



# Lecture 09-10: Chapter 1 Review & Sets

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# Outline

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- ▶ Lectures 07-08 review
- ▶ Chapter 1 review
  - ▷ Logic & proofs
  - ▷ Definitions, axioms, theorems
  - ▷ Propositional logic: syntax & semantics
  - ▷ Extension to predicate logic
  - ▷ Rules of inference
  - ▷ Informal proofs & proof strategies
- ▶ Sets [2.1-2.2]
  
- ▶ A repeating note: **make sure you read the textbook**



# L07-08: What was Covered

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- ▶ Exhaustive proofs
  - ▷ Exclusive enumeration ←
  - ▷ Non-exclusive cases ←
- ▶ Existence proofs
  - ▷ Providing an example ←
  - ▷ Proving existence without an example ←
- ▶ Uniqueness proof
- ▶ Strategies
  - ▷ Reasoning backwards ←
  - ▷ Adapting existing proofs ←
  - ▷ Finding counterexamples

# L07-08: Exhaustive Proof

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- ▶ **Ex:** You have a drawer filled with red or blue socks. Show that if you pick three socks, you will have a pair of socks of the same color.
- ▶ **Ex:** Show that  $((x > 4) \vee (y > 2)) \rightarrow (|x| + y^2 > 4)$ .

# L07-08: Existence Proof

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- ▶ **Ex:** Show that there are positive integers that can be written as the sum of cubes of integers in two different ways.
- ▶ **Ex:** Prove the existence of irrational numbers  $x$  and  $y$  such that  $x^y$  is rational.

# L07-08: Uniqueness & Proof Strategies

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- ▶ Uniqueness proofs:  $\exists x (P(x) \wedge \forall y ((y \neq x) \rightarrow \neg P(y)))$
- ▶ Strategies
  - ▷ Reasoning backwards: stone removal
  - ▷ Adapting existing proofs
    - ❑ **Ex:** Show that  $\sqrt{3}$  is irrational.
    - ❑ (Generalization) If  $p$  is prime, then  $\sqrt{p}$  is irrational.
    - ❑ (Further generalization) If  $n$  is not a perfect square, then  $\sqrt{n}$  is irrational.



# CH01: Logic and Proofs

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- ▶ Whenever we talk about proofs, we need to specify a logic
  - ▷ Syntax: how to form sentences (definitions, axioms, propositions)
  - ▷ Semantics: how to interpret meaning and reason (with rules of inference)

## Logic (Syntax and Semantics)

Proof

Premises  $A_1, A_2, \dots$

Rules of Inference



Conclusion  $P$

- ▶ Chapter 1 covered:
  - ▷ Propositional logic
  - ▷ Predicate logic
  - ▷ Rules of inference, formal
  - ▷ Informal proofs, methods and strategies



# CH01: Definitions, Axioms, Theorems

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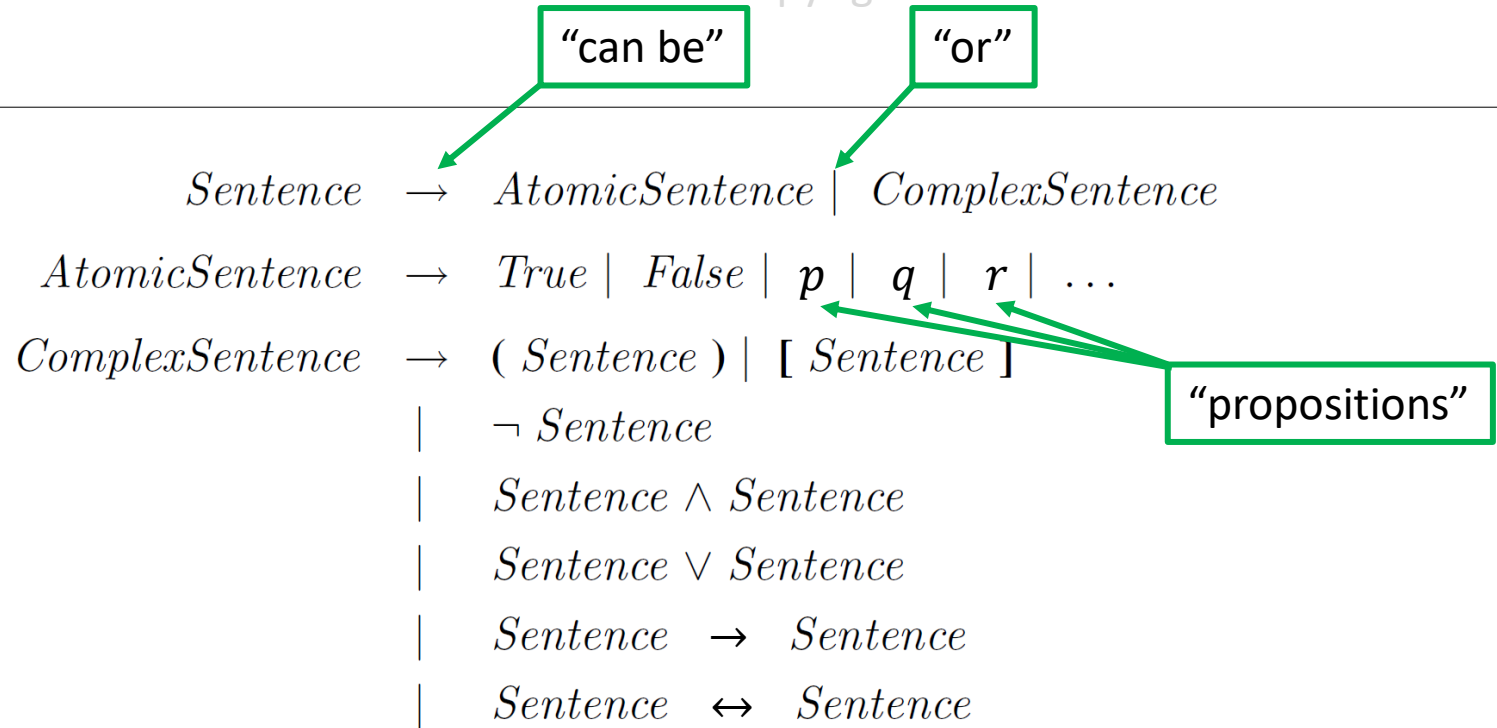
- ▶ We work mostly with definition and theorems
  - ▷ A definition defines what an entity is
  - ▷ A theorem relates different definitions
- ▶ Axiom: a proposition that is assumed to be true
- ▶ Theorems have many “variants”
  - ▷ Observation: an obvious (provable) statement
  - ▷ Theorem: a reasonably important result
  - ▷ Lemma: intermediate theorems for proving a concluding result
  - ▷ Proposition: a standalone, not very important theorem
  - ▷ Corollary: a derivative result that is worth stating and follows other theorems
    - Theorem: the sum of internal angles of a non-self-intersecting  $n$ -gon is  $(n - 2) * 180$
    - Corollary: the sum of the internal angles of a triangle is 180.
      - ◆ A derivative but very useful result worth knowing





# CH01: Propositional Logic: the Syntax

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- ▶ A sentence(proposition) can be an atomic sentence or a complex sentence
- ▶ E.g.  $(p \vee q) \rightarrow (r \vee s)$ 
  - ▷ Propositions  $p, q, r, s$  are atomic sentences
  - ▷  $(p \vee q)$  is a complex sentence
  - ▷ So are  $(r \vee s)$  and  $(p \vee q) \rightarrow (r \vee s)$

# CH01: Propositional Logic Semantics

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## ► Truth table

							converse	inverse	contrapositive
$p$	$q$	$\neg p$	$p \wedge q$	$p \vee q$	$p \rightarrow q$	$p \leftrightarrow q$	$\neg p \rightarrow \neg q$	$q \rightarrow p$	$\neg q \rightarrow \neg p$
$T$	$T$	$F$	$T$	$T$	$T$	$T$	$T$	$T$	$T$
$T$	$F$	$F$	$F$	$T$	$F$	$F$	$T$	$T$	$F$
$F$	$T$	$T$	$F$	$T$	$T$	$F$	$F$	$F$	$T$
$F$	$F$	$T$	$F$	$F$	$T$	$T$	$T$	$T$	$T$

## ► A note on $p \rightarrow q$

### ► Many equivalent statements

$p$ implies $q$	$q$ is <b>necessary</b> for $p$
If (when) $p$ , (then) $q$	$q$ follows $p$
$p$ is sufficient for $q$	$q$ if (when) $p$
$p$ only if $q$	...

### ► E.g., “You can graduate only if you have 150 credits”

- ❑ If you graduated, then you must already have 150 credits
- ❑ 150 credits is **necessary** for graduation (but may not be sufficient, e.g., maybe you decide to use the credit toward degree at another school)
- ❑ Graduation **sufficiently** implies that you have at least 150 credits



# CH01: Propositional Logic Semantics Cont.

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Name	Equivalence
Identity laws	$p \wedge T \equiv p,$ $p \vee F \equiv p$
Domination laws	$p \vee T \equiv T,$ $p \wedge F \equiv F$
Idempotent laws	$p \vee p \equiv p,$ $p \wedge p \equiv p$
Double negation law	$\neg(\neg p) \equiv p$
Commutative laws	$p \vee q \equiv q \vee p,$ $p \wedge q \equiv q \wedge p$
Associative laws	$(p \vee q) \vee r \equiv p \vee (q \vee r),$ $(p \wedge q) \wedge r \equiv p \wedge (q \wedge r)$
Distributive laws	$p \vee (q \wedge r) \equiv (p \vee q) \wedge (p \vee r)$ $p \wedge (q \vee r) \equiv (p \wedge q) \vee (p \wedge r)$
De Morgan's laws	$\neg(p \vee q) \equiv \neg p \wedge \neg q,$ $\neg(p \wedge q) \equiv \neg p \vee \neg q$
Absorption laws	$p \vee (p \wedge q) \equiv p,$ $p \wedge (p \vee q) \equiv p$
Negation laws	$p \vee \neg p \equiv T,$ $p \wedge \neg p \equiv F$

Equivalence Containing Conditionals
$p \rightarrow q \equiv \neg p \vee q$
$p \rightarrow q \equiv \neg q \rightarrow \neg p$
$p \vee q \equiv \neg p \rightarrow q$
$p \wedge q \equiv \neg(p \rightarrow \neg q)$
$\neg(p \rightarrow q) \equiv p \wedge \neg q$
$(p \rightarrow q) \wedge (p \rightarrow r) \equiv p \rightarrow (q \wedge r)$
$(p \rightarrow r) \wedge (q \rightarrow r) \equiv (p \vee q) \rightarrow r$
$(p \rightarrow q) \vee (p \rightarrow r) \equiv p \rightarrow (q \vee r)$
$(p \rightarrow r) \vee (q \rightarrow r) \equiv (p \wedge q) \rightarrow r$

Equivalence Containing Bidirectionals
$p \leftrightarrow q \equiv (p \rightarrow q) \wedge (q \rightarrow p)$
$p \leftrightarrow q \equiv \neg p \leftrightarrow \neg q$
$p \leftrightarrow q \equiv (p \wedge q) \vee (\neg p \wedge \neg q)$
$\neg(p \leftrightarrow q) \equiv p \leftrightarrow \neg q$



# CH01: Extension to Predicate Logic

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- ▶ Predicate: a property that objects may or may not satisfy
  - ▷ E.g.  $StarTrekFan(x)$ : whether student  $x$  is a Star Trek fan
  - ▷ Can be viewed as a partial proposition
  - ▷ Possible to have multiple variables:  $Larger(x, y) = (x > y)$
- ▶ Quantifiers
  - ▷ Universal:  $\forall x P(x)$ ,  $P(x)$  is true for all  $x$
  - ▷ Existential:  $\exists x P(x)$ ,  $P(x)$  is true for at least one  $x$
  - ▷ Note that in general,  $\exists x \forall y P(x, y) \neq \forall y \exists x P(x, y)$
- ▶ Binding: a variable is bound in a predicate when a quantifier of that variable is applied to the predicate, e.g.  $\forall x \exists y (P(x, y) \vee Q(y))$ 
  - ▷ If all variables are bound, then the statement must be either true or false
- ▶ Negation:  $\neg(\forall x P(x)) = \exists x(\neg P(x))$ ,  $\neg(\exists x P(x)) = \forall x(\neg P(x))$ .
  - ▷ Recursive application for multiple quantifiers
  - ▷  $\neg \forall x \exists y (P(x, y) \vee Q(y)) = \exists x \forall y (\neg P(x, y) \wedge \neg Q(y))$



# CH01: Rules of Inference

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## ► Propositional

### ▷ Modus ponens

$p \rightarrow q$
$p$
-----
$q$

### ▷ Modus tollens

$p \rightarrow q$
$\neg q$
-----
$\neg p$

Rule	Tautology	Name
$\frac{p \rightarrow q \quad q \rightarrow r}{p \rightarrow r}$	$((p \rightarrow q) \wedge (q \rightarrow r)) \rightarrow (p \rightarrow r)$	Hypothetical syllogism
$\frac{p \vee q \quad \neg p}{q}$	$((p \vee q) \wedge \neg p) \rightarrow q$	Disjunctive syllogism
$\frac{p}{p \vee q}$	$p \rightarrow (p \vee q)$	Addition
$\frac{p \wedge q}{p}$	$(p \wedge q) \rightarrow p$	Simplification
$\frac{p \quad q}{p \wedge q}$	$((p) \wedge (q)) \rightarrow (p \wedge q)$	Conjunction
$\frac{p \vee q \quad \neg p \vee r}{q \vee r}$	$((p \vee q) \wedge (\neg p \vee r)) \rightarrow (q \vee r)$	Resolution

## ► With quantifiers

- ⇒ Universal instantiation:  $\forall xP(x) \rightarrow P(c)$  for any  $c$
- ⇒ Existential instantiation:  $\exists xP(x) \rightarrow P(c)$  for at least one  $c$
- ⇒ Universal generalization:  $P(c)$  for arbitrary  $c \rightarrow \forall xP(x)$
- ⇒ Existential generalization:  $P(c) \rightarrow \exists xP(x)$



# CH01: 1.6 Exercise 28

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- ▶ If  $\forall x(P(x) \vee Q(x))$  and  $\forall x((\neg P(x) \wedge Q(x)) \rightarrow R(x))$  are true, then  $\forall x(\neg R(x) \rightarrow P(x))$  is also true.



# CH01: Informal Proofs

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## ► How to approach proofs?

- ▷ Requires creativity in general, but there are some rules to follow
- ▷ First, pick how you will attack
  - ❑ Direct proof: prove  $(p \rightarrow q) = T$  by assuming  $p=T$  and derive  $q=T$
  - ❑ Proving contrapositive: prove  $p \rightarrow q$  by proving  $\neg q \rightarrow \neg p$
  - ❑ Proof via contradiction: to prove  $p=T$ , assume  $\neg p$  and derive a contradiction
- ▷ Next, examine the scope
  - ❑ Exhaustive proof must show  $\forall xP(x)$
  - ❑ Existence proof only needs to establish  $\exists xP(x)$ 
    - ◆ Can be constructive or non-constructive
  - ❑ Uniqueness proof requires showing  $\exists!xP(x)$
- ▷ Then, try to get the details
  - ❑ Working from the start and/or from the goal – try to connect
  - ❑ Adapting or generalizing existing proofs
    - ◆ This means that one may look at some simple cases first



# Sets

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- ▶ **Def<sup>n</sup>:** A **set** is an unordered collection of objects (or elements, members).
- ▶ Membership:  $a \in A, b \notin A$
- ▶ Roster representation
  - ▷ **Ex:** The set of all vowels:  $V = \{a, e, i, o, u\}$ .
  - ▷ **Ex:** The set of positive odd integers less than 10:  $O = \{1, 3, 5, 7, 9\}$ .
  - ▷ **Ex:** Elements do not need to be of the same type:  $A = \{1, 3.4, \text{ball}, \text{tree}\}$ .
  - ▷ **Ex:** The set of natural numbers:  $N = \{0, 1, 2, \dots\}$ .
    - ▣ A word about the number 0...
- ▶ Frequently seen sets:  $\mathbb{N}, \mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$ .





# Builder Notation, Equivalence, Empty Set

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- ▶ Set builder notation:  $A = \{x \mid \text{property satisfied by } x\}$ 
  - ▷ **Ex:**  $O = \{x \mid (0 \leq x \leq 10) \wedge (x \text{ is odd})\}$ .
  - ▷ **Ex:** Intervals on a line:
    - $(a, b) = \{x \mid a < x < b\}$
    - $(a, b] = \{x \mid a < x \leq b\}$
    - $[a, b) = \{x \mid a \leq x < b\}$
    - $[a, b] = \{x \mid a \leq x \leq b\}$
  - ▷ **Ex:**  $A = \{x \mid x \text{ is a student at Rutgers}\}$
- ▶ **Def<sup>n</sup>:** Two sets  $A$  and  $B$  are equal if they contains the same elements.
  - ▷ Equivalently,  $A = B$  if and only if  $\forall x(x \in A \leftrightarrow x \in B)$ .
- ▶ The empty set:  $\emptyset = \{\}$ , the set that contains zero elements.
  - ▷ Note:  $\{\emptyset\} \neq \emptyset = \{\}$
  - ▷  $\{\emptyset\}$  is a set with one element, which is the empty set (as an element)



# Subsets

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- ▶ **Def<sup>n</sup>:**  $A$  is a subset ( $\subseteq$ ) of  $B$  if every element of  $A$  is also an element of  $B$ .
- ▶ **Ex:**  $\mathbb{N} \subseteq \mathbb{Z} \subseteq \mathbb{R} \subseteq \mathbb{C}$ .
- ▶ **Ex:**  $A = \{1, 3, 5, \dots\}$ ,  $A \subseteq \mathbb{N}$
- ▶ **Ex:**  $A = \{\text{CS 205 students}\}$ ,  $B = \{\text{Rutgers students}\}$ ,  $A \subseteq B$
- ▶ Equivalently,  $A \subseteq B$  if and only if  $\forall x(x \in A \rightarrow x \in B)$ .
  - ▷ The symbols  $\subset$  and  $\subseteq$  generally bear the same meaning.
  - ▷ For **proper** subset, we generally use  $A \subsetneq B$ 
    - ▣ **Ex:**  $\mathbb{Z} \subsetneq \mathbb{R}$
    - ▣ Note that it is possible that  $A \subsetneq B$  and  $A \subseteq B$  both hold
- ▶ To prove  $A \subseteq B$ , can show  $c \in A \rightarrow c \in B$  for arbitrary  $c \in A$ .
- ▶ To prove  $A \subsetneq B$ , show  $A \subseteq B$  and there is a  $c$  s.t.  $c \in B$  and  $c \notin A$ .
- ▶ To prove  $A = B$ , show  $A \subseteq B$  and  $B \subseteq A$ .
- ▶ **Fact:** for every set  $S$ ,  $\emptyset \subseteq S$  and  $S \subseteq S$ .



# Cardinality (Size) of Sets

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- ▶ **Def<sup>n</sup>**: For a set  $S$ , if there are exactly  $n$  distinct elements in  $S$  for some positive integer  $n$ , then  $S$  is a **finite set** of **cardinality**  $n$ , denoted  $|S| = n$ . A set is **infinite** if it is not finite.
  - ▷ **Ex**:  $|\{1, 3, 5\}| = 3$
  - ▷ **Ex**:  $|\text{English alphabet}| = 26$
  - ▷ **Ex**:  $|\emptyset| = 0$
  - ▷ **Ex**:  $|\{\emptyset\}| = 1$
- ▶ Infinite sets have interesting structures on cardinality
  - ▷ Size of the set of integers?
  - ▷ What about odd numbers?
  - ▷ Real numbers?
  - ▷ Need “functions” to make this more precise
  - ▷ Infinity is weird (and may or may not be real at all!)



# Power Set

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- ▶ **Def<sup>n</sup>:** The power set of a set  $S$  is the set of all subsets of  $S$ , denoted  $P(S)$ 
  - ▷ **Ex:**  $P(\{1, 2\}) = ?$
  - ▷ **Ex:**  $P(\emptyset) = ?$
  - ▷ **Ex:**  $P(P(\emptyset)) = ?$
  - ▷ For a finite set  $S$ ,  $|P(S)| = 2^{|S|}$



# Cartesian Products

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- ▶ **Def<sup>n</sup>:** The ordered  $n$ -tuple  $(a_1, \dots, a_n)$  is the ordered collection with  $a_i$  being the  $i$ -th element.
- ▶ **Def<sup>n</sup>:** The Cartesian product of the sets  $A_1, \dots, A_n$ , is the set

$$A_1 \times \dots \times A_n = \{(a_1, \dots, a_n) \mid a_i \in A_i \text{ for } 1 \leq i \leq n\}.$$

- ▶ **Ex:**  $A = \{1, 2\}, B = \{2, 3\}$ . What is  $A \times B$ ?
- ▶ Note that  $|A_1 \times \dots \times A_n| = |A_1| \times \dots \times |A_n|$
- ▶ Can be infinite, e.g., the  $x$ - $y$  coordinate system



# Potential Issues with “Naïve” Set Theory

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- ▶ Consider  $A = \{x \mid x \notin x\}$ .
  - ▷ That is, set  $A$  contains elements that are sets which do not contain themselves.
  - ▷ Question:  $A \in A$ ?



# Set Operations

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- ▶ Let  $U$  be the “universe”
  - ▷ Union:  $A \cup B = \{x \mid x \in A \vee x \in B\}$
  - ▷ Intersection:  $A \cap B = \{x \mid x \in A \wedge x \in B\}$
  - ▷  $A$  and  $B$  are disjoint if  $A \cap B = \emptyset$
  - ▷ Difference:  $A \setminus B = A - B = \{x \mid x \in A \wedge x \notin B\}$
  - ▷ Complement:  $\bar{A} = U - A = \{x \in U \mid x \notin A\}$
  - ▷ Symmetric difference:  $A \oplus B = (A \cup B) - (A \cap B)$



# Set Operations, Cont.

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► **Ex:**  $U = \{1, \dots, 10\}$ ,  $A = \{2, 3, 6, 8, 9\}$ ,  $B = \{3, 4, 8, 10\}$



# Set Identities

- ▶ Set identities are somewhat like logical operations
- ▶ **Ex:**  $\overline{A \cap B} = \bar{A} \cup \bar{B}$

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**TABLE 1** Set Identities.

<i>Identity</i>	<i>Name</i>
$A \cap U = A$ $A \cup \emptyset = A$	Identity laws
$A \cup U = U$ $A \cap \emptyset = \emptyset$	Domination laws
$A \cup A = A$ $A \cap A = A$	Idempotent laws
$\overline{(\bar{A})} = A$	Complementation law
$A \cup B = B \cup A$ $A \cap B = B \cap A$	Commutative laws
$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$ $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$	Distributive laws
$\overline{A \cap B} = \bar{A} \cup \bar{B}$ $\overline{A \cup B} = \bar{A} \cap \bar{B}$	De Morgan's laws
$A \cup (A \cap B) = A$ $A \cap (A \cup B) = A$	Absorption laws
$A \cup \bar{A} = U$ $A \cap \bar{A} = \emptyset$	Complement laws



# Set Identities, Cont.

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► **Ex:**  $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$



# Set Identities: Proof using Identities

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► **Ex:**  $\overline{A \cup (B \cap C)} = \bar{A} \cap (\bar{B} \cup \bar{C})$

