

# Histogram of Gradient Orientations of EEG Signal Plots for Brain Computer Interfaces

Dissertation Defense

Supervisor

Dr. Juan Miguel Santos

Candidate

Rodrigo Ramele

Cosupervisor

Dra. Ana Julia Villar



Doctorado en Ingeniería en Informática  
Instituto Tecnológico de Buenos Aires

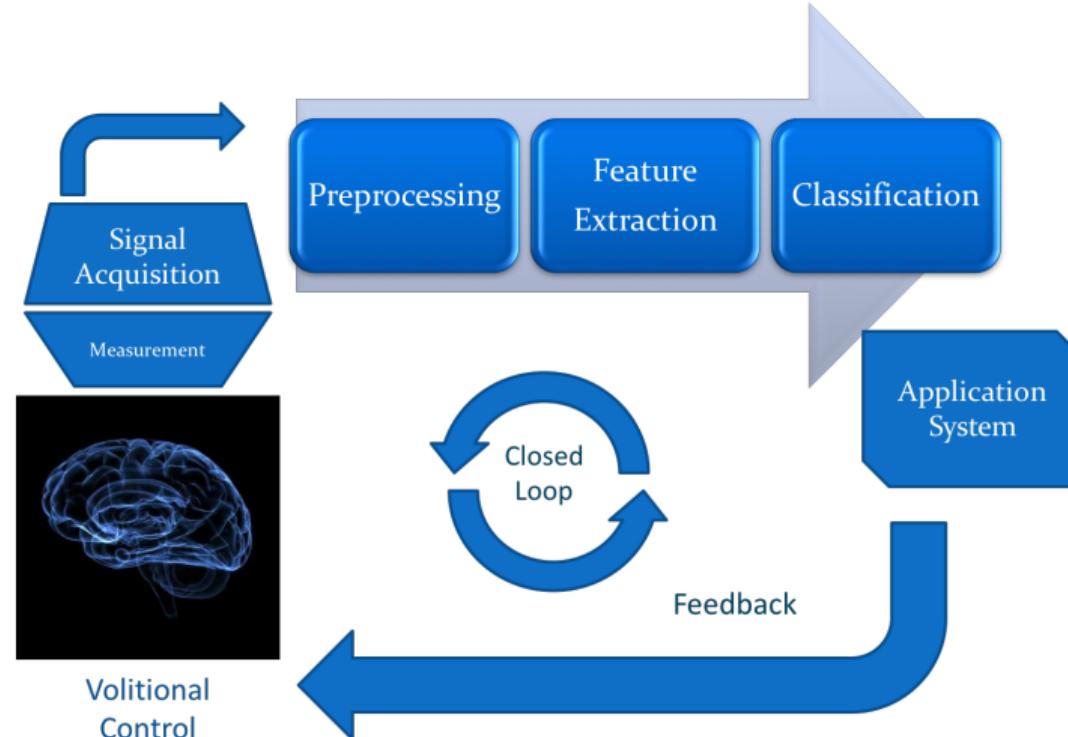
Noviembre 29 2018

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## Fotos de los exitos de BCI

# Brain Computer Interfaces



General components of a BCI system.

Mapa de BCI con los diferentes paradigmas y las diferentes soluciones. También podría agregar una tabla con las velocidades alcanzadas.

# Introduction

- BCI challenging technology.
- Outstanding advances but yet its push into mainstream technology has not fully materialized.
- More clinical and physician Involvement: devise mechanisms to help them stay in the loop.

# Motivation

- Is it possible to discriminate EEG signals and their corresponding cognitive processes based on their plots?
- Are those visual wiggles simple noise or can we extract something meaningful from them ?

# What we aim to do

- Establish a Fruitful connection between Image Processing and EEG Analysis
- Provide a Framework to analyze visually relevant features from EEG.
- Assess the method by performing Binary Classification on known datasets.

# The Histogram of Gradient Orientations

- Signal Preprocessing

# The Histogram of Gradient Orientations

- Signal Preprocessing
- Signal Segmentation

# The Histogram of Gradient Orientations

- Signal Preprocessing
- Signal Segmentation
- Signal Plotting

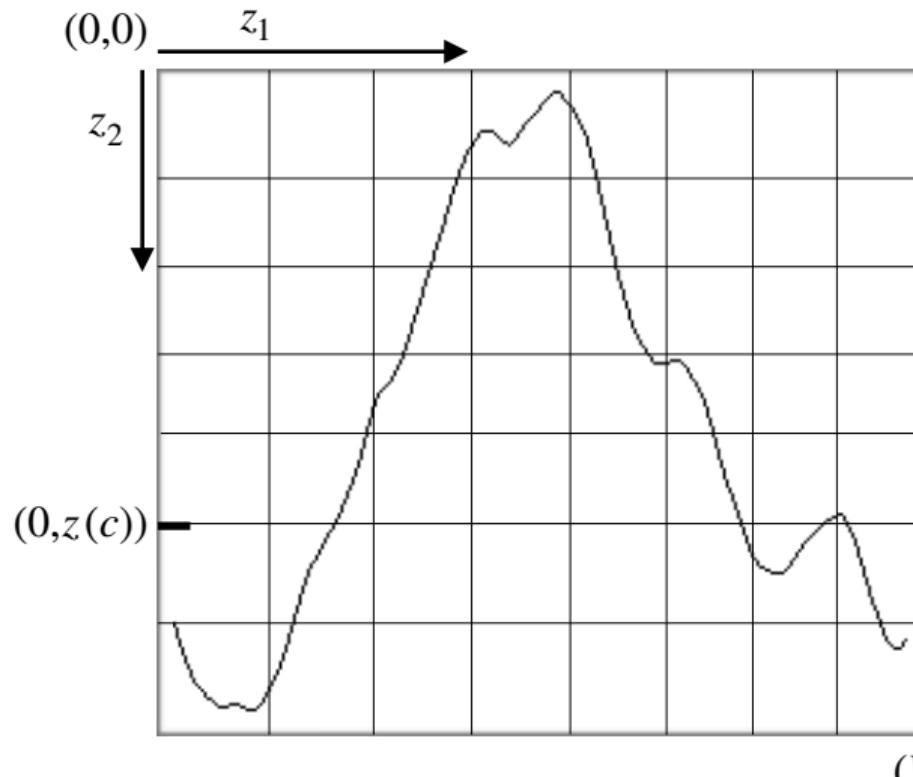
# The Histogram of Gradient Orientations

- Signal Preprocessing
- Signal Segmentation
- Signal Plotting
- Keypoint Localization

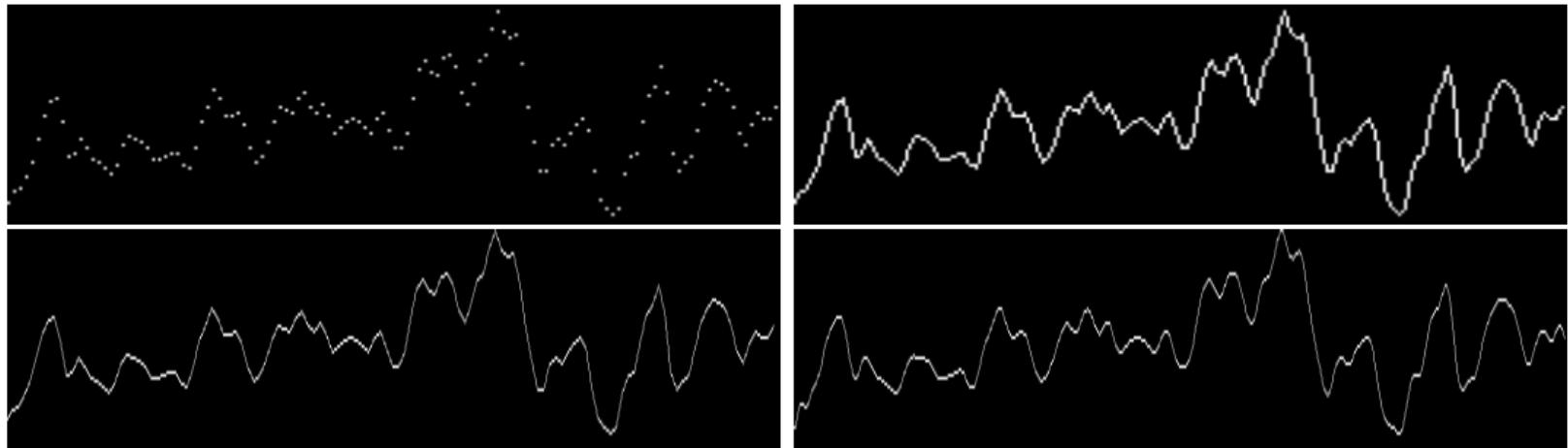
# The Histogram of Gradient Orientations

- Signal Preprocessing
- Signal Segmentation
- Signal Plotting
- Keypoint Localization
- Calculation of the Histogram of Gradient Orientation

# The Histogram of Gradient Orientations

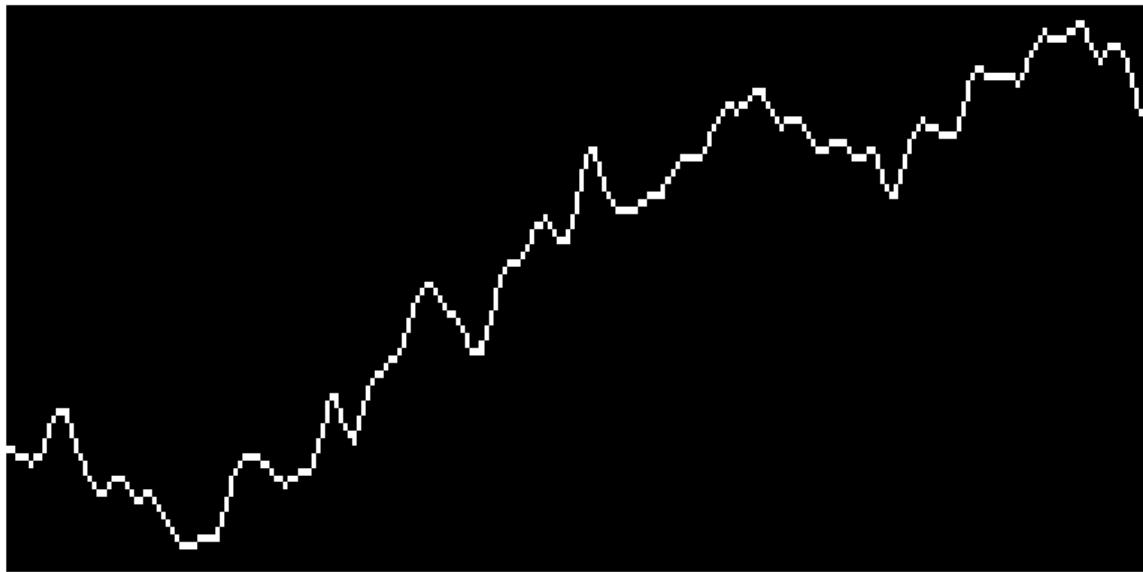
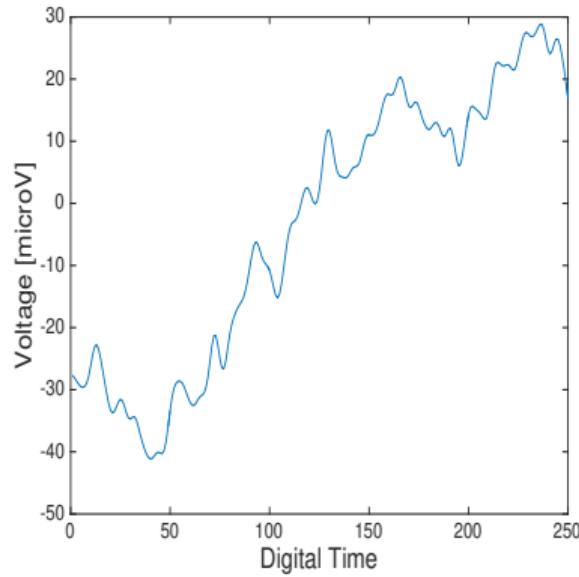


# The Histogram of Gradient Orientations

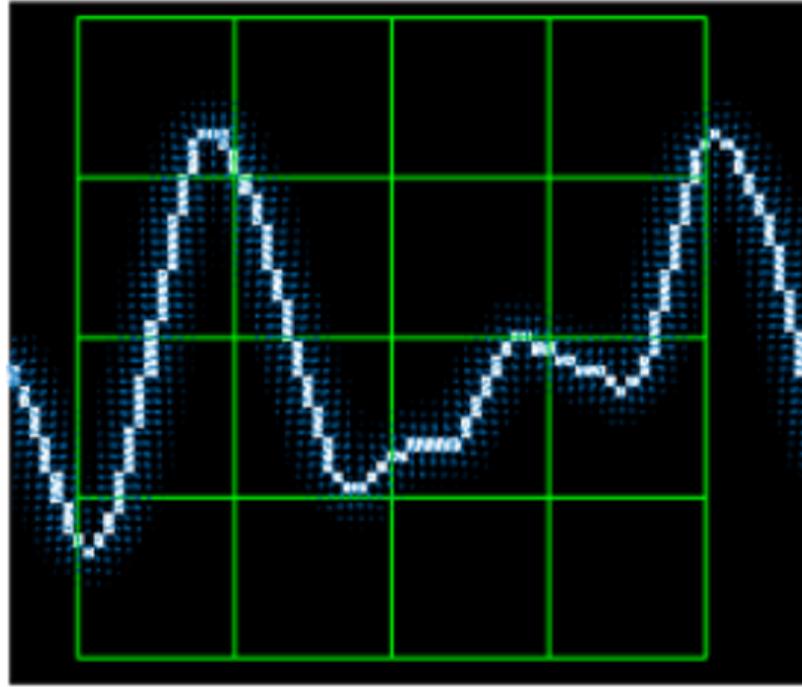
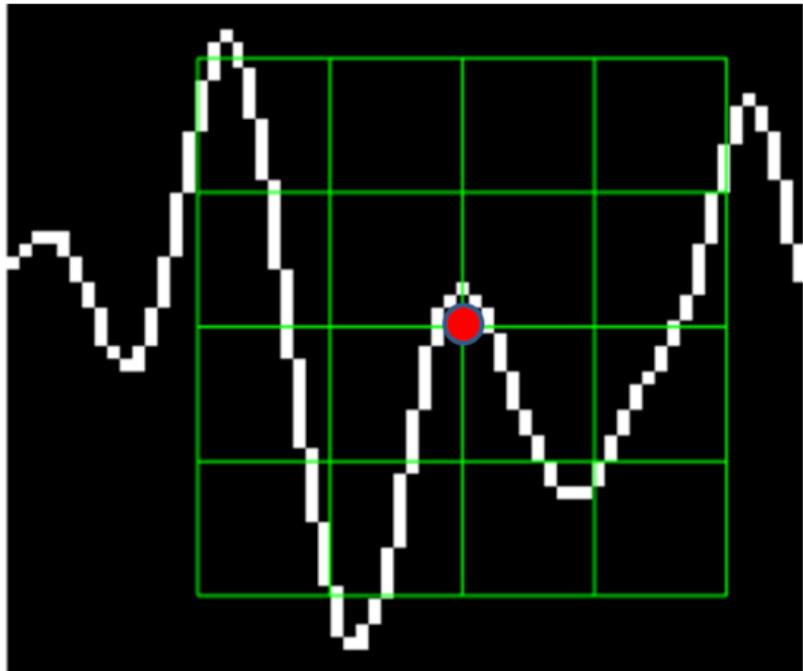


Generated images based on different interpolation schemes.

# The Histogram of Gradient Orientations



# The Histogram of Gradient Orientations



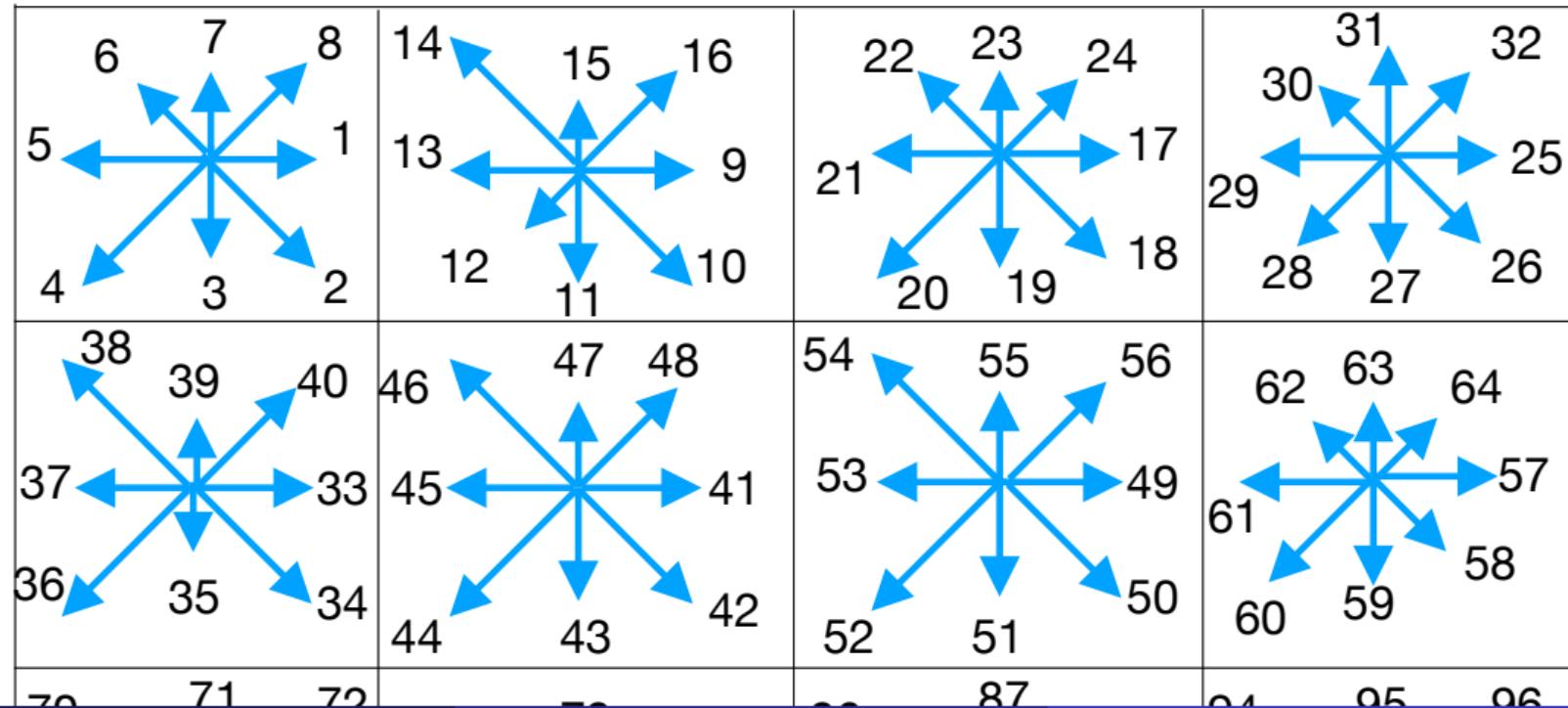
# The Histogram of Gradient Orientations

Hence, for each spatial bin  $i, j = \{0, 1, 2, 3\}$ , corresponding to the indexes of each block  $B_{i,j}$ , the orientations are accumulated in a 3-dimensional histogram  $h$  through the following equation:

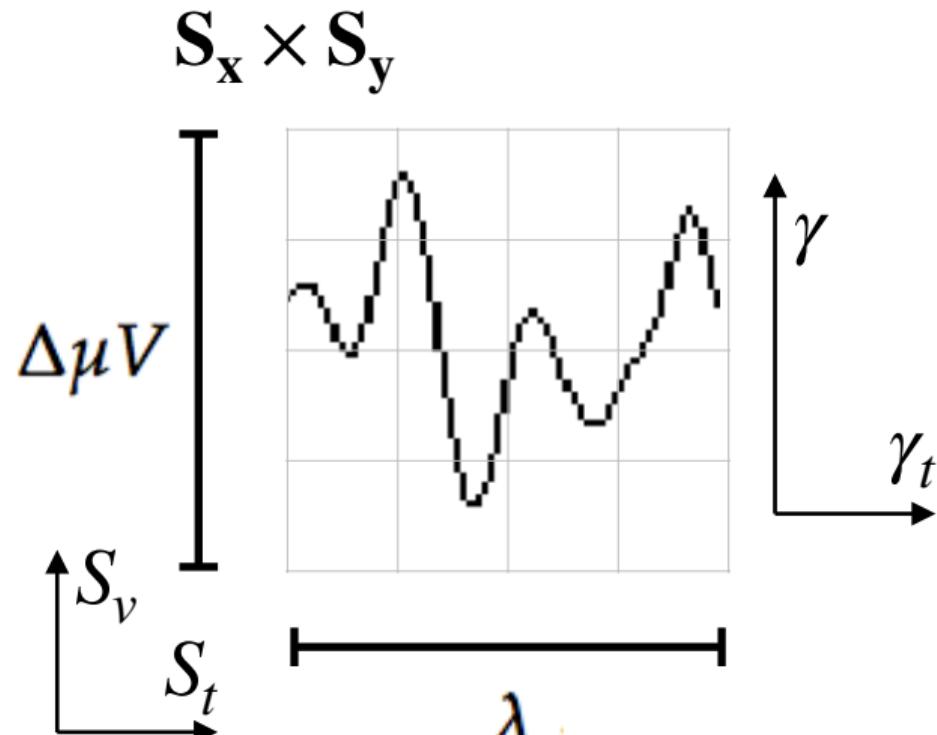
$$h(\theta, i, j) = \sum_{\mathbf{p}} \omega_{\text{ang}}(\angle J(\mathbf{p}) - \theta) \omega_{ij} (\mathbf{p} - \mathbf{k}\mathbf{p}) \|J(\mathbf{p})\| \quad (1)$$

where  $\mathbf{p}$  is a pixel from within the patch,  $\theta$  is the angle bin with  $\theta \in \{0, 45, 90, 135, 180, 225, 270, 315\}$ ,  $\|J(\mathbf{p})\|$  is the norm of the gradient vector in the pixel  $\mathbf{p}$ , computed using finite differences, and  $\angle J(\mathbf{p})$  is the angle of the gradient vector. The scalar  $\omega_{\text{ang}}(\cdot)$  and vector  $\omega_{ij}(\cdot)$  functions are linear interpolations used by [**Lowe2004**] and [**Vedaldi2010**] to provide a weighting contribution to eight adjacent bins.

# The Histogram of Gradient Orientations



# The Histogram of Gradient Orientations



- Alpha Waves Wiggles

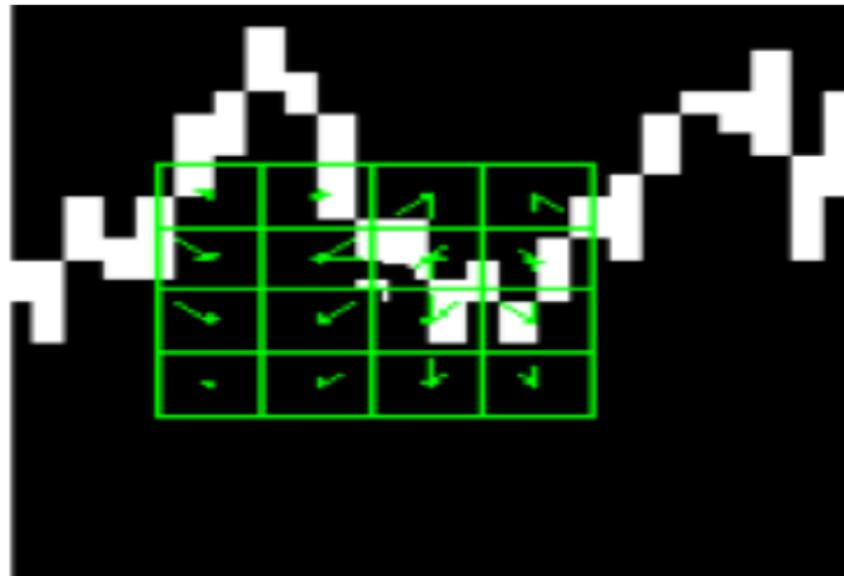
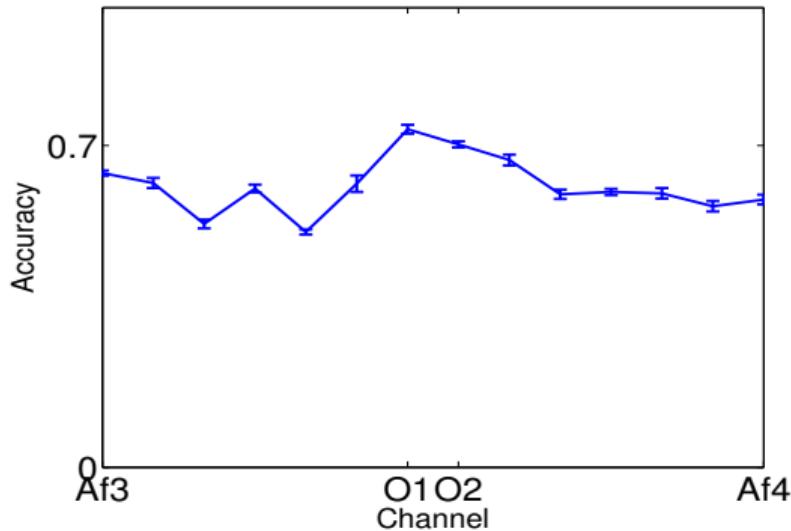
# BCI Paradigms

- Alpha Waves Wiggles
- Mu Greek Letter

# BCI Paradigms

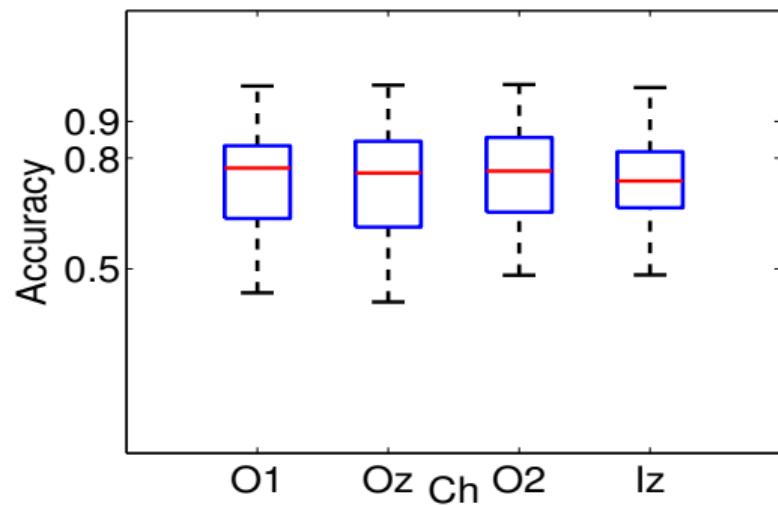
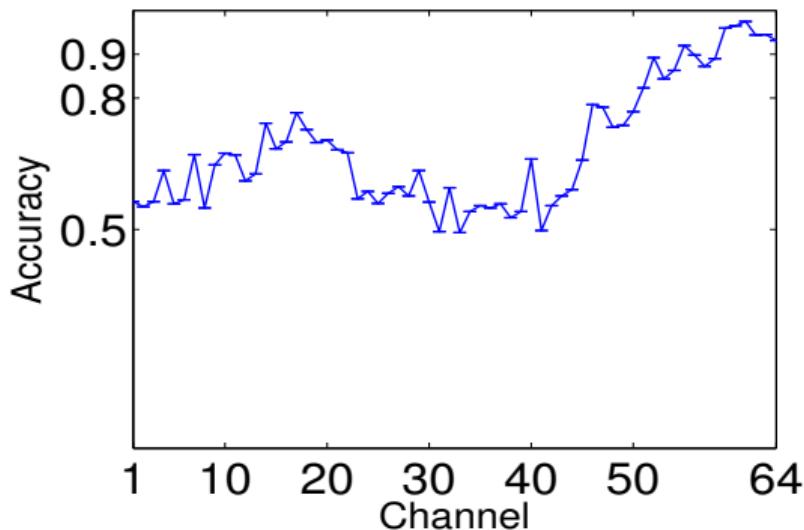
- Alpha Waves Wiggles
- Mu Greek Letter
- The P300 Wave

# Alpha Waves wiggles



Dataset I: The classification accuracy is maximum on occipital channels O1 and O2. The horizontal patch scale  $S_t$  and the vertical patch scale  $S_v$  are set to 1, whereas  $\gamma$  and  $\gamma_t$  are set to 2, which corresponds to a variation of  $\Delta\mu V = 10$  microvolts in the signal amplitude during  $\lambda = 0.08$  seconds.

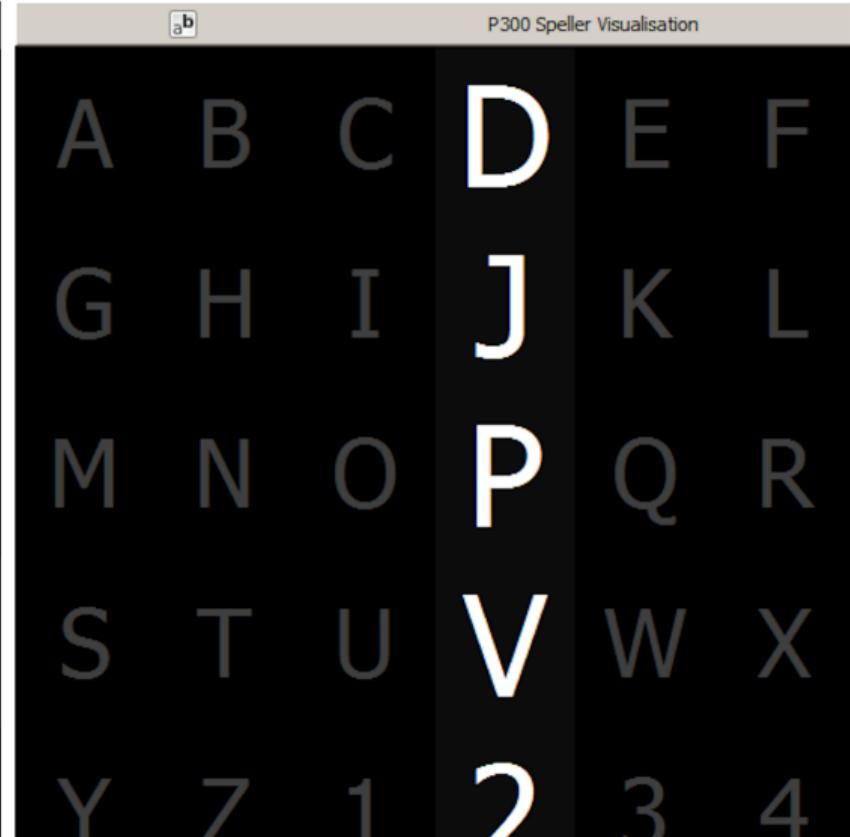
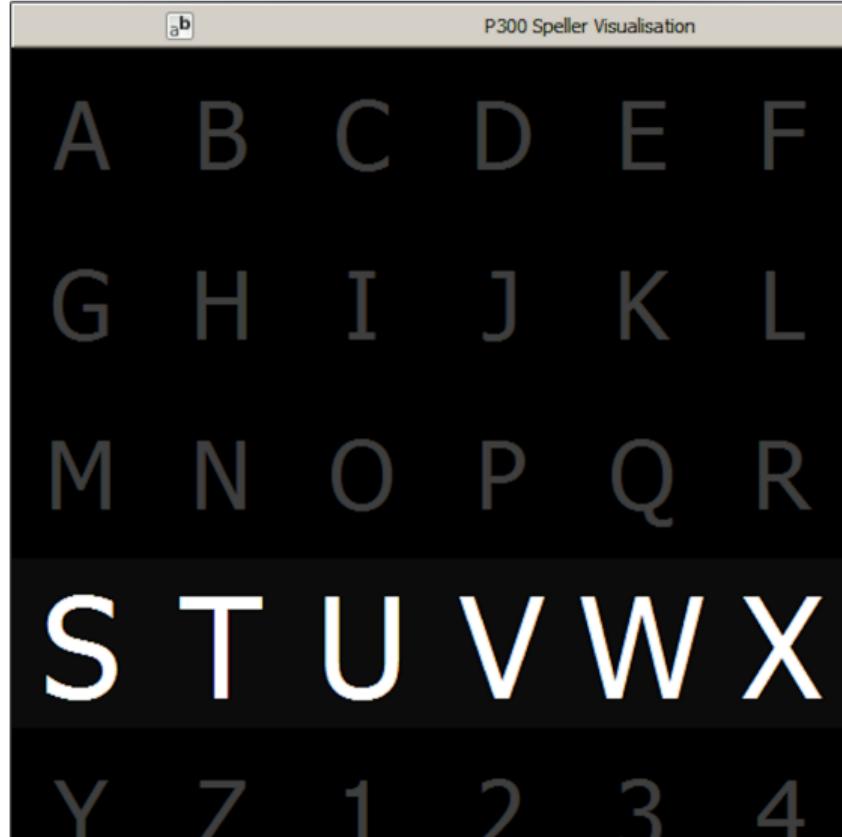
# Alpha Waves wiggles



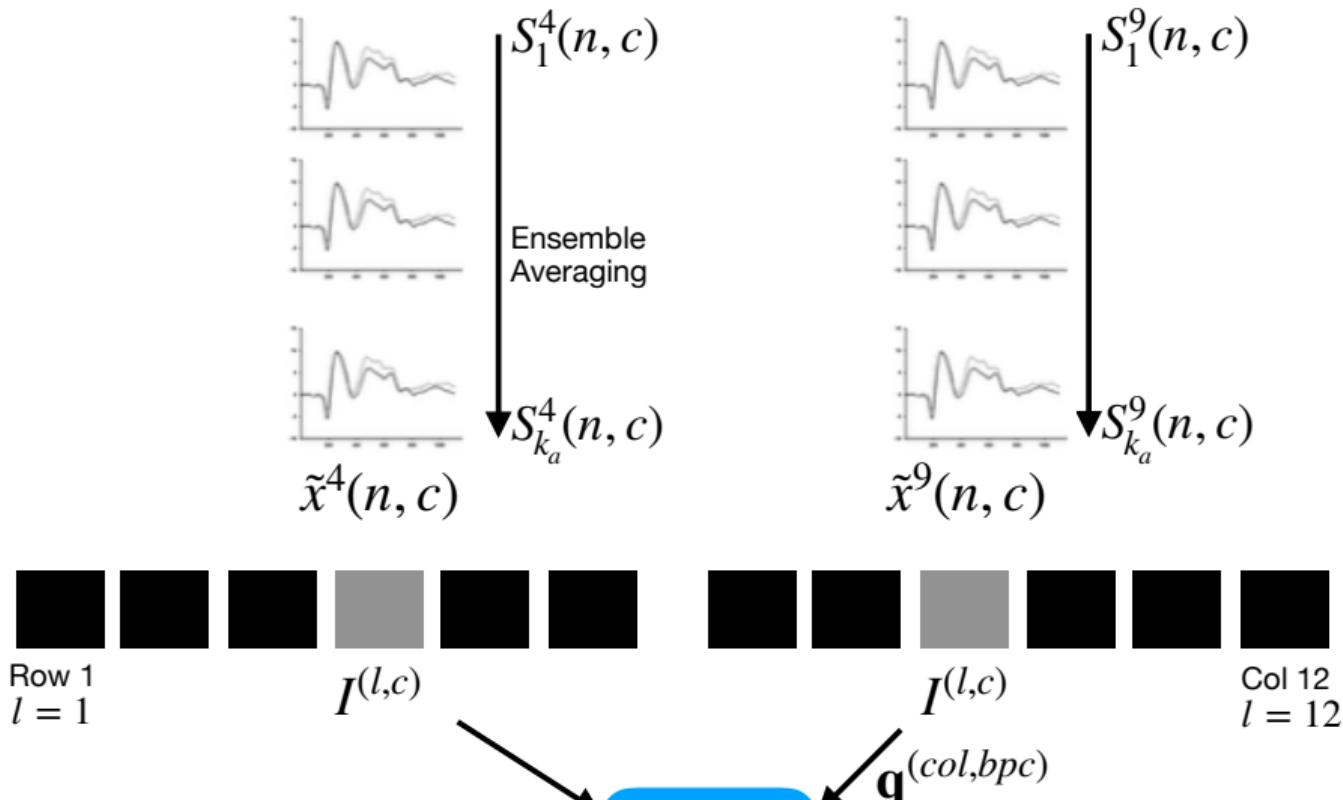
Dataset II: Classification Accuracy for segments of 1s ( $N = 160$ ) of EEG, between class 1 and class 2.  
In this case as the sampling frequency  $F_s$  is lower, the signal span is  $\lambda = 0.06$  s

# Mu a Greek letter

# The P300 Wave



# The P300 Wave



# The P300 Wave

**Step D:** Match to the calibration template  $T^{bpc}$  by computing

$$\hat{row} = \arg \min_{I \in \{1, \dots, 6\}} \sum_{h=1}^k \left\| \mathbf{q}^{(I, bpc)} - \mathbf{d}_h^{(bpc)} \right\|^2 \quad (2)$$

and

$$\hat{col} = \arg \min_{I \in \{7, \dots, 12\}} \sum_{h=1}^k \left\| \mathbf{q}^{(I, bpc)} - \mathbf{d}_h^{(bpc)} \right\|^2 \quad (3)$$

with  $\mathbf{d}_h^{(bpc)}$  belonging to the set  $N_T(\mathbf{q}^{(I, bpc)})$ , which is defined, for the best performing channel, as  $N_T(\mathbf{q}^{(I, bpc)}) = \{\mathbf{d}_h^{(bpc)} \in T^{bpc} / \mathbf{d}^{(bpc)} \text{ is the } k\text{-nearest neighbor of } \mathbf{q}^{(I, bpc)}\}$ . This procedure is a unary classification scheme, an adapted version of the algorithm described in Section ?? to the letter identification required in the BCI-Based P300 Speller implementation.

# The P300 Wave

- Dataset I - P300 ALS Public Dataset

# The P300 Wave

- Dataset I - P300 ALS Public Dataset
- Dataset II - P300 for healthy subjects

# The P300 Wave

- Dataset I - P300 ALS Public Dataset
- Dataset II - P300 for healthy subjects
- Dataset III - P300 Pseudo-Real Dataset Generation

# The P300 Wave

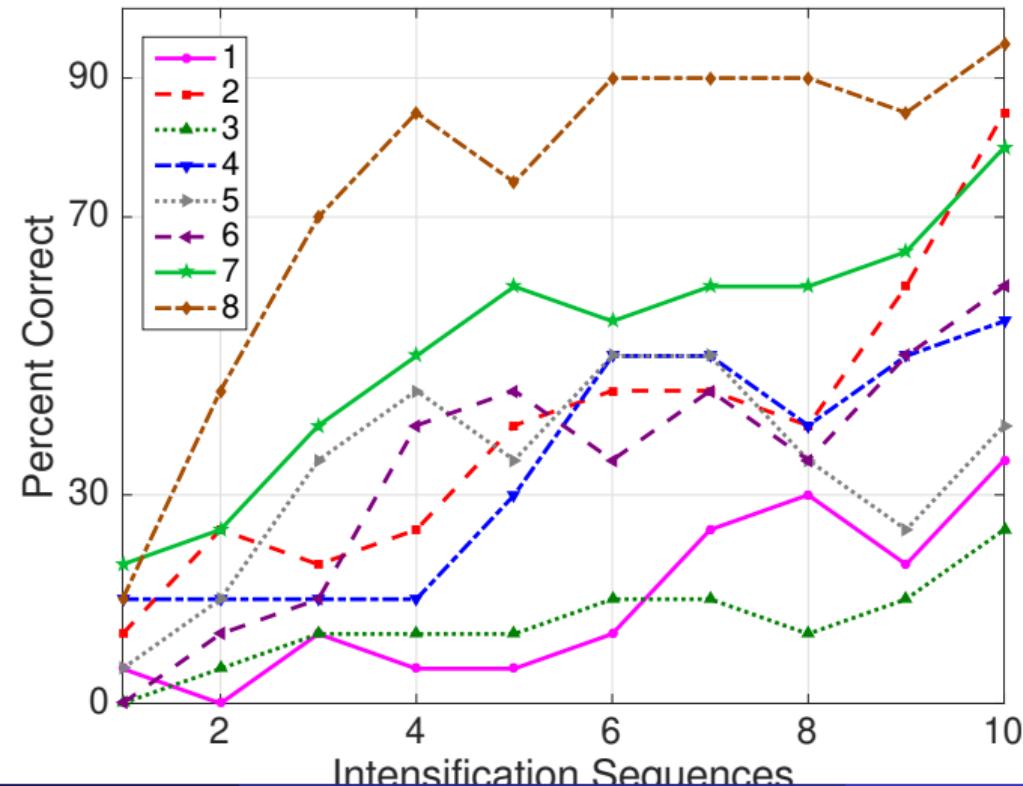
- Dataset I - P300 ALS Public Dataset
- Dataset II - P300 for healthy subjects
- Dataset III - P300 Pseudo-Real Dataset Generation
- Dataset IV - P300 Dataset IIb BCI Competition II (2003)

# The P300 Wave

**Table:** Dataset I: Character recognition rates for the public dataset of ALS patients using HIST calculated from single-channel plots. Performance rates using single-channel signals with the SVM classifier are shown for comparison. The best performing channel *bpc* for each method is visualized

Participant	<i>bpc</i>	HIST	<i>bpc</i>	Single Channel SVM
1	Cz	35%	Cz	15%
2	Fz	85%	PO8	25%
3	Cz	25%	Fz	5%
4	PO8	55%	Oz	5%
5	PO7	40%	P3	25%
6	PO7	60%	PO8	20%
7	PO8	80%	Fz	30%
8	PO7	95%	PO7	85%

# The P300 Wave



# The P300 Wave

**Table:** Dataset II: Character recognition rates and *bpc* using HIST calculated from single-channel signals. Performance rates using single-channel signals with the SVM classifier are shown for comparison.

Participant	<i>bpc</i>	HIST	<i>bpc</i>	Single Channel SVM
1	Oz	40%	Cz	10%
2	PO7	30%	Cz	5%
3	P4	40%	P3	10%
4	P4	45%	P4	35%
5	P4	60%	P3	10%
6	Pz	50%	P4	25%
7	PO7	70%	P3	30%
8	P4	50%	PO7	10%

HIST method has an improved performance at letter identification than SVM that process the signals on a channel by channel strategy (Wilcoxon signed-rank test,  $p = 0.004$  for both)

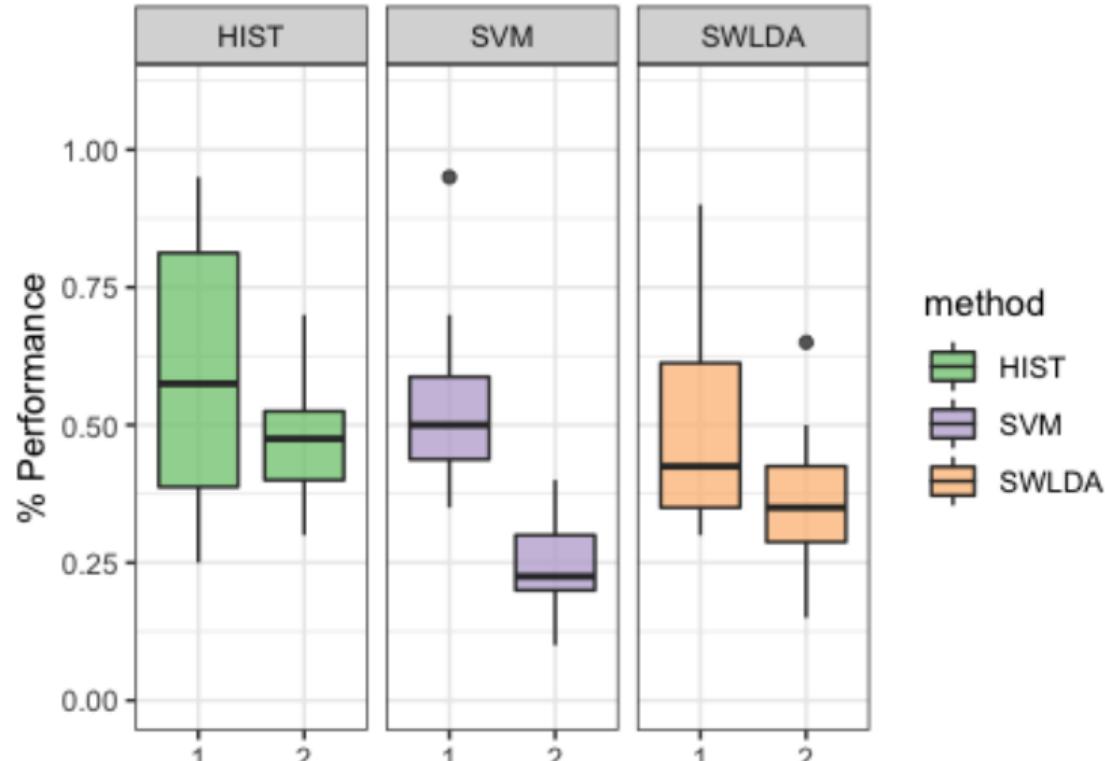
# The P300 Wave

**Table:** Character recognition rates and the best performing channel  $bpc$  for the public dataset I using the HIST versus performance rates obtained by SWLDA and SVM classification algorithms with a multichannel concatenated feature.

Participant	$bpc$ for HIST	HIST	Multichannel SWLDA	Multichannel SVM
1	Cz	35%	45%	40%
2	Fz	85%	30%	50%
3	Cz	25%	65%	55%
4	PO8	55%	40%	50%
5	PO7	40%	35%	45%
6	PO7	60%	35%	70%
7	PO8	80%	60%	35%
8	PO7	95%	90%	95%

# The P300 Wave

Performance by Dataset and Method

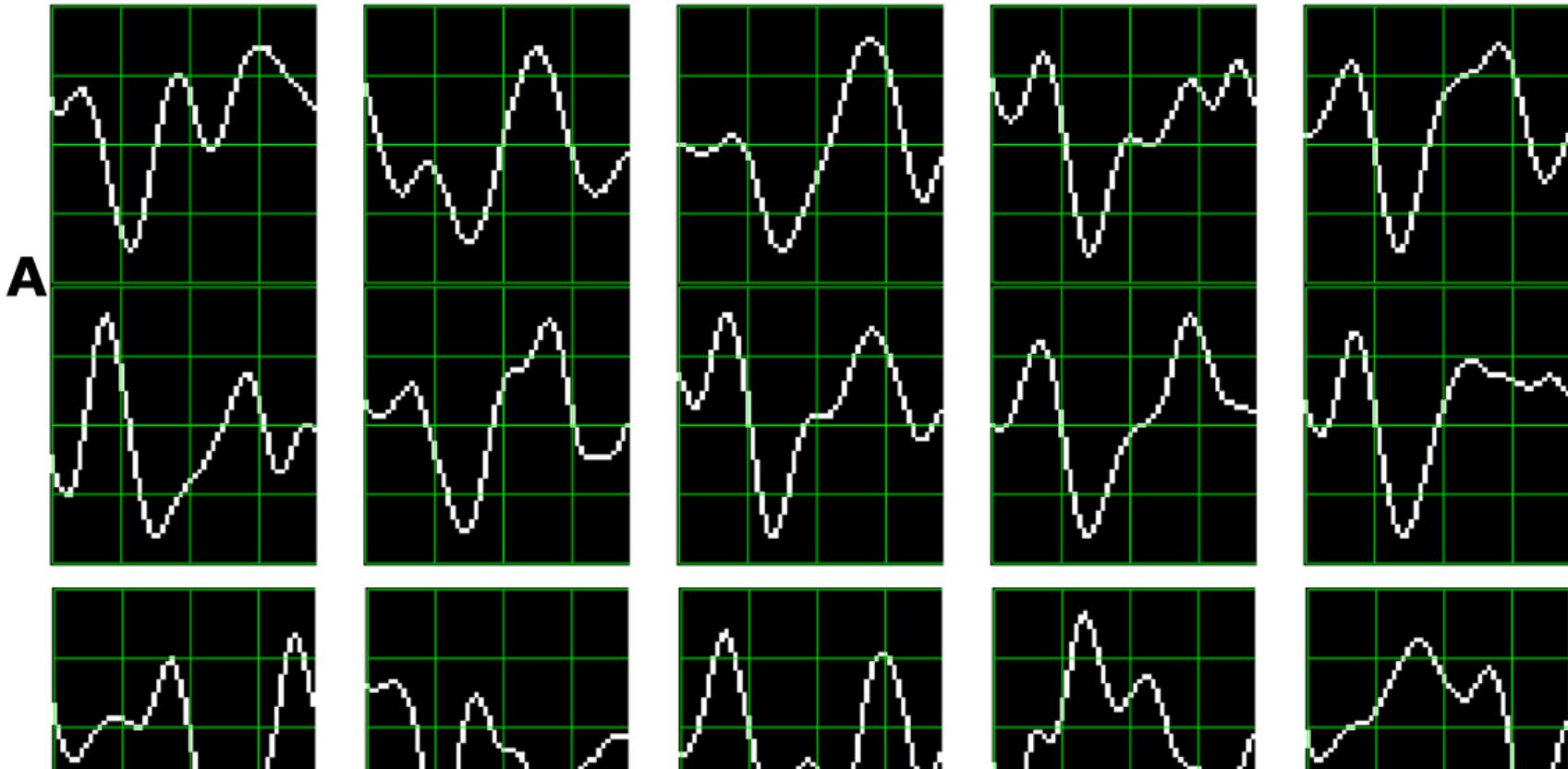


# The P300 Wave

**Table:** Character recognition rates and the best performing channel  $bpc$  for the dataset II using HIST versus performance rates obtained by SWLDA and SVM classification algorithms with a multichannel concatenated feature.

Participant	$bpc$ for HIST	HIST	Multichannel SWLDA	Multichannel SVM
1	Oz	40%	65%	40%
2	PO7	30%	15%	10%
3	P4	40%	50%	25%
4	P4	45%	40%	20%
5	P4	60%	30%	20%
6	Pz	50%	35%	30%
7	PO7	70%	25%	30%
8	P4	50%	35%	20%

# The P300 Wave



# The P300 Wave

Additionally, the stability of the P300 component waveform has been extensively studied in patients with ALS [**SellersandEmanuelDonchin2006**, **TomohiroMadarame2008**, **Nijboer2009**, **Mak2012**, **McCane2015**] where it was found that these patients have a stable P300 component, which were also sustained across different sessions. In line with these results we do not find evidence of a difference in terms of the performance obtained by analyzing the waveforms, by using the HIST method, for the group of patients with ALS and the healthy group of volunteers (Mann-Whitney U Test,  $p = 0.46$ ). Particularly, the best performance is obtained for a subject from the ALS dataset for which, based on visual observation, the shape of they P300 component is consistently identified.

# Dissertation Contributions

- A procedure to construct analyzable 2D-images based on one-dimensional signals.
- The introduction of the HIST method as an enhancement on the SIFT technique to allow non-squared patches and to adapt it to signal plots.
- A mapping procedure to link EEG time-series characteristics to features of 2D-images.
- A feature extraction method for EEG signals that can be used objectively to encode a representation of the waveform.
- A classification algorithm that use the encoded representation with the purpose of comparing and identifying waveforms for BCI applications.

# Conclusion

- EEG Waveforms can be analyzed by this method.
- Oscillatory processes can be studied by this methodology.
- The stability of ERP transient components can be studied objectively with the proposed methodology.

# References

# Questions

Questions?

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$$\omega_{\text{ang}}(\alpha) = \sum_{r=-1}^1 \omega\left(\frac{8\alpha}{2\pi} + 8r\right) \quad (4)$$

# Sample frame title

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## Remark

Sample text

## Important theorem

Sample text in red box

## Examples

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# Method

# Signal Transformation

Single Channel transformation of the EEG multichannel time series matrix into an image

$$\mathcal{T}\{x(t, c, V) = 0\} \mapsto I(z_1, z_2, \mathcal{C}, \mathcal{I}) = 0 \quad (5)$$

where  $t$  is time,  $c$  is the specified channel,  $V$  is the voltage value for the signal,  $\mathcal{C}$  is the color channel for an image and  $\mathcal{I}$  is the pixel value intensity.

Plot Generation: The EEG matrix is transformed to a binary bidimensional image  
 $(t, c, V) \mapsto (t, V, Grey, \mathcal{I})$  with  $\mathcal{I} = 0$  or  $\mathcal{I} = 255$  for each  $c$ .

## Signal Transformation: Visually centering the signal over the image.

First the non-zero media is removed from the signal.

$$\tilde{x}(t, c) = \lfloor \delta \cdot (x(t, c) - \bar{x}(c)) \rfloor \quad (6)$$

And the signal is centered on the image

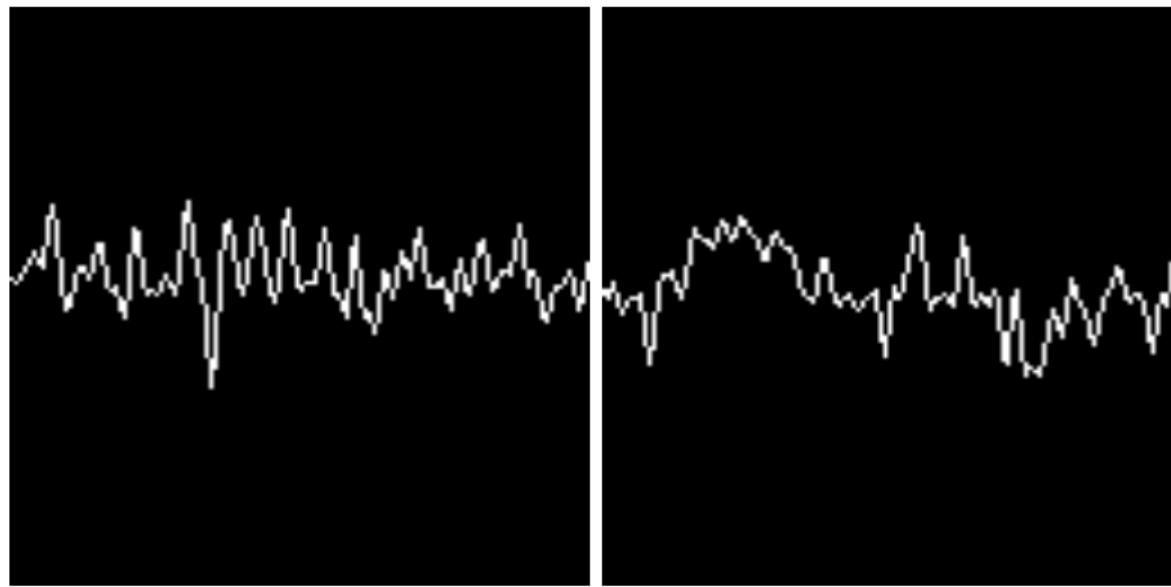
$$h(c) = (\max \tilde{x}(t, c) - \min \tilde{x}(t, c)) + \sigma \quad (7)$$

$$Z(c) = \lfloor \frac{h(c)}{2} \rfloor - \lfloor \frac{\max \tilde{x}(t, c) + \min \tilde{x}(t, c)}{2} \rfloor \quad (8)$$

where  $t$  is time,  $\delta$  is scale factor,  $c$  is the channel parameter,  $x(t, c)$  is the EEG matrix whereas  $\bar{x}(c)$  is the mean value for each channel,  $h(c)$  is the height of the image in pixels,  $\sigma$  is the descriptor size and  $Z(c)$  is the horizontal pixel at which the zero value of the signal will be located.

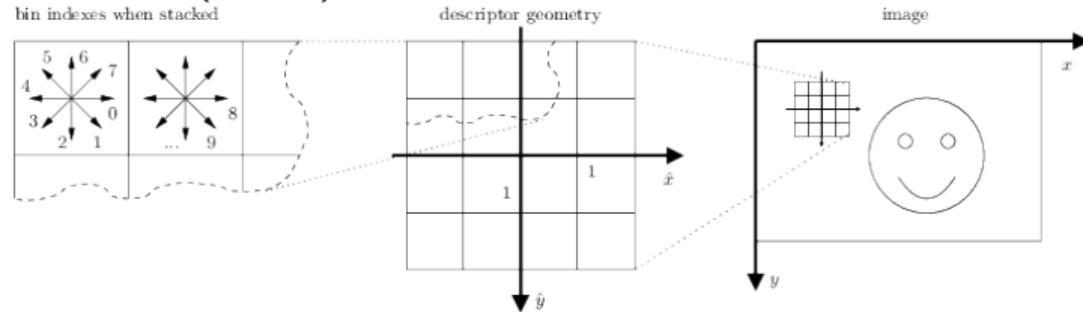
## Signal Transformation: Binary Image generation.

$$I(z_1, z_2) = \begin{cases} 255 & z_1 = \delta \cdot t; z_2 = \tilde{x}(t, c) + Z(c) \\ 0 & \text{otherwise} \end{cases} \quad (9)$$



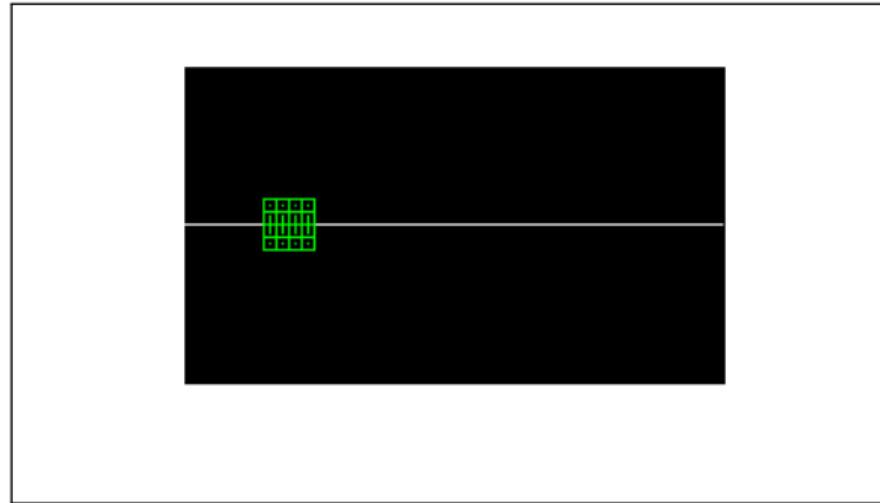
# Features: SIFT<sup>1</sup> Descriptors

Scale Invariant Feature Transform Descriptors are local features of an image that represents gradient changes in intensities. They are 128-dimensional vectors that contains the histograms of relative gradient directions on each of the blocks that each patch is divided ( $4 \times 4 = 16$  blocks, 8 rotational directions on each). A single scale ( $\sigma = 1$ ) is composed of 4 blocks of 3 pixels on each side (12x12).



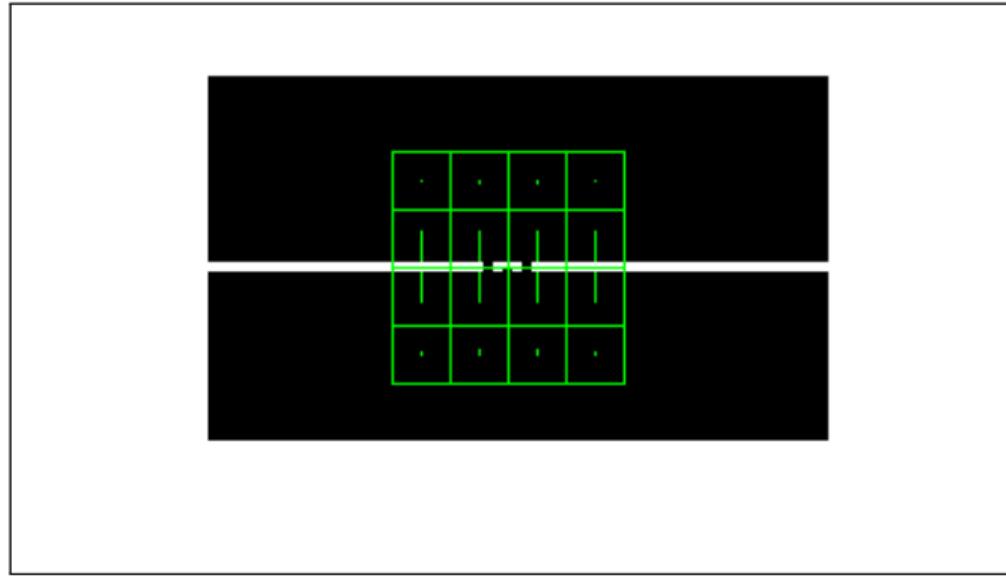
<sup>1</sup>Lowe 2004.

# SIFT Descriptors



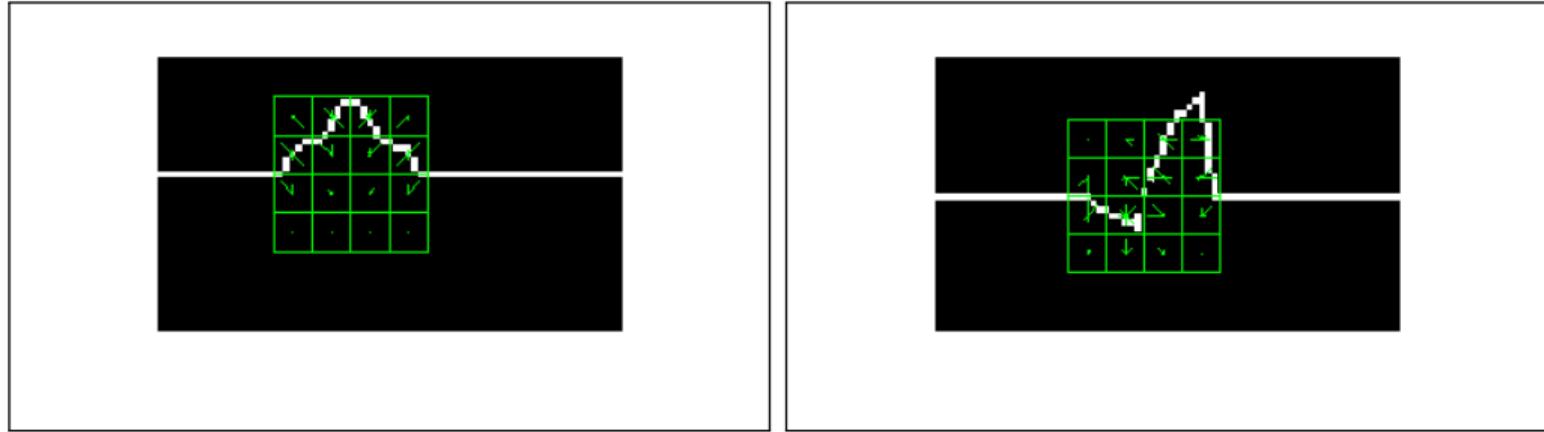
SIFT Descriptor  $[z_1, z_2, \theta, \sigma]$  where  $(z_1, z_2)$  are the 2D coordinates where the *Keypoint* is located,  $\theta$  is the descriptor general orientation and  $\sigma$  is the descriptor size.

# SIFT Descriptors



SIFT Descriptor with its corresponding gradient tendencies.

# SIFT Descriptors



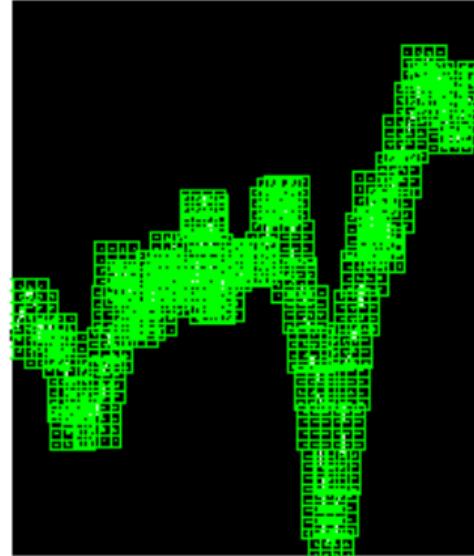
Sample Descriptors from artificial signals.

# SIFT Descriptors

0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
17	22	22	17	173	173	173	173	40	51	51	40	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	40	51	51	40	173	173	173	173	17	22	22	22	17	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Sample descriptor values of the given patch.

# Keypoint Localization



# Classification

- Discriminative Semi-supervised classification method was used: Naive Bayes Nearest Neighbor, NBNN<sup>2</sup> algorithm:

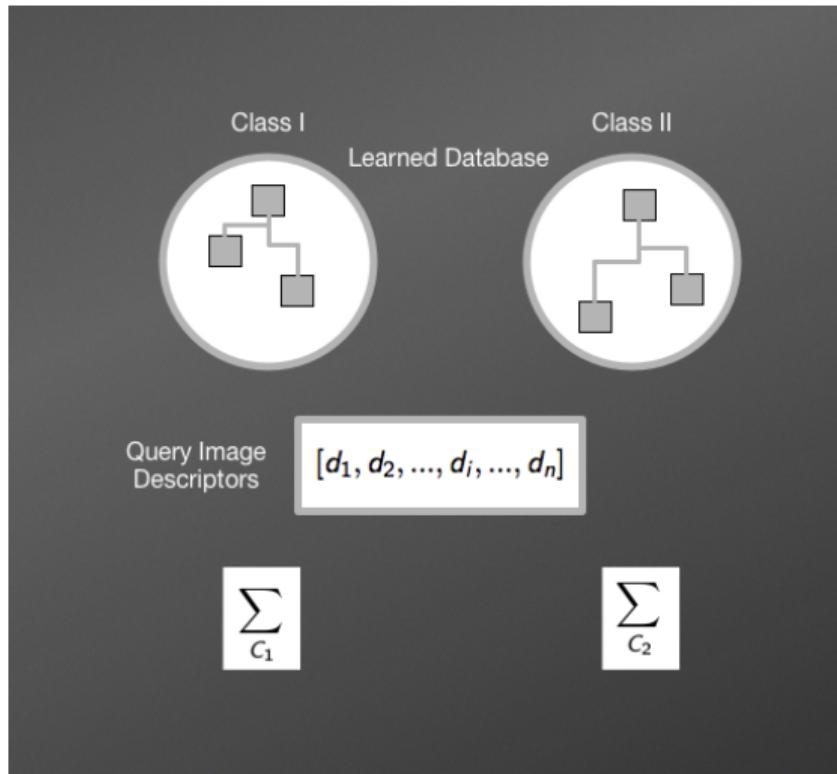
$$\hat{C} = \arg \min_C \sum \|d_i - NN_C(d_i)\|^2 \quad (3)$$

where  $\hat{C}$  is estimated Class to which this image (and underlying EEG signal windows) should belong whereas  $d_i$  is the i-th descriptor obtained from the query image and  $NN_C(d_i)$  is the near neighbor descriptor for each class.

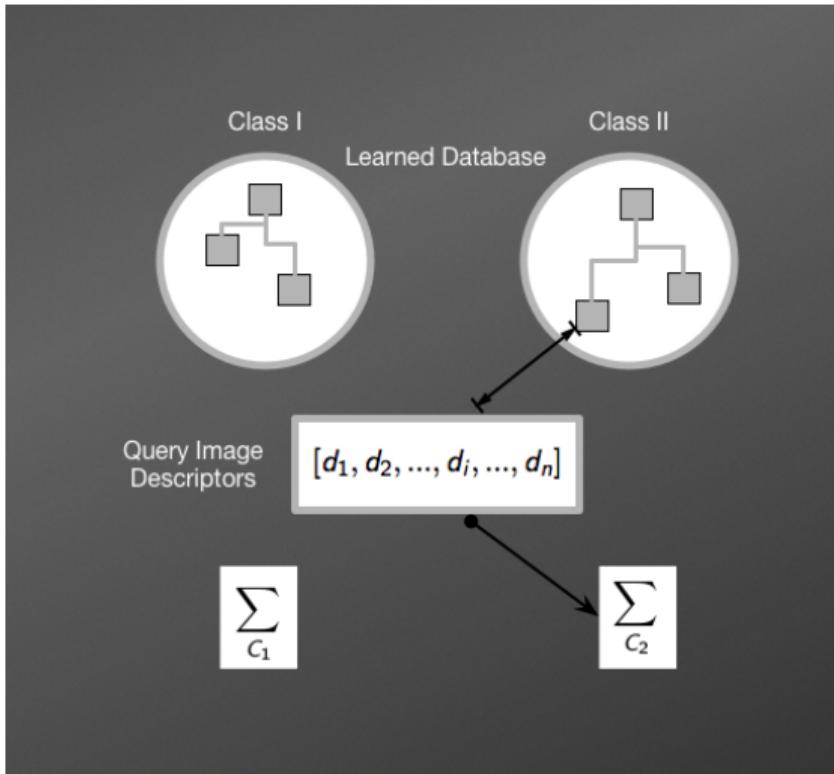
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<sup>2</sup>Boiman, Shechtman, Irani 2008.

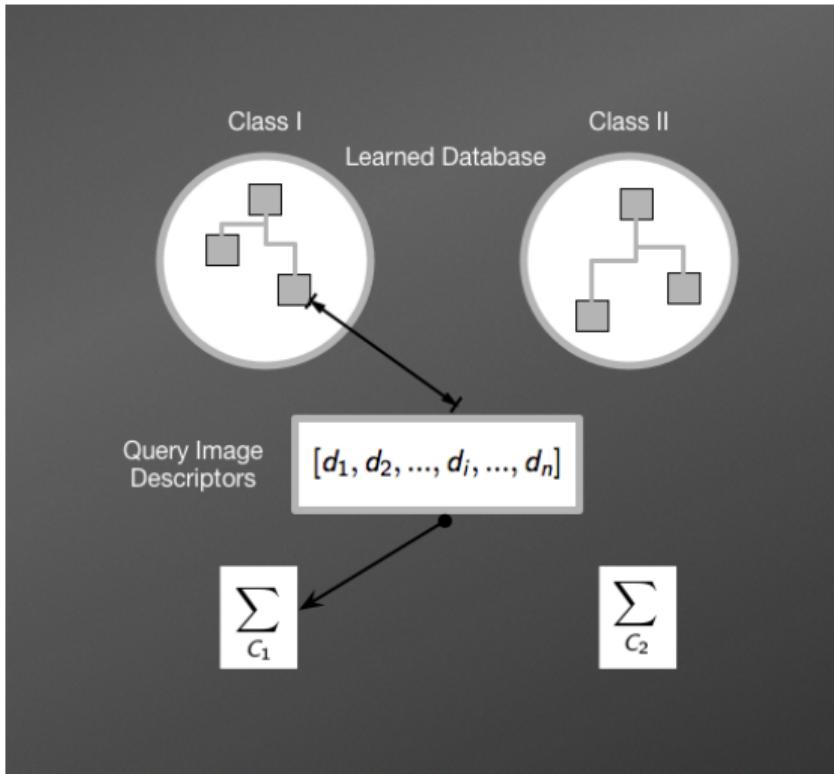
# Classification



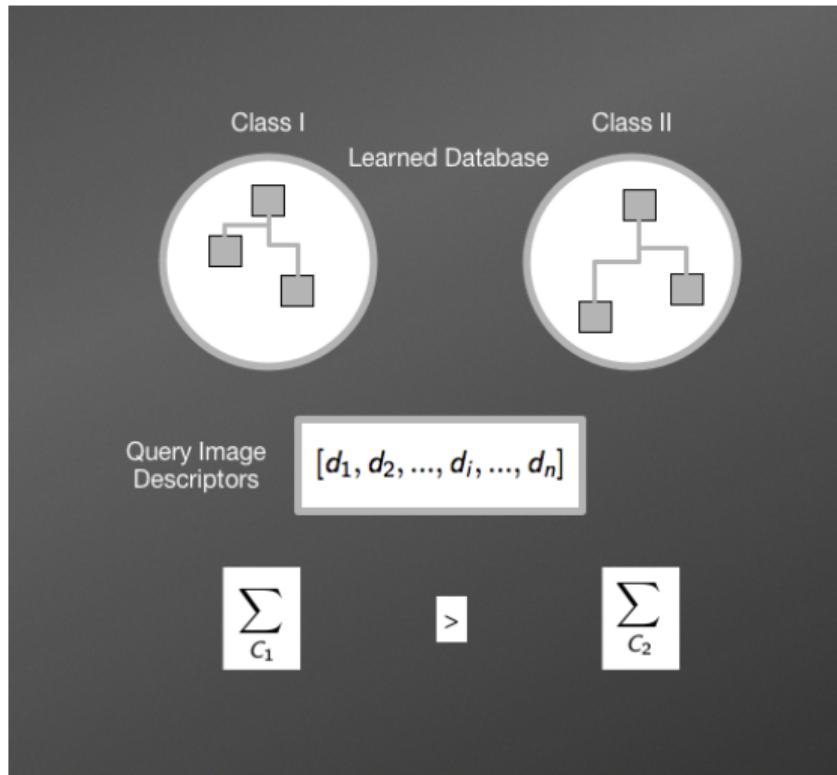
# Classification



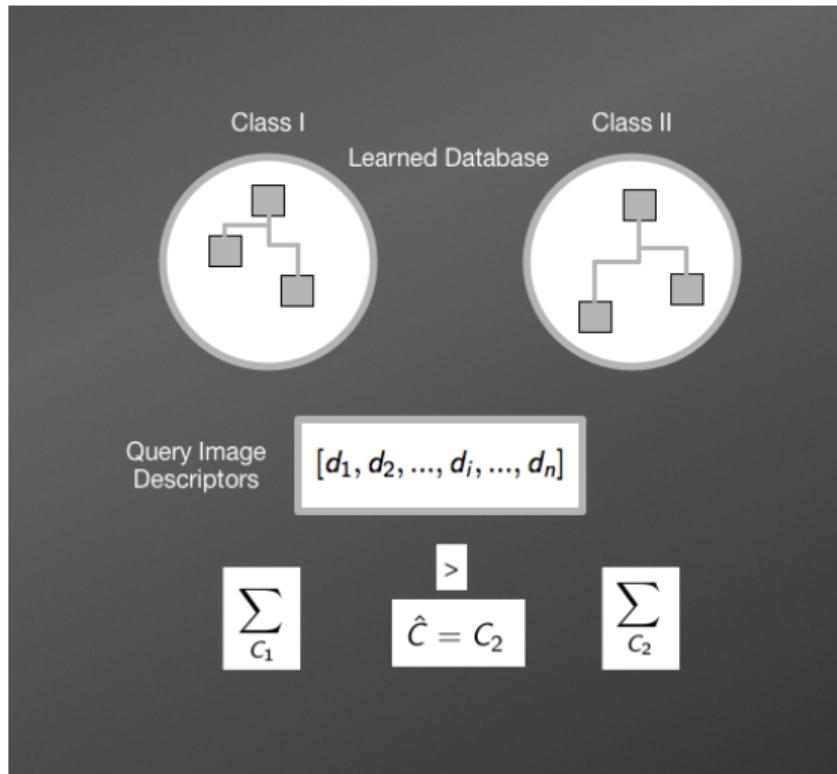
# Classification



# Classification

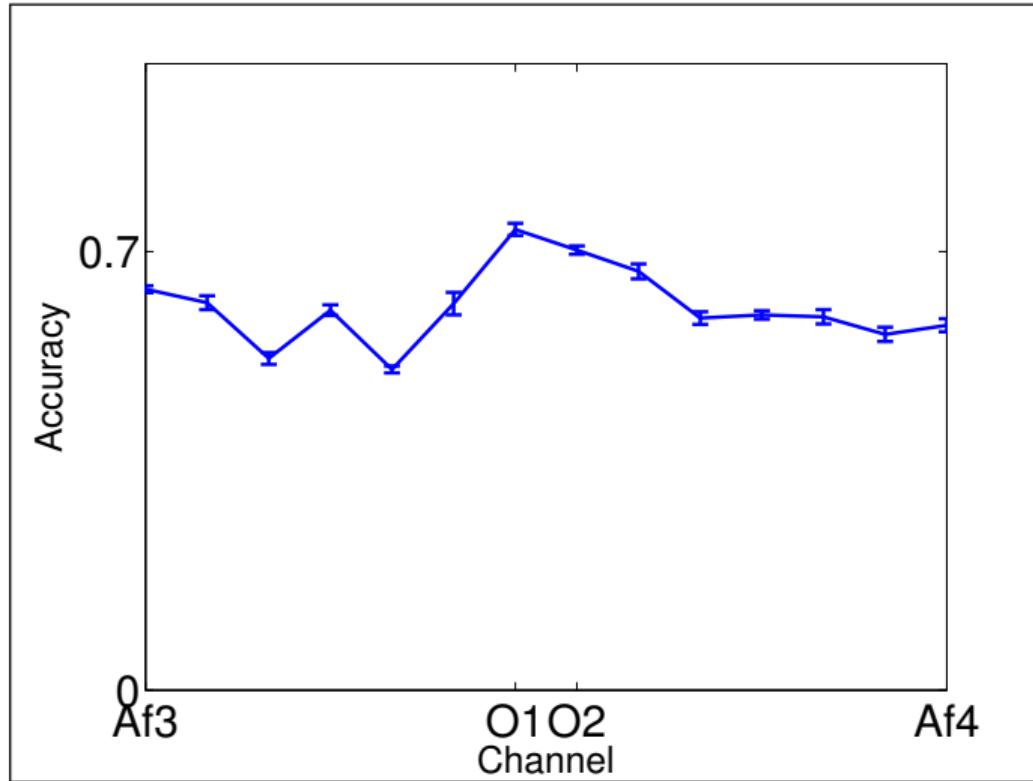


# Classification

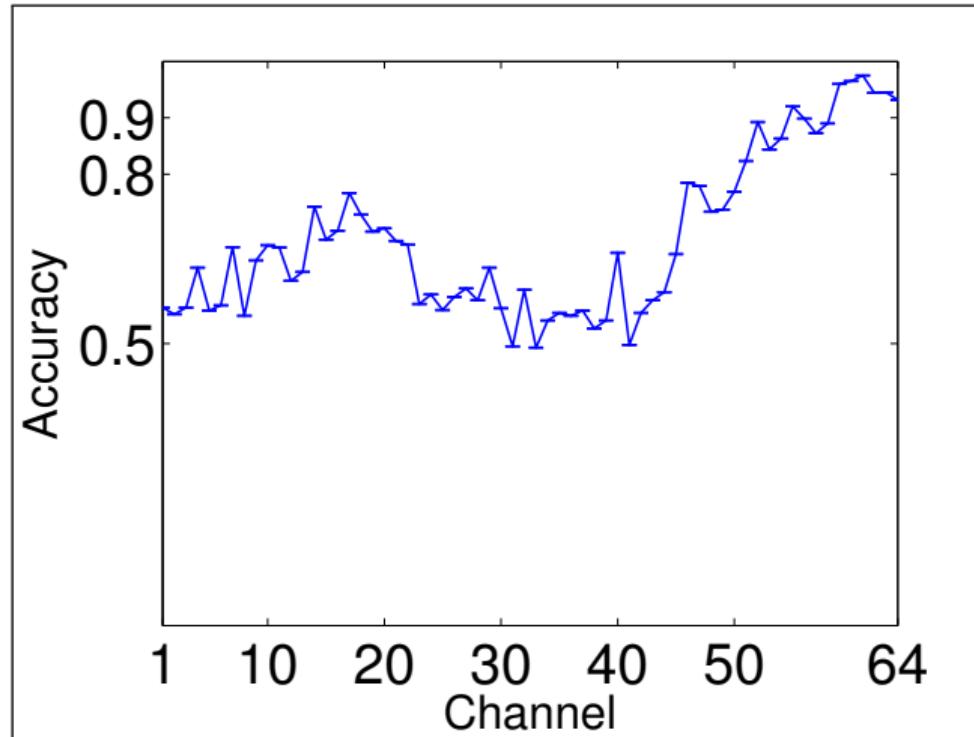


# Offline Results

# Dataset I: Subject Independent $\alpha$ Waves<sup>3</sup>

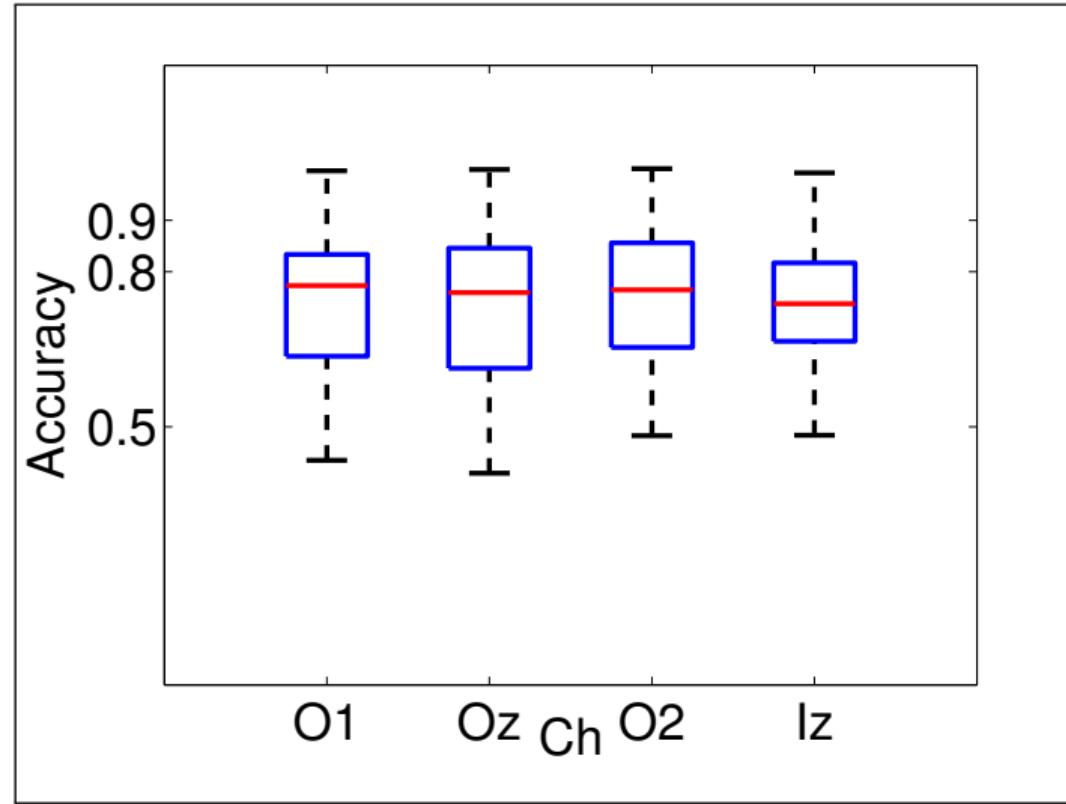


## Dataset II: EEG Dataset, Runs 1 and 2<sup>4</sup>

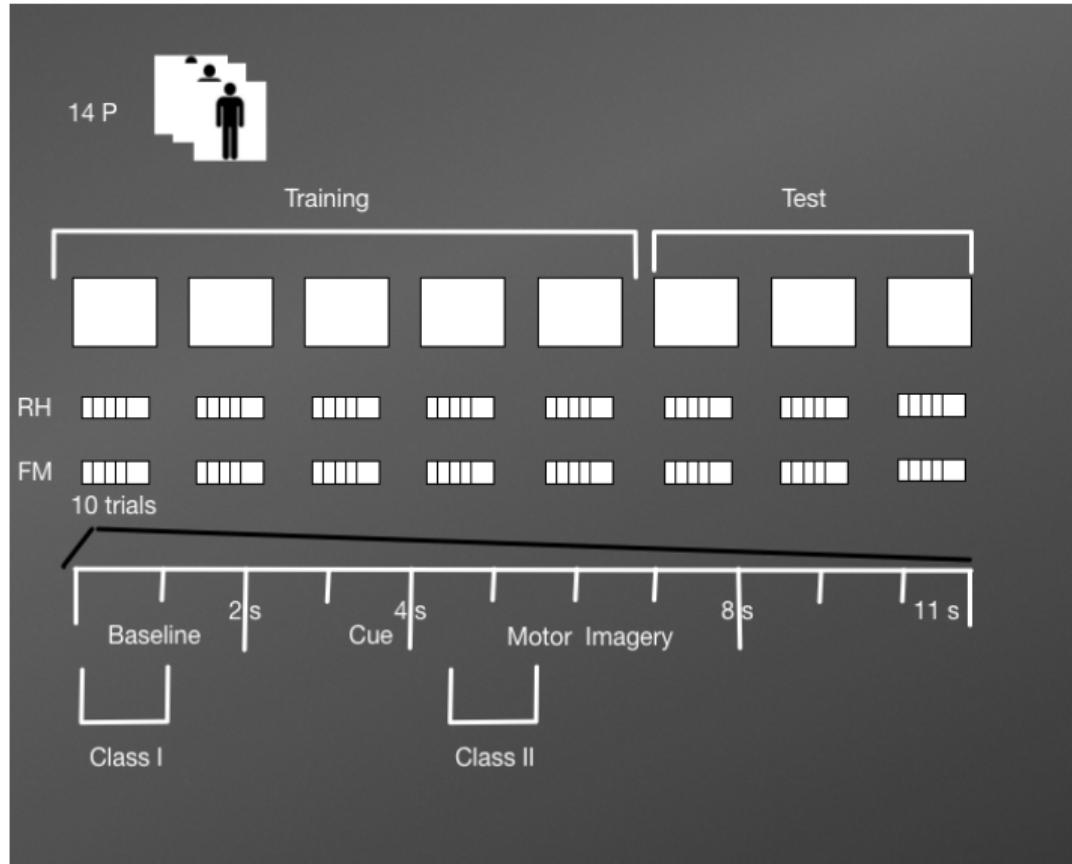


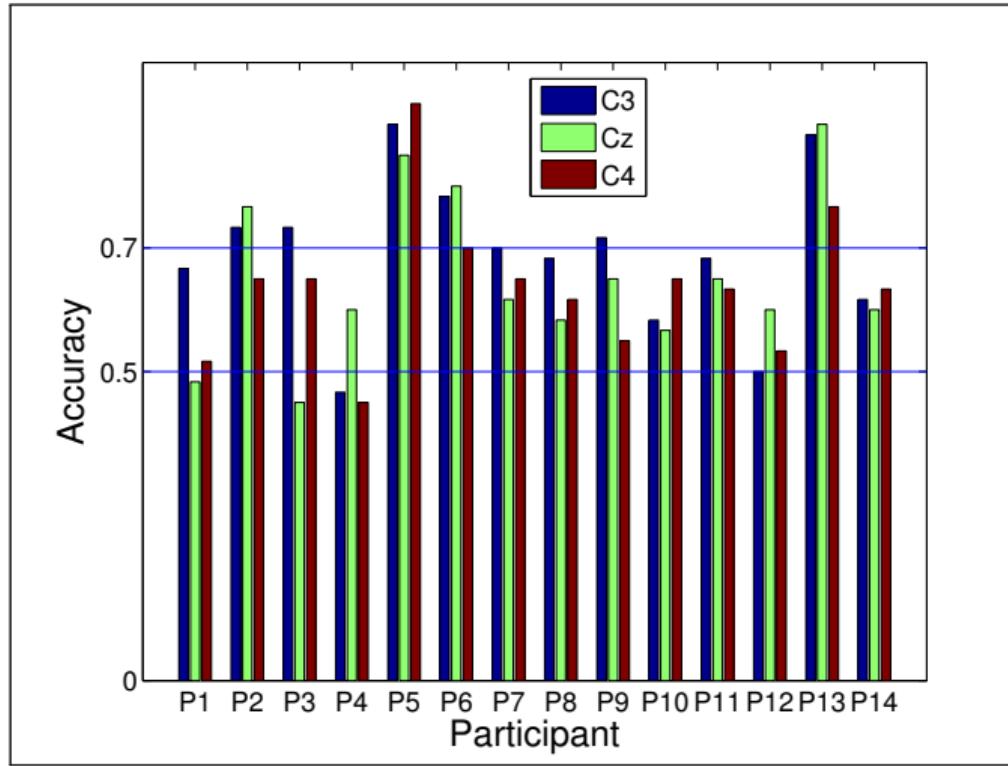
10-Fold Cross validated accuracies values for one random subject.

## Dataset II: EEG Dataset, Runs 1 and 2<sup>5</sup>

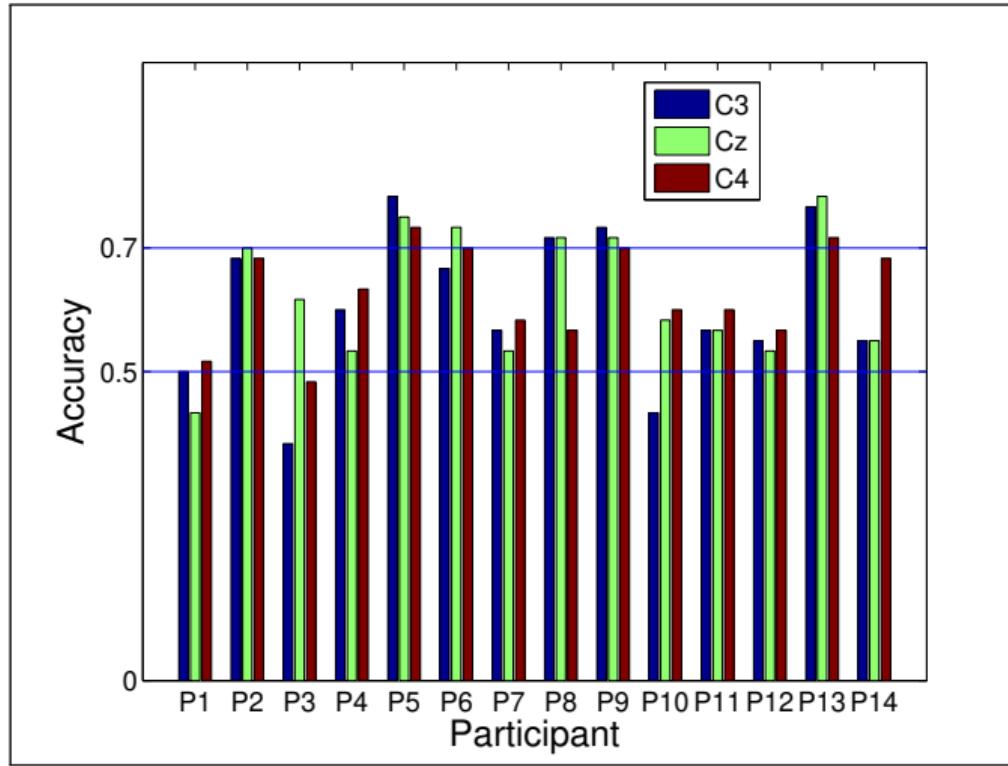


# Dataset III: Motor Imagery<sup>6</sup>

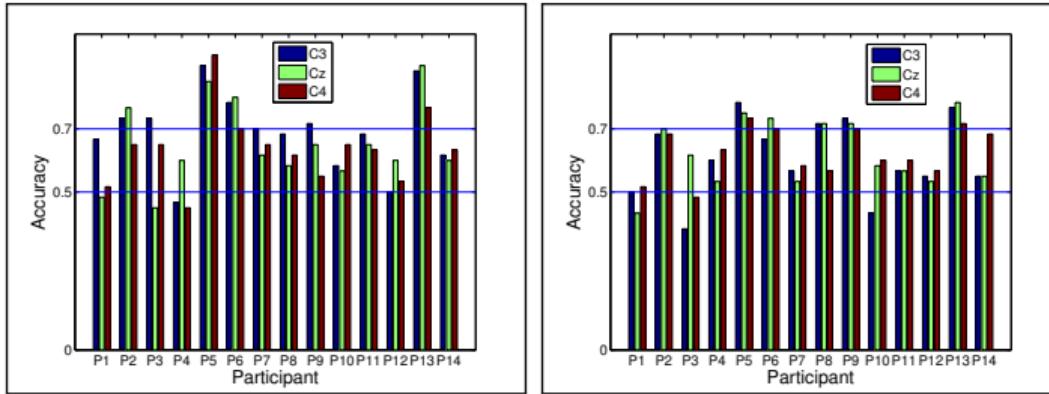




Accuracy for the BCI Simulation classifying Baseline vs. RH (Right Hand) motor imagery.



Accuracy for the BCI Simulation classifying Baseline vs. FM (Feet Movement) motor imagery.



Comparative results obtained for the Offline BCI Simulation using MI RH (left) and MI FM (right)