

# Lecture 2: Analysis of Algorithms

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# Algorithms

## Definition

An **algorithm** is a well-defined computational procedure that takes **input** and produces **output**.

# Algorithms

## Note

Algorithms are ***required to halt*** by definition!

## Code

```
def insertion_sort(xs):           1
    n = len(xs)                   2

    for i in range(1, n):         3
        j = i                     4
        while j > 0 and xs[j-1] > xs[j]: 5
            swap(xs, j, j-1)      6
            j -= 1                7
                                   8
```

Figure. A Python-compatible pseudocode for **InsertionSort**.

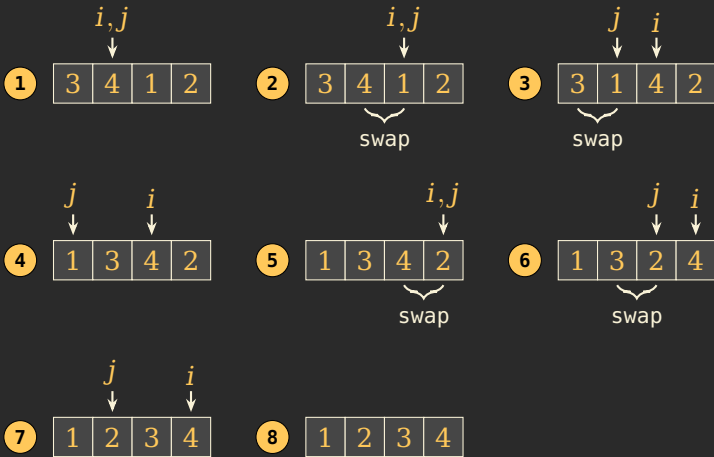


Figure. A worked example of **Insertion Sort** applied to the **Sorting** instance  $\langle 3, 4, 1, 2 \rangle$ .

# **The RAM and (word-) RAM Models**

# The Random-access machine (**RAM**) model

- ▲ Memory is an unbounded<sup>1</sup> array, where each cell holds an integer. That is,  $\forall p \in \mathbb{N}_0, M[p] \in \mathbb{Z}$ .

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  - ▲ Store (e.g.  $M[p] \leftarrow r_1, M[p + 1] \leftarrow M[p] + 1$ )

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  - ▲ Logic (e.g.  $r_1 = r_2, r_1 \geq r_2$ )
  - ▲ Control Flow (e.g. **CALL/RET, JMP**)
- ▲ Instructions are run sequentially

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- ▲ Machine registers and memory are comprised of  $w$ -bit words, which we can think of as representing unsigned integers  $[0, \dots, 2^w - 1]$ , signed values  $[-2^{w-1}, 2^{w-1} - 1]$ , or any  $2^w$  values of our liking.

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- ▲ Usually fine to analyze in the (non-word) RAM model.

# Complexity in the (word-) RAM model

- ▲ ***Time-complexity*** is the number of executed instructions
- ▲ ***Space-complexity*** is the amount of memory used by the algorithm
- ▲ Usually will analyze in terms of a parameter, e.g. the input size

# Problem 1

## Code

```
def f1(xs):                                1
    n = len(xs)                            2
                                           3
    x = 0                                  4
    for i in range(n):                    5
        x += xs[i]                        6
        x -= 1                            7
                                           8
    return x                              9
```



## Problem 2

## Code

```
def f2(xs): 1
    n = len(xs) 2
    3
    x = 0 4
    for i in range(n): 5
        for j in range(n): 6
            x += i - j*i 7
    8
    return x 9
```

## Problem 3

## Code

```
def f3(xs): 1
    n = len(xs) 2
    3
    x = 0 4
    for i in range(3, n): 5
        for j in range(4n): 6
            x += i*i - j*j 7
    8
    return x 9
```

## Problem 4

## Code

```
def f4(xs):
    n = len(xs)

    x = 0
    for i in range(n):
        for j in range(i):
            x += i*i - j

    return x
```

1  
2  
3  
4  
5  
6  
7  
8  
9

## Problem 5

## Code

```
def f5(xs):                                1
    n = len(xs)                            2

    x = 0                                  3
    for i in range(n):                     4
        u = int(n**(1/2))                  5
        for j in range(u):                 6
            x += i*i - j                    7
                                            8
    return x                               9
                                           10
```



## Problem 6

## Code

```
def f6(xs): 1
    n = len(xs) 2
    3
    x = 0 4
    for i in range(n): 5
        u = int(i**(1/3)) 6
        for j in range(u): 7
            x += i*i - j 8
    9
    return x 10
```

## Problem 7

## Code

```
def f7(xs): 1
    n = len(xs) 2
    3
    x = 1 4
    i = 0 5
    while i < n: 6
        x *= xs[i] 7
        i += 1 8
    9
    return x 10
```

## Problem 8

## Code

```
def f8(xs):
    n = len(xs)

    x = 1
    i = 32
    while i < n:
        x *= xs[i]
        i += 1

    return x
```

1  
2  
3  
4  
5  
6  
7  
8  
9  
10

## Problem 9

## Code

```
def f9(xs): 1
    n = len(xs) 2
    3
    x = 1 4
    i = 0 5
    while i < n: 6
        x *= xs[i] 7
        i += 5 8
    9
    return x 10
```



## Problem 10

## Code

```
def f10(xs):
    n = len(xs)

    x = 1
    i = 11
    while i < n:
        x *= xs[i]
        i *= 4

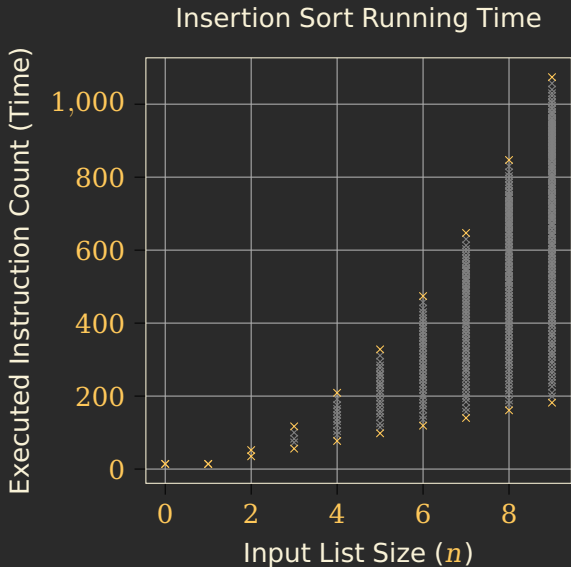
    return x
```

1  
2  
3  
4  
5  
6  
7  
8  
9  
10

# **Best and Worst Case Analysis**

But... wait.. can't an algorithm be fast for one input but slow for another of the same size? **YES!**

Let's look at some running times for **InsertionSort** by size.



**Figure.** Number of executed Python bytecode instructions in sorting lists of length  $n$  for  $0 \leq n \leq 9$  with **InsertionSort**.

# Worst Case Analysis

In **worst case analysis** the goal is to find the best upper and lower bounds that we can on the tail of the **upper** envelope.

## Code

```
def insertion_sort(xs):      1
    n = len(xs)              2

    for i in range(1, n):    3
        j = i                 4
        while j > 0 and xs[j-1] > xs[j]: 5
            swap(xs, j, j-1)   6
            j -= 1             7
                                8
```

Figure. A Python-compatible pseudocode for **InsertionSort**.

Note that the number of executed instruction is maximized when  $xs[j - 1] > xs[j]$  for all  $j$ . That is, if  $xs$  is **reverse sorted**!



## Best Case Analysis

In **best case analysis** the goal is to find the best upper and lower bounds that we can on the tail of the **lower** envelope.

## Code

```
def insertion_sort(xs):      1
    n = len(xs)             2

    for i in range(1, n):    3
        j = i                4
        while j > 0 and xs[j-1] > xs[j]: 5
            swap(xs, j, j-1)  6
            j -= 1            7
                                8
```

Figure. A Python-compatible pseudocode for **InsertionSort**.

Observe that the number of executed instruction is **minimized** if  $xs[j - 1] \leq xs[j]$  for all  $j$ . That is, if  $xs$  is **sorted**!

In other words, for any input of length  $n$ , a **best case input** for `xs` is when it is **already sorted**, and a **worst case input** for `xs` is when it is **reverse sorted**!