Four decades of water quality change in the upper San Francisco Estuary

Marcus W. Beck^{1*}, Thomas W. Jabusch², Philip R. Trowbridge², David B. Senn²

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*Corresponding author: marcusb@sccwrp.org

¹USEPA National Health and Environmental Effects Research Laboratory Gulf Ecology Division, 1 Sabine Island Drive, Gulf Breeze, FL 32561

Current address: Southern California Coastal Water Research Project 3535 Harbor Blvd, Suite 110, Costa Mesa, CA 92626

²San Francisco Estuary Institute 4911 Central Ave, Richmond, CA 94804

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4 Abstract

Long-term monitoring data from the Delta region of the San Francisco Estuary (SFE) were modeled with an estuarine adaptation of the Weighted Regressions on Time, Discharge, and Season (WRTDS) to describe historical trends and relationships between key species of dissolved inorganic nitrogen (ammonium, nitrate/nitrite, total). Trend analysis with flow-normalized results demonstrated the potential to misinterpret changes using observed data that include flow effects, such that several trends with flow-normalized data had changes in magnitude and even reversal of trends relative to the observed. We further described mechanisms of change with two case studies that 1) evaluate downstream changes in nitrogen following upgrades at a wastewater treatment plant, and 2) interactions between biological invaders, chlorophyll, and flow in Suisun Bay. WRTDS results for ammonium trends showed a distinct signal as a result of upstream wastewater treatment plant (WWTP) upgrades, with specific reductions observed in the winter months during low-flow conditions. Results for Suisun Bay showed that chlorophyll a (chl-a) production in early years was directly stimulated by flow, whereas the relationship with flow in later years was 17 indirect and confounded by grazing pressure. Although these trends and potential causes of change have been described in the literature, results from WRTDS provided an approach to test alternative hypotheses of spatiotemporal drivers of nutrient dynamics in the Delta.

1 Introduction

The Sacramento - San Joaquin River Delta (hereafter 'Delta') is a mosaic of inflows
upstream of the San Francisco Estuary (SFE) that receives and processes inputs from the Central
Valley watershed (Jassby and Cloern 2000, Jassby et al. 2002, Jassby 2008). Sediment export

downstream of the Delta and wastewater treatment plant (WWTP) inputs are primary sources of nutrients for the larger Bay. Background nutrient concentrations in SFE often exceed those associated with excessive primary production, although ecosystem responses symptomatic of eutrophication have historically been infrequent. Changes in response to stressors suggests that recent conditions in SFE have not followed past trajectories. For example, recent increases in phytoplankton biomass, reductions in dissolved oxygen, and increasing abundance of species associated with harmful algal blooms motivated the proposal of chlorophyll a (chl-a) thresholds 31 to assess and manage eutrophication in South Bay (Lehman et al. 2005, Cloern et al. 2007, Sutula et al. 2017). These changes stem from multiple potential sources, although variation in freshwater inputs/withdrawals, establishment of invasive species, and effects of climate change have been implicated as key factors (Cloern and Jassby 2012). Many of these changes are linked to inputs from the Delta region. Glibert et al. (2014) described recent phytoplankton blooms in Suisun Bay that were attributed to increased residence times and increased rates of nitrification that occurred during a drought period. Changes in flow management practices compounded with climate variation have altered flushing rates and turbidity as key factors that moderate phytoplankton growth in the system (Alpine and Cloern 1992, Lehman 2000, Wright and Schoellhamer 2004, Canuel et al. 2009).

Formal methods for trend analysis methods could help describe recent changes in water
quality in the Delta region. Direct evaluation of observed time series is often insufficient, given
that a long-term change can be masked by variation at shorter time scales or the observed
variation represents the combined effects of many variables (O'Neill et al. 1989, Levin 1992). As
a practical approach for water quality evaluation, trend analysis of ecosystem response indicators
often focuses on tracking the change in concentrations or loads of nutrients over many years.

Response indicators can vary naturally with changing flow conditions and may also reflect long-term effects of management or policy changes. For example, chl-a concentration as a measure of phytoplankton response to nutrient inputs can follow seasonal patterns with cyclical variation in temperature and light changes throughout each year, whereas annual trends can follow long-term variation in nutrient inputs to the system (Cloern 1996, Cloern and Jassby 2010). Similarly, nutrient trends that vary with hydrologic loading also vary as a function of utilization rates by primary producers or decomposition processes (Sakamoto and Tanaka 1989, Schultz and Urban 2008, Harding et al. 2016). The Weighted Regressions on Time, Discharge, and Season (WRTDS) approach was 56 developed in this context and has been used to characterize decadal trends in running-water 57 systems (Hirsch et al. 2010, Sprague et al. 2011, Medalie et al. 2012, Hirsch and De Cicco 2014, Pellerin et al. 2014, Zhang et al. 2016). The WRTDS method has been adapted for trend analysis in tidal waters, with a focus on chl-a trends in Tampa Bay (Beck and Hagy III 2015) and the Patuxent River Estuary (Beck and Murphy 2017). The goal of this study was to provide a 61 comprehensive description of nutrient trends in the northern SFE and Delta region to inform understanding of ecosystem response dynamics and potential causes of water quality change. We applied an estuarine adaptation of WRTDS to describe nitrogen trends in different spatial and 64 temporal contexts. The specific objectives were to 1) quantify and interpret trends over four decades at ten stations in the Delta, including annual, seasonal, and spatial changes in nitrogen analytes and response to flow variation, and 2) provide detailed descriptions of two case studies in the context of conceptual relationships modeled with WRTDS. The second objective evaluated two specific water quality stations as additional case studies to demonstrate complexities with nutrient response to flow, effects of nutrient-related source controls on ambient conditions, and

effects of biological invasion by benthic filter feeders on primary production. Our general
hypothesis was that the results were expected to support previous descriptions of trends in this
well-studied system, but that new insight into spatial and temporal variation in response endpoints
was expected, particuarly in flow-normalized model predictions.

2 Materials and Methods

56 2.1 Study system

The Delta region drains a 200 thousand km² watershed into the SFE, which is the largest estuary on the Pacific coast of North America. The watershed provides water to over 25 million people and irrigation for 18 thousand km² of agricultural land. Water enters the SFE through the Sacramento and San Joaquin rivers that have a combined inflow of approximately 28 km³ per year, with the Sacramento accounting for 84% of inflow to the Delta. The SFE system includes the Delta and subembayments of San Francisco Bay (Fig. 1). Water dynamics in the SFE and Delta are governed by inflows from the watershed, tidal exchange with the Pacific Ocean, and water withdrawals for municipal and agricultural use (Jassby and Cloern 2000). Seasonally, inflows from the watershed peak in the spring and early summer from snowmelt, whereas consumption, withdrawals, and export have steadily increased from 1960 to present, but vary depending on inter-annual climate effects (Cloern and Jassby 2012). Notable drought periods 87 have occurred from 1976-1977, 1987-1992, and recently from 2013-2015 (Cloern 2015). 88 Orthophosphate (PO_4^{3-}) and dissolved inorganic nitrogen (DIN) enter the Delta primarily 89 through the Sacramento and San Joaquin rivers and from municipal WWTP inputs. Annual nutrient export from the Delta region has been estimated as approximately 30 thousand kg d^{-1} of total nitrogen (varying with flow(Novick et al. 2015)), with 90% of ammonium (NH₄⁺) originating

solely from the Sacramento Regional WWTP (Jassby 2008). Although nitrogen and phosphorus inputs are considerable, primary production is relatively low and not nutrient-limited (Jassby et al. 2002, Kimmerer et al. 2012). The resistance of SFE to the negative effects of eutrophication has historically been attributed to its unique physical and biological characteristics, including strong tidal mixing that limits stratification in the larger estuary (Cloern 1996, Thompson et al. 2008) and limits on phytoplankton growth from high turbidity and filter-feeding by bivalve mollusks in the northern portion (Thompson et al. 2008, Crauder et al. 2016). However, recent water quality trends have suggested that resilience to nutrient inputs is decreasing(Lehman et al. 2005, Cloern 100 et al. 2007, Lehman et al. 2010), which has been attributed to biological invasions (Cohen and 101 Carlton 1998) and departures from the historical flow record (Enright and Culberson 2009, Cloern 102 and Jassby 2012), among other factors acting at global scales (e.g., variation in sea surface 103 temperatures, Cloern et al. (2007)) 104

The role of nutrients in stimulating primary production in SFE has been the focus of several recent investigations (Dugdale et al. 2007, Parker et al. 2012, Glibert et al. 2014).

Quantitative descriptions of nutrient dynamics in the Delta are challenging given multiple
sources and the volume of water that is exchanged with natural and anthropogenic processes. A
comprehensive evaluation using mass-balance models to describe nutrient dynamics in the Delta
demonstrated that nitrogen enters the system in different forms and is processed at different rates
before export or removal (Novick et al. 2015). For example, a majority of ammonium entering the
system during the summer is nitrified or assimilated, whereas a considerable percentage of total
nitrogen load to the Delta is exported. Although, the focus of our analysis is not to quantify
sources or sinks of nitrogen species, a quantitative evaluation of long-term trends will provide a
more comprehensive historical interpretation to hypothesize the effects of future changes in the

context of known dynamics. Nutrients in the Delta also vary with seasonal and annual changes in
the delivery of water inflows and water exports directly from the system (Jassby and Cloern 2000,
Jassby 2008). Our analysis explicitly accounts for the effects of flow changes on nutrient response
to better understand variation both within the Delta and potential mechanisms of downstream
tranport.

2.2 Data sources

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Nutrient time series of monthly observations from 1976 to 2013 were obtained for ten 122 sampling stations (Fig. 1, http://water.ca.gov/bdma/meta/Discrete/data.cfm, IEP (2013)). Stations 123 were grouped by location in the study area for comparison: peripheral Delta stations C3 (Sacramento inflow), C10 (San Joaquin inflow), MD10, P8; interior Delta stations D19, D26, 125 D28; and Suisun stations D4, D6, and D7. These stations were chosen based on continuity of the water quality time series and significance of their geographic location for understanding regional 127 trends. Time series were complete for all stations except for an approximate ten year gap from 128 1996-2014 for D19. Data were minimally processed, with the exception of averaging replicates 129 that occurred on the same day. The three nitrogen analytes that were evaluated were ammonium, 130 nitrite/nitrate, and DIN (as the sum of the former two). Less than 3% of all observations were 131 left-censored, although variation was observed between analytes and location. The ammonium 132 time series had the most censored observations at sites C10 (25.4% of all observations), MD10 133 (18.1%), D28 (17.8%), D19 (12%), and D7 (7.9%). 134 Daily flow estimates for the Delta region were obtained from the Dayflow software 135

Daily flow estimates for the Delta region were obtained from the Dayflow software program (IEP 2016). The WRTDS models described below require a matched flow record with the appropriate station to evaluate nutrient trends. Given the complexity of inflows and

connectivity of the system, only the inflow estimates from the Sacramento and San Joaquin rivers were used as measures of freshwater influence at each station. Initial analyses indicated that 139 model fit was not significantly improved with flow estimates from locations closer to each station, nor was model fit improved using lagged times series. As such, the Sacramento daily flow time series was used to account for flow effects at C3, D19, D26, D28, and MD10, and the San Joaquin 142 time series was used for C10 and P8 based on station proximity to each inflow. Salinity 143 observations at D4, D6, and D7 in Suisun Bay were used as more appropriate measures of 144 freshwater variation, given the stronger tidal influence at these stations. Salinity has been used as 145 a tracer of freshwater influence for the application of WRTDS models in tidal waters (Beck and 146 Hagy III 2015). 147

2.3 Analysis method and application

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A total of thirty WRTDS models were created, one for each nitrogen analyte at each station. The functional form of WRTDS is a simple regression (Hirsch et al. 2010) that models the log-transformed response variable as a function of time, flow, and season:

$$\ln(N) = \beta_0 + \beta_1 t + \beta_2 \ln(Q) + \beta_3 \sin(2\pi t) + \beta_4 \cos(2\pi t) \tag{1}$$

where N is one of three nitrogen analytes, time t is a continuous variable as decimal time to capture the annual or seasonal trend, and Q is the flow variable (either flow or salinity depending on station). The WRTDS model is a moving window regression that fits unique parameters at each observation point in the time series. Models applied herein were based on a tidal adaptation of the original method (Beck and Hagy III 2015) and were fit to describe the conditional mean response using a weighted Tobit model for left-censored data (Tobin 1958). All analyses used the

WRTDStidal package written by the authors for the R statistical programming language (?RDCT (R Development Core Team) 2017).

A hallmark of the WRTDS approach is the description of flow-normalized trends that are 160 independent of variation from freshwater inflows (Hirsch et al. 2010). Flow-normalized trends for each analyte at each station were used to describe long-term changes in different annual and 162 seasonal periods. Specifically, flow-normalized trends in each analyte were summarized as both 163 medians and percent changes from the beginning to end of annual groupings from 1976-1995 and 164 1996-2013, and seasonal groupings of March-April-May (spring), June-July-August (summer), 165 September-October-November (fall), and December-January-February (winter) within each 166 annual grouping. These annual and seasonal groupings were chosen for continuity with similar 167 comparisons in Jabusch et al. (2016) and as approximate twenty year midpoints in the time series. 168 Trends in each annual and seasonal grouping were based on seasonal Kendall tests of the 169 flow-normalized predictions. This test is a modification of the non-parametric Kendall test that 170 accounts for variation across seasons in the response variable (Hirsch et al. 1982, Millard 2013). 171 Results from the test can be used to evaluate the direction, magnitude, and significance of a 172 monotonic change within the period of observation. The estimated rate of change per year is also 173 returned as the Theil-Sen slope and was interpreted as the percent change per year when divided 174 by the median value of the response variable in the period of observation (Jassby 2008). Trends in annual groupings were based on all monthly observations within relevant years, whereas seasonal groupings were based only on the relevant months across years. Seasonal Kendall tests were also used to describe trends in the observed data. These trends were compared with those based on the flow-normalized trends to evaluate the improved ability of WRTDS to describe trends that are independent of flow.

3 Results

2 3.1 Observed Data

The observed time series for the ten Delta - Suisun Bay stations had substantial variation 183 in scale among the nitrogen analytes and differences in apparent seasonal trends (Fig. 2). DIN for most stations was dominated by nitrite/nitrate, whereas ammonium was a smaller percentage of 185 the total. However, C3 had a majority of DIN composed of ammonium and other stations (e.g., 186 P8, D26) had higher concentrations of ammonium during winter months when phytoplankton 187 assimilation is lower (Novick et al. 2015). By location, observed concentrations of DIN for the 188 entire time series were higher on average for the peripheral stations (C3, C10, MD10, P8; mean \pm 189 s.e.: 1.04 ± 0.03 mg L⁻¹) and similar for the interior (D19, D26, D28, 0.43 ± 0.01) and Suisun Bay 190 stations (D4, D6, D7, 0.44 ± 0.01). Average concentrations were highest at P8 (1.63 ± 0.05 mg 19 L^{-1}) and lowest at C3 (0.4±0.01) for DIN, highest at P8 (0.28±0.02) and lowest at D28 192 (0.05 ± 0.003) for ammonium, and highest at C10 (1.4 ± 0.04) and lowest at C3 (0.15 ± 0.004) for 193 nitrite/nitrate. Mean observed concentrations were also higher later in the time series for all analytes. For example, average DIN across all stations was 0.61 ± 0.01 mg L⁻¹for 1976-1995, compared to 0.7 ± 0.01 for 1996-2013. Seasonal changes across all years showed that nitrogen concentrations were generally lower in the summer and higher in the winter, although observed patterns were inconsistent between sites. For example, site MD10 had distinct seasonal spikes for 198 elevated DIN in the winter, whereas other stations had less prominent seasonal maxima (e.g., C3, D7, Fig. 2).

3.2 Trends

Estimated trends from Seasonal Kendall tests on the observed data varied considerably 202 between sites and analytes (Fig. 3). Significant trends were observed from 1976-1995 for eight of 203 ten sites for DIN (seven increasing, one decreasing), eight sites for ammonium (six increasing, 204 two decreasing), and six sites for nitrite/nitrate (five increasing, one decreasing). Decreasing trends were more common for the observed data from 1996-2013. Eight sites had significant trends for DIN (four increasing, four decreasing), seven sites for ammonium (five increasing, two 207 decreasing), and eight sites for nitrite/nitrate (four increasing, four decreasing). P8 had a relatively large decrease in ammonium (-8.3% change per year) for the second annual period 209 compared to all other sites (see next section). Trends by season were similar such that increases were generally observed in all seasons from 1976-1995 (Fig. 4) and decreases were observed for 211 1996-2013 (Fig. 5). Trends for the seasonal comparisons were noisier and significant changes 212 were less common compared to the annual comparisons. 213

Relationships between flow and observed water quality are complex and can change
significantly through space and time (Hirsch et al. 2010, Zhang et al. 2016). These principles have
been demonstrated for monitoring data in the Delta region (Jassby 2008, Novick et al. 2015,
Jabusch et al. 2016), suggesting that trend analyses using the observed time series are confounded
by flow effects. As such, a comparison of flow-normalized results from WRTDS relative to
observed data identified changes in the magnitude, significance, and direction of trends. For all
sixty trend comparisons in Fig. 3 (flow-normalized values in Table 1) regardless of site, nitrogen
analyte, and time period, thirteen comparisons had trends that were insignificant with the
observed data but significant with flow-normalized results, whereas only one trend changed to

insignificant. This suggests that time series that include flow effects had sufficient noise to obscure or prevent identification of an actual trend of a water quality parameter. Further, changes in the magnitude of the estimated percent change per year were also apparent for the 225 flow-normalized trends, such that fourteen comparisons showed an increase in magnitude (more negative or more positive) and twenty five had a decrease (less positive or less negative) compared 227 to observed trends. Eleven comparisons showed a trend reversal from positive to negative 228 estimated change, nine sites went from no change to negative estimated change, and one site went 220 from no change to a positive trend for the flow-normalized results. Differences by season in the 230 observed relative to flow-normalized trends from WRTDS were also apparent (Figs. 4 and 5 231 and Tables 2 and 3). The most notable changes were an overall decrease in the estimated trend for 232 most sites in the summer and fall seasons for 1996-2013, including an increase in the number of 233 statistically significant trends. 234

235 3.3 Selected examples

Two stations were chosen to demonstrate use of WRTDS to develop a more

comprehensive description of decadal trends in the Delta. The selected case studies focused on

1) effects of wastewater treatment upgrades upstream of P8, and 2) effects of biological invasion

on nutrient dynamics in Suisun Bay using observations from D7. Each case study is built around

hypotheses that results from WRTDS models were expected to support, both as a general

description and for additional testing with alternative methods.

3.3.1 Effects of wastewater treatment

Significant efforts have been made in recent years to reduce nitrogen loading from regional WWTPs given the disproportionate contribution of nutrients relative to other sources (Cornwell

et al. 2014, Novick et al. 2015). Several WWTPs in the Delta have recently been or are planned to be upgraded to include tertiary filtration and nitrification to convert biologically available ammonium to nitrate. The City of Stockton WWTP was upgraded in 2006 and is immediately upstream of station P8 (Jabusch et al. 2016), which provides a valuable opportunity to assess how nutrient or nutrient-related source controls and water management actions have changed ambient 249 concentrations downstream. A modal response of nutrient concentrations at P8 centered around 250 2006 is expected as a result of upstream WWTP upgrades, and water quality should exhibit 1) a 25 shift in the ratio of the components of DIN from the WWTP before/after upgrade, and 2) a 252 flow-normalized annual trend at P8 to show a change concurrent with WWTP upgrades. 253 Effluent measured from 2003 to 2009 from the Stockton WWTP had a gradual reduction 254 in ammonium concentration relative to total DIN (Fig. 6). Ammonium and nitrate concentrations

255 were comparable prior to 2006, whereas nitrate was a majority of total nitrogen after the upgrade, 256 with much smaller percentages from ammonium and nitrite. As expected, flow-normalized 257 nitrogen trends at P8 shifted in response to upstream WWTP upgrades (Fig. 7a), with ammonium 258 showing an increase from 1976 followed by a large reduction in the 2000s. Interestingly, 259 nitrite/nitrate concentrations also showed a similar but less dramatic decrease despite an increase 260 in the WWTP effluent concentrations following the upgrade. Percent changes from seasonal 26 Kendall tests on flow-normalized results showed that both nitrogen species increased prior to WWTP upgrades (2% per year for nitrite/nitrate, 2.8% for ammonium), followed by decreases after upgrades (-1.9% for nitrite/nitrate, -16.6% for ammonium, Table 4). Seasonally, increases prior to upgrades were highest in the summer for nitrite/nitrate (2.4%) and in the fall for 265 ammonium (4.9%). Similarly, seasonal reductions post-upgrade were largest in the summer for 266 nitrite/nitrate (-4.3%) and largest for ammonium in the winter (-26.7%).

Relationships of nitrite/nitrate with flow described by WRTDS showed an inverse flow
and concentration dynamic with flushing or dilution at higher flow (Fig. 7b). Seasonal variation
was even more apparent for ammonium, although both nitrite/nitrate and ammonium typically
had the highest concentrations at low flow in the winter (January). Additionally, strength of the
flow/nutrient relationship changed between years. Nitrite/nitrate typically had the strongest
relationship with flow later in the time series (i.e., larger negative slope), whereas ammonium had
the strongest relationship with flow around 2000 in January.

3.3.2 Effects of biological invasions

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Invasion of the upper SFE by the Asian clam *Potamocorbula amurensis* in 1986 caused 276 severe changes in phytoplankton abundance and species composition. Reduction in 277 phytoplankton biomass has altered trophic networks in the upper SFE and is considered an 278 important mechanism in the decline of the protected delta smelt (Hypomesus transpacificus) and 279 other important fisheries (Feyrer et al. 2003, Mac Nally et al. 2010). Changes in the physical 280 environment have also occurred, particularly increased water clarity from a reduction of particle 28 transport and erodible sediment supply (Jassby 2008, Schoellhamer 2011, Cloern and Jassby 282 2012), although decreases in phytoplankton by clam biofiltration may have also increased clarity 283 (Mac Nally et al. 2010). The clams are halophilic such that drought years are correlated with an 284 increase in biomass and further upstream invasion of the species (Parchaso and Thompson 2002, Cloern and Jassby 2012). We hypothesized that results from WRTDS models would show 1) a decline in annual, flow-normalized chlorophyll concentrations over time coincident with an 287 increase in abundance of invaders, and 2) variation in the chlorophyll/clam relationship through indirect or direct controls of flow. Although the relationship between phytoplankton and clams 289 have been well described in SFE (Kimmerer and Thompson 2014), we use WRTDS to develop

additional evidence that an increase in DIN was facilitated in part by clam invasion.

Invasion in the 1980s showed a clear reduction of *Corbicula fluminea* and increase of *P.* 292 amurensis (Fig. 8a), where biomass of the latter was negatively associated with flow from the Sacramento river (Fig. 8b). The increase in clam abundance was associated with a notable decrease in annually-averaged chl-a from WRTDS results (Fig. 8c), as expected if WRTDS is 295 adequately capturing flow variation and identifying the well-established phytoplankton decrease 296 beginning in the 1980s. A seasonal shift in the flow-normalized results was also observed such 297 that chl-a concentrations were generally highest in July/August prior to invasion, whereas a 298 spring maximum in April was more common in recent years (Fig. 8f). An increase in 290 annually-averaged silicon dioxide (Fig. 8e) was coincident with the chl-a decrease, with the 300 largest increases occuring in August (Fig. 8g). These relationships suggest that diatoms were the 301 dominant genera early in the time series, particularly in late summer, whereas the spring peak 302 observed in later years represents a shift to an earlier seasonal maxima. This supports past 303 research that showed a decrease in silica uptake by diatoms following invasion (Cloern 1996, 304 Kimmerer 2005). Further, DIN trends were similar to silicon-dioxide in both annual and seasonal 305 changes (i.e., Figures 8e and 8h compared to 8d and 8g), such that an increase in both nutrients 306 earlier in the time series corresponded with the decrease in chl-a. Overall, these results suggest 307 that a nontrivial portion of the DIN increase could be related to the decrease in a major 'sink', i.e., decreased DIN uptake by phytoplankton due to top down grazing pressure from *P. amurensis*. The relationship of chl-a with clam biomass was significant (Fig. 8i), with lower chl-a 310 associated with higher biomass, confirming results from earlier studies (Alpine and Cloern 1992, Thompson et al. 2008). However, the effect of flow on both clams and phytoplankton as a 312

top-down or bottom-up control changed throughout the time series. The chl-a/flow relationship

showed that increasing flow (decreasing salinity) was associated with a slight increase in chl-a followed by a decrease early in the time series (Fig. 8j), whereas overall chl-a was lower but a positive association with flow (negative with salinity) was observed later in the time series. In the 316 absence of benthic grazing prior to invasion, this dynamic suggests that chl-a production may be limited at low flow as less nutrients are exported from the Delta, stimulated as flow increases, and 318 reduced at high flow as either nutrients or phytoplankton biomass are exported to the larger bay. 319 Following clam invasion, chl-a concentrations were reduced by grazing but showed a positive and 320 monotonic relationship with increasing flow. The increase in clam abundance was concurrent with 321 decline in chl-a concentration, although variation in abundance between years was also observed. 322 Clam abundance was reduced during high flow years in the late 1990s, 2006, and 2011 (8a). In 323 the same years, WRTDS predictions for chl-a were higher than the flow-normalized component 324 (Fig. 8c), which further suggests a link between increased flow and phytoplankton production. 325

326 4 Discussion

Differences in apparent trends underscore the importance of considering flow effects in 327 the interpretation of environmental changes, particularly if trend evaluation is used to assess the 328 effects of nutrients on ecosystem health or the effectiveness of past nutrient management actions. 329 Our results demonstrated the potential to misinterpret trends if flow effects are not considered, 330 where the misinterpretation could vary from a simple change in the magnitude and significance of 331 a trend, to more problematic changes where the flow-normalized trend could demonstrate a 332 complete reversal relative to the observed (e.g., DIN trends for all Suisun stations from 333 1996-2013, Fig. 3). A more comprehensive evaluation of flow in the Delta demonstrated that flow 334 contributions of different end members vary considerably over time at each station (Novick et al.

2015). For example, flow at MD10 represents a changing percentage by season of inputs from the
Sacramento, San Joaquin, Cosumnes, Mokelumne rivers, and agricultural returns. For simplicity,
water quality observations in our analyses were matched with large-scale drivers of flow into the
Delta where most sites were matched to Sacramento or San Joaquin daily flow estimates. Given
that substantial differences with flow-normalized results were apparent from relatively coarse
estimates of flow contributions, more precise differences could be obtained by considering the
influence of multiple flow components at each location. Output from the Dayflow software
program (IEP 2016) provides a complete mass balance of flow in the Delta that could be used to
develop a more comprehensive description.

A general conclusion is that ammonium reductions were concurrent with WWTP upgrades, but the reduction was most apparent at low-flow in January. These dynamics are difficult to characterize from the observed time series, and further, results from WRTDS can be used to develop additional hypotheses of factors that influence nutrient concentrations at P8. For example, estimated ammonium concentrations in July were low for all flow levels which suggests either nitrogen inputs were low in the summer or nitrogen was available and uptake by primary consumers was high. Seasonal patterns in the relationship between flow and nitrite/nitrate were not as dramatic as compared to ammonium, and in particular, low-flow events in July were associated with higher concentrations. This could suggest that ammonium concentrations at P8 are driving phytoplankton production at low flow during warmer months, and not nitrite/nitrate given the higher estimated concentrations in July at low flow. As such, these simple observations provide quantitative support of cause and effect mechanisms of nutrient impacts on potentially adverse environmental conditions as they relate to nutrient-related source controls upstream.

As such, chl-a production in early years is directly related to flow, whereas the

relationship with flow in later years is indirect as increased flow reduces clam abundance and releases phytoplankton from benthic grazing pressure. These relationships have been suggested by others (Alpine and Cloern 1992, Parchaso and Thompson 2002, Jassby 2008), although the precise mechanism demonstrated by WRTDS provides a quantitative description of factors that drive water quality in the Delta.

As demonstrated by both case studies and the overall trends across all stations, water 364 quality dynamics in the Delta are complex and driven by multiple factors that change through 365 space and time. At a minimum, WRTDS provides a description of change by focusing on 366 high-level forcing factors that explicitly account for annual, seasonal, and flow effects on trend 367 interpretations. We have demonstrated the potential for imprecise or inaccurate conclusions of 368 trend tests that focus solely on observed data and emphasize that flow-normalized trends have 369 more power to quantify change. Moreover, trends in nutrient loads from point sources in the Delta 370 have previously been described, e.g., Sacramento WWTP increases (Jassby 2008) and exports to 371 Suisun Bay (Novick and Senn 2014). The results from WRTDS demonstrating these changes are 372 not unexpected, and consequently, we are not detracting from the potential implications of such 373 increases. The important conclusion is that the physical/hydrological and biogeochemical factors that influence nutrient cycling and ambient concentrations in the Bay-Delta, and changes to those factors, are substantial enough that they can be comparable in magnitude to anthropogenic load increases or comparable to the effects of management actions to decrease nutrient levels. Therefore, methods that adjust for the effects of these factors are critical when studying long-term 378 records to assess the impacts or effectiveness of load increases or management actions, respectively. 380

Combined with additional data, WRTDS results can support hypotheses that lead to a

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more comprehensive understanding of ecosystem dynamics. Additional factors to consider include the effects of large-scale climatic patterns, more detailed hydrologic descriptions, and additional ecological components that affect trophic interactions. For example, a more rigorous 384 matching of flow time series with water quality observations at each station that considers varying 385 source contributions over time could provide a more robust description of flow-normalized 386 results. Alternative methods for time series analysis could also be used to address a wider range 387 of questions, particularly those with more generic structural forms that can explicitly include 388 additional variables (e.g., generalized additive models, Beck and Murphy (2017)). Overall, 389 statistical interpretations of multiple factors can provide a basis for quantitative links between 390 nutrient loads and adverse effects on ecosystem conditions, including the identification of 391 thresholds for the protection and restoration of water quality. 392

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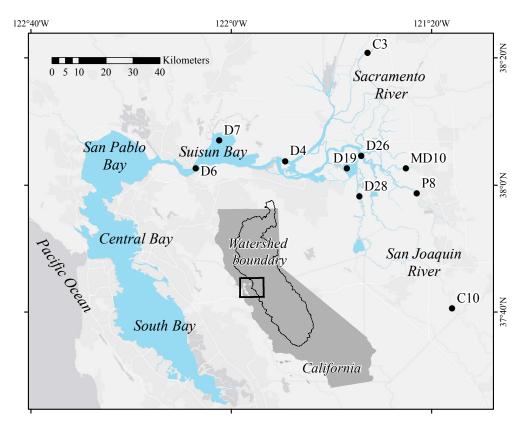


Fig. 1: The San Francisco Estuary and Delta region with monitoring stations used for analysis. The Delta drains the combined watersheds of the Sacramento and San Joaquin rivers (inset). All data were obtained from the Interagency Ecological Program website (http://water.ca.gov/bdma/meta/Discrete/data.cfm, IEP (2013)).

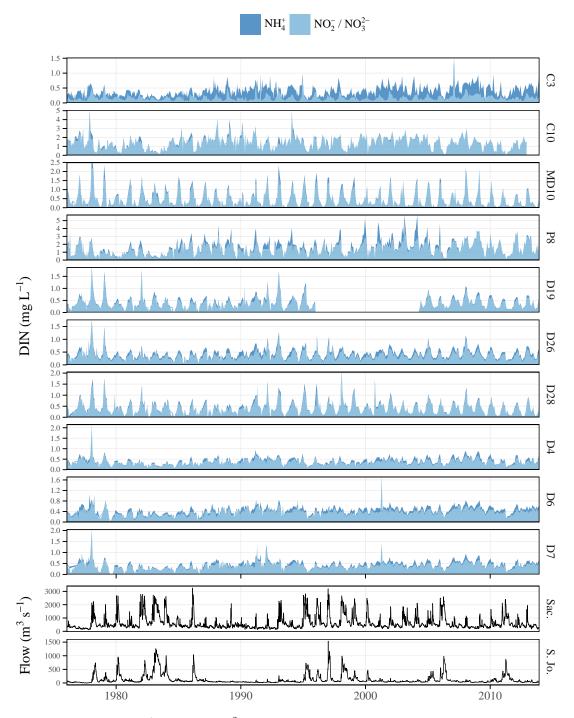


Fig. 2: Observed DIN (NH $_4^+$ + NO $_2^-$ /NO $_3^{2-}$) from ten stations in the upper SFE Delta and flow from the Sacramento and San Joaquin rivers. Data were collected monthly and evaluated with WRTDS models using daily flow estimates from 1976 to 2013. Note different y-axis scales. See Fig. 1 for station locations.

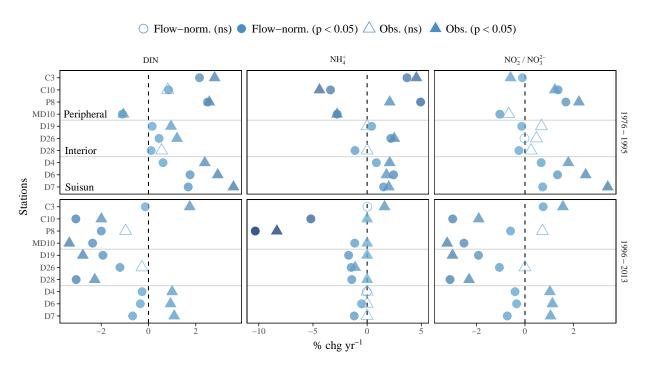


Fig. 3: Results from seasonal Kendall tests on observed data (triangles) and flow-normalized predictions (circles) from WRTDS for nitrogen analytes. Results are shown as the percent change per year as the estimated Theil-Sen slope divided by the median for a given aggregation period (significance evaluated at $\alpha=0.05$, based on τ). Trends are shown separately for different annual groupings. See Figs. 4 and 5 for seasonal groupings.

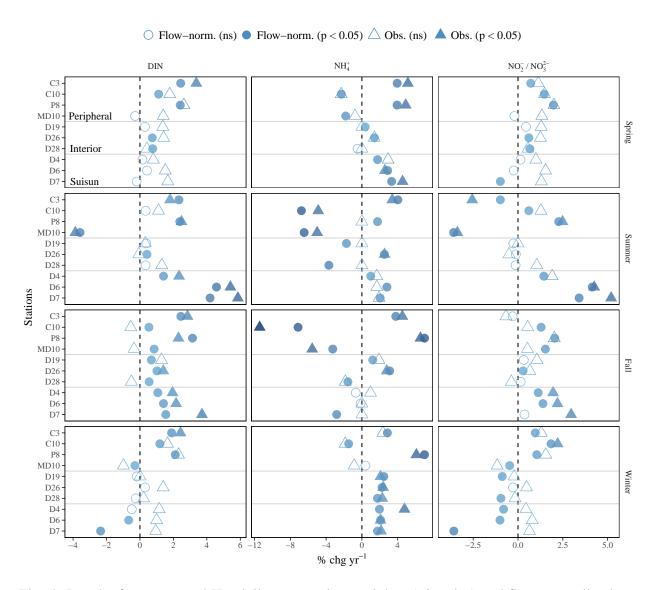


Fig. 4: Results from seasonal Kendall tests on observed data (triangles) and flow-normalized predictions (circles) from WRTDS for nitrogen analytes. Results are shown as the percent change per year as the estimated Theil-Sen slope divided by the median for a given aggregation period (significance evaluated at $\alpha=0.05$, based on τ). Trends are shown separately for different seasonal groupings from 1976-1995. Months for each season are Spring: MAM, Summer: JJA, Fall: SON, Winter: DJF. See Figure 3 for annual comparisons.

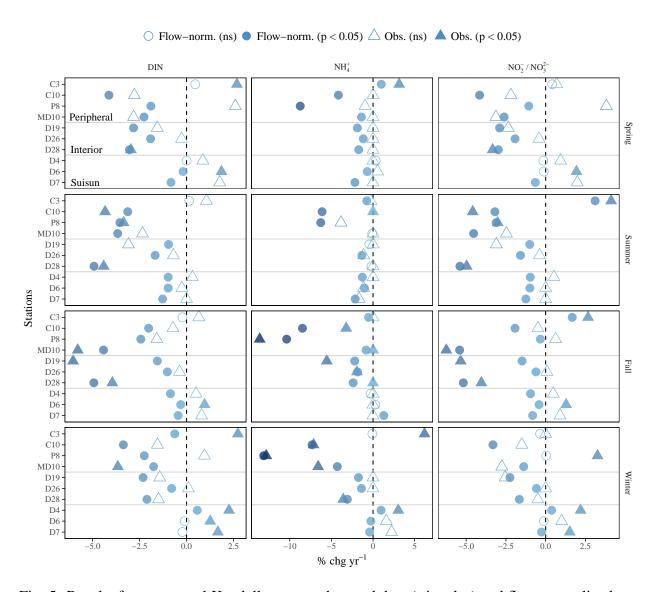


Fig. 5: Results from seasonal Kendall tests on observed data (triangles) and flow-normalized predictions (circles) from WRTDS for nitrogen analytes. Results are shown as the percent change per year as the estimated Theil-Sen slope divided by the median for a given aggregation period (significance evaluated at $\alpha=0.05$, based on τ). Trends are shown separately for different seasonal groupings from 1996-2013. Months for each season are Spring: MAM, Summer: JJA, Fall: SON, Winter: DJF. See Figure 3 for annual comparisons.

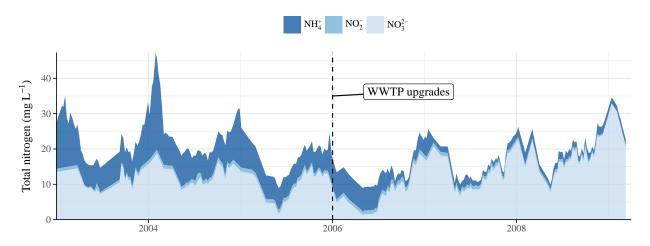


Fig. 6: Nitrogen concentration measurements (mg L^{-1}) from the City of Stockton Wastewater Treatment Plant, San Joaquin County. Wastewater discharge requirements were implemented in 2006 for nitrification/denitrification and tertiary filtration to convert ammonium to nitrate.

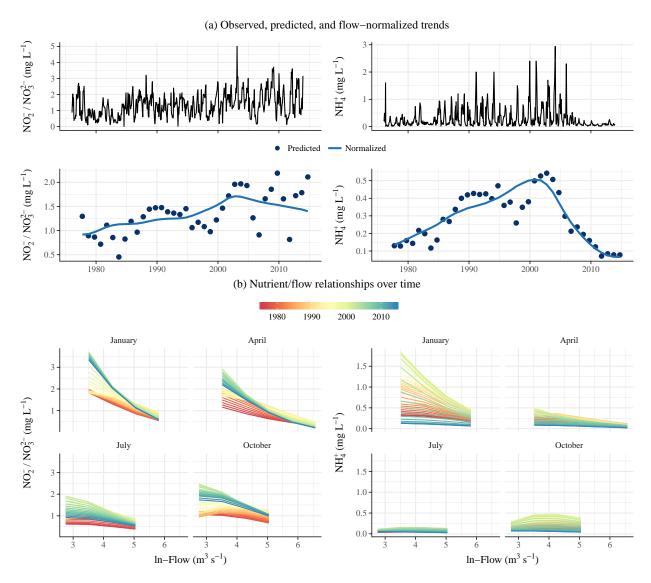


Fig. 7: Nitrogen trends at P8 as (a, top) observed, (a, bottom) predicted and flow-normalized estimates from WRTDS, and (b) relationships with flow over time from WRTDS. Nitrite/nitrate trends are on the left and ammonium trends are on the right. Wastewater treatment plant upgrades at the City of Stockton (San Joaquin County) were completed in 2006 (Fig. 6).

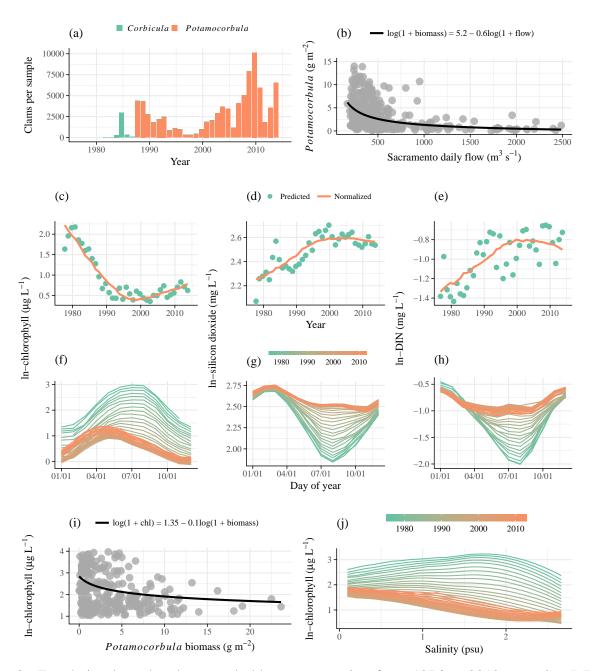


Fig. 8: Trends in clam abundance and chl-a concentration from 1976 to 2013 at station D7 in Suisun Bay. Invasion by *Potamocorbula amurensis* clams in the late 1980s and reduction of *Corbicula fluminea* was shown by changes in clam density (a, annual means), with biomass linked to salinity (b). A decrease in chl-a concentration was also observed by changes in annual (c) and seasonal trends (f) based on WRTDS results. Reductions in chl-a concentration were coincident with an increase in SiO_2 and DIN concentrations (d, e), with the greatest increases in August (g, h). A significant (p < 0.001) relationship between clam biomass and chl-a concentration is shown in subfigure (i). Flow relationships with chl-a concentration shown by WRTDS have also changed over time (j, observations from June).

Table 1: Summaries of flow-normalized trends in nitrogen analytes for all stations and annual aggregations

Analyte/Station	Annual		
-	1976-1995	1996-2013	
DIN			
C10	1.3 (0.8)**	1.4 (-3.1)**	
C3	0.3 (2.2)**	0.5 (-0.1)**	
D19	0.4 (0.2)**	0.4 (-1.9)**	
D26	0.4 (0.4)**	0.5 (-1.2)**	
D28	0.4 (0.1)**	0.4 (-3.1)**	
D4	0.3 (0.6)**	0.4 (-0.3)**	
D6	0.4 (1.8)**	0.5 (-0.3)**	
D7	0.4 (1.7)**	0.5 (-0.7)**	
MD10	0.4 (-1.1)**	0.3 (-2.4)**	
P8	1.3 (2.5)**	1.7 (-2)**	
\mathbf{NH}_{4}^{+}			
C10	0.1 (-3.4)**	0 (-5.2)**	
C3	0.2 (3.7)**	0.3 (0)	
D19	0 (0.4)**	0 (-1.7)**	
D26	0.1 (2.2)**	0.1 (-1.5)**	
D28	0 (-1.1)**	0 (-1.4)**	
D4	0 (0.9)**	0.1 (0)	
D6	0.1 (2.4)**	0.1 (-0.5)**	
D7	0.1 (1.5)**	0.1 (-1.2)**	
MD10	0.1 (-2.8)**	0 (-1.1)**	
P8	0.2 (4.9)**	0.1 (-10.3)**	
NO_2^-/NO_3^{2-}			
C10	1.2 (1.4)**	1.4 (-3)**	
C3	0.1 (-0.1)**	0.2 (0.7)**	
D19	0.4 (-0.1)**	0.4 (-1.9)**	
D26	0.3 (0)	0.4 (-1.1)**	
D28	0.4 (-0.2)**	0.4 (-3.1)**	
D4	0.3 (0.7)**	0.3 (-0.4)**	
D6	0.3 (1.3)**	0.4 (-0.3)**	
D7	0.4 (0.7)**	0.4 (-0.7)**	
MD10	0.4 (-1)**	0.3 (-2.5)**	
P8	1.2 (1.7)**	1.5 (-0.6)**	

Summaries are medians (mg L^{-1}) and percent change per year in parentheses (increasing in bold-italic). Changes and significance estimates are based on seasonal Kendall tests of flow-normalized results within each time period. *p < 0.05; **p < 0.005

Table 2: Summaries of flow-normalized trends in nitrogen analytes for all stations and seasonal aggregations from 1976-1995

Analyte/Station	Seasonal, 1976-1995			
	Spring	Summer	Fall	Winter
DIN				
C10	1.2 (1.1)**	1.2 (0.3)	1.3 (0.5)**	1.7 (1.2)**
C3	0.3 (2.4)**	0.3 (2.3)**	0.4 (2.4)**	0.4 (1.9)**
D19	0.5 (0.3)	0.2 (0.4)	0.3 (0.7)**	0.7 (-0.2)
D26	0.4 (0.7)**	0.3 (0.4)*	0.4 (1)**	0.6 (0.3)
D28	0.5 (0.8)*	0.2 (0.3)	0.3 (0.5)*	0.8 (-0.3)
D4	0.4 (0.2)	0.3 (1.4)**	0.3 (1.1)**	0.5 (-0.5)
D6	0.4 (0.4)	0.3 (4.6)**	0.4 (1.4)**	0.5 (-0.7)*
D7	0.4 (-0.2)	0.3 (4.2)**	0.4 (1.5)**	0.6 (-2.4)**
MD10	0.6 (-0.3)	0.2 (-3.6)**	0.3 (0.8)**	1.3 (-0.3)*
P8	1.3 (2.4)**	0.9 (2.4)**	1.3 (3.1)**	1.9 (2.1)**
$\overline{\mathbf{NH}_{4}^{+}}$				
C10	0.1 (-2.3)**	0 (-6.8)**	0.1 (-7.1)**	0.3 (-1.5)**
C3	0.2 (3.9)**	0.2 (4)**	0.3 (3.8)**	0.2 (2.9)**
D19	0.1 (0.4)*	0 (-1.7)**	0 (1.2)**	0.1 (2.5)**
D26	0.1 (1.4)**	0.1 (2.5)**	0.1 (3.1)**	0.1 (2.3)**
D28	0.1 (-0.5)	0 (-3.7)**	0 (-1.6)**	0.1 (1.7)**
D4	0.1 (1.7)**	0 (1)**	0 (-0.7)	0.1 (2)**
D6	0.1 (2.9)**	0.1 (2.8)**	0.1 (-0.1)	0.1 (2.1)**
D7	0.1 (3.3)**	0 (2)**	0.1 (-2.8)**	0.1 (1.7)**
MD10	0.1 (-1.8)**	0 (-6.5)**	0 (-3.3)**	0.2 (0.4)
P8	0.2 (3.9)**	0.1 (1.8)**	0.2 (7)**	0.6 (7)**
NO_2^-/NO_3^{2-}				
C10	1.1 (1.5)**	1.2 (0.6)**	1.2 (1.3)**	1.5 (1.8)**
C3	0.2 (0.7)**	0.1 (-1)**	0.1 (-0.3)	0.2 (1)**
D19	0.4 (0.4)	0.2 (-0.3)	0.3 (0.3)	0.6 (-0.9)*
D26	0.4 (0.6)*	0.2 (-0.1)	0.3 (0.3)*	0.5 (-0.3)
D28	0.5 (0.7)*	0.2 (-0.1)	0.3 (0.2)	0.7 (-1)**
D4	0.3 (0.1)	0.3 (1.4)**	0.3 (1.1)**	0.4 (-0.8)*
D6	0.4 (-0.2)	0.3 (4.1)**	0.3 (1.4)**	0.4 (-1)**
D7	0.4 (-1)*	0.3 (3.4)**	0.4 (0.4)	0.4 (-3.6)**
MD10	0.5 (-0.2)	0.2 (-3.6)**	0.2 (1.5)**	1.2 (-0.5)*
P8	1.2 (2)**	0.9 (2.3)**	1.1 (2)**	1.4 (<i>1</i>)**

Summaries are medians (mg L^{-1}) and percent change per year in parentheses (increasing in bold-italic). Changes and significance estimates are based on seasonal Kendall tests of flow-normalized results within each time period. Months for each season are Spring: MAM, Summer: JJA, Fall: SON, Winter: DJF. *p < 0.05; **p < 0.005

Table 3: Summaries of flow-normalized trends in nitrogen analytes for all stations and seasonal aggregations from 1996-2013

Analyte/Station	Seasonal, 1996-2013			
	Spring	Summer	Fall	Winter
DIN				
C10	1.1 (-4.1)**	1.3 (-3.1)**	1.6 (-2)**	1.7 (-3.4)**
C3	0.5 (0.5)	0.4 (0.1)	0.6 (-0.2)	0.5 (-0.6)**
D19	0.5 (-2.8)**	0.2 (-1)*	0.3 (-1.6)**	0.7 (-2.3)**
D26	0.5 (-1.9)**	0.3 (-1.7)**	0.4 (-1)**	0.6 (-0.8)**
D28	0.5 (-3)**	0.2 (-4.9)**	0.2 (-4.9)**	0.7 (-2.1)**
D4	0.4 (0)	0.4 (-1)**	0.4 (-0.9)**	0.5 (0.6)**
D6	0.5 (-0.2)*	0.5 (-1)**	0.5 (-0.3)*	0.5 (-0.1)
D7	0.5 (-0.8)**	0.4 (-1.3)**	0.4 (-0.4)**	0.6 (-0.2)
MD10	0.4 (-2.3)**	0.2 (-3.7)**	0.2 (-4.4)**	1 (-1.8)**
P8	1.5 (-1.9)**	1.2 (-3.5)**	1.8 (-2.4)**	2.7 (-2.2)**
$\overline{\mathbf{NH}_{4}^{+}}$				
C10	0 (-4.2)**	0 (-6.1)**	0 (-8.5)**	0.1 (-7.3)**
C3	0.3 (1)**	0.3 (-0.8)*	0.4 (-0.5)*	0.2 (-0.1)
D19	0 (-1.9)**	0 (-0.4)	0 (-2.2)**	0.1 (-1.8)**
D26	0.1 (-1.2)**	0.1 (-1.3)**	0.1 (-1.9)**	0.1 (-1.4)**
D28	0 (-1.7)**	0 (-0.2)	0 (-2.4)**	0.1 (-3.1)**
D4	0.1 (0.3)	0 (-1.3)**	0.1 (-0.3)	0.1 (1)**
D6	0.1 (-0.7)**	0.1 (-1)**	0.1 (0.3)	0.1 (-0.3)**
D7	0.1 (-2.2)**	0 (-2.1)**	0.1 (1.3)**	0.1 (-0.4)*
MD10	0 (-1.4)*	0 (-0.1)	0 (-0.8)**	0.1 (-4.3)**
P8	0.2 (-8.7)**	0.1 (-6.3)**	0.2 (-10.4)**	0.5 (-13.1)**
NO_2^-/NO_3^{2-}				
C10	1.1 (-4.2)**	1.2 (-3.2)**	1.6 (-1.9)**	1.6 (-3.3)**
C3	0.2 (0.4)	0.1 (3.1)**	0.2 (1.7)**	0.2 (-0.4)
D19	0.4 (-2.9)**	0.2 (-1)*	0.3 (-1.5)**	0.6 (-2.2)**
D26	0.4 (-1.9)**	0.2 (-1.6)**	0.3 (-0.6)*	0.5 (-0.6)**
D28	0.5 (-3)**	0.2 (-5.4)**	0.2 (-5.2)**	0.7 (-1.7)**
D4	0.3 (-0.1)	0.3 (-1)**	0.3 (-1)**	0.4 (0.4)**
D6	0.4 (-0.1)	0.4 (-1)**	0.4 (-0.4)*	0.4 (-0.1)
D7	0.4 (-0.6)**	0.4 (-1.2)**	0.4 (-0.8)**	0.4 (-0.3)*
MD10	0.4 (-2.6)**	0.1 (-4.5)**	0.2 (-5.4)**	1 (-1.4)**
P8	1.3 (-1.1)**	1.1 (-3.1)**	1.6 (-0.3)*	2.2 (0)

Summaries are medians (mg L^{-1}) and percent change per year in parentheses (increasing in bold-italic). Changes and significance estimates are based on seasonal Kendall tests of flow-normalized results within each time period. Months for each season are Spring: MAM, Summer: JJA, Fall: SON, Winter: DJF. *p < 0.05; **p < 0.005

Table 4: Summaries of flow-normalized trends in nitrite/nitrate and ammonium (mg L^{-1}) concentrations before and after WWTP upgrades upstream of station P8

Period	$\mathbf{NO}_{2}^{-}/\mathbf{NO}_{3}^{2-}$		\mathbf{NH}_4^+	
	Median	% change	Median	% change
Annual				
1976-2006	1.3	2**	0.2	2.8**
2007-2013	1.4	-1.9**	0.1	-16.6**
Seasonal, pre				
Spring	1.2	<i>1.6</i> **	0.2	<i>1.4</i> **
Summer	1	2.4 **	0.1	<i>3.3</i> **
Fall	1.3	2.2**	0.2	<i>4.9</i> **
Winter	1.5	<i>2.1</i> **	0.7	<i>4.8</i> **
Seasonal, post				
Spring	1.3	-1.6**	0.1	-16.2**
Summer	0.9	-4.3**	0.1	-15.7**
Fall	1.5	-1.7**	0.1	-19.3**
Winter	2.2	-0.8**	0.2	-26.7**

Upgrades were completed in 2006 at the City of Stockton WWTP (San Joaquin County, Fig. 6). Summaries are medians and percent change per year in parentheses (increasing in bold-italic). Changes and significance estimates are based on seasonal Kendall tests of flow-normalized results within each time period. Increasing values are in bold-italics. Months for each season are Spring: MAM, Summer: JJA, Fall: SON, Winter: DJF. *p < 0.05; *p < 0.005