

Lecture 06: Introduction to Proofs



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Outline

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- ▶ Lecture 05 review
- ▶ Introduction
 - ▷ From “formal” to “informal” proofs
 - ▷ Terminology
- ▶ Methods for proving theorems
 - ▷ Direct proofs
 - ▷ Proof the contrapositive
 - ▷ Proof via contradiction
- ▶ A repeating note: **make sure you read the textbook**

L05: Proof under a Logical System

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Logical system

Assumptions (premises) $\mathcal{A} = \{A_1, \dots, A_n\}$

Conclusion P

Proof:

$$\mathcal{A} \xrightarrow{\text{rules of inference}} C_1 \text{ (new conclusion)}$$

$$\mathcal{A} \cup \{C_1\} \xrightarrow{\text{rules of inference}} C_2$$

...

$$\mathcal{A} \cup \{C_1, C_2, \dots\} \xrightarrow{\text{rules of inference}} P$$

L05: Making Valid Argument

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- ▶ We have a set of premises, p_1, \dots, p_n
- ▶ We want to draw the conclusion $q = p_{n+1}$
- ▶ To do this, we need to show $p_1 \wedge \dots \wedge p_n \rightarrow q$
- ▶ This can be shown by showing that if $p_1 \wedge \dots \wedge p_n$ is true, then q is true
- ▶ This shows $p_1 \wedge \dots \wedge p_n \rightarrow q$ is a tautology

$p_1 \wedge \dots \wedge p_n$	q	$(p_1 \wedge \dots \wedge p_n) \rightarrow q$
T	T	T
T	F	F
F	T	T
F	F	T

L05: Two Basic “Rules”

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► Ex: modus ponens

▷ S_1 : If it snows today, then we go skiing

▷ S_2 : It is snowing today

▷ S_3 : We go skiing

p

q

$$p \rightarrow q$$

$$p$$

$$q$$

► Ex: modus tollens

▷ S_1 : If it snows today, then we go skiing

▷ S_2 : We do not go skiing

▷ S_3 : It is not snowing today

$$p \rightarrow q$$

$$\neg q$$

$$\neg p$$

L05: A Useful Set of Rules

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Rule	Tautology	Name
$\begin{array}{l} p \rightarrow q \\ q \rightarrow r \\ \hline p \rightarrow r \end{array}$	$((p \rightarrow q) \wedge (q \rightarrow r)) \rightarrow (p \rightarrow r)$	Hypothetical syllogism
$\begin{array}{l} p \vee q \\ \neg p \\ \hline q \end{array}$	$((p \vee q) \wedge \neg p) \rightarrow q$	Disjunctive syllogism
$\begin{array}{l} p \\ \hline p \vee q \end{array}$	$p \rightarrow (p \vee q)$	Addition
$\begin{array}{l} p \wedge q \\ \hline p \end{array}$	$(p \wedge q) \rightarrow p$	Simplification
$\begin{array}{l} p \\ q \\ \hline p \wedge q \end{array}$	$((p) \wedge (q)) \rightarrow (p \wedge q)$	Conjunction
$\begin{array}{l} p \vee q \\ \neg p \vee r \\ \hline q \vee r \end{array}$	$((p \vee q) \wedge (\neg p \vee r)) \rightarrow (q \vee r)$	Resolution

Formal v.s. Informal Proofs

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► Ex: Proving $\sqrt{2}$ is irrational

Formal proof by contradiction:

```
theorem sqrt-prime-irrational:
assumes prime (p::nat)
shows sqrt p ∈ Q
proof
from {prime p} have p: 1 < p by (simp add: prime-nat-iff)
assume sqrt p ∈ Q
then obtain m n :: nat where
  n: n ≠ 0 and sqrt-rat: |sqrt p| = m / n
  and coprime m n by (rule Rats-abs-nat-div-natE)
have eq: m2 = p * n2
proof -
  from n and sqrt-rat have m = |sqrt p| * n by simp
  then have m2 = (sqrt p)2 * n2
    by (auto simp add: power2-eq-square)
  also have (sqrt p)2 = p by simp
  also have ... * n2 = p * n2 by simp
  finally show ?thesis using of-nat-eq-iff by blast
qed
have p dvd m ∧ p dvd n
proof
  from eq have p dvd m2 ..
  with {prime p} show p dvd m by (rule prime-dvd-power-nat)
  then obtain k where m = p * k ..
  with eq have p * n2 = p2 * k2 by (auto simp add: power2-eq-square ac-simps)
  with p have n2 = p * k2 by (simp add: power2-eq-square)
  then have p dvd n2 ..
  with {prime p} show p dvd n by (rule prime-dvd-power-nat)
qed
then have p dvd gcd m n by simp
with {coprime m n} have p = 1 by simp
with p show False by simp
qed

corollary sqrt-2-not-rat: sqrt 2 ∈ Q
using sqrt-prime-irrational[of 2] by simp
```

long and difficult

Informal proof by contradiction:

- Let $\sqrt{2} = \frac{n}{m}$ where n, m are co-prime
- Then $2 = \frac{n^2}{m^2}$
- But n^2 and m^2 are co-prime because n and m are
- Contradiction

Easy to see

Terminologies

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- ▶ Definition: a statement describing certain (mathematical, logical, and so on) objects
- ▶ Axiom: a propositional statement that is assumed as true
- ▶ Theorem: a propositional statement that is relatively important and must be proven to be true.
- ▶ Proposition: a less important theorem
- ▶ Lemma: a less important theorem that is used to prove a theorem
- ▶ Corollary: a useful proposition that readily follows another theorem/corollary/proposition
- ▶ Proof: arguments showing that a theorem is true



Methods for Proving Theorems

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► General methods:

► Direct proof

$$\frac{q_1, \dots, p_n, q = p_{n+1}}{P, A \dots \wedge p_n \rightarrow p_{n+2}, \dots} \quad ? \rightarrow w$$

► Proving the contrapositive

$$\boxed{P \rightarrow \varepsilon} \quad \boxed{\neg q \rightarrow \neg p}$$

► Proof via contradiction

$$\frac{\neg q, P, \dots, p_n}{\text{contradiction}}$$



Proving Theorems: Basics

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► Some basic techniques

- ▷ For proving $\forall x(P(x) \rightarrow Q(x))$
 - Generally, we show $P(c) \rightarrow Q(c)$ for an arbitrary c
- ▷ For proving $p \rightarrow q$
 - We only need to show if p is true then q is true

p	q	$p \rightarrow q$
T	T	T
T	F	F
F	T	T
F	F	T

Direct Proof: Example 1

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- ▶ **Defⁿ:** An integer n is **odd** if $n = 2k + 1$ for some integer k .
- ▶ **Ex:** A direct proof of “If n is odd, then n^2 is odd.”

$P \rightarrow Q$ P; “ n is odd”, Q “ n^2 is odd”

Assume $n = 2k + 1$ for some integer k

$$n^2 = (2k+1)^2 = 4k^2 + 4k + 1 = 2(2k^2 + 2k) + 1$$

Let $k' = k^2 + k$, then $n^2 = 2k' + 1$

by definition, n^2 is odd

We have shown, “ n is odd”, “ n^2 is odd”

Direct Proof: Example 2

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- ▶ **Defⁿ:** An integer n is a **perfect square** if $n = k^2$ for some integer k .
- ▶ **Ex:** A direct proof of if m and n are both perfect squares, so is mn .

need: m, n are perfect squares $\rightarrow mn$ is
a perfect square

proof: assume m, n are perfect squares then
we have $m = k^2$ and $n = l^2$ for integers k and l

Therefore, $mn = k^2 l^2 = (kl)^2$

Vacuous and Trivial Proofs

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- ▶ **Defⁿ:** A proof is **vacuous** if we have p is always false in the statement $p \rightarrow q$, which is to be proven.
 - ▷ **Ex:** Show that for integer n , $n^2 < 0 \rightarrow n = 2n$.

- ▶ **Defⁿ:** A proof of $p \rightarrow q$ is **trivial** if q is always true.
 - ▷ **Ex:** Show that if $2 > 3$, then $3 > 2$.

Proving Contraposition: Example 1

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- **Defⁿ:** An integer n is **even** if $n = 2k$ for some integer k
- **Ex:** Show that an integer n is odd if $3n + 2$ is odd.

Show " $3n+2$ is odd" \rightarrow "n is odd"

$\ni 2k+1$

Proof(Direct)

assuming $3n+2$ is odd, $3n+2 = 2k+1 \rightarrow 3n=2k+1$

$$\left\{ \begin{array}{l} k=3l \\ k=3l+1 \\ k=3l+2 \end{array} \right. \quad \begin{array}{l} 3n=6l+1 \rightarrow n=2l+\frac{1}{3} \\ 3n=6l+2-1=6l+1 \rightarrow n=2l+\frac{1}{3} \\ 3n=6l+4 \cdot 1=6l+3 \rightarrow n=2l+\frac{3}{3} \end{array}$$

$\begin{matrix} \times \\ \times \end{matrix}$

↑
n is odd ✓



Proving Contraposition: Example 1

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- ▶ **Defⁿ:** An integer n is **even** if $n = 2k$ for some integer k
- ▶ **Ex:** Show that an integer n is odd if $3n + 2$ is odd.

Proof(via Contraposition)

Need to Show n is even $\rightarrow 3n+2$ is even

Assume "n is even", $n = 2k$ for
some integer k then $3n+2 =$
 $3(2k) + 2 = 6k+2 = 2(3k+1) = 2k$

$3n+2$ must be even

Proving Contraposition: Example 2

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- Ex: Prove that if $n = ab$ for positive integers a and b , then $a \leq \sqrt{n}$ or $b \leq \sqrt{n}$. $\neg(\neg(a \leq \sqrt{n}) \vee \neg(b \leq \sqrt{n}))$

$$= \neg(a < \sqrt{n}) \wedge \neg(b < \sqrt{n})$$

Proof

Contrapositive! $(a > \sqrt{n} \wedge b > \sqrt{n})$
 $\rightarrow (n \neq ab)$

Assume $a > \sqrt{n}, b > \sqrt{n}$, then
 $a, b > \sqrt{n}, \sqrt{n} = n \Rightarrow n < ab \Rightarrow n \neq ab$

- Read examples 7 and 8 carefully to learn more.

Proving via Contradiction: Example 1

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- Ex: Show that at least four of any 22 days must fall on the same day of the week.

proof. 1 2 3 4 5 6 7

$$\neg p \quad 3+3+3+3+3+3+3=21$$

Contradiction. $\neg p$ Cannot be true



Proving via Contradiction: Example 2

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- Ex: Prove $\sqrt{2}$ is irrational.

Proof (via contradiction): Assume $\sqrt{2}$ is rational, $\sqrt{2} = \frac{n}{m}$. We may assume n, m are coprime.

$$\text{We have } 2 = \frac{n^3}{m^2} \Rightarrow n^2 = 2m^2 \Rightarrow n^2$$

is an even integer $n^2 = 2k$
(n^2 is even $\rightarrow n$ is even) n is even

$$n = 21$$

$$n^2 = 2n^2 \quad \left. \right\} \Rightarrow 41^2 = 2m^2 \Rightarrow m^2 = 21^2 \Rightarrow \text{m is even}$$



Proving via Contradiction: Example 3

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- Ex: Show if $3n + 2$ is odd, then n is odd.

Proof (via contradiction),

" $3n + 2$ is odd" \Rightarrow "this odd" $\neg p$

Assume $\neg p$ is true = ($3n+2$ is odd) $\neg p$
Let $n = 2k$ for some k , then $3n+2 = 3(2k) + 2$
 $= 3n+2 = 6k+2$ is even

Contradiction.

- Read examples 12, 13, and 14, as well as the part "Mistakes in proofs", which is an easy read.