### Rateless Erasure Codes

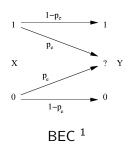
Karthik GVB, Fathima Zarin Faizal

Department of Electrical Engineering **IIT Bombay** 

- Motivation
- 2 LT Codes
- Raptor Codes
- Conclusion

## The BEC

## Consider the binary erasure channel:

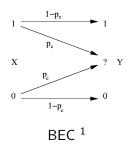


<sup>&</sup>lt;sup>1</sup>Image source: Wikipedia

## The BEC

Motivation •000

#### Consider the binary erasure channel:



For example, the Internet.

<sup>&</sup>lt;sup>1</sup>Image source: Wikipedia

## TCP/IP

Motivation 0000

• Do we really need a feedback channel?

## TCP/IP

Motivation 0000

• Do we really need a feedback channel? No.

- Do we really need a feedback channel? No.
- Sending Mars pics back to Earth using this?

- Do we really need a feedback channel? No.
- Sending Mars pics back to Earth using this? If you want to wait that long.

- Do we really need a feedback channel? No.
- Sending Mars pics back to Earth using this? If you want to wait that long.
- Broadcast channels?

- Do we really need a feedback channel? No.
- Sending Mars pics back to Earth using this? If you want to wait that long.
- Broadcast channels? Dead.

## TCP/IP

- Do we really need a feedback channel? No.
- Sending Mars pics back to Earth using this? If you want to wait that long.
- Broadcast channels? Dead.

This calls for some coding theory rescue.

Motivation 0000

What to expect from an erasure correcting code

## What to expect from an erasure correcting code

• Recover info despite lost bits

Motivation 0000

## What to expect from an erasure correcting code

- Recover info despite lost bits
- Correct as many erasures as possible

- Recover info despite lost bits
- Correct as many erasures as possible
- (Almost (?)) no feedback

## What to expect from an erasure correcting code

- Recover info despite lost bits
- Correct as many erasures as possible
- (Almost (?)) no feedback
- Fast algorithms

Consider (N, K) RS codes over  $F_{2^l}$ .

Consider (N, K) RS codes over  $F_{2^l}$ .

### **Advantages**

• Needs just K/N transmitted symbols

Consider (N, K) RS codes over  $F_{2^l}$ .

#### **Disadvantages**

Motivation 0000

Require small N, K, q

Consider (N, K) RS codes over  $F_{2^l}$ .

#### **Disadvantages**

Motivation ○○○●

- Require small N, K, q
- $K(N-K)\log_2 N$  packet operations

Consider (N, K) RS codes over  $F_{2^l}$ .

#### **Disadvantages**

- Require small N, K, q
- $K(N-K)\log_2 N$  packet operations
- Need to estimate p<sub>e</sub>

Consider (N, K) RS codes over  $F_{2^l}$ .

#### Disadvantages

- Require small N, K, q
- $K(N-K)\log_2 N$  packet operations
- Need to estimate p<sub>e</sub>

What if estimated  $p_e$  is lesser?

Consider (N, K) RS codes over  $F_{2^l}$ .

#### Disadvantages

Motivation

- Require small N, K, q
- $K(N-K)\log_2 N$  packet operations
- Need to estimate p<sub>e</sub>

What if estimated  $p_e$  is lesser?

Enter rateless erasure codes.

LT Codes



The Encoder  $^{\rm 2}$ 

Water drops  $\equiv$  encoded packets

Water drops  $\equiv$  encoded packets

• Source file:  $K\ell$  bits

Water drops  $\equiv$  encoded packets

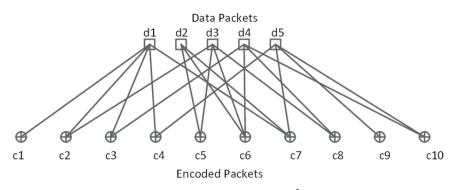
• Source file:  $K\ell$  bits

Water drop: ℓ encoded bits

#### Water drops $\equiv$ encoded packets

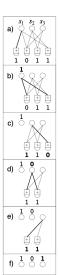
- Source file:  $K\ell$  bits
- Water drop: ℓ encoded bits
- Collect  $\approx K$  drops

### Fountain code encoder



The encoding process <sup>2</sup>

Complexity of decoding algorithm determined by #edges in this graph (Image source: [1])



• Linear map from  $F_{2^K} o F_{2^N}$ 

# $(K, \mathcal{D})$ fountain code

- Linear map from  $F_{2^K} o F_{2^N}$
- $\bullet \ x \in F_{2^K}, y \in F_{2^{\mathbb{N}}}$

# $(K, \mathcal{D})$ fountain code

- Linear map from  $F_{2^K} \to F_{2^N}$
- $x \in F_{2K}, y \in F_{2N}$
- ullet Coordinates are independent rvs generated using the dist.  ${\cal D}$

# $(K, \mathcal{D})$ fountain code

- Linear map from  $F_{2^K} \to F_{2^N}$
- $x \in F_{2^K}, y \in F_{2^N}$
- ullet Coordinates are independent rvs generated using the dist.  ${\cal D}$

To generate an output symbol  $y_i$ :

- Linear map from  $F_{2^K} \to F_{2^N}$
- $x \in F_{2K}, y \in F_{2N}$
- ullet Coordinates are independent rvs generated using the dist.  ${\cal D}$

#### To generate an output symbol $y_i$ :

**1** Sample  $\mathcal{D}$  to obtain a weight w from 1 to K

- Linear map from  $F_{2K} \to F_{2N}$
- $x \in F_{2K}, y \in F_{2N}$
- ullet Coordinates are independent rvs generated using the dist.  ${\cal D}$

#### To generate an output symbol $y_i$ :

- **1** Sample  $\mathcal{D}$  to obtain a weight w from 1 to K
- $v \in F_{2K}$  of weight w is chosen uniformly at random

- Linear map from  $F_{2K} \to F_{2N}$
- $x \in F_{2K}, y \in F_{2N}$
- ullet Coordinates are independent rvs generated using the dist.  ${\cal D}$

#### To generate an output symbol $y_i$ :

- Sample  $\mathcal{D}$  to obtain a weight w from 1 to K
- $v \in F_{2K}$  of weight w is chosen uniformly at random
- $y_i = \sum_i v_j x_j$

# Setting up the problem

 A reliable decoding algorithm of length N for a Fountain code is an algorithm which can recover the K input symbols from any set of output symbols and errs with a probability that is at most 1/K

# Setting up the problem

- A reliable decoding algorithm of length N for a Fountain code is an algorithm which can recover the K input symbols from any set of output symbols and errs with a probability that is at most 1/K
- **Cost** = Expected #arithmetic operations

# Setting up the problem

- A reliable decoding algorithm of length N for a Fountain code is an algorithm which can recover the K input symbols from any set of output symbols and errs with a probability that is at most 1/K
- **Cost** = Expected #arithmetic operations

**Design objective:**  $\mathcal{D}$  should enable simple linear time decoding of  $\{x_1, \ldots, x_K\}$  as soon as any  $K(1 + \epsilon)$  of y-s are received.

#### Proposition

If an LT-Code with K input symbols has a reliable decoding algorithm, then there is a constant c such that the associated graph has at least cKIn(K) edges.

#### Proposition

If an LT-Code with K input symbols has a reliable decoding algorithm, then there is a constant c such that the associated graph has at least cKIn(K) edges.

Proof: By reliable decoding, we mean that error probability is at most  $1/K^{u}(u \text{ is some constant})$ 

## Proposition

If an LT-Code with K input symbols has a reliable decoding algorithm, then there is a constant c such that the associated graph has at least cKIn(K) edges.

<u>Proof</u>: By reliable decoding, we mean that error probability is at most  $1/K^{u}(u \text{ is some constant})$ 

Let the LT-Code has the degree distribution  $\rho(d)$  and let  $\mathcal{G}$  denotes decoding graph(a bipartite graph with K input nodes and N output nodes).

#### (Continuation)

Consider an output node and degree d is chosen, then for any input node  $\nu$  in  $\mathcal{G}$ , the probability that the input node  $\nu$  is not a neighbour of the output node is 1 - d/K

#### (Continuation)

Consider an output node and degree d is chosen, then for any input node  $\nu$  in  $\mathcal{G}$ , the probability that the input node  $\nu$  is not a neighbour of the output node is 1 - d/K

In general, the probability that the input node  $\nu$  is not a neighbour of an output node is

$$\sum_{d} \rho(d) \cdot (1 - d/K) = 1 - a/K$$

## (Continuation)

Consider an output node and degree d is chosen, then for any input node  $\nu$  in  $\mathcal{G}$ , the probability that the input node  $\nu$  is not a neighbour of the output node is 1 - d/K

In general, the probability that the input node  $\nu$  is not a neighbour of an output node is

$$\sum_{d} \rho(d) \cdot (1 - d/K) = 1 - a/K$$

where a is the average degree of an output node, and so the probability that  $\nu$  is not a neighbour any of the output nodes is  $(1 - a/K)^N$ .

#### (Continuation)

Consider an output node and degree d is chosen, then for any input node  $\nu$  in  $\mathcal G$ , the probability that the input node  $\nu$  is not a neighbour of the output node is 1-d/K

In general, the probability that the input node  $\boldsymbol{\nu}$  is not a neighbour of an output node is

$$\sum_{d} \rho(d) \cdot (1 - d/K) = 1 - a/K$$

where a is the average degree of an output node, and so the probability that  $\nu$  is not a neighbour any of the output nodes is  $(1 - a/K)^N$ . By Taylor expansion of ln(1-x) gives,

$$ln(1-a/K) \ge (a/K)/(1-a/K) \implies (1-a/K)^N \ge e^{-\alpha/(1-\alpha/n)}$$

where  $\alpha = aN/K$ 



## (Continuation)

Now,  $(1 - a/K)^N \le 1/K^u$  as probability of error is lower bounded by the probability that there is an uncovered node,

#### (Continuation)

Now,  $(1 - a/K)^N \le 1/K^u$  as probability of error is lower bounded by the probability that there is an uncovered node.

$$e^{-\alpha/(1-\alpha/n)} \le 1/K^{u}$$

$$\implies \alpha \ge \ln(K) \frac{u}{1 + u \ln(K)/N}$$

$$\ge c \ln(K)$$

where 
$$c = u/(log(2)(1 + uln(3)/3))$$

#### (Continuation)

Now,  $(1 - a/K)^N \le 1/K^u$  as probability of error is lower bounded by the probability that there is an uncovered node,

$$e^{-\alpha/(1-\alpha/n)} \le 1/K^{u}$$

$$\implies \alpha \ge \ln(K) \frac{u}{1 + u \ln(K)/N}$$

$$\ge c \ln(K)$$

where 
$$c = u/(log(2)(1 + uln(3)/3))$$

$$aN \ge cKlog(K)$$

aN is the average number of edges in the graph  $\mathcal{G}$ .

Ideal Soliton distribution

$$\rho(d)$$
 for  $d = \{1, 2, \dots, K\}$ 

- $\rho(1) = 1/K$
- For all  $i = 2, \dots, K$ ,  $\rho(i) = 1/i(i-1)$ .

Ideal Soliton distribution

$$\rho(d)$$
 for  $d = \{1, 2, \dots, K\}$ 

- $\rho(1) = 1/K$
- For all  $i = 2, \dots, K$ ,  $\rho(i) = 1/i(i-1)$ .
- Average degree  $\approx ln(K)$  which implies that the sum of degrees of K encoding symbols is on average Kln(K)

Ideal Soliton distribution

$$\rho(d)$$
 for  $d = \{1, 2, \dots, K\}$ 

- $\rho(1) = 1/K$
- For all  $i = 2, \dots, K$ ,  $\rho(i) = 1/i(i-1)$ .
- Average degree  $\approx ln(K)$  which implies that the sum of degrees of K encoding symbols is on average Kln(K)
- Is good only in an expected sense

Robust Soliton distribution

$$\mu(\cdot)$$
, Let  $R = cln(K/\delta)\sqrt{K}$ , Define  $\tau(\cdot)$  as follows,

$$\tau(i) = \begin{cases} R/ik & \text{for } i = 1, \cdots, K/R - 1\\ Rln(R/\delta)/K & \text{for } i = K/R\\ 0 & \text{for } i = K/R + 1, \cdots, K \end{cases}$$

Add the Ideal Soliton distribution  $\rho(\cdot)$  to  $\tau(\cdot)$  and normalize to obtain  $\mu(\cdot)$ :

• 
$$\beta = \sum_{i=1}^{K} \rho(i) + \tau(i)$$

Robust Soliton distribution

$$\mu(\cdot)$$
, Let  $R = cln(K/\delta)\sqrt{K}$ , Define  $\tau(\cdot)$  as follows,

$$\tau(i) = \begin{cases} R/ik & \text{for } i = 1, \cdots, K/R - 1 \\ Rln(R/\delta)/K & \text{for } i = K/R \\ 0 & \text{for } i = K/R + 1, \cdots, K \end{cases}$$

Add the Ideal Soliton distribution  $\rho(\cdot)$  to  $\tau(\cdot)$  and normalize to obtain  $\mu(\cdot)$ :

- $\beta = \sum_{i=1}^{K} \rho(i) + \tau(i)$
- For all  $i = 1, \dots, K$ ,  $\mu(i) = (\rho(i) + \tau(i))/\beta$

Robust Soliton distribution

$$\mu(\cdot)$$
, Let  $R = cln(K/\delta)\sqrt{K}$ , Define  $\tau(\cdot)$  as follows,

$$\tau(i) = \begin{cases} R/ik & \text{for } i = 1, \cdots, K/R - 1\\ Rln(R/\delta)/K & \text{for } i = K/R\\ 0 & \text{for } i = K/R + 1, \cdots, K \end{cases}$$

Add the Ideal Soliton distribution  $\rho(\cdot)$  to  $\tau(\cdot)$  and normalize to obtain  $\mu(\cdot)$ :

- $\bullet \ \beta = \sum_{i=1}^{K} \rho(i) + \tau(i)$
- For all  $i=1,\cdots,K,\ \mu(i)=(\rho(i)+\tau(i))/\beta$
- ullet  $\delta$  is the allowable failure probability
- Average degree  $\approx ln(K/\delta)$



• LT codes have complexity at least K ln K

- LT codes have complexity at least K ln K
- Can we recover all data in linear time from  $\mathcal{O}(K)$  code symbols?

- LT codes have complexity at least K ln K
- Can we recover all data in linear time from  $\mathcal{O}(K)$  code symbols? No, as this is a probabilistic model

- LT codes have complexity at least K ln K
- Can we recover all data in linear time from  $\mathcal{O}(K)$  code symbols? No, as this is a probabilistic model But can recover a large fraction! How much?

Raptor Codes o●ooo

## The problem with LT codes

Essentially T balls (edges) thrown into K bins (i/p symbols) independently and uniformly

## The problem with LT codes

Essentially T balls (edges) thrown into K bins (i/p symbols) independently and uniformly Prob. of an empty bin  $= \left(1 - \frac{1}{K}\right)^T \approx e^{-T/K}$ 

## The problem with LT codes

Essentially T balls (edges) thrown into K bins (i/p symbols) independently and uniformly Prob. of an empty bin  $= \left(1 - \frac{1}{\kappa}\right)^T \approx e^{-T/K}$ Expected fraction not covered =  $Ke^{-T/K}/K = e^{-T/K}$ 

## The problem with LT codes

Essentially T balls (edges) thrown into K bins (i/p symbols) independently and uniformly Prob. of an empty bin =  $(1 - \frac{1}{\kappa})^T \approx e^{-T/K}$ Expected fraction not covered =  $Ke^{-T/K}/K = e^{-T/K}$ Hence can recover a large fraction of the data!

Raptor codes: An easy fix

# Raptor codes: An easy fix

• Use weaker LT code with small average degree  $\overline{d}$ 

# Raptor codes: An easy fix

- Use weaker LT code with small average degree  $\overline{d}$
- Expected unrecoverable fraction  $f \approx e^{-d}$

# Raptor codes: An easy fix

- Use weaker LT code with small average degree  $\overline{d}$
- Expected unrecoverable fraction  $f \approx e^{-\overline{d}}$
- Use  $(\frac{K}{1-f}, K)$  erasure correcting block code as outer code

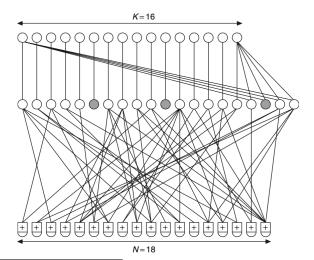
# Raptor codes: An easy fix

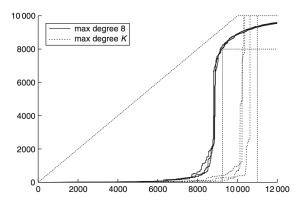
- Use weaker LT code with small average degree  $\overline{d}$
- Expected unrecoverable fraction  $f \approx e^{-\overline{d}}$
- Use  $(\frac{K}{1-f}, K)$  erasure correcting block code as outer code

The outer code just needs to be able to correct erasures with an erasure rate of f

Raptor Codes 00000

# Raptor codes: An example





#packets decoded vs #received packets 4

# Summary

## Summary

Performance of fountain codes on BEC ≫ block codes, TCP/IP

- Performance of fountain codes on BEC ≫ block codes, TCP/IP
- Useful in storage and broadcast applications

## References

- [1] D.J.C. MacKay.
  - Fountain Codes

IEE Proceedings online no. 20050237, 2005.

- [2] Michael Luby.
  - LT Codes.

The 43rd Annual IEEE Symposium on Foundations of Computer Science, 2002. Proceedings., 54:271–282, 2002.

- [3] Emina Soljanin.
  - Raptor codes: From a math idea to LTE eMBMS. 2015.
- [4] Amin Shokrollahi.
  - Raptor Codes.

IEEE Transactions on Inforamtion Theory, 52(6), 2006.

# Thank you