

# CENG 477

# Introduction to Computer Graphics

Ray Tracing: Shading

# Last Week

- Until now we learned:
  - How to create the primary rays from the given camera and image plane parameters
  - How to intersect these rays with various objects:
    - Planes
    - Spheres
    - Triangles

# Algorithm So Far

**foreach** pixel  $s$ :

    compute the viewing ray  $r$  (from  $e$  to  $s$ )

$t_{min} = \infty$ ,  $obj = NULL$

**foreach** object  $o$ :

**if**  $r$  intersects  $o$ :

**if**  $t < t_{min}$ :

$t_{min} = t$ ,  $obj = o$

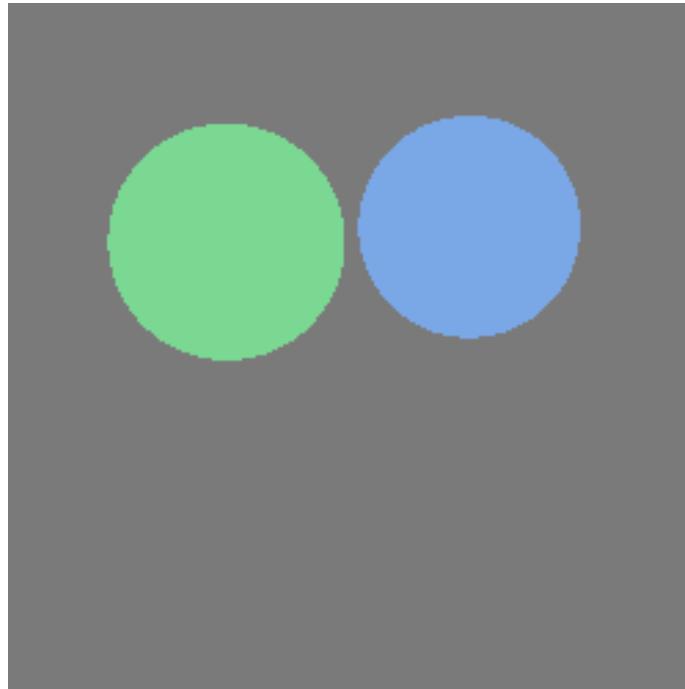
**if**  $obj$  not  $NULL$ :

        pixel color = color of  $obj$

**else**

        pixel color = color of background

# Image So Far



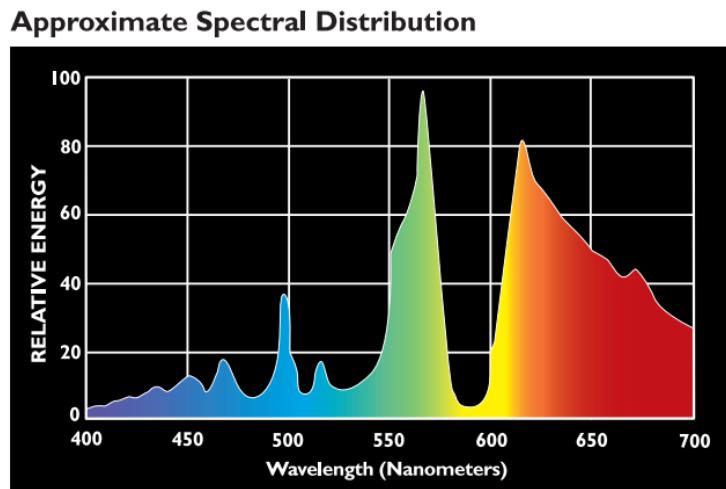
- Two spheres with different colors and a gray background
- **So much work for such a bad image!**

# Image So Far

- The image looks bad because we did not use a realistic **shading** algorithm
  - We simply set all surface points to the same color
- 
- Two spheres with different colors and a gray background
  - **So much work for such a bad image!**

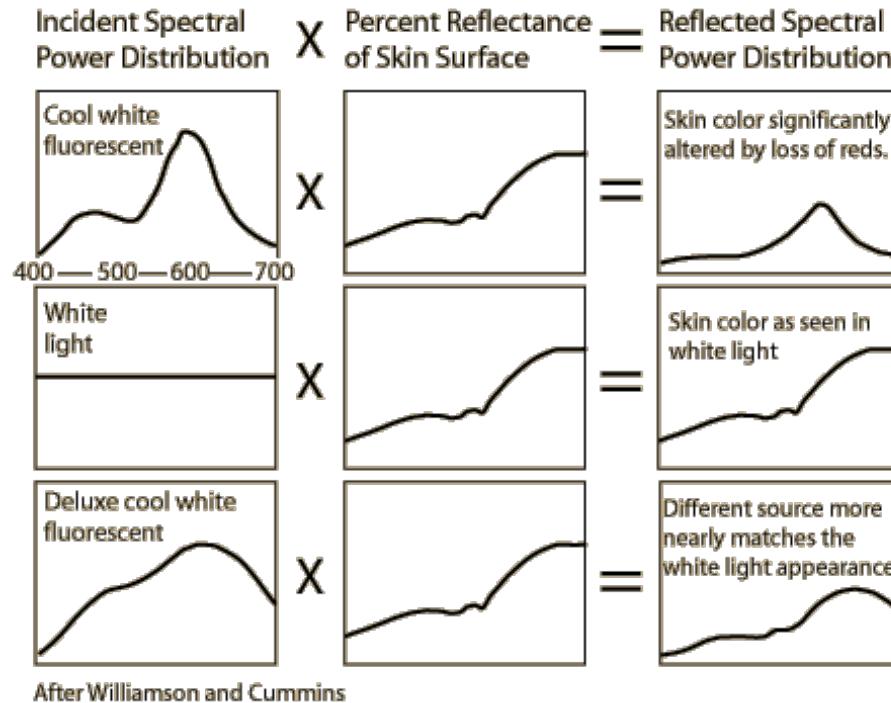
# Reflectance and Power

- We assumed that each object has a color
- This is not how it is in reality
- Each object has a **reflectance distribution**
- Each light source has a **power distribution**
- Normally, both are functions of wavelength:



From forums.gardenweb.com

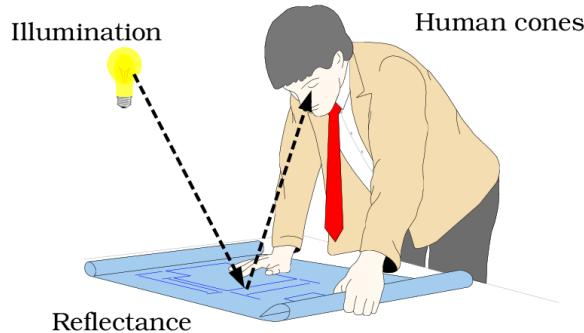
# Reflectance and Power



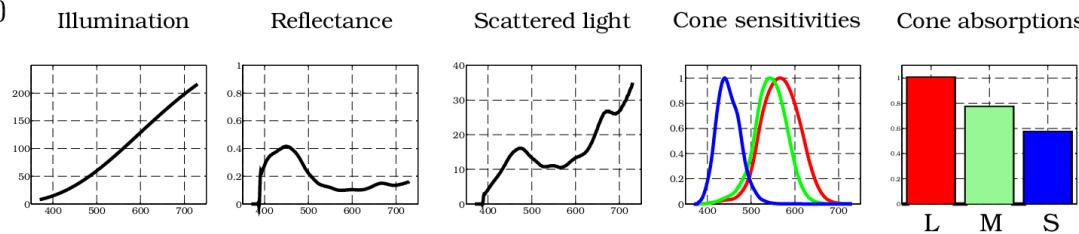
- The object color occurs as a result of their interaction
- Same object can appear different under different lights

# Reflectance, Power, Cones

(a)



(b)



[foundationsofvision.stanford.edu](http://foundationsofvision.stanford.edu)

- Color is perceived due to the interaction of this reflected light by our cone pigments

# Spectral Ray Tracing

- Accurately modeling this process requires us to represent all objects and light sources using spectral (i.e. wavelength-based) distributions
- Some ray-tracers do this:
  - Indigo renderer (<http://www.indigorenderer.com/>)
  - Lux renderer (<http://www.luxrender.net/>)
  - Mental ray (<http://www.nvidia-arc.com/products/nvidia-mental-ray.html>)
  - ...

# RGB Model

- We will make a simplifying assumption and represent all objects and light source with **three components**
- Reflectance:
  - $R_r$ : How much **red** light it reflects, a value between [0,1]
  - $R_g$ : How much **green** light it reflects, a value between [0,1]
  - $R_b$ : How much **blue** light it reflects, a value between [0,1]
- Power:
  - $I_r$ : How much **red** light it emits, a value between  $[0, \infty)$
  - $I_g$ : How much **green** light it emits, a value between  $[0, \infty)$
  - $I_b$ : How much **blue** light it emits, a value between  $[0, \infty)$

# Terms

- The following terms are important when talking about light-surface interaction:
  - Power
  - Intensity
  - Radiance
  - Irradiance

# Power

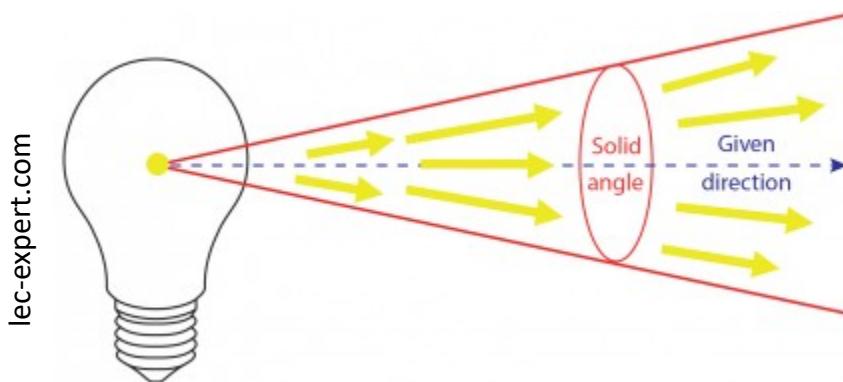
- Power is the time-rate of energy
- It measures how much energy (i.e. in Joules) a light source emits in all possible directions per second
- Power is measured in Watts ( $W = J/s$ )
- Power ( $P$ ) is also known as flux ( $\Phi$ )

$$P = \frac{dQ}{dt}$$

The diagram illustrates the components of the power equation. The equation is  $P = \frac{dQ}{dt}$ . A red arrow points from the term  $dQ$  to the word "Energy". Another red arrow points from the term  $dt$  to the word "time".

# Intensity

- Intensity is defined as power per solid angle
- Typically defined for point light sources and measured in W/sr



$$I = \frac{dP}{dw}$$

Power  
Solid angle

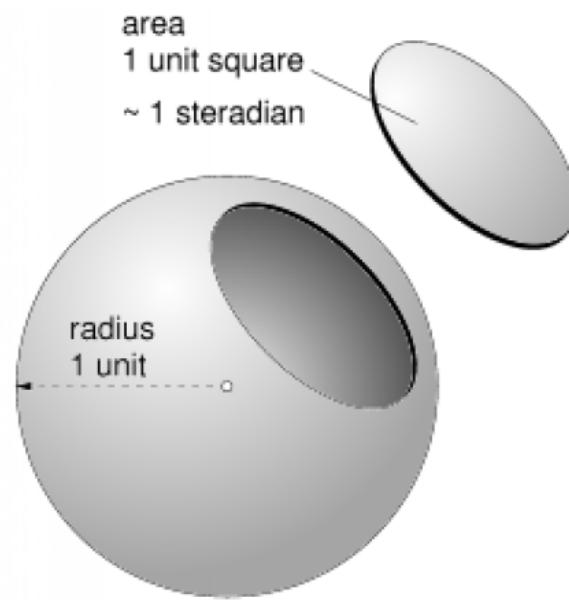
# Intensity

- Intensity is defined as power per solid angle
- Typically defined for point light sources and measured in W/sr

- Here **sr** stands for **steradians** – 3D version of an angle (called solid angle)
- Max steradians is  $4\pi$  just like a max 2D angle is  $2\pi$

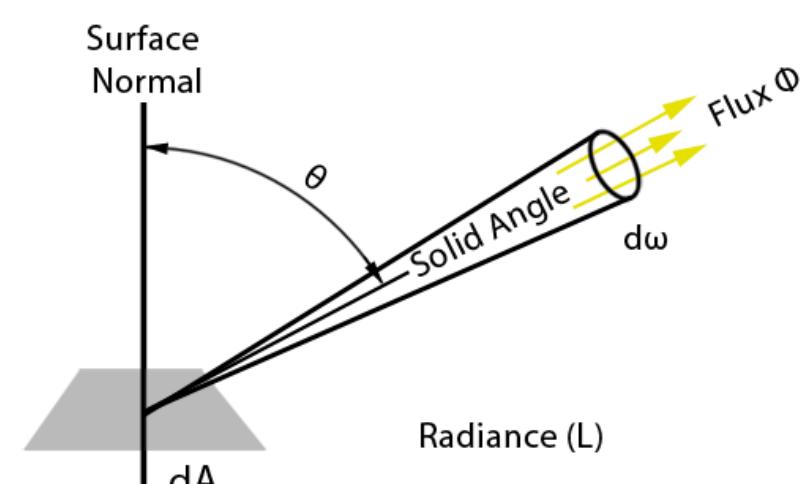
# Solid Angle

- Solid angle is the **3D generalization** of the **angle**
- It corresponds to a **surface area** on a **unit sphere**
  - similar to angle corresponding to a length on a unit circle
  - measured in **steradians**



# Radiance

- Radiance is defined as power per solid angle per projected area
- Measured in Watts per steradian per meter squared (W/sr/m<sup>2</sup>)



© www.scratchapixel.com

$$L = \frac{dP}{dwdA\cos\theta} = \frac{dI}{dA\cos\theta}$$

Intensity  
Projected area

# Radiance

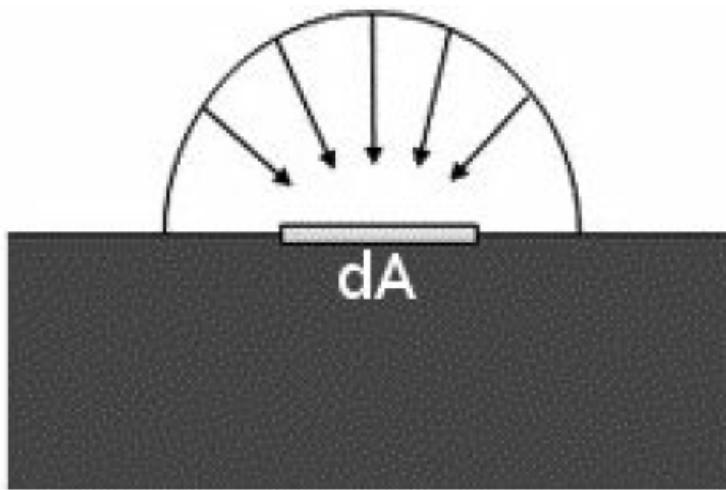
- Radiance is the most important unit in ray tracing
- Radiance of a ray does not change as the ray travels in empty space

## The Goal of Ray Tracing

Compute the radiance along each viewing ray

# Irradiance

- Irradiance measures the total incident power per unit area
- Measured in W/m<sup>2</sup>

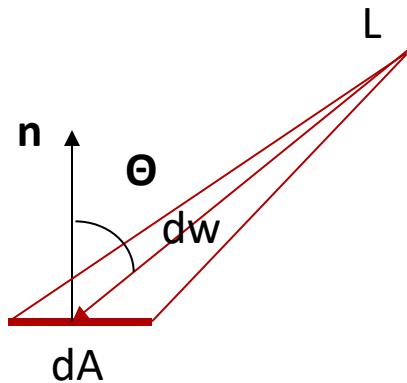


$$E = \frac{dP}{dA}$$

Power  
Area

# Irradiance from Radiance

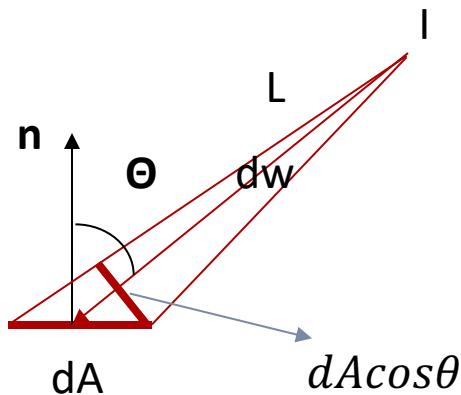
- Irradiance can be computed from radiance:



$$dE = \frac{dP}{dA} = L dw \cos\theta$$

# Radiance from Intensity

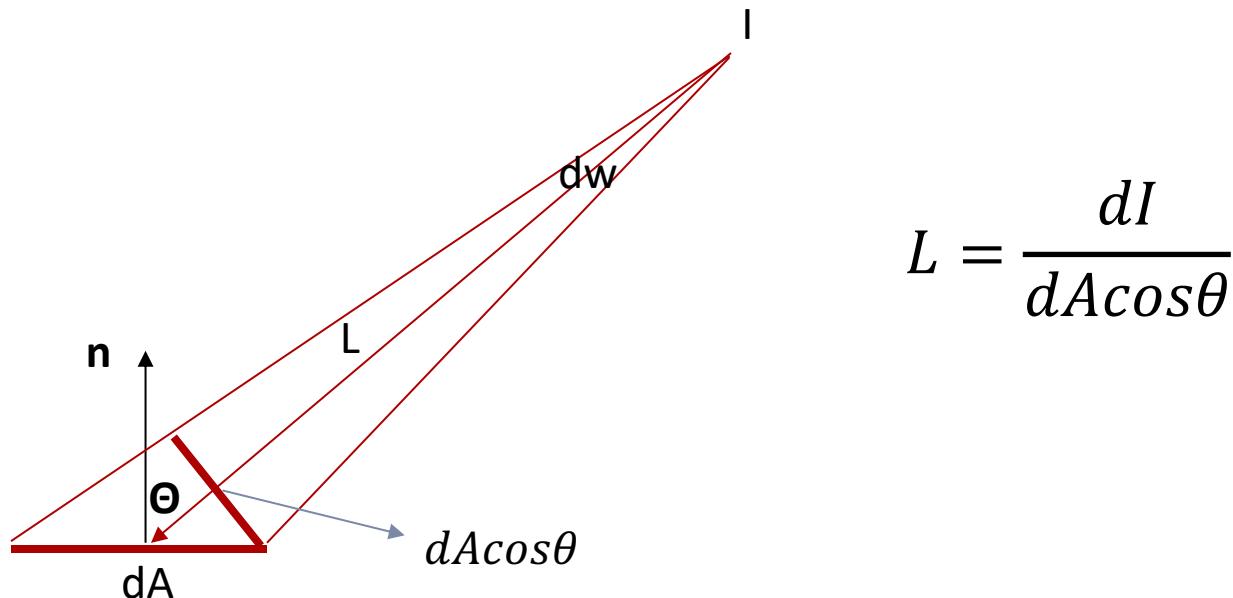
- Finally, radiance can be computed from intensity:



$$L = \frac{dI}{dA \cos \theta}$$

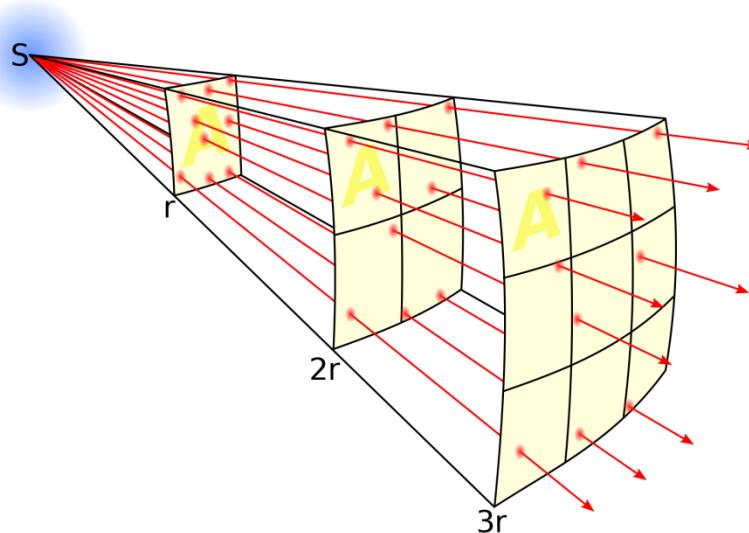
# Radiance from Intensity

- Now, imagine that  $dA$  was further away
- The same intensity would be spread over a larger area
- This relationship is governed by the inverse square law



# Inverse Square Law

- The irradiance emitted by a point light source is reduced by the square of the distance from the source



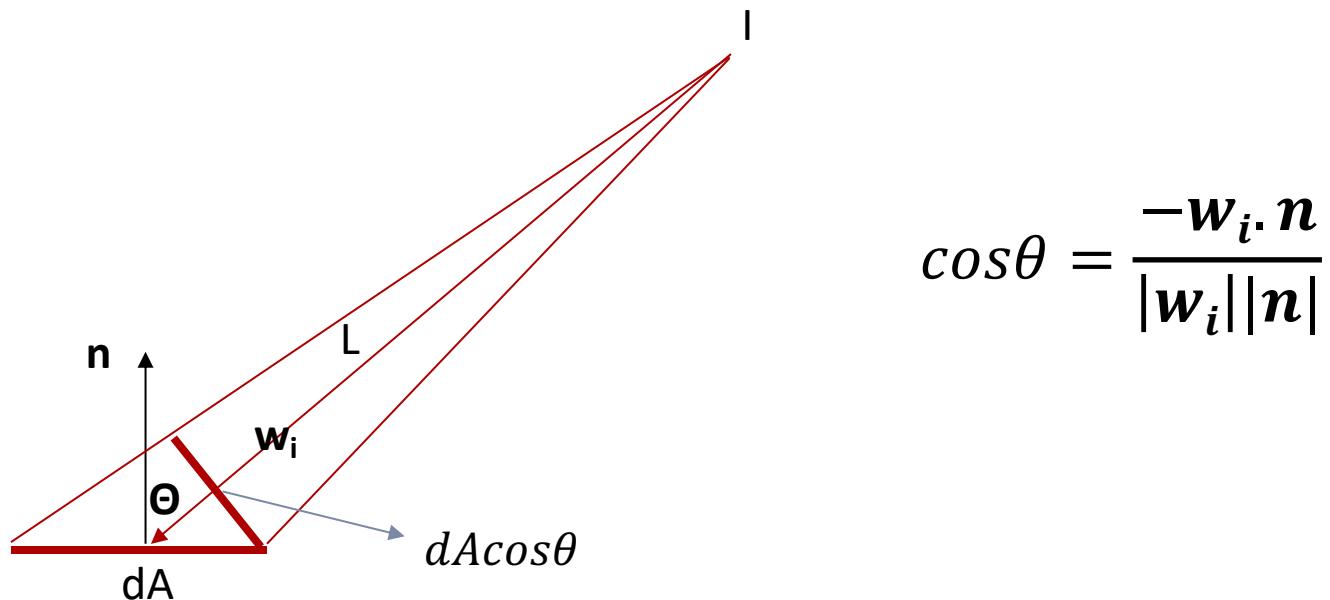
# Inverse Square Law

- Objects further away from the light source appear dimmer as a result
- This is why the **distance** of the stars can be judged by their **brightness**



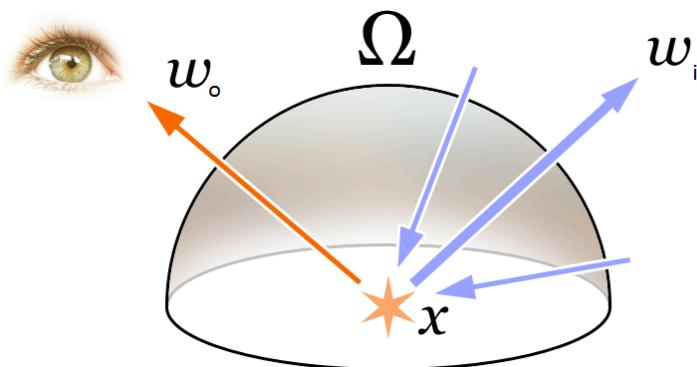
# The Cosine Law

- The **cosine law** states that a surface can receive (or emit) radiation only in proportion to its area **projected** in the direction of the light:



# Rendering Equation

- Putting together all these concepts gives us an equation known as the rendering equation
- It models how much light arriving from an incoming direction ( $w_i$ ) is reflected toward an outgoing direction ( $w_o$ )



# Rendering Equation

$$L_o(\mathbf{x}, \mathbf{w}_o) = L_e(\mathbf{x}, \mathbf{w}_o) + \int_{\Omega} f(\mathbf{x}, \mathbf{w}_o, \mathbf{w}_i) L_i(\mathbf{x}, \mathbf{w}_i) dw_i \cos\theta$$

Integration over hemisphere

Perpendicularly received irradiance

Outgoing radiance

Emitted radiance

Reflectance Function (BRDF)

Incoming radiance

Differential solid angle

Area correction factor

The diagram illustrates the rendering equation with various components labeled by red arrows:

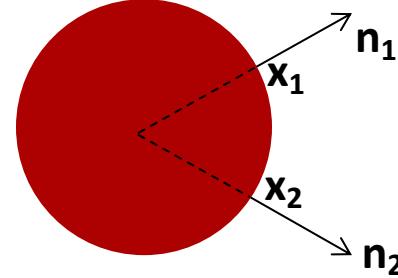
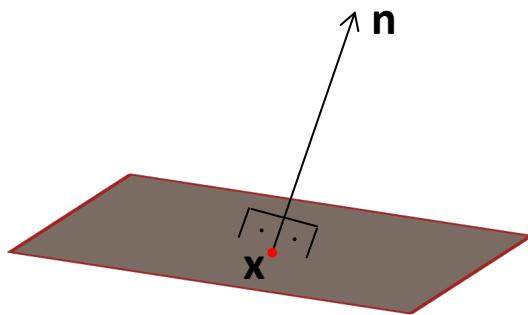
- Outgoing radiance**: Points to the first term  $L_o(\mathbf{x}, \mathbf{w}_o)$ .
- Emitted radiance**: Points to the second term  $L_e(\mathbf{x}, \mathbf{w}_o)$ .
- Reflectance Function (BRDF)**: Points to the function  $f(\mathbf{x}, \mathbf{w}_o, \mathbf{w}_i)$ .
- Integration over hemisphere**: Points to the integral symbol  $\int_{\Omega}$ .
- Incoming radiance**: Points to the term  $L_i(\mathbf{x}, \mathbf{w}_i)$ .
- Differential solid angle**: Points to the differential  $dw_i$ .
- Area correction factor**: Points to the cosine term  $\cos\theta$ .
- Perpendicularly received irradiance**: Points to the entire integral expression  $\int_{\Omega} f(\mathbf{x}, \mathbf{w}_o, \mathbf{w}_i) L_i(\mathbf{x}, \mathbf{w}_i) dw_i \cos\theta$ .

# Rendering Equation

- Too costly to evaluate
- For each surface point  $x$  we need to integrate over the entire hemisphere surrounding  $x$
- Simplification:
  - Exclude all directions except light sources
  - Add an ambient term to simulate what was excluded

# Surface Normals (Reminder)

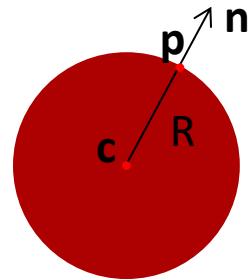
- A **surface normal** is a unit vector which is **orthogonal** to the surface and points **outward** from the surface



# Surface Normals (Reminder)

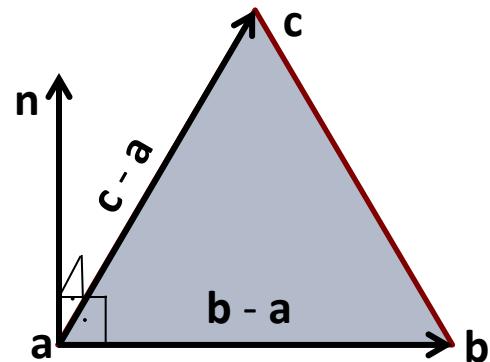
- Depends on the type of the object:
  - For a **plane**, surface normal is given so you don't have to do anything (except to normalize it if it isn't normalized).
  - For a **sphere**, compute:

$$\mathbf{n} = \frac{\mathbf{p} - \mathbf{c}}{R}$$



- For a **triangle**, compute cross product of two edge vectors:

$$\mathbf{n} = \frac{(\mathbf{b} - \mathbf{a}) \times (\mathbf{c} - \mathbf{a})}{\|(\mathbf{b} - \mathbf{a}) \times (\mathbf{c} - \mathbf{a})\|}$$



# Shading Models

- We will approximate the rendering equation as a sum of three simple shading models:
  - Diffuse shading
  - Specular shading
  - Ambient shading
- All models are based on a modified rendering equation:

$$L_o(\mathbf{x}, \mathbf{w}_o) = \sum_{i=1}^l s(\mathbf{x}, \mathbf{w}_o, \mathbf{w}_i) E_i(\mathbf{x}, \mathbf{w}_i)$$

Number of lights

Outgoing radiance

Surface reflectance

Perpendicularly received irradiance

The diagram illustrates the rendering equation  $L_o(\mathbf{x}, \mathbf{w}_o) = \sum_{i=1}^l s(\mathbf{x}, \mathbf{w}_o, \mathbf{w}_i) E_i(\mathbf{x}, \mathbf{w}_i)$ . It features red arrows pointing from labels to specific parts of the equation. One arrow points from 'Number of lights' to the summation index  $i=1$ . Another arrow points from 'Outgoing radiance' to the function  $s$ . A third arrow points from 'Surface reflectance' to the variable  $\mathbf{w}_i$ . A fourth arrow points from 'Perpendicularly received irradiance' to the function  $E_i$ .

# Shading Models

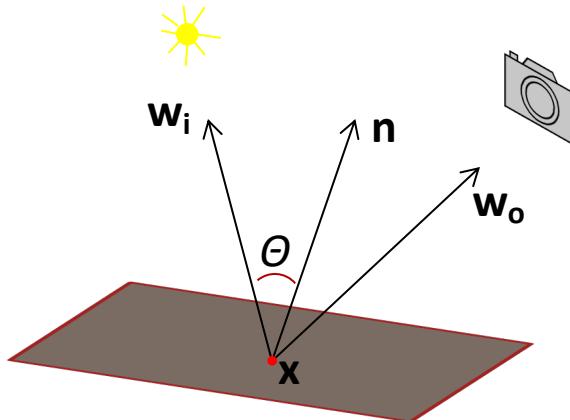
- Compare the surface shading equation with the rendering equation

$$L_o(x, w_o) = \sum_{i=1}^l s(x, w_o, w_i) E_i(x, w_i)$$
$$L_o(x, w_o) = L_e(x, w_o) + \int_{\Omega} f(x, w_o, w_i) \cos\theta L_i(x, w_i) dw$$

The diagram illustrates the relationship between the two equations. It features two main equations side-by-side. The top equation is a summation of terms, each consisting of a surface shading function  $s$  evaluated at position  $x$  and viewing direction  $w_o$ , multiplied by an environment light intensity  $E_i$  evaluated at the same position and direction. The bottom equation is the rendering equation, which includes an emitted light component  $L_e$  and an integral term. This integral term represents the sum of all reflected lights from the hemisphere  $\Omega$ , weighted by the shading function  $f$  (which includes the cosine of the angle between the surface normal and the light direction), the light intensity  $L_i$ , and the differential solid angle  $dw$ . Blue and red brackets are used to group the terms being compared: the blue bracket groups the shading function and environment light product from the top equation, and the red bracket groups the shading function, light intensity, and differential solid angle product from the bottom equation. Arrows point from these brackets to the corresponding terms in the bottom equation.

# Diffuse Shading

- Simulates the phenomenon that a surface can receive radiation only in proportion to its area **projected** in the direction of the light:



$$L_o^d(x, wo) = k_d \cos\theta' E_i(x, wi)$$

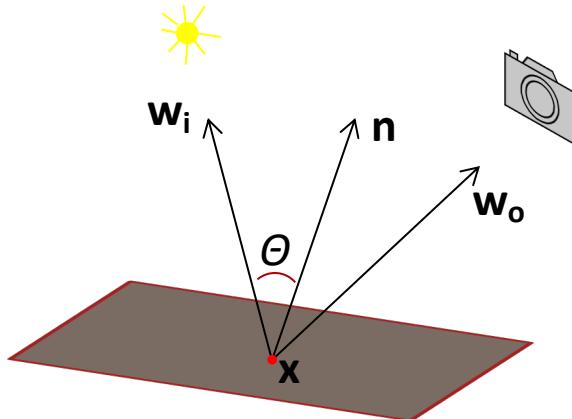
↓      ↓      ↓

Outgoing  
radiance      Diffuse  
reflectance  
coefficient      Perpendicularly  
received  
irradiance

$$\cos\theta' = \max(0, \mathbf{w}_i \cdot \mathbf{n})$$

# Diffuse Shading

- Note that a diffuse surface reflects **equal radiance** in all directions (looks equally bright from all viewing directions)
- Such a surface is called a **Lambertian** surface (1760)



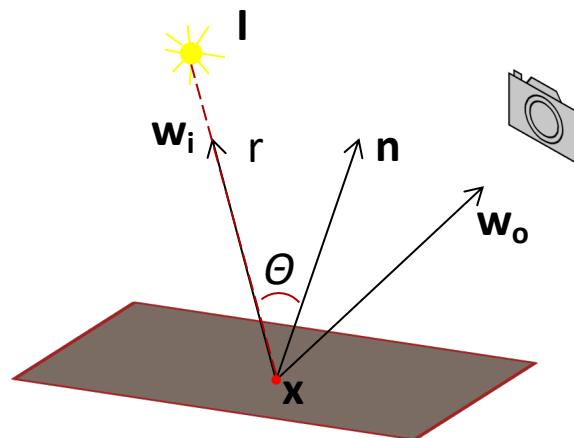
$$L_o^d(x, wo) = k_d \cos\theta' E_i(x, wi)$$

↓                    ↓                    ↓  
Outgoing      Diffuse      Received  
radiance      reflectance      irradiance  
coefficient

$$\cos\theta' = \max(0, \mathbf{w}_i \cdot \mathbf{n})$$

# Diffuse Shading

- Also, for a point light source, we must compute irradiance at a distance  $r$  from the light source: **inverse square law**
- This improves realism



$$L_o^d(x, w_o) = k_d \cos\theta' \frac{I}{r^2}$$

Light intensity

Outgoing radiance

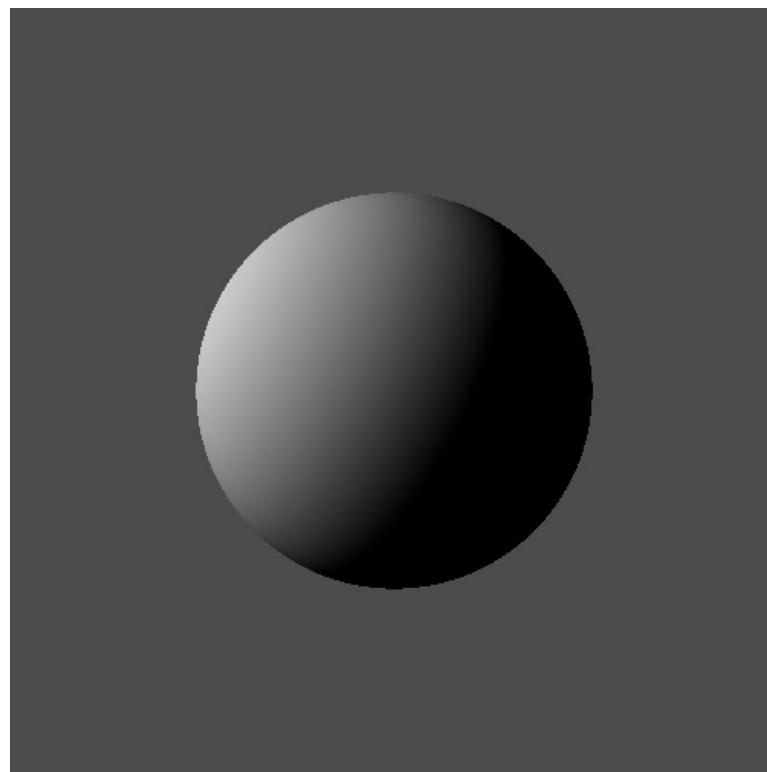
Diffuse reflectance coefficient

Light distance

$$\cos\theta' = \max(0, \mathbf{w}_i \cdot \mathbf{n})$$

# Diffuse Shading Example

- A sphere shaded by diffuse shading:



[directxtutorial.com](http://directxtutorial.com)

# Ambient Shading

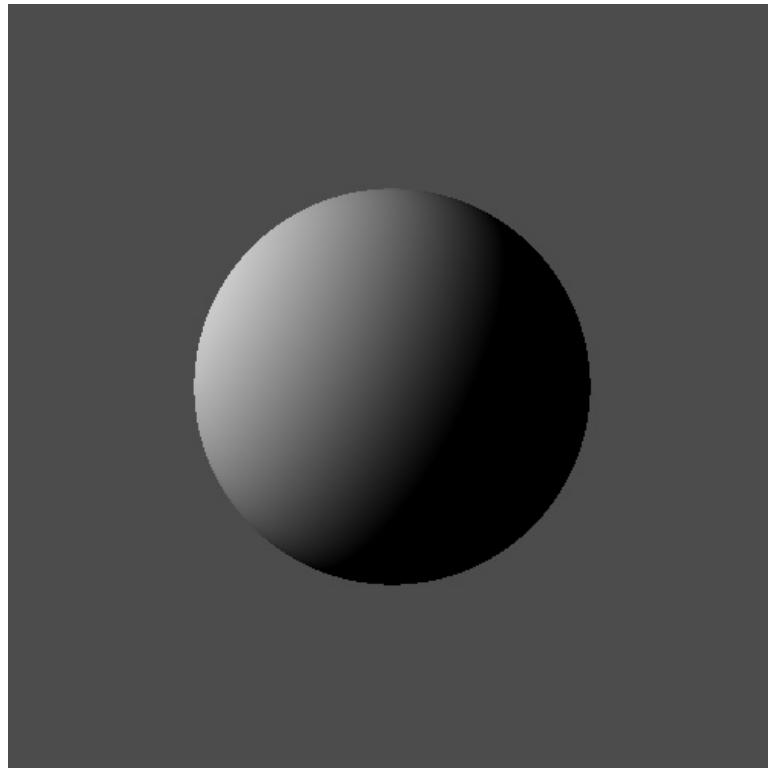
- With diffuse shading parts of the sphere that do not face the light source are all **black**
- Ambient shading is used as a very crude approximate of the integral in rendering equation:

$$L_o^a(x, \omega) = k_a I_a$$

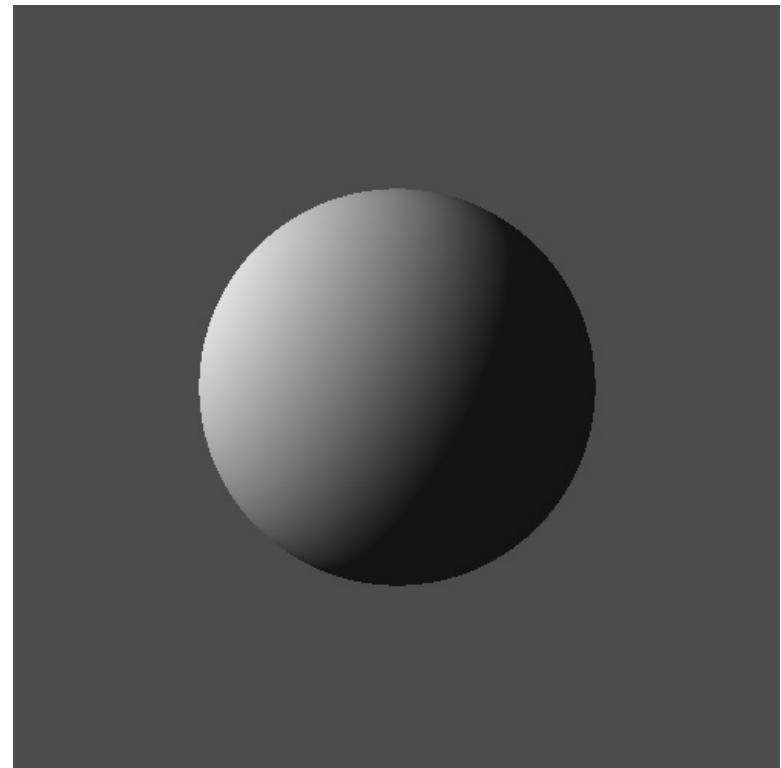
The diagram illustrates the components of the ambient shading equation. The equation is  $L_o^a(x, \omega) = k_a I_a$ . Three red arrows point from labels below the equation to its terms:

- An arrow points from "Outgoing radiance" to  $L_o^a(x, \omega)$ .
- An arrow points from "Ambient reflectance coefficient" to  $k_a$ .
- An arrow points from "Ambient radiance" to  $I_a$ .

# Ambient Shading



Diffuse only



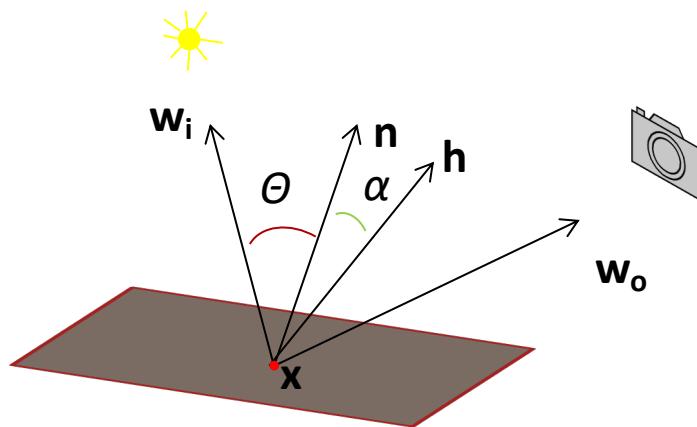
Diffuse + Ambient

# Specular Shading

- Simulates shiny surfaces
- Unlike diffuse shading, specular shading is **view-dependent**
- Several models exist with the most commonly used (and one of the simplest) being **Blinn-Phong** shading

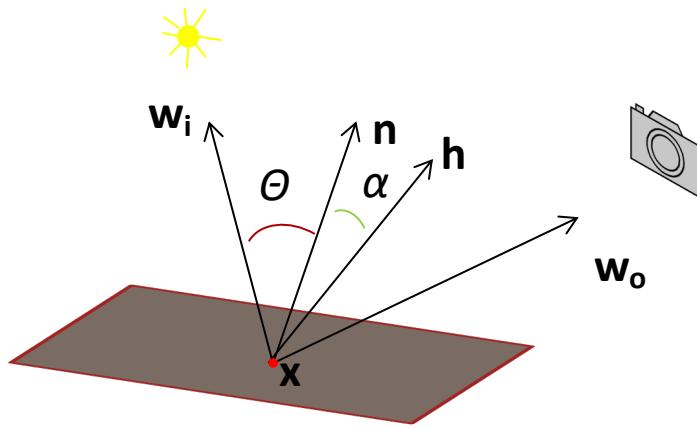
# Blinn-Phong (Specular) Shading

- Assumes perfect reflection occurs along the mirror direction of the incoming light
- But for efficiency, a vector known as the **half vector** is computed
- The angle between the surface normal and the half vector determines the shininess



$$h = \frac{w_i + w_o}{\|w_i + w_o\|}$$

# Blinn-Phong (Specular) Shading



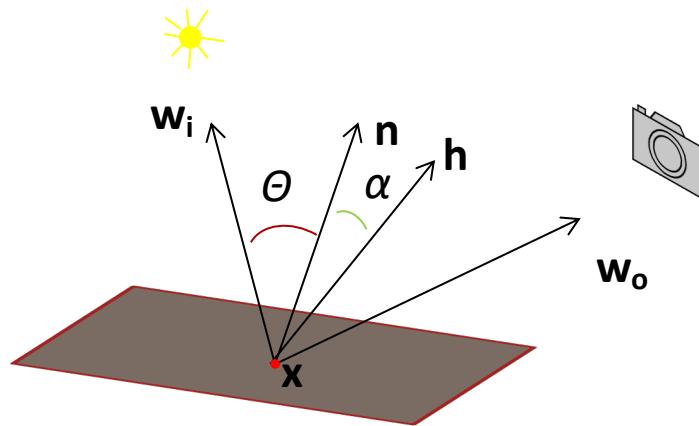
$$L_o^s(x, wo) = k_s \cos \alpha' E_i(x, w_i)$$

Outgoing radiance      Specular reflectance coefficient      Received irradiance

$$\cos \alpha' = \max(0, n \cdot h)$$

# Blinn-Phong (Specular) Shading

- To control the shininess, an exponent known as the Phong exponent is introduced:



$$L_o^s(x, wo) = k_s (\cos \alpha')^p E_i(x, w_i)$$

Phong exponent

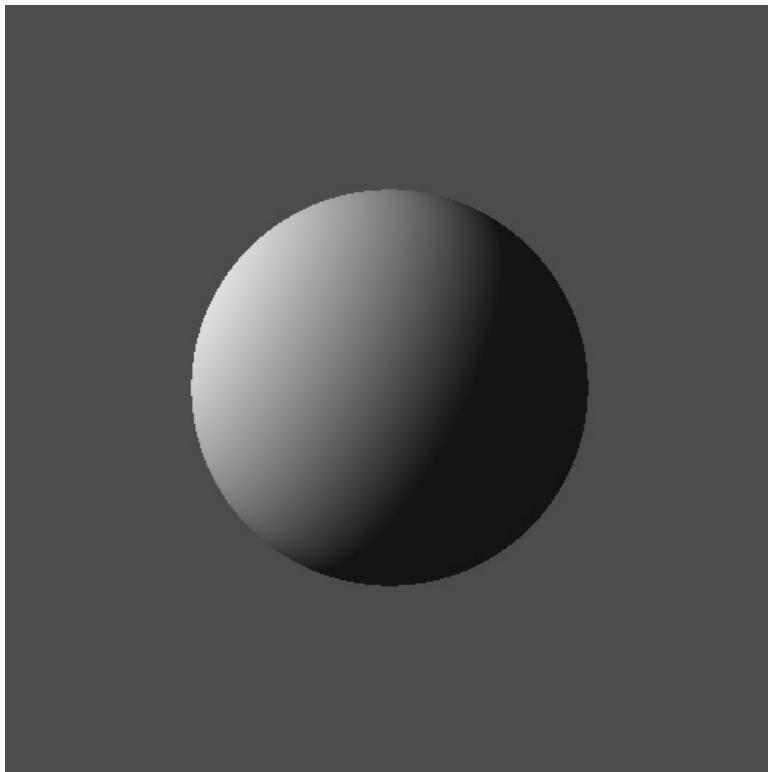
Outgoing radiance

Specular reflectance coefficient

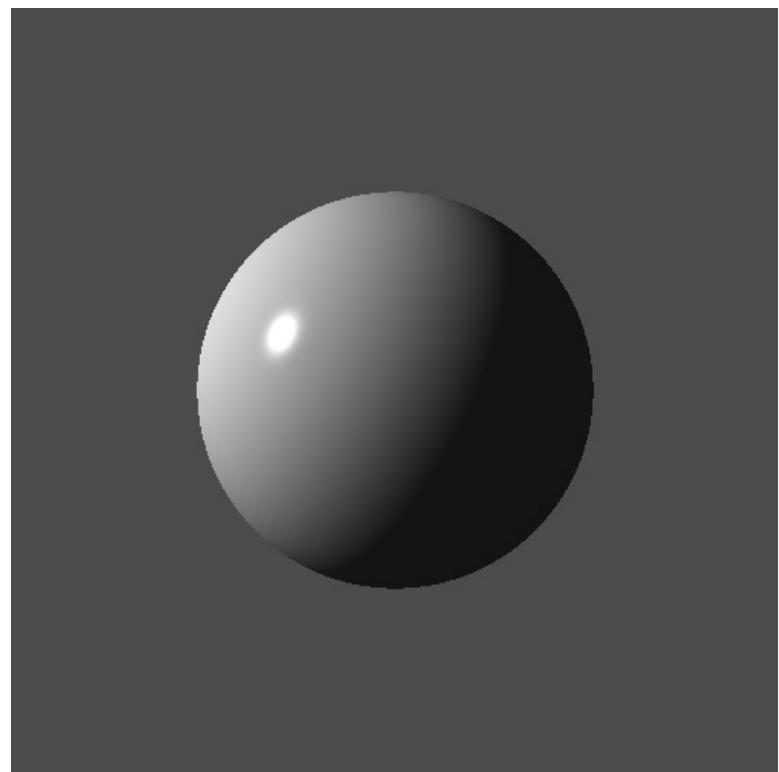
Received irradiance

$$\cos \alpha' = \max(0, \mathbf{n} \cdot \mathbf{h})$$

# Blinn-Phong (Specular) Shading



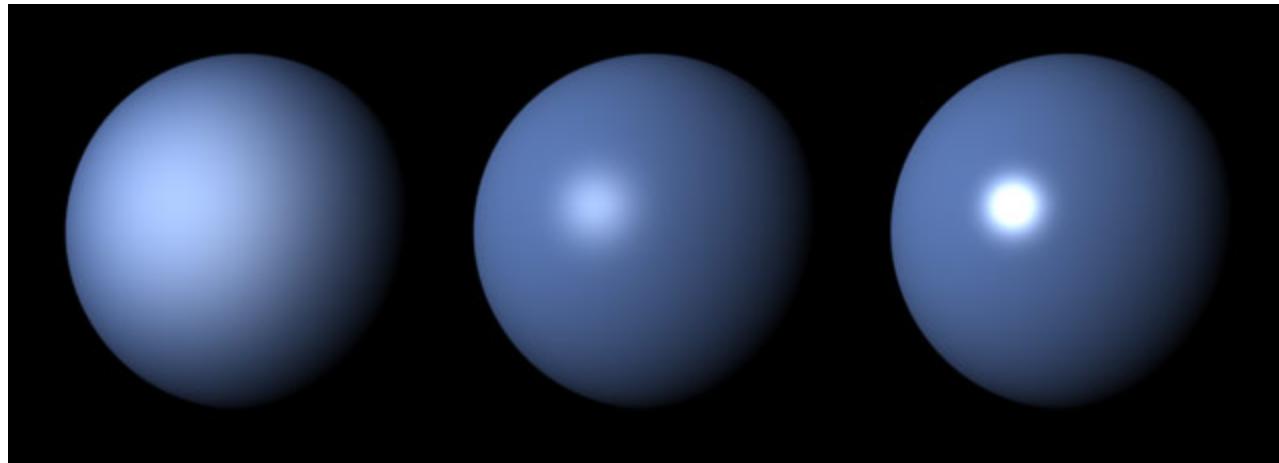
Diffuse + Ambient



Diffuse + Ambient + Specular

# Phong Exponent

- Larger Phong exponent makes the highlight more focused, giving the object a shinier appearance



<http://cgru.sourceforge.net/>

# Putting it All Together

- The color of an object at any point can be computed by combining **diffuse**, **ambient**, and **specular** components:

$$\mathbf{L}_o(x, \mathbf{wo}) = \mathbf{L}_o^d(x, \mathbf{w}_o) + \mathbf{L}_o^a(x, \mathbf{w}_o) + \mathbf{L}_o^s(x, \mathbf{w}_o)$$

- Note that  $\mathbf{L}$  is vector-valued in which each component represents the radiance for each color channel

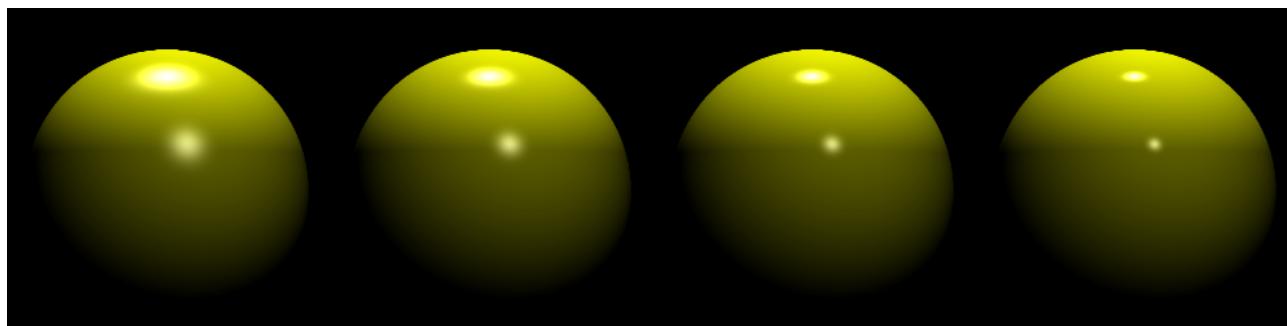
$$\mathbf{L}_o(x, \mathbf{wo}) = \begin{bmatrix} R \\ G \\ B \end{bmatrix}$$

# Multiple Light Sources

- Diffuse and specular contribution of each light source must be accumulated:

$$L_o(x, w_o) = L_o^a(x, w_o) + \sum_{i=1}^l L_o^d(x, w_o)_i + L_o^s(x, w_o)_i$$

Number of light sources



[L2program.com](http://L2program.com)

# The Ray Tracing Algorithm – So Far

**foreach** pixel  $s$ :

    compute the viewing ray  $r$  (from  $e$  to  $s$ )

$t_{\min} = \infty$ ,  $\text{obj} = \text{NULL}$

**foreach** object  $o$ :

**if**  $r$  **intersects**  $o$  at point  $x$ :

**if**  $t < t_{\min}$ :

$t_{\min} = t$ ,  $\text{obj} = o$

**if**  $\text{obj}$  not  $\text{NULL}$ :

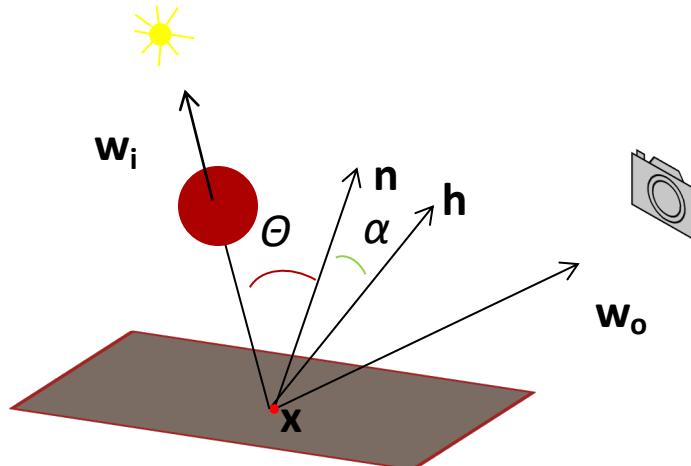
        pixel color =  $L_o(x, w_o)$  //  $w_o$  is the unit vector from  $s$  to  $e$

**else**

        pixel color = color of background (or black)

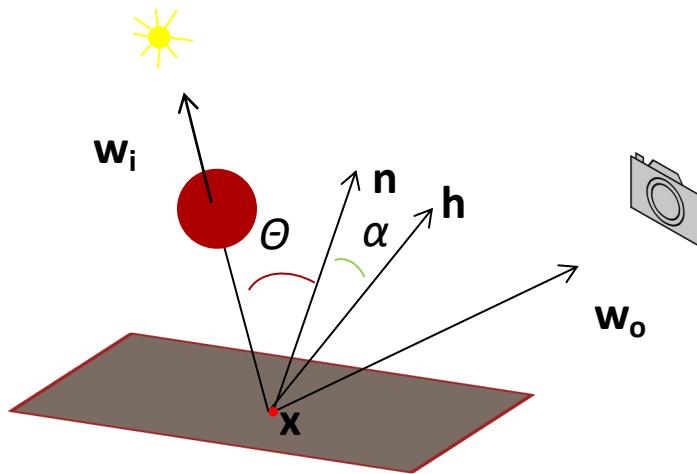
# Shadows

- Shadows can easily be added by checking if the light source is visible from the intersection point
- In the configuration below, point  $x$  is in shadow:



# Shadows

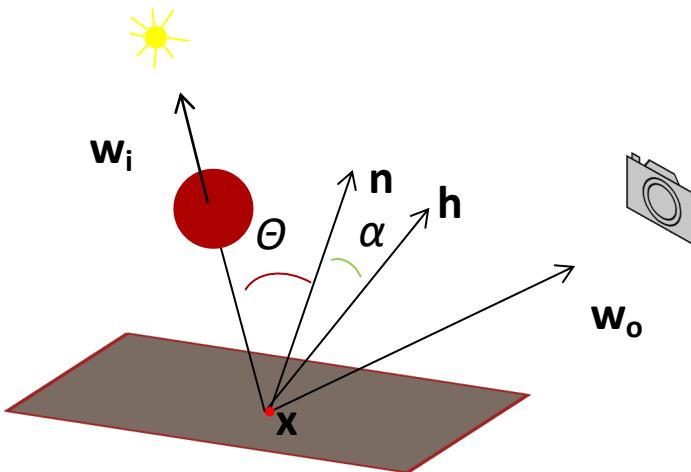
- To check if the light source is visible from the intersection point, we can create **shadow rays**, one for each light source



$$s(t) = x + tw_i$$

# Shadows

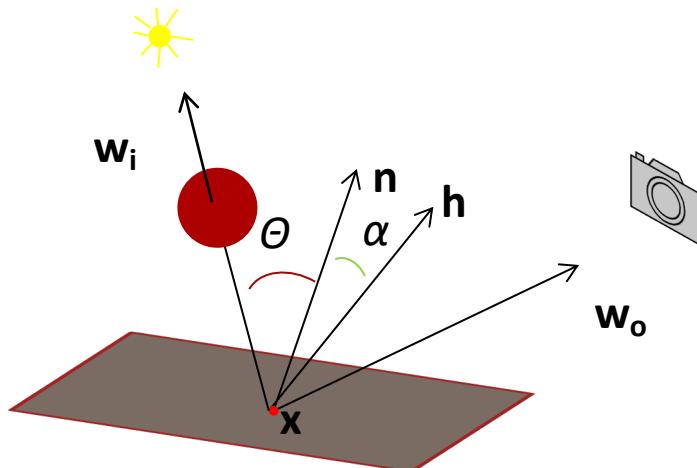
- Intersect  $s(t)$  with all the objects in the scene. If there is no intersection **before the light source**, the point is not in shadow. Otherwise it is in shadow



$$s(t) = x + tw_i$$

# Shadows

- To avoid **self intersection** due to floating point precision problems, the origin is offset by a very small amount:



$$s(t) = (x + w_i\epsilon) + tw_i$$

Epsilon ( $\epsilon$ ) is a very small number such as 0.0001

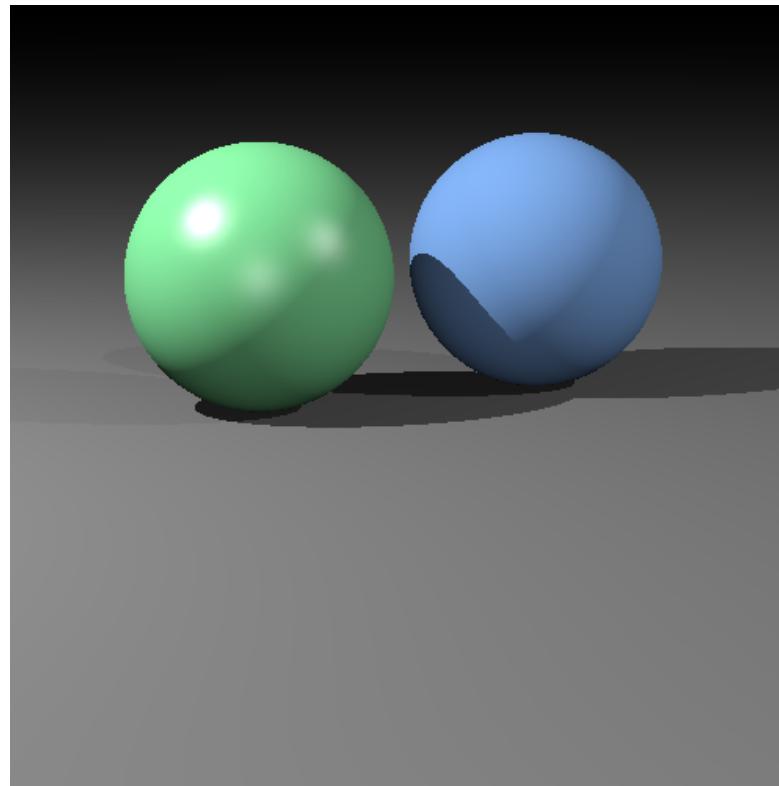
# The Ray Tracing Algorithm – So Far

```
foreach pixel s:  
    compute the viewing ray r (from e to s)  
     $t_{min} = \infty$ , obj = NULL  
    foreach object o:  
        if r intersects o at point x:  
            if  $t < t_{min}$ :  
                 $t_{min} = t$ , obj = o  
    if obj not NULL: // viewing ray intersected with an object  
        pixel color =  $L_a$  // ambient shading is not affected by shadows  
        foreach light l:  
            compute the shadow ray s from x to l  
            foreach object p:  
                if s intersects p before the light source:  
                    continue the light loop; // point is in shadow – no contribution from this light  
                    pixel color +=  $L_d + L_s$  // add diffuse and specular components for this light source  
    else  
        pixel color = color of background (or black)
```

Do not forget clamping the pixel value to [0, 255] range and rounding it to the nearest integer!

# Image So Far

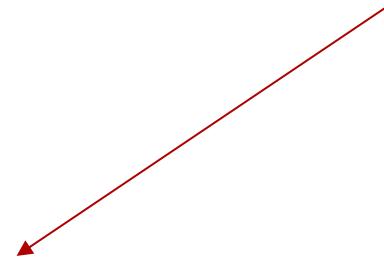
- This looks much better! 😊



# Recursive Ray Tracing

- Ray tracing is recursive by nature
- Remember the rendering equation

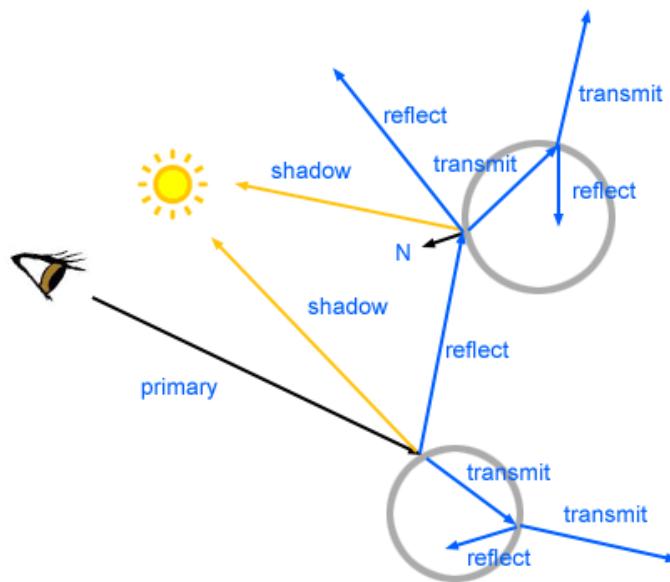
$$L_o(x, w_o) = L_e(x, w_o) + \int f(x, w_o, w_i) L_i(x, w_i) \cos\theta dw$$



This term itself could be due to reflection (or refraction) from another surface

# Recursive Ray Tracing

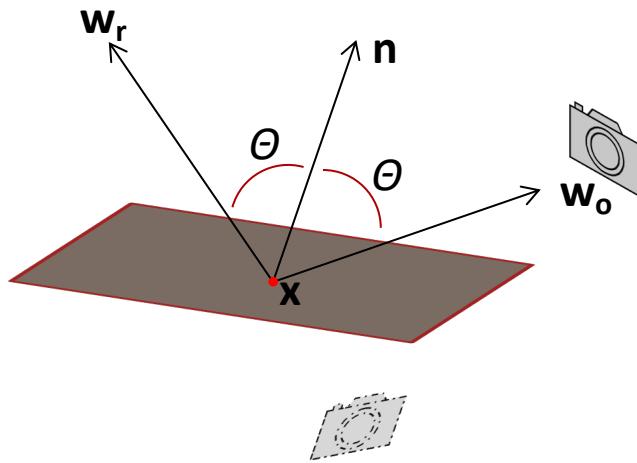
- Tracing the incident ray back into the scene (not just directly towards light sources) is called **path tracing**
- This is extremely costly so will only do it for perfect mirrors



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# Ideal Specular Reflection (Mirrors)

- Mirror-like objects reflect colors of other objects
- Given  $\mathbf{w}_o$ , we must compute  $\mathbf{w}_r$  (reflection direction)



$$\mathbf{w}_r = -\mathbf{w}_o + 2\mathbf{n}\cos\theta = -\mathbf{w}_o + 2\mathbf{n}(\mathbf{n} \cdot \mathbf{w}_o)$$

$\mathbf{n}, \mathbf{w}_o, \mathbf{w}_r$  are all unit vectors

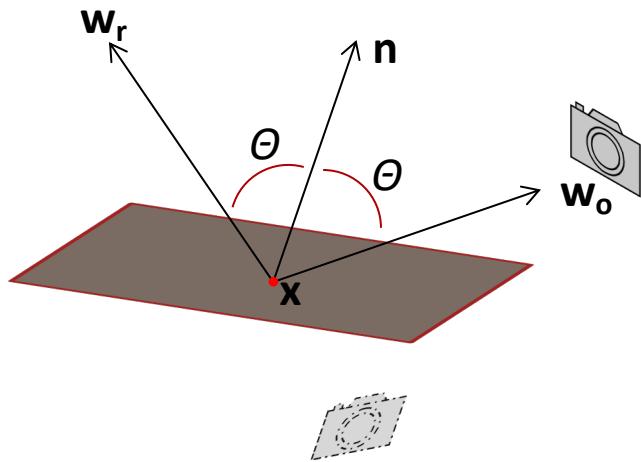
$$L_o^m(x, w_o) = k_m L_i(x, w_r)$$



Mirror reflection coefficient

# Ideal Specular Reflection (Mirrors)

- For these surfaces the total radiance is equal to local shading radiance plus the radiance from the mirrored location

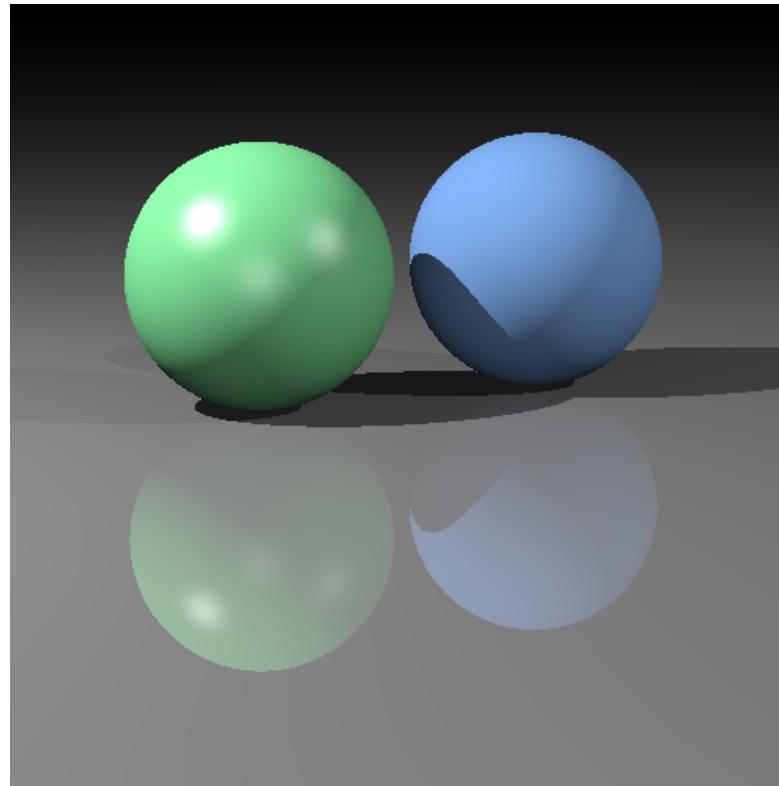


Need to stop after a fixed number of bounces to avoid potentially infinite recursion

$$L_o(x, wo) = L_o^d(x, wo) + L_o^a(x, wo) + L_o^s(x, wo) + \textcolor{red}{L_o^m(x, wo)}$$

# Final Image

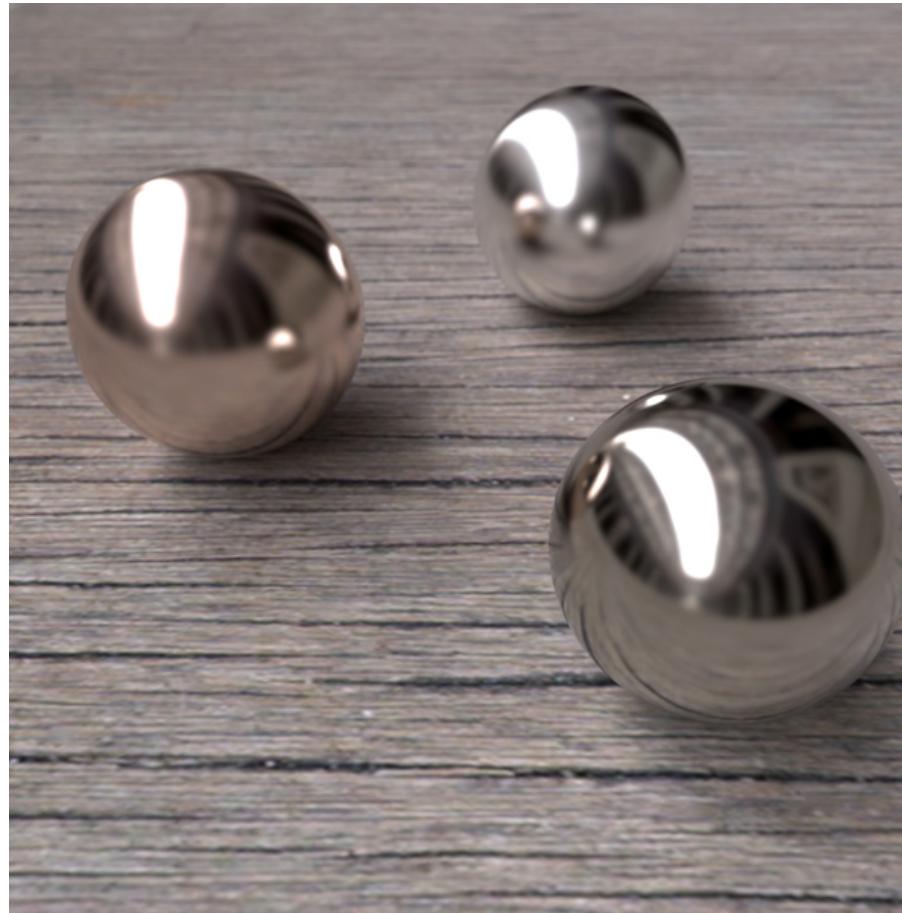
- This looks much better! 😊



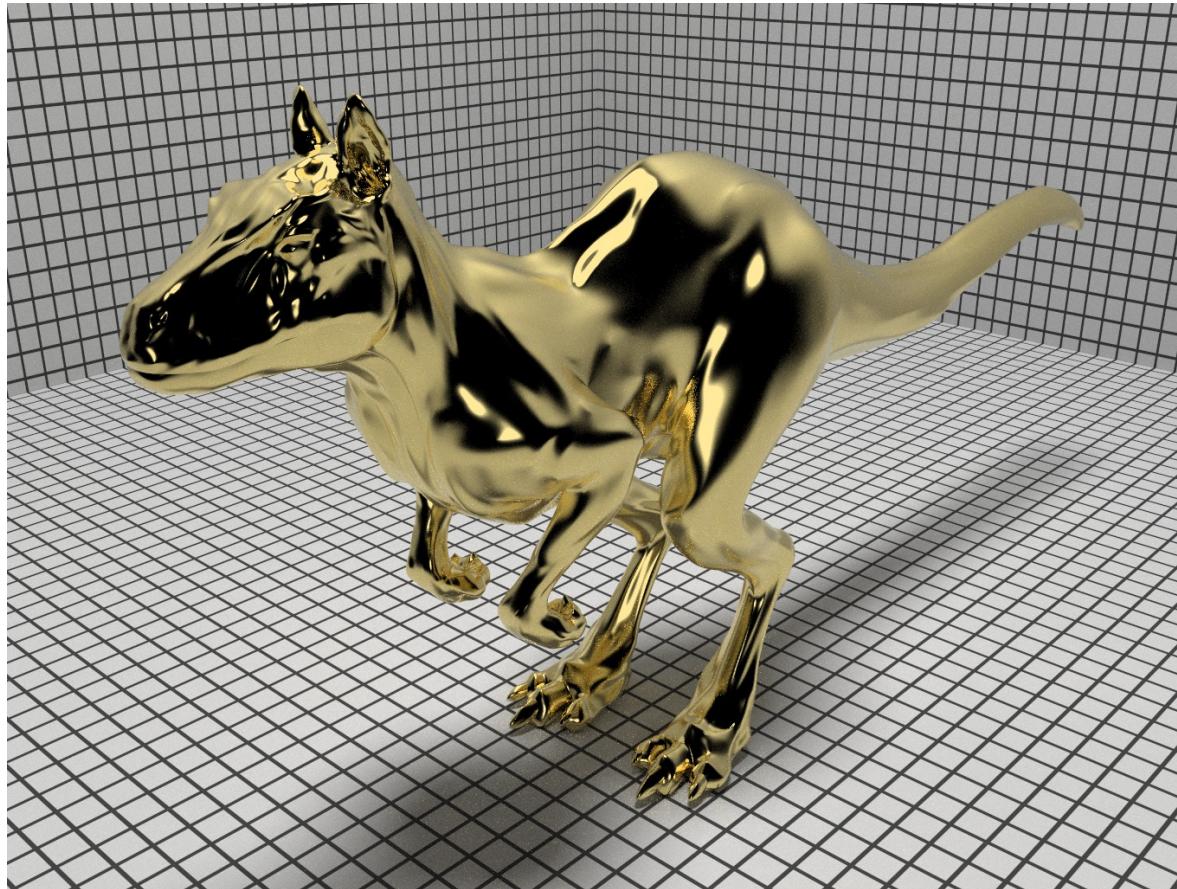
# Ray Tracing

- We have only scratched the surface of ray tracing.
- There is so much more that can be done:
  - Acceleration structures
  - Adding texture
  - Modeling non-point light sources (area lights)
  - Refraction (glass-like materials)
  - Participating media (fog, smoke)
  - Subsurface scattering (candles)
  - Complex surface models
  - Parallel and interactive ray tracing
  - Ray tracing on the GPU
  - Photon mapping
  - Global illumination
  - Image-based lighting
  - ...

# Some Ray-traced Images



# Some Ray-traced Images



Physically Based Ray Tracer

# Some Ray-traced Images



Physically Based Ray Tracer

# Some Ray-traced Images



Physically Based Ray Tracer

# Some Ray-traced Images



Radiance Ray Tracer

# Some Ray-traced Images



METU Ray Tracer