

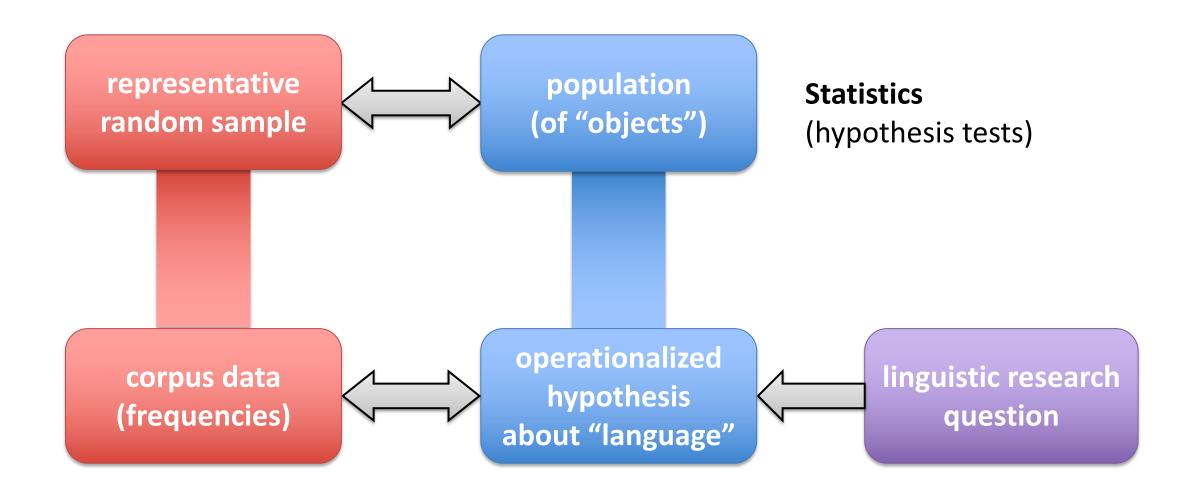
4. Corpus design & linguistic annotation

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Representativeness

- a corpus should be representative of the (sub-)language to be studied
- statistics: random sample
- full representativeness difficult to achieve
- must at least be balanced (= good coverage of different registers, speakers, ...)
- and avoid bias or skew towards any particular group of speakers, text type, topic, ...

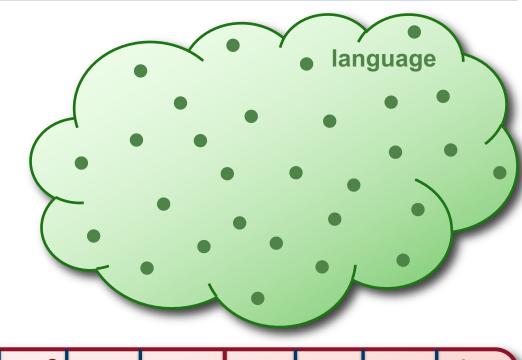
Comparability

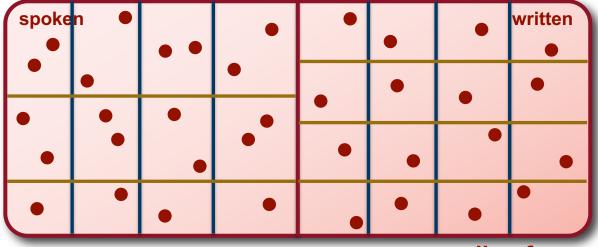
- corpus linguistic analysis often builds on frequency comparison between different corpora or sub-corpora
- prerequisite: comparable corpora



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- Statistics: completely random sample
 - extensional population of interest, i.e.
 a (possibly infinite) collection of objects
 - randomly select n objects from population
- But what about language?
- Design criteria → sampling frame
 - dices up and defines linguistic population
 → make relevant texts identifiable
 - "A sampling frame is an operational definition of the population, an itemized listing of population members from which a representative corpus can be chosen." (Biber 1993, 244)
 - pick specified number of items from each cell (related to stratified sampling)









Definition of a sampling frame

- fundamental distinctions: mode (spoken/written/written-to-be-spoken), medium
- text characteristics: (publication) date, author (single/multi/anon), region, target audience, ...
- function of text: genre / text type (factuality, purpose, situation, ...), topic domain, ...
- properties of author/speaker: sex, age, dialect, social class, ...
- see Atkins et al. (1992) for a comprehensive system of categories

Balance

- include texts from all (combinations of) categories in the sampling frame = grid cells
- avoids bias/skew → balanced coverage of the "language" population

Representativeness

- sampling frame makes population identifiable (for each combination of categories)
 - → random selection of texts for each cell
- must specifiy proportion of texts to be sampled from each category = prevalence in language





Further reading

- Atkins, Sue; Clear, Jeremy; Ostler, Nicholas (1992). Corpus design criteria.
 Literary and Linguistic Computing, 7(1), 1–16.
- Biber, Douglas (1993). Representativeness in corpus design. *Literary and Linguistic Computing*, **8**(4), 243–257.
- HSK 29.1 Corpus Linguistics, Art. 9
- HSK 5.4 Dictionaries: Computational Lexicography, Art. 96 (Ch. XVIII)



How would you design a corpus for a study of evaluative language in music reviews?

... or another research question?



Assignment of presentation topics







- Object data = texts
 - primary data, main object of analysis
- Metadata = information about the texts
 - title, author, publication date, text type, medium, ...
 - age, sex, education, region, dialect, ... of authors
 - always include all variables used to define sampling frame
- Typographic markup & text structure
 - paragraphs, headings, bold/italics, typeface, itemized lists, footnotes, ...
- Annotation = linguistic interpretation
 - simple (token level) vs. structured (e.g. syntax tree)
 - essential for querying and analyzing large corpora

Corpus annotation:

raw text + metadata



It seemed a day much as any other until I happened to look out of the back window. There was a little garden behind the house; a well-mown lawn surrounded by a neatly cut hedge, a few bushes and colourful flowers.

metadata

title: The Garden

author: Stefan Evert

author sex: male

date: 05.08.1991

Corpus annotation: tokenization



It seemed a day much as any other until I happened to look out of the back window. There was a little garden behind the house; a well-mown lawn surrounded by a neatly cut hedge, a few bushes and colourful flowers.

Corpus annotation: sentence segmentation



- <s> It seemed a day much as any other until I happened to look out of the back window . </s>
- <s> There was a little garden behind the house; a well-mown lawn surrounded by a neatly cut hedge, a few bushes and colourful flowers. </s>

Corpus annotation: part-of-speech (POS) tagging



<s> lt_{pp} seemed_{VBD} a_{DT} day_{NN} much_{RB} as_{IN} any_{DT} other_{JJ} until_{IN} l_{pp} happened_{VBD} to_{TO} look_{VB} out_{RP} of_{IN} the_{DT} back_{JJ} window_{NN ·SENT} </s> <s> There_{EX} was_{VBD} a_{DT} little_{JJ} garden_{NN} behind_{IN} the_{DT} house_{NN}; a_{DT} well-mown_{VBN} lawn_{NN} surrounded_{VBN} by_{IN} a_{DT} neatly_{RB} cut_{VBN} hedge_{NN ·, aDT} few_{JJ} bushes_{NNS} and_{CC} colourful_{JJ} flowers_{NNS ·SENT} </s>



* with TreeTagger-internal modifications



CC	Coordinating conjunction	
CD	Cardinal number	
DT	Determiner	
EX	Existential there	
FW	Foreign word	
IN	Preposition / subordinating conjuction	
IN/that	Subordinating conjunction that	
JJ	Adjective (positive)	
JJR	Adjective (comparative)	
JJS	Adjective (superlative)	
LS	List item marker	
MD	Modal verb	
NN	Noun, singular or mass	
NNS	Noun, plural	
NP	Proper noun, singular	
NPS	Proper noun, plural	
PDT	Predeterminer	
POS	Possessive ending ('s)	
PP	Personal pronoun	
PP\$	Possessive pronoun	
RB	Adverb	
RP	Particle	
SYM	Symbol (mathemathical/scientific)	
TO	to (any usage) fly to Paris, ready to go,	
UH	Interjection	
#	Pound sign \mathcal{E}	
\$	Dollar sign \$	

Verb <i>be</i> , base form		
Verb <i>be</i> , past tense		
Verb <i>be</i> , non-3rd pers. sg. pr	esent	
	Verb be, 3rd pers. sg. present tense	
Verb <i>have</i> , past tense		
	ive	
<u></u>	present	
· -	ssive	
Lexical verb, non-3rd pers. s	g. present	
Wh-determiner		
Wh-pronoun		
Possessive wh-pronoun		
Wh-adverb		
Sentence-final punctuation	.!?	
Comma	,	
Colon, semi-colon	·;	
Comma	$\overline{(I1)}$	
Comma	" " · · · · · · · · · · · · · · · · · ·	
	Verb be, past tense Verb be, gerund/progressive Verb be, non-3rd pers. sg. pr Verb be, 3rd pers. sg. present Verb have, base form Verb have, past tense Verb have, gerund/progressive Verb have, past participle Verb have, non-3rd pers. sg. Verb have, and pers. sg. present Lexical verb, base form Lexical verb, past tense Lexical verb, past tense Lexical verb, past participle Lexical verb, past participle Lexical verb, and pers. sg. Colon, semi-colon Comma Colon, semi-colon Comma	



German: STTS tagset

ADJA	attributives Adjektiv
ADJD	adverbiales / prädikatives Adjektiv
ADV	Adverb schon, bald, doch
APPR	Präposition / Zirkumposition links
APPRART	Präposition mit Artikel fusioniert zum
APPO	Postposition zufolge, wegen
APZR	Zirkumposition rechts von an
ART	bestimmter oder unbestimmter Artikel
CARD	Kardinalzahlen (Ordinalzahl = ADJA)
FM	Fremdsprachliches Material
ITJ	Interjektion mhm, ach, tja
KOUI	unterordnende Konj. mit zu + Inf
KOUS	unterordnende Konjunktion mit Satz
KON	nebenordnende Konjunktion und, oder
KOKOM	Vergleichskonjunktion als, wie
NN	normales Nomen
NE	Eigenname
PDS	substituierendes Demonstrativpron.
PDAT	attribuierendes Demonstrativpron.
PIS	substituierendes Indefinitpron.
PIAT	attrib. Indefinitpron. ohne Determiner
PIDAT	attrib. Indefinitpron. mit Determiner
PPER	Personalpronomen (nicht reflexiv)
PPOSS	substituierendes Possessivpronomen
PPOSAT	attribuierendes Possessivpronomen
PRELS	substituierendes Relativpronomen
PRELAT	attribuierendes Relativpronomen

PRF	reflexives Personalpronomen
PWS	substituierendes Interrogativpron.
PWAT	attribuierendes Interrogativpronomen
PWAV	adverbiales Interrogativ-/Relativpron.
PAV	Pronominaladverb dafür, deswegen
PTKZU	zu vor Infinitiv
PTKNEG	Negationspartikel nicht
PTKVZ	abgetrennter Verbzusatz kommt an
PTKANT	Antwortpartikel ja, nein, danke
PTKA	Partikel bei Adjektiv/Adverb am, zu
TRUNC	Kompositions-Erstglied Unter- und
VVFIN	finites Verb, voll (= lexikalisch)
VVIMP	Imperativ, voll
VVINF	Infinitiv, voll
VVIZU	Infinitiv mit zu, voll
VVPP	Partizip Perfekt, voll
VAFIN	finites Hilfsverb
VAIMP	Imperativ, Hilfsverb
VAINF	Infinitiv, Hilfsverb
VAPP	Partizip Perfekt, Hilfsverb
VMFIN	Finites Modalverb
VMINF	Infinitiv, Modalverb
VMPP	Partizip Perfekt, Modalverb
XY	Nichtwort mit Sonderzeichen 3:7, H2O
\$,	Komma ,
\$.	Satzbeendende Interpunktion .?!;:
\$(sonstige Satzzeichen (intern) -[]()



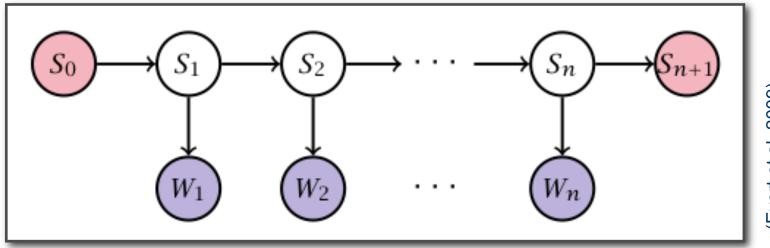


- Manual annotation for small, high-quality corpora
 - e.g. digital edition, political speeches, poetry/song texts, ...
- Annotation schema and categories
- Guidelines = detailed instructions for annotators
 - plus collection of examples for unclear / difficult cases
- Annotation tools (usually Web-based)
 - e.g. INCEpTION (https://prodi.gy)
- Inter-Annotator Agreement (IAA)
 - reliability and validity of the annotation
 - annotator mistakes vs. systematic differences

Automatic annotation



- Most successful approach: machine learning
- Need to cast annotation as classification task
- Gold standard = corpus with manual annotation
 - annotation must be consistent, errors seem unproblematic
 - separate into training, development and test data
- Example: tagging with Hidden Markov Model (HMM)
 - see e.g. Brants (2000), Schmid (1995)



Corpus annotation: lemmatization



<s> It_{PP} seemed_{VBD} a_{DT} day_{NN} much_{RB} as_{IN} any_{DT} other_{JJ} until_{IN} I_{PP} happened_{VBD} to_{TO} look_{VB} out_{RP} of_{IN} the_{DT} back_{JJ} window_{NN ·SENT} </s> <s> There_{EX} was_{VBD} a_{DT} little_{JJ} garden_{NN} behind_{IN} the_{DT} house_{NN}; a_{DT} well-mown_{VBN} lawn_{NN} surrounded_{VBN} by_{IN} a_{DT} neatly_{RB} cut_{VBN} hedge_{NN ·,} a_{DT} few_{JJ} bushes_{NNS} and_{CC} colourful_{JJ} flowers_{NNS ·SENT} </s></s>

Corpus annotation: lemmatization



need better

representation format

```
<s> Itppit seemedven seem apt a day much much ast as any any any
other other until In In I happened other to to look of look out out of the look out of the loo
of<sub>TN</sub> of the<sub>DT</sub> the back<sub>11</sub> back window<sub>NN</sub> window .s<sub>FNT</sub> </s>
<s>There<sub>EX</sub> there was<sub>VBD</sub> be a<sub>DT</sub> little<sub>TT</sub> little garden<sub>NN</sub> garden behind<sub>TN</sub> behind
thenthe house, house;; and well-mown, lawn
surrounded<sub>VBN</sub> surround by<sub>IN</sub> by a<sub>DT</sub> neatly<sub>RB</sub> neatly cut<sub>VBN</sub> cut hedge<sub>NN</sub> hedge , ,
a<sub>DT</sub><sup>a</sup> few<sub>JJ</sub><sup>few</sup> bushes<sub>NNS</sub><sup>bush</sup> and<sub>CC</sub><sup>and</sup> colourful<sub>JJ</sub><sup>colorful</sup> flowers<sub>NNS</sub><sup>flower</sup>
·SENT
```

XML markup of annotation

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Standard for data interchange & archiving

```
root element
                                    element annotated with attributes
<corpus>
 <story num="6" title="The Garden">
   >
                  start tag of XML element
     <S>
        <token pos="PP"
                        lemma="it">It</token>
        <token pos="VBD" lemma="seem">seemed</token>
        <token pos="DT" lemma="a">a</token>
        <token pos="NN" lemma="day">day</token>
                        lemma="much">much</token>
        <token pos="RB"
        <token pos="IN"
                        lemma="as">as</token>
        <token pos="DT"
                        lemma="any">any</token>
        <token pos="JJ"
                        lemma="other">other</token>
                         lemma="until">until</token>
        <token pos="IN"
        <token pos="PP"
                         lemma="I">I</token>
     </s>
   corresponding end tag
 </story>
</corpus>
```

XML markup of annotation Standard for data interchange & archiving



```
XML declaration
<?xml version="1.0" encoding="UTF-8"?>
<corpus>
 <story num="6" title="The Garden">
   >
     <S>
       <token pos="PP" lemma="it">It</token>
       <token pos="VBD" lemma="seem">seemed</token>
       <token pos="DT" lemma="a">a</token>
       <token pos="NN"
                        lemma="day">day</token>
       <token pos="RB"
                        lemma="much">much</token>
       <token pos="IN" lemma="as">as</token>
       <token pos="DT" lemma="any">any</token>
                        lemma="other">other</token>
       <token pos="JJ"
       <token pos="IN"
                        lemma="until">until</token>
       <token pos="PP"
                        lemma="I">I</token>
        . . .
     </s>
   </story>
</corpus>
```

XML markup of annotation

Standard for data interchange & archiving



```
<?xml version="1.0" encoding="UTF-8"?>
<corpus>
            metadata header
 <metadata>
   <author>
     <name>Stefan Evert</name>
     <sex>male</sex>
    </author>
    <publication>
     <title>Very Short Stories</title>
     <type>collection</type>
     <genre>fiction</genre>
    </publication>
 </metadata>
 <story num="6" title="The Garden">
    >
     <S>
        <token pos="PP" lemma="it">It</token>
        <token pos="VBD" lemma="seem">seemed</token>
        <token pos="DT" lemma="a">a</token>
        <token pos="NN" lemma="day">day</token>
        . . .
```



- XML (Extensible Markup Language) is a widely-used standard for structured annotation
- A well-formed XML document only specifies the structure of annotation, not its semantics
- DTD (document type declaration) or XML Schema specify valid element & attribute names
 - still doesn't explain semantics without documentation!
- Exchange formats for text corpora:
 TEI (Text Encoding Initiative), XCES (Corpus Encoding Standard),
 ISO 24612: LAF (Linguistic Annotation Framework)
 - but more efficient representation requiredd for corpus search etc.





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TEI standard (BNC)

38 ▽

39 🗸

<tagsDecl>

<namespace name=""> shoolloons of Hall

ACCURATION AND A

```
    H9C.xml* x

     1 √ <bncDoc xml:id="H9C">
     2 🗸
            <teiHeader>
                                     TEI header = metadata
     3 ▽
                <fileDesc>
     4 🗸
                    <titleStmt>
                        <title> The prince of darkness. Sample containing about 44223 words from a book
     5 🗸
     6
                            (domain: imaginative) </title>
     7 🗸
                        <respStmt>
                           <resp> Data capture and transcription </resp>
     8
                                                                                         text from British National Corpus
     9
                           <name> Oxford University Press </name>
    10
                        </respStmt>
                    </titleStmt>
    11
                    <editionStmt>
    12 ▽
                        <edition>BNC XML Edition, December 2006</edition>
    13
                    </editionStmt>
    14
                    <extent> 44223 tokens; 44797 w-units; 3933 s-units </extent>
    15
    16 ▽
                    <publicationStmt>
                        <distributor>Distributed under licence by Oxford University Computing Services on
    17 ▽
                            behalf of the BNC Consortium.</distributor>
    18
                        <availability> This material is protected by international copyright laws and may
    19 ▽
                           not be copied or redistributed in any way. Consult the BNC Web Site at
    20
                           http://www.natcorp.ox.ac.uk for full licencing and distribution
    21
    22
                           conditions.</availability>
                        <idno type="bnc">H9C</idno>
    23
    24
                        <idno type="old"> PDarkn </idno>
    25
                    </publicationStmt>
    26 ▽
                    <sourceDesc>
    27 ▽
                        <bibl>
    28
                            <title>The prince of darkness. </title>
                           <author domicile="Epping" n="DoherP1">Doherty, P C</author>
    29
                           <imprint n="HEADLI1">
    30 ▽
                               <publisher>Headline Book Publishing plc</publisher>
    31
    32
                                <pubPlace>London</pubPlace>
                               <date value="1992">1992</date>
    33
                           </imprint>
    34
                        </bibl>
    35
                                                                                   information about this text
                    </sourceDesc>
    36
                </fileDesc>
    37
                <encodingDesc>
```



TEI standard (BNC)

121

<c c5="PUN">.</c>

```
<wtext type="FICTION"> 
                                          TEI body = object data + annotation
 80 🗸
 81
            <pb n="69"/>
            <div level="1">
 83 ▽
                <head>
 85
                       <w c5="NN1" hw="chapter" pos="SUBST">Chapter </w>
                       <w c5="CRD" hw="5" pos="ADJ">5</w>
 86
 87
                   </5>
                </head>
                                                   structure & typographic markup
 89 ▽
                   <s n="3">
 90 🗸
                       <w c5="VVB-NN1" hw="ranulf" pos="VERB">Ranulf </w>
 91
                       <w c5="CJC" hw="and" pos="CONJ">and </w>
 92
                       <w c5="NP0" hw="dame" pos="SUBST">Dame </w>
 93
 94
                       <w c5="NP0" hw="agatha" pos="SUBST">Agatha </w>
 95
                       <w c5="VBD" hw="be" pos="VERB">were </w>
                       <w c5="VVG" hw="wait" pos="VERB">waiting </w>
 96
                                                                              tokens + token-level annotations
 97
                       <w c5="PRP" hw="for" pos="PREP">for </w>
                       <w c5="PNP" hw="he" pos="PRON">him </w>
                       <w c5="PRP" hw="near" pos="PREP">near </w>
 99
100
                       <w c5="AT0" hw="the" pos="ART">the </w>
                       <w c5="NN1-NP0" hw="galilee" pos="SUBST">Galilee </w>
101
                       <w c5="NN1" hw="gate" pos="SUBST">Gate</w>
102
                       <c c5="PUN">, </c>
103
                       <w c5="AT0" hw="the" pos="ART">the </w>
104
105
                       <w c5="AJ0" hw="young" pos="ADJ">young </w>
106
                       <w c5="NN1" hw="nun" pos="SUBST">nun </w>
                       <w c5="AV0" hw="apparently" pos="ADV">apparently </w>
107
108
                       <w c5="VVG" hw="enjoy" pos="VERB">enjoying </w>
                                                                                          principle:
109
                       <w c5="AT0" hw="an" pos="ART">an </w>
110
                       <w c5="NN1" hw="account" pos="SUBST">account </w>
                       <w c5="PRF" hw="of" pos="PREP">of </w>
111
                                                                                          raw text (= object data)
112
                       <w c5="CRD" hw="one" pos="ADJ">one </w>
                       <w c5="PRF" hw="of" pos="PREP">of </w>
113
                       <w c5="DPS" hw="he" pos="PRON">his </w>
114
                                                                                          can be reconstructed by
115
                       <w c5="NN1" hw="manservant" pos="SUBST">manservant</w>
                       <w c5="POS" hw="'s" pos="UNC">'s </w>
116
                                                                                          deleting all XML tags
                       <w c5="DT0" hw="many" pos="ADJ">many </w>
117
                       <w c5="NN2" hw="escapade" pos="SUBST">escapades </w>
118
                       <w c5="PRP" hw="in" pos="PREP">in </w>
119
120
                       <w c5="NP0" hw="london" pos="SUBST">London</w>
```

Vertical text format (.vrt) Simpler, more efficient format → used by CWB & NLP tools



```
<corpus>
<story title="The Garden">
>
<S>
It
             it
        PP
seemed
        VBD
             seem
        DT
a
             a
day
        NN
             day
much
        RB
             much
        IN
as
             as
        DT
any
             any
other
        JJ
             other
until
             until
        IN
Ι
</s>
TAB characters (\t, \x09)
</story>
</corpus>
```

metadata

title: The Garden

author: Stefan Evert

author sex: male

date: 05.08.1991

Vertical text format (.vrt) Text metadata encoded in XML start tags (not in header!)



```
<corpus>
<text title="The Garden" author="Stefan Evert" author_sex="male"</pre>
     date="1991-08-05">
CQPweb requires <text>,
<S>
                        SketchEngine prefers <doc>
It
        PP
             it
        VBD
seemed
             seem
        DT
a
             a
                   sub-text level metadata
day
        NN
             day
much
        RB
             much
        IN
as
             as
        DT
any
             any
other
             other
        JJ
until
        IN
             until
        PP
Ι
</s>
</text>
</corpus>
```

CoNLL format

Vertical text without the metadata



http://universaldependencies.org/docs/format.html

```
# story: "The Garden"
 paragraph #1
                                   these are just comments
    It
            PP
                 it
   seemed
                 seem
            DT
   a
                fine
   fine
            JJ
   day
                 day
                                   blank lines = sentence boundaries
6
            SENT .
   There
            EX
                 there
            VBD
   was
                 be
            DT
   an
   elephant NN
                 elephant
5
            SENT .
   is is the end of the file
         token numbers (within sentence)
```

Corpus annotation: segments and structures



- Automatic recognition and categorization of particular word sequences (segments)
- e.g. named entities (NER = named entity recognition)

Person

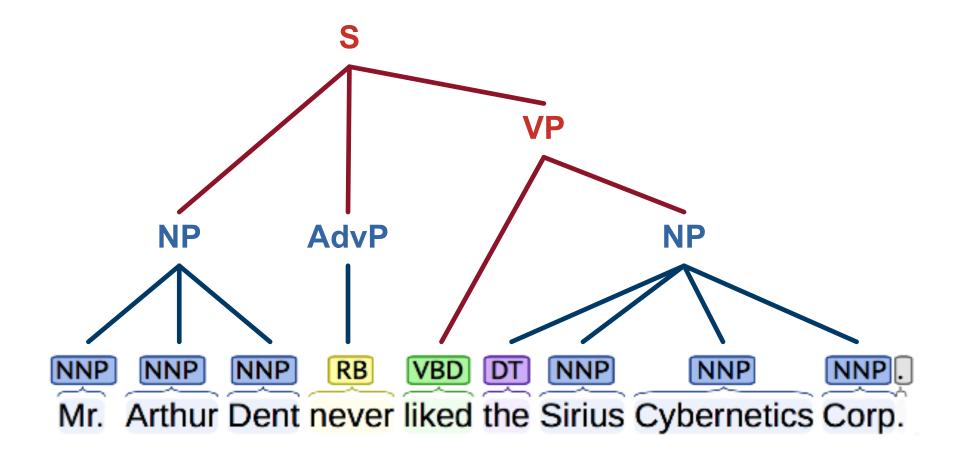
Mr. Arthur Dent never liked the Sirius Cybernetics Corp.

- e.g. time and place expressions: last week, the day after tomorrow, September 15th, in Paris, on the lawn in front of their house, ...
- e.g. text spans that need to be masked for anonymization purposes

Corpus annotation: segments and structures

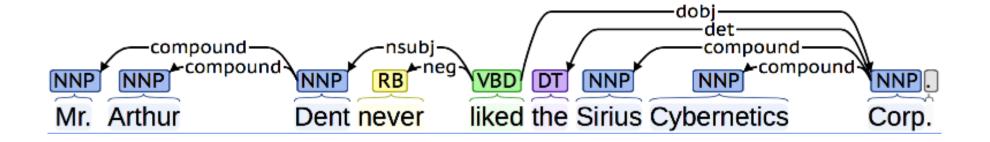


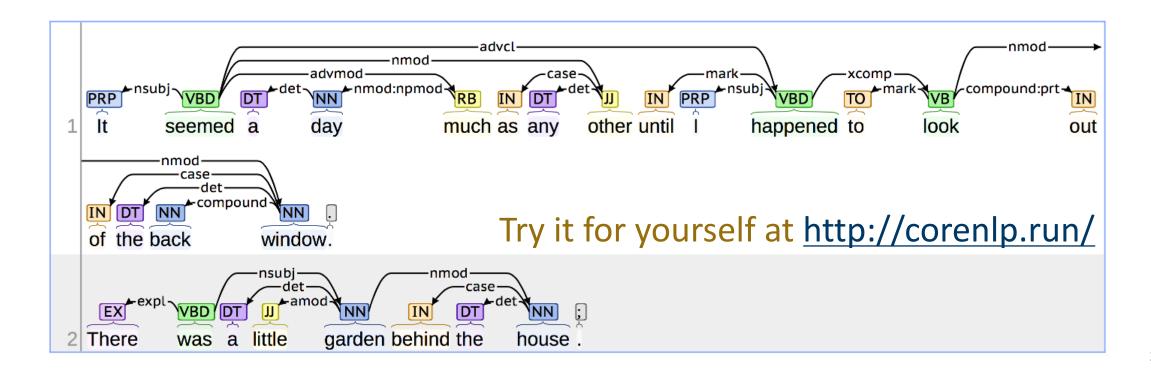
- Syntactic phrase structure analysis
 = parse tree of nested segments corresponding to syntactic units
- "minimal" phrases as flat segments → chunk parsing



Corpus annotation: syntactic dependency analysis









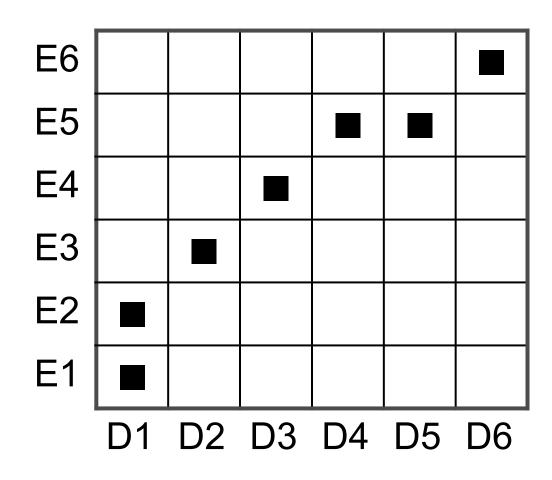
Sentence alignment for parallel corpora

Das stört mich keineswegs, ich halte	That is no problem for me.	
das für eine gute Initiative, aber wiederum ist Europa nicht zur Stelle.	I think it is a good initiative, but again Europe is absent.	
Es darf nicht wieder geschehen!	It should not happen again, Mr President.	
Meine Fraktion verlangt, daß die italienische Präsidentschaft hier vor uns erklärt, welche Rolle sie spielt.	My Group wants the Italian presidency to come here and explain what its role is.	
Herr Präsident, liebe Kolleginnen und Kollegen!	Mr President, ladies and gentlemen, I think it is important that we should	
Ich halte es für wichtig, daß wir diese Woche über die Situation im Nahen Osten reden.	discuss the situation in the Middle East this week.	
Darin sind wir uns alle einig.	We all agree on that.	



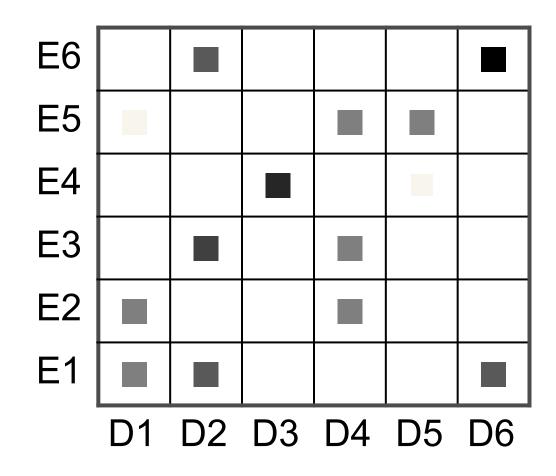


Sentence alignment: bitext map





Sentence alignment as similarity search





Sentence alignment as similarity search

