

# Biologically Plausible Computing: Navigating Energy Landscapes

Francesco Bullo

Center for Control,  
Dynamical Systems & Computation  
University of California at Santa Barbara  
<https://fbullo.github.io>



Department of Mechanical Engineering and  
UCR Artificial Intelligence Research and Education Institute  
UC Riverside, February 26, 2026

# Acknowledgments



Simone Betteti  
Italian Institute of  
Artificial Intelligence



Veronica Centorrino  
ETH



Anand Gokhale  
UC Santa Barbara



William Retnaraj  
UC San Diego



Francesca Rossi  
Scuola Sup Meridionale



Giacomo Baggio  
Univ Padova



Jorge Cortes  
UC San Diego



Alexander Davydov  
Rice Univ



Giovanni Russo  
Univ Salerno



Sandro Zampieri  
Univ Padova



AFOSR



ARO

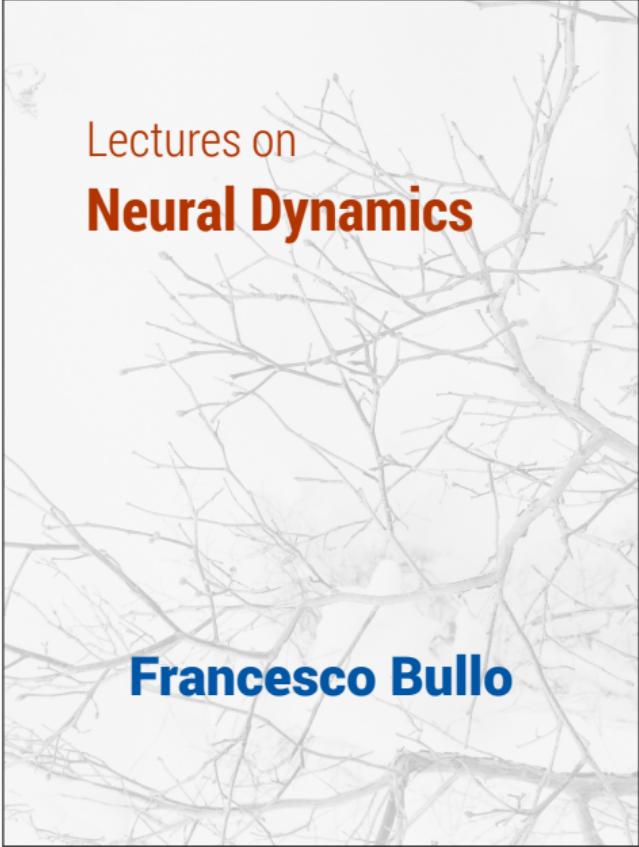


ONR



DTRA/ERDC

Frederick Leve @AFOSR FA9550-22-1-0059  
Marc Steinberg @ONR N00014-22-1-2813  
Derya Cansever @ARO W911NF-24-1-0228



# Lectures on Neural Dynamics

Francesco Bullo

**Lectures on Neural Dynamics**, Francesco Bullo, May 2025.  
(144+xiv pages, 66 figures, 18 exercises)  
Latest revision: Jan 23, 2026

① Textbook with exercises and answers.

② Content:

- Neural circuit models based on firing rates and Hopfield networks: dynamics, interconnections, and local Hebbian adaptation rules
- Stability in dynamic neural networks using Lyapunov methods, multistability, and energy functions
- Optimization in neural networks through biologically inspired gradient dynamics and sparse representations.
- Unsupervised learning via neural dynamics, linking Hebbian rules to tasks like PCA, clustering, and similarity-based representation learning.

③ PDF Freely available at:

<https://fbullo.github.io/lnd>

"Continuous improvement is better than delayed perfection"

Mark Twain

# Outline

- §1. Chapter #1: Context and motivation for biologically-plausible neural circuits
- §2. Chapter #2: Neural circuits for optimization
- §3. Chapter #3: Neural circuits for multiplayer optimization
- §4. Conclusion and ongoing research

Despite incredible achievements, deep learning models remain limited in

- **interpretability** (the "black box" problem)
- **computational efficiency** (the power-hungry nature of GPUs)
- **physical grounding** (gap between silicon and biological efficiency)

- ① What are the *fundamental limits* of information processing, given the laws of physics?
- ② What *architectures* and *strategies* enable the brain's extreme energy efficiency?
- ③ Can *analog, oscillator-based, and neuromorphic computing* translate these biological principles into silicon?

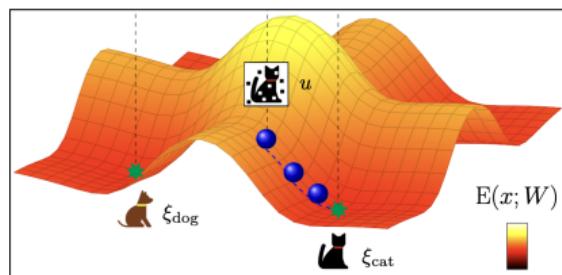
Despite incredible achievements, deep learning models remain limited in

- **interpretability** (the "black box" problem)
- **computational efficiency** (the power-hungry nature of GPUs)
- **physical grounding** (gap between silicon and biological efficiency)

- ① What are the *fundamental limits* of information processing, given the laws of physics?
- ② What *architectures* and *strategies* enable the brain's extreme energy efficiency?
- ③ Can *analog, oscillator-based, and neuromorphic computing* translate these biological principles into silicon?
- ④ To what extent do these questions reduce to *cost minimization* and *energy landscapes*?

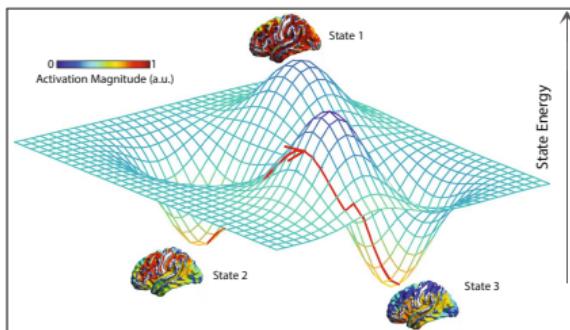
*"The idea that the brain functions so as to minimize certain costs pervades theoretical neuroscience."*

S. C. Surace, J.-P. Pfister, W. Gerstner, and J. Brea. On the choice of metric in gradient-based theories of brain function. *PLOS Computational Biology*, 16(4):e1007640, 2020. [doi](#)



Energy landscape for associative memory in Hopfield models

S. Betteti, G. Baggio, F. Bullo, and S. Zampieri. Input-driven dynamics for robust memory retrieval in Hopfield networks. *Science Advances*, 11(17), 2025a. [doi](#)



Energy of neurophysiological activity

S. Gu, M. Cieslak, B. Baird, S. F. Muldoon, S. T. Grafton, F. Pasqualetti, and D. S. Bassett. The energy landscape of neurophysiological activity implicit in brain network structure. *Scientific Reports*, 8(1), 2018. [doi](#)

**Firing-rate network:**

$$\dot{x} = F_{FR}(x) := -x + \Phi(Wx + Bu)$$

where  $W$  is *synaptic matrix*,  $\Phi$  is *activation function*, and  $u$  is *stimulus*

## Firing-rate network:

$$\dot{x} = F_{FR}(x) := -x + \Phi(Wx + Bu)$$

where  $W$  is *synaptic matrix*,  $\Phi$  is *activation function*, and  $u$  is *stimulus*

- ① What functionality does  $F_{FR}$  implement?
- ② What energy does  $F_{FR}$  minimize?
- ③ Is there an optimization-based top-down framework for neural circuits?  
That is, a framework that derives neural circuits from a mathematical objective?

C. Pehlevan and D. B. Chklovskii. Neuroscience-inspired online unsupervised learning algorithms: Artificial neural networks. *IEEE Signal Processing Magazine*, 36(6):88–96, 2019. 

## §1. Chapter #1: Context and motivation for biologically-plausible neural circuits

## §2. Chapter #2: Neural circuits for optimization

- Proximal gradient descent
- Case study #1: Sparse signal reconstruction
- Case study #2: Policy composition via free energy

## §3. Chapter #3: Neural circuits for multiplayer optimization

- Proximal gradient play
- Case study #3: Contrast enhancement via excitatory-inhibitory networks

## §4. Conclusion and ongoing research

**Regularized optimization problem**

$$\min_{x \in \mathbb{R}^n} \mathcal{E}_{\text{regularized}}(x, u) = f(x, u) + g(x)$$

- nominal cost  $f(x, u)$  is well behaved
- regularizer  $g(x)$  may be poor behaved

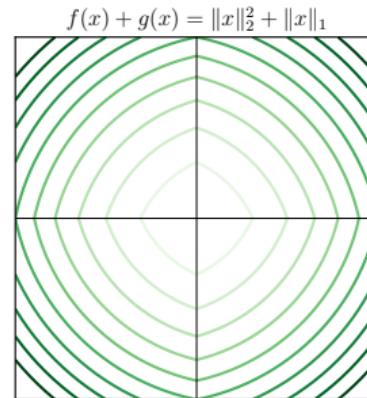
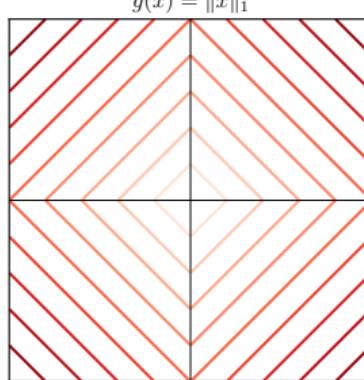
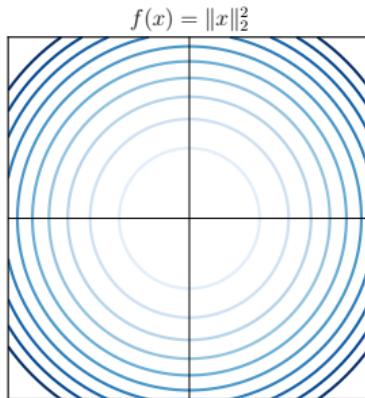
# Prologue to Chapter #2:

## Regularized optimization and proximal gradient descent

### Regularized optimization problem

$$\min_{x \in \mathbb{R}^n} \mathcal{E}_{\text{regularized}}(x, u) = f(x, u) + g(x)$$

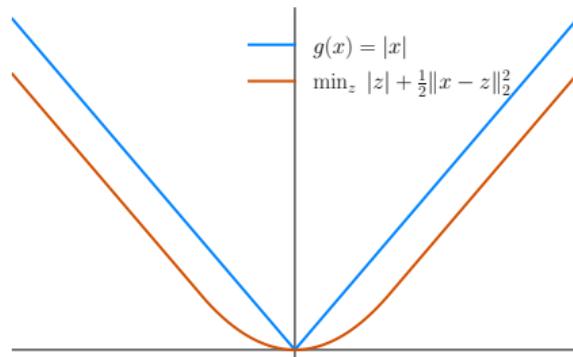
- nominal cost  $f(x, u)$  is well behaved
- regularizer  $g(x)$  may be poor behaved



## proximal operator for the regularizer $g$

$$\text{prox}_g(x) := \underset{z \in \mathbb{R}^n}{\operatorname{argmin}} \quad g(z) + \frac{1}{2} \|x - z\|_2^2$$

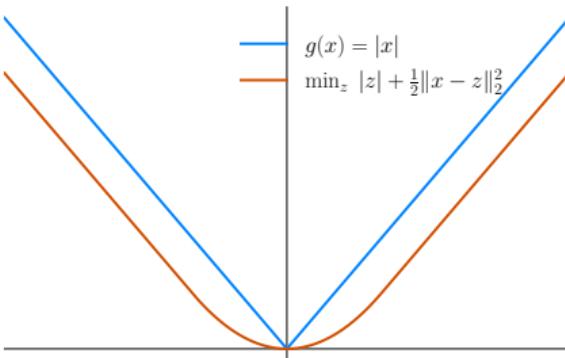
- simple regularized problem
- the quadratic term keeps optimal point close to input  $x$
- the prox is a map that turns  $x$  into a “ $g$ -better” point



## proximal operator for the regularizer $g$

$$\text{prox}_g(x) := \underset{z \in \mathbb{R}^n}{\operatorname{argmin}} \quad g(z) + \frac{1}{2} \|x - z\|_2^2$$

- simple regularized problem
- the quadratic term keeps optimal point close to input  $x$
- the prox is a map that turns  $x$  into a “ $g$ -better” point



$f(\mathbf{x})$	$\text{dom}(f)$	$\text{prox}_f(\mathbf{x})$	Assumptions	Reference
$\frac{1}{2}\mathbf{x}^T \mathbf{A}\mathbf{x} + \mathbf{b}^T \mathbf{x} + c$	$\mathbb{R}^n$	$(\mathbf{A} + \mathbf{I})^{-1}(\mathbf{x} - \mathbf{b})$	$\mathbf{A} \in \mathbb{R}_{++}^n, \mathbf{b} \in \mathbb{R}^n, c \in \mathbb{R}$	Section 6.2.3
$\lambda x^2$	$\mathbb{R}_+$	$\frac{-1 + \sqrt{1 + 2\lambda \alpha }}{2\lambda} \mathbf{x}$	$\lambda > 0$	Lemma 6.5
$\mu x$	$[0, \alpha] \cap \mathbb{R}$	$\min\{\max\{x - \mu, 0\}, \alpha\}$	$\mu \in \mathbb{R}, \alpha \in [0, \infty]$	Example 6.14
$\lambda \ \mathbf{x}\ $	$\mathbb{R}$	$\left(1 - \frac{\lambda}{\ \mathbf{x}\ _{\mathbb{R}}}\right) \mathbf{x}$	$\ \cdot\  = \text{Euclidean norm}, \lambda > 0$	Example 6.19
$-\lambda \ \mathbf{x}\ $	$\mathbb{R}$	$\begin{pmatrix} 1 + \frac{\lambda}{\ \mathbf{x}\ } \\ \mathbf{u} : \ \mathbf{u}\  = \lambda \end{pmatrix} \mathbf{x}, \mathbf{x} \neq \mathbf{0}$	$\ \cdot\  = \text{Euclidean norm}, \lambda > 0$	Example 6.21
$\lambda \ \mathbf{x}\ _1$	$\mathbb{R}^n$	$T_\lambda(\mathbf{x}) = \ \mathbf{x}\  - \lambda \mathbf{e}_+ \odot \text{sgn}(\mathbf{x})$	$\lambda > 0$	Example 6.8
$\ \omega \odot \mathbf{x}\ _1$	$\text{Box}[-\mathbf{a}, \mathbf{a}]$	$S_{\omega, \alpha}(\mathbf{x})$	$\alpha \in [0, \infty]^n, \omega \in \mathbb{R}_+^n$	Example 6.23
$\lambda \ \mathbf{x}\ _\infty$	$\mathbb{R}^n$	$\mathbf{x} - \lambda P_{B_{\ \cdot\ _\infty}[0,1]}(\mathbf{x}/\lambda)$	$\lambda > 0$	Example 6.48
$\lambda \ \mathbf{x}\ _u$	$\mathbb{R}$	$\mathbf{x} - \lambda P_{B_{\ \cdot\ _u}[0,1]}(\mathbf{x}/\lambda)$	$\ \cdot\ _u = \text{arbitrary norm}, \lambda > 0$	Example 6.47
$\lambda \ \mathbf{x}\ _0$	$\mathcal{H}_{\sqrt{2\lambda}}(x_1) \times \cdots \times \mathcal{H}_{\sqrt{2\lambda}}(x_n)$		$\lambda > 0$	Example 6.10
$\lambda \ \mathbf{x}\ ^3$	$\mathbb{R}$	$\frac{1 + \sqrt{1 + 12\lambda/\lambda^3}}{3} \mathbf{x}$	$\ \cdot\  = \text{Euclidean norm}, \lambda > 0$	Example 6.20
$-\lambda \sum_{j=1}^n \log x_j$	$\mathbb{R}_{++}^n$	$\left(\frac{x_j + \sqrt{x_j^2 + 4\lambda}}{2}\right)_{j=1}^n$	$\lambda > 0$	Example 6.9
$\delta_C(\mathbf{x})$	$\mathbb{R}$	$P_C(\mathbf{x})$	$\emptyset \neq C \subseteq \mathbb{R}$	Theorem 6.24
$\lambda \sigma_C(\mathbf{x})$	$\mathbb{R}$	$\mathbf{x} - \lambda P_C(\mathbf{x}/\lambda)$	$\lambda > 0, C \neq \emptyset \text{ closed convex}$	Theorem 6.46
$\lambda \max\{x_i\}$	$\mathbb{R}^n$	$\mathbf{x} - \lambda P_{\Delta_n}(\mathbf{x}/\lambda)$	$\lambda > 0$	Example 6.49
$\lambda \sum_{i=1}^k x_i \mathbf{1}_{[i]}$	$\mathbb{R}^n$	$\mathbf{x} - \lambda P_C(\mathbf{x}/\lambda), C = H_{\mathbf{n}, \mathbf{1}} \cap \text{Box}[\mathbf{0}, \mathbf{e}]$	$\lambda > 0$	Example 6.50
$\lambda \sum_{i=1}^k  x_i  \mathbf{1}_{[i]}$	$\mathbb{R}^n$	$\mathbf{x} - \lambda P_C(\mathbf{x}/\lambda), C = B_{\ \cdot\ _1}[0, k] \cap \text{Box}[-\mathbf{e}, \mathbf{e}]$	$\lambda > 0$	Example 6.51
$\lambda M_f^{\mu}(\mathbf{x})$	$\mathbb{R}$	$\frac{\mathbf{x} +}{\mu + 1} (\text{prox}_{(\mu + \lambda)f}(\mathbf{x}) - \mathbf{x})$	$\lambda, \mu > 0, f \text{ proper closed convex}$	Corollary 6.64
$\lambda d_C(\mathbf{x})$	$\mathbb{R}$	$\min\left\{\frac{1}{2\lambda}, \frac{1}{2}\right\} (P_C(\mathbf{x}) - \mathbf{x})$	$\emptyset \neq C \text{ closed convex}, \lambda > 0$	Lemma 6.43
$\frac{1}{2}d_C^2(\mathbf{x})$	$\mathbb{R}$	$\frac{1}{4\lambda} P_C(\mathbf{x}) + \frac{1}{4\lambda} \mathbf{x}$	$\emptyset \neq C \text{ closed convex}, \lambda > 0$	Example 6.65
$\lambda H_\mu(\mathbf{x})$	$\mathbb{R}$	$(1 - \frac{\lambda}{\max\{1, \ \mathbf{x}\ _{\mathbb{R}}\}}) \mathbf{x}$	$\lambda, \mu > 0$	Example 6.66
$\rho \ \mathbf{x}\ _1^2$	$\mathbb{R}^n$	$\left(\frac{v_i x_i}{v_i + 2\rho}\right)_{i=1}^n, \mathbf{v} = [\sqrt{\frac{\rho}{2}}  \mathbf{x}  - 2\rho]^\top, \mathbf{v}^T \mathbf{v} = 1 (\mathbf{0} \text{ when } \mathbf{x} = \mathbf{0})$	$\rho > 0$	Lemma 6.70
$\lambda \ \mathbf{Ax}\ _2$	$\mathbb{R}^n$	$\mathbf{x} - \mathbf{A}^T (\mathbf{A}\mathbf{A}^T + \alpha \mathbf{I})^{-1} \mathbf{Ax}, \alpha = 0.1 \ \mathbf{v}_0\ _2^2 \leq \lambda, \text{ otherwise, } \ \mathbf{v}_\alpha\ _2 = \lambda; \mathbf{v}_\alpha = (\mathbf{A}\mathbf{A}^T + \alpha \mathbf{I})^{-1} \mathbf{Ax}$	$\mathbf{A} \in \mathbb{R}^{m \times n} \text{ with full row rank}, \lambda > 0$	Lemma 6.68

A. Beck. *First-Order Methods in Optimization*. SIAM, 2017. ISBN 978-1-61197-498-0

$$\min \underbrace{f(x, u)}_{\text{nominal}} + \underbrace{g(x)}_{\text{regularizer}}$$

**proximal gradient descent:**

$$\dot{x} = -x + \text{prox}_g(x - \nabla_x f(x, u)) =: F_{\text{ProxG}}(x, u)$$

$$\min \underbrace{f(x, u)}_{\text{nominal}} + \underbrace{g(x)}_{\text{regularizer}}$$

**proximal gradient descent:**

$$\dot{x} = -x + \text{prox}_g(x - \nabla_x f(x, u)) =: F_{\text{ProxG}}(x, u)$$

note: **energy system, determined by the energies  $f$  and  $g$**

(just like gradient descent  $\dot{x} = -\nabla_x f$  is determined by the energy  $f$ )

S. Hassan-Moghaddam and M. R. Jovanović. Proximal gradient flow and Douglas-Rachford splitting dynamics: Global exponential stability via integral quadratic constraints. *Automatica*, 123:109311, 2021. [doi](#)

A. Gokhale, A. Davydov, and F. Bullo. Proximal gradient dynamics: Monotonicity, exponential convergence, and applications. *IEEE Control Systems Letters*, 8:2853–2858, 2024. [doi](#)

End of the prologue:

Result #1: proximal gradient descent = firing rate network

$$\begin{aligned}\dot{x} &= \mathsf{F}_{\text{FR}}(x, u) &:=& -x + \Phi(Wx + Bu) \\ \dot{x} &= \mathsf{F}_{\text{ProxG}}(x, u) &:=& -x + \text{prox}_g(x - \nabla_x f(x, u))\end{aligned}$$

If  $f$  is quadratic in  $(x, u)$  and  $\Phi(x) = \text{prox}_g(x)$ ,  
then  $\mathsf{F}_{\text{ProxG}} = \mathsf{F}_{\text{FR}}$

## Result #2: the Hopfield energy is a regularized energy

The firing rate recurrent neural network

$$\dot{x} = F_{\text{FR}}(x, u) = -x + \Phi(Wx + Bu)$$

is the proximal gradient descent for **Hopfield energy = regularized energy**

$$\mathcal{E}_{\text{regularized}}(x, u) = \mathcal{E}_{\text{network}}(x, u) + \sum_{i=1}^n \mathcal{E}_{\text{activation}, i}(x_i),$$

- **network energy** captures interaction and effect of stimulus

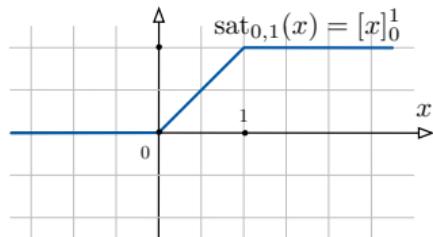
$$\mathcal{E}_{\text{network}}(x, u) = \frac{1}{2}x^\top(I_n - W)x - x^\top Bu$$

- **activation energy** determines activation function

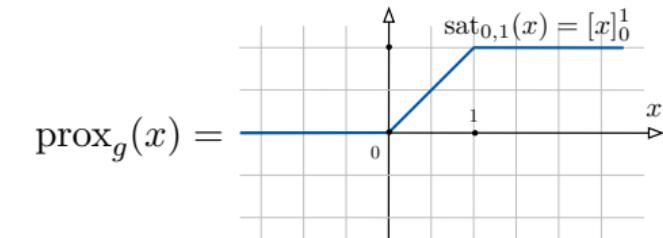
$$\Phi_i(y) = \text{prox}_{\mathcal{E}_{\text{activation}, i}}(y)$$

$$g(x) = \begin{cases} 0 & \text{if } 0 \leq x \leq 1 \\ +\infty & \text{otherwise} \end{cases} \quad \Rightarrow$$

$$\text{prox}_g(x) =$$

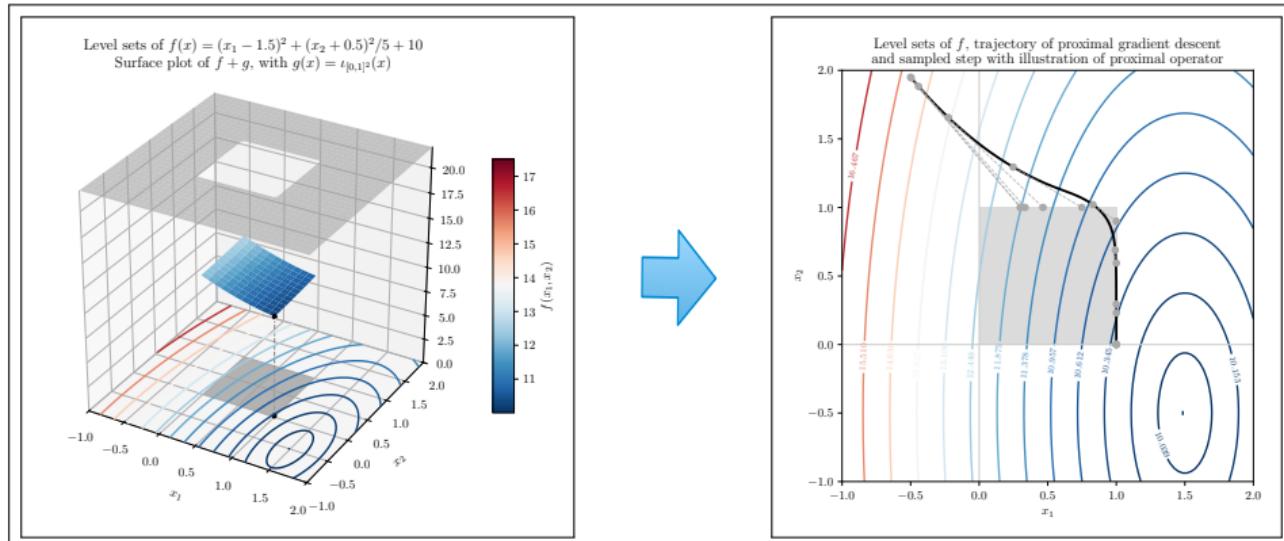


$$g(x) = \begin{cases} 0 & \text{if } 0 \leq x \leq 1 \\ +\infty & \text{otherwise} \end{cases} \implies \text{prox}_g(x) =$$



Firing rate network = **linear threshold model**

$$\dot{x} = -x + [Wx + Bu]_0^1$$



## Result #3: Dynamical systems analysis of proximal gradient descent

- ①  $F_{\text{ProxG}}$  is **well-posed**, **Lipschitz**, and **uniquely determined by  $f$  and  $g$**
- ② **equivalence:**  $x^*$  minimizes  $f + g \iff x^*$  is an equilibrium of  $F_{\text{ProxG}}$
- ③ **decreasing energy:**

(when bounded) regularized cost  $f + g$  non-increasing along flow

## Result #3: Dynamical systems analysis of proximal gradient descent

- ①  $F_{\text{ProxG}}$  is well-posed, Lipschitz, and uniquely determined by  $f$  and  $g$
- ② equivalence:  $x^*$  minimizes  $f + g \iff x^*$  is an equilibrium of  $F_{\text{ProxG}}$

### ③ decreasing energy:

(when bounded) regularized cost  $f + g$  non-increasing along flow

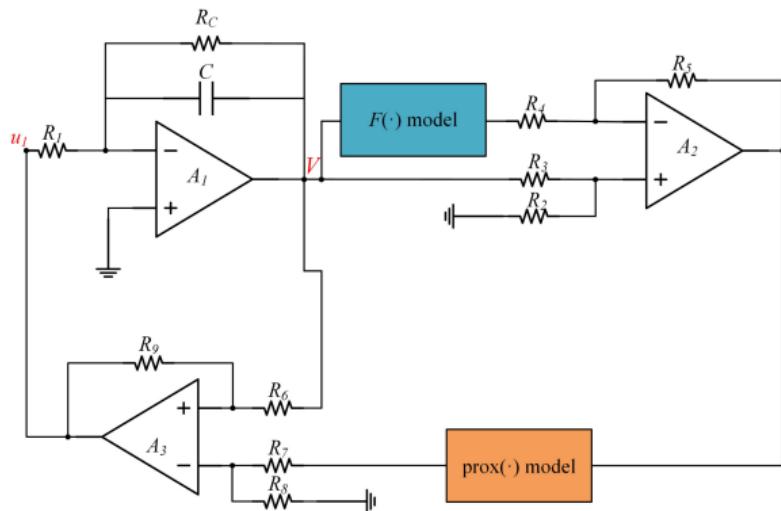
### ④ contractivity:

$W \prec I_n \implies$  flow along  $F_{\text{ProxG}}$  is a contraction

### ⑤ proximal Polyak–Łojasiewicz condition

A. Gokhale, A. Davydov, and F. Bullo. Proximal gradient dynamics: Monotonicity, exponential convergence, and applications. *IEEE Control Systems Letters*, 8:2853–2858, 2024. 

## Result #4: Analog circuit implementation



Analog circuit implementation: 3 amplifiers for each dimension,  $F(\cdot)$  denotes  $\nabla f$ .

### From regularized energy to firing rate networks

$$\mathcal{E}_{\text{network}}(x, u) + \sum_{i=1}^n \mathcal{E}_{\text{activation}, i}(x_i) \implies \dot{x} = -x + \Phi(Wx + Bu)$$

- network energy  $\mathcal{E}_{\text{network}}$  describe interaction
- regularization terms  $\mathcal{E}_{\text{activation}, i}$  capture physical limitations

## From regularized energy to firing rate networks

$$\mathcal{E}_{\text{network}}(x, u) + \sum_{i=1}^n \mathcal{E}_{\text{activation}, i}(x_i) \implies \dot{x} = -x + \Phi(Wx + Bu)$$

- network energy  $\mathcal{E}_{\text{network}}$  describe interaction
- regularization terms  $\mathcal{E}_{\text{activation}, i}$  capture physical limitations

- ➊ firing-rate dynamics re-interpreted as **proximal gradient dynamics**  
defined by **regularized energy**
- ➋ **symmetric synapses**
- ➌ **normative framework** = optimization-based top-down framework  
that derives neural circuits from a mathematical objective

## §1. Chapter #1: Context and motivation for biologically-plausible neural circuits

## §2. Chapter #2: Neural circuits for optimization

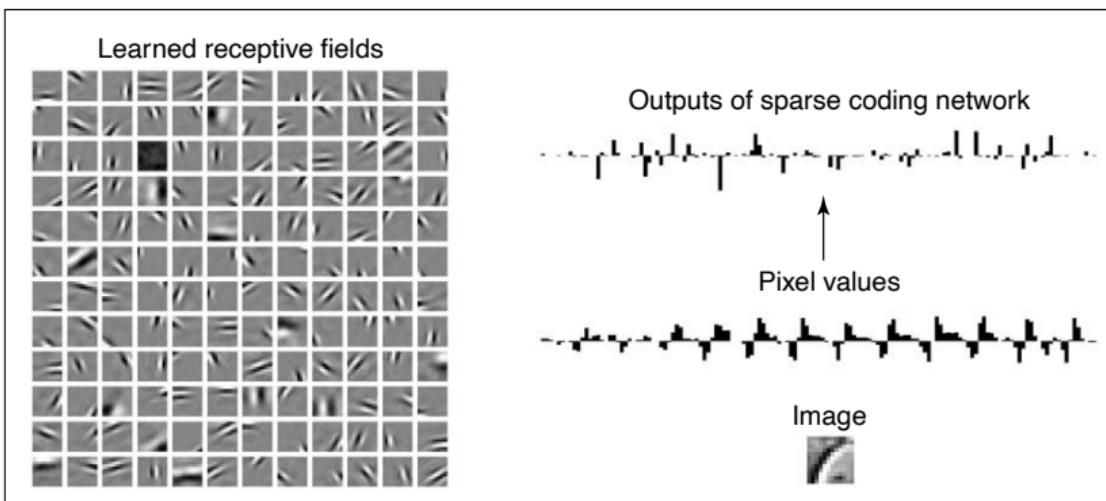
- Proximal gradient descent
- Case study #1: Sparse signal reconstruction
- Case study #2: Policy composition via free energy

## §3. Chapter #3: Neural circuits for multiplayer optimization

- Proximal gradient play
- Case study #3: Contrast enhancement via excitatory-inhibitory networks

## §4. Conclusion and ongoing research

# Case study #1: Sparse signal reconstruction

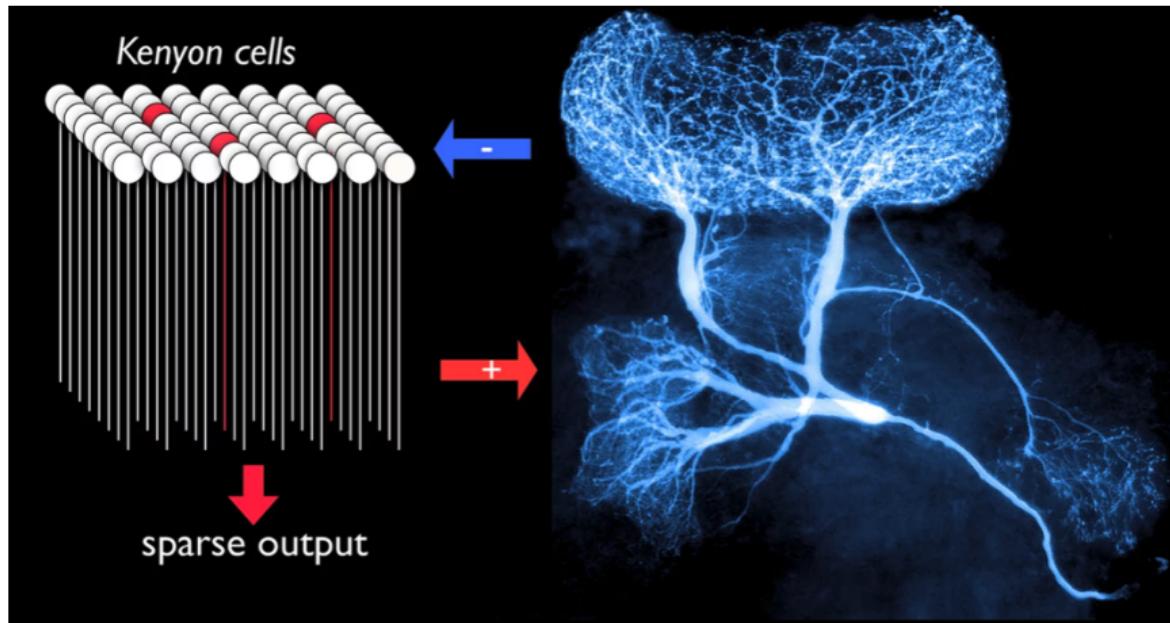


- primary visual area (V1) sparsifies signals
- receptive fields ( $\approx$  dictionary) are learned empirically

B. A. Olshausen and D. J. Field. Emergence of simple-cell receptive field properties by learning a sparse code for natural images. *Nature*, 381(6583):607–609, 1996. [doi](#)

B. A. Olshausen and D. J. Field. Sparse coding of sensory inputs. *Current Opinion in Neurobiology*, 14(4):481–487, 2004. [doi](#)

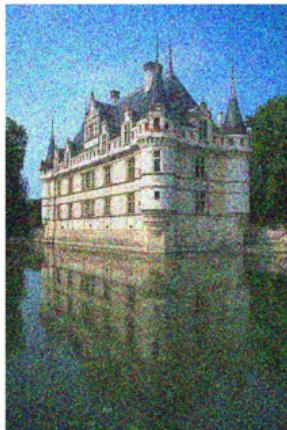
# From mammals to insects



- mushroom body of a locust
- Kenyon cells and a giant (GABAergic) interneuron
- **each excitatory → inhibitory interneuron → all excitatory** : enables sparse coding

M. Papadopoulou, S. Cassenaer, T. Nowotny, and G. Laurent. Normalization for sparse encoding of odors by a wide-field interneuron. *Science*, 332(6030):721–725, 2011. doi: <https://doi.org/10.1126/science.1202500>

# Sparse signal reconstruction in engineering



a noisy input image



denoised image, reconstructed from sparse approx



dictionary patches

- identify and exploit sparsity in signals
- dimensionality reduction in machine learning

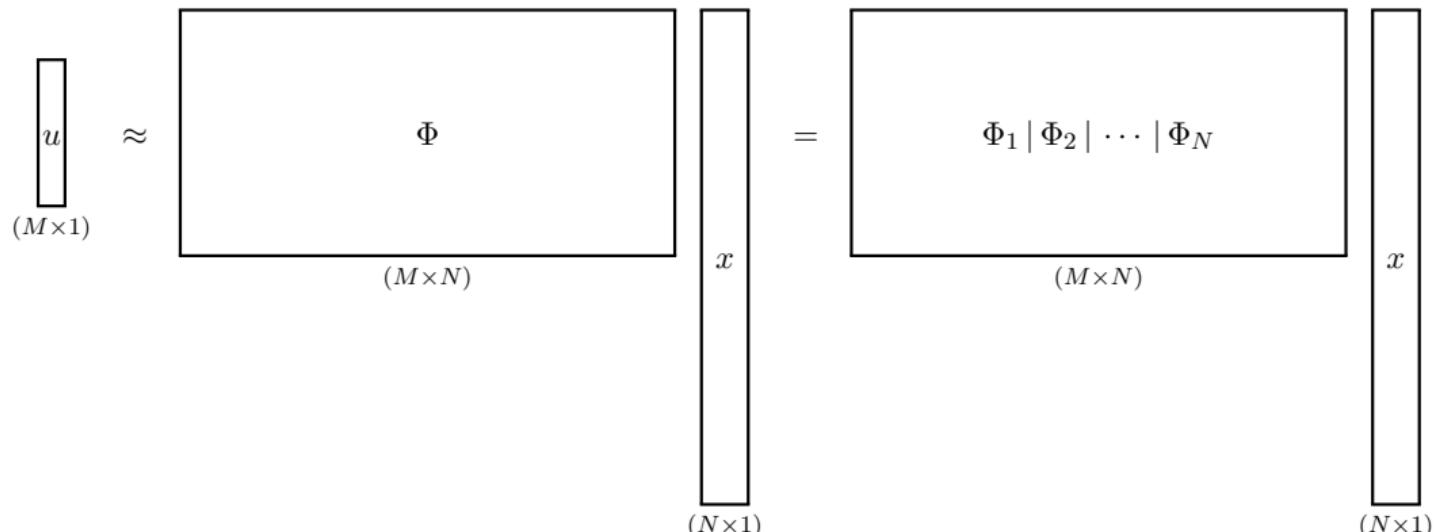
E. J. Candes and T. Tao. Decoding by linear programming. *IEEE Transactions on Information Theory*, 51(12):4203–4215, 2005

J. Wright and Y. Ma. *High-Dimensional Data Analysis with Low-Dimensional Models: Principles, Computation, and Applications*. Cambridge University Press, 2022

# Positive lasso as a regularized objective

$$\min_{x \in \mathbb{R}^N, x \geq 0} \mathcal{E}_{\text{lasso}}(x) := \underbrace{\|u - \Phi x\|_2^2}_{\text{quadratic reconstruction cost}} + \lambda \underbrace{\|x\|_1}_{\text{sparsity-promoting regularizer}}$$

where  $\Phi$  *overcomplete dictionary matrix*, with  $\|\Phi_i\| = 1$  and  $\Phi_i \cdot \Phi_j = \text{similarity between } (i, j)$



where  $x$  is  $k$ -sparse and  $k \ll M \ll N$

# Firing rate network for sparse reconstruction

$$\min_{x \in \mathbb{R}^N, x \geq 0} \mathcal{E}_{\text{lasso}}(x) := \|u - \Phi x\|_2^2 + \lambda \|x\|_1$$



proximal gradient dynamics is **positive competitive network**:

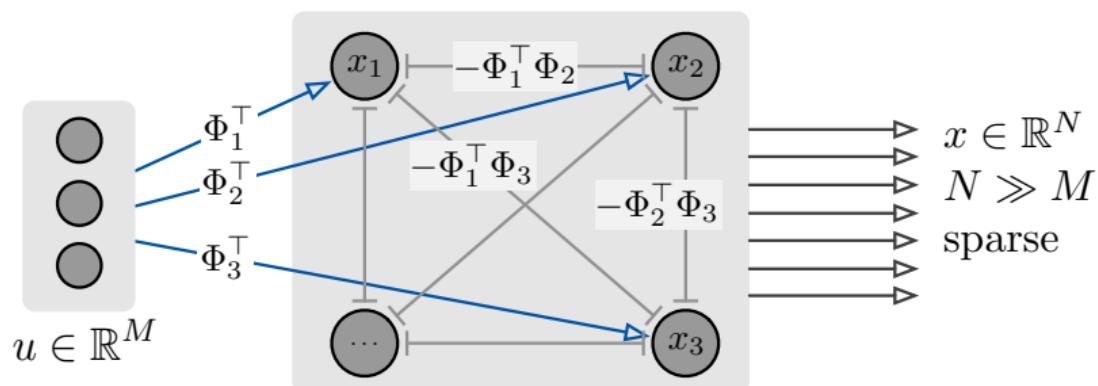
$$\dot{x} = -x + \text{relu}\left((I_n - \Phi^\top \Phi)x + \Phi^\top u - \lambda \mathbb{1}_n\right)$$

- C. J. Rozell, D. H. Johnson, R. G. Baraniuk, and B. A. Olshausen. Sparse coding via thresholding and local competition in neural circuits. *Neural Computation*, 20(10):2526–2563, 2008. [doi](#)
- A. Balavoine, J. Romberg, and C. J. Rozell. Convergence and rate analysis of neural networks for sparse approximation. *IEEE Transactions on Neural Networks and Learning Systems*, 23(9):1377–1389, 2012. [doi](#)
- V. Centorrrino, A. Gokhale, A. Davydov, G. Russo, and F. Bullo. Positive competitive networks for sparse reconstruction. *Neural Computation*, 36(6):1163–1197, 2024. [doi](#)

# Biological interpretation = competition via direct lateral inhibition

Nonnegative firing rates and non-negative dictionary elements  $\Phi_i$ :

$$\dot{x}_i = -x_i + \text{relu} \left( \sum_{j \neq i} \underbrace{(-\Phi_i^\top \Phi_j)}_{\leq 0, \text{ lateral inhibition}} x_j + \underbrace{\Phi_i^\top u}_{\text{stimulus}} - \underbrace{\lambda}_{\text{bias}} \right)$$



# Outline

§1. Chapter #1: Context and motivation for biologically-plausible neural circuits

§2. Chapter #2: Neural circuits for optimization

- Proximal gradient descent
- Case study #1: Sparse signal reconstruction
- Case study #2: Policy composition via free energy

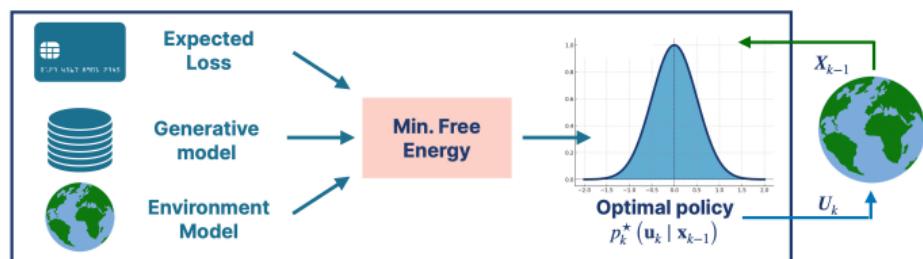
§3. Chapter #3: Neural circuits for multiplayer optimization

- Proximal gradient play
- Case study #3: Contrast enhancement via excitatory-inhibitory networks

§4. Conclusion and ongoing research

## Case study #2: The free energy principle

**Probabilistic mind theory:** information as probabilities + Bayesian inference



**Free energy principle:** adaptive behaviors in natural/artificial agents

arise from minimization of free energy (or “surprise”)

- (perception:) adjust beliefs (variational Bayesian inference)
- (learning:) update generative models
- (decision:) change the sensory input (acting so the world matches predictions)

K. Friston. The free-energy principle: a unified brain theory? *Nature Reviews Neuroscience*, 11(2):127–138, 2010. doi:

A. Shafieei, H. Jesawada, K. Friston, and G. Russo. Distributionally robust free energy principle for decision-making. *Nature Communication*, 2025. doi: To appear

## Optimal policy composition

$$\min_{\text{probabilities } w} \underbrace{\text{surprise}(x, u)}_{\text{prior belief vs actual outcomes}} - \tau \underbrace{\text{entropy}(w)}_{\text{uncertainty}} \quad (\text{free energy})$$

where  $\text{policy}(u | x) = \sum_a w_a \text{primitive}_a(u | x)$  (mixture of policies)

## Optimal policy composition

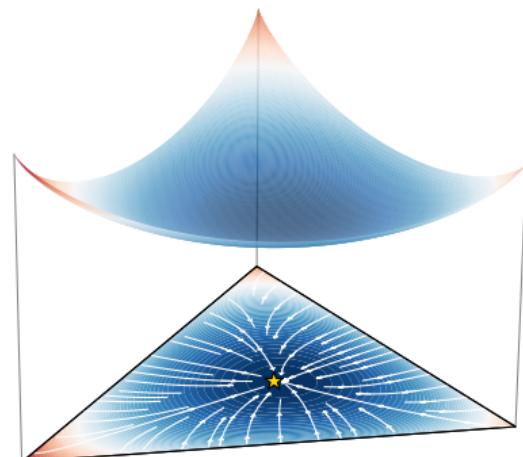
$$\min_{\text{probabilities } w} \underbrace{\text{surprise}(x, u)}_{\text{prior belief vs actual outcomes}} - \tau \underbrace{\text{entropy}(w)}_{\text{uncertainty}} \quad (\text{free energy})$$

where  $\text{policy}(u | x) = \sum_a w_a \text{primitive}_a(u | x)$  (mixture of policies)



resulting firing rate network =  
**softmax gradient descent**

$$\dot{w} = -w + \text{softmax}(-\tau^{-1} \nabla \text{surprise}(x, w))$$



## §1. Chapter #1: Context and motivation for biologically-plausible neural circuits

## §2. Chapter #2: Neural circuits for optimization

- Proximal gradient descent
- Case study #1: Sparse signal reconstruction
- Case study #2: Policy composition via free energy

## §3. Chapter #3: Neural circuits for multiplayer optimization

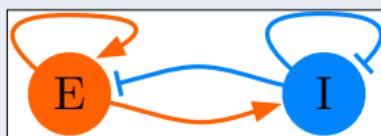
- Proximal gradient play
- Case study #3: Contrast enhancement via excitatory-inhibitory networks

## §4. Conclusion and ongoing research

**Dale's law:** a neuron has the same type of effect, inhibitory or excitatory, on all its neighbors.

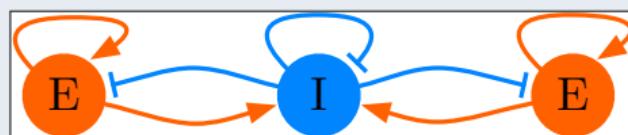
**Dale's law:** a neuron has the same type of effect, inhibitory or excitatory, on all its neighbors.

## Classic motifs obeying Dale's law, with excitatory (E) and inhibitory (I) neurons



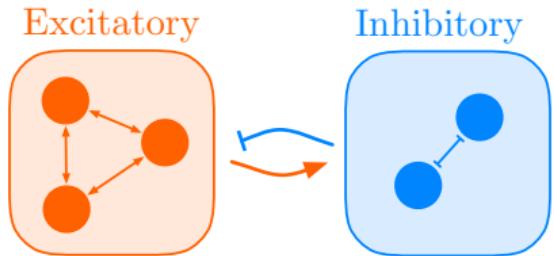
*Wilson-Cowan model  
excitatory-inhibitory pair*

H. R. Wilson and J. D. Cowan. Excitatory and inhibitory interactions in localized populations of model neurons. *Biophysical Journal*, 12 (1):1–24, 1972.



*Central inhibitory neuron mediates  
winner-take-all dynamic between two  
excitatory neurons*

*Dale's law (neuromodulator version):* each neuron releases the same type of neuromodulator at all of its synapses.



For asymmetric/E-I networks,

- rich dynamic behavior is possible:  
global asymp. stability, multistability, limit cycles, chaotic behavior, etc
- lack of general analysis framework (stability and functionality)
- lack of general design framework (e.g., optimization-based, top-down)

- ① novel interpretation: **neurons are playing a game**
- ② monostability
- ③ functionality

S. Betteti, W. Retnaraj, A. Davydov, J. Cortes, and F. Bullo. Competition, stability, and functionality in excitatory-inhibitory neural circuits.  
*Technical report*, 2025c.  arXiv:2512.05252

## Result #1: Neural circuits for multiplayer optimization

**Symmetric networks:**  $\dot{x} = -x + \Phi(Wx + Bu)$  is **proximal gradient descent** for

$$\mathcal{E}_{\text{regularized}}(x, u) = \mathcal{E}_{\text{network}}(x, u) + \sum_{i=1}^n \mathcal{E}_{\text{activation}, i}(x_i)$$

$$\text{where } \mathcal{E}_{\text{network}}(x, u) = \frac{1}{2}x^\top(I_n - W)x - x^\top Bu \quad \phi_i(y) = \text{prox}_{\mathcal{E}_{\text{activation}, i}}(y)$$



# Result #1: Neural circuits for multiplayer optimization

**Symmetric networks:**  $\dot{x} = -x + \Phi(Wx + Bu)$  is **proximal gradient descent** for

$$\mathcal{E}_{\text{regularized}}(x, u) = \mathcal{E}_{\text{network}}(x, u) + \sum_{i=1}^n \mathcal{E}_{\text{activation},i}(x_i)$$

where  $\mathcal{E}_{\text{network}}(x, u) = \frac{1}{2}x^\top(I_n - W)x - x^\top Bu$        $\phi_i(y) = \text{prox}_{\mathcal{E}_{\text{activation},i}}(y)$



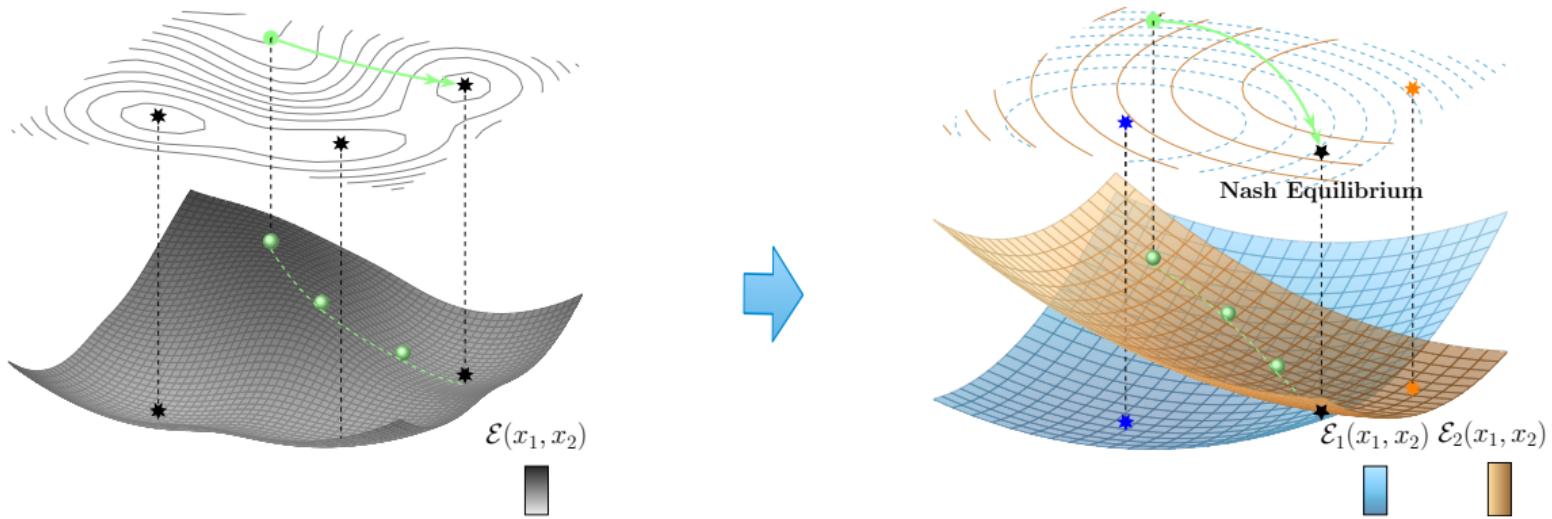
**Asymmetric networks:**  $\dot{x} = -x + \Phi(Wx + Bu)$  is **proximal gradient play** for

$$\mathcal{E}_{\text{regularized},i}(x_i, x_{-i}, u) = \mathcal{E}_{\text{individual},i}(x_i, x_{-i}, u) + \mathcal{E}_{\text{activation},i}(x_i)$$

where

$$\mathcal{E}_{\text{individual},i}(x_i, x_{-i}, u) = \sum_{j=1}^n (\frac{1}{2}\delta_{ij} - 1)W_{ij}x_i x_j - x^\top Bu$$
       $\phi_i(y) = \text{prox}_{\mathcal{E}_{\text{activation},i}}(y)$

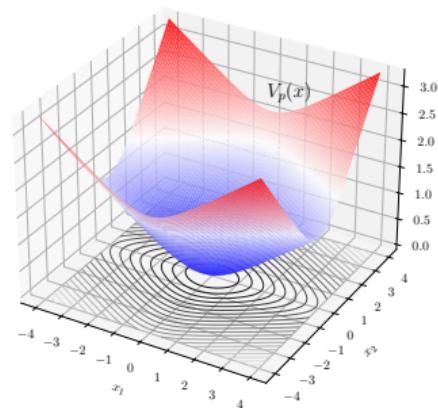
# Result #1: Neural circuits for multiplayer optimization



S. Betteti, W. Retnaraj, A. Davydov, J. Cortes, and F. Bullo. Competition, stability, and functionality in excitatory-inhibitory neural circuits.  
*Technical report*, 2025c. arXiv:2512.05252

# Results on asymmetric networks

- ① novel interpretation: neurons are playing a game
- ② monostability: **monostability via constraints on synaptic weights**
- ③ functionality



## Result #2: Monostability for E-I networks

$\dot{x} = -x + \Phi(Wx + u)$ , satisfying *Dale's law*: each neuron is either E or I

- ① for each  $i \in E$  and  $j \in I$ , *reciprocal connections*

$(i, j)$  is an edge  $\iff (j, i)$  is an edge

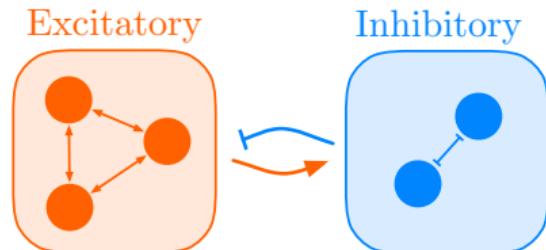
- ② *synaptic weights are homogeneous*:

$w_{EE}$  = weight of each E to E

$w_{EI}$  = weight of each I to E

$w_{IE}$  = weight of each E to I

$w_{II}$  = weight of each I to I



## Result #2: Monostability for E-I networks

$\dot{x} = -x + \Phi(Wx + u)$ , satisfying *Dale's law*: each neuron is either E or I

- ① for each  $i \in E$  and  $j \in I$ , *reciprocal connections*

$$(i, j) \text{ is an edge} \iff (j, i) \text{ is an edge}$$

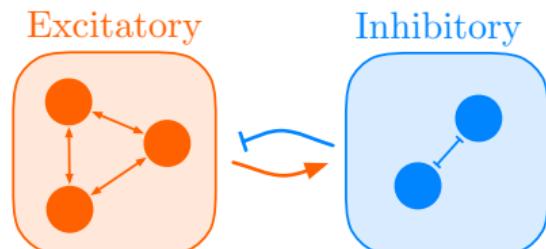
- ② *synaptic weights are homogeneous*:

$w_{EE}$  = weight of each E to E

$w_{EI}$  = weight of each I to E

$w_{IE}$  = weight of each E to I

$w_{II}$  = weight of each I to I



**Monostability** (single eq. point exists and is globally asymptotically stable) if

$$\left( \frac{\text{degree}_{\text{in}} + \text{degree}_{\text{out}}}{2} \right) w_{EE} < 1 \quad \text{and} \quad \left( \frac{\text{degree}_{\text{in}} + \text{degree}_{\text{out}}}{2} - 2 \right) w_{II} < 1$$

- ① novel interpretation: neurons are playing a game
- ② monostability: monostability via constraints on synaptic weights
- ③ functionality: **contrast enhancement via lateral inhibition**
  - ① Lateral inhibition in E-I-E networks
  - ② Winner-take-all in  $E^k$ -I networks
  - ③ Contrast enhancement in columns of E-I-E motifs

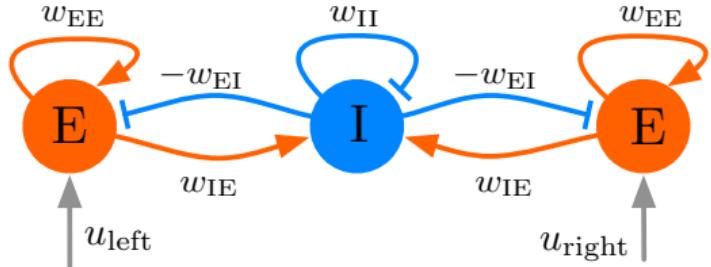
S. Betteti, W. Retnaraj, A. Davydov, J. Cortes, and F. Bullo. Competition, stability, and functionality in excitatory-inhibitory neural circuits.  
*Technical report*, 2025c.  arXiv:2512.05252

## Result #3: Lateral inhibition in E-I-E networks

$$\dot{x} = -x + [Wx + Bu]_0^1$$

satisfying Dale's law with:

$$\begin{cases} w_{EE} < 1 & \text{(monostability)} \\ w_{IE} \geq 1 + w_{II} & \text{(functionality)} \end{cases}$$

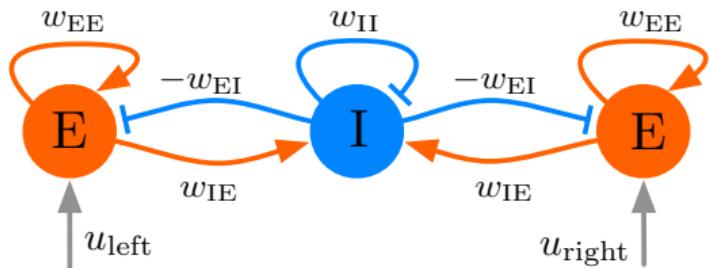


## Result #3: Lateral inhibition in E-I-E networks

$$\dot{x} = -x + [Wx + Bu]_0^1$$

satisfying Dale's law with:

$$\begin{cases} w_{EE} < 1 & \text{(monostability)} \\ w_{IE} \geq 1 + w_{II} & \text{(functionality)} \end{cases}$$



**lateral inhibition leads to binary decisions:**

when  $u_{left} > u_{right} + \delta$ , then (left E is high) and (right E is low)

when  $u_{right} > u_{left} + \delta$ , then vice-versa

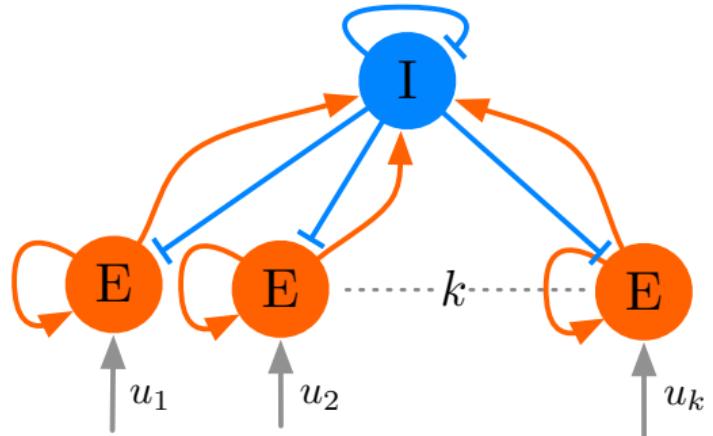
where  $\delta := 1 - w_{EE} + w_{EI} > 0$

## Result #3: Winner-take-all in $E^k$ -I networks

$$\dot{x} = -x + [Wx + Bu]_0^1$$

satisfying Dale's law with:

$$\begin{cases} w_{EE} < 1 & \text{(monostability)} \\ w_{IE} \geq 1 + w_{II} & \text{(functionality)} \end{cases}$$

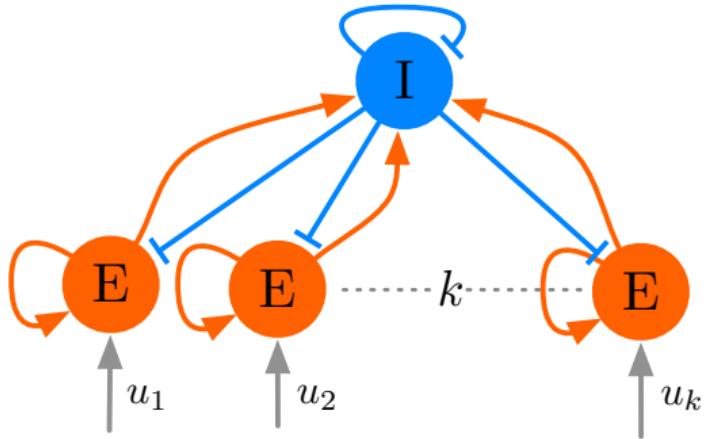


## Result #3: Winner-take-all in $E^k$ -I networks

$$\dot{x} = -x + [Wx + Bu]_0^1$$

satisfying Dale's law with:

$$\begin{cases} w_{EE} < 1 & \text{(monostability)} \\ w_{IE} \geq 1 + w_{II} & \text{(functionality)} \end{cases}$$



**mutual inhibition** leads to **winner-take-all**:

when  $u_i > u_j + 2\delta$ ,      then    ( $E_i$  is high)    and    (every other neuron  $j$  is low)

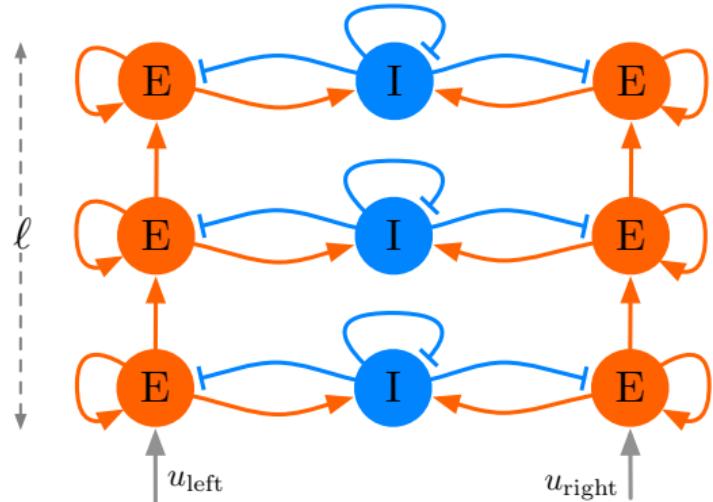
where  $\delta := 1 - w_{EE} + w_{EI} > 0$

## Result #3: Contrast enhancement in columns of E-I-E motifs

$$\dot{x} = -x + [Wx + Bu]_0^1$$

satisfying Dale's law with:

$$\begin{cases} w_{EE} < 1/2 & \text{(monostability)} \\ w_{IE} \geq 1 + w_{II} & \text{(functionality)} \end{cases}$$

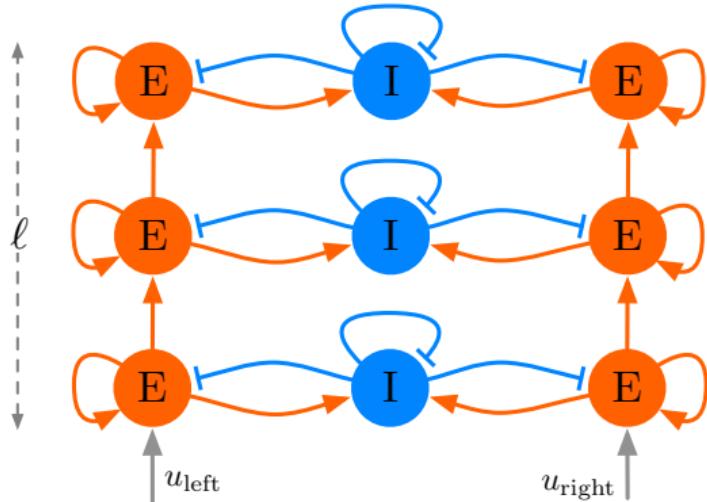


## Result #3: Contrast enhancement in columns of E-I-E motifs

$$\dot{x} = -x + [Wx + Bu]_0^1$$

satisfying Dale's law with:

$$\begin{cases} w_{EE} < 1/2 & \text{(monostability)} \\ w_{IE} \geq 1 + w_{II} & \text{(functionality)} \end{cases}$$



**competition amount E pathways leads to contrast enhancement:**

take  $u_{\text{left}} > u_{\text{right}} + 2\epsilon$ , for some small  $\epsilon$

$$\text{if (number of layers) } \ell \geq \ell_{\text{binary}} := 1 + \frac{\ln(\epsilon/\delta)}{\ln(1/w_{EE} - 1)}$$

then, at layer  $\ell \geq \ell_{\text{binary}}$ ,  $u_{\text{left}} > u_{\text{right}} + \delta$ , and full contrast enhancement

# Outline

§1. Chapter #1: Context and motivation for biologically-plausible neural circuits

§2. Chapter #2: Neural circuits for optimization

- Proximal gradient descent
- Case study #1: Sparse signal reconstruction
- Case study #2: Policy composition via free energy

§3. Chapter #3: Neural circuits for multiplayer optimization

- Proximal gradient play
- Case study #3: Contrast enhancement via excitatory-inhibitory networks

§4. Conclusion and ongoing research

## ① system-theoretic problems in neuroscience

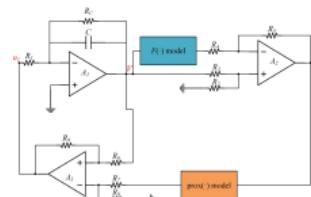
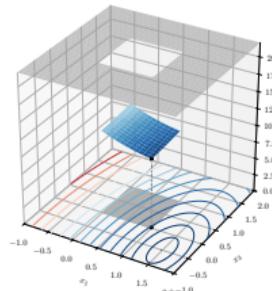
- biologically-plausible learning and control
- stimulus-driven cognitive phenomena
- computational paradigms: astrocytes, dendritic computation, Hebbian learning, equilibrium propagation

## ② connections with ML

- unsupervised representation learning
- self-attention dynamics and transformers
- structured state space sequence models

## ③ connections with nonconventional and analog computing

- analog implementation of prox gradient descent
- analog implementation of proximal primal-dual gradient descent
- oscillator-based computing



## References

### ① biologically plausible optimization

V. Centorrino, A. Gokhale, A. Davydov, G. Russo, and F. Bullo. Positive competitive networks for sparse reconstruction. *Neural Computation*, 36(6):1163–1197, 2024. doi: 

A. Gokhale, A. Davydov, and F. Bullo. Proximal gradient dynamics: Monotonicity, exponential convergence, and applications. *IEEE Control Systems Letters*, 8:2853–2858, 2024. doi: 

V. Centorrino, F. Bullo, and G. Russo. Similarity matching networks: Hebbian learning and convergence over multiple time scales. *Neural Computation*, June 2025. doi:  To appear

F. Rossi, V. Centorrino, F. Bullo, and G. Russo. Neural policy composition from free energy minimization. *Technical report*, 2025. doi:  arXiv:2512.04745

S. Betteti, W. Retnaraj, A. Davydov, J. Cortes, and F. Bullo. Competition, stability, and functionality in excitatory-inhibitory neural circuits. *Technical report*, 2025c. doi:  arXiv:2512.05252

### ② stimulus-driven energy models for associative memory

S. Betteti, G. Baggio, F. Bullo, and S. Zampieri. Input-driven dynamics for robust memory retrieval in Hopfield networks. *Science Advances*, 11(17), 2025a. doi: 

S. Betteti, G. Baggio, F. Bullo, and S. Zampieri. Firing rate models as associative memory: Excitatory-inhibitory balance for robust retrieval. *Neural Computation*, pages 1–32, 08 2025b. doi: 