

# **Cryptographic Hash Functions and Key Derivation**

Applied Cryptography – Spring 2024

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### **Last Lectures**

- We learned the basics of symmetric cryptography:
  - Encryption
  - Message authentication
  - Authenticated encryption
- These can be built from (a.o.):
  - Tweakable block ciphers
  - Block ciphers
  - Permutations
- There is one more core functionality of symmetric cryptography:

**Cryptographic hashing** 

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# Outline

Hash Functions

History

Indifferentiability

Sponges

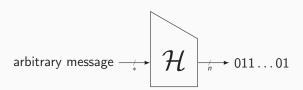
Keccak and SHA-3

**Key Derivation Functions** 

Conclusion

### **Hash Functions**

### **Hash Functions**



- Function  $\mathcal{H}$  from  $\{0,1\}^*$  to  $\{0,1\}^n$ 
  - No key input
  - Variable-length input
  - Classically fixed length output (but could be variable as well)

### **Example: Digital Signatures**

• Suppose you want to sign a message *M* with a private key *PrK*:

$$\sigma = \text{sign}(PrK, M)$$

- You can send  $(M, \sigma)$  to the receiver
- The receiver can use your public key *PK* to verify:

$$\operatorname{verify}(PK,M,\sigma)$$

- If M is huge, computing sign(PrK, M) can be costly
- One solution is to sign  $\mathcal{H}(M)$  instead:  $\sigma = \text{sign}(PrK, \mathcal{H}(M))$
- This is fine, as long as one cannot come up with two different messages M, M' that hash to the same value!
- This is called collision resistance of the hash function

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**Example: Forging Digital Signatures** 

- Sometimes, collision resistance is a too strong requirement
- Suppose you intercept a message M with a signature  $\sigma = \text{sign}(PrK, \mathcal{H}(M))$
- ullet A forgery would be a different message M' with

$$\sigma = \operatorname{sign}(PrK, \mathcal{H}(M'))$$

- $\bullet$  For this, it is *sufficient* to find a message M' that has the same hash value as M
- $\bullet$  So we require it to be hard for an attacker to find such a message for  ${\cal H}$
- This is called second preimage resistance

**Example: Password Hashing** 

- Consider a server that stores hashes of passwords:  $hash = \mathcal{H}(password, salt)$ 
  - Authentication is done by entering password and verifying hash
- Suppose an adversary gets possession of hash and salt
- It manages to pass authentication if it can find a password for the given hash and salt
- $\bullet$  So we require it to be hard for an attacker to find such a preimage for  ${\cal H}$
- This is called preimage resistance

### **Further Examples and Security Requirements**

#### Many More Applications of Hash Functions

- Destroying algebraic structure, e.g.,
  - Encryption with RSA: OAEP
  - Signing with RSA: PSS

#### Security Model?

- Expressing security model is not easy
- We have seen examples of collision, preimage, and second preimage resistance
  - These are the classical security requirements
  - Focal point of first part of lecture
- Ideally, we want that a hash function behaves like a  $\mathcal{RO}$ 
  - This is theoretically impossible
  - A security model that still solves this somewhat, is indifferentiability
  - Focal point of second part of lecture

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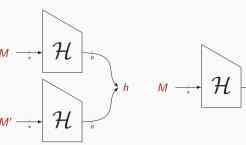
### **Classical Security Requirements**

Find  $M \neq M'$ 

Application:

2012 Flame virus

### Collision

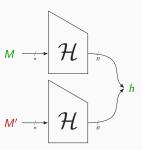


Given h, find M

Preimage

Application: passphrase protection

# Second Preimage



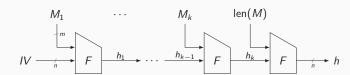
Given M, find  $M' \neq M$ 

Application: data integrity

....

# History

# Hash Functions from Compression Functions (1/2)

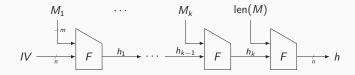


### Merkle-Damgård with Strengthening

- Damgård [Dam89] and Merkle [Mer89]
- Consecutive evaluation of compression function F
- Length encoding at the end
- Used in MD5, SHA-1/2, ...
- Not a very good scheme, as we will see

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### Hash Functions from Compression Functions (2/2)



#### Security of Merkle-Damgård

- ullet  ${\cal H}$  and  ${\cal F}$  have same security models
- We happen to have (up to some degree):

F is col/sec/pre secure  $\Longrightarrow \mathcal{H}$  is col/sec/pre secure

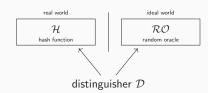
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### MD5 and NIST Standards SHA-1/2

- MD5 [Rivest, 1991]
  - Based on MD4 that was an original design
  - 128-bit digest
- SHA-1 [NIST, 1995] (after SHA-0 [NIST, 1993])
  - Inspired by MD5, designed at NSA
  - 160-bit digest
- SHA-2 series [NIST, 2001/2008]
  - Reinforced versions of SHA-1, designed at NSA
  - 6 functions with 224-, 256-, 384- and 512-bit digest
- Internally (for each of these):
  - Merkle-Damgård iteration mode
  - F based on a block cipher E in Davies-Meyer mode
  - Block cipher E: software oriented word-based design

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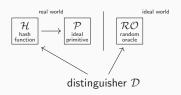
# Indistinguishability of Hash Functions (1/3)



- ullet Should behave like random oracle  $\mathcal{RO}$
- ullet But  ${\mathcal H}$  is not a random system
  - ullet Distinguisher can distinguish  ${\cal H}$  from  ${\cal RO}$  with probability 1
- Solution: introduce randomness

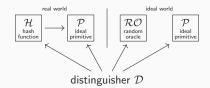
# Indifferentiability

### Indistinguishability of Hash Functions (2/3)



- $\mathcal{H}^{\mathcal{P}}$  for random primitive  $\mathcal{P}$  should behave like random oracle  $\mathcal{RO}$
- $\mathcal{P}$  can be ideal function F, block cipher E, permutation P, ...
- ullet Adversarial model still too weak, we don't want to base security on secrecy of  ${\cal P}$
- $\bullet$  Distinguisher should be able to evaluate  ${\cal P}$
- Solution: give  $\mathcal D$  access to  $\mathcal P$

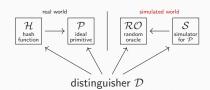
Indistinguishability of Hash Functions (3/3)



- $(\mathcal{H}^{\mathcal{P}}, \mathcal{P})$  for random primitive  $\mathcal{P}$  should behave like random oracle  $(\mathcal{RO}, \mathcal{P})$
- Adversary can still trivially distinguish:
  - Make a single construction query (to  $\mathcal{H}^{\mathcal{P}}$  or  $\mathcal{RO}$ )
  - $\bullet$  Simulate  $\mathcal{H}^{\mathcal{P}}$  using the oracle  $\mathcal{P}$
- In the real world, the responses are consistent, in the ideal world they are not
- Solution: indifferentiability

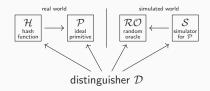
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# Indifferentiability (1/2)



- Maurer et al. [MRH04] and Coron et al. [CDMP05]
- $(\mathcal{H}^{\mathcal{P}}, \mathcal{P})$  for random primitive  $\mathcal{P}$  should behave like random oracle  $\mathcal{RO}$  paired with a simulator  $\mathcal{S}$  that maintains construction-primitive consistency
- Based on composition: distinguisher in one game is simulator in another one

# Indifferentiability (2/2)



•  $\mathcal{H}$  is indifferentiable from  $\mathcal{RO}$  if for some simulator  $\mathcal{S}$ :

 $\Delta_{\mathcal{D}}(\mathcal{H}, \mathcal{P}; \mathcal{RO}, \mathcal{S})$  is small

• Proof idea:

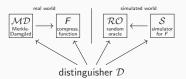
Step 1. Construct a clever simulator S

Step 2. Use game-playing or H-coefficient technique (not included in course)

- Unfortunately, proofs are often very tedious
- Indifferentiability ⇒ coll/pre/sec security

# Differentiability of Merkle-Damgård (1/2)



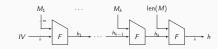


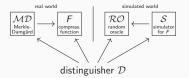
#### Merkle-Damgård is Easily Differentiable

- Goal is to prove that there exists a distinguisher that fools any simulator
- Let S be any simulator
- Denote construction oracle by  $\mathcal{H} \in \{\mathcal{MD}, \mathcal{RO}\}$  and primitive by  $\mathcal{P} \in \{F, \mathcal{S}\}$

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### Differentiability of Merkle-Damgård (2/2)





#### Merkle-Damgård is Easily Differentiable

- Distinguisher  $\mathcal{D}$  operates as follows:
  - Pick arbitrary  $M_1$
  - Query  $\mathcal{H}(M_1) = h$ ,  $\mathcal{H}(M_1 || \operatorname{len}(M_1)) = h'$ , and  $\mathcal{P}(h, \operatorname{len}(M_1 || \operatorname{len}(M_1))) = y$
  - Verify if  $h' \stackrel{?}{=} y$
- Real world: h' = y by design
- Simulated world: S must choose output y only based on knowledge of h and  $len(M_1||len(M_1))$ , but it cannot deduce  $M_1$  from these values and it will likely fail

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A Bit of History (1/3)

- 2005-2006: MD5 and SHA-1 crisis
  - Actual collisions for MD5
  - Theoretical collision attacks for SHA-1
  - Attacks on Merkle-Damgård with higher success probability than believed up to that point
- SHA-2 based on same principles, so US NIST got nervous
- 2007: NIST announces plans to have open SHA-3 competition
  - Goal: find a worthy successor for SHA-2
  - Similar process as AES competition
- 2008: NIST publishes SHA-3 requirements
  - More efficient than SHA-2
  - Output lengths: 224, 256, 384, 512 bits
  - Security: collision and (second) preimage resistance

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# **Sponges**

### A Bit of History (2/3)

- Competition started in 2008
- Three-round public process
  - round 1: 64 submissions, 51 accepted
  - round 2: 14 semi-finalists
  - round 3: 5 finalists
- All selections done by NIST but based on public evaluation by crypto community
- October 2012: NIST announces the SHA-3 winner
- The winner: Keccak
  - By Guido Bertoni, Joan Daemen, Michaël Peeters and Gilles Van Assche
  - Something completely different than MD5/SHA-1/SHA-2 ...
  - ... and completely different than Rijndael/AES
- August 2015: NIST finally publishes the SHA-3 standard: FIPS 202

Slide credit: Joan Daemen

### A Bit of History (3/3)

- Keccak is a permutation-based hash function, a sponge
- Sponge differs from Merkle-Damgård in two main ways

#### 1. Merkle-Damgård Functions Designed With Property Preservation in Mind

- F must be collision resistant for  $\mathcal{H}$  to be collision resistant
- But this means: we require F to be cryptographically strong
- This often incurs efficiency penalty
- Solution in sponge: skip reduction step and get cleaner and more efficient design

#### 2. Block Ciphers Have a Key Schedule and Data Path

- F is in turn often built from a block cipher (like Davies-Meyer)
- While data paths are reasonably well-understood, key schedules not so much
- In addition, final state of key schedule is discarded
- Block cipher is weirdly compressing function from n + k to n bits
- Solution in sponge: use (iterative) permutation from b to b bits

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# **Ancient Definition of Hashing**

arbitrarily length message  $\longrightarrow$   $\mathcal{H}$  fixed length digest

- $\bullet$  Function  $\mathcal H$  from  $\{0,1\}^*$  to  $\{0,1\}^n$ 
  - Variable-length input
  - Fixed-length output
  - ullet Mode on top of  ${\mathcal H}$  might give variable-length output

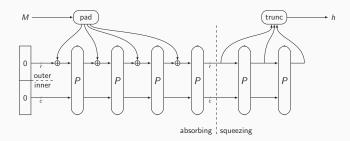
### **Modern Definition of Hashing**

arbitrarily length message, requested output size n arbitrarily length digest

- Function  $\mathcal{XOF}$  from  $\{0,1\}^*$  to  $\{0,1\}^{\infty}$ 
  - Variable-length input
  - Variable-length output
  - User specifies output length *n* when calling the function

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### Sponges [BDPV07]



- P is a b-bit permutation, with b = r + c
  - *r* is the rate
  - *c* is the capacity (security parameter)

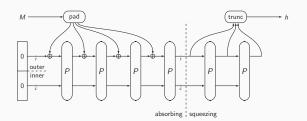
### Indifferentiability of the Sponge [BDPV08]

- Assume that *P* is a random permutation
- Sponge indifferentiable from RO:  $\Delta_{\mathcal{D}}(\mathsf{Sponge}, P; \mathcal{RO}, \mathcal{S}) \leq N^2/2^{c+1}$ 
  - *N* is number of permutation evaluations that attacker can make
  - Collisions in the inner part break security of the sponge
- Security of sponge truncated to *n* bits against classical attacks:

Collision resistance:  $N^2/2^{c+1} + N^2/2^{n+1}$ Preimage resistance:  $N^2/2^{c+1} + N/2^n$ Second preimage resistance:  $N^2/2^{c+1} + N/2^n$  $\uparrow$  distance from sponge to RO classical attacks against RO (N is # primitive evaluations) (N is # oracle evaluations)

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Sponge Recap

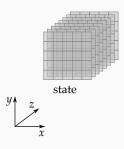


- Relevant parameters:
  - c: capacity typically twice the security strength
  - r: rate amount of bits absorbed/squeezed per permutation
  - **b**: width of permutation -b = r + c
  - n: amount of output bits
- Security strength (for random sponge):
  - collision resistance:  $\min(c/2, n/2)$
  - first and second preimage resistance:  $\min(c/2, n)$

Keccak and SHA-3

### Keccak and Keccak-f

- Keccak is a sponge function using permutation Keccak-f
- Keccak-f operates on 3-dimensional state:
  - $5 \times 5$  lanes, each containing  $2^{\ell}$  bits (1, 2, 4, 8, 16, 32 or 64)
  - $(5 \times 5)$ -bit *slices*,  $2^{\ell}$  of them

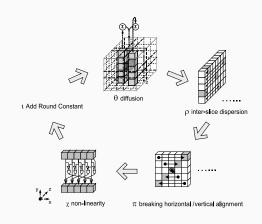


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# Keccak[r, c]

- Keccak[r, c] is a sponge function using permutation Keccak-f
  - 7 permutations: b ∈ {25, 50, 100, 200, 400, 800, 1600} from toy over lightweight to high-speed
- SHA-3 instance SHAKE128: r = 1344 and c = 256
  - Permutation width: 1600
  - Security strength: 128
- Lightweight instance: r = 40 and c = 160
  - Permutation width: 200
  - Security strength: 80 (what SHA-1 should have offered)
- Security status:
  - Best attack on hash function covers 6-round version
  - # rounds ranges from 18 for b = 200 to 24 for b = 1600

### **Keccak-f: Steps of the Round Function**



bit-oriented highly-symmetric wide-trail design

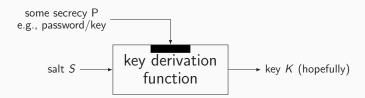
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# **Key Derivation Functions**

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### **Key Derivation Functions**



- Derive secret key from a password, passphrase, ...
- Key stretching, strengthening, ...
- Key diversification
- ...

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### Intermezzo: HMAC Message Authentication Code

#### How to Build Hash-Based PRF?

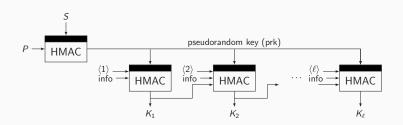
- Ideally, one does  $PRF(K, M) = \mathcal{H}(K \parallel M)$
- For the sponge, that works (why?) (more about this next week)
- For ancient hash functions, like SHA-1 and SHA-2, this does not work (why?)
- Still, many people use these functions, and, sponges are "quite" recent
- People searched for "inventive" ways to turn a hash function into a PRF

### HMAC (Bellare et al. [BCK96])

- Let opad be a constant string consisting of repetition of 0x5c ipad be a constant string consisting of repetition of 0x36
- $\mathsf{HMAC}(K \parallel M) = \mathcal{H}(K \oplus \mathsf{opad} \parallel \mathcal{H}(K \oplus \mathsf{ipad} \parallel M))$
- Band-aid cryptography, not the most beautiful construction, but very popular!

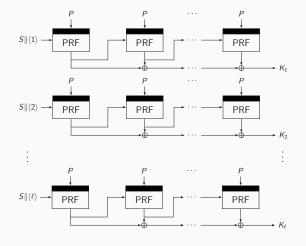
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# **HKDF** Key Derivation Function



- RFC 5869 (2010)
- "info" is optional material, e.g., to bind application to use case

# **PBKDF2 Key Derivation Function**



- RFC 2898 (2000)
- Standardized in PKCS #5 v2.0
- Popular PRF choices:
  - HMAC-SHA-1 (in WPA2)
  - HMAC-SHA-256, HMAC-SHA-512, HMAC-RIPEMD-160 (in VeraCrypt)

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# **Conclusion**

### Next Week

- Sponge construction solved the problems that were present in Merkle-Damgård
- No band-aid-type cryptography (like HMAC) needed
  - $PRF(K, M) = sponge(K \parallel M)$  would have done the job
- Sponges can also be used for
  - Message authentication
  - Keystream generation
  - Authenticated encryption
  - ...
- This will be the topic of next week!