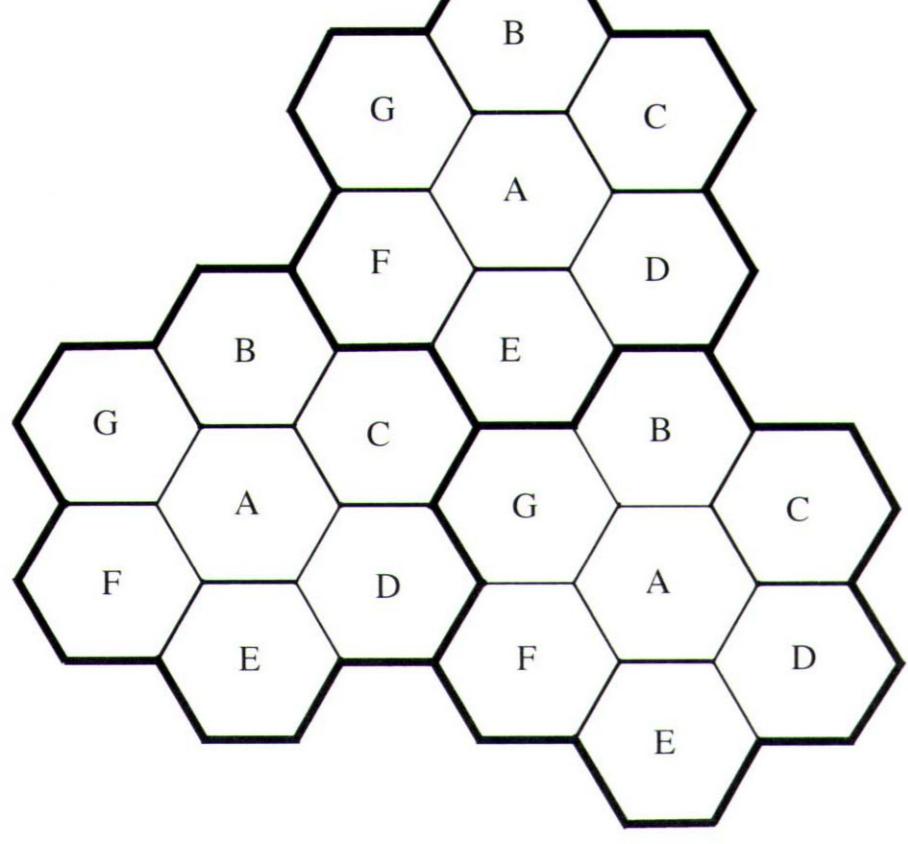


Introduction

- i) Radio telephone system needs to
 - Achieve **high user capacity** and **cover large areas**
 - but with **limited radio spectrum**
- ii) Using a **single high-powered** transmitter mounted on a tall tower
 - Achieves **good and large coverage**
 - However **impossible to reuse the same frequency**

Frequency Reuse

- i) Each BS is allocated a group of radio channels to cover a small area called **cell**
- ii) BS in adjacent cells are assigned channel groups which are **completely different**
- iii) **Same channel group** is used to **cover different cells** that are separated by large distance to keep interference within **tolerable limits**
- iv) The design process of allocating channel groups to BSs is called **frequency reuse**



Cellular Concept-I

Channel Assignment Strategies

- i) Objective of frequency reuse schemes are
 - a) Increase the capacity of the system
 - b) Minimize interference between co-channel
- ii) Assignment Strategies can be classified as
 - a) **Fixed** channel assignment
 - b) **Dynamic** channel assignment

Handoff Strategies

- i) MSC automatically transfers the call to a channel belonging to a new base station when a **mobile moves** into a different cell while in a conversation
- ii) Tasks involved in a Handoff are
 - **Identifying** a new base station and
 - **allocate** voice and control signals channels of the new base station
 - **Handoff** requests are **prioritized** over call-initiation while allocating channels
- iii) Handoffs must be **performed as infrequently** as possible and be **imperceptible** to the users

Handoff Scenario at cell boundary:

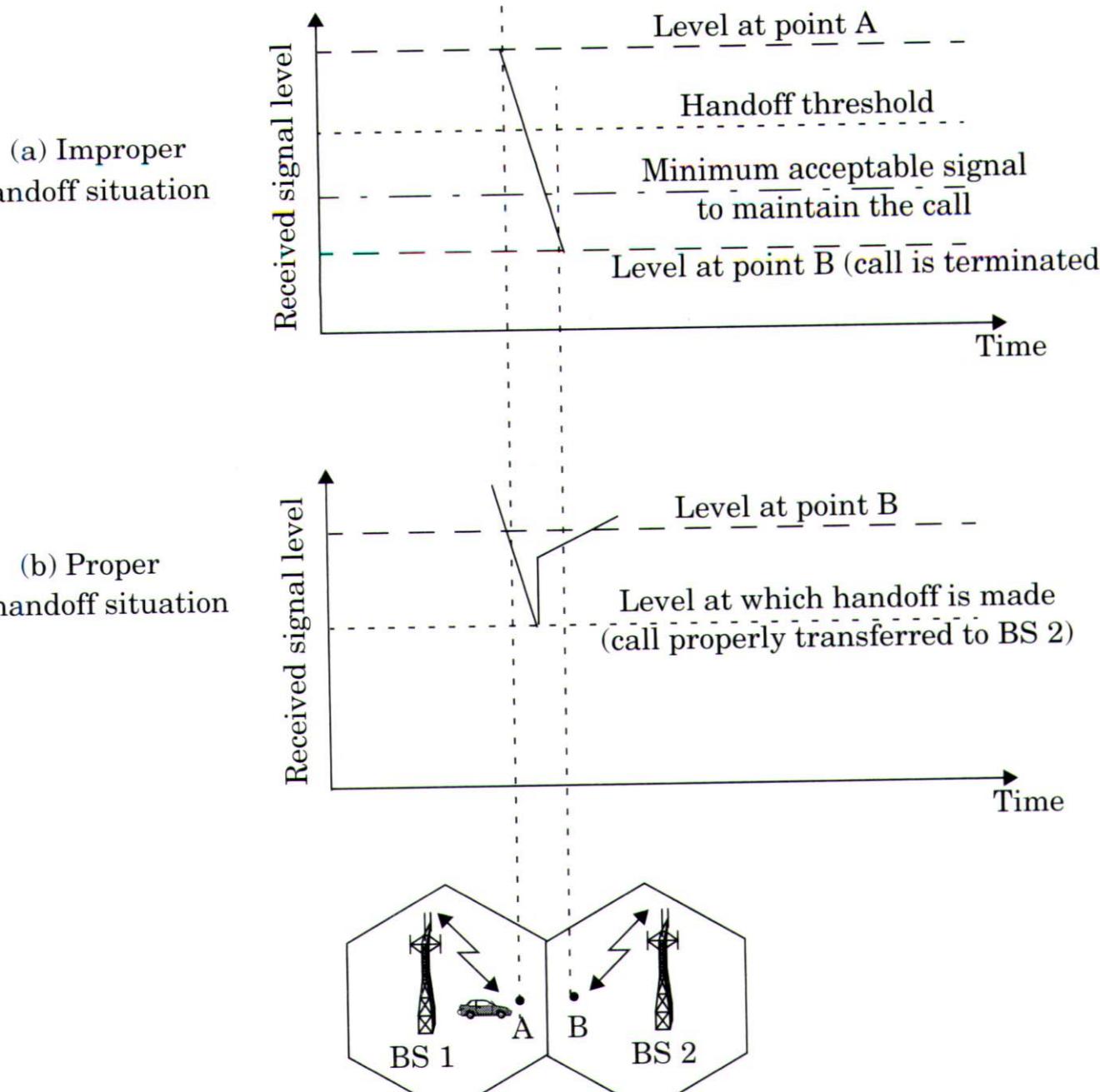


Figure 3.3 Illustration of a handoff scenario at cell boundary.

- i) A **minimum usable signal level** ($P_r^{\text{minimum usable}}$) for **acceptable** voice quality is specified
 - a **slightly stronger signal level** (P_r^{handoff}) is used as a **threshold** at which a **handoff** is made.
- ii) The **margin** Δ is given by $\Delta = P_r^{\text{handoff}} - P_r^{\text{minimum usable}}$, where
 - a **large Δ** leads to **unnecessary handoffs** and burden the MSC
 - a **small Δ** will result in **insufficient time** to complete a handoff before a call is lost due to weak signal

Cellular Concept (Multiple low-power transmitters)

- i) Is a system-level idea which **replaces** a **single high-power** transmitter (large cell) with **many low-power** transmitters (small cells)
- ii) Each base station is **systematically spaced** and
 - provides **coverage** to only a **small portion** of the area
 - BS is **allocated a portion** of the total number of channels
 - **neighboring base stations** are allocated **different group** of channels
 - **channel groups** are **reused** while **co-channel interference** kept within **acceptable levels**

Cell Shape (Hexagon)

- i) The radio coverage of a cell called footprint is **amorphous** in nature, is determined from field measurements or propagation models
- ii) The **hexagonal cell shape** is a simple model of radio coverage and is universally adopted since it permits easy and manageable analysis
 - Hexagon shapes cover an **entire region** with **fewest number of cells** and without overlap
 - Base station transmitters are depicted in the center of the cell (**center-excited**) or on three of the six cell vertices (**edge-excited**)

Cell Cluster

- i) Consider a cellular system with a total of S **duplex channels**, these channels are divided among N cells into **unique and disjoint groups**, each cell is allocated a **group of k channels**, such that $S = k \times N$
- ii) The N cells which collectively use the **complete available** frequency is called a **cluster**
- iii) If a cluster is **replicated** M times, the total number of duplex channels is $C = M \times k \times N = M \times S$
 - Note, **capacity** of the cellular system $C \propto M$
- iv) The factor N is called **cluster size** and is typically 4, 7 or 12
 - if N is **reduced** keeping the cell size constant, a **larger M** is required to cover an area, thereby **increases** the capacity C
 - However, a **small cluster size** indicates that co-channel cells are located much closer resulting in **increase in interference**
 - ⇒ N is a function of **tolerable interference** in order to **increase capacity**
 - iv) The **frequency reuse factor** is given by $\frac{1}{N}$, since each cell in a cluster is only assigned $\frac{1}{N}$ of the total channels

Fixed channel assignment

- i) Each cell is **allocated** a **predetermined** set of voice channels
- ii) Any call attempt in the cell is served by the **unused** channels
- iii) If all channels are occupied, the call is **blocked**
- iv) In one variation of the Fixed strategy named **borrowing strategy**, cell is allowed to **borrow** channels from **neighboring cell**
 - the MSC supervises the borrowing procedures and ensures that **no disruption** or **interference** occurs in the donor cell

Dynamic channel assignment

- i) Voice channels are **not allocated** to a cell **permanently**
- ii) For **each call attempt** in the cell, the MSC allocates a voice channel
- iii) The MSC follows an **algorithm** that takes into account likelihood of future blocking, frequency of channel, the reuse distance and other cost functions
- iv) This strategy **reduces** the likelihood of **blocking** and **increases** the **trunking capacity** as all the available channels are accessible to all the cells
 - the MSC is **required** to **collect real-time** data on channel occupancy, traffic distribution and RSSI (radio signal strength indications)
 - This increases the **storage** and **computational load** on the system

Dwell Time

- i) Excessive delay by the MSC in assigning a new channel leads to **Call drop**
- ii) It is important to **ensure** that the mobile is actually **moving away** from the serving base station
 - note that the drop in measured signal level is due to **momentary fading**
- iii) Before initiating handoff, the base station **monitors** the signal level for a certain period of time
 - The length of monitoring depends largely on the **speed of mobile units**
- iv) The time over which a call may be **maintained** within a cell **without handoff** is called **Dwell time**
 - it is governed by factors like propagation, interference, distance and time varying effects
 - even a stationary mobile may have a **random** and **finite** dwell time
 - The statistics of dwell time are important in **design** of handoff algorithms

Prioritizing Handoffs

- i) If handoff requests are handled the **same way** as originating calls, the prob. that handoff is not served is equal to blocking prob. of incoming call
- ii) To **improve** quality of service various methods are devised

With Increase in demand for service

- i) When more channels are needed,
 - the **number** of base stations is **increased**
 - with a corresponding **decrease** in **transmitter power**
 - the **channels** are **reused** throughout the coverage region
- ii) Every subscriber equipment is manufactured with the **same** set of channels

Co-channel Cells

- i) There are only **certain** cluster sizes and cell layouts which are possible to connect the hexagon shaped cells **without gaps** between adjacent cells
- ii) The geometry is such that N can only have values satisfying

$$N = i^2 + i j + j^2$$
 - where i, j are non-negative integers
- iii) To find the **nearest** co-channel neighbors of a cell,
 - move i cells along any chain of hexagons and then
 - turn 60° counter-clockwise and move j cells

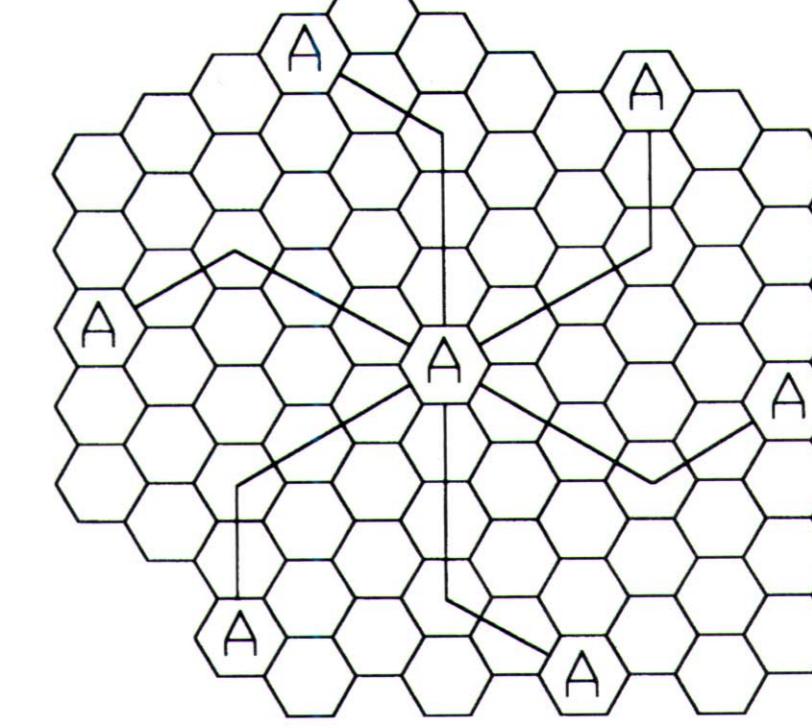


Figure 3.2 Method of locating co-channel cells in a cellular system. In this example, $N = 19$ (i.e., $i = 3, j = 2$). (Adapted from [Oet83] © IEEE.)

Example: Numerical Problem

- Q.(a) Given total BW = 30 MHz and 2×25 kHz/duplex channel
Sol: \Rightarrow Available channels = $\frac{30 \text{ MHz}}{50 \text{ kHz}} = 600$

For N	Channels per cell
4	$(600/4) = 150$
7	$(600/7) = 85$
12	$(600/12) = 50$

- Q.(b) Given 1 MHz is dedicated for control channels
Sol: \Rightarrow Dedicated control channels (CC) = $\frac{1 \text{ MHz}}{50 \text{ kHz}} = 20$ and
 $\Rightarrow (600 - 20) = 580$ voice channels (VC)

For N	Channels per cell
4	$(5 \text{ CC} + 14 \text{ VC}) \times 4 \text{ cells}$
7	$(3 \text{ CC} + 83 \text{ VC}) \times 4 \text{ cells} + (2 \text{ CC} + 83 \text{ VC}) \times 3 \text{ cells}$
12	$(2 \text{ CC} + 48 \text{ VC}) \times 8 \text{ cells} + (1 \text{ CC} + 49 \text{ VC}) \times 4 \text{ cells}$

1st Generation Analog cellular systems (MSC initiated Hand-off)

- i) Signal strength (RSSI) **measurements** are made by the BS and supervised by MSC
 - **reverse voice channels** (RVCs) are monitored to determine **relative location** of each mobile user
- ii) A spare location receiver is used to determine RSSI of users in **neighboring cells**
- iii) Based on RSSI values received, the **MSC decides** if a handoff is necessary

2nd Generation Digital cellular systems (Mobile-assisted Handoff, MAHO)

- i) Handoff decisions are **mobile assisted**
- ii) In **MAHO** (mobile-assisted Handoff), every mobile **measures** the received power from **surrounding** BSs and report the results to the serving BS
- iii) A handoff is **initiated** when the power received from **neighboring cell** begins to exceed the serving BS by a certain level or for a certain period of time
- iv) The MAHO performs at a much **faster rate** and is particularly **suitied** for **micro cellular** environment

Intersystem handoff (MSC-MSC Handoff)


- i) An **intersystem handoff** becomes necessary when a **mobile moves** to a **different cellular system** controlled by a **different MSC**
- ii) a **local call** may become **long-distance call** and user becomes **roamer**
- iii) The two MSCs must be **compatible** for **implementing** intersystem handoff

Guard channel concept

- i) A **fraction** of the total available channels is reserved for handoff requests
- ii) It may reduce the total carried traffic, however offers efficient spectrum utilization when dynamic channel assignment strategies are used

Queuing of handoff requests

- i) Handoff requests are **queued** instead of **forced** termination due to lack of available channels
- ii) However, does not guarantee a zero prob. of forced termination



Trunking

- i) Cellular radio systems rely on **trunking** to accommodate a **large number** of users in a limited radio spectrum
- ii) Each user is allocated a channel on a **per call basis**, and upon termination of the call, the occupied channel is **returned to the pool**
- iii) Trunking exploits the **statistical behavior** of users so that a limited number of channels or circuits may accommodate a large, random user community
- iv) **Trunking theory** principle is used in designing cellular radio system

Table 3.4 Capacity of an Erlang B System

Number of Channels C	= 0.01	= 0.005	= 0.002	= 0.001
2	0.153	0.105	0.065	0.046
4	0.869	0.701	0.535	0.439
5	1.36	1.13	0.900	0.762
10	4.46	3.96	3.43	3.09
20	12.0	11.1	10.1	9.41
24	15.3	14.2	13.0	12.2
40	29.0	27.3	25.7	24.5
70	56.1	53.7	51.0	49.2
100	84.1	80.9	77.4	75.2

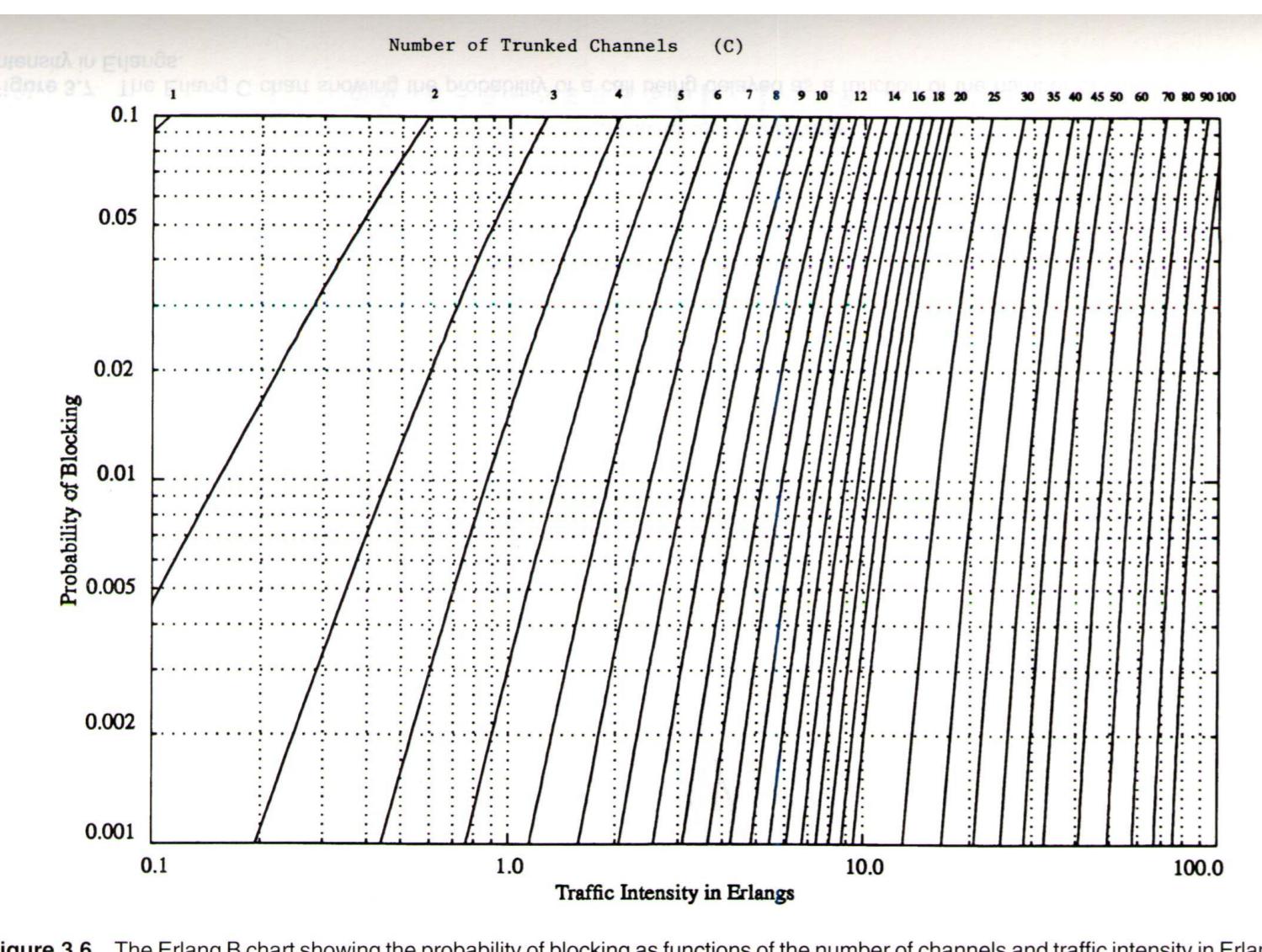


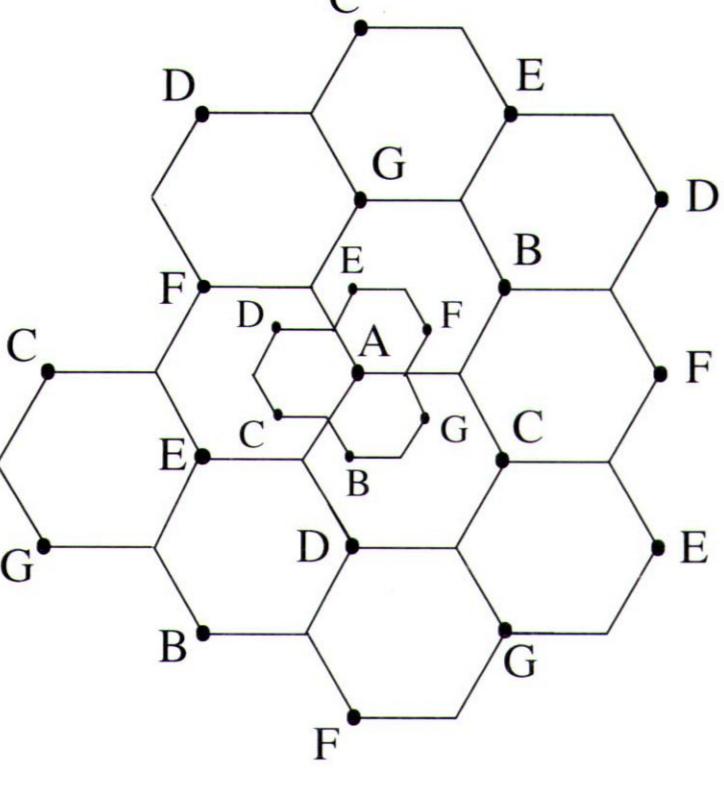
Figure 3.6 The Erlang B chart showing the probability of blocking as functions of the number of channels and traffic intensity in Erlangs.

Improving Coverage and Capacity

- i) As the demand increases techniques such as a) **Cell splitting** b) **sectoring** and c) **coverage zone approaches**, are used in practice to expand the capacity of a system

a) Cell Splitting:

- i) Cell splitting is the process of **subdividing** a congested cell into smaller cells each with its base station and a corresponding reduction in transmitted power
→ Cell splitting increase the capacity since it increases the channel reuse
- ii) The radius of every cell is cut into **half** i.e., $\frac{R}{2}$, implying **four times** as many cells would be required
- iii) Ex: The microcell BS labeled G is placed half way between A and C utilizing the same channel set G



- iv) The transmit power of the new cell P_{t2} is found by examining the received power P_r at the new and old cell boundary and setting them equal to each other, i.e.,

$$P_r[\text{at old cell boundary}] \propto P_{t1} R^{-n}$$

$$P_r[\text{at new cell boundary}] \propto P_{t2} \left(\frac{R}{2}\right)^{-n}, \text{ where } P_{t1}, P_{t2} \text{ are transmit power of old and new cells. And using } n = 4, \text{ we have}$$

$$P_{t2} = \frac{P_{t1}}{16}$$

- The transmit power is reduced by 12 dB in order to fill the original coverage area with microcells while maintaining the SIR requirement.

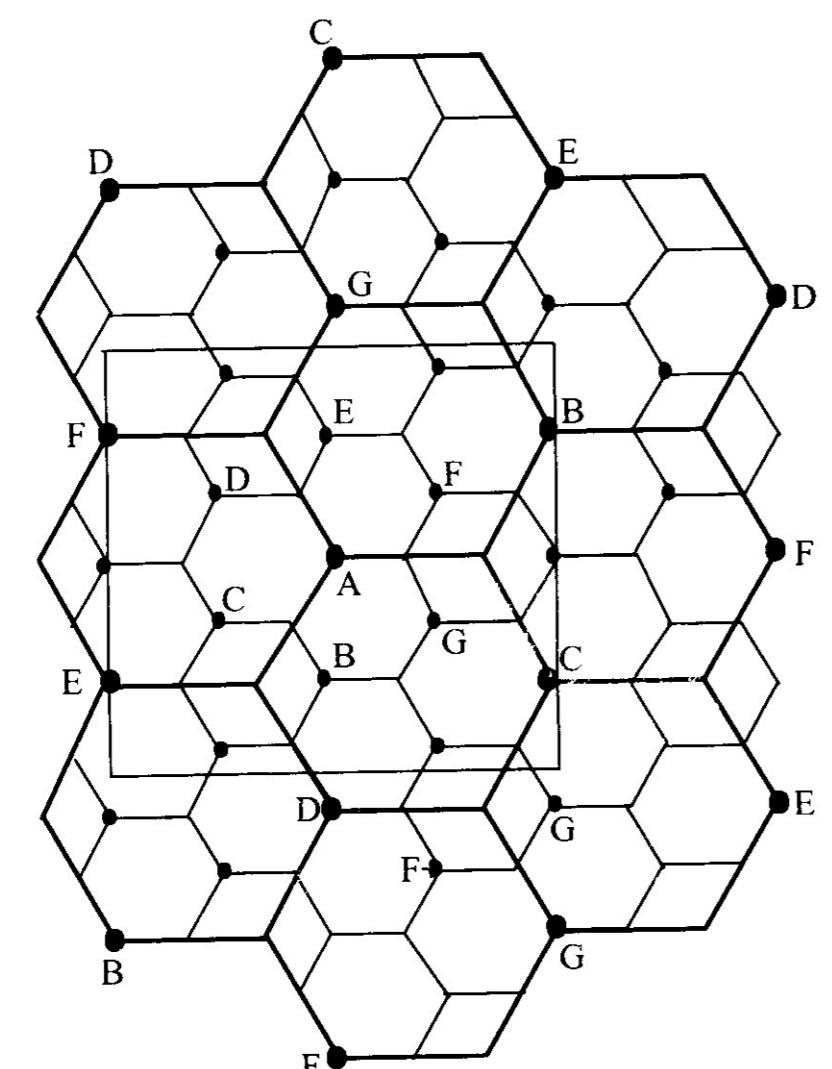


Figure 2.9 Illustration of cell splitting within a 3 km by 3 km square centered around base station A.

Erlang

- i) **Erlang** is the fundamental unit used to measure the **traffic intensity**
- ii) One Erlang represents the **amount of traffic intensity** carried by a channel that is **completely occupied** (i.e., one call-hour per hour or one call-minute per minute). **Ex:** A channel that is occupied for 30 minutes during an hour carries 0.5 Erlangs of traffic

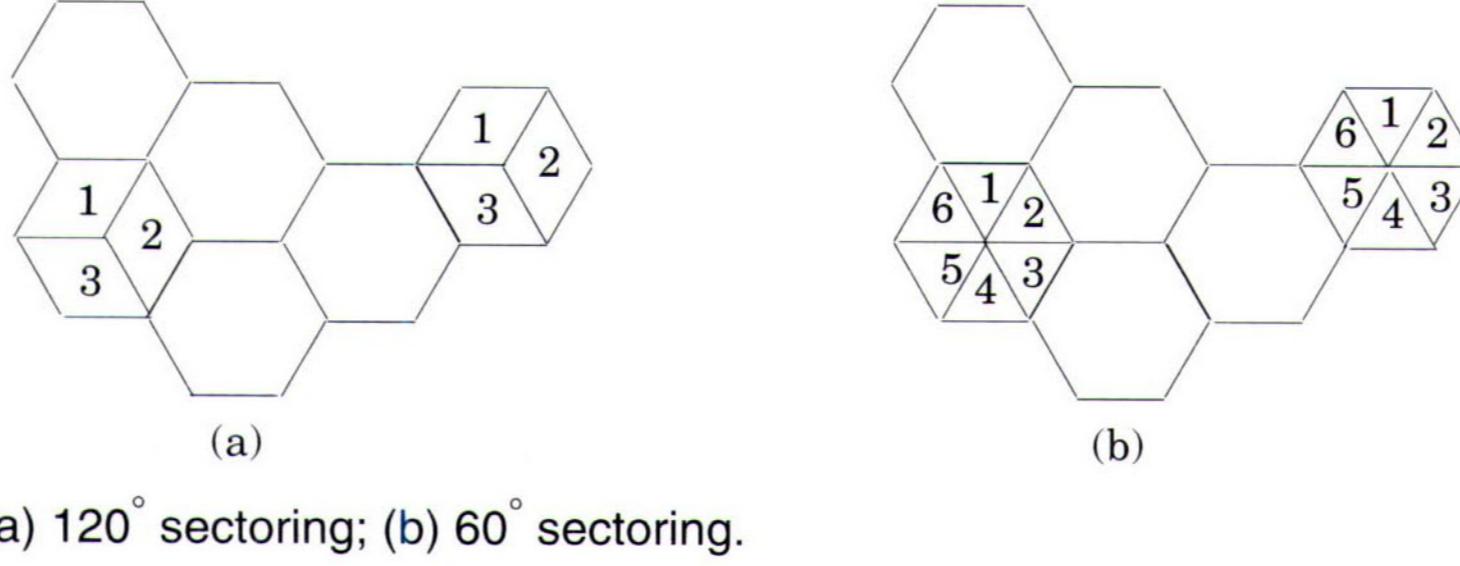
Grade of Service (GOS)

- i) A **measure** of the ability of a **user to access** a trunked system during the **busiest hour**
- ii) It is typically given as the **likelihood** that a call is **blocked** (for Erlang B), or the **likelihood** of a call **experiencing a delay** (for Erlang C) greater than a certain queuing time
- iii) **GOS** is a **benchmark** used to define the desired performance of a trunked system
→ Designer estimates the maximum required capacity in order to meet the GOS
→ The AMPS cellular system is designed for a GOS of 2% blocking, i.e., cell sites are designed so that 2 out of 100 calls will be blocked due to channel occupancy during the busiest hour
- iv) **Trunking efficiency:** It is a measure of the number of users which can be offered a particular GOS for a given configuration of fixed channels.

Common Terms

- i) **Set-up Time:** The time required to allocate a trunked radio channel to a requesting user
Blocked Call: Call which cannot be completed at time of request due to congestion
- ii) **Holding Time:** Average duration of a typical call. Denoted by H (in seconds) (also called lost call)
Request Rate: The average number of call requests per unit time. Denoted by λ seconds $^{-1}$
→ **Traffic intensity generated by each user** $A_U = \lambda H$
- iii) **Traffic Intensity:** It is a measure of channel time utilization, which is the average channel occupancy measured in Erlangs. Is denoted by A . For a system containing U number of users and C channels,
→ **total offered intensity** is $A = UA_U$ and
→ **traffic intensity per channel** is $A_C = \frac{UA_U}{C}$
- iv) **Load:** Traffic intensity across the entire trunked radio system, measured in Erlangs. The maximum possible carried traffic is the total number of channels C in Erlangs

b) Sectoring



- i) Co-channel **interference** in a cellular system may be decreased by replacing a single **omnidirectional** antenna at the BS by several **directional** antennas, each radiating within a specified sector
- ii) This technique for decreasing co-channel interference and thus increasing system performance by using directional antennas is called **sectoring**
- iii) The factor by which the CCI is reduced depends on the amount of sectoring used. Ex: 120° sectors or 60° sectors

c) Coverage zone appr. (Repeaters for Range Extension)

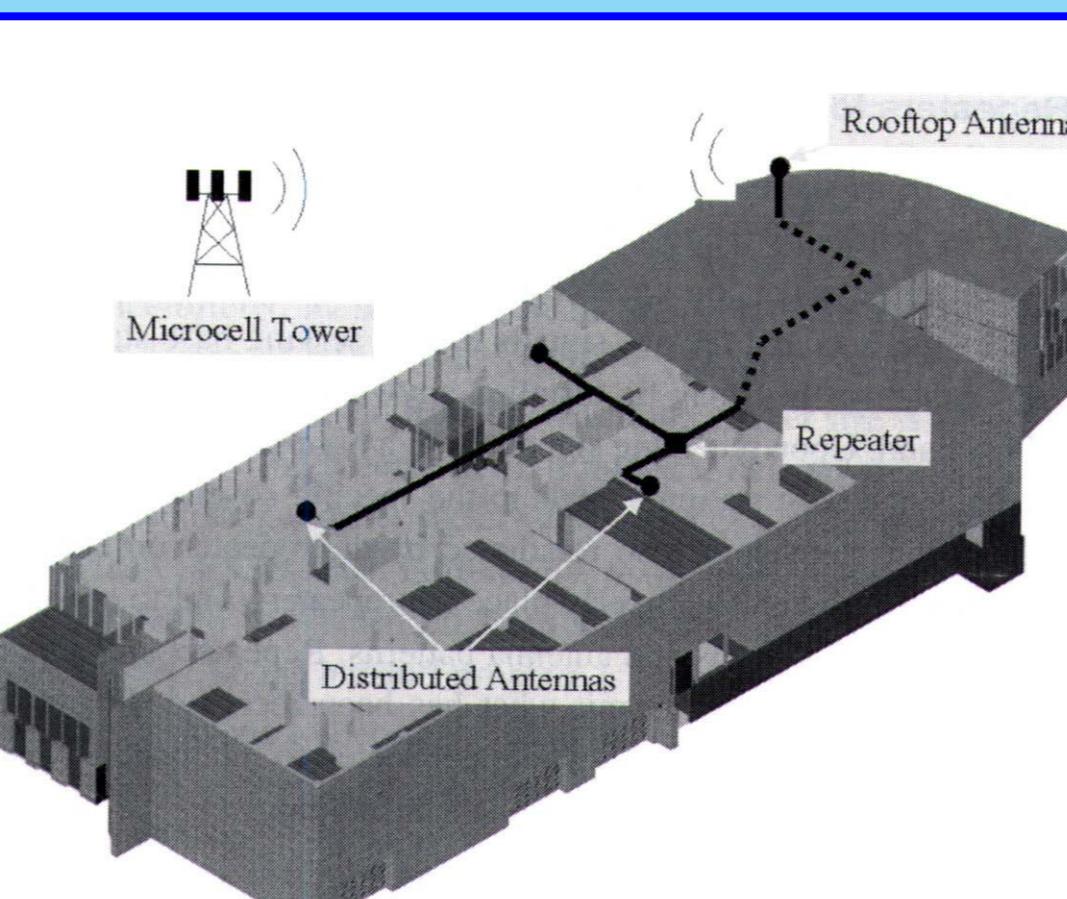


Figure 3.12 Illustration of how a distributed antenna system (DAS) may be used inside a building. Figure produced in SitePlanner®. (Courtesy of Wireless Valley Communications Inc.)

- i) The repeater does not add capacity to the system, it simply serves to re-radiate the BS signal into specific locations that are hard-to-reach.
- ii) They are bidirectional in nature, and simultaneously send signals to and receive signals from a serving base station
- iii) In practice, directional antennas or distributed antenna systems (DAS) are connected to the inputs or outputs of repeaters for localized spot coverage particularly in tunnels or buildings

Blocked calls Cleared (Erlang B formula)

- i) This type of trunking system offers **no queuing** for call requests
- ii) The user is given immediate access to a channel if one is available.
If **no channels are available**, the user is **blocked**. Further, it is assumed → that calls arrive as determined by a **Poisson distribution**
→ that there are an infinite number of users
- iii) It assumes **M/M/m/m queue**
a) there are **memoryless** arrivals of requests
- b) the probability of a user **occupying** a channel is **exponentially** distributed
- c) there are a **finite** number of channels available in the trunking pool
- iv) The Erlang B formula determines the probability that a call is blocked and is given by $Pr\{\text{blocking}\} = \frac{\frac{A^C}{C!}}{\sum_{k=0}^{C-1} \frac{A^k}{k!}} = GOS$

Blocked calls Delayed (Erlang C formula)

- i) This type of trunking system **provides a queue** to hold calls which are blocked
- ii) If a channel is not available, the call request may be delayed until a channel becomes available
- iii) Its **measure** of GOS is defined as the probability that a call is blocked after waiting a specific length of time in the queue
- iv) The likelihood of a call not having immediate access to a channel is determined by the Erlang C formula, given as $Pr\{\text{delay} > 0\} = \frac{\frac{A^C}{C!}}{\frac{A^C + C!(1 - \frac{A}{C})}{\sum_{k=0}^{C-1} \frac{A^k}{k!}}} = Pr\{\text{delay} > t\} = Pr\{\text{delay} > 0\} Pr\{\text{delay} > t | \text{delay} > 0\} = Pr\{\text{delay} > 0\} \exp\left[-\frac{(C-A)t}{H}\right]$
- v) The average delay D for all calls in a queued system is given by $D = Pr\{\text{delay} > 0\} \frac{H}{C-A}$

Example:

- Q) Assuming each user generates 0.1 Erlang, compute the number of users supported in a blocked calls cleared system. Given GOS = 0.5% and trunked channels as a) 1, b) 5, c) 10, d) 20 and e) 100.
- | C (Given) | A (from ErlangB plot) | Users $U = \frac{A}{A_U} = \frac{A}{0.1}$ |
|-----------|-----------------------|--|
| 1 | 0.005 | $\frac{0.005}{0.1} = 0.05 \Rightarrow U = 1$ |
| 5 | 1.13 | $\frac{1.13}{0.1} \approx 11$ |
| 10 | 3.96 | $\frac{3.96}{0.1} \approx 39$ |
| 20 | 11.10 | $\frac{11.10}{0.1} \approx 110$ |
| 100 | 80.9 | $\frac{80.9}{0.1} \approx 809$ |

Illustration

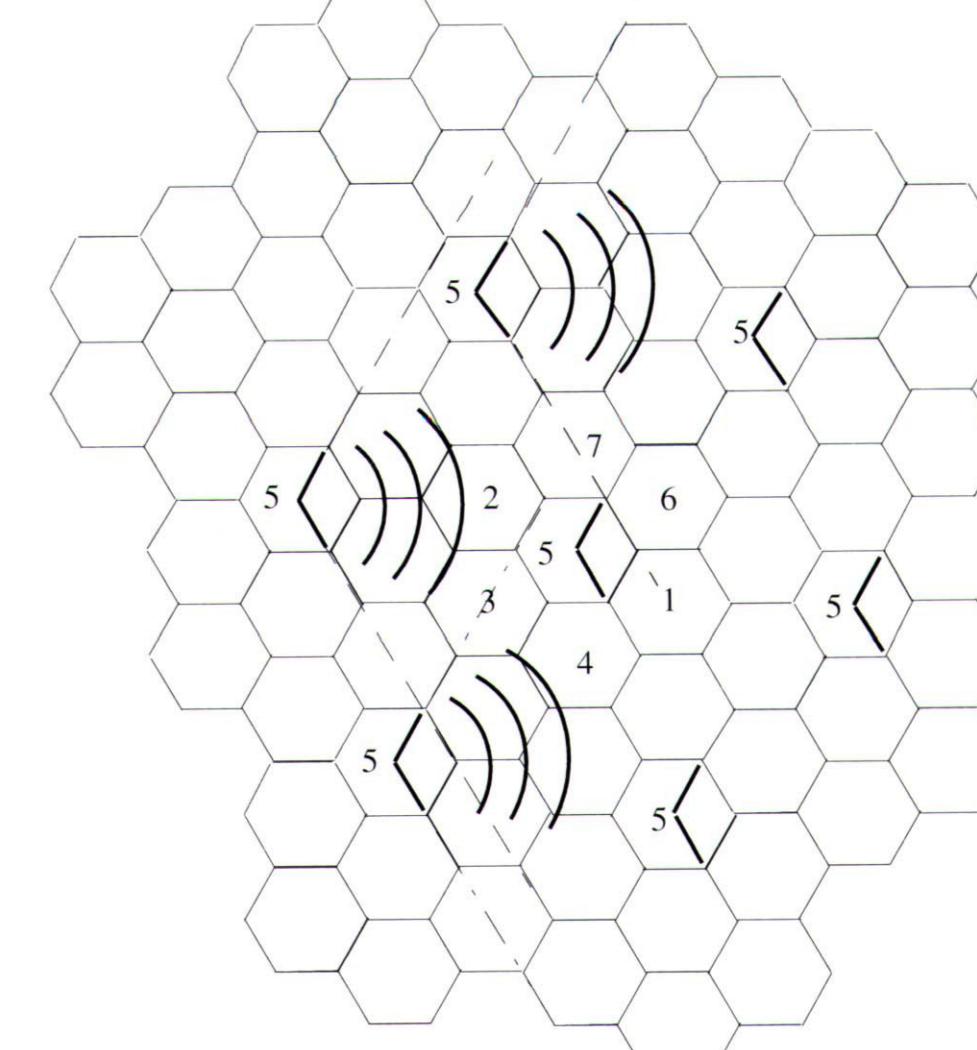


Figure 3.11 Illustration of how 120° sectoring reduces interference from co-channel cells. Out of the 6 co-channel cells in the first tier, only two of them interfere with the center cell. If omnidirectional antennas were used at each base station, all six co-channel cells would interfere with the center cell.

- i) Note that a mobile in the sector 5 of the center cell will experience interference from only two sectors out of the six co-channel cells
- ii) This SIR improvement allows the engineers to then decrease the cluster size N in order to improve the freq. reuse
- iii) The **penalty** for improved SIR is an increased number of antennas at each BS and a decrease in trunking efficiency due to channel sectoring at the BS.

Microcell Zone Concept

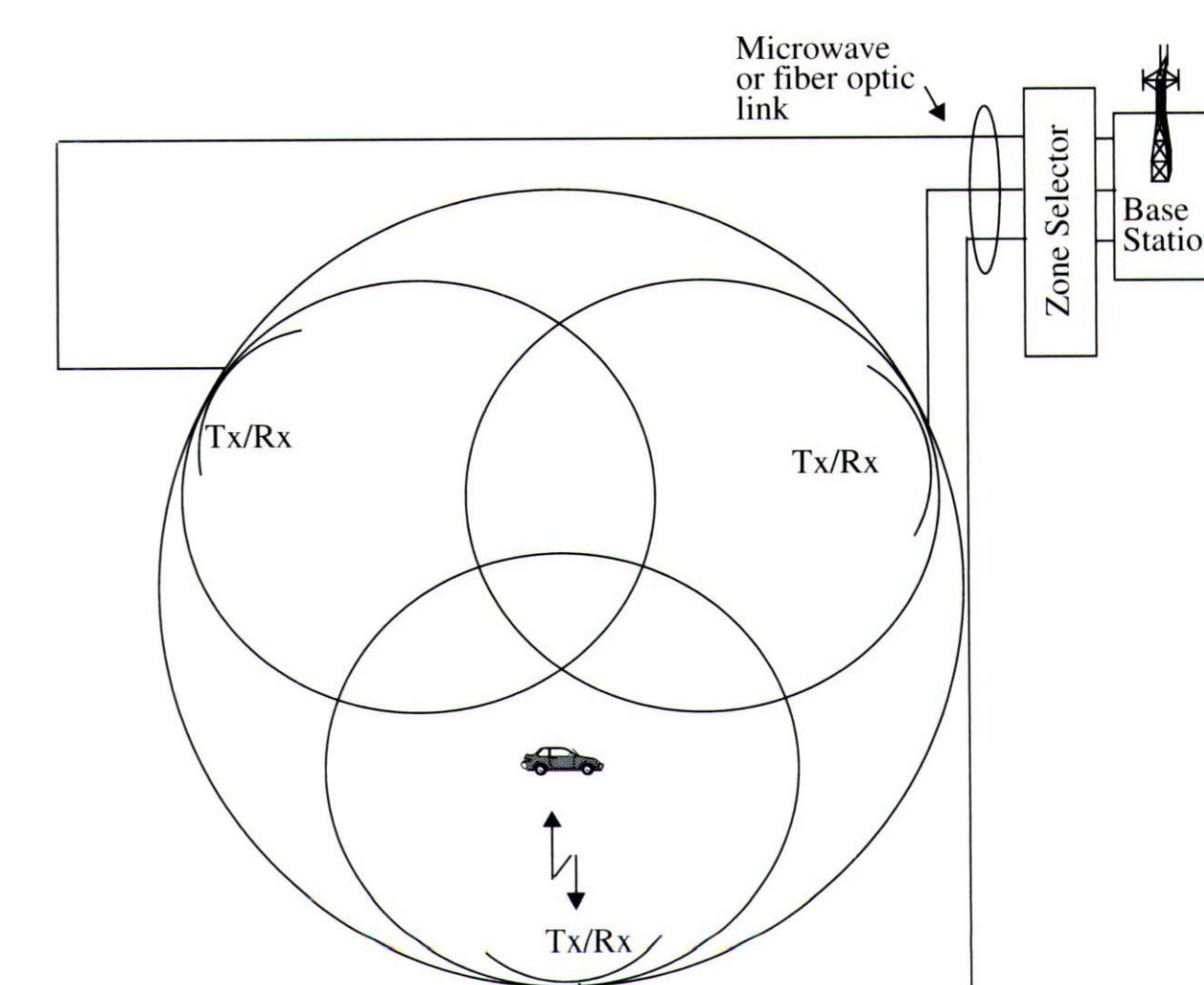


Figure 3.13 The microcell concept (adapted from [Lee91b] © IEEE).

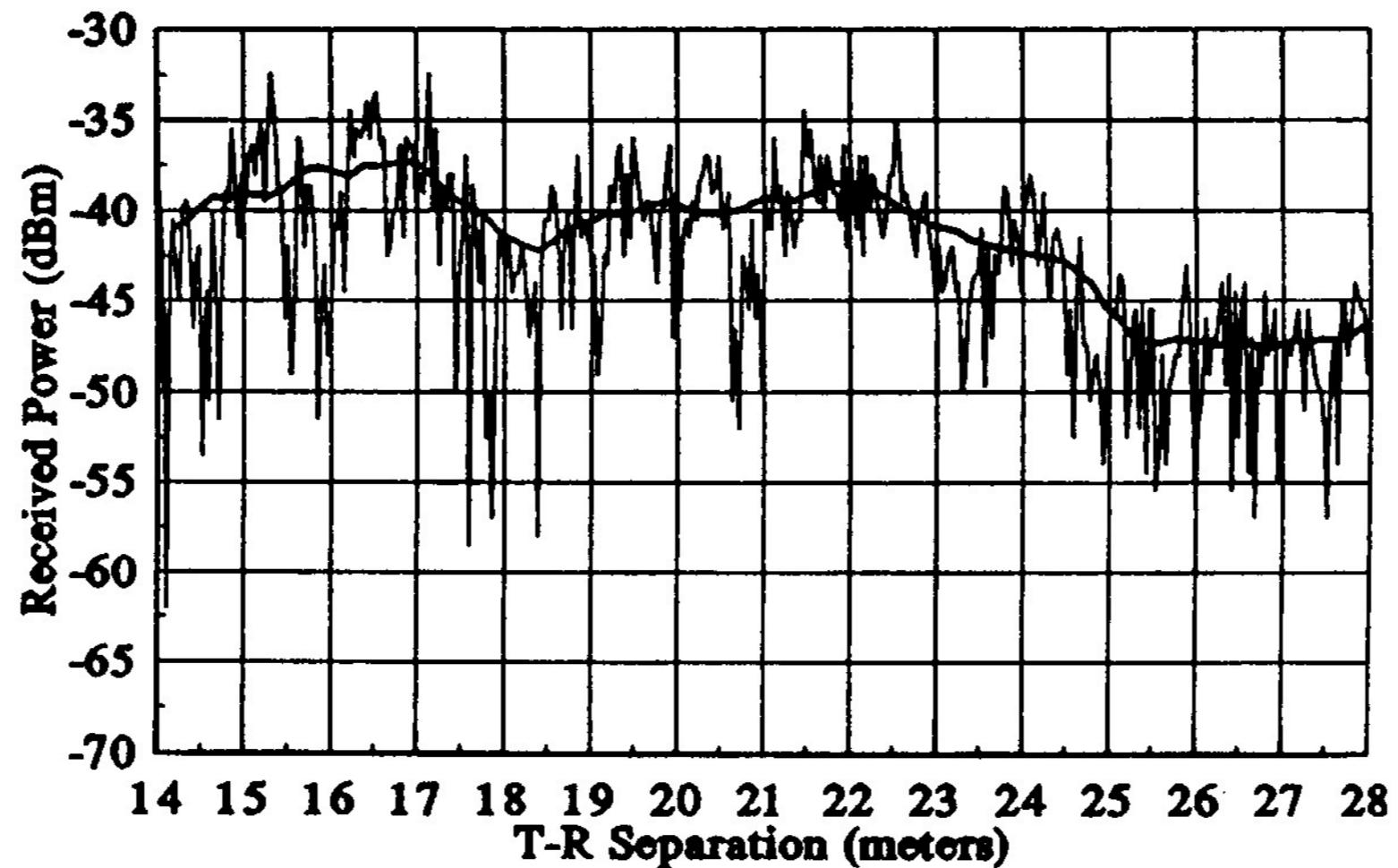
- i) In this scheme, each of the zone sites are connected to a single BS and share the same radio equipment
- ii) The zones are connected by coaxial cable, fiberoptic cable or microwave link to the BS
- iii) As a mobile travels within the cell, it is served by the zone with the strongest signal
- iv) As a mobile travels from one zone to another within the cell, it retains the same channel. Thus, unlike in sectoring, a handoff is not required at the MSC when mobile travels between zones within a cell.

Unit-II: Large-Scale Path Loss

Introduction to Radio Wave Propagation

- i) Unlike wireline channels, the Radio channels are extremely random and not easy to analyze
→ Mobility and speed of motion impacts the received signal levels
- ii) In urban regions the transmitter and receiver (T-R) are generally not in line-of-sight (NLOS)
→ EM waves propagation is generally attributed to reflection, diffraction and scattering
- iii) Due to different paths of varying length, the waves at a specific location combine constructively or destructively thereby resulting in fading
- iv) The instantaneous path loss (PL_{dB}) at any specific location is a combination of losses due to propagation loss (\overline{PL}_{dB}), shadowing (X_σ) and fading.
$$PL_{dB} = \overline{PL}_{dB} + X_\sigma + \text{Small-scale fading}$$

Received power on Wireless channel



Propagation Models

- i) Propagation models that predict the mean signal-strength for a T-R separation are called **large-scale** propagation models
→ Large-scale models characterize signal strength over large T-R separation, typically 100 - 1000 meters
→ The mean PL gradually decreases with T-R separation
- ii) The rapid fluctuations over **short travel** (few λ) or for **short time** are called **small-scale fading**
→ Signal may vary 30 to 40 dB for a fraction of λ

Using a reference point at distance d_0

- i) Consider the received power measured (or predicted) at a reference point at distance d_0 as $P_r(d_0)$, where $d_0 \geq d_f$
→ Typically, d_0 is ≈ 1 m in indoor and $\approx 100m - 1km$ in outdoor for 1 - 2GHz freq.
- ii) Then the received power at distance $d > d_0$ can be obtained by
$$P_r(d) = P_r(d_0) \left(\frac{d_0}{d} \right)^2$$
, where $d > d_0 > d_f$
- iii) In dB, we have the equation as
$$P_r(d)(dB) = 10 \log P_r(d_0) + 20 \log \left(\frac{d_0}{d} \right)$$
 when $P_r(d_0)$ is in Watts
And in dBm, we have
$$P_r(d)(dBm) = 10 \log P_r(d_0) + 20 \log \left(\frac{d_0}{d} \right)$$
 when $P_r(d_0)$ is in milli Watts

Example:

- Q) Given $D = 1$ m, $f_c = 900$ MHz, $G_r = G_t = 1$ and $L = 1$, compute Fraunhofer distance d_f and path loss PL at d_f .

Sol)

We have

$$\lambda = \frac{c}{f_c} = \frac{3 \times 10^8}{900 \times 10^9} = 0.33$$
 m,

$$d_f = \frac{2D^2}{\lambda} = 6$$
 m, and

$$PL(dB) = -10 \log \left(\frac{\lambda^2}{4\pi^2 d^2} \right) = 47$$
 dB

Relating Power to Electric Field (Continuation)

- iii) Further, $P_r(d)$ is related to the open circuit rms voltage at receiver antenna as
$$P_r(d) = \frac{V^2}{R_{ant}} = \frac{[V_{ant}/2]^2}{R_{ant}} = \frac{V_{ant}^2}{4R_{ant}}$$
, where R_{ant} is the resistance of the matched receiver.

Reflection Coefficient Γ

- i) The reflection coefficient for the parallel polarized and perpendicular polarized E-fields is given by

$$\Gamma_{\parallel} = \frac{E_r}{E_i} = \frac{\eta_2 \sin \theta_t - \eta_1 \sin \theta_i}{\eta_2 \sin \theta_t + \eta_1 \sin \theta_i}, \quad \Gamma_{\perp} = \frac{E_r}{E_i} = \frac{\eta_2 \sin \theta_i - \eta_1 \sin \theta_t}{\eta_2 \sin \theta_i + \eta_1 \sin \theta_t}$$

where η is the intrinsic impedance $= \sqrt{\mu/\epsilon}$
- ii) Using snell's law at the boundary, we get

$$\theta_i = \theta_r, \quad E_r = \Gamma E_i \quad \text{and} \quad E_t = (1 + \Gamma) E_i$$

For a case where first medium is free space and $\mu_1 = \mu_2$

- i) We have

$$\Gamma_{\parallel} = \frac{-\epsilon_r \sin \theta_i + \sqrt{\epsilon_r - \cos^2 \theta_i}}{\epsilon_r \sin \theta_i + \sqrt{\epsilon_r - \cos^2 \theta_i}}, \quad \Gamma_{\perp} = \frac{\sin \theta_i - \sqrt{\epsilon_r - \cos^2 \theta_i}}{\sin \theta_i + \sqrt{\epsilon_r - \cos^2 \theta_i}}$$
- ii) Note that both $\Gamma_{\parallel} = 1$ and $\Gamma_{\perp} = -1$ when $\theta_i \rightarrow 0$, i.e., ground may be modelled as a perfect reflector with $|\Gamma| = 1$ when E_i grazes the earth

Brewster Angle

- i) It is the angle at which no reflection occurs in the medium of origin i.e., it occurs when the incident angle θ_B is such that $\Gamma_{\parallel} = 0$

$$\Rightarrow \sin \theta_B = \sqrt{\frac{\epsilon_1}{\epsilon_1 + \epsilon_2}}$$
- ii) For a case when the first medium is free space and second medium has ϵ_r ,

$$\sin \theta_B = \sqrt{\frac{\epsilon_r - 1}{\epsilon_r^2 - 1}}$$
- iii) Note that the Brewster angle occurs only for parallel polarization.
- iv) Given $f = 100$ MHz compute θ_B when a) $\epsilon_r = 4$ b) $\epsilon_r = 15$:
a) $\sin \theta_B = \sqrt{\frac{1}{5}} \approx 26.56^\circ$, b) $\sin \theta_B = \sqrt{\frac{14}{224}} \approx 14.47^\circ$

Two-Ray Model (continuation)

-
- Figure 4.8 illustrates the two-ray model for ground reflection. The diagram shows a transmitter (T) at height h_t and a receiver (R) at height h_r . Two paths are shown: a direct line-of-sight (LOS) path and a ground-reflected path. The ground is represented by a horizontal dashed line. The LOS path is labeled E_{LOS} . The reflected path is labeled E_g . The total field E_{TOT} is the sum of E_{LOS} and E_g . The diagram also shows the method of images to find the path difference between the LOS and ground-reflected paths.
- iv) Using the method of images, when $d \gg (h_t + h_r)$, we get

$$\Delta \triangleq d'' - d' = \sqrt{(h_t + h_r)^2 + d^2} - \sqrt{(h_t - h_r)^2 + d^2} \approx \frac{2h_t h_r}{d}$$
 - v) The phase difference between E-fields and time delay of arrival are,

$$\theta_{\Delta} = \frac{2\pi\Delta}{\lambda} = \frac{\Delta\omega_c}{c}$$
 and $\tau_d = \frac{\Delta}{c} = \frac{\theta_{\Delta}}{2\pi f_c}$
 - v) Note when d is large, Δ becomes small

$$\Rightarrow E_{LOS}$$
 and E_g have identical amplitude and differ only in phase i.e., $\left| \frac{E_0 d_0}{d} \right| = \left| \frac{E_0 d_0}{d'} \right| = \left| \frac{E_0 d_0}{d''} \right|$
 - vi) Further, the E-field evaluated at $t = \frac{d''}{c}$

$$E_{TOT}(d, t = \frac{d''}{c}) = \frac{E_0 d_0}{d''} \cos \left[\omega_c \left(t - \frac{d''}{c} \right) \right] - \frac{E_0 d_0}{d''} \cos \left[\omega_c \left(t - \frac{d''}{c} \right) \right]$$

$$= \frac{E_0 d_0}{d''} \angle \theta_{\Delta} - \frac{E_0 d_0}{d''} = \frac{E_0 d_0}{d} [\angle \theta_{\Delta} - 1]$$
 - vii) Using trigonometric identities, we get $|E_{TOT}(d)| = \frac{E_0 d_0}{d} \sin \left(\frac{\theta_{\Delta}}{2} \right)$
Note that for increasing distance, $E_{TOT}(d)$ decays in an oscillatory fashion
When $\frac{\theta_{\Delta}}{2}$ is small (i.e., $\frac{\theta_{\Delta}}{2} < 0.3$ rad), $\sin \left(\frac{\theta_{\Delta}}{2} \right) = \frac{\theta_{\Delta}}{2} = \frac{2\pi h_t h_r}{\lambda d}$, and received power $P_r = P_t G_t G_r \frac{h_t^2 h_r^2}{d^4}$. In terms of path loss, we have

$$PL(dB) = 40 \log d - (10 \log G_t + 10 \log G_r + 20 \log h_t + 20 \log h_r)$$

II. Diffraction (Propagation Mechanism)

- i) Diffraction occurs when EM wave is obstructed by a surface that has sharp edges.
→ present every where even behind the obstacle
- ii) At high freq. diffraction depends on the geometry of the object as well as the amplitude, phase and polarization of the EM wave
- iii) Diffraction allows radio signals to propagate around the curved surface beyond the origin and behind obstructions.
→ Received field decreases rapidly as receiver moves deeper into the shadow region
- iv) This phenomenon is explained by Huygen's principle, which
→ states that all points on a wavefront can be considered as point sources for production of secondary wavelets
→ The wavelets combine to produce a new wavefront in the direction of propagation
→ Field strength in the shadowed region is the vector sum of the E-field components of all the wavelets

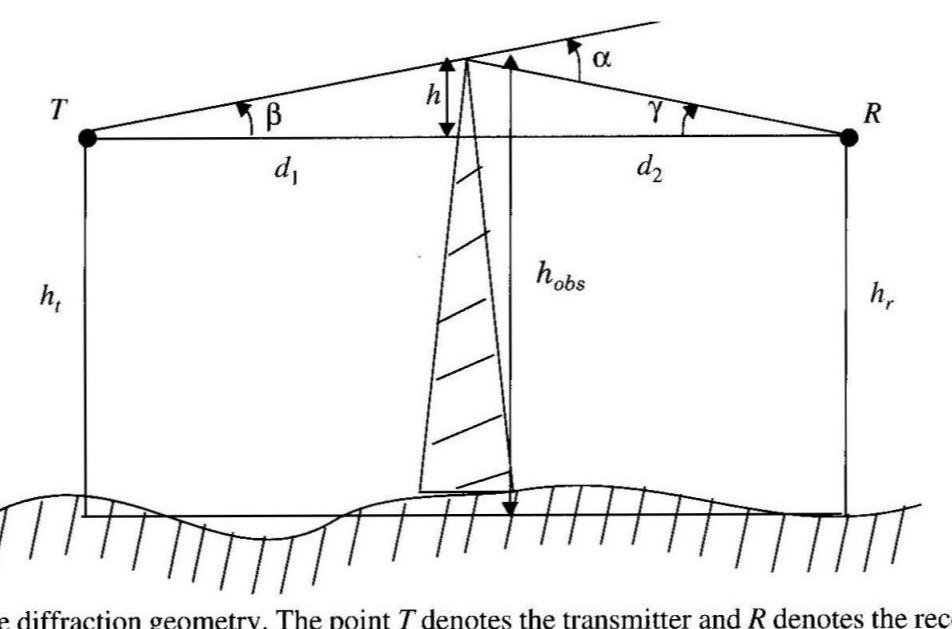
III. Scattering (Propagation Mechanism)

- i) Scattering occurs due to objects with dimensions that are small compared to the wavelength and where the number of objects per unit volume is large. Ex: Trees, street signs and lamp posts etc.
- ii) Also, when a radio wave impinges on a rough surface, the reflected energy is spread out (diffused) in all directions due to scattering. Thereby providing additional radio energy at a receiver.
- iii) The roughness of large flat surfaces contribute to scattering
→ Roughness is often tested using the Rayleigh criterion defined by critical height (h_c) of surface protuberances for a given θ_i ,

$$h_c \triangleq \frac{\lambda}{8 \sin \theta_i}$$
- iv) A surface is considered smooth if its minimum to maximum protuberance h is less than h_c and is considered rough if $h > h_c$
→ For rough surfaces, the reflection coefficient is given by,

$$\Gamma_{\text{rough}} = \rho_s \Gamma$$
, where ρ_s is a scattering loss factor and Γ is flat surface coefficient
→ When h is assumed to be Gaussian R.V with variance σ_h ,
then we have $\rho_s = \exp \left[-8 \left(\frac{\pi \sigma_h \sin \theta_i}{\lambda} \right)^2 \right]$

Fresnel Zone Geometry



(a) Knife-edge diffraction geometry. The point T denotes the transmitter and R denotes the receiver, with an infinite knife-edge obstruction blocking the line-of-sight path.

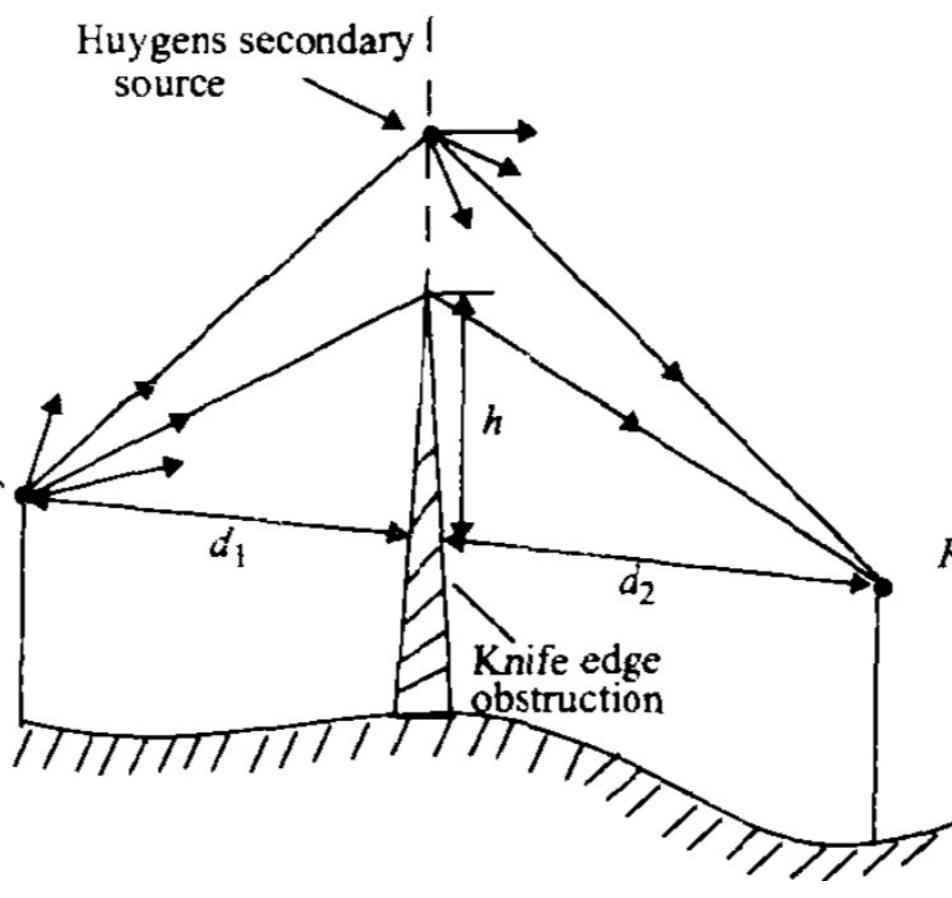
- i) Consider an obstructing screen of height h , be at a distance d_1 from the transmitter and d_2 from the receiver
→ The wave from the tx to rx via the top of the screen travels a longer distance than a LOS path.
→ Assuming $h \ll d_1, d_2$ and $h \gg \lambda$, the difference between the two paths Δ and the phase difference ϕ is given by

$$\Delta = \frac{h^2(d_1 + d_2)}{2d_1d_2} \text{ and } \phi = \frac{2\pi\Delta}{\lambda} = \frac{2\pi h^2(d_1 + d_2)}{\lambda^2 d_1d_2}$$
- Further, when $\tan x = x$, then $\alpha = \beta + \gamma$ and $\alpha = h \frac{(d_1 + d_2)}{d_1d_2}$
- ii) A dimensionless parameter ν called Fresnel-Kirchoff diffraction is defined as $\nu \triangleq h \sqrt{\frac{2(d_1 + d_2)}{\lambda d_1 d_2}} = \alpha \sqrt{\frac{2d_1 d_2}{\lambda(d_1 + d_2)}}$

$$\Rightarrow \text{phase difference } \phi = \frac{\pi}{2} \nu^2$$

$$(\text{is a function of height } h \text{ and distances } d_1, d_2)$$

Knife-edge Diffraction Model



- i) When shadowing is caused by a single object such as hill, the attenuation caused can be estimated by treating the obstruction as a diffracting knife-edge.
Diffraction loss in this case can be readily estimated using the Fresnel solution
- ii) Consider a receiver at point R located in the shadowed region
→ The field strength at R is a vector sum of the fields due to all the secondary Huygen's sources in the plane above the knife edge.
The E-field strength E_d is given as

$$\frac{E_d}{E_0} = F(\nu) = \frac{1+j}{2} \int_{\nu}^{\infty} \exp [(-j\pi t^2)/2] dt$$
- iii) The diffraction gain as compared to the free space E-field, is given by $G_d(\text{dB}) = 20 \log |F(\nu)|$

Fresnel Zones

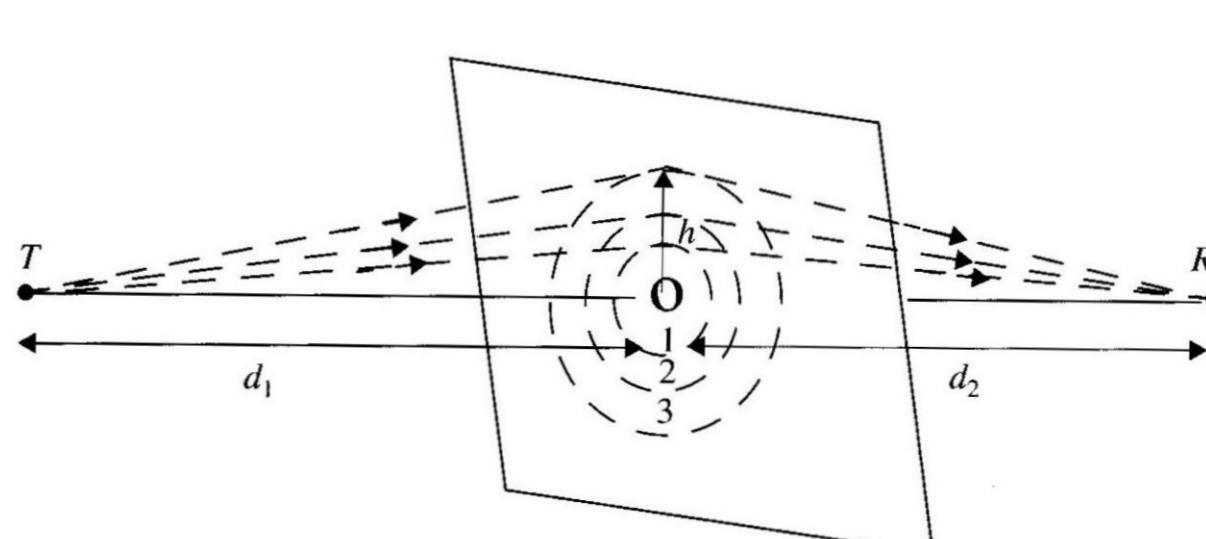


Figure 4.11 Concentric circles which define the boundaries of successive Fresnel zones.

- i) The concept of diffraction loss as a function of the path difference is explained by Fresnel zones
→ These represent successive regions where secondary waves have a path length which are $\frac{n\lambda}{2}$ greater than the LOS path
→ The concentric circles on the plane represent the loci of the origins of wavelets such that the path length increases by $\frac{\lambda}{2}$ for successive circles (which are called Fresnel zones)
- ii) Alternate Fresnel zone have the effect of providing constructive and destructive interference
- iii) The radius of the n^{th} Fresnel zone is $r_n = \sqrt{\frac{n\lambda d_1 d_2}{d_1 + d_2}}$
→ The path through the smallest circle $n = 1$ will have an excess path of $\frac{\lambda}{2}$. And for $n = 2, 3$, etc will have excess of $\lambda, \frac{3\lambda}{2}$ etc.
→ Zones will have maximum radii if $d_1 = d_2$ and smaller radii when the plane is moved towards the tx or rx
- iv) An obstruction causes a blockage of energy from some of the Fresnel zones. The received energy will be a vector sum of the energy contributions from all unobstructed Fresnel zones.
- v) A family of ellipsoids can be constructed between a tx and rx by joining all the points for which the excess path delay is an integer multiple of $\lambda/2$. The ellipsoids represent Fresnel zones.
→ In general, if an obstruction does not block the volume contained within the first Fresnel zone, then the diffraction loss will be minimal

Multiple Knife-edge Diffraction

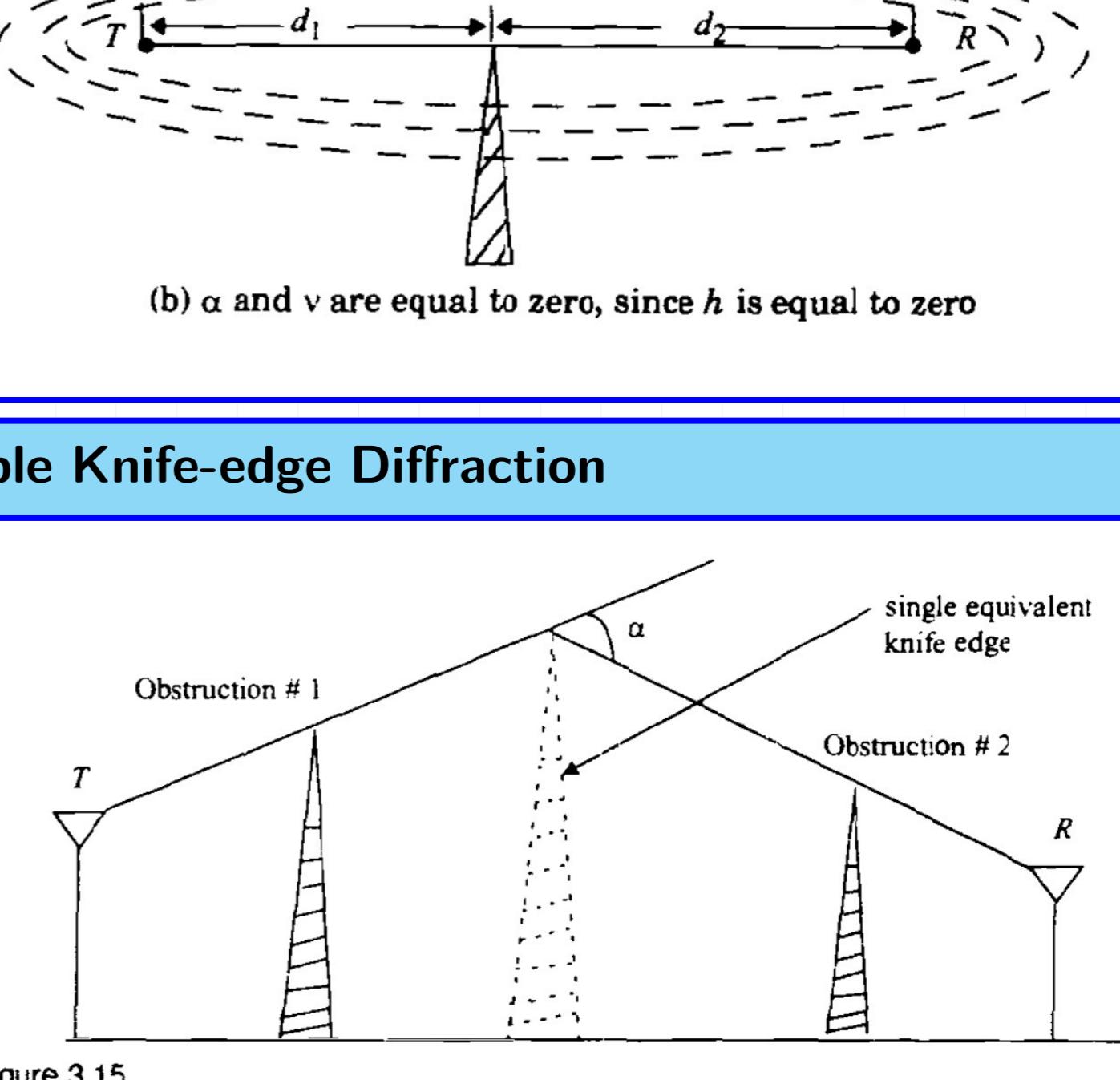



Figure 3.15 Bullington's construction of an equivalent knife edge [From [Bul47] © IEEE]

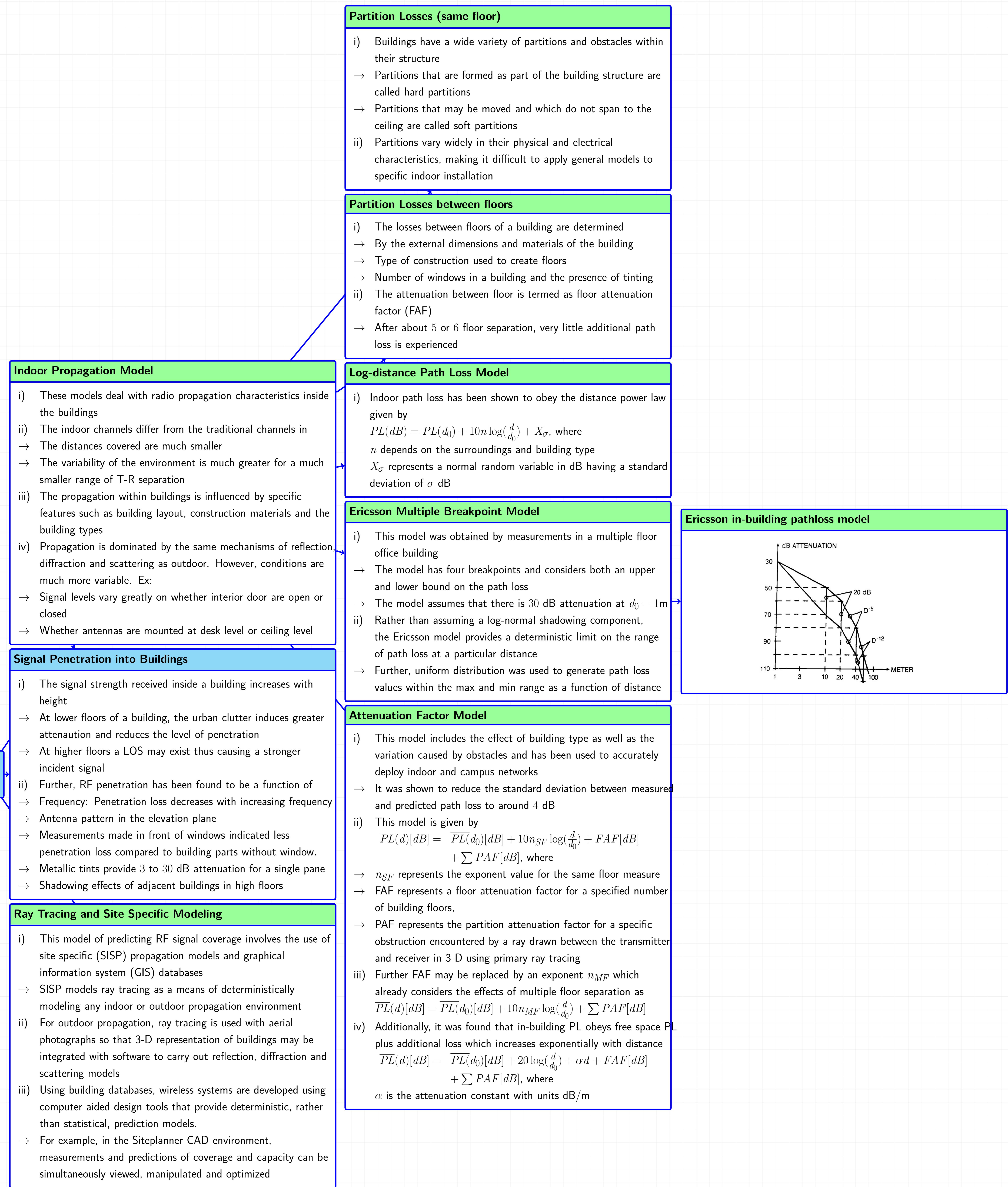
- i) In many practical situations, the propagation path may consist of more than one obstruction
→ In such case, to compute the diffraction loss it is suggested to replace the series of obstructions by a single equivalent obstacle. This method simplifies the calculations

Large Scale PL-II

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Unit-III: Small-Scale Fading and Multipath

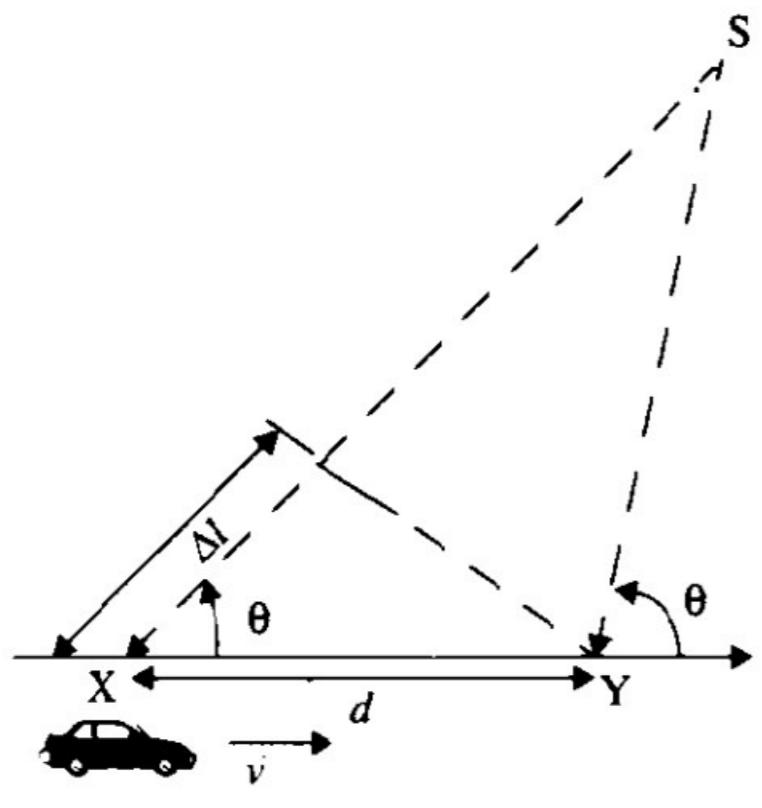
Small-Scale Multipath Propagation

- i) Fading is used to describe the rapid fluctuations of the amplitude, phase or multipath delays of a RF signal on a short period of time or short travel distance (typically $10\lambda - 20\lambda$)
- ii) Fading is caused by interference between two or more versions of the signal which arrive at the receiver at slightly different times.
→ These waves called multipath waves combine at the receiver antenna to give a resultant signal which may vary widely

Effects of Small-scale Fading

- i) Multipath creates small-scale fading effects. The key effects are
 - Rapid changes in signal strength with short distance and short time interval
 - Random frequency modulation due to varying Doppler shifts on different multipaths
 - Time dispersion (echoes) caused by multipath propagation delays

Doppler Shift Diagram



Small-Scale Fading and Multipath

Factors Influencing small-scale Fading

I. Multipath propagation:

- i) The presence of reflecting objects and scatterers create a constantly changing environment
→ These result in multiple versions of the signal to arrive at the receiver that are displaced in time and spatial orientation
- The random phase and amplitude of multipath components cause fluctuations in signal strength thereby inducing small-scale fading and/or distortion

II. Speed of the mobile:

- i) The relative motion between the BS and mobile results in random freq. modulation due to different Doppler shifts on each of the path
→ Doppler shift will be positive or negative depending on the direction of mobile movement

Doppler Shift

- i) Consider mobile moving with constant velocity v between X and Y separated by distance d , while receiving signal from source S
- ii) The difference in path length travelled by the wave from S is $\Delta l = d \cos \theta = v \Delta t \cos \theta$,
where Δt is time taken by mobile to travel from X to Y
→ The phase change in the received signal is given by $\Delta\phi = \frac{2\pi\Delta l}{\lambda} = \frac{2\pi v \Delta t}{\lambda} \cos \theta$
→ Hence apparent change in the frequency (or Doppler Shift), f_d is $f_d = \frac{1}{2\pi \Delta t} \frac{v}{\lambda} \cos \theta$ ■
- ii) If the mobile is moving towards the source, the f_d is positive (i.e., apparent freq is increase to $f_c + f_d$)
And if mobile is moving away, doppler shift is negative i.e., $f_c - f_d$
- iii) Multipath components that arrive from different direction contribute to Doppler spreading, thus increasing the signal bandwidth

Impulse response at point d

- i) For a fixed point d , the channel can be modelled as a linear time invariant system
→ Then channel impulse response can be expressed as $h(d, t)$, i.e., function of different locations
- ii) Due to different multipath waves which have propagation delays, which vary over different locations, we have $y(d, t) = x(t) * h(d, t) = \int_{-\infty}^t x(\tau)h(d, t - \tau)d\tau$, where $y(d, t)$ is received signal at position d and $x(t)$ is the transmit signal
- iii) Consider receiver moves at constant velocity v , i.e., $d = vt$, $y(vt, t) = y(t) = x(t) * h(vt, t) = \int_{-\infty}^t x(\tau)h(vt, t - \tau)d\tau$
⇒ Linear time-varying channel, where channel changes with time and distance

Impulse Response Model of a Multipath Channel

- i) The small-scale variations of a radio signal can be directly related to the impulse response of the radio channel
→ The impulse response is the wideband characterization and contains all information to simulate or analyze radio transmissions
- ii) The channel may be modeled as a linear filter with a time varying impulse response, where the time variation is due to receiver motion

Discretizing the multipath delay axis τ

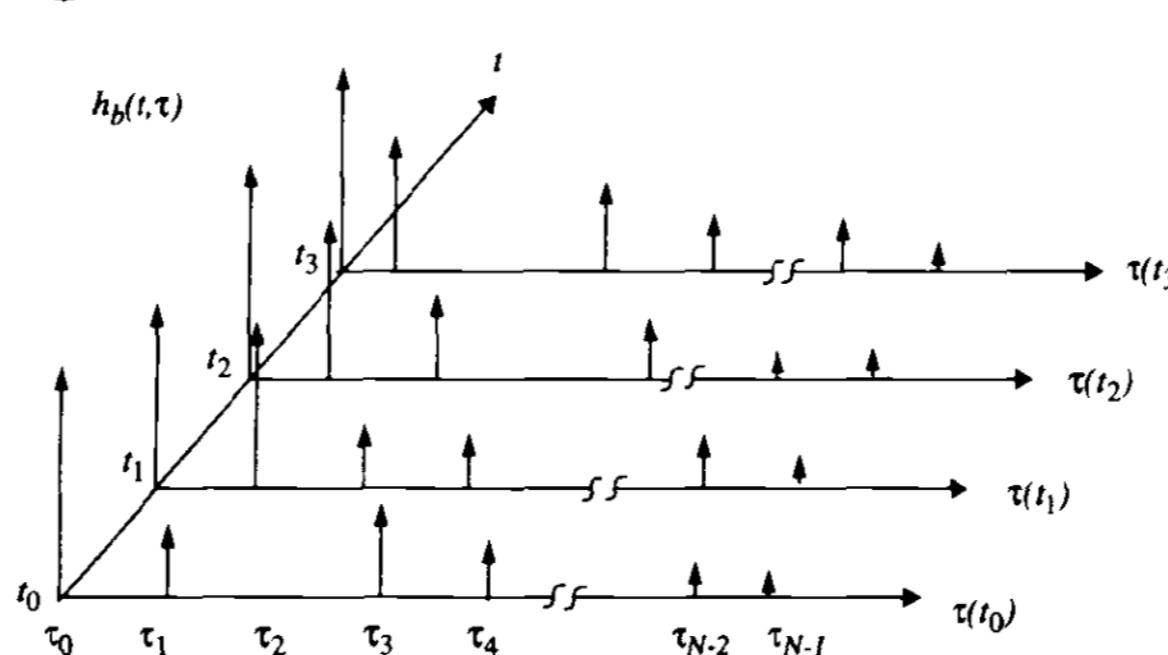
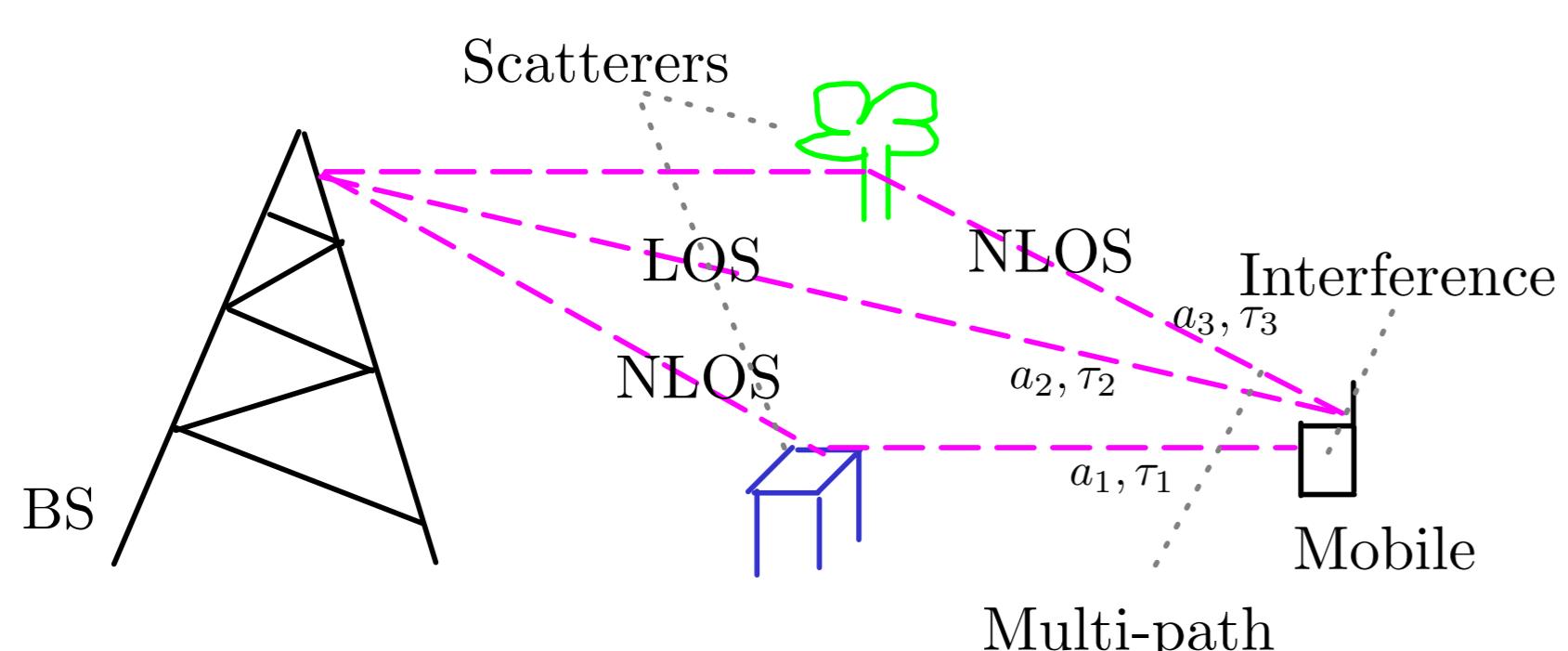


Figure 4.4
An example of the time varying discrete-time impulse response model for a multipath radio channel.

- i) For simplifying the analysis, the multipath delay axis τ is discretized into equal time delay segments called excess delay bins, with delay width $\Delta\tau \triangleq \tau_{i+1} - \tau_i$
→ $\tau_0 = 0$ represents the first arriving signal at receiver and $\tau_i = i\Delta\tau$ for $i = 0, \dots, N - 1$
- ii) All the multipath signals received within i^{th} bin are represented by a single component τ_i
→ This technique of quantization can analyze RF signals having $BW < \frac{2}{\Delta\tau}$
- iii) Excess Delay: This is the relative delay of the i^{th} multipath component as compared to the first arriving component
→ The maximum excess delay of channel is $N\Delta\tau$

Multipath Illustration



Factors Influencing small-scale Fading (continuation)

III. Speed of surrounding objects:

- i) Moving objects induce a time varying Doppler shift on multipath components
→ If the objects move at a greater rate than the mobile, they dominate the small-scale fading, otherwise motion of objects can be ignored
- ii) The staticness of the channel is defined by Coherence time, and is directly impacted by Doppler shift

IV. The transmission bandwidth of signal:

- If the bandwidth of the signal is greater than the bandwidth of the channel, the received signal will be distorted, otherwise the signal experience small-scale fading (but not distortion)

Example

- Q) Given $f_c = 900$ MHz and $v = 70$ Km/h, compute f_d for mobile moving a) towards source b) away from source and c) perpendicular to source
- Sol) We have $\lambda = \frac{c}{f_c} = \frac{3 \times 10^8}{900 \times 10^6} = 0.33$ m
 $v = 70 \times \frac{1000}{3600} = 19.44$ m/sec and $f = f_c + f_d$
- a) $f = 900 \times 10^6 + \frac{19.44}{0.33} \cos 0 = 900 \times 10^6 + \frac{19.44}{0.33}$
 - b) $f = 900 \times 10^6 + \frac{19.44}{0.33} \cos(\pi) = 900 \times 10^6 - \frac{19.44}{0.33}$
 - c) $f = 900 \times 10^6 + \frac{19.44}{0.33} \cos(\frac{\pi}{2}) = 900 \times 10^6 + 0$

Bandpass representation of Impulse response

- i) With $x(t)$ are bandpass waveform, $y(t)$ received waveform and the time-varying multipath response $h(t, \tau)$ completely characterizes the channel and is a function of t and τ i.e., $y(t) = x(t) * h(t, \tau)$ where t represents time variation and τ represents channel multipath delay for fixed t

$$x(t) \rightarrow h(t, \tau) = \text{Re}\{h_b(t, \tau) e^{j2\pi f_c t}\} \rightarrow y(t)$$

- which in terms of baseband signal are $x(t) = \text{Re}\{c(t)e^{j2\pi f_c t}\}$, $y(t) = \text{Re}\{r(t)e^{j2\pi f_c t}\}$, where $c(t), r(t)$ are complex envelope representation of $x(t), y(t)$ and $h(t, \tau) = \text{Re}\{h_b(t, \tau)e^{j2\pi f_c t}\}$, where $h_b(t, \tau)$ is complex baseband response
- ii) The lowpass characterization removes the high freq. carrier variation and makes the signal analytically easier

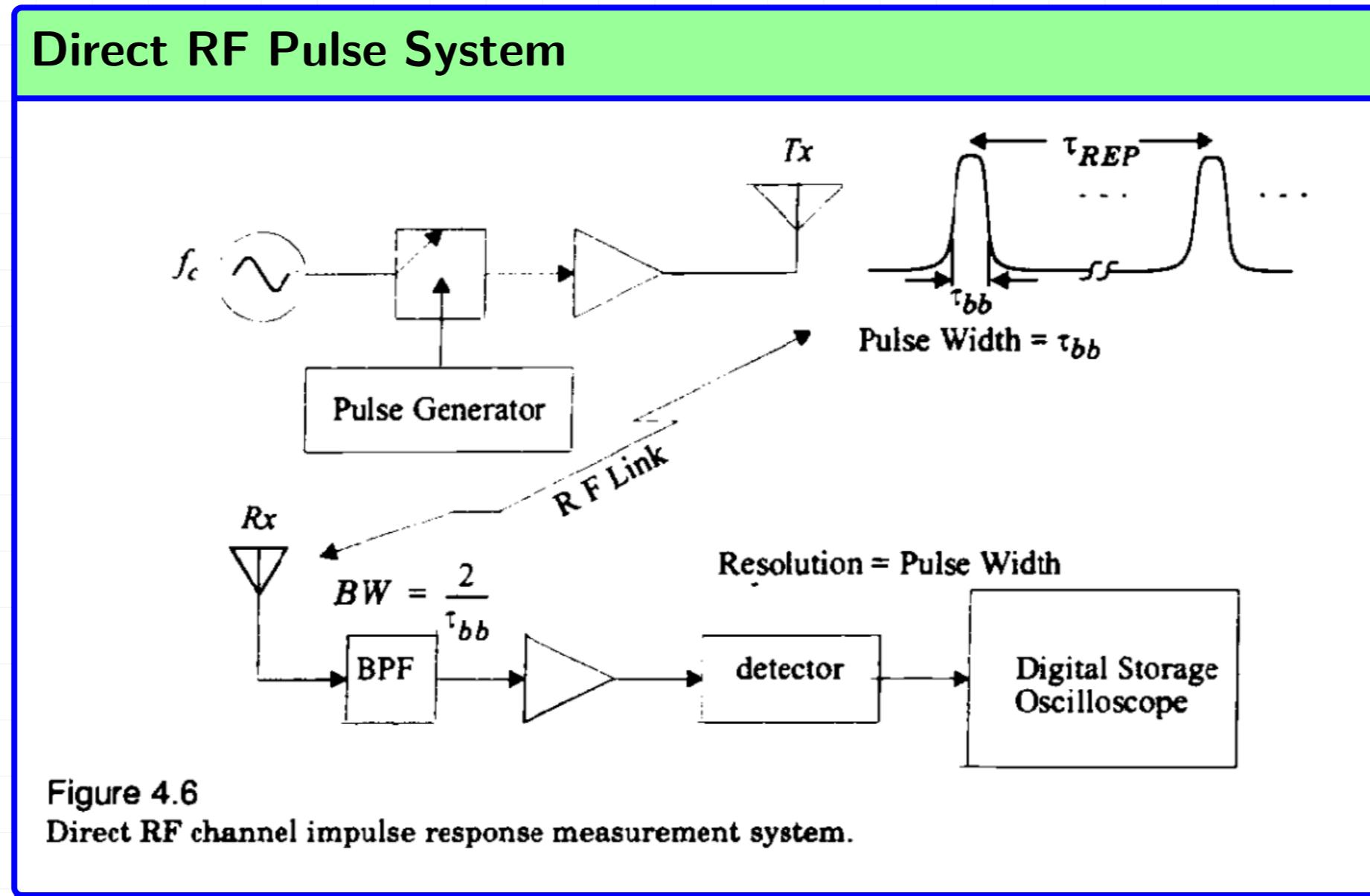
$$c(t) \rightarrow \frac{1}{2}h_b(t, \tau) \rightarrow r(t)$$

Expression for baseband impulse response

- i) Since the received signal is the sum of multiple time-delayed and phase shifted replicas of the tx signal, the baseband response can be expressed as $h_b(t, \tau) = \sum_{n=0}^{N-1} a_n(t, \tau) e^{j[2\pi f_c \tau_n(t) + \phi_n(t, \tau)]} \delta(\tau - \tau_n(t))$
where $a_n(t, \tau)$ and $\tau_n(t)$ are the real amplitudes and excess delays of n^{th} component at time t , $[2\pi f_c \tau_n(t) + \phi_n(t, \tau)]$ represents the phase shift
⇒ This is a Time-varying discrete-time channel response model
- ii) If the channel is assumed to be time invariant over a local area, then $h_b(\tau) = \sum_{n=0}^{N-1} a_n e^{j\theta_n} \delta(\tau - \tau_n)$

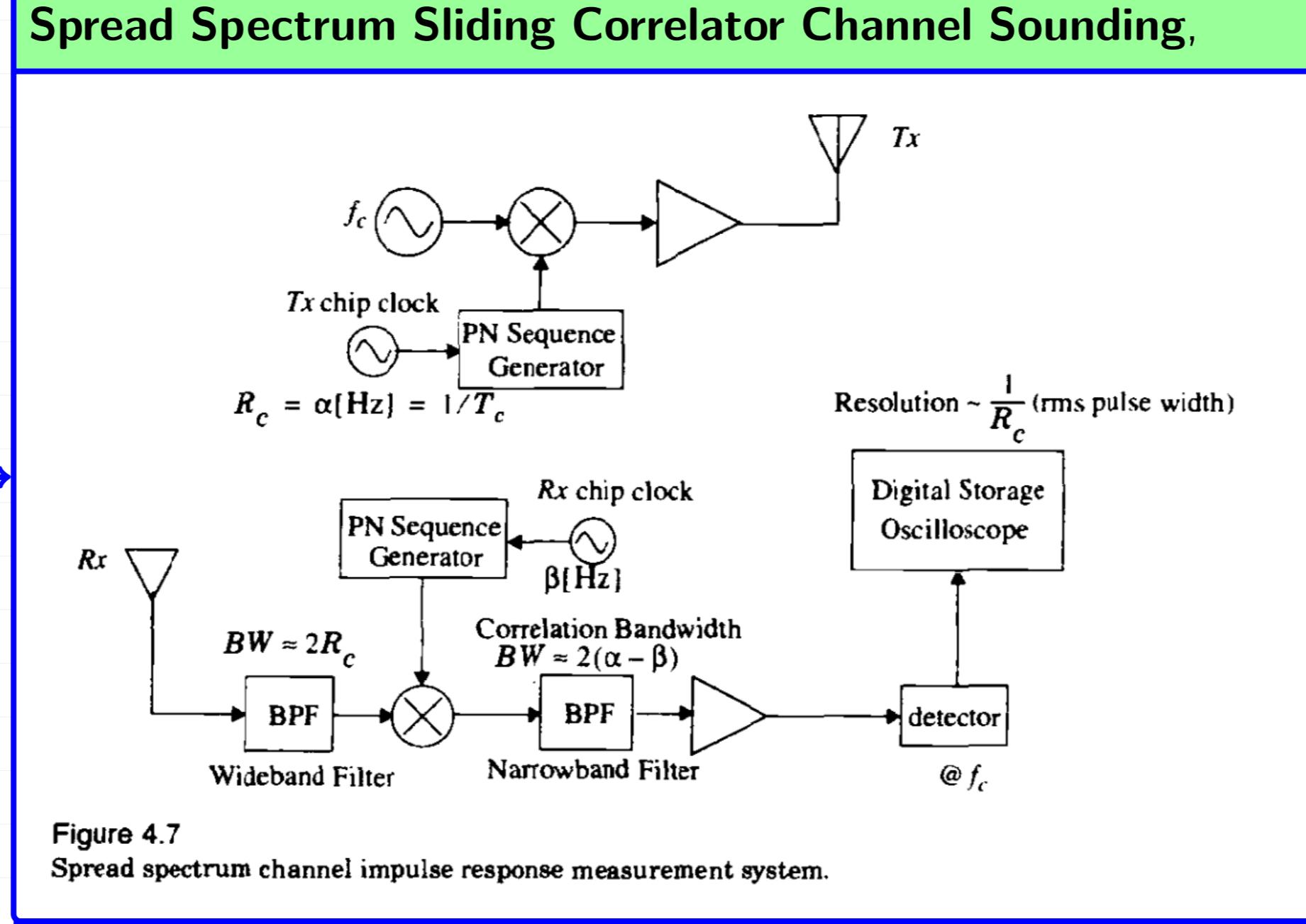
Power Delay Profile (PDP)

- i) For measuring or predicting $h_b(\tau)$, a probing pulse $p(t)$ which approximates a unit impulse function is used at the transmitter $p(t) \approx \delta(t - \tau)$
→ The power delay profile is found by spatial average of $|h_b(t, \tau)|^2$ over a local area
- ii) By making several local area measurements, it is possible to build an ensemble of power delay profile $P(\tau)$
 $P(\tau) = k|h_b(t, \tau)|^2$, where
→ the gain k relates the power of input pulse to the received power
→ bar indicates the average over the local area of many snapshots
- iii) Thus, PDP are the plots of relative received power as a function of excess delay
→ These are measured by channel sounding technique
→ They are found by averaging instantaneous power delay measurements over a local area
Local area: No greater than 6 m outdoor and no greater than 2m indoor
Samples taken at $\frac{\lambda}{4}$ meters approx
For frequency range of 450 MHz to 6 GHz



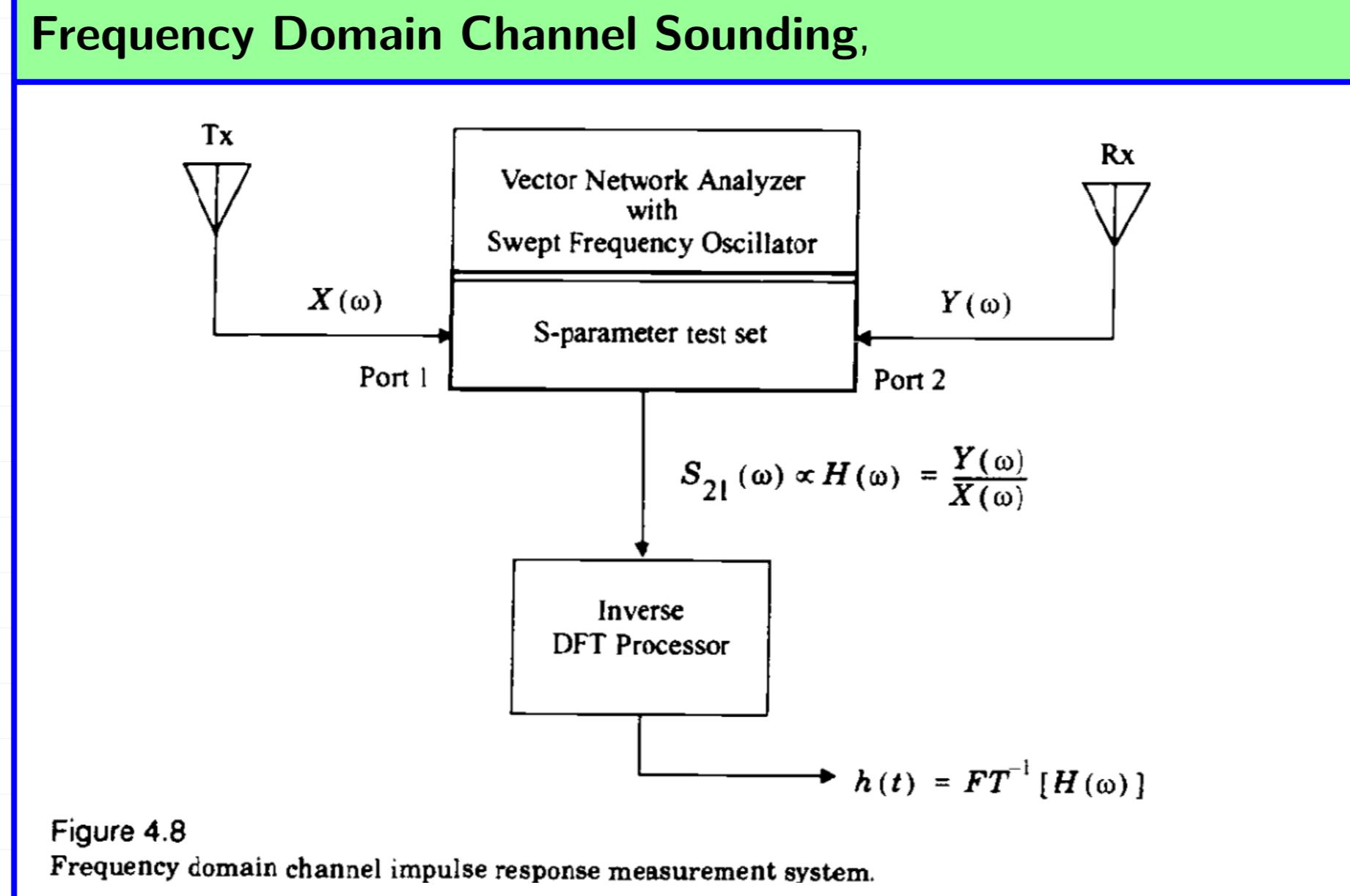
Direct RF Pulse System (Continuation)

- Is a simple technique to rapidly determine the PDP of any channel
- At Transmitter: A wideband pulsed bistatic radar transmits a repetitive pulse of width T_{bb} for sounding
- At Receiver: The signal is amplified by a wide bandpass filter $BW = \frac{2}{T_{bb}}$, then detected with an envelope detector and stored on a high speed oscilloscope
→ This gives instantaneous square of channel impulse response convolved with the probing pulse
→ The oscilloscope is set on average mode to provide local average power
- Disadvantages:
→ Due to wide passband filter, it is subjected to interference and noise
→ The system relies on the ability to trigger the oscilloscope on first arrival of received signal
→ The phase of multipath is not captured



Spread Spectrum Sliding Correlator Channel Sounding (Continuation)

- While the probing signal may be wideband, the transmitted signal is detected using a narrowband receiver preceded by a wideband mixer, thus improving the range
- A carrier signal is spread over a large bandwidth by mixing it with a binary pseudorandom (PN) sequence having a chip duration of T_c , rate $R_c = \frac{1}{T_c}$ Hz and $BW = 2R_c$
- At receiver the signal is despread using same PN
- The transmitter chip clock rate α is little faster than the receiver chip clock rate β , which results in sliding correlator Futher, Processing Gain = $\frac{2R_c}{R_{bb}} = \frac{2T_{bb}}{T_c} = \frac{(S/N)_{out}}{(S/N)_{in}}$
Time resolution $\Delta\tau = 2T_c = \frac{2}{R_c}$
Sliding factor $\gamma = \frac{\alpha}{(\alpha-\beta)}$
- Advantages:
a) Improves coverage range using same transmitter power,
b) Tx and Rx synchronization is eliminated using sliding correlator
→ Disadvantages: a) Measurements are not made in real time,
b) Associated time required is large,
c) Phase information is lost



Frequency Domain Channel Sounding (Continuation)

- The dual relationship between time and frequency is used to measure channel impulse response
- A vector network analyzer controls a synthesized frequency sweeper
→ A S-parameter test set is used to monitor the frequency response of the channel
→ The sweeper scans particular frequency band by stepping through discrete frequencies
→ The number and spacing of frequency steps impact the time resolution of impulse response measurements
→ The response is converted to time domain by using inverse Discrete Time Fourier Transform (IDFT)
- Advantages: Provides amplitude and phase information in time domain
→ Disadvantaes: a) Requires carefull calibration,
b) Needs hardwired synchroniation between Tx and Rx
c) Practical only for indoor measurements
d) Non-real time measurements
e) For time varying, channel response may change giving erroneous measurments

Time Dispersion Parameters (Due to Multipath delays)

- i) These parameters grossly quantify the multipath channel. These are determined from a power delay profile
- ii) **Mean excess delay**, $\bar{\tau}$ is the first moment of Power Delay Profile, and is given by $\bar{\tau} = \frac{\sum_k a_k^2 \tau_k}{\sum_k a_k^2} = \frac{\sum_k P(\tau_k) \tau_k}{\sum_k P(\tau_k)}$
- iii) **RMS delay spread**, σ_τ is the square root of second central moment of the power delay profile, and is given by $\sigma_\tau = \sqrt{\tau^2 - (\bar{\tau})^2}$, where $\tau^2 = \frac{\sum_k a_k^2 \tau_k^2}{\sum_k a_k^2} = \frac{\sum_k P(\tau_k) \tau_k^2}{\sum_k P(\tau_k)}$
- iv) Typically $\sigma_\tau \approx \mu$ sec in outdoor and \approx nano sec in indoor
- v) **Maximum excess delay** (X dB) of the PDP is the time delay during which multipath energy falls to X dB below the maximum i.e., $\tau_X - \tau$, where τ_X is the max delay at which multipath component is within X dB of the strongest component

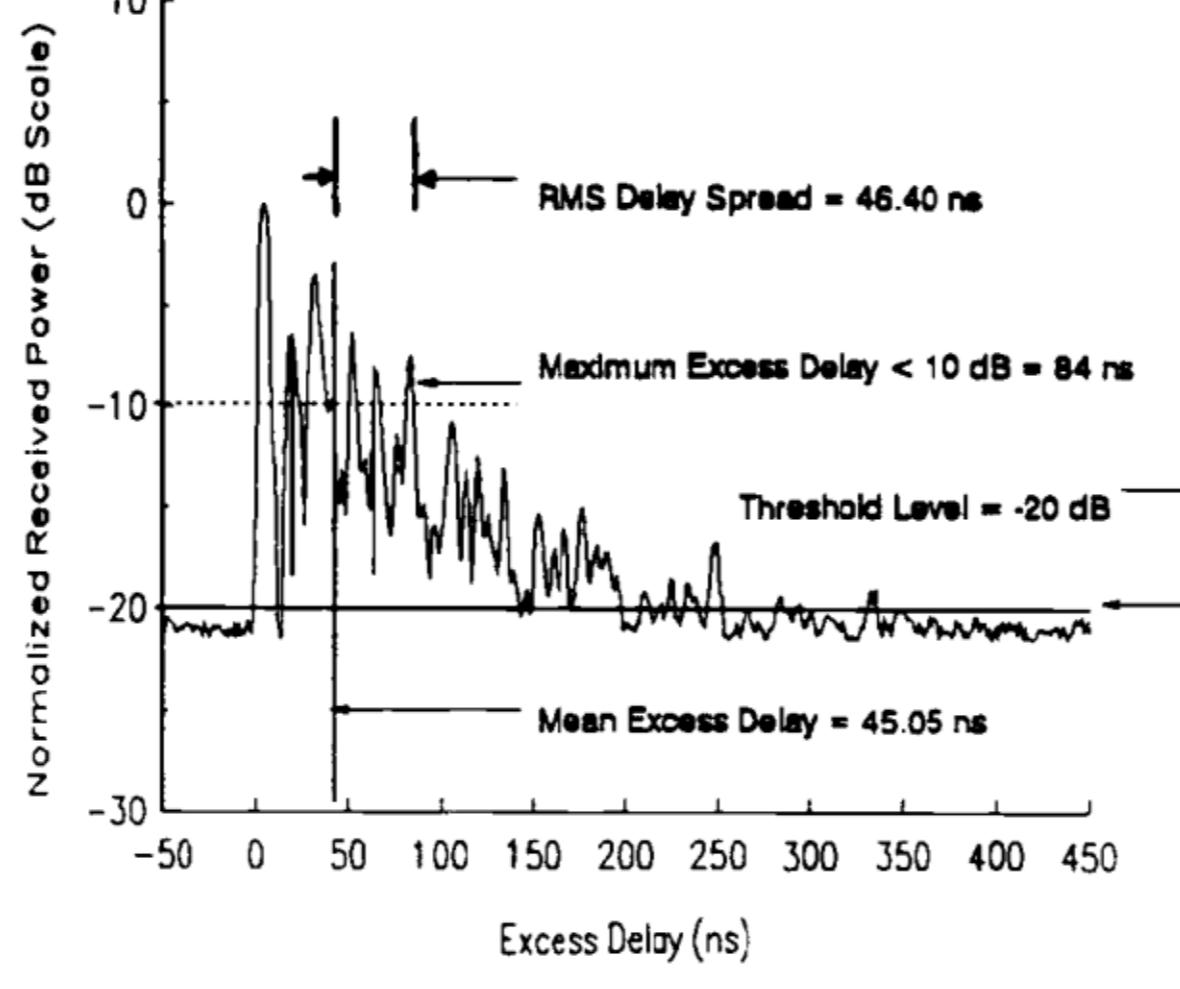
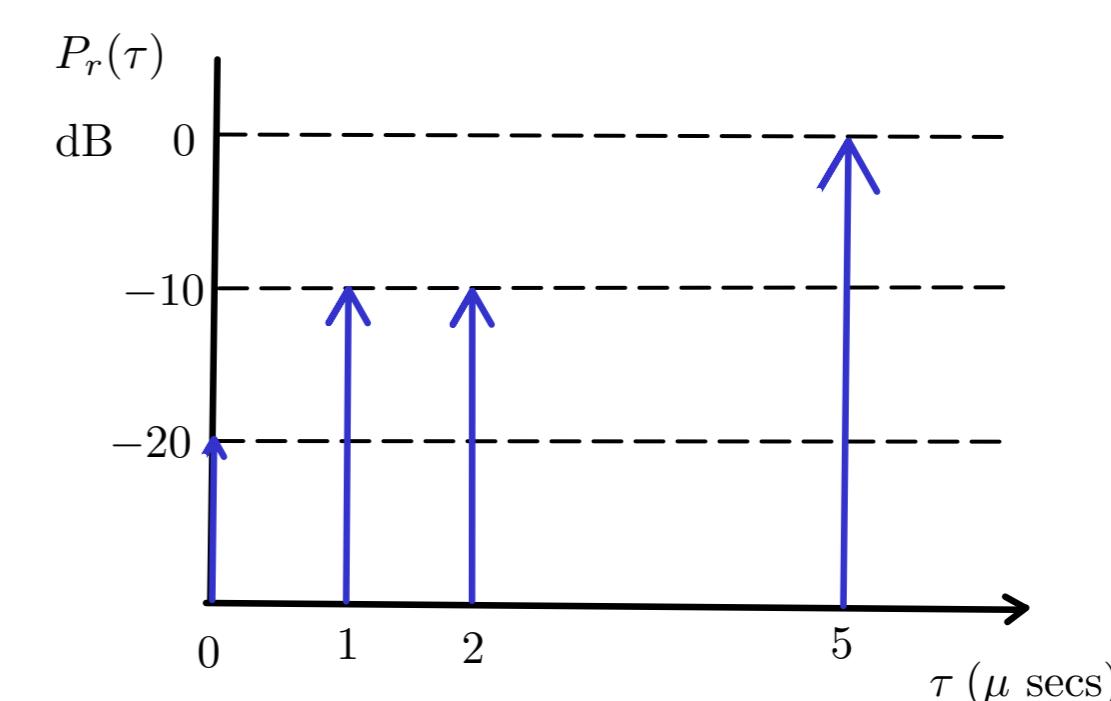


Figure 4.10
Example of an indoor power delay profile; rms delay spread, mean excess delay, maximum excess delay (10 dB), and threshold level are shown.

- v) In practice, values for $\bar{\tau}$, τ^2 and σ_τ depend on the choice of noise threshold used to process $P(\tau)$
- Noise threshold differentiates between received multipath components and thermal noise
- If noise threshold is set too low, then noise will be processed as multipath component and thus causing the parameters to be higher

Example: Time Dispersion



Q) Compute $\bar{\tau}$, τ^2 , $\tau_{max}(10 \text{ dB})$ and 50 % B_C
 Sol) $\bar{\tau} = \frac{10^{-2} \times 0 + 10^{-1} \times 1 + 10^{-1} \times 2 + 10^0 \times 5}{10^{-2} + 10^{-1} + 10^{-1} + 10^0} = 4.38 \mu \text{sec}$
 $\rightarrow \tau^2 = \frac{10^{-2} \times 0 + 10^{-1} \times 1^2 + 10^{-1} \times 2^2 + 10^0 \times 5^2}{10^{-2} + 10^{-1} + 10^{-1} + 10^0} = 21.07 \mu \text{sec}$
 $\rightarrow \sigma_\tau = \sqrt{21.07 - (4.38)^2} = 1.37 \mu \text{sec}$
 $\rightarrow \tau_{max}(10 \text{ dB}) = 5 \mu \text{sec}$
 $\rightarrow B_C \approx \frac{1}{5 \times 1.37 \mu} = 146 \text{ KHz}$

Coherence Bandwidth, B_C

- i) Analogous to the delay spread parameter in time domain, coherence bandwidth is used to characterize the channel in freq. domain
- ii) It is a statistical measure of the range of freq. over which the channel can be considered *flat*
 → i.e., passes all spectral components with approx equal gain and linear phase
- iii) Two sinusoids with freq. separation greater than B_C are affected quite differently by the channel
- iv) If Coherence BW is defined as the range over which freq. correlation function
- $C_{r1,r2} \geq 0.9$, then $B_C \approx \frac{1}{50\sigma_\tau}$
- $C_{r1,r2} \geq 0.5$, then $B_C \approx \frac{1}{5\sigma_\tau}$ (also called 50% Coherence BW)

Delay Spread Vs Doppler Spread

- i) Delay spread and coherence bandwidth describe the time dispersive nature of the channel in local area
 → However, they do not provide information about the time variation caused by relative motion
- ii) Alternatively, Doppler spread and coherence time describe the time variation in a small-scale region

Coherence Time

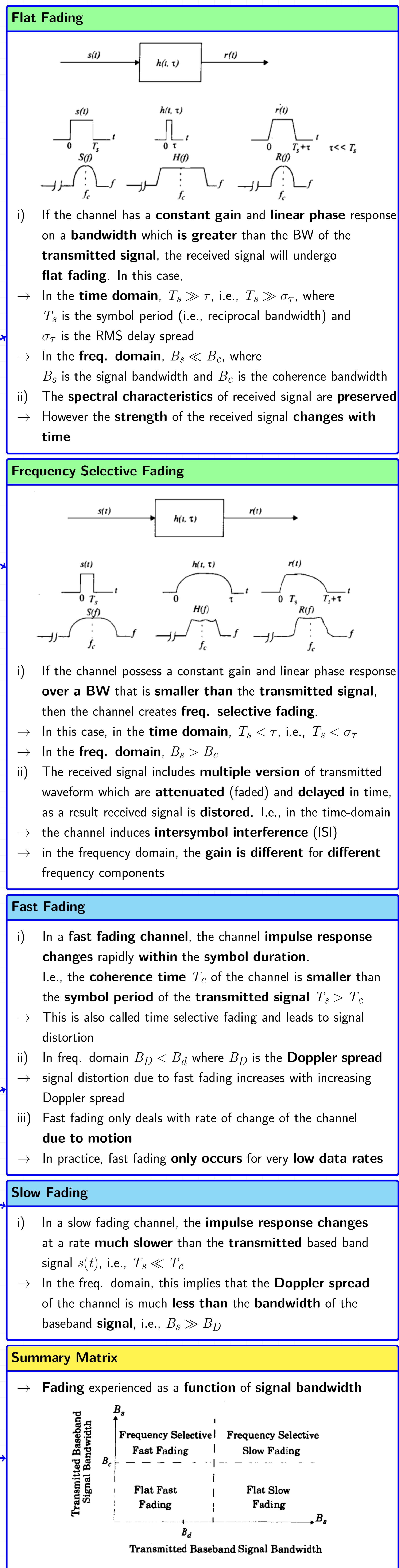
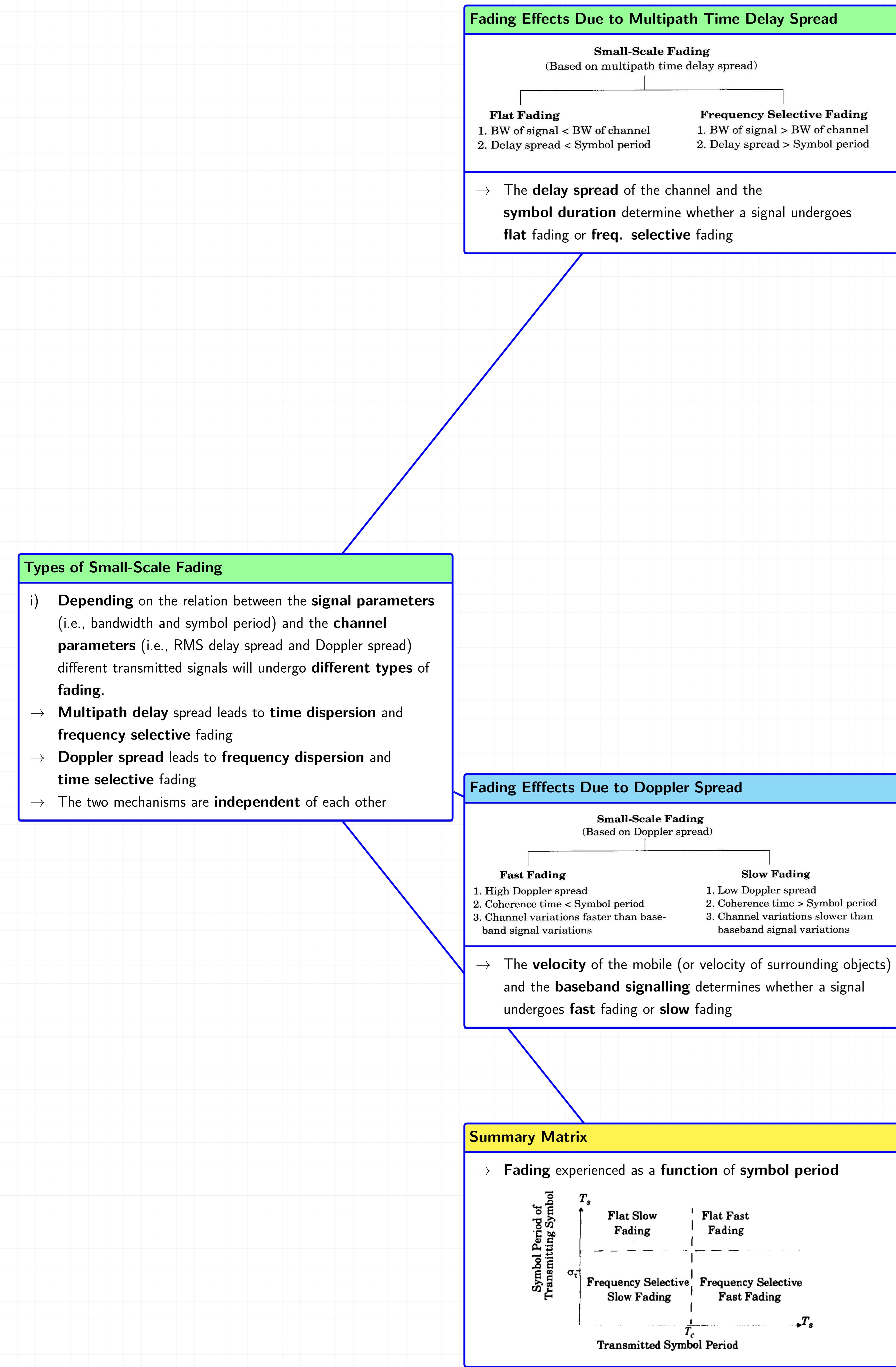
- i) Coherence time is the time domain dual of Doppler spread and characterizes the frequency dispersiveness in time domain
 → The doppler spread and coherence time are inversely proportional to one another i.e., $T_C \approx \frac{1}{f_m}$, where f_m is max Doppler shift $\frac{v}{\lambda}$
- ii) Coherence time is actually a statistical measure of the time duration over which the channel impulse response is essentially invariant and quantifies the similarity of the channel response at different times
 → It is the time duration over which two received signals have a strong potential for amplitude correlation
 → If the reciprocal BW of the baseband signal is greater than the coherence time, then the channel will change during transmission of message, thus causing distortion (known as slow fading)
- iii) Coherence Time is related to f_m as $T_C = \frac{0.423}{f_m}$
 → Two signals arriving with a time separation greater than T_C are affected differently by the channel

Parameters of Mobile Multipath Channels

- i) Many multipath channel parameters are derived from the power delay profile given by $|r(t_0)|^2 = \sum_{k=0}^{N-1} a_k^2(t_0)$, where $r(t_0)$ is the received signal at $t = t_0$ when the channel is sounded by a repetitive baseband pulse train $p(t)$

Doppler Spread (Due to mobility)

- i) Doppler spread B_D is a measure of the spectral broadening caused by the time rate of change of the mobile channel (due to velocity)
 → It is defined as the range of frequencies over which the received Doppler spectrum is non-zero
 → Ex: When a pure sinusoidal tone of f_c is transmitted, the received spectrum called Doppler spectrum will have components in the range $[f_c - f_d, f_c + f_d]$, where f_d is doppler shift
- ii) The spectral broadening depends on f_d , which is a function of the relative velocity of the mobile and (θ) direction of motion
- iii) If the baseband signal bandwidth is much greater than B_D , the effects of Doppler spread are negligible.
 (This scenario is called Slow Fading)



Wireless Multipath Channel Gain

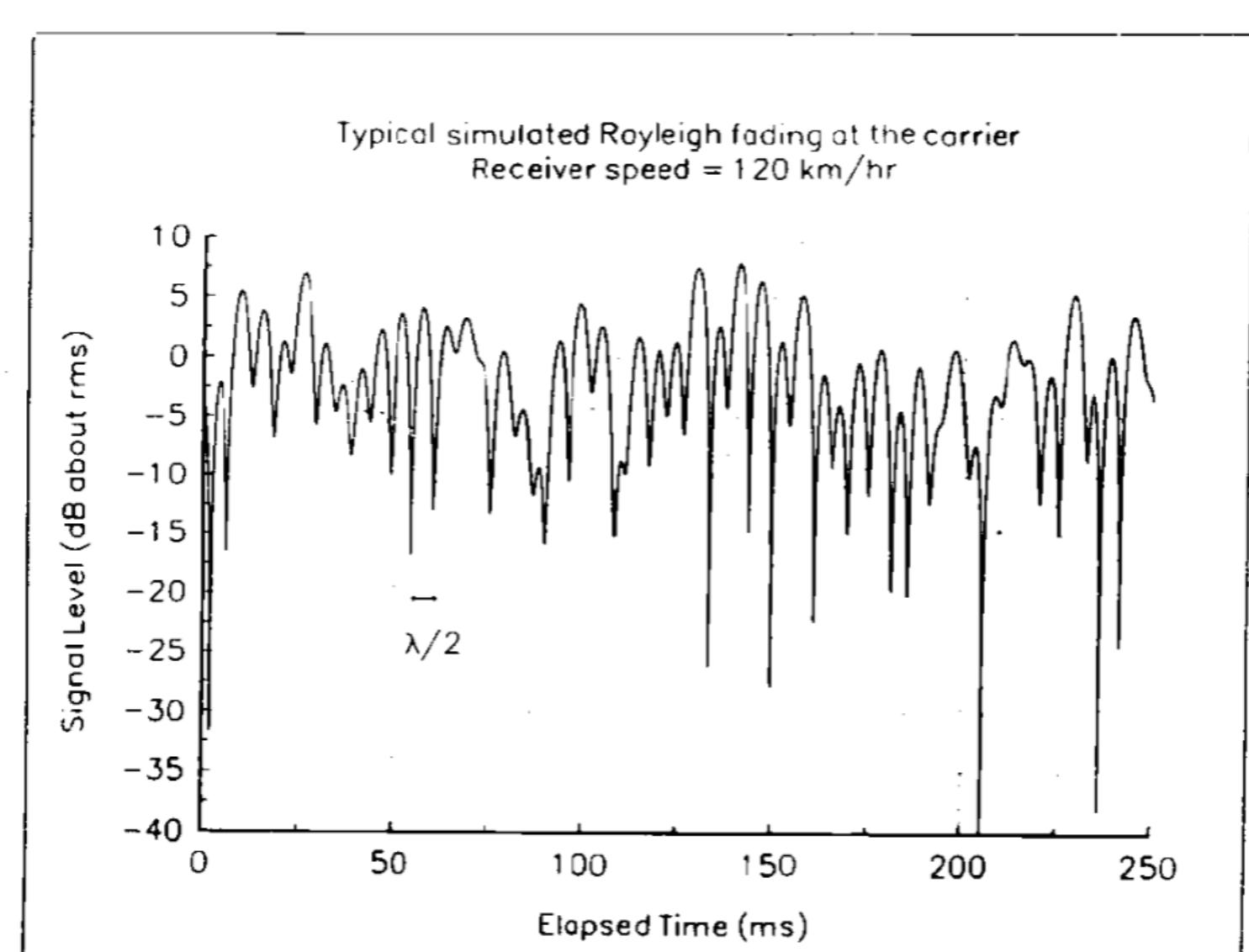
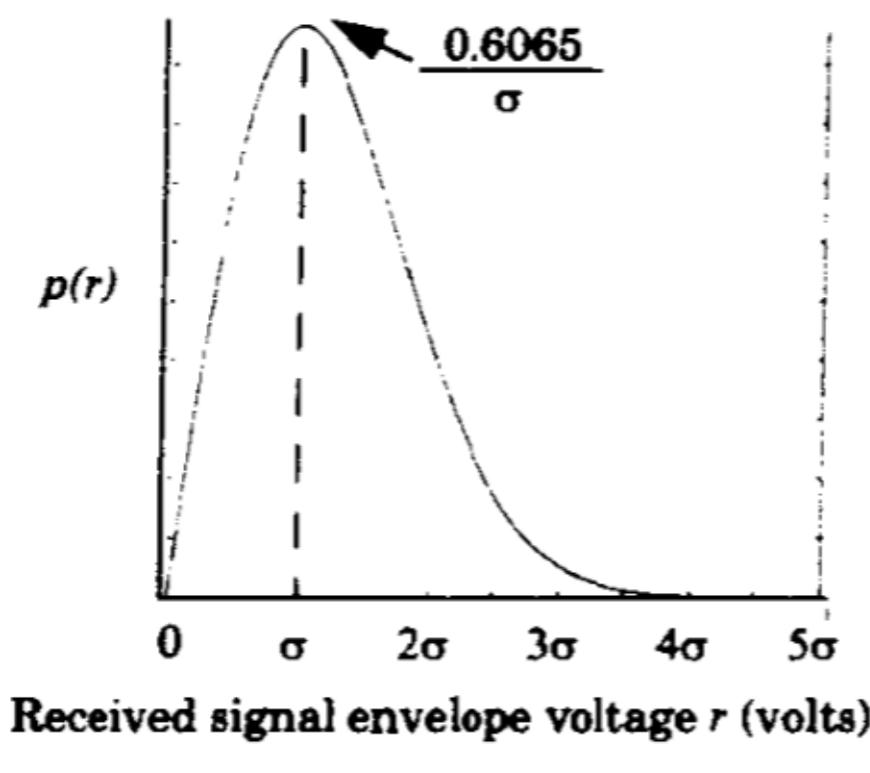
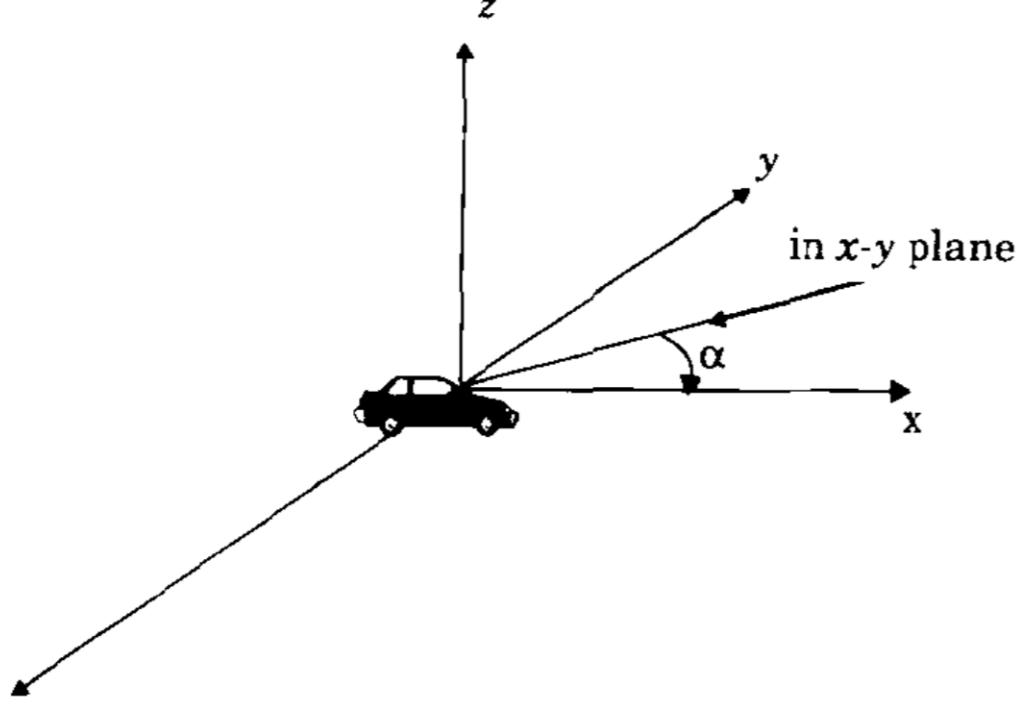


Figure 4.15
A typical Rayleigh fading envelope at 900 MHz [From [Fun93] © IEEE].

Rayleigh probability density function (pdf)



Clarke's Model - Diagram



Small-Scale Fading and Multipath

Statistical Models for Multipath Fading Channels

- i) A statistical model explains the observed statistical nature of a mobile channel
- ii) Ossana's model predicts flat fading power spectra for suburban areas
- iii) Whereas Clarke's model is based on **scattering** and is widely used in **urban areas**

Rayleigh Fading Distribution

- i) Rayleigh distribution is commonly used to describe the statistical **time varying nature** of the received **envelope** of a **flat fading** signal

→ The envelope of the **sum of two quadrature Gaussian** noise signals obeys a **Rayleigh** distribution

- ii) Probability density function (**pdf**) is given by

$$p(r) = \begin{cases} \frac{r}{\sigma^2} e^{-\frac{r^2}{2\sigma^2}} & 0 \leq r \leq \infty \\ 0 & r \leq 0 \end{cases}$$

where σ is the RMS value of the received voltage signal
 σ^2 is the time-average power

- The probability that the envelope **is within** a specified value R is given by

$$Pr(r \leq R) = \int_0^R p(r) dr = 1 - e^{-\frac{R^2}{2\sigma^2}}$$

→ **Mean value** r_{mean} is given by

$$r_{mean} = E\{r\} = \int_0^\infty rp(r) dr = \sigma \sqrt{\frac{\pi}{2}}$$

→ **Variance** σ^2 , which represents the AC power is given by

$$\sigma^2 = E\{r^2\} - E\{r\}^2 = \int_0^\infty r^2 p(r) dr - \frac{\sigma^2 \pi}{2} = \sigma^2 (2 - \frac{\pi}{2})$$

→ **Median** value of r_{median} is computed as

$$\frac{1}{2} = \int_0^{r_{median}} p(r) dr; \quad r_{median} = 1.77\sigma$$

Ricean Fading Distribution

$K = -\infty \text{ dB}$

$p(r)$

$K = 6 \text{ dB}$

Received signal envelope voltage r (volts)

- i) When there is a dominant stationary signal component present, such as a **LOS path**, the small-scale fading envelope distribution is **Ricean**

- ii) As the **dominant signal** becomes **weaker**, the signal **resembles** an envelope that is **Rayleigh**

- iii) The pdf of Ricean is given by

$$p(r) = \begin{cases} \frac{r}{\sigma^2} e^{-\frac{r^2+A^2}{2\sigma^2}} I_0\left(\frac{Ar}{\sigma^2}\right) & \text{for } 0 \leq A, 0 \leq r \leq \infty \\ 0 & \text{for } r \leq 0 \end{cases}$$

→ A denotes the peak amplitude of the dominant signal and $I_0(\cdot)$ is the modified Bessel function of the first kind and zero-order

- iv) **Ricean factor** K is ratio between the deterministic signal power and the variance of the multipath components, $K = \frac{A^2}{2\sigma^2}$

Clarke's Model for Flat Fading (cont ...)

- iv) For sufficiently large N , E_z can be approximated as **Gaussian** random variable using CLT

→ Further, it can be modeled as **random process** and expressed in an in-phase and out-phase form as

$$E_z = T_c(t) \cos 2\pi f_c t - T_s(t) \sin 2\pi f_c t, \text{ where}$$

$$T_c(t) = E_0 \sum_{n=1}^N C_n \cos(2\pi f_n t + \phi_n)$$

$$T_s(t) = E_0 \sum_{n=1}^N C_n \sin(2\pi f_n t + \phi_n)$$

and where $T_c(t), T_s(t)$ are **Gaussian** random processes

- Implies, T_c and T_s are uncorrelated zero-mean **Gaussian random variables** with an equal variance given by

$$\overline{T_c^2} = \overline{T_s^2} = \overline{|E_z|^2} = \frac{E_0^2}{2}$$

- v) The **envelope** of the received E-field is given by

$$|E_z(t)| = \sqrt{\overline{T_c^2}(t) + \overline{T_s^2}(t)} = r(t)$$

→ The random signal envelope r has a **Rayleigh** distribution,

$$p(r) = \begin{cases} \frac{r}{\sigma^2} e^{-\frac{r^2}{2\sigma^2}} & 0 \leq r \leq \infty, \text{ where } \sigma^2 = \frac{E_0^2}{2} \\ 0 & r \leq 0 \end{cases}$$

Simulation of Clarke Fading Model

- i) This simulation method uses the concept of **in-phase** and **quadrature** components to produce a **simulated** signal

$$E_z = T_c(t) \cos 2\pi f_c t - T_s(t) \sin 2\pi f_c t$$

- ii) **Two independent Gaussian** low pass **noise** sources are used to **produce** in-phase and quadrature fading branches

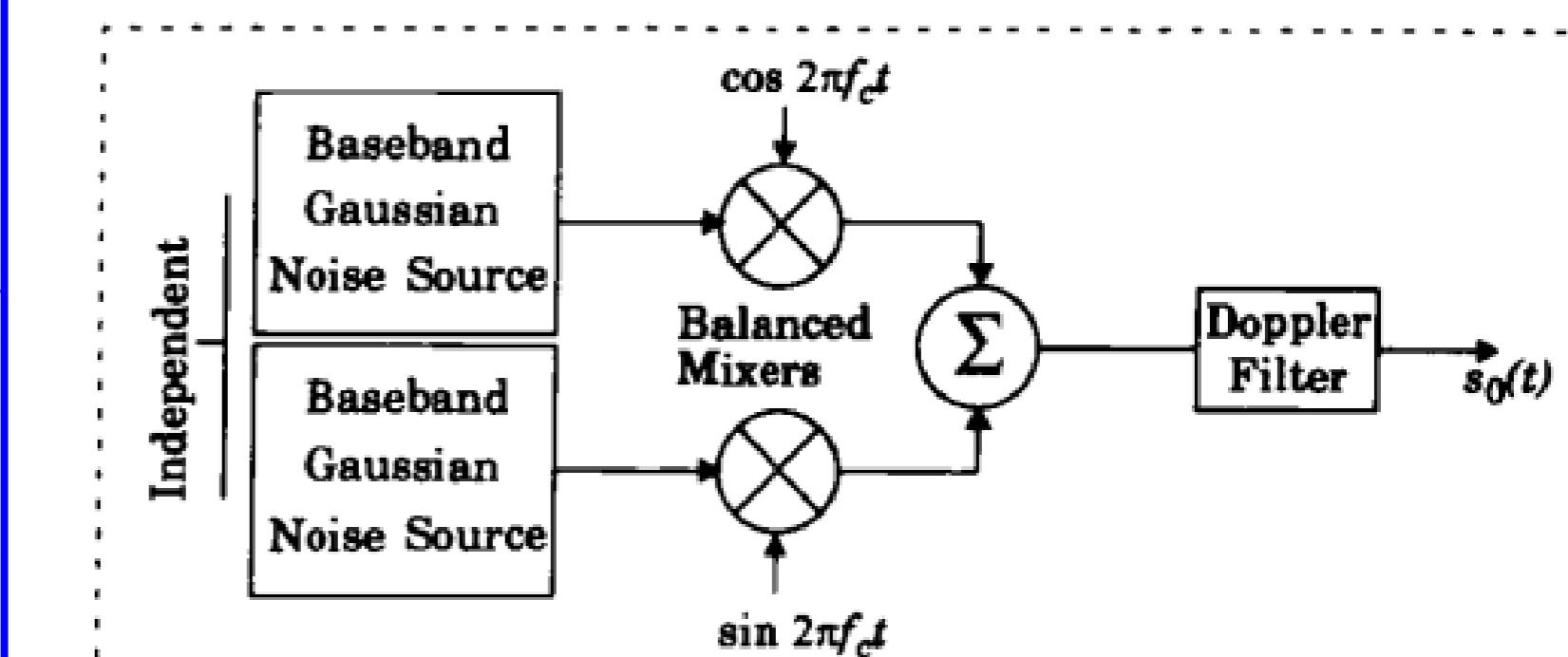
→ The **complex Gaussian** source is formed by summing the two independent Gaussian random variables

- Further **spectral filter** defined by

$$S_{E_z}(f) = \frac{1.5}{\pi f_m \sqrt{1 - \left(\frac{f-f_c}{f_m}\right)^2}}$$

is used to **shape** the random signal in the freq. domain

Simulator using Quadrature amplitude modulation



Level Crossing Rate

- i) The **level crossing rate** (LCR) is defined as the expected rate at which the Rayleigh fading envelope (normalized to the local RMS signal level) **crosses a specified level** in a positive-going direction

- ii) The **number of level crossings per second** is given by

$$N_R = \sqrt{2\pi} f_m \rho e^{-\rho^2}$$

at $r = R$, where $\rho = \frac{R}{R_{rms}}$ is the value of the specified level

f_m is the maximum Doppler frequency

→ The LCR is a **function** of the **mobile speed**

→ There are few crossings at both high and low levels, with the **maximum rate** occurring at $\rho = \frac{1}{\sqrt{2}}$

→ The signal envelope experiences very deep fades only occasionally

Average Fade Duration

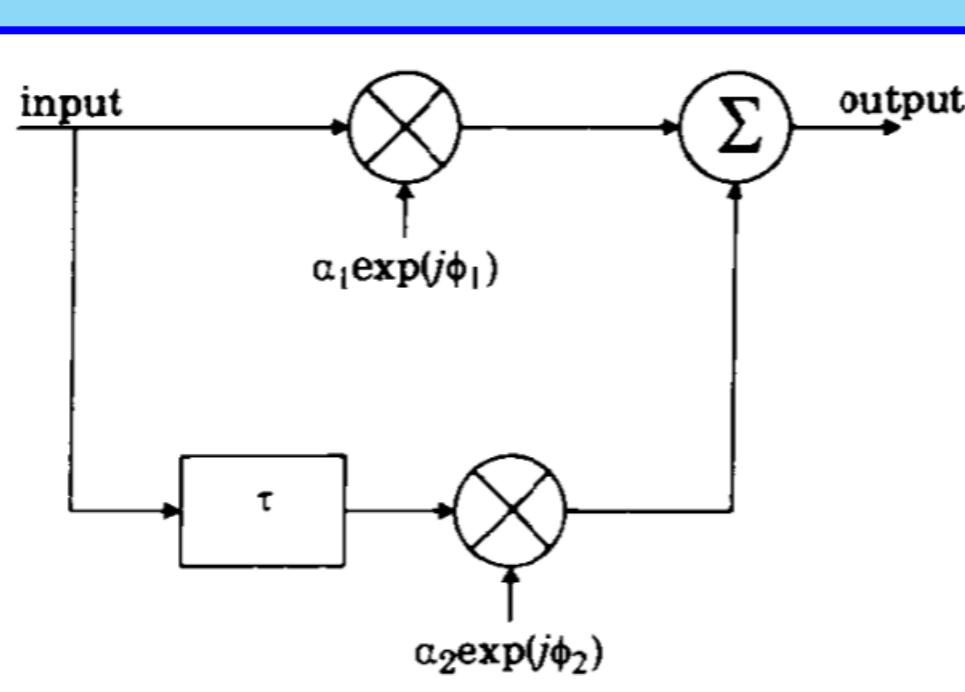
- i) The average fade duration is defined as the **average period of time** for which the received **signal** is **below** a **specified level** R

→ For a Rayleigh fading signal, this is

$$\bar{\tau} = \frac{1}{N_R} \sum_{i=1}^N \tau_i$$

and where τ_i is the duration of the fade and T is the observation interval of the fading signal

Block diagram of Two-Ray Rayleigh Fading Model



Fading Statistics

- i) The level crossing rate (LCR) and average fade duration of a Rayleigh fading signal are two important statistics which are **useful** for designing **error control** codes and **diversity** schemes

- ii) The **impulse response** of the model is represented as

$$h_b(t) = \alpha_1 e^{j\phi_1} \delta(t) + \alpha_2 e^{j\phi_2} \delta(t-\tau), \text{ where}$$

α_1 and α_2 are independent **Rayleigh** distributed,

ϕ_1 and ϕ_2 are independent **uniformly** distributed over $[0, 2\pi]$ and τ is the time delay between the two rays

Unit-IV: Equalization and Diversity

Introduction
<ul style="list-style-type: none"> i) The properties of mobile radio channels <ul style="list-style-type: none"> → Multipath fading leads to time dispersion and ISI → Doppler Spread leads to time variation → These have a strong negative impact on the bit error rate of any modulation ii) The three techniques of Equalization, Diversity and Channel Coding are used to improve radio link performance i.e., to minimize the instantaneous bit error rate

Fundamentals of Equalization
<ul style="list-style-type: none"> i) ISI caused by multipath in time dispersive (i.e., freq. selective) channels distorts the transmitted signal causing bit errors at the receiver → This is a major obstacle to high speed data transmission ii) Equalization is any signal processing operation that minimizes the ISI → Since the mobile fading channel is random and time varying equalizers must track the time varying characteristics of the channel, thus are called adaptive equalizers

Generic Adaptive Equalizer
<p>A basic linear equalizer during training</p> <ul style="list-style-type: none"> i) An adaptive equalizer is a time-varying filter which must be constantly re-trained ii) The transversal filter has a) N delay elements, b) $N + 1$ taps c) $N + 1$ tunable complex multipliers and d) $N + 1$ weights → The weights are updated continuously by the adaptive algorithm

Equalization Techniques
<ul style="list-style-type: none"> i) Equalization techniques can be subdivided into two general categories: a) Linear and b) non-Linear → Categories are determined from how the output of an equalizer is used for subsequent feedback of the equalizer → Linear equalizer : In this category $d(t)$ is not used in the feedback path to adapt the equalizer → non-Linear equalizer: In this category, $d(t)$ is fed back to change the subsequent outputs

Introduction (cont...)
<ul style="list-style-type: none"> i) Equalization: When the signal BW exceeds the coherence, BW the received signal is spread in time and experiences ISI → Equalization technique compensates for ISI created by multipath. At the receiver, it compensates for the average range of expected channel amplitude and delay characteristics → Equalizers must be adaptive since the channel is generally unknown and time varying

Fundamentals of Equalization (cont...)
<ul style="list-style-type: none"> → where $x(t)$ is the original information signal, → $f(t)$ is combined complex baseband impulse response of the transmitter, channel and the RF/IF section i) Then the signal received by the equalizer is $y(t) = x(t) \otimes f^*(t) + n_b(t), \text{ where } n_b(t) \text{ is the baseband noise}$ ii) Let $h_{eq}(t)$ be the impulse response of equalizer, then the output of the equalizer is $\hat{d}(t) = x(t) \otimes f^*(t) \otimes h_{eq}(t) + n_b(t) \otimes h_{eq}(t)$ $x(t) \otimes g(t) + n_b(t) \otimes h_{eq}(t)$ → The complex baseband impulse response of a transversal filter equalizer is given by $h_{eq}(t) = \sum_n C_n \delta(t - nT), \text{ where } C_n \text{ are the complex filter coefficient of the equalizer}$ iii) The desired output of the equalizer is $x(t)$. Assuming that $n_b(t) = 0$, for $\hat{d}(t)$ to be $= x(t)$, $g(t)$ must be $g(t) = f^*(t) \otimes h_{eq}(t) = \delta(t), \text{ which implies in frequency domain}$ $H_{eq}(f)F^*(-f) = 1. \text{ This indicates that an equalizer is an inverse filter of the channel}$ i.e., if the channel is freq. selective, the equalizer enhances the freq. components with small amplitude

Introduction (cont...)
<ul style="list-style-type: none"> i) Diversity: This technique is usually employed to reduce the depth and duration of the fades experienced by a receiver in a flat fading channel without increasing the transmitted power or bandwidth. → This can be employed at both base station and mobile receiver

Operating Modes of an Adaptive Equalizer
<ul style="list-style-type: none"> i) Training Mode (First Stage): <ul style="list-style-type: none"> → A known fixed length training sequence is sent by the transmitter so that the equalizer may average a proper setting, i.e., acquire the proper filter coefficients in the worst possible channel conditions. → The training sequence is typically a pseudorandom binary signal or a fixed bit pattern → The time span over which an equalizer converges is a function of a) Equalizer Algorithm, b) Equalizer Structure and c) Time rate of change of the channel → Equalizer requires periodic re-training to maintain effective ISI cancellation ii) Tracking Mode (Second Stage): <ul style="list-style-type: none"> → Immediately after the training sequence, the user data is sent → The adaptive algorithm tracks the changing channel and adjusts its filter characteristics even when the user data is received → TDMA wireless system are well <b-suited< b=""> for equalizers, where data is in fixed-length time blocks. The training sequence is usually sent at the beginning of a block.</b-suited<> → Equalizers can be implemented at baseband or at IF in a receiver

Blind Algorithms
<ul style="list-style-type: none"> i) More recent Blind algorithms are able to exploit characteristics of the transmitted signal and do not require training sequence → These provide equalizer convergence without burdening the transmitter with training overhead → They are able to acquire equalization through property restoral technique of the transmitted signal
<ul style="list-style-type: none"> ii) Examples: <ul style="list-style-type: none"> → The Constant Modulus Algorithm (CMA): Used for constant envelope modulation → Spectral Coherence Restoral Algorithm (SCORE): Exploits spectral redundancy or cyclostationarity in the transmit signal

Equalizers in a Communication Receiver
<ul style="list-style-type: none"> i) In a practical scenario, because of the presence of noise $n_b(t)$, an equalizer is unable to achieve perfect performance → The instantaneous combined freq. response will not always be flat, resulting in some finite prediction error. i.e., $\hat{d}(n) = x(n) \otimes g(n) + n_b(n) \otimes h_{eq}(n)$ $e(n) = d(n) - \hat{d}(n)$

I. Linear Transversal Equalizer (LTE)
<p>Figure 6.6 Structure of a linear transversal equalizer.</p> <ul style="list-style-type: none"> i) This structure can be implemented as a FIR filter which is also known as transversal ii) Current and past values of the received signal are linearly weighted by the filter coefficient c_n and summed to produce the output → Implementation is usually carried out in digital domain iii) The output before decision making (i.e., threshold detection) $\hat{d}_k = \sum_{n=-N_1}^{N_2} c_n^* y_{k-n}$ iv) And the minimum Mean Square Error (MSE) it can achieve is $E[e(n) ^2] = \frac{T}{2\pi} \int_{-\pi}^{\pi} \frac{N_0}{ F(e^{j\omega T}) ^2 + N_0} d\omega$ where $F(e^{j\omega T})$ is the freq. response of channel

non-Linear Equalizers

II. Linear Lattice Filter Implementation
<p>Figure 6.7 The structure of a lattice equalizer [From [Pro91] © IEEE].</p> <ul style="list-style-type: none"> → Here $f_n(k)$ and $b_n(k)$ are forward and backward error signals → K_n is the reflection coefficient → And output $\hat{d}_k = \sum_{n=1}^N c_n(k)b_n(k)$ ii) Two main advantages of the lattice equalizer are <ul style="list-style-type: none"> → Numerical stability and faster convergence → Allows dynamic assignment of stages as required. i.e., when the channel is in more time dispersion, more stages are used iii) Disadvantage: It is more complicated than LTE

non-Linear Equalizer

- i) Linear equalizers **do not perform well** on channels which have **deep spectral nulls** in the passband.
→ They place too **much gain** in the **vicinity** of the spectral null, thereby **enhancing** the **noise** present in those frequencies
- ii) **Non-linear** equalizers are used in applications where the channel **distortion** is **too severe** for a linear equalizer to handle
- iii) **Two** effective non-linear equalizers are:
 - Decision Feedback Equalizer (**DFE**)
 - Maximum Likelihood Sequence Estimation (**MLSE**)

I. Decision Feedback Equalization

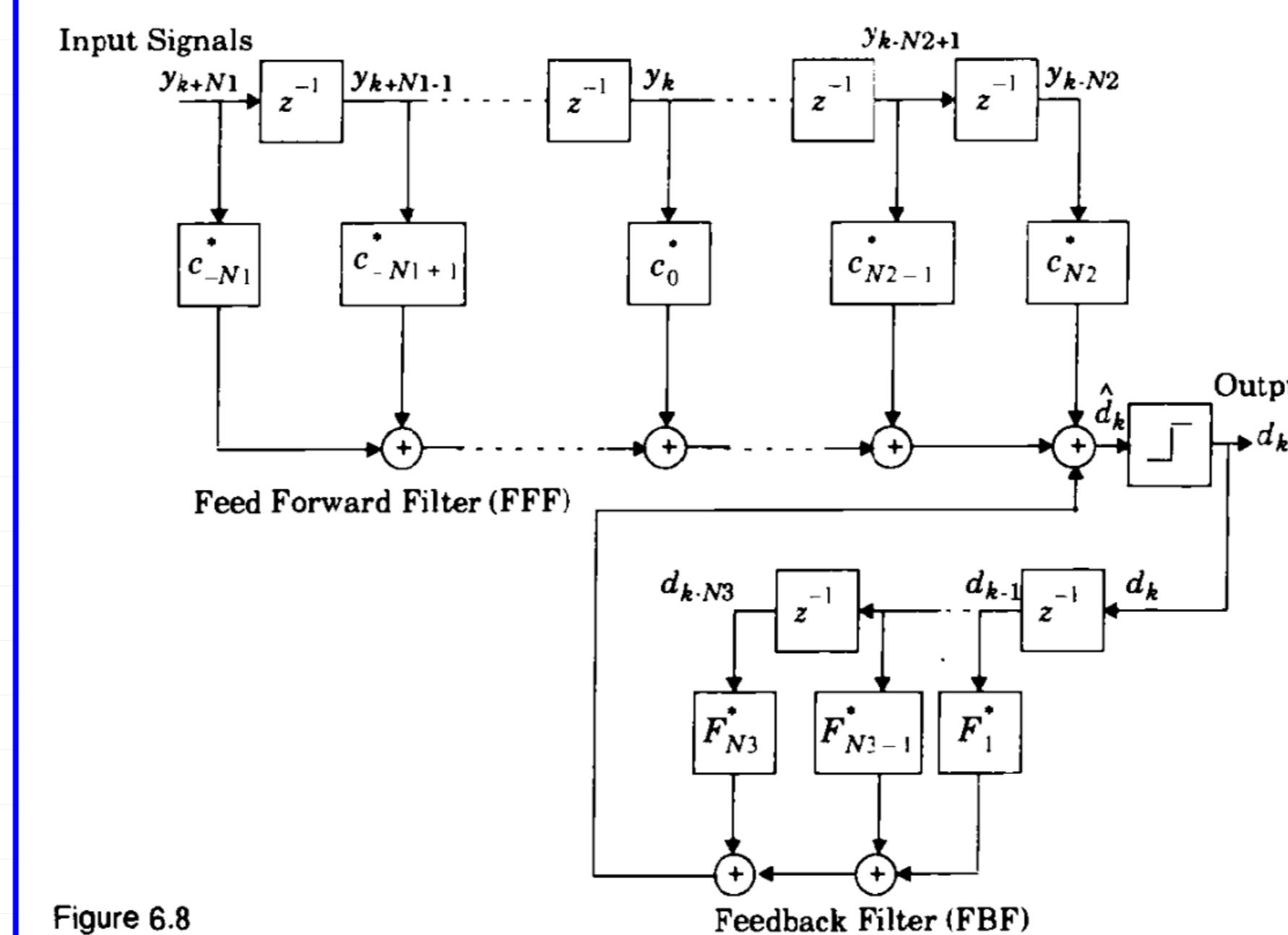


Figure 6.8
Decision feedback equalizer (DFE).

- i) The **basic idea** is that, once an information **symbol** has been **detected**, the **ISI** that is **induces** on **future symbols** can be **estimated** and **subtracted** out.
- ii) DFE can be realized in either the direct transversal (LTE) form or as a lattice filter

I. Decision Feedback Equalization (cont ...)

- iii) The LTE form consists of a **feedforward filter** (FFF) and a **feedback filter** (FBF)
→ The **FBF** is driven by **decisions** at the output of the detector, and its **coefficients** are **adjusted** to **cancel the ISI** on the **current** symbol from **past** detected symbols.
→ The equalizer has $N_1 + N_2 + 1$ taps in FFF and N_3 taps in the FBF
→ Output is expressed as
$$\hat{d}_k = \sum_{n=-N_1}^{N_2} c_n^* y_{k-n} + \sum_{i=1}^{N_3} F_i d_{k-i}$$
, where
 c_n are tap gains and y_n are inputs in FFF
 F_i are tap gains and d_i ($i < k$) are inputs to FBF
→ The **minimum mean square error** of DFE is
$$E\{|e(n)|^2\}_{min} = \exp\left\{\frac{T}{2\pi} \int_{-\pi}^{\pi} \ln \left[\frac{N_0}{|F(e^{j\omega T})|^2 + N_0} \right] d\omega\right\}$$

→ DFE has **smaller min MSE** than **LTE** when there are **nulls** in $|F(e^{j\omega T})|$
- iv) **LTE** is **well behaved** when the channel **spectrum** is **flat**
→ **DFE** is **better** for **severely distorted** wireless channels
→ Further, the **LTE** has **difficulty** in **equalizing** a channel when the **strongest energy** arrives **after** the **first** arriving signal component

II. Maximum Likelihood Sequence Estimation (MLSE) Equalizer

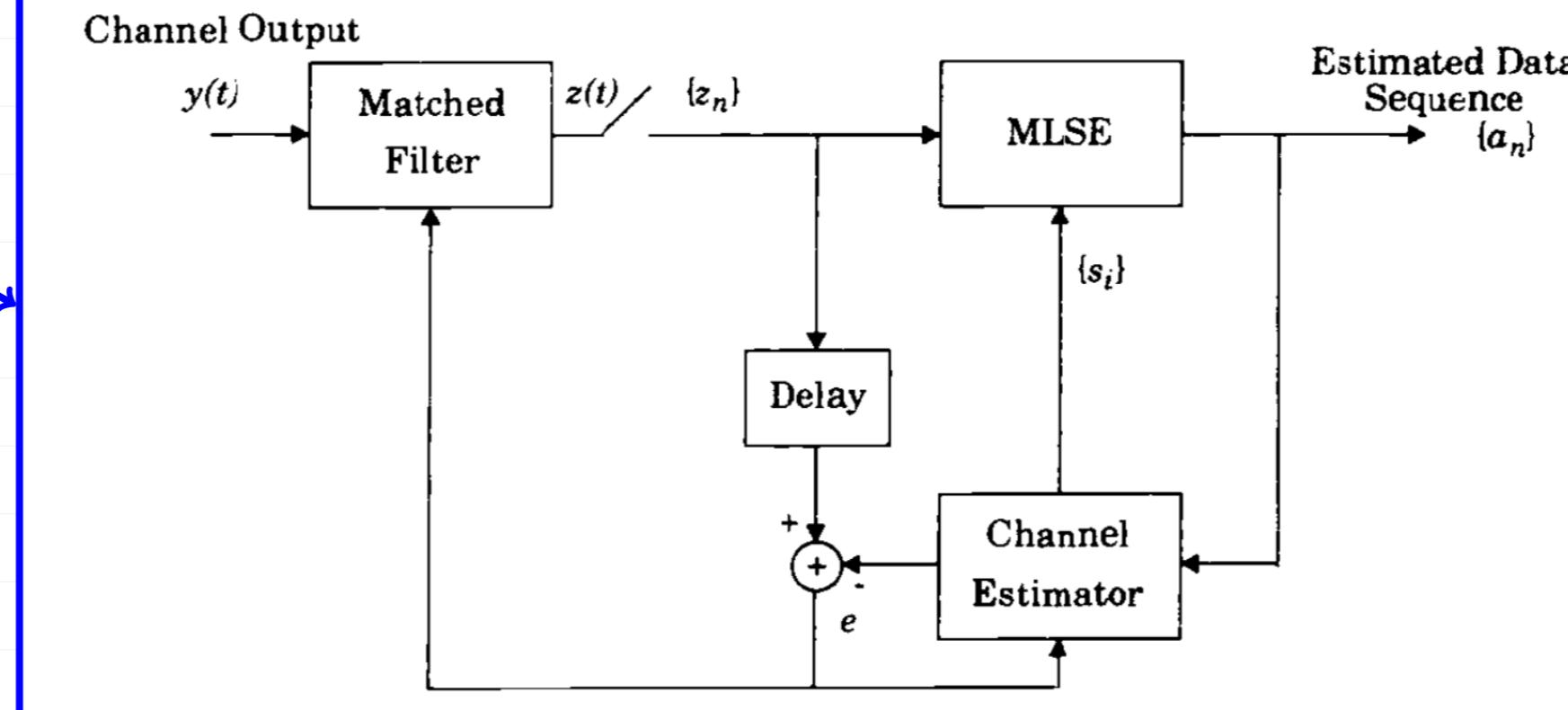


Figure 6.10
The structure of a maximum likelihood sequence estimator (MLSE) with an adaptive matched filter.

- i) The **MSE-based linear** equalizers are **optimum** with respect to minimum probability of **symbol error** only when the channel **does not** introduce any **amplitude distortion**
→ **MLSE** uses **maximum likelihood** receiver structure to over-come this **limitation** under amplitude distortion
- ii) Using a channel **impulse response simulator** within the algorithm, the **MLSE** tests all possible **data sequences** (**rather than** decoding each received **symbol individually**) and chooses the **data sequence** with the **maximum** probability as the output using **Viterbi** algorithm
→ As a result MLSE requires **huge computations**

II. Maximum Likelihood Sequence Estimation (MLSE) Equalizer (cont ...)

- iii) The **MLSE** can be viewed as a problem in **estimating** the state of a discrete time **finite state machine** with M^L states
→ where M is the size of the **symbol alphabet** of the modulation
→ and L is the number of most recent **input samples** based on which the channel **state is estimated**
- iv) An M^L **Trellis** is used by the receiver to model the channel over time
→ The **Viterbi** algorithm then **tracks** the state of the channel by the paths through the trellis
→ The **MLSE** is **optimal** in the sense that it **minimizes** the probability of a **sequence error**
- v) The **MLSE** requires **knowledge** of the channel **characteristics** in order to compute the **metrics** for making decisions
→ It also **requires knowledge** of the **statistical distribution** of the **noise** corrupting the signal
→ The matched filter operates on continuous time signal whereas MLSE and channel estimator relay on discretized samples

Equalization

Algorithms for Adaptive Equalization

Zero Forcing Algorithm

Least Mean Square Algorithm

Recursive Least Squares Algorithm

Derivation of Selection Diversity Improvement

Derivation of Maximal Ratio Combining Improvement

Selection Diversity

Feedback or Scanning Diversity

Maximal Ratio Combining

Equal Gain Combining

Diversity Techniques

Practical Space Diversity Considerations

Polarization Diversity

Frequency Diversity

Time Diversity

Introduction

Advantages

Networks

Topologies

Wireless Networks I

Introduction

- i) Wireless local area networks (**WLANs**) transfer data between devices like mobile PC workstations over **radio waves**
 - The key **advantages** of WLAN are that it **eliminates** the laying of cables and wiring **costs**
 - They use the industrial scientific and medical (**ISM**) frequency bands 900 MHz, 2.4 GHz and 5 GHz. WLAN products **do not require a license** to operate in this band
- ii) Wireless networks are standardized by **IEEE**
 - Under IEEE 802 committee, the **wireless LAN** and metropolitan area network (**MAN**) standards are developed
- iii) The first standard was created by IEEE in 1997 and was named **802.11**. It uses 2.4 GHz frequency and supported maximum bandwidth of **2 Mbps**

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Wireless Networks II

Introduction

- iv) Wireless fidelity (**Wi-fi**) is a generic term used to refer IEEE 802.11 standard for WLANs.
- v) Wi-fi is used to connect **computers** to **communicate** with each other, with the wired network and the Internet
- vi) IEEE **802.11b** that uses the same frequency 2.4 GHz was created in July 1999 and supported **11 Mbps**
- vii) An updated version of the original 802.11 standard was created and called **802.11a**.
 - Due to its higher cost, 802.11a is usually found on **business** networks whereas 802.11b better serves the **home market**
- vi) **802.11g** was created to **combine** the best of both 802.11a and 802.11b and supports network bandwidth upto **54 Mbps**
- viii) **802.11n** is the newest IEEE standard in the 802.11 family

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Wireless Networks III

Introduction

- ix) It **improves** on **802.11g** in the amount of **bandwidth** by using multiple antennas instead of one, i.e., **MIMO**
- x) It also operates on the 2.4 GHz band
- xii) The IEEE 802.11 standard is **related** to the medium access control (**MAC**) layer and the physical (**PHY**) layer.
 - It contains **one** standard for MAC layer and **multiple** standards for the PHY layer
 - It defines several **modulation** methods involving infrared, direct-sequence spread spectrum (**DSSS**), frequency-hopping spread spectrum (**FHSS**), orthogonal frequency division multiplexing (**OFDM**)
- xiii) and three different PHY layer technologies: IEEE 802.11a, 802.11b and 802.11g

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Advantages and disadvantages of WLANs I

Advantages:

- i) **Mobility:** Wireless LANs support mobility. This **improves** the real-time **access** to **information** even when the user is moving from one place to another within the range of an access point (AP)
- ii) **Different Topologies:** Wireless networks are configured in two modes, the **ad-hoc** mode and the **infrastructure** mode
 - Ad-hoc mode provides **peer-to-peer** communication between wireless devices
 - Infrastructure mode provides communication **between** wireless **device** and a **central node** that can communicate with the wired nodes on that LAN. It is time consuming and expensive to run cables between computers
- iii) **Flexible architecture:** It is easier to add or remove workstations

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Advantages and disadvantages of WLANs II

Disadvantages:

- iv) **Cost effective:** Although the initial investment for WLAN is similar to the **cost** of wired LAN, further **expansion** expenses can be significantly **lower**
- v) **Less security:** Wireless LANs are **less secure** than wired LANs as the **signals** can easily be **intercepted** by others using the same frequency band
- vi) **Low data rates:** The data transfer **rate decreases** with increase in the **number** of devices
- vii) **Need for energy efficient devices:** In mobile devices, the **battery** power is a **scarce** resource. The devices must be designed to be **energy efficient**
- viii) **Limited coverage:** Devices will **only operate** at a **limited distance** from an AP. The distance is determined by the standard used and the obstacles between the AP and the user

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WLAN topologies

- i) Wireless network **topology** is the **configuration** in which a mobile terminal (MT) **communicates** with another
- ii) WLANs can be built with
 - the **Peer-to-peer (ad-hoc)** topology or
 - the **Infrastructure** topology

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Peer-to-peer (ad-hoc) topology I

WLAN topologies

- i) This topology **applies** to **reconfigurable** networks that can operate **without** need for a fixed infrastructure

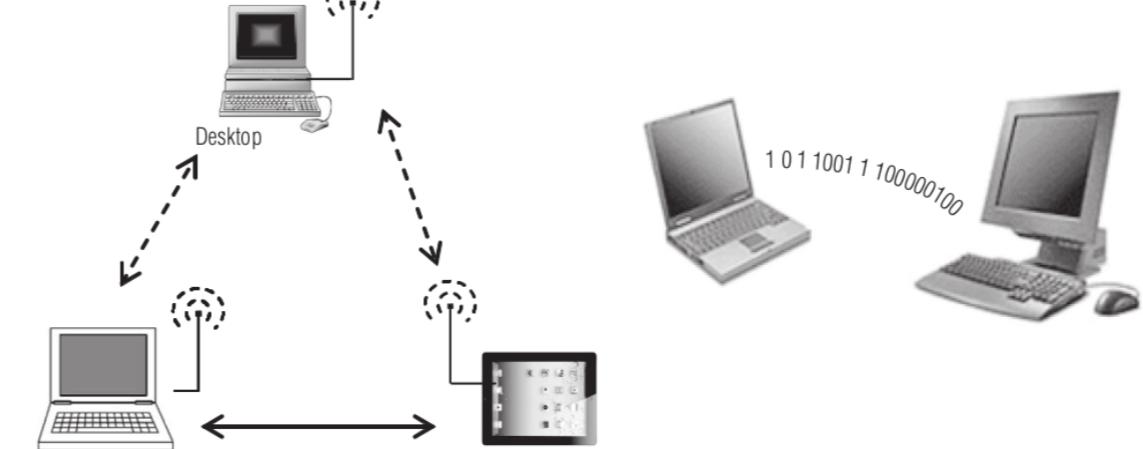


Figure 24.1 Ad-hoc network topology

- This is the **easiest WLAN mode** to **configure** and requires the **least hardware**

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Peer-to-peer (ad-hoc) topology II

WLAN topologies

- An ad-hoc mode WLAN is comprised of **two or more** computers communicating directly with each other using **wireless network cards**
- **Multi-hop** ad-hoc networks are the networks that are **distributed** over a **wide area** in which the user **terminals** cooperate in **relaying** the messages across the network

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Infrastructure topology I

WLAN topologies

- i) In this topology, there is a **fixed (wired) infrastructure** that **supports** communication between **MTs** and the **fixed terminals**

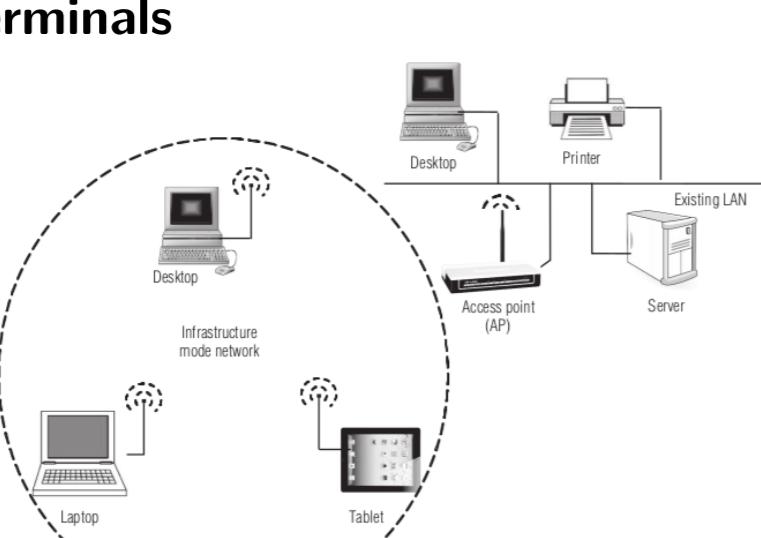


Figure 24.2 Infrastructure network topology

- This topology is **designed** for **large coverage areas** and **multiple base station** or **AP operations**

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Infrastructure topology II

WLAN topologies

- ii) In this WLAN mode, a hardware or software **AP** is **configured** as part of the design
 - This AP **provides connectivity** for the systems on the WLAN
 - The **wireless network card** on each computer is configured to use a specific **AP** and all traffic to other computers on the WLAN is directed **through** the AP
 - APs also **bridge** traffic onto a **wired** (Ethernet or token ring) or a **wireless backbone**
- iii) **Access point:** The AP is a **wireless LAN transceiver** or base station that can **connect** one or many **wireless devices** simultaneously to the **internet**

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Infrastructure topology III

WLAN topologies

- iv) The AP **coordinates** transmission and reception from **multiple** wireless devices within a **specific range**. The range and number of devices **depend** on the standard being used
- **Multiple** APs can be used in a network to cover a large area
- iv) All **standardized** cellular mobile telephone and wireless data systems use an **infrastructure** network **topology** to serve MTs within the coverage area

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Comparison of ad-hoc and infrastructure topologies I

WLAN topologies

- i) **Flexibility:**
 - Operation of **infrastructure** networks **requires** deployment of a network infrastructure which is **time-consuming** and **expensive**
 - **Ad-hoc** networks are inherently **flexible** and can be set-up **instantly**. As a result, **ad-hoc** networks are always used for **temporary** applications where flexibility is important
- ii) **Controllability:** To coordinate proper operation of a radio network, features like **time synchronization**, transmitted **power** need to be centrally **controlled**
 - In an **infra.** **network**, all these features are naturally **implemented** in the **AP**
 - An **ad-hoc** network **requires complicated** structures and changes in terminals to implement these features

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Comparison of ad-hoc and infrastructure topologies II

WLAN topologies

- iii) **Routing complexity:**
 - In a **multi-hop ad-hoc** network, each terminal should be able to route messages to other terminals. This requires **each** terminal to **monitor** the existence of **other** terminals. It requires a **routing algorithm**, that adds to the complexity of the terminals
 - This problem **does not exist** in **infra.** topology
- iv) **Reliability:**
 - **Infr.** **networks** are **single failure point** networks. If the **AP** **fails**, the entire communication **network fails**
 - This problem **does not exist** in **ad-hoc** configuration

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WLAN Standard IEEE 802.11 I

Introduction

- i) **IEEE 802.11** is an international **standard** that describes **WLAN characteristics**. The **WiFi** corresponds to the certification name issued by the WiFi Alliance group
 - This standard ensures the **compatibility** between hardware devices
 - It provides **high-speed** connections to laptops, computers and PDAs located within a radius of 20-50 m **indoor** and 100 m **outdoor**
- ii) The 802.11 is a specific standard that **defines** the **MAC** and **PHY** layers of a WLAN
 - The **original 802.11** standard is a MAC plus a low data rate PHY standard which supports **1-2 Mbps** data rates
 - It operates at the **2.4 GHz ISM band** and allows the vendors to choose between a DSSS and FHSS implementation

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WLAN Standard IEEE 802.11 II

Introduction

- iii) The **802.11b** is a **PHY extension** to the original 802.11. It also operates at the 2.4 GHz band and allows **higher data rates** of **5.5 and 11 Mbps**
 - It uses a **technique** known as complementary code keying (**CCK**)
- iv) The **802.11a** is another **PHY extension** to the 802.11 standard
 - It operates at the **5 GHz** band and allows for data **rates** of **6-54 Mbps**
 - It uses a **technique** known as orthogonal frequency-division multiplexing (**OFDM**)
- v) The **802.11g** is the next extension of 802.11.

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WLAN Standard IEEE 802.11 IV

Introduction

- a **request-to-send/clear-to-send (RTS/CTS)** mechanism to accommodate the **hidden terminal** problem and
- an **optional** mechanism called **point coordination function (PCF)** to support **time-bounded** applications
- vii) The 802.11 standard **supports both infrastructure** WLANs connection through an AP and **ad-hoc** operation allowing peer-to-peer communication between terminals

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IEEE 802.11 Physical layer

- i) The **PHY** layer is the **interface** between the **MAC** and **wireless media** that provides transmission and reception of data frames over a **shared** wireless medium
- ii) The IEEE 802.11 standard defines **different** transmission **techniques** with different PHY implementations
 - Infrared (IR)
 - Direct-sequence spread spectrum (DSSS)
 - Frequency-hopping spread spectrum (FHSS)
 - Orthogonal frequency-division multiplexing (OFDM)
 - Narrow band microwave LANs

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Wireless metropolitan area networks (WMAN) I

Introduction

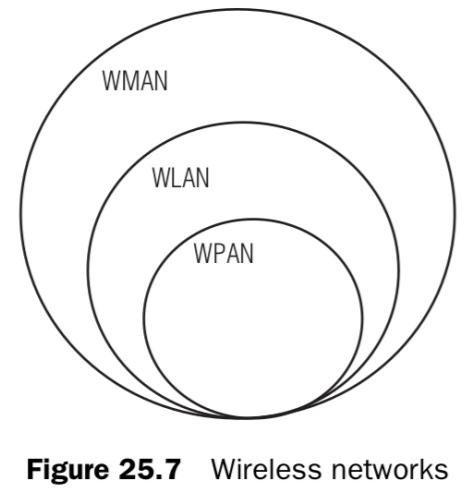


Figure 25.7 Wireless networks

- i) **Need for Wireless MAN (WiMax)**
 - **WLANS** and **WPANs** restrict the **mobility** of users to a few hundreds of meters from the source of the RF signal
 - Also users are needed to stay within in LOS. As a result it is **confined to offices, homes and hotspots**

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IEEE 802.16 and its enhancements I

- i) **IEEE 802.16** protocol of WiMax standard **focuses** on transmitting **high data rates** for **large distances** and also maintain effective **QOS** and **security**
- ii) IEEE 802.16 mainly **focuses** on the **PHY** and **Data Link layer** in OSI model
- iii) Here, Physical Layer (PHY) can be **single-carrier** or **multi-carrier**
- iv) The data link layer is divided into a) **Logical link control (LLC)** and b) **Medium access control (MAC)**
- v) **MAC** is further divided into sub-layers
 - **Convergence sub-layer (CS)**
 - **Common part sub-layer (CPS)**
 - **Security sub-layer (SS)**

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IEEE 802.16 Physical Layer I

- i) **PHY** sets the **connection** between the communicating devices and is **responsible** for transmitting the **bit sequence**
- ii) It also **defines** the type of **modulation** and **demodulation** as well as the transmission **power**
- iii) It considers two types of transmission techniques: **OFDM** and **OFDMA**
- iv) **OFDM PHY:**
 - The **OFDM** is developed to support **high data rate** and can handle **multi-carrier** signals
 - It can **minimize** the **inter symbol interference (ISI)** much more compare to other multiplexing schemes
 - The OFDM can also **handle multi-path effect** by converting serial data to several parallel data using **fast Fourier transform (FFT)** and **IFFT**
 - For fixed WiMAX, the **FFT size** is fixed at **256**

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WLAN Standard IEEE 802.11 III

WLAN Standard IEEE 802.11 III

Introduction

- It operates at the **2.4 GHz** band and supports data rates from **1-54 Mbps**. The 1 and 2 Mbps rates are operated in the DSSS mode whereas the 5.5 and 11 Mbps rates are operated in CCK mode. In addition, rates 6-54 Mbps are operated in OFDM
- The 802.11g standard **borrow**s the **OFDM** technique and data rates from the **802.11a** standard but operates at the **2.4 GHz ISM band**
- It can therefore operate at **very high data rates** while being **backward compatible** with the **802.11b** standard
- vi) All three versions share the same **MAC** layer that uses
 - **carrier sense multiple access with collision avoidance (CSMA/CA)** for **contention data**,

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Challenges faced by WLAN

Introduction

- i) Compared to wired LANs, WLANs operate in a **difficult medium** of communication, and they need to support mobility and security
- ii) The wireless **medium** has serious **bandwidth limitations** and **frequency regulations**
- iii) It suffers from time and location dependent **multi-path fading**
- iv) It is subject to **interference** from other WLANs, radio and non-radio devices operating in the vicinity
- v) The 802.11 body had to examine **connection management**, **link reliability management** and **power management**
- vi) In addition, WLANs have no **PHY boundaries** and they **overlap** with each other, as a result need to provide provisions for **security** of the links

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Comparison of IEEE 802.11a,b,g and n standards

The table gives **comparison** in terms of frequency, bandwidth, data rate, modulation and range

Table 24.2 Comparison of 802.11 protocols

802.11 protocol	Freq. (GHz)	Bandwidth (MHz)	Data rate per stream (Mbps)	Allowable MIMO streams	Modulation	Approx. Indoor range (m)	Approx. outdoor range (m)
802.11	2.4	20	1.2	1	DSSS, FHSS	20	100
a	5	20	6.9, 12, 18, 24, 36, 48, 54	1	OFDM	35	120
b	2.4	20	5.5, 11	1	DSSS	38	140
g	2.4	20	6, 9, 12, 18, 24, 36, 48, 54	1	OFDM, DSSS	38	140
n	2.4	20	7.2, 14.4, 21.7, 28.9, 43.3, 57.8, 65, 72.2	4	OFDM	70	250

IEEE 802.11 Medium Access Control I

- i) The MAC is a **sublayer** of the **data link layer** in the OSI model
- ii) It provides **addressing** and **channel access control** mechanisms that make it possible for **several terminals** to communicate
 - **Each node** in an 802.11 network is **identified** by its **MAC address**
- iii) The **functions** of MAC layer are as follows
 - It provides a **reliable data delivery** service to the users of the MAC over wireless media through a **frame exchange protocol**

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IEEE 802.11 Medium Access Control II

- It **controls** the **access** to the **shared wireless medium** through two different access mechanisms
 - a) The **basic** access mechanism called the **distributed coordination function (DCF)**
 - b) The **centrally controlled** access mechanism called the **point coordination function (PCF)**
- It **protects** the data delivery by providing a **privacy service** called **wireless equivalent privacy (WEP)**, which **encrypts** the data
- iv) Study the reference material for further details related to MAC

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Wireless metropolitan area networks (WMAN) II

Introduction

- IEEE 802.16 and WiMAX (worldwide interoperability for microwave access) are designed as a **complimentary** technology to WiFi and Bluetooth
- WMANs are a group of **technologies** that provide wireless connectivity across a **large geographical area** such as a **large metropolitan city**
- These networks provide access of wired network **beyond a single location**

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Table 25.2 Features of wireless networks

Parameter	WPAN	WLAN	WMAN
Protocol	802.15	802.11	802.16
Standards	Bluetooth, IrDA, UWB	WiFi	WiMax
Frequency range	2.4 to 2.483 GHz	5.15 to 5.35GHz	10-66 GHz
Speed	1-4 Mbps	1-54 Mbps	2-70 Mbps
Cell radius	1-10 ms	1-500 ms	1-50km
Modulation	FHSS	OFDM, DS-SS	QPSK

IEEE 802.16 Physical Layer II

- Whereas for mobile WiMAX, the **FFT size** can be 128, 512, 1024 and 2048 bits. This helps to combat **ISI** and **Doppler spread**
- OFDM splits a single **high bit rate** data into several **low bit rate** of data sub-stream in parallel
- v) **OFDMA PHY:**
 - OFDMA which is also called **multi-user-OFDM** is designed for **fourth generation** wireless networks
 - It is a **combination** of **FDMA, TDMA** and **code division multiple access (CDMA)**, as it performs the **same function** like these access methods
 - OFDMA can be seen as an **alternative** to CDMA where each user gets **different number** of spreading code with **different data rates**

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IEEE 802.16 Physical Layer III

- It **resembles** an alternative **TDMA** as **low data rate** users can **send** data with **low transmission power**, with **constant** and **shorter delay**
- It can also be seen as a **combination** of **TDMA** and **FDMA** where the **resources** are **divided** according to **time-frequency spaces** and **slots** along with OFDM sub-carrier index
- **Different number** of **sub-carrier** can be **allotted** to **different number** of **users** to maintain the **data rate** and **error probability** for **each user**
- As result, **OFDMA** is an **efficient** access method for **multi-user** environment

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WPAN Standard IEEE 802.15 I

- i) A wireless personal area network (WPAN) is a network for interconnecting devices centred around an **individual person's workspace**, in which the connections are wireless
 - These might include a mobile phone, a laptop computer, pagers, PDAs and a **personal stereo**
- ii) Typically, a WPAN uses **Bluetooth technology**, which was used as the basis for a new standard, **IEEE 802.15**
 - Bluetooth technology has been adopted as the **IEEE 802.15.1** WPAN standard
 - It permits communication within about **10 m**, which enables the use of **low power, low cost and extremely small-sized devices**
- iii) The concept of PANs is that if **each of the devices** had a **short-range communications tool** built into them, they could exchange information **without wires** and without any intervention from the user

WPAN
IEEE
802.15

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WPAN Standard IEEE 802.15 II

- iv) The **key concept** in WPAN technology is known as **plugging in**. When any **two WPAN-equipped** devices come into **close proximity**, they can **communicate** as if connected by a cable
- v) Another **important feature** is the ability of each device to **lock out** other devices **selectively, preventing** needless interference or **unauthorized access** to information
- vi) The **IEEE 802.15** standards is a **family of protocols** to address the needs of **WPAN** at different data rates in **2.4 GHz ISM band**
 - Three IEEE 802.15 protocols (**IEEE 802.15.1, IEEE 802.15.3 and IEEE 802.15.4**) are developed based on **data rates, technology, frequency band, channel access scheme and modulation used**
 - They are referred to as **Bluetooth, high-rate WPAN and low-rate WPAN**
- vii) **Applications:**

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WPAN Standard IEEE 802.15 III

- WPANs are **used to replace cables** between a **computer** and its **peripheral devices**
- WPANs can be used for **transmitting images, digitized music and other data**
- WPAN, **popularly known as Bluetooth technology** is a **short-range wireless network** formed around the **personal operating space** of a wireless terminal with **built-in Bluetooth device**
- With the help of Bluetooth technology, **ad-hoc wireless pico-nets** can be formed, which are LANs with **very limited coverage** (about 10 m) and **without** the need for an **infrastructure**, offering asynchronous data and synchronous voice services at **1 Mbps**
- **Bluetooth** also provides a **universal bridge** to existing **data networks** and a mechanism to form **small private mobile ad-hoc networks (MANETs)**



Hiper LAN

- i) The **European Telecommunications Standards Institute (ETSI)** has come up with **High Performance Radio LAN (HIPER LAN)**, which is an **alternative** for the **IEEE 802.11 WLAN** standards
- ii) The HiperLAN standards provide **features and capabilities similar** to those of the **IEEE 802.11**
- iii) In HyperLAN, there are a **number** of base stations (or **APs**), and the devices can **communicate either** with the **base station** or **directly** with each other
- iv) The **APs** can **automatically configure** their **frequency** so that there is **no need** for **manual frequency assignment**
- v) The HiperLAN standard **family** has four different versions: **HiperLAN/1, HiperLAN/2, HiperACCESS and HiperLINK**

HiperLAN/1 I

- i) HiperLAN/1 is mainly **designed** to work **without** the need of any **infrastructure**
 - Two nodes may **exchange data directly, without** any interaction from a wired (or radio-based) infrastructure
 - The **simplest** HiperLAN/1 consists of **two nodes**
 - If two HiperLAN/1 nodes are not in radio contact with each other, they may use a **third node** which must **forward messages** between the two communicating nodes
- ii) A **multi-hub topology** is considered to allow **overlay of two HiperLANs** to extend the communication beyond the radio **range** of a single node

HiperLAN

HiperLAN/1 II

HiperLAN/1 II

- There are two **overlapping** HiperLANs A and B, and the **node 4** acts as a **bridge** between the two

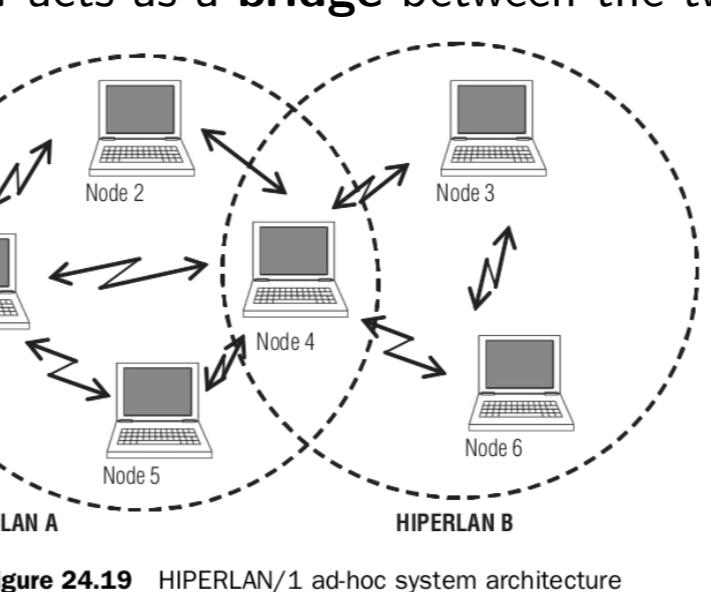


Figure 24.19 HIPERLAN/1 ad-hoc system architecture

- Each node is designated either as a **forwarder** node or **non-forwarder** node
- Each **non-forwarder** node should **select** at least one of its **neighbour** nodes as a **forwarder** node

HiperLAN/2 I

- i) HiperLAN/2 has a **very high-transmission rate** upto **54 Mbps**
 - This is achieved by making use of **Orthogonal Frequency Division Multiplexing (OFDM)**
- ii) HiperLAN/2 connections are **time-division multiplexed** and connection-oriented, either **bidirectional** point-to-point or **unidirectional** point-to-multipoint
 - There is also a dedicated **broadcast channel** through which the traffic from an AP reaches all terminals
- iii) The HiperLAN/2 APs have a **built-in support** for **automatic transmission frequency allocation** within the APs coverage area
- iv) Additionally, this network supports **authentication** and **encryption**

Wireless Local Loop (WLL) I

- i) Traditionally, the voice and data communication services to the end subscribers over the **local loop** has been provided by **wired systems**
 - For **residential** subscribers, **twisted pair** cable has been the standard means of connection
 - For **business** and government subscribers, **twisted pair** cable, **coaxial** cable and **optical fiber** cable are in use
- ii) **WLL** is a system that **privides a wireless connection** between **subscribers** and the **local telephone station**. The other names of WLL are **radio in the loop (RITL)** or **fixed-radio access (FRA)**
 - WLL is the **use of radio to provide a telephone connection** to the home

WLL

Wireless Local Loop (WLL) IV

- WLL systems can be **installed rapidly**
- With a **wired** system, a cable is laid out in **anticipation** of serving every **potential** subscriber in a local area, whereas the subscriber **radio units** are **installed** only when the **subscriber** has **registered** for a service
- A large geographical **area** is **still** not covered with **landline** telephone service
- A **major advantage** of WLL over the cellular mobile system is that the **fixed subscriber** can use a **directional antenna pointed** at the base-station antenna, providing **improved signal quality**
- vi) WLL has been allocated a frequency band of **2-40 GHz**, especially the unused frequency bands available above **25 GHz**
- vii) WLL **applications** include **local multipoint distribution service (LMDS)** and **multichannel multipoint distribution service (MMDS)**

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HiperLAN/2 II

- Both the AP and the MT can **authenticate** each other to ensure authorized access to the network or to a valid network operator
- Each node is given a **HiperLAN ID (HID)** and a **node ID (NID)**.
- The combination of these two IDs **uniquely** identify a station and **restricts** the connections to other HiperLAN nodes
- All nodes with the **same HID** can **communicate** with each other using **dynamic routing** mechanism denoted **intra-HiperLAN** forwarding
- v) The support for **handover** enables **mobility** of MTs
 - The **handover** scheme is **MT initiated**. The MT uses the AP with the **best signal** as the user moves around. All established **connections move** to the AP with the **best radio transmission performance**

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Wireless Local Loop (WLL) II

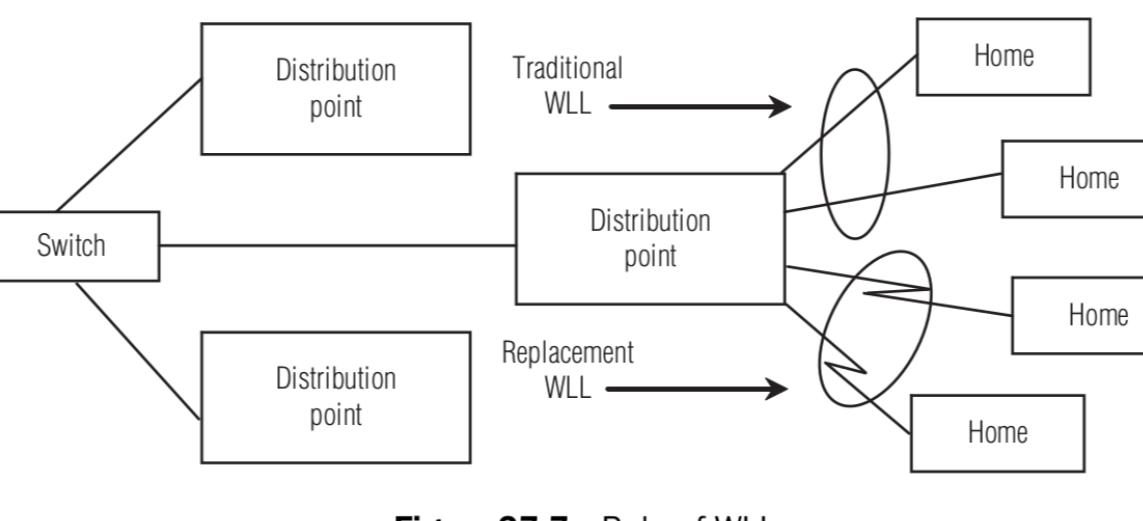


Figure 27.7 Role of WLL

- iii) Historically, the local loop was **copper cable buried** in the ground
 - WLL replaces the **local loop** section with a **radio path** rather than a **copper cable**
 - It is **concerned** only with the **connection** from the **distribution point** to the **house**, all the other parts of the network are left **unaffected**

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Wireless Local Loop (WLL) V

- LMDS is a relatively new WLL service capable of **providing video, telephony and high-data rate** of the order of several Mbps within the short range from the base station
- LMDS is **useful for organization** requiring larger bandwidth
- MMDS operate at **lower band of millimeter** range and can operate in considerably **larger cells** within a radius of **50 km**. MMDS is likely to be used by residential subscribers and small business

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Wireless Local Loop (WLL) III

- The **distribution point** is connected to a **radio transmitter** and a **radio receiver** is mounted on the side of the **house**. A cable is run down inside the house to a **socket** to which the **home telephone** is connected
- iv) Modern WLL systems use **CDMA technology**
 - A WLL service provider serves **one or more cells**
 - Each cell includes a **base-station antenna** mounted on top of a tall building or tower
 - Individual **subscribers** have a **fixed antenna mounted** on a building or a high pole that has a **LOS** to the base-station
 - From the **base station**, there is a wired or wireless link to a **switching center**
- v) **Advantages** of WLL over wired approach
 - WLL systems are **less expensive** than wired systems, as a result new requirements are met with WLL approach

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Comparison of WLL, mobile and wireline technologies

WLL	Mobile wireless	Wireline
Good LOS component	Mainly diffuse components	No diffuse components
Rician fading	Rayleigh fading	No fading
Narrowbeam direct antennas	Omnidirectional antennas	Expensive wires
High channel reuse	Less channel reuse	Reuse limited by wiring
Simple design, constant channel	Expensive DSPs, power control	Expensive to build and maintain
Low in-premises mobility only, easy access	High mobility allowed, easy access	Low in-premises mobility, wiring of distant areas is cumbersome
Weather conditions effects	Not very reliable	Very reliable

