19



Shown: Landsat images w. predicted $PM_{2.5}$ concentration maps and density of predicted $PM_{2.5}$ concentrations for before/during COVID-19 outbreak
→ Densely populated LA has high $PM_{2.5}$ concentrations – maps capture this

Summary

To **summer-ize**...



- ▶ U-net adapted to perform **dense regression** to **learn three pollutants**: NO_3 , NH_4 , and $PM_{2.5}$ from publicly available **satellite imagery**
- ▶ Shows **generalization capabilities** in both temporal and spatial domains on US cities and **to data without any ground-truth**
- ▶ Potential **predictive benefits** regarding *COVID-19* by inferring $PM_{2.5}$ concentrations, movement, and structure

Future/current:

- ▶ Multi-task learning - train a single network to **predict multiple pollutants simultaneously**
- ▶ Integrate **ground measurement stations**
- ▶ **Large-scale experiments**

Thanks!

Thanks for your attention! Questions?
Feedback or suggestions?

