# Four decades of water quality change in the upper San Francisco Estuary

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Recent methods for trend analysis have been developed that leverage the descriptive potential of long-term time series. Combined with these methods, multi-decadal datasets of water quality in coastal systems can provide valuable opportunities to gain insights into ecosystem properties and drivers of change. This study describes use of an estuarine adaptation of the Weighted Regressions on Time, Discharge, and Season (WRTDS) model to describe water quality trends over four decades in the Delta region of the San Francisco Estuary (SFE). This region is a complex mosaic of inflows that are primary sources of nutrients into the larger Bay. To date, a comprehensive evaluation of flow-normalized trends using the long-term monitoring dataset at multiple stations in the Delta has not been conducted despite the importance of nutrient transport from the region for water quality in the entire bay. The WRTDS technique is data-driven where the parameterization of the functional model changes smoothly over time following dynamic patterns of season and flow. Water quality trends that have not been previously quantified can be described, including variation in flow-normalized concentrations, frequency occurrence of extreme events, and response to historical changes in the watershed, all of which are important needs for understanding changes in the SFE. Model results from multiple stations in the Delta provided novel descriptions of historical trends and relationships between key species of dissolved inorganic nitrogen (ammonium, nitrate/nitrite, total). This variation was described in the context of varying contributions of input flows from the Sacramento and San Joaquin rivers, as well as tidal exchange with the central SFE. Conceptual relationships between water quality and drivers of change were used to generate and test hypotheses of mechanistic relationships using selected examples from the trend descriptions. Overall, this analysis provides an ecological and management-based understanding of historical trends in the SFE as a means to interpret potential impacts of recent changes and expected trends in this dynamic system. An argument is also made for more comprehensive evaluations of long-term monitoring datasets to understand relationships between response endpoints and causal mechanisms in coastal waters.

# 1 Introduction

Environmental condition is affected my multiple processes acting in a hierarchy across space and time (O'Neill et al. 1989, Levin 1992). Trend analysis is a broad discipline that has been applied to evaluate environmental condition using time series for the interpretation of

ecologically-relevant changes. Direct evaluation of an observed time series is often insufficient given that a long-term change can be masked by variation at shorter time scales or the observed variation represents the combined effects of many variables. Climate, local, regional, and 37 historical effects may act individually or together to impose a change on time series, such that methods that account for variation at different scales have been used for trend analysis (Fig. 1, Bhangu and Whitfield 1997, Champely and Doledec 1997, Chang 2008, Halliday et al. 2012). As a practical approach for water quality evaluation, trend analysis of eutrophication endpoints often focuses on tracking the change in concentrations or loads of nutrients over many years. Indicators of eutrophication can vary naturally with variation in flow conditions and may also reflect long-term effects of management or policy changes. For example, chlorophyll a (chl-a) concentration as a measure of phytoplankton response to nutrient inputs can follow seasonal patterns with cyclical variation in temperature and light changes throughout each year, whereas annual trends can follow long-term variation in nutrient inputs to the system (Cloern 1996, Cloern and Jassby 2010). Similarly, nutrient trends that vary with hydrologic loading also vary as a function of utilization rates by primary producers or decomposition processes (Sakamoto and Tanaka 1989, Schultz and Urban 2008, Harding et al. 2016). Time series analysis of water quality indicators must simultaneously consider effects of processes at multiple scales and interactions 51 between variables of interest to develop a more comprehensive description of system change. 52 Appropriate methods for the analysis of change depend largely on the question of interest 53 and on charactericstics of the environmental dataset. Trend analyses for aquatic systems have traditionally focused on comparisons between discrete periods of time to estimate a direction and 55 magnitude of a trend using non-parametric tests (Hirsch et al. 1991, Esterby 1996). Development of these conventional approaches addressed limitations in historical monitoring datasets related to infrequent sampling and relatively few years of continuous data. Increased availability of multi-decadal datasets, particularly for high profile environments, has prompted the development of trend analysis methods that leverage the descriptive potential of long-term time series from continuous monitoring programs (Bowes et al. 2009, Halliday et al. 2012). These methods are 61 often data-driven where the parameterization of a simple functional model can change smoothly over time given that relationships between water quality variables and potential drivers are dynamic. The Weighted Regressions on Time, Discharge, and Season (WRTDS) approach was

developed under this context and has been used to characterize decadal trends in running-water systems (Hirsch et al. 2010, Sprague et al. 2011, Medalie et al. 2012, Hirsch and De Cicco 2014, Zhang et al. 2016). This method has the potential to provide a spatially and temporally robust 67 description of trends by fitting a dynamic model with parameters that change relative to the domain of interest. More recently, the WRTDS method was adapted for trend analysis in tidal waters, with a focus on chl-a trends in Tampa Bay (Beck and Hagy 2015) and the Patuxent River Estuary (Beck and Murphy in press), and tidally-infleunced time series of dissolved oxygen from continuous sonde measurements (Beck et al. 2015). These studies have demonstrated potential for the use WRTDS for trend analysis in tidal waters and further application to alternative datasets could provide additional insight into drivers of change in aquatic systems. The San Francisco Estuary (SFE) on the Pacific Coast of the United States is one of the 75 most prominent and culturally significant estuaries in the western hemisphere (Cloern 2015). Background nutrient concentrations in the Bay often exceed those associated with excessive 77 primary production, although eutrophication events have historically been infrequent. Recent changes in response to additional stressors (e.g., variation in freshwater inputs/withdrawals, invasive species, climate change) suggests that Bay condition has not followed past trajectories and more subtle spatial and temporal variation could provide clues that describe underlying 81 properties of this system (Cloern and Jassby 2012). The unique ecological and social context of the Bay provides a valuable opportunity to gain insight into ecosystem properties of estuaries that define water quality dynamics at different scales. The Delta region of SFE in particular is a mosaic of inflows that receives and processes inputs from the larger watershed to the lower Bay (Jassby and Cloern 2000, Jassby et al. 2002, Jassby 2008). A comprehensive monitoring dataset has been collected at several fixed locations in the Delta for the last four decades (Jabusch et al. 87 2016). Morever, nutrient dynamics in the Delta are inherently linked to flow variation from inputs, withdrawal, impoundments, and downstream transport (Novick et al. 2015), suggesting an approach that explicitly considers flow effects is critical for trend analysis. To date, the Delta monitoring dataset is an under-utitilized data source and a comprehensive analysis with WRTDS 91 could facilitate an understanding of historical and recent changes in SFE water quality. 92 The goal of this study was to provide a comprehensive description of nutrient trends in the 93

Delta that will inform understanding of eutrophication dynamics and potential causes of water

quality change in the larger Bay. We applied the newly-adapted method of weighted regression for tidal waters to describe nitrogen trends in different spatial and temporal contexts. The specific objectives were to 1) quantify and interpret trends over four decades at nine stations in the Delta, including annual, seasonal, and spatial changes in nitrogen analytes and response to flow variation, 2) provide detailed descriptions of three case studies in the context of conceptual relationships modelled with WRTDS. The second objective evaluated three specific water quality 100 stations in the Delta to demonstrate complexities with nutrient response to flow, effects of 101 wastewater treatment plant (WWTP) upgrades on water quality, and effects of biological invasion 102 by benthic filter feeders on primary production. Although quantitative descriptions of change can 103 be ends in themselves, the results were expected to have greater impact as a means to more detailed understanding of ecosystem properties. Products derived from WRTDS can be used to inform additional analyses, such as water quality response after removing annual, seasonal, or 106 flow effects. Overall, this analysis is expected to further an ecological and management-based 107 understanding of dynamics in San Francisco Bay, with implications for water quality restoration 108 and protection of this prominent system.

# 2 Methods

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# 2.1 Study system

The SFE drains a 200 thousand km<sup>2</sup> watershed and is the largest bay on the Pacific coast 112 of North America. The watershed provides drinking water to over 25 million people, including 113 irrigation for 18 thousand km<sup>2</sup> of agricultural land in the Central Valley. Water enters the Bay 114 through the Sacramento and San Joaquin rivers that have a combined inflow of approximately 28 115 km<sup>3</sup> per year, with the Sacramento accounting for 84% of inflow to the Delta. The SFE system is divided into several sub-bays, including Suisun Bay immediately downstream of the Delta, San 117 Pablo Bay to the north, South Bay, and the Central Bay that drains to the Pacific Ocean through 118 the Golden Gate. Water dynamics in SFE are governed by inflows from the watershed, tidal 119 exchange with the Pacific Ocean, and water withdrawals for municipal and agricultural use 120 (Jassby and Cloern 2000). Seasonally, inflows into SFE peak in the spring and early summer from snowmelt in the upper watershed, whereas consumption, withdrawals, and export have steadily increased from 1960 to present but vary considerably depending on inter-annual climate effects

(Cloern and Jassby 2012). The system is mixed mesotidal and significant exchange with the ocean occurs daily, although the extent of landward saltwater intrusion varies with inflow and annual water use patterns. Notable drought periods have occurred from 1976-1977, 1987-1992, and recently from 2013-2015 (Cloern 2015). Oceanic upwelling and climatic variation are also significant external factors that have influenced water quality dynamics in the Bay (Cloern et al. 2007).

Nutrient loading in SFE is comparable to other large estuaries that exhibit symptomatic 130 effects of cultural eutrophication (e.g., Chesapeake Bay, Kemp et al. 2005). Orthophosphate 131  $(PO_4^{3-})$  and dissolved inorganic nitrogen (DIN) enter the Bay primarily through riverine sources 132 in the north and municipal WWTP inputs in the densely-populated area immediately surrounding 133 SFE. Annual nutrient export from the Delta region has been estimated as approximately 30 thousand kg d<sup>-1</sup> of total nitrogen (varying with flow, Novick et al. 2015), with 90% of ammonium 135 (NH<sub>4</sub><sup>+</sup>) originating solely from the Sacramento Regional WWTP (Jassby 2008). Although 136 nitrogen and phosphorus inputs are considerable, primary production is relatively low and not 137 nutrient-limited (Jassby et al. 2002, Kimmerer et al. 2012). The resistance of SFE to the negative effects of eutrophication has historically been attributed to the unique physical and biological 139 characteristics of the Bay, including strong tidal mixing that limits stratification (Cloern 1996, 140 Thompson et al. 2008) and limits on phytoplankton growth from high turbidity and filter-feeding 141 by bivalve mollusks (Thompson et al. 2008, Crauder et al. 2016). However, recent water quality 142 trends have suggested that resistence of the system to nutrient inputs is decreasing given documented changes in chlorophyll biomass (Cloern et al. 2007), increased occurrence of 144 hypoxic conditions (Sutula et al. in review), and increased abundance of phytoplankton species 145 associated with harmful algal blooms (Lehman et al. 2005, 2010). These recent changes have 146 been attributed to variation in global sea surface temperatures associated with climate change 147 (Cloern et al. 2007), biological invasions (Cohen and Carlton 1998), and departures from the historical flow record (Enright and Culberson 2009, Cloern and Jassby 2012). The role of nutrients in stimulating primary production in SFE has been the focus of several recent 150 investigations (e.g., Dugdale et al. 2007, Parker et al. 2012, Glibert et al. 2014). 151

The Delta region is of particular interest for understanding historical patterns and potential trajectories of water quality response to nutrient inputs into the Bay. The Delta is a mosaic of

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linked channels or tracts that receive, process, and transport inflows from the Sacramento and San Joaquin rivers (Jassby and Cloern 2000, Jassby 2008, Novick et al. 2015). Quantitative 155 descriptions of nutrient dynamics in the Delta are challenging given the numerous sources of 156 nutrients and the volume of water that is exchanged through natural and anthropogenic processes. 157 A comprehensive evaluation using mass-balance models to describe nutrient dynamics in the Delta demonstrated that nitrogen enters the system in different forms and is processed at different rates before export or removal (Novick et al. 2015). For example, a majority of ammonium 160 entering the system during the summer is nitrified or assimilated, whereas a considerable 161 percentage of total nitrogen load to the Delta is lost. Although, the focus of our analysis is not to 162 quantify sources or sinks of nitrogen species, a quantitative evaluation of long-term trends will provide a more comprehensive historical interpretation to hypothesize the effects of future 164 changes in the context of known dynamics. Nutrients in the Delta also vary with seasonal and 165 annual changes in the delivery of water inflows, including water exports directly from the system 166 (Jassby and Cloern 2000, Jassby 2008). Our analysis also explicitly accounts for the effects of 167 flow changes on nutrient response to better understand variation both within the Delta and potential mechanisms of downstream tranport. 169

#### 2.2 Data sources

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Multi-decadal time series of nutrients and flow records were used to develop a quantitative 171 description of nitrogen trends in the Delta. The Interagency Ecological Program (IEP) is a consortium of state and federal agencies that have maintained the Environmental Monitoring 173 Program (EMP) in the Delta region since 1975 (IEP 2013). The EMP collects monthly water 174 quality samples at 19 stations in the Delta, Suisun Bay, and northeastern San Pablo Bay. Water 175 samples were collected using a Van Dorn sample, a submersible pump, or a flow through system 176 depending on site. All samples were processed with standard QA/QC at the California Department of Water Resources Bryte Laboratory in Sacramento (references in IEP 2013). 178 Nutrient time series were obtained from the IEP website 179 (http://water.ca.gov/bdma/meta/Discrete/data.cfm) at nine discrete sampling stations from 1976 180 through 2013. Three representative stations from three locations in the Delta and Suisun Bay were used: Delta stations C3 (Sacramento inflow), C10 (San Joaquin inflow), P8; middle stations 182 D19, D26, D28; and Suisun stations D4, D6, and D7. These stations were chosen based on

continuity of the water quality time series and geographic location for understanding trends. Data were minimally processed with the exception of averaging replicates that occurred on the same day. Detection limits throughout the period of record were obtained from the metadata, although few observations were censored (< 3%). The three nitrogen analytes that were evaluated were ammonium, nitrite/nitrate, and DIN (as the sum of the former two).

Daily flow estimates for the Delta region were obtained from the Dayflow software 189 program that provides estimates of average Delta outflow (IEP 2016). Because of the complexity 190 of water inflow, exports, and outflows from the Delta, the Dayflow program combines 191 observations with estimates based on mass balance to reconstruct historical and daily flow 192 estimates. The WRTDS models described below require a matched flow record with the appropriate station to evaluate nutrient trends. Given the complexity of inflows and connectivity of the system, only the inflow estimates from the Sacramento and San Joaquin rivers were used as 195 measures of freshwater influence at each station. Initial analyses indicated that model fit was not 196 significantly improved with flow estimates from locations closer to each station, nor was model fit 197 improved using lagged times series. As such, the Sacramento daily flow time series was used to acount for flow effects at C3, D19, D26, and D28, and the San Joaquin time series was used for 199 C10 and P8. The salinity observations at D4, D6, and D7 in Suisun Bay were used as a more 200 appropriate measure of variation in freshwater balance given the stronger tidal influence at these 201 stations. Salinity has been used a tracer of freshwater influence for the application of WRTDS 202 models in tidal waters (Beck and Hagy 2015). 203

# 2.3 Analysis method and application

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A total of twenty-seven WRTDS models were created, one for each nitrogen analyte at each station. The functional form of WRTDS is a simple regression that models the log-transformed response variable as a function of time, flow, and season:

$$\ln(N) = \beta_0 + \beta_1 t + \beta_2 \ln(Q) + \beta_3 \sin(2\pi t) + \beta_4 \cos(2\pi t)$$
 (1)

where N is one of three nitrogen analytes, time t is a continuous variable as decimal time to capture the annual or seasonal trend, and Q is the flow variable (either flow or salinity depending on station). The seasonal trend is modelled as a sinusoidal component to capture periodicity

between years. The WRTDS model is a moving window regression that fits a unique set of parameters at each observation point in the time series. A unique set of weights is used for each 212 regression to control the relevance of observations used to fit the model to the observation at the 213 center of the window. The weights are based on a scaled Euclidean distance to estimate the 214 differences of all points from the center in relation to annual time, season, and flow. The final 215 vector used to fit the model at each point weights observations more similar to the center of the window with more importance. The complete model for the time series contains a parameter set 217 for every time step that considers the unique context of the data. As such, predictions from 218 WRTDS are more precise than those from more conventional models that fit a single parameter 219 set to the entire time series (Moyer et al. 2012, Beck and Hagy 2015). The original WRTDS 220 method is described in more detail in (Hirsch et al. 2010). The WRTDS model applied to the Delta time series was based on a tidal adaptation of the original method (Beck and Hagy 2015). 222 The WRTDS models were fit to describe the conditional mean response using a weighted Tobit 223 model for left-censored data (Tobin 1958). Previous adaptations of WRTDS to tidal waters have 224 used quantile regression to describe trends in the conditional quantiles, such as changes in the frequency of occurrence of extreme evens. The application to the Delta data focused only on the conditional mean models to establish a baseline response which has not been previously 227 quantified. All analyses used the WRTDStidal package for R (Beck 2016, RDCT (R Development 228 Core Team) 2016) 220

A hallmark of the WRTDS approach is the description of flow-normalized trends that are independent of variation from freshwater inflows. Flow-normalized trends have value for the interpretation of changes that are potentially caused by drivers other than flow, such as WWTP upgrades or phytoplankton grazing by benthic filter-feeders (Fig. 1, Beck and Hagy 2015). Although variation in nutrients is caused by the combined effects of several variables acting at different temporal and spatial scales, flow-normalization provides a basis for further exploration by removing a critical confounding variable that could affect the interpretation of trends. A flow-normalized value is the average of predictions at a given observation using all flow values that are expected to occur for the relevant month across years in the record. Flow-normalized trends for each analyte at each station were used to describe long-term changes in different annual and seasonal periods. Specifically, flow-normalized trends in each analyte were summarized as

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both medians and percent changes from the beginning to end of annual groupings from 1976-1995 and 1996-2013, and seasonal groupings of March-April-May (spring), 242 June-July-August (summer), September-October-November (fall), and 243 December-January-February (winter) within each annual grouping. These annual and seasonal 244 groupings were chosen for continuity with similar comparisons reported in Jabusch et al. (2016) 245 and as approximate twenty year midway points in the time series.

Trends within each annual and seasonal grouping were based on seasonal Kendall tests of 247 the flow-normalized predictions. This test is a modification of the non-parametric Kendall test 248 that accounts for variation across seasons in the response variable (Hirsch et al. 1982). Results 249 from the test can be used to evaluate the direction, magnitude, and significance of a monotonic 250 change within the period of observation. The estimated rate of change per year is also returned as the Theil-Sen slope and was interpreted as the percent change per year when divided by the 252 median value of the response variable in the period of observation. Trends within annual groupings were based on all monthly observations within relevant years, whereas seasonal groupings were based only on the relevant months across all years in the data set. Seasonal Kendall tests weere also used to describe trends in the model predictions for the observed data. These trends were compared with those based on the flow-normalized trends to evaluate the improved ability of WRTDS to describe trends that are independent of flow. The analysis was similar to that in Jassby (2008) that evaluated trends after accounting for river inflow using a more generic form of locally weighted regression. Functions in the EnvStats package in R were used for the seasonal Kendall tests (Millard 2013).

#### 2.4 Case studies

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Three stations were chosen for closer evaluation to demonstrate use of WRTDS to develop a more comprehensive description of decadal trends in the Delta. The stations were chosen to address ecological and management-based questions that have relevance outside of the region, having importance for the understanding of estuarine processes that influence eutrophication trends over several years. The selected case studies focused on 1) nitrogen trends and flow-effects of the San Joaquin river at C10, 2) effects of wastewater treatment upgrades upstream of P8, and 3) effects of biological invasion on nutrient dynamics in Suisun Bay. Each case study is built around hypotheses that results from WRTDS models were expected to support, both as a

general description and for additional testing with alternative methods.

#### 2.4.1 Disaggregating observed nitrogen time series

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Multiple biological and physical factors influence nutrient concentrations at different 273 temporal scales (Cloern and Jassby 2010, references therein). As a result, relationships between 274 nutrients, time, and flow that could be described by quantitative methods like WRTDS are 275 espected to be non-linear and complex as compared to more conventional methods for time series analysis. A detailed evaluation of nitrogen dynamics at C10 using WRTDS, including the effects 277 of flow, was expected to reveal 1) an annual trend independent of a seasonal trend, and 2) varying 278 flow contribution to nutrient dynamics, either as a difference between predicted and 279 flow-normalized results or changes in the nutrient versus flow relationship at different annual 280 periods. Results from this case study were used to demonstrate the breadth of information that 281 can be obtained from the observed time series with WRTDS. 282

#### 2.4.2 Effects of wastewater treatment

Wastewater treatment plants upstream of and within the Delta are a major source of 284 nutrient loading to the system. As noted in (Jassby 2008), the Sacramento Regional WWTP alone 285 contributes 90% of the ammonium load to the region. Significant efforts have been made in recent 286 years to reduce nitrogen loading from regional WWTPs given the disproportionate contribution of 287 nutrients relative to other sources (e.g., watershed agricultural load, sediment flux, etc., Cornwell 288 et al. 2014, Novick et al. 2015). Several WWTPs have recently been or are planned to be 289 upgraded to include tertiary filtration and nitrification to convert biologically available ammonium 290 to nitrate. The City of Stockton WWTP was upgraded in 2006 and is immediately upstream of 291 station P8 (Jabusch et al. 2016). Therefore, a modal response of nutrient concentrations at P8 292 centered around 2006 is expected as a result of upstream WWTP upgrades, and water quality 293 should exhibit 1) a shift in load contributions before/after upgrade, 2) a flow-normalized annual 294 trend at P8 to show a change concurrent with WWTP upgrades, and 3) different nitrogen species will have different changes depending on change in load outputs. The use of WRTDS to describe downstream effects of WWTP upgrades could reveal flow-independent trends that have not been 297 previously described.

#### 2.4.3 Effects of biological invasions

The San Francisco Estuary is considered one of the most invaded ecosystems in the world with an estimated 234 exotic species by the turn of the century, half of which have been reported after 1965 (Cohen and Carlton 1998). The invasion of benthic grazers as ecosystem engineers is one of the more notable events that has been characterized by dramatic shifts in primary production of the Bay's trophic network (Carlton et al. 1990, Nichols et al. 1990, Werner and Hollibaugh 1993, Crauder et al. 2016). In particular, invasion of the upper estuary by the Asian clam *Potamocorbula amurensis* in 1986 caused dramatic changes in phytoplankton abundance and species composition with increased grazing. Reduction in phytoplankton biomass has altered trophic networks in the Bay and is considered a primary mechanism in the decline of the protected delta smelt and other important fisheries (Feyrer et al. 2003, Mac Nally et al. 2010). Changes in the physical environment have also occurred with the most notable effect being increased water clarity following a reduction of phytoplankton (Mac Nally et al. 2010). The clams are halophilic such that drought years are generally correlated with an increase in biomass and further upstream invasion of the species (Parchaso and Thompson 2002, Cloern and Jassby 2012).

We hypothesized that WRTDS models applied to water quality observations in the upper estuary would show 1) a decline in annual, flow-normalized chlorophyll concentrations over time coincident with an increase in abundance of invaders, 2) changes in ratios of limiting nutrients (nitrogen, SiO<sub>2</sub>) suggesting different uptake rates by grazers with a shift in community composition, and 3) seasonal shifts in limiting nutrients based on changes in community composition and relative abundances with seasonal succession. The application of WRTDS to water quality observations at station D7 in Suisun Bay and comparison with clam abundance and biomass data from Crauder et al. (2016) was expected to reveal the competing effects of inflow on phytoplankton and benthic grazers.

# 3 Results

#### 3.1 Trends

Estimated annual percent change of ammonium from 1976-1995 at P8 was more than twice that shown in Jabusch et al. (2016), suggesting that flow effects on the time series lead to an under-estimate of the actual change. ?? shows an approximate 5% increase per year, compared to

2% in Fig 2 from Jabusch et al. (2016). Mid-1990s was high flow, which diluted the observed ammonium and removal of this component showed a steady, positive increase in the flow-normalized trend (Fig. 6).

### 3.2 Selected examples

#### 3.2.1 Disaggregating observed nitrogen time series

Fig. 3, Fig. 4

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Emphasize the information the model provides relative to the observed time series. A 334 distinct annual trend with a maximum in the middle of the time series is observed, with lower 335 values at the beginning and end of the period. The seasonal patterns generally showed that DIN 336 concentrations were highest in January with higher values at moderate to low flow rates depending on the year. Interestingly, summer and fall concentrations have showed a slight 338 increase later in the time series (2004-2009). The confounding effect of flow is also very 339 apparent such that higher flows were associated with lower concentration. Dynaplot showed that 340 there was always a negative assocation between the two (i.e., no modal response). The quantile distributions showed similar trends over time in both predicted values and flow-normalized predictions, although some exceptions were observed. In particular, high flow (1984, 2008) 343 reduced concentrations of all quantiles but the magnitude of the effect increased at higher 344 quantiles (i.e., the effect was disproportionate). The opposite was observed for low flow, i.e., the 345 ninetieth percent showed the greatest increase for low flow.

Emphasize the summer/fall change in the 2000s, why is this? Check (Cloern et al. 2007), showed seasonal changes in early 2000s in chlorophyll (NE Pacific shifted to cool phase), is there a mechanism here with DIN? Relate to conceptual diagram.

#### 3.2.2 Effects of wastewater treatment

Overall reduction in total nitrogen load was observed as a result of reduction in ammonium (Fig. 5). Nitrate is the primary constituent of total nitrogen after 2007. Organic nitrogen is a larger percentage of the total after nitrification. What was reduction in ammonium starting in 2002?

Nitrogen trends at P8 shifted in response to upstream WWTP upgrades (Fig. 6), with ammonium showing the largest reduction. Interestingly, nitrite/nitrate concentrations also showed a similar but less dramatic decrease. Percent changes are shown in Table 4, where both nitrogen

species shows large percent increases prior to WWTP upgrades followed by decreases after upgrades with ammonium showing the largest pecentage. Seasonally, increases prior to upgrades were most apparent in the July-August-September (JAS) months for both analytes. Seasonal reductions post-upgrades were also largest in JAS for nitrite/nitrate, whereas percent reductions were similar across all monthly groupings for ammonium.

Relationships of nitrogen with flow showed the typical inverse flow/concentration dynamic with flushing at high flow, although patterns differed by nitrogen species. Seasonal variation was more apparent for ammonium, although both typically had the highest concentrations in the winter. Additionally, strength of the flow/nutrient relationship changed throughout the time series the year where the strongest relationship differed by analyte.

Nitrite/nitrate typically had the strongest relationship flow later in the time series, whereas ammonium had the strongest relationship with flow in the early 2000s.

#### 3.2.3 Effects of biological invasions

Data from (Crauder et al. 2016), Jassby (2008) describes phytoplankton community changes in the upper estuary, including chlorophyll response to flow. Figure 10 in Jassby (2008) showed that chlorophyll generally decreased with flow in 1980 but inreased with flow in 2000.

Note the decrease in Potamocorbula abundance in 2011, 2012. These are wet years where abundance/biomass of the clams is driven down by lower salinity. Contrased with the annual chlorophyll trends in the same years, the predicted values are above the flow-normalized trend suggesting an increase in chlorophyll with higher flow. The potential mechanism is therefore a decrease in clam abundance with high flow that releases phytoplankon from filtration pressure. This also explains the positive association of chlorophyll with flow in recent years (bottom right dynaplot). See suggestions in Alpine and Cloern (1992), Parchaso and Thompson (2002) regarding flow/grazer relationships in the Bay.

Further, chlorophyll trends early in the time series generally show a decrease with high flow with a distinct maximum at moderate flow. This may suggest stratification events at moderate flow contributed to phytoplankton blooms early in the time series. Water withdrawals later in the time series could have also altered environmental conditions to reduce the frequency occurrence of stratification events. Look into this more...

What about biomass/density relationships for Potamocorbula? Although clam density

increases throughout the period, What about initial decrease in chlorophyll prior to clam invasion? Is this related to water withdrawals (i.e., decrease in stratification events at moderate flow)? Fig. 7, Fig. 8, Table 5, Table 6

#### 4 Discussion

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Trends as percent change depend on the mean value, lower values will have larger percent changes.

Second case study showed typical inverse relationships between nutrients and flow, more 394 flow means greater flushing and dilution of nutrient concentrations. Conversely, low flow means 395 less flushing and higher nutrient concentrations, although this may not always be observed if the 396 available nutrients are biologically available. Low-flow events during warmer months show the 397 lowest ammonium concentrations, which corresponds to seasonal maxima in chlorophyll 398 concentration. A similar but weaker relationship was observed with nitrite/nitrate where increased 399 flow was related to decreased concentration and lower concentrations overall were observed in the 400 summer. However, low-flow events still had higher concentrations than high-flow events in July, 40 as compared to ammonium which was low regardless of flow. This suggests that ammonium 402 concentrations are driving phytoplankton production at P8. Annual trends in chlorophyll 403 concentration (not shown) showed an overall decrease from the 1970s to present, although a slight 404 peak is observed in the 2000s. This peak is likely related to the maximum ammonium 405 concentration shown in Fig. 6. Moreover, flow/chlorophyll relationships have generally been constant throughout the period of record such that a change in flow has not been related to a 407 change in phytoplankton production. This suggests that nutrient loads that contribute to 408 production at P8 are primarily from point sources at WWTP outflows as a change in flow does not 409 affect the load output. But what are watershed loads? 410

What do nitrogen trends mean? Have to interpret relative to trends in other variables. A decrease in nitrogen or constant nitrogen does not mean nitrogen inputs have stayed the same, they might actually be increasing if nitrogen. A change in chlorohpyll relative to change in nitrogen could be informative, and even moreso, a change in silica relative to change in chlorophyll suggests diatom biomass has changed. However, there are mismatches in these trends that suggest other processes are at play, e.g., residence times and flow inputs, etc. Trends in

Suisun relative to trends in Delta provide an example, e.g., Suisun is decrease in chlorophyll, increase in silica, increase in nitrogen, delta is decrease in silica, increase/decrease in DIN (depending on time period/season), decrease in chlorophyll, what's going on? See Senn slide 14 (from burial?). The WRTDS model lets us at least address trends in the context of season, time, and flow. This allows for more improved interpretion relative to observing raw data. Also explain more information by looking at ammonium, nitrative/nitrite, relative to DIN. What about other

variables (light level as suspended particulate matter, temperature)?

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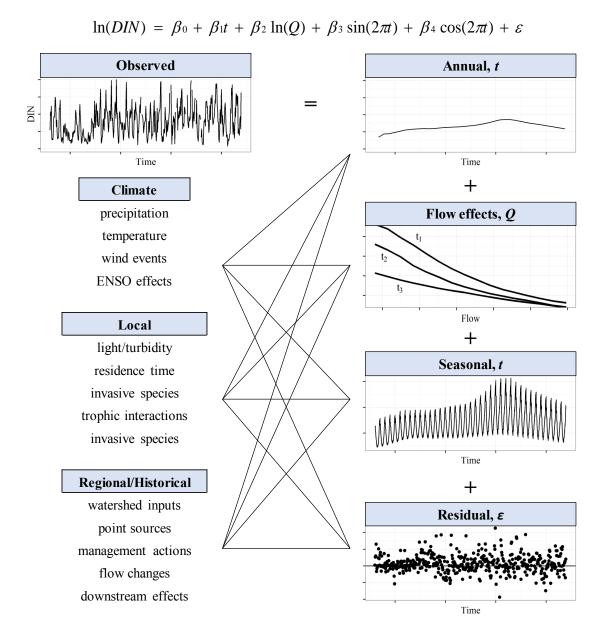


Fig. 1: Conceptual diagram illustrating use of WRTDS to decompose trends in observed nitrogen time series and potential forcing factors that can explain model output. Results from the model are described as annual and seasonal trends, changes in flow-nutrient dynamics for different time periods, and residual variation independent of time, flow, and season. Relationships between environmental factors (climate, local, regional/historical) and nitrogen trends are more easily related to the separate components of the observed time series using results from the model.

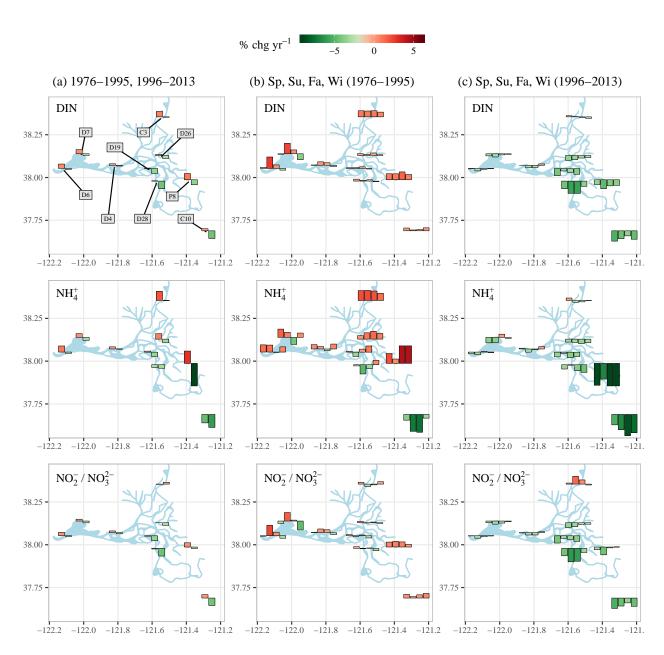


Fig. 2: Percent change per year in nitrogen analytes for aggregations by (a) year, (b) seasons from 1976-1995, and (c) seasons from 1996-2013. Changes are based on seasonal Kendall tests of flow-normalized results within each time period. Station names are shown in the top left panel. Values less than -9% change were truncated for visual clarity of the bar plots, see Tables 1 to 3 for actual values. Months for each season are Spring: MAM, Summer: JJA, Fall: SON, Winter: DJF.

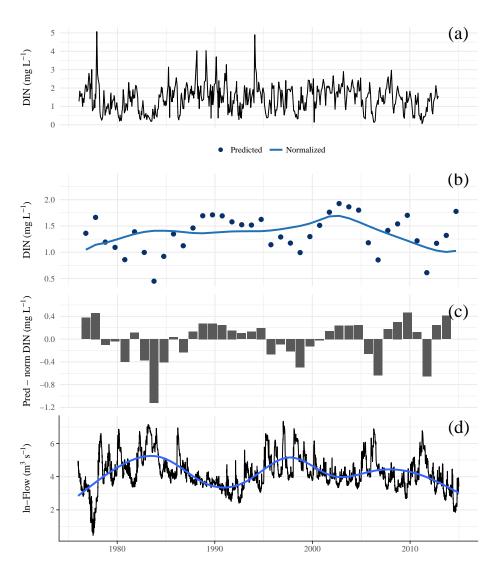


Fig. 3: Time series of DIN and flow at station C10. Subfigure (a) shows the observed DIN time series and subfigure (b) shows the annual (water year starting in October) predictions from WRTDS at different conditional quantiles ( $\tau = 0.1, 0.5, 0.9$ ). The points in subfigure (b) are predictions of observed DIN and the lines are flow-normalized predictions. Subfigure (c) shows the difference between the model predictions and flow-normalized predictions at the fiftieth conditional quantile. Subfigure (d) shows the flow time series of the San Joaquin River with a locally-estimated (loess) smooth to emphasize the long-term trend.

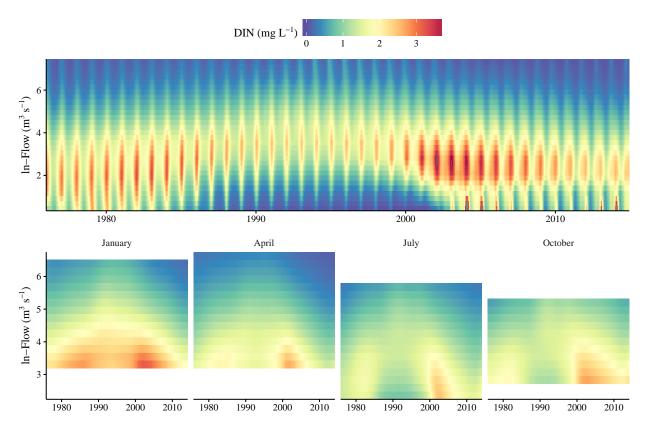


Fig. 4: Modelled relationships between DIN, flow, and time at station C10. The top figure shows the annual and seasonal variation over the entire time series and the bottom figure shows annual variation for selected months to remove seasonal variation. Warmer colors indicate higher DIN concentrations. The y-axis on the bottom figure is truncated by the fifth and ninety-fifth percentiles of flow within each month. Model results are for the fiftieth conditional quantile of DIN.

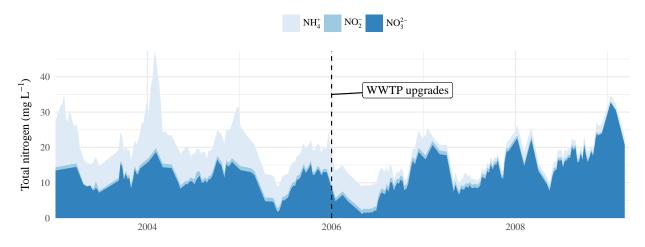


Fig. 5: Nitrogen concentration measurements (mg  $L^{-1}$ ) from the City of Stockton Wastewater Treatment Plant, San Joaquin County. Wastewater discharge requirements were implemented in 2006 for nitrification/denitrification and tertiary filtration to convert ammonium to nitrate.

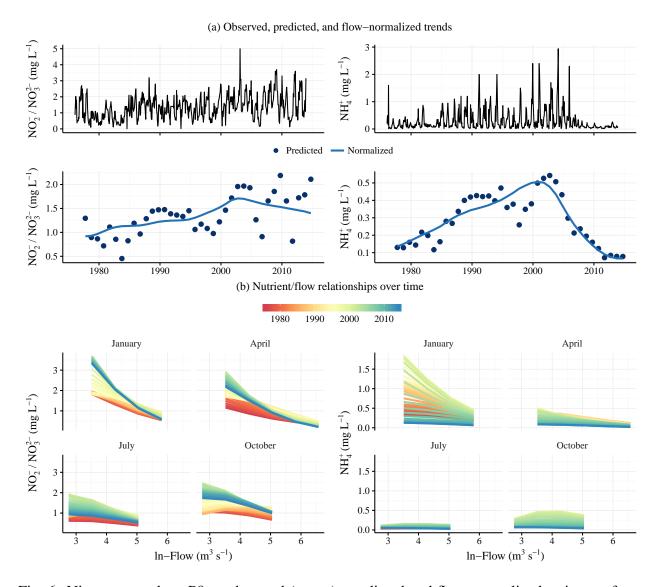


Fig. 6: Nitrogen trends at P8 as observed (a, top), predicted and flow-normalized estimates from WRTDS (a, bottom), and relationships with flow over time (b). Nitrite/nitrate trends are on the left and ammonium trends are on the right. Wastewater treatment plant upgrades at the City of Stockton (San Joaquin County), were completed in May 2007 (Fig. 5), coincident with a dramatic decrease in ammonium at P8.

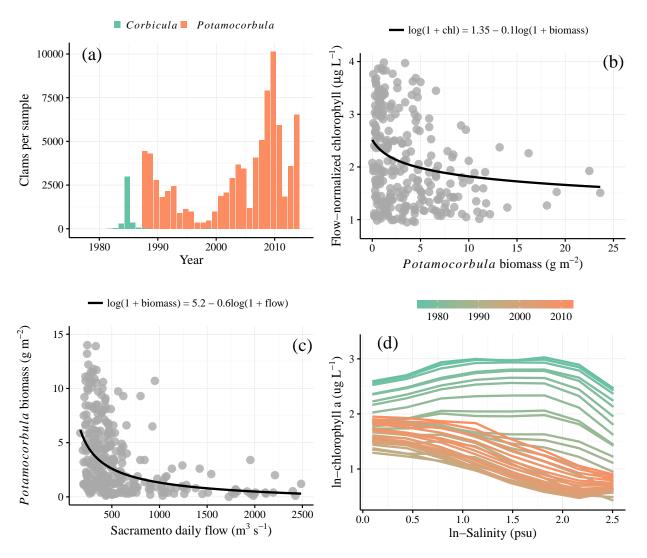


Fig. 7: Trends in clam abundance and chl-a concentration from 1976 to 2014 at station D7 in Suisun Bay. Invasion by *Potamocorbula amurensis* clams in the late 1980s and displacement of *Corbicula fluminea* was shown by changes in clam density (a, annual means). A coincident decrease in chl-a concentration was also observed (c). A weak but significant (p < 0.001) relationship between clam biomass and chl-a concentration is shown in subfigure (b). Flow relationships with chl-a concentration have also changed over time (d, observations from June). Chlorophyll shows a slight positive then dominantly negative association with increasing flow (decreasing salinity) early in the time series, whereas the trend is reversed in recent years.

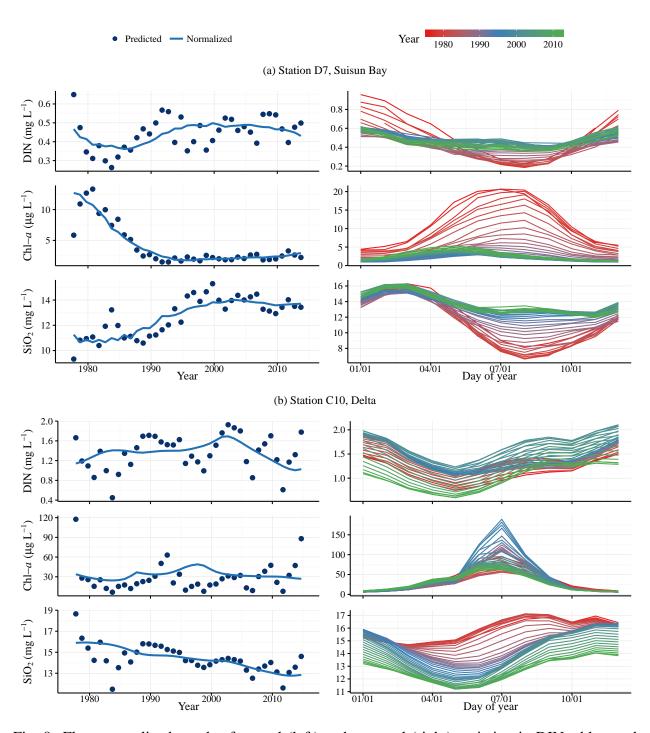


Fig. 8: Flow-normalized trends of annual (left) and seasonal (right) variation in DIN, chl-*a*, and SiO<sub>2</sub> at station D7 (top) and C10 (bottom). Covariation between nutrients, chl-*a*, and SiO<sub>2</sub> is observed at D7 but not C10, although an overall decrease in SiO<sub>2</sub> at C10 is shown. Seasonal changes at D7 are most pronounced during the summer.

Table 1: Summaries of flow-normalized trends in nitrogen analytes for all stations and annual aggregations. Summaries are medians (mg  $L^{-1}$ ) and percent change per year in parentheses (increasing in bold-italic). Changes and significance estimates are based on seasonal Kendall tests of flow-normalized results within each time period. See Fig. 2 for a summary of spatial trends.

Analyte/Station	Annual		
•	1976-1995	1996-2013	
DIN			
C10	1.3 (0.8)**	1.4 (-3.1)**	
C3	0.3 (2.2)**	0.5 (-0.1)**	
D19	0.4 (0.2)**	0.4 (-1.9)**	
D26	0.4 (0.4)**	0.5 (-1.2)**	
D28	0.4 (0.1)**	0.4 (-3.1)**	
D4	0.3 (0.6)**	0.4 (-0.3)**	
D6	0.4 (1.8)**	0.5 (-0.3)**	
D7	0.4 (1.7)**	0.5 (-0.7)**	
P8	1.3 (2.5)**	1.7 (-2)**	
$NH_4^+$			
C10	0.1 (-3.4)**	0 (-5.2)**	
C3	0.2 (3.7)**	0.3 (0)	
D19	0 (0.4)**	0 (-1.7)**	
D26	0.1 (2.2)**	0.1 (-1.5)**	
D28	0 (-1.1)**	0 (-1.4)**	
D4	0 (0.9)**	0.1 (0)	
D6	0.1 (2.4)**	0.1 (-0.5)**	
D7	0.1 (1.5)**	0.1 (-1.2)**	
P8	0.2 (4.9)**	0.1 (-10.3)**	
$\mathbf{NO}_{2}^{-}/\mathbf{NO}_{3}^{2-}$			
C10	1.2 (1.4)**	1.4 (-3)**	
C3	0.1 (-0.1)**	0.2 (0.7)**	
D19	0.4 (-0.1)**	0.4 (-1.9)**	
D26	0.3 (0)	0.4 (-1.1)**	
D28	0.4 (-0.2)**	0.4 (-3.1)**	
D4	0.3 (0.7)**	0.3 (-0.4)**	
D6	0.3 (1.3)**	0.4 (-0.3)**	
D7	0.4 (0.7)**	0.4 (-0.7)**	
P8	1.2 (1.7)**	1.5 (-0.6)**	

<sup>\*</sup>p < 0.05; \*\*p < 0.005

Table 2: Summaries of flow-normalized trends in nitrogen analytes for all stations and seasonal aggregations from 1976-1995. Summaries are medians (mg  $L^{-1}$ ) and percent change per year in parentheses (increasing in bold-italic). Changes and significance estimates are based on seasonal Kendall tests of flow-normalized results within each time period. See Fig. 2 for a summary of spatial trends. Months for each season are Spring: MAM, Summer: JJA, Fall: SON, Winter: DJF.

Analyte/Station	Seasonal, 1976-1995					
	Spring	Summer	Fall	Winter		
DIN						
C10	1.2 (1.1)**	1.2 ( <b>0.3</b> )	1.3 ( <b>0.5</b> )**	1.7 (1.2)**		
C3	0.3 (2.4)**	0.3 (2.3)**	0.4 (2.4)**	0.4 (1.9)**		
D19	0.5 (0.3)	0.2 (0.4)	0.3 (0.7)**	0.7 (-0.2)		
D26	0.4 (0.7)**	0.3 (0.4)*	0.4 (1)**	0.6 (0.3)		
D28	0.5 (0.8)*	0.2 (0.3)	0.3 (0.5)*	0.8 (-0.3)		
D4	0.4 (0.2)	0.3 (1.4)**	0.3 (1.1)**	0.5 (-0.5)		
D6	0.4 (0.4)	0.3 (4.6)**	0.4 (1.4)**	0.5 (-0.7)*		
D7	0.4 (-0.2)	0.3 (4.2)**	0.4 (1.5)**	0.6 (-2.4)**		
P8	1.3 (2.4)**	0.9 (2.4)**	1.3 (3.1)**	1.9 (2.1)**		
$NH_4^+$						
C10	0.1 (-2.3)**	0 (-6.8)**	0.1 (-7.1)**	0.3 (-1.5)**		
C3	0.2 (3.9)**	0.2 (4)**	0.3 (3.8)**	0.2 (2.9)**		
D19	0.1 (0.4)*	0 (-1.7)**	0 (1.2)**	0.1 (2.5)**		
D26	0.1 (1.4)**	0.1 (2.5)**	0.1 (3.1)**	0.1 (2.3)**		
D28	0.1 (-0.5)	0 (-3.7)**	0 (-1.6)**	0.1 (1.7)**		
D4	0.1 (1.7)**	0 (1)**	0 (-0.7)	0.1 (2)**		
D6	0.1 (2.9)**	0.1 (2.8)**	0.1 (-0.1)	0.1 (2.1)**		
D7	0.1 (3.3)**	0 (2)**	0.1 (-2.8)**	0.1 (1.7)**		
P8	0.2 (3.9)**	0.1 (1.8)** 0.2 (7)**		0.6 (7)**		
$NO_2^-/NO_3^{2-}$						
C10	1.1 ( <b>1.5</b> )**	1.2 (0.6)**	1.2 (1.3)**	1.5 (1.8)**		
C3	0.2 (0.7)**	0.1 (-1)**	0.1 (-0.3)	0.2 (1)**		
D19	0.4 (0.4)	0.2 (-0.3)	0.3 (0.3)	0.6 (-0.9)*		
D26	$0.4 (0.6)^*$	0.2 (-0.1)	0.3 (0.3)*	0.5 (-0.3)		
D28	0.5 (0.7)*	0.2 (-0.1)	0.3 (0.2)	0.7 (-1)**		
D4	0.3 (0.1)	0.3 (1.4)**	0.3 (1.1)**	0.4 (-0.8)*		
D6	0.4 (-0.2)	0.3 (4.1)**	0.3 (1.4)**	0.4 (-1)**		
D7	0.4 (-1)*	0.3 (3.4)**	0.4 (0.4)	0.4 (-3.6)**		
P8	1.2 (2)**	0.9 (2.3)**	1.1 (2)**	1.4 (1)**		

p < 0.05; p < 0.005

Table 3: Summaries of flow-normalized trends in nitrogen analytes for all stations and seasonal aggregations from 1996-2013. Summaries are medians (mg  $L^{-1}$ ) and percent change per year in parentheses (increasing in bold-italic). Changes and significance estimates are based on seasonal Kendall tests of flow-normalized results within each time period. See Fig. 2 for a summary of spatial trends. Months for each season are Spring: MAM, Summer: JJA, Fall: SON, Winter: DJF.

Analyte/Station	Seasonal, 1996-2013				
	Spring	Summer Fall		Winter	
DIN					
C10	1.1 (-4.1)**	1.3 (-3.1)**	1.6 (-2)**	1.7 (-3.4)**	
C3	0.5 (0.5)	0.4 (0.1)	0.6 (-0.2)	0.5 (-0.6)**	
D19	0.5 (-2.8)**	0.2 (-1)*	0.3 (-1.6)**	0.7 (-2.3)**	
D26	0.5 (-1.9)**	0.3 (-1.7)**	0.4 (-1)**	0.6 (-0.8)**	
D28	0.5 (-3)**	0.2 (-4.9)**	0.2 (-4.9)**	0.7 (-2.1)**	
D4	0.4 (0)	0.4 (-1)**	0.4 (-0.9)**	0.5 (0.6)**	
D6	0.5 (-0.2)*	0.5 (-1)**	0.5 (-0.3)*	0.5 (-0.1)	
D7	0.5 (-0.8)**	0.4 (-1.3)**	0.4 (-0.4)**	0.6 (-0.2)	
P8	1.5 (-1.9)**	1.2 (-3.5)**	1.8 (-2.4)**	2.7 (-2.2)**	
$\mathbf{NH}_{4}^{+}$					
C10	0 (-4.2)**	0 (-6.1)**	0 (-8.5)**	0.1 (-7.3)**	
C3	0.3 (1)**	0.3 (-0.8)*	0.4 (-0.5)*	0.2 (-0.1)	
D19	0 (-1.9)**	0 (-0.4)	0 (-2.2)**	0.1 (-1.8)**	
D26	0.1 (-1.2)**	0.1 (-1.3)**	0.1 (-1.9)**	0.1 (-1.4)**	
D28	0 (-1.7)**	0 (-0.2)	0 (-2.4)**	0.1 (-3.1)**	
D4	0.1 ( <b>0.3</b> )	0 (-1.3)**	0.1 (-0.3)	0.1 (1)**	
D6	0.1 (-0.7)**	0.1 (-1)**	0.1 ( <b>0.3</b> )	0.1 (-0.3)**	
D7	0.1 (-2.2)**	0 (-2.1)**	0.1 (1.3)**	0.1 (-0.4)*	
P8	0.2 (-8.7)**	0.1 (-6.3)**	0.2 (-10.4)**	0.5 (-13.1)**	
$NO_{2}^{-}/NO_{3}^{2-}$					
C10	1.1 (-4.2)**	1.2 (-3.2)**	1.6 (-1.9)**	1.6 (-3.3)**	
C3	0.2 (0.4)	0.1 (3.1)**	0.2 (1.7)**	0.2 (-0.4)	
D19	0.4 (-2.9)**	0.2 (-1)*	0.3 (-1.5)**	0.6 (-2.2)**	
D26	0.4 (-1.9)**	0.2 (-1.6)**	0.3 (-0.6)*	0.5 (-0.6)**	
D28	0.5 (-3)**	0.2 (-5.4)**	0.2 (-5.2)**	0.7 (-1.7)**	
D4	0.3 (-0.1)	0.3 (-1)**	0.3 (-1)**	0.4 (0.4)**	
D6	0.4 (-0.1)	0.4 (-1)**	0.4 (-0.4)*	0.4 (-0.1)	
D7	0.4 (-0.6)**	0.4 (-1.2)**	0.4 (-0.8)**	0.4 (-0.3)*	
P8	1.3 (-1.1)**	1.1 (-3.1)**	1.6 (-0.3)*	2.2 (0)	

p < 0.05; p < 0.005

Table 4: Summaries of flow-normalized trends in nitrite/nitrate and ammonium (mg L<sup>-1</sup>) concentrations before and after WWTP upgrades upstream of station P8. Upgrades were completed in 2006 at the City of Stockton WWTP (San Joaquin County, Fig. 5). Summaries are medians and percent change per year in parentheses (increasing in bold-italic). Changes and significance estimates are based on seasonal Kendall tests of flow-normalized results within each time period. Increasing values are in bold-italics. Months for each season are Spring: MAM, Summer: JJA, Fall: SON, Winter: DJF.

Period	$\mathbf{NO}_{2}^{-}/\mathbf{NO}_{3}^{2-}$		$\mathbf{NH}_4^+$		
	Median	% change	Median	% change	
Annual					
1976-2006	1.3	2**	0.2	2.8**	
2007-2013	1.4	-1.9**	0.1	-16.6**	
Seasonal, pre					
Spring	1.2	<i>1.6</i> **	0.2	<i>1.4</i> **	
Summer	1	<i>2.4</i> **	0.1	<i>3.3</i> **	
Fall	1.3	2.2**	0.2	<b>4.9</b> **	
Winter	1.5	<i>2.1</i> **	0.7	<i>4.8</i> **	
Seasonal, post					
Spring	1.3	-1.6**	0.1	-16.2**	
Summer	0.9	-4.3**	0.1	-15.7**	
Fall	1.5	-1.7**	0.1	-19.3**	
Winter	2.2	-0.8**	0.2	-26.7**	

p < 0.05; p < 0.005

Table 5: Summaries of flow-normalized trends in dissolved inorganic nitrogen (mg  $L^{-1}$ ), chlorophyll ( $\mu$ g  $L^{-1}$ ), and silicon dioxide (mg  $L^{-1}$ ) concentrations for different time periods at station D7. Summaries are medians and percent change per year in parentheses (increasing in bold-italic). Changes and significance estimates are based on seasonal Kendall tests of flow-normalized results within each time period. Increasing values are in bold-italics. Months for each season are Spring: MAM, Summer: JJA, Fall: SON, Winter: DJF.

Period	Ι	DIN	Chl-a		$\mathbf{SiO}_2$	
	Median	% change	Median	% change	Median	% change
All						
1976-2013	0.4	<i>0.6</i> **	3	-6.7**	12.7	<i>0.7</i> **
Annual						
1976-1985	0.4	-2.1**	8.8	-10.7**	10.2	-0.2
1986-1994	0.4	<i>3.6</i> **	2.6	-13.5**	11.9	2.3**
1995-2003	0.5	-0.1	1.8	<i>1.9</i> **	13.3	<i>0.7</i> **
2004-2013	0.5	-1.3**	2.1	<b>2.9</b> **	13.1	-0.3**
Seasonal						
Spring	0.5	-0.1	3.4	-1	14.7	<i>0.1</i> **
Summer	0.4	<i>1.5</i> **	3.4	-8.8**	12.2	<i>1.2</i> **
Fall	0.4	<i>0.6</i> **	1.7	-8.8**	12.1	<i>1</i> **
Winter	0.6	-0.2	1.4	-3.1**	14.5	0.3**

p < 0.05; \*p < 0.005

Table 6: Summaries of flow-normalized trends in dissolved inorganic nitrogen (mg  $L^{-1}$ ), chlorophyll ( $\mu$ g  $L^{-1}$ ), and silicon dioxide (mg  $L^{-1}$ ) concentrations for different time periods at station C10. Summaries are medians and percent change per year in parentheses (increasing in bolditalic). Changes and significance estimates are based on seasonal Kendall tests of flow-normalized results within each time period. Increasing values are in bold-italics. Months for each season are Spring: MAM, Summer: JJA, Fall: SON, Winter: DJF.

Period	DIN		Chl-a		$\overline{ extbf{SiO}_2}$	
	Median	% change	Median	% change	Median	% change
All						
1976-2013	1.3	<i>0.1</i> **	20.4	<i>0.1</i> **	14.7	-0.6**
Annual						
1976-1985	1.3	<i>3</i> **	19.9	-2.9**	15.9	-0.2**
1986-1994	1.3	<i>0.4</i> **	19.6	<i>0.2</i> *	15	-0.3**
1995-2003	1.5	<i>2.4</i> **	21.2	-0.3**	14.4	-0.4**
2004-2013	1.3	-5.2**	21.4	-0.4**	13.2	-1**
Seasonal						
Spring	1.1	-0.1	27.2	<i>1.1</i> **	13.2	-0.7**
Summer	1.2	0.1	73.4	-0.3	13.5	-0.9**
Fall	1.4	<i>0.6</i> **	14.4	-1.2**	15.7	-0.5**
Winter	1.7	0.1	7.6	<i>0.7</i> **	15.2	-0.3**

p < 0.05; \*p < 0.005