Data types with Negation

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Workshop on Mathematically Structured Functional Programming 2nd April 2022 data Nat : Set where

zero: Nat

 $succ : Nat \rightarrow Nat$

data Even : Nat \rightarrow Set where

zero : Even zero

 $\frac{\mathsf{succ}\text{-}\mathsf{odd}}{\mathsf{odd}}:\forall\{n\}\to\mathsf{Odd}\,n\to\mathsf{Even}\,(\frac{\mathsf{succ}\,n}{\mathsf{odd}})$

 $data Odd : Nat \rightarrow Set where$

succ-even: $\forall \{n\} \rightarrow \text{Even } n \rightarrow \text{Odd } (\text{succ } n)$

But what if we could use negation?

 $data Even : Nat \rightarrow Set where$

zero: Even zero

 $\operatorname{succ}: \forall \{n\} \to \operatorname{not} (\operatorname{Even} n) \to \operatorname{Even} (\operatorname{succ} n)$

A Context-Free Grammar:

$$S ::= A$$

 $\mid B$

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Representation of the parse trees:

data S : String × String
$$\rightarrow$$
 Set where
prod1 : $\forall \{i o\} \rightarrow A(i, o) \rightarrow S(i, o)$
prod2 : $\forall \{i o\} \rightarrow B(i, o) \rightarrow S(i, o)$

A Parsing Expression Grammar.

$$S ::= A$$
 $/ B$

"Parse S as an A, or if that fails, as a B"

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data S : String × String → Set where
prod1 :
$$\forall \{i o\} \rightarrow A(i, o) \rightarrow S(i, o)$$

prod2 : $\forall \{i o\} \rightarrow \text{not} (\exists o'. A(i, o')) \rightarrow B(i, o) \rightarrow S(i, o)$

data Liar : Set where

liar : not Liar → Liar

 $\begin{array}{c} \text{data Liar}: \text{Set where} \\ \text{liar}: \text{not Liar} \rightarrow \text{Liar} \end{array}$

?

Semantics of Inductive Types

- **1.** Construct a functor $F: (I \to \operatorname{Set}) \to (I \to \operatorname{Set})$ from the definition
 - ► Essentially a sum-of-products construction
- **2.** The interpretation of the data type is the initial *F*-algebra
 - ▶ ⇒ unique "fold"
 - ightharpoonup \Rightarrow derive induction principles

$\operatorname{not} A \stackrel{def}{=} A \to \bot$

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•

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۲.

Not covariant: don't get a functor!

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Does "tweety" fly?

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Classically (and intuitionistically): no.

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Warning this kind of reasoning is *non monotonic*. If we later learn penguin(tweety), then we would have to retract our prior conclusion.

Constructive Falsity

A way to track evidence for and evidence *against* some data:

$$A = (A^+, A^-)$$

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Entailment flows forwards positively and backwards negatively:

$$(A^+,A^-) \Rightarrow (B^+,B^-) \stackrel{def}{=} (A^+ \to B^+) \times (B^- \to A^-)$$

call this category Chu.

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Aside: we could also add the condition $A^+ \times A^- \to \bot$, but will skip this for now.

Connectives

1. Conjunction

$$(A^+, A^-) \times (B^+, B^-) \stackrel{def}{=} (A^+ \times B^+, A^- + B^-)$$

2. Disjunction

$$(A^+, A^-) + (B^+, B^-) \stackrel{def}{=} (A^+ + B^+, A^- \times B^-)$$

3. Infinitary Conjunction

$$\Pi x: X. A[x] \stackrel{def}{=} (\Pi x: X. A^{+}[x], \Sigma x: X. A^{-}[x])$$

4. Negation

not
$$(A^+, A^-) \stackrel{def}{=} (A^-, A^+)$$

5. Sets

$$[X] = (X, X \rightarrow \bot)$$

Initial Algebras in Chu

If we have

$$F: (I \to \text{Chu}) \to (I \to \text{Chu})$$

constructed from only \times , +, Π , Σ , [-] then it can be separated:

$$F^+: (I \to \operatorname{Set}) \to (I \to \operatorname{Set}) \qquad F^-: (I \to \operatorname{Set}) \to (I \to \operatorname{Set})$$

and Initial algebras in Chu can be constructed from those in Set:

$$\mu F = (\mu F^+, \nu F^-)$$

data Path: Node \times Node \rightarrow Set where

 $stop: \forall x \rightarrow Path(x, x)$

stop: $\forall x \to \operatorname{Path}(x, x)$ step: $\forall x \, y \, z \to \operatorname{Path}(x, y) \to \operatorname{Step}(y, z) \to \operatorname{Path}(x, z)$ data Path : Node \times Node \rightarrow Set where

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step: $\forall x \ y \ z \rightarrow \operatorname{Path}(x, y) \rightarrow \operatorname{Step}(y, z) \rightarrow \operatorname{Path}(x, z)$

$$F(X)(x, z) = [x = z] + (\Sigma y. X(x, y) \times [Step(y, z)])$$

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step:
$$\forall x \, v \, z \rightarrow \text{Path}(x, v) \rightarrow \text{Step}(v, v)$$

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$$\forall x \ y \ z \rightarrow \operatorname{Path}(x, y) \rightarrow \operatorname{Step}(y, z) \rightarrow \operatorname{Path}(x, z)$$

 $F(X)(x, z) = [x = z] + (\Sigma y. X(x, y) \times [Step(y, z)])$

 $F^{+}X^{+}(x, z) = (x = z) + (\sum y. X^{+}(x, y) \times \text{Step}(y, z))$ $F^{-}X^{-}(x, z) = \neg(x = z) \times (\Pi y. X^{-}(x, y) + \neg \text{Step}(y, z))$

step:
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$$F(X)(x, z) = [x = z] + (\Sigma y. X(x, y) \times [Step(y, z)])$$

$$\Gamma(M(x,z) = [x - z] + (2y,M(x,y) \times [step(y,z)])$$

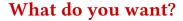
$$E^+Y^+(x,z) = (x-z) + (\sum y Y^+(x,y) \times Stan(y,z))$$

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Path =
$$(\mu F^+, \nu F^-)$$

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What about data types with negation?

Information!

Define another category Chuⁱ with the same objects but:

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Information morphisms – parallel transformation of positive and negative information.

Negation is *covariant* in Chuⁱ.

Initial *F*-Algebras in Chu^{*i*}:

$$\mu^i F = \mu(X^+, X^-).(F^+(X^+, X^-), F^-(X^+, X^-))$$

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Initial *F*-Algebras in Chu^{*i*}:

$$\mu^{i}F = \mu(X^{+}, X^{-}).(F^{+}(X^{+}, X^{-}), F^{-}(X^{+}, X^{-}))$$

You won't get it! Works for all of our functors, but gives the "wrong" answer.

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The *reduct* of a logic program from **Stable Model Semantics**

(Gelfond and Lifschitz, 1989).

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Given $F: (I \rightarrow Chu) \rightarrow (I \rightarrow Chu)$

Refine the positive meaning of a data type with respect to information about non-provability.

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Given $F: (I \to \text{Chu}) \to (I \to \text{Chu})$ and $Y: I \to \text{Chu}$, define

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Given $F: (I \rightarrow \text{Chu}) \rightarrow (I \rightarrow \text{Chu})$ and $Y: I \rightarrow \text{Chu}$, define

$$F/Y(X^+, X^-) = \lambda i. (F^+(X^+, Y^-)i, F^-(Y^+, X^-)i)$$

Y represents "a stage of knowledge".

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Y represents "a stage of knowledge".

F/Y is separable, so we can get $\mu(F/Y): I \to \text{Chu}$.

Moreover, get a functor $\mu(F/-)$: $Chu^i \rightarrow Chu^i$.

A Sematics of Data types with Negation

Given $F: (I \to \text{Chu}) \to (I \to \text{Chu})$ for a data type D, define

$$D = \mu^{i} Y. \mu(F/Y)$$

= $\mu(Y^{+}, Y^{-}).(\mu X^{+}. F^{+}(X^{+}, Y^{-}), \nu X^{-}. F^{-}(Y^{+}, X^{-}))$

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Given $F: (I \to \text{Chu}) \to (I \to \text{Chu})$ for a data type D, define

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If we replace Set by Bool, and add the $Chu(\bot)$ constraint, then this coincides with the 3-valued stable model semantics (Przymusinski, 1990).

 $data\,Liar:Set\,where$

 $\textcolor{red}{\textbf{liar}}: not \, Liar \rightarrow Liar$

data Liar: Set where liar: not Liar → Liar

FX = not X

$$FX = \text{not}X$$

$$F^{+}(X^{+}, X^{-}) = X^{-}$$
 $F^{-}(X^{+}, X^{-}) = X^{+}$

$$FX = \text{not}X$$

$$F^{+}(X^{+}, X^{-}) = X^{-}$$
 $F^{-}(X^{+}, X^{-}) = X^{+}$

Liar =
$$\mu^{i}(Y^{+}, Y^{-}).(\mu X^{+}. F^{+}(X^{+}, Y^{-}), \nu X^{-}. F^{-}(Y^{+}, X^{-}))$$

= $\mu^{i}(Y^{+}, Y^{-}).(Y^{-}, Y^{+})$
 $\cong (\bot, \bot)$

 $data\ No Base Case: Set\ where$

 $\textcolor{rec}{\mathsf{rec}}: NoBaseCase \rightarrow NoBaseCase$

data NoBaseCase: Set where

 $\textbf{rec}: NoBaseCase \rightarrow NoBaseCase$

$$FX = X$$

data NoBaseCase : Set where
rec : NoBaseCase → NoBaseCase

$$FX = X$$

NoBaseCase =
$$\mu(Y^+, Y^-).(\mu X^+. X^+, \nu X^-. X^-)$$

 $\cong (\bot, \top)$

data NoBaseCase: Set where

 $\textcolor{rec}{\mathsf{rec}}: NoBaseCase \rightarrow NoBaseCase$

$$FX = X$$

NoBaseCase =
$$\mu(Y^+, Y^-).(\mu X^+, X^+, \nu X^-. X^-)$$

 $\cong (\bot, \top)$

No proofs, one (extensionally) refutation.

```
data Even : Nat → Set where zero : Even zero
```

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succ: \forall \{n\} \rightarrow \text{not (Even } n) \rightarrow \text{Even (succ } n)
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```

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FX = \lambda \{\text{zero} \mapsto \top; \text{succ } n \mapsto \text{not } (Xn)\}
F^+(X^+, X^-) = \lambda \{\text{zero} \mapsto \top; \text{succ } n \mapsto X^- n\}
F^-(X^+, X^-) = \lambda \{\text{zero} \mapsto \bot; \text{succ } n \mapsto X^+ n\}
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```

$$\mathsf{Even} = \mu(\mathit{Y}^+,\mathit{Y}^-).\ (\lambda\{\mathsf{zero} \mapsto \top; \mathsf{succ}\ n \mapsto \mathit{Y}^-\ n\}, \lambda\{\mathsf{zero} \mapsto \bot; \mathsf{succ}\ n \mapsto \mathit{Y}^+\ n\})$$

which is \cong to the mutually defined Even/Odd definition.

Conclusion

- ► Constructed a plausible semantics of data types with negation
- Based on 3-valued stable model semantics of logic programming
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Related work:

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- Weak negation / negation as failure: Clark (1978), Gelfond and Lifschitz (1988), Przymusinski (1989)
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- TODO: Implementation (underway)
- ► TODO: Reasoning Principles