

## Recap of Introduction to Cryptography

Applied Cryptography – Spring 2024

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Institute for Computing and Information Sciences  
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## Outline

Course Organization

Keyed Symmetric Cryptography

How to Model Security?

Block Ciphers

Block Cipher Based Encryption Modes

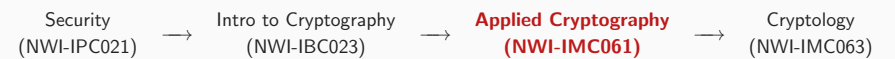
Conclusion

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## Course Organization

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## Applied Cryptography



### Goal of the Course

- Learn what cryptography is used in applied settings
  - What is used in the real world
  - What is standardized
  - What will (?) be used in the future
- Prepare you for cryptographic aspects you might see later in your career

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## Feedback Welcome!

- This is the **third time** the course Applied Cryptography is taught
- We carefully discussed the topics of Applied Cryptography
  - among ourselves
  - with lecturers of earlier courses
- This course is aimed to **complement** earlier courses, with minimal overlap
- However, there have been slight mutations in the content of the earlier courses
- This means that a minimal overlap with earlier courses is **unavoidable**
- If you have feedback on the course, please contact the lecturers!

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## Reflection On Last Year

- Course reasonably well-graded
- Some start-up problems identified by students and lecturers
- Lectures:
  - Further refinement with “Introduction to Cryptography” and “Cryptology”
  - More explanation on how cryptographic functions are used in practice
  - Further overall improvement of applications
- Tutorials/Assignments:
  - Make the assignments clearer
  - Less work-intensive assignments

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## Who?

### Lecturers

- Bart Mennink, M1 3.05, b.mennink@cs.ru.nl
- Simona Samardjiska, M1 03.18, simonas@cs.ru.nl

### Assignment Coordinators

- Mario Marhuenda Beltrán, M1 03.17, mario.marhuendabeltran@ru.nl

### Tutorial Assistant

- Maximilian Pohl, maximilian.pohl@ru.nl

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## Lectures

- Weekly: Mon 13.30–15.15 in HG00.514
  - 5 lectures on symmetric cryptography (Bart Mennink)
  - 5 lectures on public-key/post-quantum cryptography (Simona Samardjiska)
  - 2–2.5 lectures on selected topics (guest lectures)
  - 0.5–1 back-up/Q&A
- Exception: lecture **upcoming** Wed 10.30–12.15 instead of next week Monday
- Presence not compulsory...
  - ...but if you are going to come, actually be here!
  - Laptops shut, phones away
- Course material:
  - These slides
  - Lecture recordings
- Background material:
  - Lecture notes “Introduction to Cryptography”

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## Tutorials/Assignments

- Weekly: Wed 10.30–12.15 in E1.17/EOSN01.560
  - 3 assignments on symmetric cryptography (after lectures 2, 3, 5)
  - 3 assignments on pk/pq cryptography (after lectures 7, 8, 11)
  - 1 assignment on selected topics (after lecture 12)
- Schedule:
  - New assignments on the web by **Monday evening**
  - Two tutorials for asking questions
  - Hand-in: **Sunday after second tutorial, before 23.59** via Brightspace
    - In LaTeX, as single pdf
    - Hint: you are allowed to hand in earlier!
  - General rule: too late means score 0, no exceptions
- Assignment gives up to 1 point (out of 10) bonus on exam
- Assignments can be handed in in pairs (strongly encouraged)

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## Organization

### Assessment

- Final mark is computed from:
  - Average of markings of assignments:  $A$
  - Open-book on-campus exam:  $E$
  - Final mark:  $F = E + \frac{A}{10}$
- To pass:  $E \geq 5$  and  $F \geq 6$

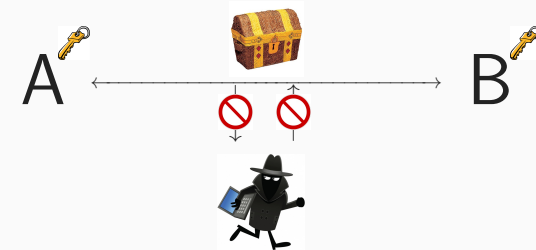
### Further Information


- All information on the course appears on Brightspace
- **Read the course manual!**

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## Keyed Symmetric Cryptography

## General Setting



- Two parties, **Alice** and **Bob**, communicate over a public channel
  - They have agreed on a joint key  and use it to transmit data
- A malicious party, **Eve**, may try to exploit/disturb/... the communication
- In symmetric cryptography, we are concerned with two main security properties:
  - **Confidentiality (or data privacy)**: Eve cannot learn anything about data
  - **Authenticity**: Eve cannot manipulate the data

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## Core Functionalities

### Encryption

- Uses **key** to transform **data** into **ciphertext**
- Only with the **key**, one can retrieve **data** back

### Message authentication

- Uses **key** to complement **data** with a **tag**
- Only with the **key**, the **tag** can be verified

### Authenticated encryption

- Combines encryption and authentication
- Uses **key** to transform **data** into **ciphertext** and **tag**
- Only with the **key**, the **tag** can be verified and **data** retrieved

These (together with **hashing**) are the core functionalities in symmetric cryptography!

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## Core Functionalities

- **Symmetric** stands for:
  - **same** key for encryption and decryption
  - **same** key for MAC generation and verification
  - **same** key for authenticated encryption and verified decryption
  - (cryptographic hashing is an odd one out)
- Throughout, I will assume Alice and Bob managed to share a secret key in such a way that no outsider knows this key
  - This is a problem on its own!

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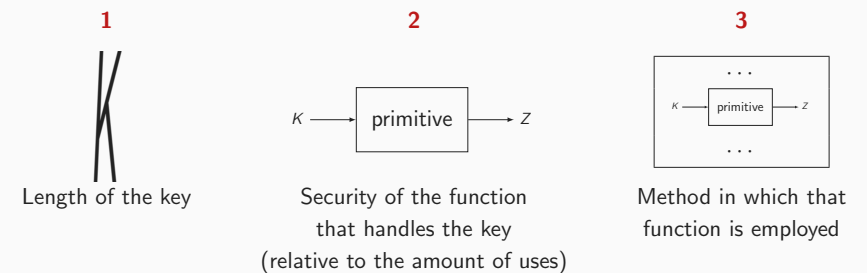
## Security Strength $s$

- Nothing is unbreakable!
- Strength of a cryptographic construction is typically measured in **bits**
- E.g.,  $s$  bits of security means:
  - there are no successful attacks in less than  $2^s$  operations
  - the success probability of one attempt is at most  $\Pr(\text{success}) \leq 1/2^s$
  - generalization: the success probability of an attack with  $2^a$  operations is at most  $\Pr(\text{success}) \leq 2^a/2^s$
- Refinements often in:
  - data complexity: amount of observed data (limited by use case)
  - computation complexity: amount of computation (limited by budget)

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## What Determines Security?

Security is mainly determined by three factors:



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## How Are Symmetric Cryptographic Schemes Built?

- Building blocks: **primitives**
  - Determines security factors **1** and **2**
  - These are often fixed size functionalities
- **Constructions** or **modes of use** employ primitives to build a cryptographic scheme
  - Determines security factor **3**
  - Often, these should process variable-length data
  - Constructions not always trivial
- Distinction is a bit fuzzy:
  - Cryptographic schemes themselves are often employed in cryptographic protocols
  - Constructions from one primitive may be primitives for another construction



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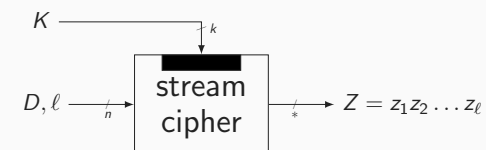
## Provable Security

- Symmetric cryptographic schemes on number-theoretical problems exist, but are hardly ever practical
- Symmetric cryptographic approach is more pragmatic
- Primitives:
  - Considered secure if many people looked at it but nobody managed to break it
  - Some properties might still be provable (like: “certain attack approaches do not work”)
- Constructions:
  - Often come with a formal security proof
  - No unconditional security: based on assumption on the underlying primitive
  - **Reductionist proof**: breaking construction implies breaking primitive
  - **Ideal model proof**: assuming primitive is ideal, construction is secure

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## How to Model Security?

## Modern Stream Ciphers



- Using key  $K$ , diversifier  $D$ , and length  $\ell$ , keystream  $Z$  of length  $\ell$  is generated
- The diversifier must be different for each message that is transmitted
- Example: data streams, e.g., pay TV and telephone, often split data in relatively short, numbered, frames. One can use frame number as diversifier and encrypt using stream:

$$C_i = M_i \oplus F(K, i, |M_i|)$$

When is a stream cipher strong enough?

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## Stream Cipher Security, Intuition (1/5)



- Recall Kerckhoffs principle: security should be based on **secrecy of  $K$**
- Consider attacker that learns some amount of input-output combinations of  $SC_K$
- What should  $SC_K$  satisfy, intuitively?
  - It should be "hard" to recover the key, but is that all?
  - If attacker ever sees ...11111111... or ...01010101..., is that okay?
  - If attacker ever sees ...010110101..., is that okay?
  - ...
  - Intuitively,  $SC_K$  should not expose **any irregularities**
  - Its outputs should **look completely random**

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## Intermezzo: Random Oracle

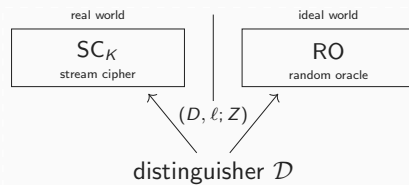
### Random Oracle

- A database of input-output tuples
- Initially empty
- New query  $(D, \ell)$ :
  - If  $D$  is not in the database:
    - generate  $\ell$  random bits  $Z$
    - add  $(D, Z)$  to the list
    - return  $Z$
  - If  $D$  is in the database, look at corresponding  $Z$ :
    - If  $|Z| \geq \ell$ : return first  $\ell$  bits of  $Z$
    - If  $|Z| < \ell$ : generate  $\ell - |Z|$  random bits  $Z'$ , append  $Z'$  to  $Z$ , return  $Z \parallel Z'$
    - update  $(D, Z)$  in the list

$D$	$Z$
1100	1010111010101
1111010101101101	110101110111101101
001000011100	1010110101110101011
...	...

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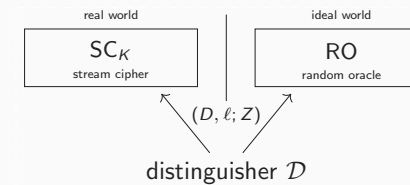
## Stream Cipher Security, Intuition (2/5)



- We thus want to "compare"  $SC_K$  with a random oracle RO
- We model a **distinguisher  $\mathcal{D}$**  that is given **oracle access** to either of the worlds
  - We toss a coin:
    - head:  $\mathcal{D}$  is given oracle access to  $SC_K$
    - tail:  $\mathcal{D}$  is given oracle access to RO
  - $\mathcal{D}$  does a priori **not know** which oracle it is given access to
  - $\mathcal{D}$  can now make queries  $(D, \ell)$  to receive  $Z$
  - At the end,  $\mathcal{D}$  has to guess the outcome of the coin toss (head/tail)

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## Stream Cipher Security, Intuition (3/5)



- Denote  $\mathcal{D}$ 's success probability in correctly guessing head/tail by  $\Pr(\text{success})$
- $\mathcal{D}$  can always guess and succeeds with probability  $\geq 1/2$ , so we scale the probability to  $\mathcal{D}$ 's **advantage**:

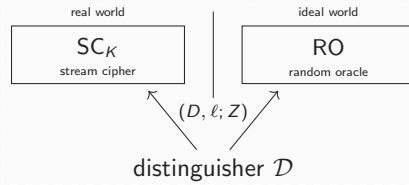
$$\text{Adv}(\mathcal{D}) = 2 \cdot \Pr(\text{success}) - 1$$

- This turns out to be equal to (see Section 4.4 of "Intro2Crypto-symmetric.pdf")

$$\text{Adv}(\mathcal{D}) = \Pr(\mathcal{D}^{SC_K} \text{ returns head}) - \Pr(\mathcal{D}^{RO} \text{ returns head})$$

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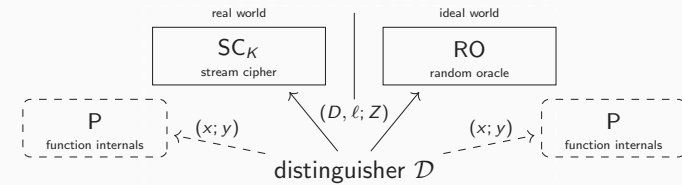
## Stream Cipher Security, Intuition (4/5)



- Recall: distinguisher is limited by certain constraints
  - data complexity: amount of observed data (limited by use case)
  - computation complexity: amount of computation (limited by budget)
- How do these constraints relate to the security model?
- Data (or online) complexity  $q$ :** total cost of queries  $\mathcal{D}$  can make
- Computation (or time) complexity  $t$ :** everything that  $\mathcal{D}$  can do "on its own"

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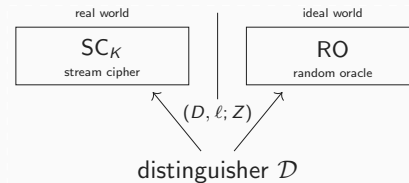
## Stream Cipher Security, Intuition (5/5)



- Computation (or time) complexity  $t$ :** everything that  $\mathcal{D}$  can do "on its own"
  - $SC$  (without key input) is a public algorithm
  - $\mathcal{D}$  can evaluate it offline
  - For instance, it can try evaluate  $SC_{K'}$  for different keys  $K'$
  - Even stronger:  $\mathcal{D}$  can evaluate individual internal parts of  $SC$  offline
  - It can do so regardless of the oracle it is communicating with
  - Offline access to these internals is, however, often left implicit

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## Stream Cipher Security, Formal (1/2)

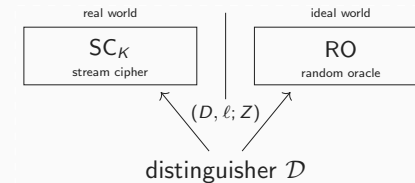


- Two oracles:  $SC_K$  (for secret key  $K$ ) and  $RO$  (secret)
- Distinguisher  $\mathcal{D}$  has query access to one of these
- $\mathcal{D}$  tries to determine which oracle it communicates with
- Its advantage is defined as:

$$\text{Adv}_{SC}^{\text{prf}}(\mathcal{D}) = \Delta_{\mathcal{D}}(SC_K; RO) = |\Pr(\mathcal{D}^{SC_K} = 1) - \Pr(\mathcal{D}^{RO} = 1)|$$

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## Stream Cipher Security, Formal (2/2)



- Its advantage is defined as:

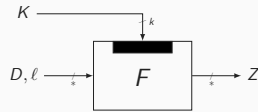
$$\text{Adv}_{SC}^{\text{prf}}(\mathcal{D}) = \Delta_{\mathcal{D}}(SC_K; RO) = |\Pr(\mathcal{D}^{SC_K} = 1) - \Pr(\mathcal{D}^{RO} = 1)|$$

- $\text{Adv}_{SC}^{\text{prf}}(q, t)$ :** supremal advantage over any distinguisher with complexity  $q, t$ 
  - More complexity parameters may apply, e.g., total length, different complexity bounds for different oracles, ...
  - In addition,  $t$  is sometimes left implicit if not needed for a security proof

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## Stream Cipher Security, Implication

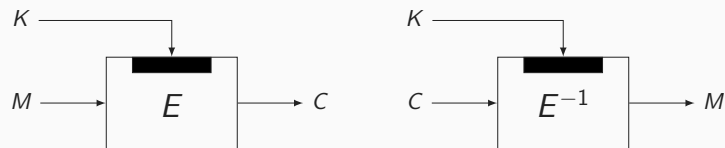
- A bound  $\text{Adv}_{\text{SC}}^{\text{prf}}(q, t)$  implies that
  - no key recovery attack succeeds with advantage higher than  $\text{Adv}_{\text{SC}}^{\text{prf}}(q, t)$
  - no bias in keystream can be exploited with advantage higher than  $\text{Adv}_{\text{SC}}^{\text{prf}}(q, t)$
  - ...
  - no meaningful attack can be mounted with advantage higher than  $\text{Adv}_{\text{SC}}^{\text{prf}}(q, t)$
- Bound on the advantage can serve two purposes:
  - Security claim for a concrete design
  - A proven security bound assuming security of an underlying building block
- Security definition of pseudorandom functions (PRF) is in fact more general: it applies to functions with possibly arbitrary length inputs and outputs



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## Block Ciphers

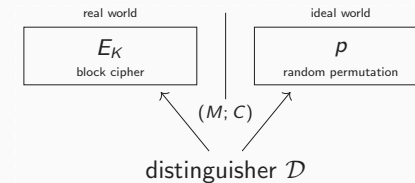
## Block Ciphers



- Using key  $K$ , message  $M$  is bijectively transformed to ciphertext  $C$
- Key, plaintext, and ciphertext are typically of fixed size
- For fixed key,  $E_K$  is invertible and the inverse is denoted as  $E_K^{-1}$
- A good block cipher should behave like a random permutation

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## Block Cipher Security



- Two oracles:  $E_K$  (for secret key  $K$ ) and  $p$  (secret)
- Distinguisher  $\mathcal{D}$  has query access to one of these
- $\mathcal{D}$  tries to determine which oracle it communicates with
- Its advantage is defined as:

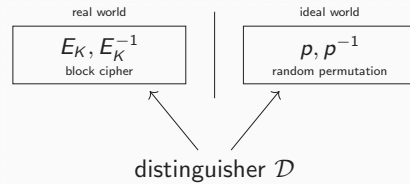
$$\text{Adv}_E^{\text{prp}}(\mathcal{D}) = \Delta_{\mathcal{D}}(E_K; p) = |\Pr(\mathcal{D}^{E_K} = 1) - \Pr(\mathcal{D}^p = 1)|$$

- $\text{Adv}_E^{\text{prp}}(q, t)$ : supremal advantage over any  $\mathcal{A}$  with query/time complexity  $q/t$

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## Strong Block Cipher Security

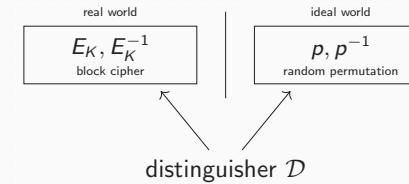


- Two oracles:  $(E_K, E_K^{-1})$  (for secret key  $K$ ) and  $(p, p^{-1})$  (secret)
- Distinguisher  $\mathcal{D}$  has query access to one of these
- $\mathcal{D}$  tries to determine which oracle it communicates with
- Its advantage is defined as:  

$$\text{Adv}_E^{\text{srp}}(\mathcal{D}) = \Delta_{\mathcal{D}}(E_K, E_K^{-1}; p, p^{-1}) = \left| \Pr(\mathcal{D}^{E_K, E_K^{-1}} = 1) - \Pr(\mathcal{D}^{p, p^{-1}} = 1) \right|$$
- $\text{Adv}_E^{\text{srp}}(q, t)$ : supremal advantage over any  $\mathcal{A}$  with query/time complexity  $q/t$

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## Block Cipher Security: How to Model Key Recovery?



- Suppose  $\mathcal{D}$  has  $q \geq 1$  query and  $t$  time
- It can mount the following attack:
  - Make 1 construction query  $(0; \mathcal{O}(0))$
  - Make  $t$  offline key attempts  $E_{L_i}(0)$
  - If  $E_{L_i}(0) = \mathcal{O}(0)$  for some  $i$ , **key recovery** very likely
- For this distinguisher (simplified, ignoring false positives):  $\text{Adv}_E^{\text{srp}}(\mathcal{D}) \approx t/2^k$
- Supremized:  $\text{Adv}_E^{\text{srp}}(q, t) \geq t/2^k$

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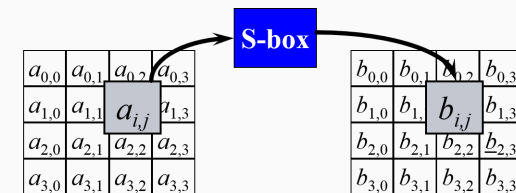
## AES

- Block cipher with block and key lengths  $\in \{128, 160, 192, 224, 256\}$ 
  - Set of 25 block ciphers
  - AES limits block length to 128 and key length to multiples of 64
- **We only consider AES in this course**
- Iteration of a round function with following properties:
  - 4 layers: nonlinear, shuffling, mixing and round key addition
  - All rounds are identical ...
  - ... except for the round keys
  - ... and omission of mixing layer in last round
  - Parallel and symmetric
- Key schedule
  - Expansion of cipher key to round key sequence
  - Recursive procedure that can be done in-place
- Manipulates bytes rather than bits

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## The Non-Linear Layer: SubBytes

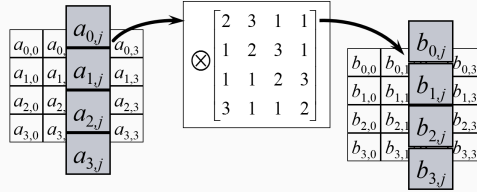


- The same invertible S-box applied to all bytes of the state
- Assembled from building blocks that were proposed and analyzed in cryptographic literature
- Criteria:
  - Offer resistance against DC, LC and *algebraic* attacks ...
  - ... when combined with the other layers

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## The Mixing Layer: MixColumns

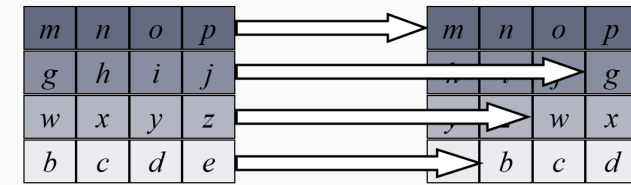


- Same invertible mapping applied to all 4 columns
- Multiplication by a  $4 \times 4$  circulant matrix in  $\text{GF}(2^8)$ 
  - Difference in 1 input byte propagates to 4 output bytes
  - Difference in 2 input bytes propagates to 3 output bytes
  - Difference in 3 input bytes propagates to 2 output bytes
  - Difference in 4 input bytes propagates to 1 output byte

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## The Shuffling Layer: ShiftRows



- Each row is shifted by a different amount
- Different shift offsets for higher block lengths
- Moves bytes in a given column to 4 different columns
- Combined with MixColumns and SubBytes this gives fast diffusion

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## Round Key Addition: AddRoundKey

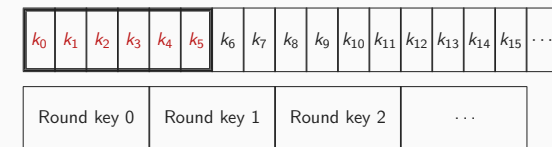
$$\begin{bmatrix} a_{0,0} & a_{0,1} & a_{0,2} & a_{0,3} \\ a_{1,0} & a_{1,1} & a_{1,2} & a_{1,3} \\ a_{2,0} & a_{2,1} & a_{2,2} & a_{2,3} \\ a_{3,0} & a_{3,1} & a_{3,2} & a_{3,3} \end{bmatrix} + \begin{bmatrix} k_{0,0} & k_{0,1} & k_{0,2} & k_{0,3} \\ k_{1,0} & k_{1,1} & k_{1,2} & k_{1,3} \\ k_{2,0} & k_{2,1} & k_{2,2} & k_{2,3} \\ k_{3,0} & k_{3,1} & k_{3,2} & k_{3,3} \end{bmatrix} = \begin{bmatrix} b_{0,0} & b_{0,1} & b_{0,2} & b_{0,3} \\ b_{1,0} & b_{1,1} & b_{1,2} & b_{1,3} \\ b_{2,0} & b_{2,1} & b_{2,2} & b_{2,3} \\ b_{3,0} & b_{3,1} & b_{3,2} & b_{3,3} \end{bmatrix}$$

- Round key is computed from the cipher key  $K$

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## Key Schedule: Example with 192-bit Key $K$



- Expansion: put  $K$  in 1st columns and compute others recursively:

$$k_{6n} = k_{6n-6} \oplus f(k_{6n-1})$$

$$k_i = k_{i-6} \oplus k_{i-1}, \quad i \neq 6n$$

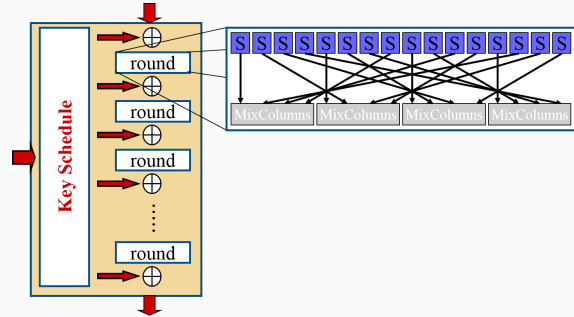
with  $f$ : 4 parallel AES S-boxes followed by 1-byte cyclic shift

- Selection: round key  $i$  is columns  $4i$  to  $4i+3$

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## AES: Summary



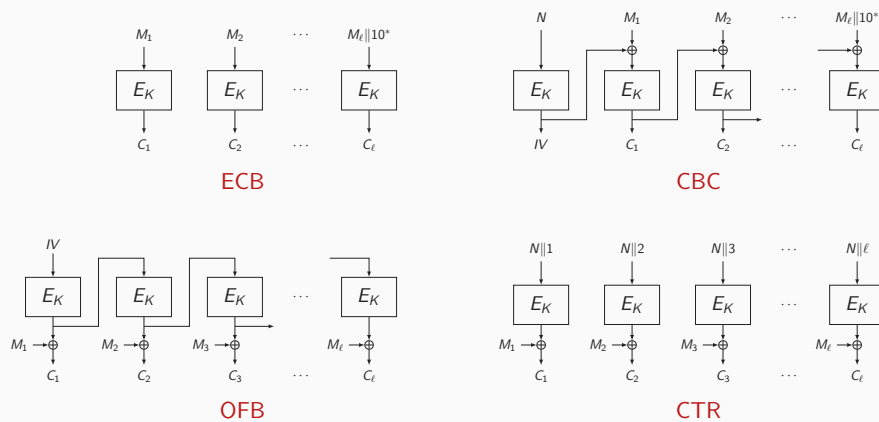
- 10 rounds for 128-bit key, 12 for 192-bit key and 14 for 256-bit key
- Last round has no MixColumns so that inverse is similar to cipher

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## Block Cipher Based Encryption Modes

## Block Cipher Encryption Modes



Open question: advantages/disadvantages?

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## Overview

	ECB	CBC	OFB	CTR
parallel encryption	✓	—	—	✓
parallel decryption	✓	✓	—	✓
inverse free	—	—	✓	✓
absence of message expansion	—	—	✓	✓
tolerant to bit flips in $C \rightarrow P$	—	—	✓	✓
graceful degradation if nonce violation	n/a	✓	—	—

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## Conclusion

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## Conclusion

### Conclusion

- Cryptographic functions: often **expected** to behave like random oracles
- Designing fixed-length primitives that behave like **random functions** is harder than one might think
- Easier to design fixed-length primitives that behave like **random permutations**
- At “Introduction to Cryptography”, you learned about some symmetric cryptographic designs

### Next Lectures

- Advanced techniques on **how** to argue security
- More involved functions such as **authenticated encryption**
- **Standardization efforts** (NIST, ISO, CFRG, PKCS)

