

# Recursive Algorithms and Recurrence Relations

## Selection Sort (Recursive)

**Input** : Array  $A$  of  $n$  elements.

**Result** : Permutation of  $A$  such that  
 $A[1] \leq A[2] \leq A[3] \leq \dots \leq A[n]$ .

```
procedure SelectionSort( $A[ ], n$ )  
1  if ( $n \leq 1$ ) then  
2    |   return;  
3  else  
4    |   for  $i \leftarrow 1$  to  $n - 1$  do  
5      |   if ( $A[i] > A[n]$ ) then Swap( $A[i], A[n]$ ) ;  
6      |   end  
7      SelectionSort( $A[ ], n - 1$ );  
8  end
```

# Recurrence Relations

Methods for solving recurrence relations:

- Expansion into a series;
- Induction (called the substitution method by the text);
- Recursion tree;
- Characteristic polynomial (not covered in this course);
- Master's Theorem (not covered in this course).

## Select Max (Recursive)

**Input** : Array  $A$  of  $n$  integers.

**Output** : Maximum of  $A[1], A[2], \dots, A[n]$ .

```
function SelectMax( $A[ ], n$ )  
1  if ( $n = 1$ ) then  
2    |   return ( $A[1]$ );  
3  else  
4    |   for  $i = 1$  to  $\lfloor n/2 \rfloor$  do  
5      |    $A[i] \leftarrow \max(A[i], A[n - i + 1])$ ;  
6    |   end  
7    |    $x \leftarrow \text{SelectMax}(A[ ], \lceil n/2 \rceil)$ ;  
8    |   return ( $x$ );  
9  end
```

## Locate in Sorted Array

Given a sorted array

$$A[ ] = [2, 3, 7, 9, 14, 17, 32, 35, 36, 38, 51],$$

and a key  $K$ ,

determine if key  $K$  is in array  $A$  and report its location.

## Binary Search: Recursive Version

**Output :**  $p$  such that  $(A[p] = K \text{ and } i \leq p \leq j)$  or  $-1$  if there is no such  $p$ .

**function** BinarySearchRec( $A[ ], i, j, K$ )

```

1 if ( $i \leq j$ ) then
2   |    $\text{midp} \leftarrow \lfloor (i + j) / 2 \rfloor$ ;
3   |   if ( $K = A[\text{midp}]$ ) then  $\text{index} \leftarrow \text{midp}$ ;
4   |   else if ( $K < A[\text{midp}]$ ) then
5   |     |    $\text{index} \leftarrow \text{BinarySearchRec}(A, i, \text{midp} - 1, K)$ ;
6   |   else   /*  $K > A[\text{midp}]$  */
7   |     |    $\text{index} \leftarrow \text{BinarySearchRec}(A, \text{midp} + 1, j, K)$ ;
8   |   return ( $\text{index}$ );
9 else
10  |   return ( $-1$ );
11 end
```

# Fibonacci Numbers

Definition:

$$f(0) = 0;$$

$$f(1) = 1;$$

$$f(n) = f(n-1) + f(n-2) \text{ for } n > 1.$$

Fibonacci numbers: 0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, ...

# Fibonacci Numbers

Definition:

$$f(0) = 0;$$

$$f(1) = 1;$$

$$f(n) = f(n - 1) + f(n - 2) \text{ for } n > 1.$$

**Output :** The  $n$ 'th Fibonacci number,  $f(n)$ .

**function** fib( $n$ )

1 **if** ( $n = 0$ ) **then return** (0) ;

2 **if** ( $n = 1$ ) **then return** (1) ;

3  $f1 \leftarrow \text{fib}(n - 1);$

4  $f2 \leftarrow \text{fib}(n - 2);$

5 **return** ( $f1 + f2$ );



## Example

```
function Func1( $n$ )  
1 if ( $n = 0$ ) then return (0) ;  
2 if ( $n = 1$ ) then return (1) ;  
3  $x \leftarrow 0$ ;  
4 for  $i \leftarrow 1$  to  $n - 1$  do  
5   |  $x \leftarrow x + \text{Func1}(i)$ ;  
6 end  
7 return ( $x$ );
```

## Merge Sort

**Input** : Array  $A$  of at least  $j$  elements.

Integers  $i$  and  $j$ .

**Result** : A permutation of the  $i$  through  $j$  elements of  $A$   
such that  $A[i] \leq A[i + 1] \leq A[i + 2] \leq \dots \leq A[j]$ .

**procedure** MergeSort( $A[ ], i, j$ )

1 **if** ( $i < j$ ) **then**

2      $\text{midp} \leftarrow \lfloor (i + j) / 2 \rfloor$ ;

3     MergeSort( $A[ ], i, \text{midp}$ );

4     MergeSort( $A[ ], \text{midp} + 1, j$ );

*/\* Merge  $A[i, i + 1, \dots, \text{midp}]$  with  $A[\text{midp} + 1, \dots, j]$  \*/*

5     Merge( $A[ ], i, \text{midp}, j$ );

6 **end**

## Copy Array

**Input** : Array  $A$  of at least  $j$  elements.

Integers  $i$  and  $j$ .

**Output** : Array  $B$  containing  $A[i, i+1, \dots, j]$  followed by  $\infty$ .

**procedure** Copy( $A[ ], i, j, B[ ]$ )

1  $p \leftarrow 1;$

2 **for**  $k \leftarrow i$  **to**  $j$  **do**

3      $B[p] \leftarrow A[k];$

4      $p \leftarrow p + 1;$

5 **end**

*/\* Add  $\infty$  at the end of  $B[ ]$*

*\*/*

6  $B[p] \leftarrow \infty;$

## Merge

```
procedure Merge(A[ ],first,midp, last)
1  Copy(A[ ],first,midp, L[]);
2  Copy(A[ ],midp + 1,last, R[]);
3   $i \leftarrow 1$ ;
4   $j \leftarrow 1$ ;
5  for  $k \leftarrow \text{first}$  to last do
6      if ( $L[i] < R[j]$ ) then
7           $A[k] \leftarrow L[i]$ ;
8           $i \leftarrow i + 1$ ;
9      else
10          $A[k] \leftarrow R[j]$ ;
11          $j \leftarrow j + 1$ ;
12     end
13 end
```

## Merge Sort

**Input** : Array  $A$  of at least  $j$  elements.

Integers  $i$  and  $j$ .

**Result** : A permutation of the  $i$  through  $j$  elements of  $A$   
such that  $A[i] \leq A[i + 1] \leq A[i + 2] \leq \dots \leq A[j]$ .

**procedure** MergeSort( $A[ ], i, j$ )

```

1 if ( $i < j$ ) then
2   | midp  $\leftarrow \lfloor (i + j) / 2 \rfloor$ ;
3   | MergeSort( $A[ ], i, \text{midp}$ );
4   | MergeSort( $A[ ], \text{midp} + 1, j$ );
   | /* Merge  $A[i, i + 1, \dots, \text{midp}]$  with  $A[\text{midp} + 1, \dots, j]$  */
5   | Merge( $A[ ], i, \text{midp}, j$ );
6 end
```

# Recurrence Relations

Methods for solving recurrence relations:

- Expansion into a series;
- Induction (called the substitution method by the text);
- Recursion tree;
- Characteristic polynomial (not covered in this course);
- Master's Theorem (not covered in this course).

## Merge Sort: Version 2: Split into 3 Parts

**Result** : A permutation of the  $i$  through  $j$  elements of  $A$  such that  
 $A[i] \leq A[i+1] \leq A[i+2] \leq \dots \leq A[j]$ .

```

procedure MergeSortII( $A[ ], i, j$ )
1 if ( $i < j$ ) then
2    $n \leftarrow j - i + 1$ ;
3    $m1 \leftarrow i + \lfloor n/3 \rfloor$ ;
4    $m2 \leftarrow i + \lfloor 2n/3 \rfloor$ ;
5   MergeSortII( $A[ ], i, m1$ );
6   MergeSortII( $A[ ], m1 + 1, m2$ );
7   MergeSortII( $A[ ], m2 + 1, j$ );
   /* Merge  $A[i, \dots, m1]$  and  $A[m1+1, \dots, m2]$  */
8   Merge( $A[ ], i, m1, m2$ );
   /* Merge  $A[i, \dots, m2]$  and  $A[m2+1, \dots, j]$  */
9   Merge( $A[ ], i, m2, j$ );
10 end

```

## Merge Sort: Version 3: Imbalanced Split

**Result** : A permutation of the  $i$  through  $j$  elements of  $A$  such that  $A[i] \leq A[i+1] \leq A[i+2] \leq \dots \leq A[j]$ .

```

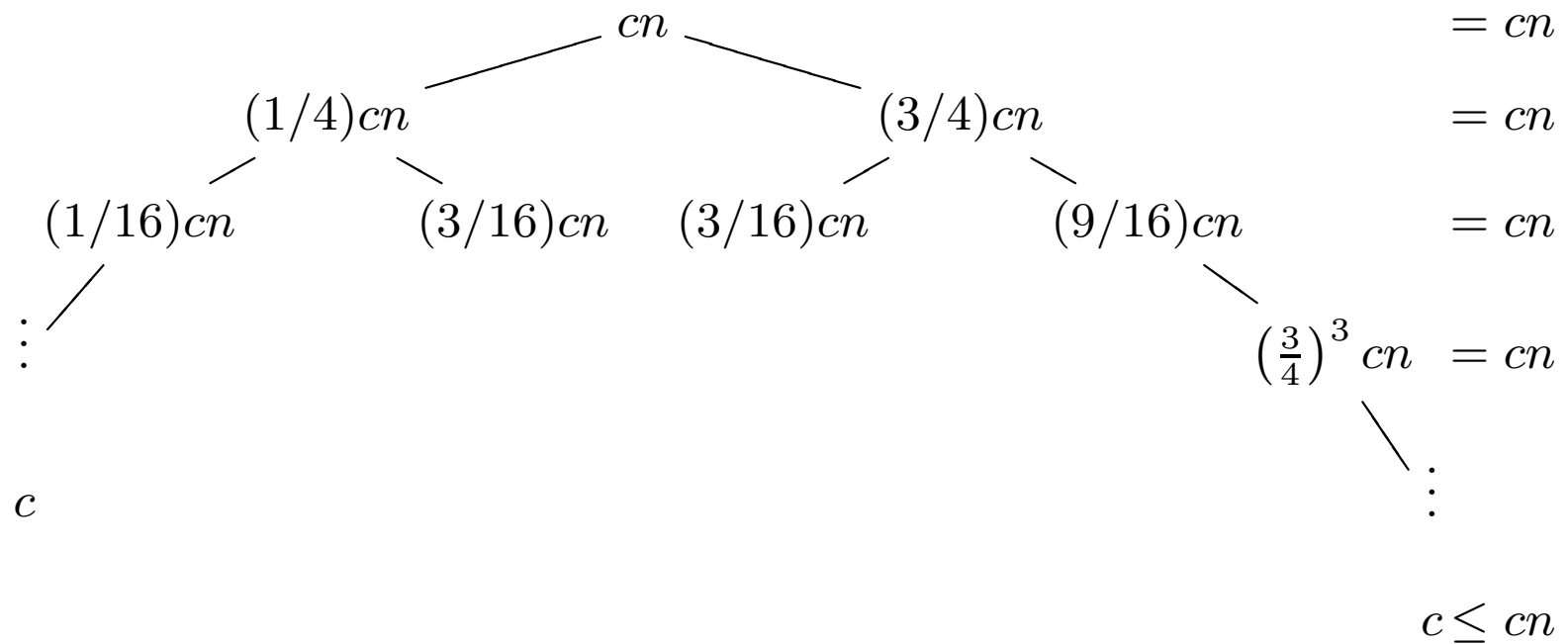
procedure MergeSortIII( $A[ ], i, j$ )
1 if ( $i < j$ ) then
2    $n \leftarrow j - i + 1$ ;
3    $m1 \leftarrow i + \lfloor n/4 \rfloor$ ;
4   MergeSortIII( $A[ ], i, m1$ );
5   MergeSortIII( $A[ ], m1, j$ );
   /* Merge  $A[i, \dots, m1]$  and  $A[m1+1, \dots, j]$  */
6   Merge( $A[ ], i, m1, j$ );
7 end

```



# Solving $T(n) = cn + T(n/4) + T(3n/4)$ .

Recursion tree:



Tree height:

Length of shortest path from root to leaf:

Running time:

# Chip and Conquer

$$T(n) = T(n - a) + f(n)$$

$T(n) = T(n - 1) + c,$	$T(n) \in \Theta(n);$
$T(n) = T(n - 1) + cn,$	$T(n) \in \Theta(n^2);$
$T(n) = T(n - 1) + cn^2,$	$T(n) \in \Theta(n^3).$

## Divide and Conquer

$$T(n) = aT(n/b) + f(n), \quad (a \geq 1 \text{ and } b > 1).$$

$$T(n) = T(n/2) + c,$$

$$T(n) \in \Theta(\log_2(n));$$

$$T(n) = T(n/3) + c,$$

$$T(n) \in \Theta(\log_2(n));$$

$$T(n) = T(n/2) + cn,$$

$$T(n) \in \Theta(n);$$

$$T(n) = T(n/3) + cn,$$

$$T(n) \in \Theta(n);$$

$$T(n) = 2T(n/2) + cn,$$

$$T(n) \in \Theta(n \log_2(n));$$

$$T(n) = 3T(n/3) + cn,$$

$$T(n) \in \Theta(n \log_2(n)).$$

## More Divide and Conquer

$$T(n) = aT(n/b) + f(n), \quad (a \geq 1 \text{ and } b > 1).$$

$$T(n) = 3T(n/2) + cn,$$

$$T(n) \in \Theta(n^{\log_2(3)});$$

$$T(n) = 4T(n/2) + cn,$$

$$T(n) \in \Theta(n^{\log_2(4)}) = \Theta(n^2);$$

$$T(n) = 2T(n/2) + cn^2,$$

$$T(n) \in \Theta(n^2);$$

$$T(n) = 4T(n/2) + cn^2,$$

$$T(n) \in \Theta(n^2 \log(n)).$$

## Asymmetric Recurrence Relations

$$T(n) = T(n/3) + T(2n/3) + cn,$$

$$T(n) \in \Theta(n \log_2(n));$$

$$T(n) = T(n/4) + T(3n/4) + cn,$$

$$T(n) \in \Theta(n \log_2(n));$$

$$T(n) = T(n/5) + T(4n/5) + cn,$$

$$T(n) \in \Theta(n \log_2(n));$$

$$T(n) = T(2n/5) + T(3n/5) + cn,$$

$$T(n) \in \Theta(n \log_2(n));$$

$$T(n) = T(n/6) + T(2n/6) + T(3n/6) + cn,$$

$$T(n) \in \Theta(n \log_2(n)).$$

$$T(n) = T(n/4) + T(2n/4) + cn,$$

$$T(n) \in \Theta(n);$$

$$T(n) = T(n/5) + T(2n/5) + cn,$$

$$T(n) \in \Theta(n);$$

$$T(n) = T(n/5) + T(3n/5) + cn,$$

$$T(n) \in \Theta(n);$$

$$T(n) = T(n/6) + T(4n/6) + cn,$$

$$T(n) \in \Theta(n).$$

## Exponential Functions

Assume  $f(n) \geq 0$  and  $T(1) > 0$ .

$$T(n) = 2T(n-1) + f(n), \quad T(n) \in \Omega(2^n);$$

$$T(n) = 3T(n-1) + f(n), \quad T(n) \in \Omega(3^n);$$

$$T(n) = 4T(n-1) + f(n), \quad T(n) \in \Omega(4^n);$$

$$T(n) = 2T(n-2) + f(n), \quad T(n) \in \Omega(2^{n/2});$$

$$T(n) = 2T(n-3) + f(n), \quad T(n) \in \Omega(2^{n/3});$$

$$T(n) = T(n-1) + T(n-2) + f(n), \quad T(n) \in \Omega(2^{n/2});$$

$$T(n) = T(n-1) + T(n-2) + T(n-3) + f(n),$$

$$T(n) \in \Omega(2^{n/2});$$

$$T(n) = f(n) + \sum_{i=1}^{n-1} T(i), \quad T(n) \in \Omega(2^{n/2}).$$