

Article

EEG Waveform Analysis with applications to Brain Computer Interfaces

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- Abstract: The Electroencephalography is not just a mere clinical tool anymore. It has become the
- de-facto mobile, portable, non-invasive brain imaging sensor to harness brain information in real time
- and translating or decoding brain signals, detecting information that can be used to diagnose disease
- or implement Human Computer Interaction devices. The traditional automatic approach which is
- based heavily on using digital tools to detect the cloaked information in the signal, outshining the
- 6 research done by the EEG community which was based heavily on EEG waveforms: the structure
- of signal plots. The purpose of this work is to provide a bridge by doing a survey and description
- of the automatic methods that has been used to detect patterns in the waveforms, and to perform
- a benchmarking approach to determine different characteristics of those methods aiming to detect
- specific waveforms mimicking what technician has been done since the inception of this fruitful
- 11 technology.
- Keywords: electroencephalography (EEG); ERP,VEP,waveform, signal structure

13 0. Introduction

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Current society is demanding technology to once and for all provide the means to realize the utopia of social inclusion for people with disabilities. At the same time, a worldwide aging population 15 is also asking for means to extend active lifestyles throughout all life, including the extending years that medicine is allowing us to have. Finally, our digital gadgets and the digital revolution have modified the way we interact with all our devices. A new emerging digital society is consolidating: smart wearable health sensors are required to implement automatic detection of situatedness or detecting health activities, in an active or passive way. All our communication with devices is based 20 on movement (introduction gauger state of the art 7), but all these trends are precisely pushing this boundary beyond the limitation of our body, beyond the limitation of our own movement. A new form of Human Machine Interaction was needed and this is how Brain Machine Interfaces were born. Moreover, as long as we keep putting computer inside every machine (REF IOT), this will be not other thing that Brain Computer Interfaces (BCI). In the center of all this hype, we can find a hundredth year old technology, rock-solid as a diagnosis tool, which greatly benefited from the shrinkage of sensors, computer power, wireless protocols and advanced electronics: the Electroencephalogram (EEG). 27

EEG sensors are wearable (Sensors EEG Review, TOward mobile EEG), non-invasive, portable and mobile, with excellent temporal resolution, and acceptable spatial resolution (EEG Patterns). This humble diagnosis device has been transformed into currently the best approach that we have to precisely detect, out-of-the lab, information from the Brain, directly from the source of volition (footnote), and to use that information to drive our cars, steer our drones, write our emails, and control our wheelchairs (references of everything)

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The traditional approach to analyze EEG signals were based on detecting visual patterns out of the EEG trace or polygraph (Atlas of EEG patterns): analog and reference signals were extracted and plotted over a piece of paper, continuously. Electroencephalographers or Electroencephalography technician have decoded and detected patterns in the signals by visually inspecting the signals. Moreover, clinically, nowadays EEG remains a visually interpreted test (ATLAS EEG)

With the development of automatic electronics, first analog electronic devices and later computarized digital processing methods, mathematically and algorithmically complex procedures were established to decode the information with incredible success.

However, the traditional and knowledgeable approach was mainly overshadow, and the waveform of the EEG was replaced by a black box approach.

The aim of this study is threefold: first to review current literature of EEG processing techniques which are based on analysis of the waveform. The second is to evaluate and study the methods by analyzing its performance against a pseudo-real dataset. We aim to provide a better understanding of the different approaches. We believe that the importance of the waveform analysis methods, as described here, is that by using these kind of approaches colaboration is fostered because there is a clear description and characterization of the signal and the extensive literature which explores EEG can be reviewed from the same point of view.

Enhancing this bridge will be beneficial to both ends: connections between BCI community and other communities (ref Nijboer, BCI a Review), and at the same time an automatic approach to empower what technician regularly do in EEG diagnosis.

BCI REsearch is 71.2 percent based on EEG (recent advancent summary 2016)

We believe that studying specific componentes may lead to better understanding of the neuro component.

1. Electroencephalography Waveform Analysis

distinguishing the pertinent signal characteristics from extraneous content and representing them in a compact or menaingful form, amenable to interpretation by a human or computer (Wolpaw and Wolpaw)

Shape or waveform analysis methods are considered as nonparametric methods (in opposition to statistical or dynamical models). They can explore the amplitude, energy, teager energy operand, or more complex like the L-Z Lempel-Ziv complexity measurement (thakor qEEG).

The Electroencephalogram is one of the most widespread used device to capture brain signals. It was initially developed by Hans Berger and has been extensively used for decades to diagnose neural diseases and other medical conditions.

The first thing that he saw was the visual cortical alpha wave characterization, the Berger Rythm. Its amplitude and power determine that this feature can be coherently associated to a cognitive phenoma (eyes closed). We should ask ourselves if the EEG should be described years later if this particular event wasn't so evident.

The EEG signal is not stationary, highly complex. It can be characterized as a linear stochastic process with great similarities to noise (Thakor EEG). They are measured in microvolts. they have many artifacts.

The most important part to consider is that of montage. It could be bipolar or referencial. In general EEG can be rereferenced offline. The traditional convention, somehow maintained in neuro research, downward polarity was considered negative and upward deflection for negative (Knott, 1985) It is of utmost importance to remark that EEG waveforms repre- sent the differential voltage between a given electrode and the recording reference. It is therefore clear that the choice of reference completely determines EEG wave- forms (Lehmann, 1987; Dien, 1998), an important method- ological consideration that all too often is still not recog- nized in the EEG literature. For example, recording with a vertex (Cz) reference would lead to small EEG deflections in the proximity of Cz due to potential synchronization of firing activities within closely spaced brain regions and vol- ume propagation of

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the EEG signal. Similarly, recording with mastoid or linked ears montage would lead to rather small waveforms at electrodes positioned over temporal brain regions (Pivik et al., 1993).

The automatic processing of EEG signals can be called qEEG is precisely the opposite of what we are doing here, quantite analysis (OJO)

The conventional clinical method of observing the waveform is thought to be subjective and laborius because the results depend on the technicians' experience and expertise. This call for objective measurements pushed the adoption of qEEG methods and the need for automation (Thakor qEEG)

Some initial works on EEG explored the idea to extend human capacities analyzing EEG waveforms (automatic detection of k complexes), (A Waveform Analyzer Applied to the Human EEG) where a feature from amplitude and frequency of its signal and its derivative in time-domain is used. Althought CASENET REFERENCe explored "waveform" structure they were purely based on spike detection based on feeding artificial neural networks. It is likely that the Matched Filter approach (linear) gave poor results and nonlinear methods based on neural network were being explored. It has been the traditional approach for technician, but the advent of electrical processing, more sophisticated methods.

EEG has been used extensively on Sleep Research, by performing Polysomnographic recordings (PSG) (reference of the german paper of Sleep Research) where scoring of EEG to determine different sleep stages were performed by visually marking waveforms or graphoelements looking for patterns based on standardized guidelines.

Visual characterization includes the identification or classification of componentes, or transient events, based on phase, monophasic or biphasic (i.e. positive and negative component) where different components are measured, relation between them is stablished and index are created (e.g. sleep K-Complex is well characterized based on relation rates between positive vs negative amplitude) (REFERENCE). Relevant EEG patterns for sleep stage scoring are alpha, theta, and delta waves, sleep spindles, polysplindles, K-complexes, vertex sharp waves (VSW), and sawtooth waves (REM Sleep)

Transient phenomena allows also to record occurrence and temporal sequence (mimicking spike analysis in neuro reserach)

The traditional approach do not consider waveform even though the brain oscilations are in general nonsinusoidal (reference)

This approach is relative common in chemical analysis (i.e. chemometrics Skoog, D.; West, D.; Holler, F. Analtyical Chemistry, An Introduction; Saunders: Philadelphia, 1994.), geology (sismic analysis), and quantitive financial analysis. EKG, or Electrocardiogram, on the other hand, has been extensively processed and analyzed by waveform methods.

Slight variations in whether slopes or other features are scored may be important to certain applications.

waveform, phase, amplitude and frequency

waveform amplitud plotted against time

characteristic shape, or waveform. rising phase falling phase pronounced plateau ripples, wiggles, P,Q,R,S,T peaks in EKG analysis (REFERENCE)

aEEG, amplitude integrated electroencephalography or cerebral function monitoring (REFERENCE)

Artefacts: endogeneous exogeneous Non-Stationarity: this means that this and that. What does it mean in terms of the signal. DC drift and trending Basal EEG activity

Inter-subject and intra-subject variability

Non-exhaustive list of transient events

Determination of transient events, and particularly amplitude of different subcomponents, latency or even phase, has proved very importan concequences in terms of the different cognitive approach.

Rhythms in neural activity are observed across various temporal and spatial scales and are often referred to as oscillations (see Glossary) [1]. Traditionally, neural oscillations have been clustered into canonical frequency bands, including delta (1–4 Hz), theta (4–8 Hz), alpha (8–12 Hz), beta (15–30

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Hz), gamma (30–90 Hz), and high gamma (>50 Hz). These bands roughly correspond to frequency ranges commonly observed in human electroencephalography (EEG) studies. Although they have been observed for nearly a century, recent theories suggest that these oscillations play an active role in neural communication

Hippocampal theta oscillations, for example, are among the best-studied rhythms in the local field potential (LFP); they have a stereotyped sawtooth shape

Standard signal processing characterization (chemical, time series reference, sismic reference) can also be applied.

EEG is a multidimensional signal non-stationary

Amplitude Arch Frequency Phase Nonsinusoidal Sinusoidal Oscilation Sawtooth: motor cortical beta oscillations Sharpness Spike-wave discharge Transient event

inverse problem is mathematically intractable Voytek 2009

Brainwaves may be missleading because what is actually been harnessed is electrical potentials over the scalp

Reference to the good paper about how EEG actually works

(Paper de Spinelli)

CFC, Cross Frequency Coupling, sharper, arch comb or wicket shape, sawtooth, rectangular, spike-wave like, decay phase, voltage rise, peaks and troughs, short term voltage change around each extrema in the raw trace, peak and trough sharpness ratio, symmetry between rise and decay phase, slope ratio (steepness of the rise period to that of the adjacent decay period.

Central trough is sharper and more negative that the adjacent troughs.

Phase-Amplitude Coupling, Phase-Phase Coupling.

Dimensionality reduction which is truly applied when the waveform is actually formed

Oscillatory activity can also have their differente or distinctive waveforms. Slow oscillations, which are assosiated with REM, sawtooth-shaped. Sleep spindles can also be considered oscilations and they have a distintinc form assosiated with stage 2 dream. Visual Cortical alpha and rolandic central mu waves arch-like structure (similar to the greek letter mu). Slope Ratio. Trough voltage remains contstant while peak voltage fluctuates. Steep slopes, Amplitude asymmetry ponto-geniculo-occipital (PGO) waves

In sleep research sleep 2 stage the background of KComplex and sleep spindles is theta waves

Another approach which is very related to EEG waveform analysis is the detection of latency, amplitude and phase components in ERP. It is known that these characteristics can be mapped to cognitive or disease situations. We hypothesize that that including more approaches can lead to a better understanding or opening of a new field.

by application to Brain Computer Interfaces or EEG diagnosis (BCI a review, BCI Vidal 1973)

Seizures captured in their entirety typically show progression from low-voltage, high-frequency spikes to high-voltage, low-fre- quency spike-and-slow wave activity, before stopping abruptly and being replaced by background slowing or suppression (Fig. 29.9). Usual morphologic features include typical rhythmic, gen- eralized, symmetric spike-and-waves or polyspikes and waves at 2 to 3.5 Hz; atypical spike and wave with lower frequency and less symmetry; multiple spike-and-wave (repetitive complexes of two or more spikes followed by a slow wave); and high-voltage, repetitive, rhythmic, focal or generalized delta activity with inter- mixed spikes, sharp waves, or sharp components (Fig. 29.24) (45). Diagnosis is more difficult when the seizure (or SE) pre- cedes the beginning of the tracing and continues beyond its end. In such cases, rhythmic sharp features, typically faster than 1 Hz, may be seen, often with variability.

(e.g. FIRDA - Frontal Intermittent Rhythmic Delta) and posteriorly in children e.g. OIRDA - Occipital Intermittent Rhythmic Delta).

alpha dissapears when alerting by any mechanism (thinking, calculating)

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Rhythmic. EEG activity consisting in waves of approximately constant frequency. Arrhythmic. EEG activity in which no stable rhythms are present. Dysrhythmic. Rhythms and/or patterns of EEG activity that characteristically appear in patient groups or rarely or seen in healthy subjects.

Attenuation (synonyms: suppression, depression). Reduction of amplitude of EEG activity resulting from decreased voltage. When activity is attenuated by stimulation, it is said to have been "blocked" or to show "blocking".

Hypersynchrony. Seen as an increase in voltage and regularity of rhythmic activity, or within the alpha, beta, or theta range. The term implies an increase in the number of neural elements contributing to the rhythm. (Note: term is used in interpretative sense but as a descriptor of change in the EEG). Paroxysmal. Activity that emerges from background with a rapid onset, reaching (usually) quite high voltage and ending with an abrupt return to lower voltage activity. Though the term does not directly imply abnormality, much abnormal activity is paroxysmal.

Monomorphic. Distinct EEG activity appearing to be composed of one dominant activity

Polymorphic. distinct EEG activity composed of multiple frequencies that combine to form a complex waveform.

Sinusoidal. Waves resembling sine waves. Monomorphic activity usually is sinusoidal.

Transient. An isolated wave or pattern that is distinctly different from background activity.

a) Spike: a transient with a pointed peak and a duration from 20 to under 70 msec. b) Sharp wave: a transient with a pointed peak and duration of 70-200 msec.

generalized, focal or lateralized.

Artifact detection and averaging.

2. Materials and Methods

In order to determine which processing method to be considered as a "waveform template matching" we restrict ourselves to the following criteria:

- The pattern can be identified and verified by visual inspection.
- The pattern matching is performed in time-domain.
- The analysis take account the shape of the plot of the signal.
- They can be used as templates.
- Transient events?
 - Single Channel

Although the term morphology has been used to identify this approach, We specifically excluded morphological based methods [14]. On the other hand, Morphological Component Analysis is a variant form of Blind Source Separation which can be considered the extension of Matching Pursuit algorithm. (cita a esa parte del paper).

As described in (Mixed Domain Signal Analysis) the Pattern Matching problem in Signal processing is finding a signal given the region that best describes the structure of the pattern.

2.1. Peak Picking

The name of many of the EEG features reference indeed peaks like P300 or P3a P3b or N100. This leads to a natural way to classify them visually by selecting appropriate peaks and matching their positions and amplitudes in an orderly manner.

Although of limited usability, peak picking is the first automatic method of detection and has been used to determine latency of transient events in EEG. Straighforward in its implementation, it consist in selecting a component, particularly a simple component based on the expected location of its more prominent deflection.

Ouyang2017,Zhang2011

difference of areas (trabajo Mexicanos)

integrated activity (area measure) (area measure)

It was used in Farwell and Donchin work on P300 (Wolpaw and Wolpaw).

229 2.2. Woody's Template Matching

Cross Covariance with Template or Cross Correlation

Applying a FIR filter with templates

Krusienski et al 2007 Serby et al 2005

extended to wavelent analysis.

234 2.3. Matching Pursuit

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Pursuit algorithms refer, in their many variants, as blind source separation techniques that assume that the EEG signal is a linear combination of different sources that comes from template dictionaries.

We include them here because they are traditional in terms for the traditional view of Morphological Analysis or Shape domain analysis, though they do not restrict to our own definition of "shape domain processing".

However in terms of

241 2.4. Dynamic Time Warping

Dynamic Time Warping is a technique

243 2.5. Wrapping Method

244 2.6. Permutation Entropy

Bond and Pompe PE method.

2.7. ACF: Autocorrelation Function

2.8. Waveform Complexity

Lempel-Ziv method L-Z complexity

249 2.9. Slope Horizontal Chain Code

contour representation based on an adapted version of the Slope Chain Code (SCC)

251 2.10. Warp Averaging

2.11. EEG based on Histogram of Gradients

253 2.12. Experimental Protocol

In order to verify each one of the approaches we will inject into a known dataset spurious p300 signal that we may be able to control. By implementing this pseudo-real approach, we can effectively control null-signals of this evoked potentials following the same approach of other similar works (ref Ouyang2017, el trabajo de estudio de templates y el trabajo de p3).

At the same time adding a mixed signal with varying degrees of SNR will allow us to determine robustness of the different methods.

The second part, we will specifically find the P300 signal from a dataset.

amplitude latency

in a single trial approach. The template was obtained from the point to point average of a standard p300 experiment (published elsewhere).

The original signal-to-noise ratio was calculated as Hue 2010 as

- Peak Finding
- Woody Template Matching

- Permutation Entropy
 - SHCC (los mexicanos me dijeron que me dan el codigo para matlab)
 - BCI SIFT

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- Inyectar una señal en un tren de EEG simulando el P300
 - Probar con diferentes metodos la capacidad de detectar si un segmento contiene o no el patron
- Probar con diferentes metodos la capacidad de identificar correctamente la localizacion del patron.
- Probar variando la señal relacion ruido usando la formula de Huo y con eso ver como cada metodo permite mantenerse.

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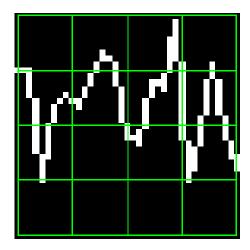


Figure 1. The local patch is located around a sample point plotted on the temporary image. All the sample points are interpolated using the Bresenham algorithm. The 4x4 block grid can be seen on the patch as well as the green arrows showing the dominant gradient on each block.

2.12.1. Classification

In order to classify we will use the same classifier for every method. By doing this we will avoid

2.12.2. Parameters

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3. Results

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Table 1. Accuracy levels obtained by a 3-fold cross validation. The values reported by the dataset publishers for Cz are reproduced here for comparison. Additionally, BCI accuracies for channel Cz can be seen as well as performance levels and their standard deviation obtained for the BPC, the best performing channel for each subject.

Participant	Original Cz	ACC at Cz	BPC	Performance
1	0.84	0.79	Cz	0.79 ± 0.01
2	0.86	0.81	PO7	0.93 ± 0.01
3	0.87	0.78	Cz	0.78 ± 0.03
4	0.86	0.68	PO8	0.90 ± 0.01
5	0.86	0.80	PO7	0.93 ± 0.01
6	0.89	0.96	Cz	0.96 ± 0.01
7	0.89	0.78	PO7	0.93 ± 0.01
8	0.92	0.91	PO7	1.00 ± 0.00
1	0.84	0.81	Fz	0.82 ± 0.0017
2	0.86	0.82	Fz	0.85 ± 0.0011
3	0.87	0.82	Cz	0.82 ± 0.0011
4	0.86	0.81	PO7	0.82 ± 0.0012
5	0.86	0.82	Fz	0.82 ± 0.0021
6	0.89	0.81	Fz	0.82 ± 0.0016
7	0.89	0.83	Fz	0.84 ± 0.0011
8	0.92	0.82	PO7	0.84 ± 0.0020

4 4. Discussion

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This method, different from other methods which is based on the nonlinearity of the gradient of histograms which can be used to detect

is also based on how the image look like.

SNR of p300 and how to detect it

Check if you can use this to detect any kind of transient signal.

Compare if it is possible with the descriptors from one subject, discriminate the others.

Channal identification based on the metric distance between the bags

93 5. Conclusion

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- Author Contributions: This projects is part of a the first author's PhD Thesis which is directed by Juan Miguel Santos and codirected by Ana Julia Villar.

297 Abbreviations

The following abbreviations are used in this manuscript:

300 EEG: electroencephalography

301 BCI: Brain Computer Interfaces

302 SNR: Signal to Noise Ratio

303 CNS: Central Nervous System

304 ALS: Amyotrophic Lateral Sclerosis

305 ERP: Event-Related Potential

P300: Positive deflection of an Event-Related Potential which occurs 300 ms after onset of stimulus

307 ITR: Information Transfer Rate

308 BTR: Bit Transfer Rate

309 SIFT: Scale Invariant Feature Transform

310 HOG: Histogram Of Gradients

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