

Authenticated Encryption

Applied Cryptography - Spring 2024

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Last Lectures

Encryption

Security goal: confidentialityExamples: ECB, counter mode

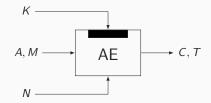
Authentication

Security goal: data integrityExamples: CBC-MAC, Poly1305

Authenticated encryption combines both

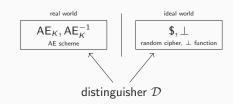
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Authenticated Encryption



- Using key *K*:
 - ullet Message M is encrypted in ciphertext C
 - ullet Associated data A and message M are authenticated using T
- Nonce *N* randomizes the scheme
- ullet Authenticated decryption discloses M if and only if T is correct

Authenticated Encryption Security



- ullet Two oracles: $(\mathsf{AE}_{\mathcal{K}},\mathsf{AE}_{\mathcal{K}}^{-1})$ (for secret key $\mathcal{K})$ and $(\$,\bot)$ (secret)
- $\bullet \ \, \text{Distinguisher} \,\, \mathcal{D} \,\, \text{has query access to one of these} \\ \to \, \text{unique nonce for each encryption query, and no trivial queries}$
- ullet ${\cal D}$ tries to determine which oracle it communicates with
- Its advantage is defined as:

$$\mathsf{Adv}^{\mathrm{ae}}_{\mathsf{AE}}(\mathcal{D}) = \Delta_{\mathcal{D}}\left(\mathsf{AE}_{\mathcal{K}}, \mathsf{AE}_{\mathcal{K}}^{-1} \; ; \; \$, \bot\right) = \left|\mathsf{Pr}\left(\mathcal{D}^{\mathsf{AE}_{\mathcal{K}}, \mathsf{AE}_{\mathcal{K}}^{-1}} = 1\right) - \mathsf{Pr}\left(\mathcal{D}^{\$, \bot} = 1\right)\right|$$

• $\mathsf{Adv}^{\mathrm{ae}}_{\mathsf{AE}}(q_e,q_v)$: supremal advantage over any $\mathcal D$ with query complexity q_e,q_v

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Outline

Authenticated Encryption Design

Simple Example

Example: GCM Authenticated Encryption

Role of the Nonce, and GCM-SIV Authenticated Encryption

Tweakable Block Ciphers

Example: OCB Authenticated Encryption

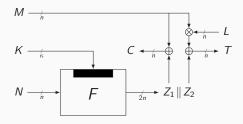
Building Tweakable Block Ciphers

Application to Authenticated Encryption

Authenticated Encryption Design

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Simple Example



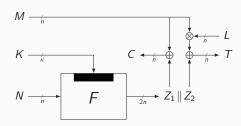
Encryption

- Input: (*N*, *M*)
- Compute keystream $Z_1 \parallel Z_2$
- Output:
 - $C = Z_1 \oplus M$
 - $T = Z_2 \oplus (M \otimes L)$

Decryption

- Input: (*N*, *C*, *T*)
- Compute keystream $Z_1 \parallel Z_2$
- Compute $M = Z_1 \oplus C$
- Compute $T^* = Z_2 \oplus (M \otimes L)$
- Output: $\begin{cases} M \text{ if } T = T^* \\ \bot \text{ otherwise} \end{cases}$

Simple Example: Confidentiality



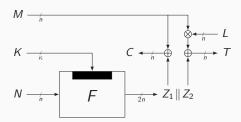
Confidentiality

- Consider new query (N, M)
- N should be fresh
- Random Z₁ || Z₂
 (if F is a good stream cipher)

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• Random (*C*, *T*)

Simple Example: Authenticity



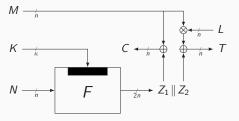
Authenticity

- Consider forgery attempt (N, C, T)
- N could be repeated nonce
- N fresh:
 - T^* is random, unpredictable
- *N* repeated:
 - Let (N, M', C', T') be old
 - $M = Z_1 \oplus C = M' \oplus C' \oplus C$
 - $T^* = Z_2 \oplus (M \otimes L)$ = $T' \oplus ((M \oplus M') \otimes L)$ = $T' \oplus ((C \oplus C') \otimes L)$
 - Forgery successful if $T \oplus T' = (C \oplus C') \otimes L$
 - Requires guessing *L*

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Simple Example: How to Support Variable Length?



Suppose *M* is Variable-Length?

- Output *F* should be twice as large?
- ⊗ over arbitrary # of bits?
- |M| + n bits turns out to suffice:
 - Use streaming mode for F
 - Replace $M \otimes L$ by $H_L(M)$

What about AD A?

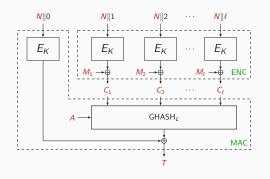
- ullet Can be processed by H_L as well:
 - $H_L(A, M)$

This is almost exactly GCM!

- Encrypt-then-MAC: $H_L(A, C)$
- Take CTR mode for F

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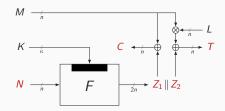
GCM for 96-bit Nonce N

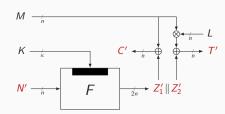


- McGrew and Viega (2004)
- EtM design
- Widely used (TLS!)
- Patent-free
- Parallelizable
- Evaluates E only (no E^{-1})
- Provably secure (if *E* is PRP)
- Very efficient in HW
- Reasonably efficient in SW
- Note: equally popular is ChaCha20-Poly1305!

What happens if nonce is re-used?

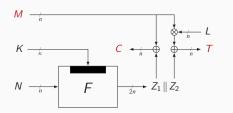
Nonce = "Number Used Once"

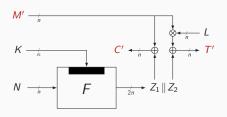




- Nonces N and N' should be distinct for two different evaluations
- What happens if a nonce would be repeated?

What if a Nonce is Repeated?





- Consider evaluations for identical nonce but distinct M, M'
- Key streams will be identical
- Ciphertexts satisfy $C \oplus C' = M \oplus M' \longrightarrow$ attacker knew C' in advance
- Tags satisfy $T \oplus T' = M \otimes L \oplus M' \otimes L = (M \oplus M') \otimes L \longrightarrow \text{key recovery}$

Guaranteeing Uniqueness of Nonce



- Issues with nonce generation:
 - Counter needs storage
 - Need synchronization or transmission
 - Efficiency cost
 - Laziness or mistake of implementor
- Sometimes, attacker can use same nonce multiple times

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Nonce-Reuse in Practice

Nonce-Disrespecting Adversaries: Practical Forgery Attacks on GCM in TLS

Böck et al., USENIX WOOT 2016

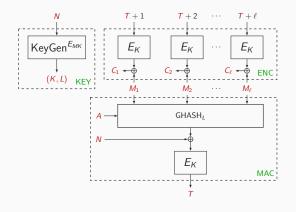
- GCM is widely used authenticated encryption scheme
- Used in TLS ("https")
- Internet-wide scan for GCM implementations
- 184 devices with duplicated nonces
 - VISA, Polish bank, German stock exchange, ...
- ≈ 70.000 devices with random nonce

Resistance Against Nonce-Reuse

Intuition

- All input should be cryptographically transformed
- Any change in $(N, A, M) \longrightarrow \text{unpredictable } (C, T)$
- Often comes at a price:
 - Efficiency
 - Security
 - Parallelizability
 - ...

GCM-SIV

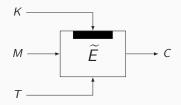


- Gueron and Lindell (2015)
- MtE design
- Ongoing standardization (IETF RFC)
- Patent-free
- Inherits GCM features
- Secure against nonce-reuse
- Proof: Iwata and Seurin (2017)

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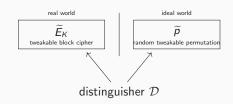
Tweakable Block Ciphers

Tweakable Block Ciphers



- ullet Using key K, message M is bijectively transformed to ciphertext C
- Tweak T: flexibility to the cipher
- Each tweak gives different permutation
- A good tweakable block cipher should behave like a random tweakable permutation

Tweakable Block Cipher Security

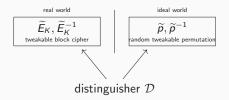


- Two oracles: \widetilde{E}_K (for secret key K) and \widetilde{p} (secret)
- ullet Distinguisher ${\mathcal D}$ has query access to one of these
- ullet ${\cal D}$ tries to determine which oracle it communicates with
- Its advantage is defined as:

$$\text{Adv}_{\widetilde{E}}^{\mathrm{tprp}}(\mathcal{D}) = \Delta_{\mathcal{D}}\left(\widetilde{\mathcal{E}}_{\mathsf{K}}\;;\;\widetilde{\rho}\right) = \left|\text{Pr}\left(\mathcal{D}^{\widetilde{\mathcal{E}}_{\mathsf{K}}} = 1\right) - \text{Pr}\left(\mathcal{D}^{\widetilde{\rho}} = 1\right)\right|$$

 \bullet $\mathbf{Adv}^{\mathrm{tprp}}_{\widetilde{E}}(q)\!:$ supremal advantage over any $\mathcal D$ with query complexity q

Strong Tweakable Block Cipher Security



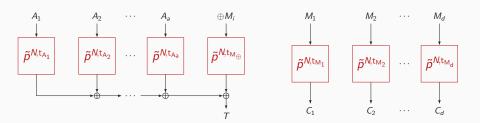
- Two oracles: $(\widetilde{E}_K, \widetilde{E}_K^{-1})$ (for secret key K) and $(\widetilde{p}, \widetilde{p}^{-1})$ (secret)
- ullet Distinguisher ${\mathcal D}$ has query access to one of these
- ullet ${\cal D}$ tries to determine which oracle it communicates with
- Its advantage is defined as:

$$\mathsf{Adv}^{\mathrm{stprp}}_{\widetilde{\mathcal{E}}}(\mathcal{D}) = \Delta_{\mathcal{D}}\left(\widetilde{\mathcal{E}}_{\mathcal{K}}, \widetilde{\mathcal{E}}_{\mathcal{K}}^{-1} \; ; \; \widetilde{\rho}, \widetilde{\rho}^{-1}\right) = \left|\mathsf{Pr}\left(\mathcal{D}^{\widetilde{\mathcal{E}}_{\mathcal{K}}, \widetilde{\mathcal{E}}_{\mathcal{K}}^{-1}} = 1\right) - \mathsf{Pr}\left(\mathcal{D}^{\widetilde{\rho}, \widetilde{\rho}^{-1}} = 1\right)\right|$$

ullet Adv $^{
m stprp}_{\widetilde{E}}(q)$: supremal advantage over any ${\mathcal D}$ with query complexity q

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Example Use in Θ CB (1/2)

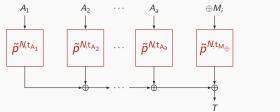


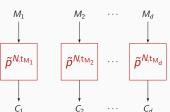
- Generalized OCB by Rogaway et al. [RBBK01,Rog04,KR11]
- Internally based on tweakable block cipher \widetilde{E}
 - Tweak (N, tweak) is unique for every evaluation
 - Different blocks always transformed under different tweak
- Triangle inequality:

$$\mathsf{Adv}^{\mathrm{ae}}_{\mathsf{AE}[\widetilde{\mathcal{E}}_k]}(q) \leq \mathsf{Adv}^{\mathrm{ae}}_{\mathsf{AE}[\widetilde{\pmb{
ho}}]}(q) + \mathsf{Adv}^{\mathrm{stprp}}_{\widetilde{\mathcal{E}}}(q)$$

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Example Use in Θ CB (2/2)





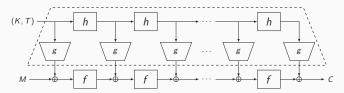
- Nonce uniqueness ⇒ tweak uniqueness
- Encryption calls behave like random functions: $AE[\tilde{p}] = \$$
- Authentication almost behaves like random function (but nonces may repeat)
 - Tag forged with probability at most $1/(2^n 1)$

$$\mathsf{Adv}^{\mathrm{ae}}_{\mathsf{AE}[\widetilde{p}]}(q) \leq 1/(2^n-1)$$

Building Tweakable Block Ciphers

TWEAKEY Framework

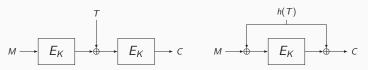
• TWEAKEY by Jean et al. [JNP14]:



- *f*: round function
- g: subkey computation
- h: transformation of (K, T)
- Security measured through cryptanalysis
- Our focus: modular design

Original Constructions

• LRW₁ and LRW₂ by Liskov et al. [LRW02]:

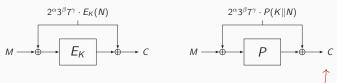


- h is XOR-universal hash
 - E.g., $h(T) = h \otimes T$ for *n*-bit "key" h

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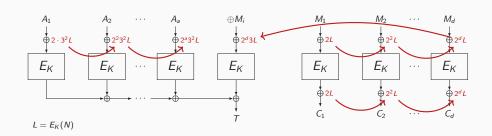
Powering-Up Masking (XEX)

• XEX by Rogaway [Rog04]:



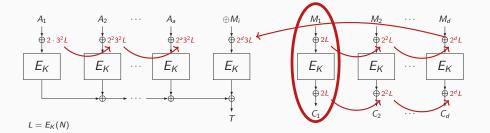
- $(\alpha, \beta, \gamma, N)$ is tweak (simplified)
- Used in OCB2 and ± 14 CAESAR candidates
- Permutation-based variants in Minalpher and Prøst (generalized by Cogliati et al. [CLS15])
- STPRP up to $2^{n/2}$ queries provided masks are all distinct

Powering-Up Masking in OCB2-Like Construction



- Update of mask:
 - Shift and conditional XOR
- Variable time computation
- Expensive on certain platforms

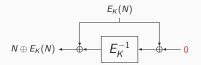
Intermezzo: Why Start at $2 \cdot E_K(N)$? (1/2)



- Update of mask:
 - Shift and conditional XOR
- Variable time computation
- Expensive on certain platforms

Intermezzo: Why Start at $2 \cdot E_K(N)$? (2/2)

• Suppose we would mask with $E_K(N)$:

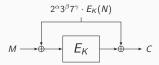


- Distinguisher can make inverse queries
- Putting C = 0 gives $M = N \oplus E_K(N)$
- ullet Distinguisher knows N so learns "subkey" $E_K(N)$

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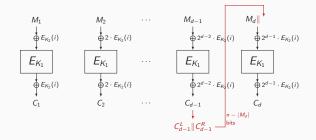
Powering-Up Masking (XEX): Setting Admissible Domain

• XEX by Rogaway [Rog04]:



- $(\alpha, \beta, \gamma, N)$ is tweak (simplified)
- \bullet (α,β,γ) must be from a certain admissible domain
- We need that $2^{\alpha}3^{\beta}7^{\gamma} \neq 2^{\alpha'}3^{\beta'}7^{\gamma'}$ for any $(\alpha,\beta,\gamma) \neq (\alpha',\beta',\gamma')$
 - $\bullet\,$ Otherwise, attacker can obviously break the scheme
- Typical: $\alpha \in \{1, \dots, large\}$, and $\beta, \gamma \in \{0, 1, 2\}$

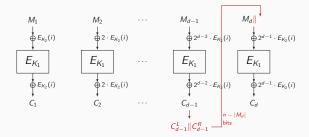
Intermezzo: XTS Disk Encryption Mode (1/2)



- $\bullet \ \mathsf{XTS} = \mathsf{XEX}\text{-based Tweaked-codebook mode with ciphertext Stealing}$
 - Electronic CodeBook (ECB) . . .
 - ...with XEX as primitive (*i* is sector, *j* is block within sector) ...
 - ...and doing a fancy thing called "ciphertext stealing"
- One sector consists of 512 bytes, or 32 blocks

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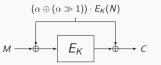
Intermezzo: XTS Disk Encryption Mode (2/2)



- Features:
 - Tweak unique for every block, changing tweak is efficient
 - Incrementality: change in one (or few) blocks
- XTS-AES is standardized as IEEE P1619
- Supported by myriad disk encryption tools: BestCrypt, dm-crypt, TrueCrypt, VeraCrypt, DiskCryptor, FileVault 2 (MacOS), BitLocker (Windows 10)

Gray Code Masking

• OCB1 and OCB3 use Gray Codes:

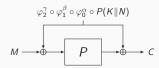


- (α, N) is tweak
- Updating: $G(\alpha) = G(\alpha 1) \oplus 2^{\mathsf{ntz}(\alpha)}$
 - Single XOR
 - Logarithmic amount of field doublings (precomputed)
- More efficient than powering-up [KR11]

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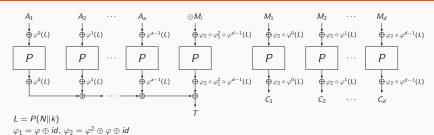
Masked Even-Mansour (MEM)

• MEM by Granger et al. [GJMN16]:



- φ_i are fixed LFSRs, $(\alpha, \beta, \gamma, N)$ is tweak (simplified)
- Combines advantages of:
 - Powering-up masking
 - Word-based LFSRs
- Simpler, constant-time (by default), more efficient

Application to AE: OPP



- Offset Public Permutation (OPP)
- Generalization of OCB3:
 - Permutation-based
 - More efficient MEM masking
- Security against nonce-respecting adversaries

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NIST Competition

- US NIST recently currently ran competition for lightweight cryptography
- Round 1: 56 submissions in February 2019
- Round 2: 32 submissions in August 2019
- Final round: 10 submissions in March 2021
- Winner Ascon announced February 2023
- Some submissions were sponge-based (like Ascon)
- Some submissions used techniques from this lecture