

Improved Worst-Case Deterministic Parallel Dynamic Minimum Spanning Forest

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ABSTRACT

This paper gives a new deterministic algorithm for the dynamic Minimum Spanning Forest (MSF) problem in the EREW PRAM model, where the goal is to maintain a MSF of a weighted graph with n vertices and m edges while supporting edge insertions and deletions. We show that one can solve the dynamic MSF problem using $O(\sqrt{n})$ processors and $O(\log n)$ worst-case update time, for a total of $O(\sqrt{n}\log n)$ work. This improves on the work of Ferragina [IPPS 1995] which costs $O(\log n)$ worst-case update time and $O(n^{2/3}\log \frac{m}{n})$ work.

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1 INTRODUCTION

In the *dynamic minimum spanning forest* (MSF) problem, the goal is maintain a MSF F of an undirected dynamic graph G=(V,E) with weight function $w:E\to\mathbb{R}$, while supporting edge insertions and deletions. The dynamic MSF problem is one of the most fundamental dynamic graph problems, and has been used as a subroutine for solving many other graph problems ([1],[3],[21],[22]). The first sequential algorithm solving the dynamic MSF problem has a worst-case update time of $O(\sqrt{m})$, where m is the number of edges, and was introduced by Frederickson [6]. Using the sparsification technique of Eppstein et al. [3, 4] on Fredrickson's algorithm reduces the worst-case update time to $O(\sqrt{n})$, where n is the number of vertices. Both of these results are deterministicWhile there have been several improvements on the time cost when using randomization or allowing amortization, the $O(\sqrt{n})$ time bound is the best known for deterministic worst-case dynamic MSF.

Dynamic MSF in the PRAM model. While the dynamic MSF problem in sequential models has received a lot of attention from researchers, there has been no progress since the 90s in the PRAM Model. Das and Ferragina [2] presented a dynamic MSF algorithm

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than ACM must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from permissions@acm.org.

SPAA '18, July 16–18, 2018, Vienna, Austria © 2018 Association for Computing Machinery. ACM ISBN 978-1-4503-5799-9/18/07...\$15.00 https://doi.org/10.1145/3210377.3210403 in the EREW PRAM model, which is based on Frederickson's [6] sequential algorithm, that uses $O(\frac{m^{2/3}}{\log n})$ processors, $O(\log n)$ worst-case time, and $O(m^{2/3})$ work. Ferragina [5] showed how to parallelize the sparsification technique of Eppstein et al. ([3], [4]), thereby obtaining a fully dynamic MSF algorithm in the EREW PRAM model, that uses $O\left(\frac{n^{2/3}\log\frac{m}{n}}{\log n}\right)$ processors, $O(\log n)$ worst-case time, and $O(n^{2/3}\log\frac{m}{n})$ work. Liang and McKay [16] proposed a different parallel algorithm for dynamic MSF that uses $O(n^{2/3})$ processors and has $O(\log n \cdot \log\frac{m}{n})$ parallel worst-case time.

Our results. In this paper we give the first improvement on dynamic MSF in the EREW PRAM model in over 20 years (in terms of deterministic worst-case update times). The main result is summarized by the following theorem.

THEOREM 1.1. There exists a deterministic algorithm for the dynamic MSF problem in the EREW PRAM model that uses $O(\sqrt{n})$ processors and has a parallel worst-case update time of $O(\log n)$. The resulted work of the algorithm is $O(\sqrt{n}\log n)$.

Dynamic MSF and Dynamic Connectivity. In the dynamic connectivity problem the goal is to maintain a dynamic graph G with edge insertions and deletions, while supporting connectivity queries: "given two vertices in G, are the vertices in the same connected component?". The dynamic connectivity problem is a weaker version of the dynamic MSF problem, since one way of solving dynamic connectivity is to maintain a spanning forest of G and using dynamic connectivity data structures for forests such as [20]. Thus, Frederickson's algorithm together with the sparsification techinque yield a $O(\sqrt{n})$ worst-case deterministic update time for dynamic connectivity. Recently, Kejlberg-Rasmussen et al. [14] reduced the

runtime slightly to $O\left(\sqrt{\frac{n(\log\log n)^2}{\log n}}\right)$. The proof of Theorem 1.1 is based on the approach used by Kejlberg-Rasmussen et al. [14] for

based on the approach used by Kejlberg-Rasmussen et al. [14] for solving dynamic connectivity.

1.1 Algorithmic Overview

Throughout the paper we apply the standard assumption that the graph is *sparse*, i.e., the graph has m = O(n) edges. In the sequential case this assumption is permissable due to the sparsification technique of [4]. We later show how to extend the sparsification technique for dynamic MSF to the EREW PRAM model. We also assume throughout the paper that the maximum degree in G is 3 by applying the techniques of Frederickson [6]. This last assumption costs an O(1) worst-case time additive overhead per operation.

We are now ready to provide an overview of our techniques. We emphasize that our overview sacrifices accuracy for the sake of intuition. An accurate description of the techniques that we use is given in the rest of the paper. We believe it is best to first discuss a sequential version of our dynamic MSF algorithm, which is an $O(\sqrt{\log n})$ factor slower than the algorithm of Frederickson [6] after applying the sparsification technique of [4]. Nevertheless, the proof of the following theorem is helpful for understanding our proof of Theorem 1.1.

Theorem 1.2. There exists a sequential deterministic algorithm for the dynamic MSF problem, which has a worst-case update time of $O(\sqrt{n \log n})$.

Euler tours and lists. The proof of Theorem 1.2 is based on the dynamic connectivity algorithm presented in [14]. The basic technique is to maintain *Euler tours* of the trees in *F*, which is the MSF of G. An Eulerian circuit in a directed graph is a tour on the edges of the graph, starting and ending on the same vertex, in which each edge is visited exactly once. There is an Eulerian circuit if and only if for every vertex u in the graph the out-degree of u is the same as the in-degree of u. For a tree T in a spanning forest of an undirected graph G, an Euler tour of T is a list of the edges in T. The Euler tour of *T* is created by treating each undirected edge as two directed edges in different directions, so that for every vertex u in T the out-degree of u is the same as the in-degree of u. The authors of [14] showed how to reduce the problem of maintaining Euler tours to that of supporting splits and merges of linked lists, and finding a minimum weight replacement (MWR) edge in the case of deleting an edge in G that is also in F. While supporting operations on lists is generally straightforward, being able to find a MWR edge is the challenging aspect. Nevertheless, lists turns out to be very convenient for parallelization since different processors can focus on different parts of the list.

Chunks and LSDS.. In order to be able to manipulate the lists efficiently, we partition each list into chunks of size $K = O(\sqrt{n\log n})$, so that there are $J = O(\sqrt{n/\log n})$ chunks. The lists contain copies of vertices as they appear in the Euler tour, and so, by our assumptions, every chunk c has as most O(K) edges touching vertices with copies in c. Throughout the execution of the algorithm chunks are merged and split, either due to a list splitting at a chunk or in order to guarantee that every chunk is of size at most O(K). Above the chunks, we use a tree-like data structure, called the *list sum data structure* (LSDS) that has at most J leaves (one for each chunk) and height $O(\log J)$.

The basic idea is to separately aggregate useful information for finding MWR edges from all of the elements in each chunk, and then use the LSDS to aggregate all of the information from all of the chunks. The information stored for a chunk c is an array $CAdj_c$ of size J that stores the connectivity information between c and every other chunk. The array stores one entry for each chunk such that the i'th entry in all of these arrays represents the chunk with id i. This information stored in $CAdj_c$ is derived from the at most O(K) edges touching vertices that have a copy in c. The information stored at an LSDS tree vertex a is an array $CAdj_a$ of size a0 which stores the aggregate of all of the chunk information of chunks in the subtree of a1.

When a chunk c is the outcome of either a split or merge of chunks, $CAdj_c$ is updated by scanning the elements of c in O(K)

worst-case time (since each chunk contains at most O(K) elements). Then, for every other chunk c' the connectivity information between c' and c that needs to be stored in $CAdj_{c'}$ is derived from $CAdj_c$. Since there are O(J) chunks, this takes O(J) worst-case time. The information in $CAdj_c$ is then propagated up the LSDS tree to the ancestors of c, spending O(J) worst-case time. Finally, the entries corresponding to c in c0 the vertices of the LSDS tree are updated by scanning the LSDS tree while only accessing the entries in the arrays that correspond to c. This last part spends O(1) worst-case time per tree vertex for a total of O(J) worst-case time. Thus, the cost of merging and splitting chunks ends up being $O(J+K) = O(\sqrt{n\log n})$.

In addition to the splitting and merging of chunks, the algorithm will sometimes need to split or merge LSDS structures. These splits and merges are standard tree operations, and each such tree operation touches $O(\log n)$ tree-vertices. The time cost is dominated by updating the CAdj arrays (of size O(J) each) of the vertices touched during the tree operations, for a total of $O(\sqrt{n\log n})$ worst-case time

Finding a MWR edge. The method for finding a MWR edge is to find the lightest edge connecting the vertices with copies in one list L_1 and the vertices with copies in a second list L_2 . We remark that there are some crucial details of this process which we skip in the overview description here.

The algorithm uses the CAdj array stored at the root of the LSDS for L_2 , which specifically contains the connectivity information between the chunks in L_2 and all other chunks. The MWR edge is found by scanning the O(J) chunks of L_1 , and for each such chunk c we look at the entry corresponding to c in the CAdj array of the root of L_2 . The chunk in L_1 that has the smallest entry in the CAdj array contains the lightest edge connecting L_1 and L_2 , and the algorithm scans all of the O(K) edges touching vertices with copies in that chunk in order to find the MWR edge. The entire process costs $O(J+K) = O(\sqrt{n \log n})$ worst-case time.

Parallel dynamic MSF. One of the advantages of our new sequential MSF algorithm is that it leads to an improved parallel dynamic MSF algorithm. The reason for the time cost being $O(\sqrt{n\log n})$ in the sequential algorithm is due to scanning all of the elements in a chunk, scanning all of the chunks of a list, and scanning all of the vertices in an LSDS. By utilizing several tournament like trees, we show how all of these tasks can be executed in parallel, with a cost of $O(\log n)$ parallel worst-case time.

Parallel sparsification. The sparsification method of Eppstein et al. [4] allows one to reduce the dependency of the sequential time cost for dynamic MSF in terms of the number of edges. In particular, this method admits a conversion of any algorithm for solving dynamic MSF with polynomial sequential time cost f(m) to be reduced to a time cost of f(n) by focusing on the special case in which m = O(n). Roughly speaking, the method uses a tree based data structure that has $O(\log n)$ levels where the number of edges associated with a tree vertex at level i is $n/2^i$. Each update necessitates at most one update at each level and so the total time cost becomes $\sum_{i=1}^{O(\log n)} f(n/2^i)$.

Unfortunately, the sequential sparsification does not transfer immediately to the parallel setting. In the sequential algorithm of Section 2 the time cost on a graph with O(n') edges is $f(n') = O(\sqrt{n'\log n'})$, and so using the sparsification method we have that $\sum_{i=1}^{O(\log n)} \sqrt{(n/2^i)\log(n/2^i)} = O(\sqrt{n\log n})$. However, in the parallel algorithm, since $f(n') = O(\log n')$ we have that the total cost is $\sum_{i=1}^{O(\log n)} \log(n/2^i) = O(\log^2 n)$. This adds an $O(\log n)$ factor to the cost

Ferragina [5] introduced a parallel technique to apply the sparsification data structure of Eppstein et al. [3] to any parallel algorithm solving the fully dynamic MSF problem, with a $O(\log \frac{m}{n})$ factor to the total work. However, we are interested in a parallel sparsification method that does not increase any of the asymptotic costs of the dynamic MSF data structure. Thus, we introduce an augmentation of the Eppstein et al. [4] sparsification method which achieves this goal. This augmentation requires a more detailed description of how the spasification data structure works, which we detail in the full version [15].

1.2 Other Related Work

Related work on sequential dynamic MSF. In the sequential setting, the fastest deterministic worst-case algorithm for dynamic MSF has an $O(\sqrt{n})$ worst-case update time [4, 6]. Henzinger and King [8] showed a deterministic algorithm for dynamic MSF with amortized update time of $O(n^{1/3}\log n)$. Holm et al. [9] designed another deterministic algorithm which has an amortized update time of $O(\log^4 n)$, and later Holm et al [10] improved the amortized update time to $O(\frac{\log^4 n}{\log\log n})$. When allowing randomization, Wulff-Nilsen [25] gave a randomized Las-Vegas algorithm for dynamic MSF with an expected worst-case update time of $O(n^{\frac{1}{2}-\epsilon})$ for some constant $\epsilon > 0$, and Nanongkai et al. [18] gave a randomized Las-Vegas algorithm with an expected worst-case update time of $n^{O(\log\log\log n/\log\log\log n)}$. Pătrașcu et al. [19] proved a lower bound of $\Omega(\log n)$ update time for dynamic connectivity, which is also a lower bound for dynamic MSF.

Related work on sequential dynamic connectivity. Notice that an algorithm for dynamic MSF is also an algorithm for dynamic connectivity. However, often there are faster dynamic connectivity algorithms. For the related dynamic connectivity problem, as mentioned above, the fastest deterministic worst-case time algorithm

has an
$$O\left(\sqrt{\frac{n(\log\log n)^2}{\log n}}\right)$$
 worst-case update time [14]. When al-

lowing randomization, Kapron, King, and Mountjoy [13] gave a Monte Carlo randomized structure with update time $O(c\log^5 n)$ and one-sided error probability n^{-c} . The update time was later improved to $O(c\log^4 n)$ independently by Gibb et al. [7] and Wang [23]. When allowing amortization Wulff-Nilsen [24] discovered a *deterministic* data structure with $O(\log^2 n/\log\log n)$ amortized update time. Recently, Huang et al. [11] showed that if both randomization and amortization are allowed then dynamic connectivity can be solved in expected $O(\log n(\log\log n)^2)$ amortized time. Recently, Nanongkai and Saranurak [17] presented a randomized Las-Vegas algorithm for dynamic MSF with an expected worst-case update time of $O(n^{\frac{1}{2}-\epsilon})$ for some constant $\epsilon > 0$.

1.3 Organization

In Section 2 we give a formal detailed description of the sequential dynamic MSF algorithm proving Theorem 1.2. In Section 3 we give a formal detailed description of the EREW PRAM dynamic MSF algorithm for sparse graphs, thereby proving Theorem 1.1 for the special case of m = O(n). Due to space considerations, the description of how to extend the sparsification technique of Eppstein et al. [4] to the EREW PRAM model, without affecting the costs, is given in the full version [15]. This technique completes the proof of Theorem 1.1 for general graphs.

2 SEQUENTIAL DYNAMIC MSF - PROOF OF THEOREM 1.2

The goal of this section is to present a deterministic sequential algorithm for dynamic MSF in *sparse graphs*, where m = O(n). Theorem 1.2 is proved by applying the sparsification technique of Eppstein et al. [4] to the presented algorithm, allowing the algorithm to also work for general graphs, without changing the time cost.

2.1 Preliminaries

Each tree T in the MSF is represented as an Euler tour Euler(T), and each Euler tour (which is a list of edges) is stored as a list of vertices whose order is defined by the Euler tour such that every two adjacent vertices in the list represent an edge in the tour. We say that an edge in the MSF is a *tree edge*. When a new edge e = (u, v) is added to the graph, if e connects two different trees in the MSF then e becomes a tree edge, and the two corresponding Euler tours are merged. Otherwise, let e' be the heaviest edge on the path between u and v in the MSF prior to the update. If w(e) < w(e') then the algorithm removes e' from the MSF and adds e instead, thereby rearranging Euler tours. When a non-tree edge is deleted, there are no changes to any of the Euler tours. However, when a tree edge is deleted, the algorithm looks for a Minimum Weight Replacement (MWR) edge to reconnect the MSF, thereby rearranging Euler tours. Thus, the task of rearranging Euler tours is reduced to surgical operations of splitting or merging lists, as expressed in the following lemma (proven in [14]).

LEMMA 2.1 ([14], LEMMA 2.1). Let G be an undirected graph with MSF F. Let $T \in F$ be a MST in F that contains a tree edge e. If e is deleted, and $T = T_0 \cup e \cup T_1$, then Euler(T_0) and Euler(T_1) are constructed from Euler(T_1) with G(1) surgical operations. In the opposite direction, from Euler(T_0) and Euler(T_1), Euler($T_0 \cup e \cup T_1$) is constructed with G(1) surgical operations. It takes G(1) worst-case time to determine which surgical operations to perform.

Notice that during the sequence of surgical operations (of splitting or merging lists) that take place during splitting or merging Euler tours (as expressed in Lemma 2.1), the lists of vertices may temporarily not be valid Euler tours.

The discussion above together with Lemma 2.1 reduces the dynamic MSF problem to the following three subproblems: (1) finding the heaviest edge between two given vertices in a dynamic forest, (2) finding a MWR edge, and (3) implementing surgical operations on lists. The first subproblem is solved by applying the dynamic tree data structure of Sleator and Tarjan [20], which costs $O(\log n)$

worst-case time per forest update or path query. The rest of the description focuses on solving the last two subproblems. We emphasize that implementing the surgical operations on lists can be done in a straightforward manner costing O(1) worst-case time per operation, but such an implementation does not seem to support an efficient implementation of finding a MWR edge.

2.2 The Data Structure

Principal copies. For a graph vertex u there could be several copies of u in the list of vertices containing u (since the purpose of the list is to represent an Euler tour). The algorithm designates one copy to be the *principal copy* of u, denoted by pc_u , and vertex u stores a bidirectional pointer to pc_u .

Chunks. Each list of vertices is partitioned into consecutive chunks of vertices, so that each chunk c contains O(K) vertices and there are O(K) edges incident to vertices whose principal copy is in c, for a carefully chosen parameter K. We say that an edge is adjacent to or touches chunk c if the edge touches a graph vertex whose principal copy is in c. The algorithm maintains Invariant 1 for all chunks (recall that the maximum degree in the graph is 3):

Invariant 1. For chunk c let n_c be the sum of the number of vertices in c and the number of edges adjacent to c. Then $n_c \leq 3K$, and if c is not the only chunk in the list containing c then $K \leq n_c$.

Notice that when Invariant 1 is violated, a standard technique of merging and/or splitting O(1) adjacent chunks is used in order to restore the invariant. We describe the process for merging and splitting chunks in the proof of Lemma 2.2.

We assume for now that every list contains at least two chunks. The special case of lists containing only one chunk is addressed in the full version [15]. Thus, we denote the maximum number of possible chunks by J=O(n/K). Each chunk c is assigned a unique id $id_c \in [J]$, and each vertex in c stores id_c . In order to support quick lookups of chunks, the algorithm stores a J sized array called chunks such that $chunks[id_c]=c$. Each chunk c maintains two J-length vectors called $CAdj_c$ and $Memb_c$. $CAdj_c[id_{c'}]$ contains the minimum weight of an edge (u,v) such that pc_u is in c and pc_v is in c'. If no such edge exists then we denote $CAdj_c[id_{c'}]=\infty$. In the $Memb_c$ vector, all of the entries are set to 0 except for the entry at id_c which is set to 1.

The LSDS.. For each list L, the data structure stores a list sum data structure (LSDS) which is implemented as a 2-3 tree whose leaves correspond, in order, to the chunks of L. The LSDS supports logarithmic worst-case time inserts, deletes, splits and joins. Each internal vertex z maintains two J-length vectors, $Memb_z$ and $CAdj_z$. $Memb_z$ is the entry-wise OR of all the Memb vectors of chunks contained in leaves in the subtree of z. $CAdj_z$ is the entry-wise minimum of all the CAdj vectors of chunks contained in leaves in the subtree of z, as shown in Figure 1.

In order to efficiently perform surgical operations on lists, we describe an efficient implementation for splitting and merging chunks (Section 2.3) and an efficient implementation of LSDS operations (Section 2.4). We then use these implementations to show how to efficiently implement the surgical operations and how to find a MWR edge (Section 2.5).

2.3 Splitting and Merging Chunks

Lemma 2.2. There exists a data structure on chunks that supports splits and merges such that each operation costs O(J + K) worst-case time.

PROOF. *Splitting*. Splitting a chunk c can happen for one of two reasons: (1) either the list containing c needs to be split at a given vertex u that is in c, or (2) $n_c > 3K$ thereby violating Invariant 1. In the second case, the split location is located by scanning c in O(K) worst-case time. Thus, we assume from now that the algorithm knows the split location u.

Splitting the list of vertices in c at vertex u takes O(1) worst-case time. Let c_1 and c_2 be the resulting chunks where c_1 contains the first part of the list of vertices from c and c_2 contains the second part. The algorithm sets $idc_1 = idc$ and allocates a new (unique) id for c_2 . Next, the algorithm scans all of the vertices in c_2 and updates their chunk id. The new CAdj arrays for c_1 and c_2 are created by iterating over all edges adjacent to c_1 and c_2 , respectively, in O(K) worst-case time. Finally, for each chunk c', the algorithm updates $CAdj_{c'}[idc_1]$ to be $CAdj_{c_1}[idc']$ and $CAdj_{c'}[idc_2]$ to be $CAdj_{c_2}[idc']$, which takes O(J) worst-case time. Thus, the cost for splitting a chunk is O(J+K) worst-case time.

Merging. Merging the lists of vertices of adjacent chunks c_1 and c_2 takes O(1) worst-case time. Let c denote the resulting chunk containing the concatenation of the two lists. The algorithm sets $id_c = id_{c_1}$, and in O(K) worst-case time the algorithm scans all of the vertices in c in order to update their chunk id. The new CAdj array for c is created by iterating over all edges incident to c in O(K) worst-case time. Finally, for each chunk c', the algorithm updates $CAdj_{c'}[id_c]$ to be $CAdj_c[id_{c'}]$ and sets $CAdj_{c'}[id_{c_2}] = \infty$, which takes O(J) worst-case time. Thus, the cost for merging two adjacent chunks O(J+K) worst-case time.

2.4 Implementing The LSDS Operations

The LSDS supports the following operations:

- LSInsert(c, c') Add a new leaf for chunk c after chunk c'.
- LSDelete(c) Destroy chunk c.
- LSJoin(LS₁, LS₂) Concatenate two lists of chunks represented by LSDS LS₁ and LSDS LS₂.
- ullet LSSplit(c) Split the LSDS at chunk c.
- UpdateAdj(c) (Takes place immediately after an update to CAdj_c and CAdj_{c'}[id_c] for all chunks c' ≠ c.) Update the CAdj vectors for ancestors of c in the LSDS, and update the id_c'th entry of the CAdj vectors for all of the vertices in the LSDS.

Lemma 2.3. There exists an implementation of the LSDS where each of the operations LSInsert, LSDelete, LSJoin, LSSplit and UpdateAdj take $O(J \log J)$ worst-case time.

PROOF. All operations except for UpdateAdj. Basic tree operations on the LSDS, including access, insertion, deletion, splitting and joining cost $O(\log J)$ worst-case time each (since each LSDS supports at most J chunks). Thus, each basic tree operation touches at most $O(\log J)$ vertices in the tree. For every vertex z in the LSDS, updating a single entry in $CAdj_z$ or $Memb_z$ costs O(1) worst-case time (since the number of children is O(1)), and so updating the

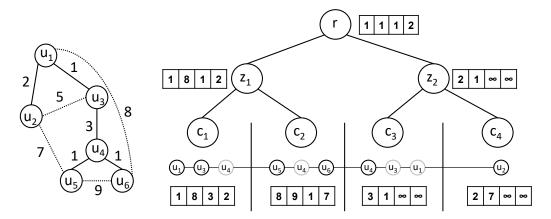


Figure 1: The left part of the figure is a graph where the tree edges are solid edges and the non-tree edges are the dotted edges. The right part of the figure is a LSDS tree whose leaves are chunks of the list of vertices representing the Euler tour for the graph. Each chunk contains a sublist of the Euler tour. The principal copies are the black vertices. The arrays in each chunk and next to internal tree vertices are the CAdj vectors.

CAdj and Memb arrays for the $O(\log J)$ vertices touched during a basic tree operation takes at most $O(J \log J)$ worst-case time.

Operation UpdateAdj. Updating the CAdj vectors in the path from the leaf representing c to the root takes $O(J \log J)$ worst-case time. Updating the id_c 'th entry of every CAdj array in the tree takes O(J) worst-case time (since the 2-3 tree contains at most O(J) vertices). Thus, the total cost of UpdateAdj is $O(J \log J)$ worst-case time.

2.5 Surgical Operations

Lemma 2.4. There exists an algorithm in which each surgical operation on lists costs $O(J \log J + K)$ worst-case time and finding a MWR edge costs O(J + K) worst-case time.

PROOF. Splitting a list. Suppose we split list L at vertex u of chunk c into two parts, L_1 and L_2 . Let LS be the LSDS representing L. The algorithm splits c at vertex u into c_1 and c_2 , inserts c_2 into LS after the leaf representing c_1 and calls UpdateAdj(c_1) and UpdateAdj(c_2) in order to update all CAdj vectors in LS. Next, the algorithm splits LS into LS_1 and LS_2 , where the last chunk of LS_1 is c_1 and the first chunk of LS_2 is c_2 . If Invariant 1 is violated at either c_1 or c_2 , then the algorithm executes O(1) splits and merges (followed by O(1) LSDS operations) on c_1 or c_2 together with their adjacent chunks in L_1 or L_2 , respectively, thereby preserving Invariant 1. Thus, splitting a list costs $O(J \log J + K)$ worst-case time.

Joining two lists. Suppose we join two lists, L_1 and L_2 , into a single list L. Let LS_1 (LS_2) be the corresponding LSDS of L_1 (L_2). The algorithm calls LSJoin(LS_1 , LS_2) to merge LS_1 and LS_2 into LS, which costs $O(J \log J)$ worst-case time.

Finding a MWR edge. Notice that the algorithm looks for a MWR edge between two Euler tours ET_1 and ET_2 only immediately after splitting Euler tour ET into ET_1 and ET_2 . Let LS_1 (LS_2) be the LSDS corresponding to the list of ET_1 (ET_2). Let r_1 and r_2 be the roots of LS_1 and LS_2 , respectively.

The algorithm constructs an array γ of length J in O(J) worstcase time such that if $Memb_{r_2}[i] = 0$ then $\gamma[i] = \infty$, and otherwise $\gamma[i] = CAdj_{r_1}[i]$. Thus, $\gamma[id_c] < \infty$ if and only if there exists some edges between vertices in ET_1 and vertices in chunk c(which must be in ET_2). Moreover, if $\gamma[id_c] < \infty$ then the weight of the minimum weight edge between ET_1 and chunk c is $\gamma[id_c]$. Let $\hat{c} = \arg \min_{\text{chunk } c} \{ \gamma[id_c] \}$. Thus, the minimum weight edge between ET_1 and ET_2 touches a graph vertex u such that $pc_u \in \hat{c}$. The algorithm computes $id_{\hat{c}}$ in O(J) worst-case time by scanning γ and looking for the smallest entry. Then, the algorithm scans all of the O(K) edges touching $\hat{c} = chunks[id_{\hat{c}}]$, and for each such edge e = (u, v) where $pc_u \in \hat{c}$, the algorithm verifies whether the chunk c_v containing pc_v is in LS_1 or not by looking at $Memb_{r_1}[id_{c_v}]$. Finally, the algorithm picks the lightest edge that passes the verification. Thus, the total cost of finding the MWR edge is O(J + K)worst-case time.

2.6 Graph Updates

PROOF OF THEOREM 1.2. *Edge insertion*. Suppose we insert a new edge e = (u, v) with weight w(e) to the graph. Let c_1 and c_2 be the chunks containing pc_u and pc_v , respectively, and let LS_1 and LS_2 be the LSDSes containing c_1 and c_2 , respectively. The algorithm begins by updating $CAdj_{c_1}[id_{c_2}]$ and $CAdj_{c_2}[id_{c_1}]$. Next, the algorithm calls UpdateAdj(c_1) and UpdateAdj(c_2) in order to update the CAdj vectors in LS_1 and LS_2 . In case of a violation to Invariant 1, the algorithm executes O(1) splits and merges on c_1 or c_2 together with their respective adjacent chunks, followed by O(1) LSDS operations.

If $LS_1 \neq LS_2$ then u and v are in different Euler tours, and so by Lemma 2.1 a series of O(1) surgical operations takes place in order to merge the two Euler tours containing u and v into a single Euler tour. The algorithm also adds e to the dynamic tree structure of Sleator and Trajan [20] in $O(\log n)$ worst-case time.

If $LS_1 = LS_2$, then u and v are in the same Euler tour. In this case, the algorithm uses the dynamic tree structure to locate the heaviest edge e' on the path from u to v in the current MSF. Finding e' takes $O(\log n)$ worst-case time. If w(e) < w(e') then the algorithm

removes e' from the MSF, inserts e into the MSF, and updates the dynamic tree structure which costs $O(\log n)$ worst-case time. Thus, the total worst-case time for inserting an edge is $O(J \log J + K + \log n)$.

Edge deletion. Suppose we delete edge e = (u, v) from the graph. Let c_1 be the chunk containing pc_u and let c_2 be the chunk containing pc_v . Let LS be the LSDS containing c_1 and c_2 . The algorithm begins by updating $CAdj_{c_1}[id_{c_2}]$ and $CAdj_{c_2}[id_{c_1}]$ in O(K) worstcase time by scanning all edges touching c_1 . Next, the algorithm calls UpdateAdj (c_1) on LS to update all CAdj vectors in LS. If e is a tree edge, then the algorithm first removes e from the dynamic tree structure in $O(\log n)$ worst-case time, and then executes a series of O(1) surgical operations in order to split the Euler tour containing uand v. Let ET_u and ET_v be the resulting two Euler tours containing u and v, respectively. Finally, the algorithm looks for a MWR edge between ET_u and ET_v in O(J + K) worst-case time, and if such an edge e' is found, then the algorithm adds e' to the dynamic tree structure and executes another series of O(1) surgical operations reconnecting ET_u and ET_v . Thus, the cost of deleting an edge is $O(J \log J + K + \log n)$ worst-case time.

Time cost. By setting $K = O(\sqrt{n \log n})$ and since J = O(n/K), the insertion and deletion costs become $O(J \log J + K + \log n) = O(\sqrt{n \log n})$ worst-case time.

3 PARALLEL DYNAMIC MSF ON SPARSE GRAPHS

In this section we prove the following theorem.

THEOREM 3.1. There exists a deterministic algorithm for the dynamic MSF problem in the EREW PRAM model on sparse graphs with m = O(n) edges that uses $O(\sqrt{n})$ processors and has a parallel worst-case update time of $O(\log n)$. The resulted work of the algorithm is $O(\sqrt{n}\log n)$.

The new parallel sparsification technique (details in the full version [15]), extends Theorem 3.1 to work for general graphs, thereby proving Theorem 1.1.

The data structure. The algorithm uses the same data structure as described in Section 2.2 with three changes. The first change is that for each chunk c, the list of vertices in c is augmented with a balanced 2-3 tree, denoted by BT_c , whose leaves are elements of the list that are in c. The height of BT_c is $O(\log K)$. Each vertex v in BT_c stores an edge counter ec_v which is the total number of edges incident to graph vertices whose principal copy is in the subtree of v; see Figure 2. The order of leaves in BT_c together with an order of the at most 3 edges incident to each graph vertex whose principal copy is in c defines an order on the edges touching c.

The second change is due to the requirements from the EREW PRAM model. In particular, for any chunk c, we cannot support constant worst-case time access to the entries of $CAdj_c$ (or $Memb_c$) in parallel through a single pointer from c to the array $CAdj_c$, due to the exclusive reading requirement. Instead, we use a two dimensional matrix C of size $J \times J = O(n)$ (at the end of this section we set $K = \sqrt{n}$) such that the entries of the j'th row of C are exactly the entries of $CAdj_c$ where $id_c = j$. From now on, we let $CAdj_c[i]$ denote $C[id_c, i]$. We also use the same exact method for Memb arrays.

The third change, which is also due to the exclusive reading requirement, is in the CAdj and Memb arrays in the LSDS. Instead of using one tree LSDS LS, we now use J trees S_1, S_2, \ldots, S_J for each LSDS, where the j'th tree corresponds to the chunk with id j. For chunk c, the id_c 'th leaf of S_j contains both $CAdj_c[j]$ and $Memb_c[j]$. We also store a pointer from $CAdj_c[j]$ and $Memb_c[j]$ to the id_c 'th leaf of S_j , thereby providing direct access to that leaf. Finally, in order to provide direct access to the root of each S_j , we store a matrix of size $J \times J = O(n)$ where the (j,i) entry contains a pointer to the root of S_i used in the j'th LSDS.

As in the sequential algorithm, the special case of lists containing only one chunk in the new parallel algorithm is addressed in the full version [15].

Assigning edges. Our algorithm will often perform the task of assigning a different processor to each edge touching chunk c. This assignment is implemented by a parallel operation $getEdge_c(k)$ in which processor p_k accesses the k'th edge incident to chunk c. The operation $getEdge_c(k)$ uses the edge counters in BT_c together with an array vertex of size 3K where each entry is a pointer to a vertex in BT_c . We describe the implementation from the perspective of processor p_k for $1 \le k \le 3K$. We emphasize that in order to implement $getEdge_c(k)$, only p_1 will require access to c

Let $root_c$ be the root of BT_c . The implementation has h phases where $h = O(\log K)$ is the height of BT_c . Processor p_k participates in the i'th phase if and only if $vertex[k] \neq NULL$ at the beginning of the i'th phase. Moreover, the participating processors in each phase are assigned to different vertices in BT_c . In particular, processor p_k is assigned to the vertex v = vertex[k] with the guarantee that the rank of the rightmost edge in the subtree of v is k.

To initialize the process, each p_k sets vertex[k] = NULL and if k = 1 then p_k sets $vertex[ec_{root_c}] = root_c$. Now we begin the phases for i = 1, 2, ..., h. For the *i*'th phase, if vertex[k] = v, then p_k accesses the at most 3 children of v and looks at their edge counters. Based on these edge counters, p_k computes in constant worst-case time the rank of the rightmost edge in each one of the subtrees of the children of v. If the rightmost edge in the subtree of a child u of v is r, then p_k sets vertex[r] = u. Notice that p_k necessarily sets vertex[k] to be the rightmost child of v. After h phases all of the vertices in vertex are leaves of BT_c , but some of the entries of *vertex* may still be set to *NULL*. An entry vertex[k] = NULL can occur due to one of two reasons: either k is larger than the number of edges touching c, or the principal copy of the edge that p_k is accessing is also the principal copy of another edge which is being accessed by a different processor. However, due to the invariant that the rank of the rightmost edge in the subtree of vertex[k] is k and the fact that the maximum degree in the graph is 3, the principal copy that p_k is looking for is either in vertex[k+1] or vertex[k+2]. Thus, within 3 more steps, p_k is able to access the principal copy and complete the task. Thus, the operation $getEdge_c(k)$ costs $O(\log K)$ worst-case time.

3.1 Splitting and Merging Chunks

LEMMA 3.2. There exists an algorithm in the EREW PRAM model that supports splits and merges of chunks such that each operation costs $O(\log K)$ parallel worst-case time, using O(J + K) processors.

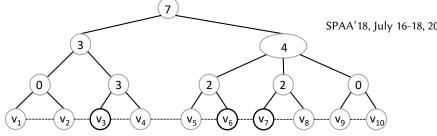


Figure 2: The 2-3 tree BT_c built on the list of vertices inside chunk c. v_3 , v_6 and v_7 are the principal copies inside chunk c, and the number of edges touching v_3 , v_6 and v_7 is 3, 2, and 3, respectively. The numbers inside the inner tree vertices are the edge counters.

PROOF. Splitting. Recall that splitting a chunk c can happen for one of two reasons: (1) either the list containing c needs to be split at a given vertex u that is in c, or (2) $n_c > 3K$ thereby violating Invariant 1. In the second case, processor p_1 locates the split location in $O(\log K)$ worst-case time by traversing down BT_c using the edge counters. Thus, we assume from now that the algorithm knows the split location u.

Processor p_1 splits BT_c at vertex u in $O(\log K)$ worst-case time. Let c_1 and c_2 be the resulting chunks where c_1 contains the first part of BT_c and c_2 contains the second part. Processor p_1 sets $id_{c_1} = id_c$ and allocates a new (unique) id for c_2 . We now focus on creating $CAdj_{c_1}$, since $CAdj_{c_2}$ is created in the same manner.

The sequential algorithm for constructing $CAdj_{c_1}$ (in the proof of Theorem 1.2) scans all of the O(K) edges touching c_1 . In the parallel setting, accessing all of the edges in parallel does not suffice since there could be several edges touching both c_1 and \hat{c} for some other chunk \hat{c} , and the algorithm needs to store only the minimum weight of such an edge. To solve this issue we do the following.

The algorithm uses *J* balanced binary tournament trees, denoted by T_1, T_2, \dots, T_I , where each tree has 3K leaves. Each vertex z in T_i stores a value A_z initialized to ∞^1 . The algorithm uses an iterative process for implementing a special tournament-like process. During the iterative process, each processor will initially be active until the processor decides to become *inactive* and no longer participates in the process. The iterative process implicitly uses an exclusiveassignment property which states that each participating processor is assigned to a vertex in some tree T_i such that there are no two processors that are assigned to the same vertex. At the beginning of the *i*'th iteration the active processors are assigned to vertices whose height is i - 1.

The initialization of the iterative process is as follows. For each $1 \le k \le 3K$, processor p_k sets itself as active and executes the $getEdge_{c_1}(k)$ operation thereby gaining access to $e_k = (u_k, v_k)$, which is the k'th edge adjacent to chunk c_1 . Assume without loss of generality that $pc_{u_k} \in c_1$. Let c_{v_k} be the chunk such that $pc_{v_k} \in c_{v_k}$, and denote $id = id_{c_{v_k}}$. Processor p_k assigns itself in O(1) worstcase time (using a lookup table) to the k'th leaf of T_{id} , denoted by ℓ_k , and sets $A_{\ell_k} = w(e_k)$. Thus, the exclusive-assignment property holds.

Each iteration has four synchronous phases. Recall that only active processors continue to participate in the process.

• *Phase 1.* If p_k is assigned to a vertex z that is the *left* child of its parent parent(z) then p_k sets $A_{parent(z)} = w(e_k)$.

- Phase 2. If p_k is assigned to a vertex z that is the right child of its parent parent(z) then: if $A_{parent(z)} > w(e_k)$ then p_k sets $A_{parent(z)} = w(e_k)$ and otherwise p_k becomes inactive.
- *Phase 3.* If p_k participated in the first phase and $A_{parent(z)} <$ $w(e_k)$ then p_k becomes inactive.
- *Phase 4.* If parent(z) is the root of a tournament tree, then the iterative process ends. Otherwise, p_k is assigned to parent(z).

Notice that by the exclusive-assignment property we are guaranteed that during the first two phases no two processors are writing to the same location in memory at the same time. Also, we are guaranteed that if two processors are assigned to sibling vertices, then after the third phase the processor that is assigned to the lighter edge remains active, with ties favoring the left vertex, while the other processor becomes inactive. Thus, after the third phase, if p_k is still active then there is no other active processor $p_{\hat{k}}$ that is currently assigned to z, and so after the fourth phase the exclusive-assignment property holds. At the end of the iterative process, the processor that is at the root of T_j sets $CAdj_{c_1}[j] = A_{root(T_i)}$.

Finally, for each chunk c', the algorithm sets $CAdj_{c'}[id_{c_1}] =$ $CAdj_{c_1}[id_{c'}]$ and sets $CAdj_{c'}[id_{c_2}] = CAdj_{c_2}[id_{c'}]$, which takes O(1)parallel worst-case time using O(J) processors. Thus, the cost for splitting a chunk is $O(\log K)$ parallel worst-case time, using O(J+K)processors.

Merging. Processor p_1 merges BT_{c_1} and BT_{c_2} in $O(\log K)$ worstcase time. Let c denote the resulting chunk containing the concatenation of the lists of vertices represented by BT_{c_1} and BT_{c_2} . Processor p_1 sets $id_c = id_{c_1}$. The new CAdj array for c is created by performing an entry-wise minimum of $CAdj_{c_1}$ and $CAdj_{c_2}$ in O(1)parallel worst-case time using O(J) processors. Finally, for each chunk c', the algorithm sets $CAdj_{c'}[id_c] = CAdj_c[id_{c'}]$ and sets $CAdj_{c'}[id_{c_2}] = \infty$, which takes O(1) parallel worst-case time using O(J) processors. Thus, the cost for merging a chunk is $O(\log K)$ parallel worst-case time, using O(J) processors.

3.2 LSDS Operations

LEMMA 3.3. There exists an implementation of the LSDS in the EREW PRAM model using O(J) processors where each of the operations LSInsert, LSDelete, LSJoin, LSSplit and UpdateAdj takes $O(\log J)$ parallel worst-case time.

Proof. All operations except for UpdateAdj. Recall that in the proof of Lemma 2.3 each basic tree operation touches at most $O(\log J)$ vertices in the tree. We use a similar implementation as in Lemma 2.3, but now processor p_i for $1 \le j \le J$ performs the basic tree operations on S_i . Thus, the total parallel worst-case time for each operation except for UpdateAdj is $O(\log J)$.

Operation UpdateAdj(c). We again use a similar implementation as in Lemma 2.3, with the following changes. For each S_i , updating the path from the leaf representing c to the root of S_i costs $O(\log J)$

¹Reusing and initializing a temporary data structure in the parallel setting is implemented by either using a timestamp for each word of memory or rolling back all of the memory changes after the operation completes, thereby allowing the cost analysis to ignore the initialization cost.

parallel worst-case time using O(J) processors. In order to update S_{id_c} , processor p_j for $1 \le j \le J$ is responsible for handling the leaf representing chunks[j], which is accessible through the pointer stored in $CAdj_{chunks[j]}[id_c]$. We now need to sweep up S_{id_c} in parallel, starting from all of the leaves of S_{id_c} . This process is described next.

The algorithm begins an iterative process where at the beginning of the i'th iteration there is a unique processer assigned to each vertex of height i-1 in S_{id_c} . At the i'th iteration, suppose p_j is assigned to vertex z of height i-1. Then p_j is reassigned to parent(z) only if z is the leftmost child of parent(z). If z is not the leftmost child of parent(z), then p_j halts. Thus, each vertex at height i is assigned to exactly one processor. If p_j did not halt then p_j updates the value stored in parent(z) in O(1) worst-case time. The iterative process ends at the root, which happens after $O(\log J)$ steps. The parallel worst-case time cost $per\ level$ is O(1) and $O(\log J)$ worst-case time for the entire procedure. The number of processors used is O(I).

3.3 Surgical Operations

Lemma 3.4. There exists an algorithm in the EREW PRAM model in which each surgical operation on lists costs $O(\log J + \log K)$ parallel worst-case time using O(J + K) processors and finding a MWR edge costs $O(\log J + \log K)$ parallel worst-case time using O(J + K) processors.

PROOF. The implementation of both splitting and merging lists remains the same as in the proof of Lemma 2.4, but this time applying Lemma 3.2 instead of Lemma 2.2. So the operation of splitting a list costs $O(\log K)$ parallel worst-case time using O(J+K) processors, and the operation of merging two lists costs $O(\log J + \log K)$ parallel worst-case time using O(J+K) processors.

Finding a MWR edge. The algorithm constructs the array γ , as defined in the proof of Lemma 2.4, but now processor p_i for $j \in [J]$ computes $\gamma[j]$ in O(1) parallel worst-case time by accessing the root of S_i in each LSDS in constant worst-case time (using the lookup matrix). Let $\hat{c} = \arg\min_{\text{chunk } c} \{ \gamma[id_c] \}$. Recall that the minimum weight edge between ET_1 and ET_2 (as defined in the proof of Lemma 2.4) touches a vertex u such that $pc_u \in \hat{c}$. The algorithm in the proof of Lemma 2.4 computes $id_{\hat{c}}$ in O(J) worstcase time by scanning γ and finding the smallest entry. In the EREW PRAM model, the algorithm uses a tournament tree to find the smallest entry, which costs $O(\log J)$ parallel worst-case time using O(J) processors. Next, processor p_k for $k \in [3K]$ accesses edge $e_k = getEdge_{\hat{c}}(k).$ Let $e_k = (u,v)$ where $pc_u \in \hat{c}.$ In the CREW PRAM model, processor p_k verifies in O(1) whether the chunk c_v containing pc_v is in LS_1 (as defined in the proof of Lemma 2.4) by looking at the *Memb* value in the root of $S_{id_{c_v}}$ of LS_1 . Using the reduction of [12], this process costs $O(\log K)$ worst-case time in the EREW model. Finally, the algorithm picks the lightest edge via a tournament tree algorithm whose participants are the processors whose edge passed the verification. The algorithm for finding the MWR edge takes $O(\log J + \log K)$ parallel worst-case time, using O(J) processors.

3.4 Graph Updates

PROOF OF THEOREM 1.1. *Edge insertion*. The algorithm for inserting an edge is the same as in the sequential algorithm in the proof of Theorem 1.2, but this time applying Lemmas 3.2, 3.3, and 3.4 instead of Lemmas 2.2, 2.3, and 2.4. The parallel worst-case update time is $O(\log J + \log K)$, by using O(J + K) processors.

Edge deletion. The algorithm for deleting an edge is the same as in the sequential algorithm in the proof of Theorem 1.2, except for two changes: (1) the edge deletion algorithm applys Lemmas 3.2, 3.3, and 3.4 instead of Lemmas 2.2, 2.3, and 2.4, and (2) the new minimum weight edge connecting chunks c_1 and c_2 (as defined in the edge deletion operation in the proof of Theorem 1.2) is found in the EREW PRAM model by using a tournament tree which costs $O(\log K)$ parallel worst-case time using O(K) processors. The parallel worst-case update time is $O(\log J + \log K)$, by using O(J + K) processors.

Time cost. By setting $K = O(\sqrt{n})$, the insertion and deletion costs become $O(\log n)$ parallel worst-case time using $O(\sqrt{n})$ processors, for a total work of $O(\sqrt{n} \log n)$.

4 CONCLUSION

We described an algorithm for solving dynamic MSF on sparse graphs in the EREW PRAM model that uses $O(\sqrt{n})$ processors and has $O(\log n)$ worst-case update time. The resulted work of the algorithm is $O(\sqrt{n}\log n)$. By extending the sparsification technique to work in the EREW PRAM model (see [15]), the algorithm can be used for solving dynamic MSF on general graphs with the same complexities. Thus, the total work is $O(\sqrt{n}\log n)$. We leave open the task of designing a solution that has a parallel $O(\log n)$ worst-case update time, but only $O(\sqrt{n})$ work, thereby matching the amount of work used in the sequential solutions.

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