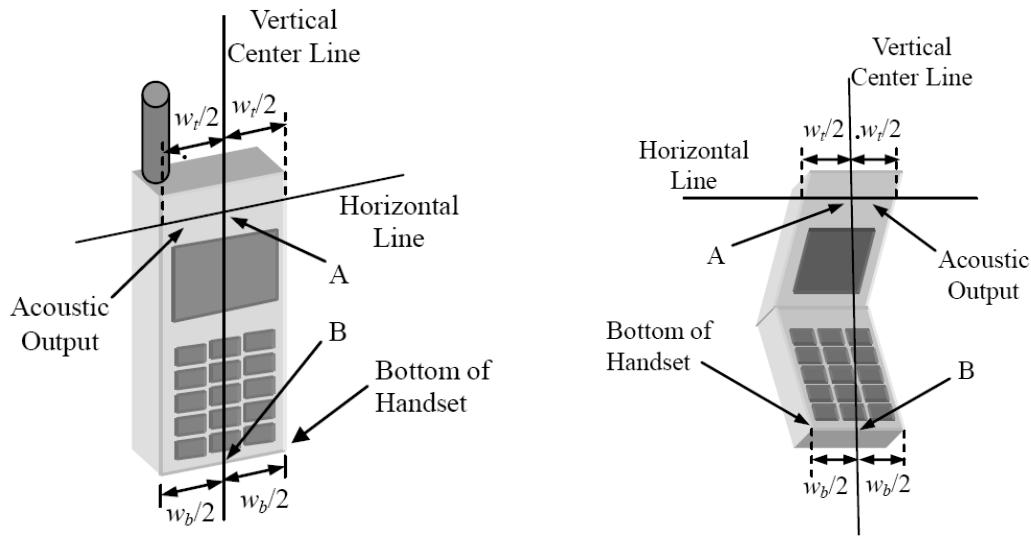


## ANNEX D. Position of the wireless device in relation to the phantom

### D.1. General considerations

This standard specifies two handset test positions against the head phantom – the “cheek” position and the “tilt” position.


 $w_t$ 

Width of the handset at the level of the acoustic output

 $w_b$ 

Width of the bottom of the handset

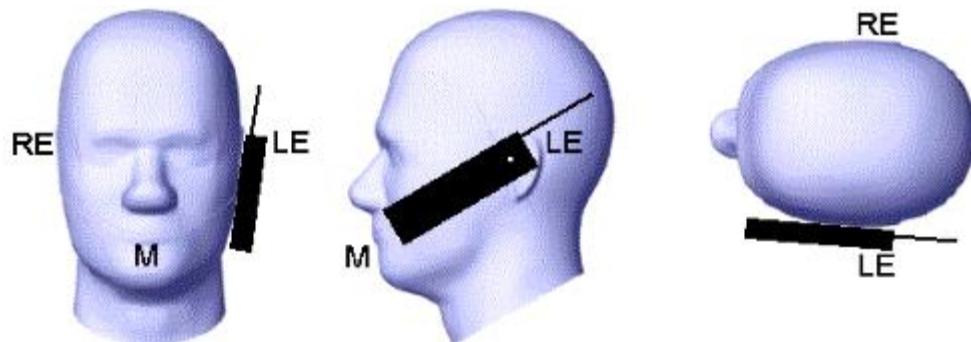
A

 Midpoint of the width  $w_t$  of the handset at the level of the acoustic output

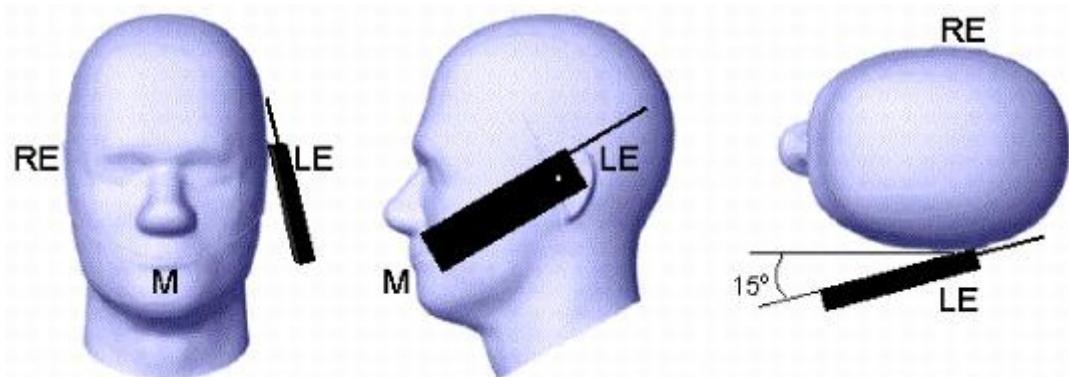
B

 Midpoint of the width  $w_b$  of the bottom of the handset

**Picture D.1-a Typical “fixed” case handset      Picture D.1-b Typical “clam-shell” case handset**



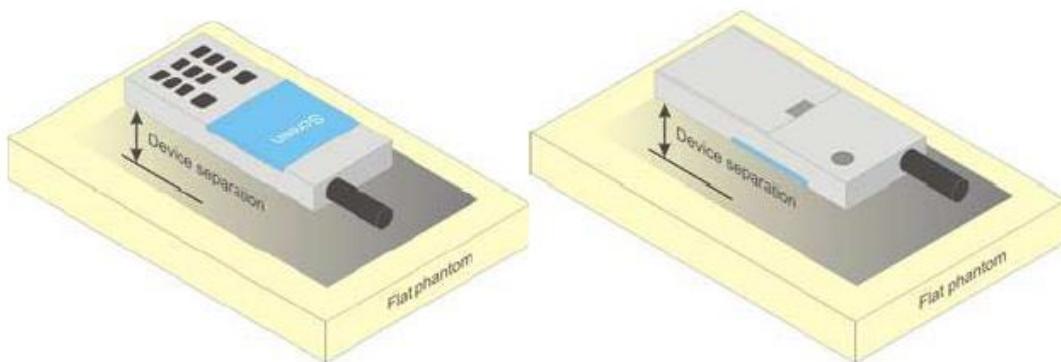
**Picture D.2 Cheek position of the wireless device on the left side of SAM**



Picture D.3 Tilt position of the wireless device on the left side of SAM

#### D.2. Body-worn device

A typical example of a body-worn device is a mobile phone, wireless enabled PDA or other battery operated wireless device with the ability to transmit while mounted on a person's body using a carry accessory approved by the wireless device manufacturer.



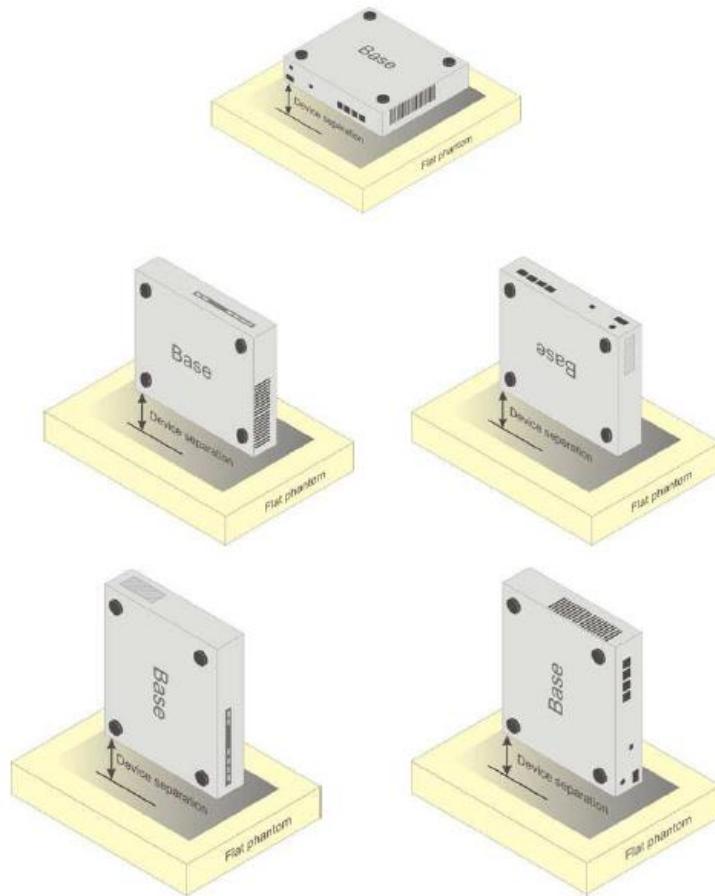
Picture D.4 Test positions for body-worn devices

#### D.3. Desktop device

A typical example of a desktop device is a wireless enabled desktop computer placed on a table or desk when used.

The DUT shall be positioned at the distance and in the orientation to the phantom that corresponds to the intended use as specified by the manufacturer in the user instructions.

For devices that employ an external antenna with variable positions, tests shall be performed for all antenna positions specified. Picture 8.5 show positions for desktop device SAR tests. If the intended use is not specified, the device shall be tested directly against the flat phantom.



**Picture D.5 Test positions for desktop devices**

**D.4. DUT Setup Photos****Picture D.6 DSY5 system Set-up****Note:**

The photos of test sample and test positions show in additional document.

## ANNEX E. Equivalent Media Recipes

The liquid used for the frequency range of 800-3000 MHz consisted of water, sugar, salt, preventol, glycol monobutyl and Cellulose. The liquid has been previously proven to be suited for worst-case. The Table E.1 shows the detail solution. It's satisfying the latest tissue dielectric parameters requirements proposed by the IEEE 1528 and IEC 62209.

**Table E.1: Composition of the Tissue Equivalent Matter**

Frequency (MHz)	835 Head	835 Body	1900 Head	1900 Body	2450 Head	2450 Body
Ingredients (% by weight)						
Water	41.45	52.5	55.242	69.91	58.79	72.60
Sugar	56.0	45.0	\	\	\	\
Salt	1.45	1.4	0.306	0.13	0.06	0.18
Preventol	0.1	0.1	\	\	\	\
Cellulose	1.0	1.0	\	\	\	\
Glycol Monobutyl	\	\	44.452	29.96	41.15	27.22
Dielectric Parameters Target Value	$\epsilon=41.5$ $\sigma=0.90$	$\epsilon=55.2$ $\sigma=0.97$	$\epsilon=40.0$ $\sigma=1.40$	$\epsilon=53.3$ $\sigma=1.52$	$\epsilon=39.2$ $\sigma=1.80$	$\epsilon=52.7$ $\sigma=1.95$

## ANNEX F. System Validation

The SAR system must be validated against its performance specifications before it is deployed. When SAR probes, system components or software are changed, upgraded or recalibrated, these must be validated with the SAR system(s) that operates with such components.

**Table F.1: System Validation Part 1**

System No.	Probe SN.	Liquid name	Validation date	Frequency point	Permittivity $\epsilon$	Conductivity $\sigma$ (S/m)
1	3252	Head 835MHz	2018/11/4	835 MHz	42.152	0.923
2	3252	Head 1750MHz	2018/11/5	1750MHz	40.967	1.382
3	3252	Head 1900MHz	2018/11/7	1900 MHz	41.865	1.414
4	3252	Head 2450MHz	2018/11/9	2450 MHz	39.542	1.813
5	3252	Head 2600MHz	2018/11/15	2600 MHz	40.142	1.975
6	3252	Body 835MHz	2018/11/4	835 MHz	57.108	1.001
7	3252	Body 1750MHz	2018/11/5	1750MHz	55.385	1.476
8	3252	Body 1900MHz	2018/11/16	1900 MHz	52.151	1.549
9	3252	Body 2450MHz	2018/11/9	2450 MHz	54.121	1.932
10	3252	Body 2600MHz	2018/11/15	2600 MHz	54.366	2.111
11	3252	Body 1900MHz	2018/11/20	1900 MHz	52.451	1.555

**Table F.2: System Validation Part 2**

CW Validation	Sensitivity	PASS	PASS
	Probe linearity	PASS	PASS
	Probe Isotropy	PASS	PASS
Mod Validation	MOD.type	GMSK	GMSK
	MOD.type	OFDM	OFDM
	Duty factor	PASS	PASS
	PAR	PASS	PASS

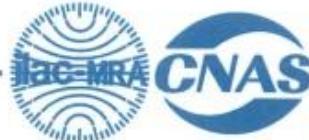
## ANNEX G. Probe and DAE Calibration Certificate



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校准  
CALIBRATION  
CNAS L0570

Client : ECIT

Certificate No: Z17-97266

## CALIBRATION CERTIFICATE

Object

DAE4 - SN: 1244

Calibration Procedure(s)

FF-Z11-002-01

Calibration Procedure for the Data Acquisition Electronics  
(DAEx)

Calibration date:

December 04, 2017

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature( $22\pm3$ )°C and humidity<70%.

Calibration Equipment used (M&amp;TE critical for calibration)

Primary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Process Calibrator 753	1971018	27-Jun-17 (CTTL, No.J17X05859)	June-18

Calibrated by:

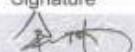
Name

Yu Zongying

Function

SAR Test Engineer

Signature



Reviewed by:

Name

Lin Hao

Function

SAR Test Engineer

Signature



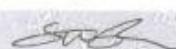
Approved by:

Name

Qi Dianyuan

Function

SAR Project Leader



Issued: December 05, 2017

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



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**Glossary:**

- DAE data acquisition electronics  
Connector angle information used in DASY system to align probe sensor X to the robot coordinate system.

**Methods Applied and Interpretation of Parameters:**

- *DC Voltage Measurement:* Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.
- *Connector angle:* The angle of the connector is assessed measuring the angle mechanically by a tool inserted. Uncertainty is not required.
- The report provide only calibration results for DAE, it does not contain other performance test results.



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#### DC Voltage Measurement

A/D - Converter Resolution nominal

High Range: 1LSB =  $6.1\mu V$ , full range = -100...+300 mV

Low Range: 1LSB =  $61nV$ , full range = -1.....+3mV

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Calibration Factors	X	Y	Z
High Range	$403.862 \pm 0.15\% (k=2)$	$403.603 \pm 0.15\% (k=2)$	$404.516 \pm 0.15\% (k=2)$
Low Range	$3.95366 \pm 0.7\% (k=2)$	$3.96972 \pm 0.7\% (k=2)$	$3.97929 \pm 0.7\% (k=2)$

#### Connector Angle

Connector Angle to be used in DASY system	$22.5^\circ \pm 1^\circ$
---	--------------------------



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CALIBRATION  
CNAS L0570

Client

ECIT

Certificate No: Z17-97112

## CALIBRATION CERTIFICATE

Object ES3DV3 - SN:3252

Calibration Procedure(s) FF-Z11-004-01  
Calibration Procedures for Dosimetric E-field Probes

Calibration date: August 31, 2017

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

## Calibration Equipment used (M&amp;TE critical for calibration)

Primary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRP2	101919	27-Jun-17 (CTTL, No.J17X05857)	Jun-18
Power sensor NRP-Z91	101547	27-Jun-17 (CTTL, No.J17X05857)	Jun-18
Power sensor NRP-Z91	101548	27-Jun-17 (CTTL, No.J17X05857)	Jun-18
Reference10dBAttenuator	18N50W-10dB	13-Mar-16(CTTL, No.J16X01547)	Mar-18
Reference20dBAttenuator	18N50W-20dB	13-Mar-16(CTTL, No.J16X01548)	Mar-18
Reference Probe EX3DV4	SN 7433	26-Sep-16(SPEAG, No.EX3-7433_Sep16)	Sep-17
DAE4	SN 549	13-Dec-16(SPEAG, No.DAE4-549_Dec16)	Dec -17
Secondary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
SignalGeneratorMG3700A	6201052605	27-Jun-17 (CTTL, No.J17X05858)	Jun-18
Network Analyzer E5071C	MY46110673	13-Jan-17 (CTTL, No.J17X00285)	Jan -18

Calibrated by:	Name	Function	Signature
	Yu Zongying	SAR Test Engineer	
Reviewed by:	Lin Hao	SAR Test Engineer	
Approved by:	Qi Dianyuan	SAR Project Leader	

Issued: September 01, 2017

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Certificate No: Z17-97112

Page 1 of 11



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**Glossary:**

TSL	tissue simulating liquid
NORM <sub>x,y,z</sub>	sensitivity in free space
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A,B,C,D	modulation dependent linearization parameters
Polarization $\Phi$	$\Phi$ rotation around probe axis
Polarization $\theta$	$\theta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i $\theta=0$ is normal to probe axis
Connector Angle	information used in DASY system to align probe sensor X to the robot coordinate system

**Calibration is Performed According to the Following Standards:**

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

**Methods Applied and Interpretation of Parameters:**

- $NORM_{x,y,z}$ : Assessed for E-field polarization  $\theta=0$  ( $f \leq 900$ MHz in TEM-cell;  $f > 1800$ MHz: waveguide).  $NORM_{x,y,z}$  are only intermediate values, i.e., the uncertainties of  $NORM_{x,y,z}$  does not effect the  $E^2$ -field uncertainty inside TSL (see below ConvF).
- $NORM(f)_{x,y,z} = NORM_{x,y,z} * frequency\_response$  (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- $DCPx,y,z$ : DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics.
- $Ax,y,z; Bx,y,z; Cx,y,z; VRx,y,z; A,B,C$  are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- *ConvF and Boundary Effect Parameters*: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$ MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$ MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to  $NORM_{x,y,z} * ConvF$  whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$ MHz to  $\pm 100$ MHz.
- *Spherical Isotropy (3D deviation from isotropy)*: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- *Sensor Offset*: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- *Connector Angle*: The angle is assessed using the information gained by determining the  $NORM_x$  (no uncertainty required).



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**Glossary:**

TSL	tissue simulating liquid
NORM <sub>x,y,z</sub>	sensitivity in free space
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A,B,C,D	modulation dependent linearization parameters
Polarization $\Phi$	$\Phi$ rotation around probe axis
Polarization $\theta$	$\theta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i $\theta=0$ is normal to probe axis
Connector Angle	information used in DASY system to align probe sensor X to the robot coordinate system

**Calibration is Performed According to the Following Standards:**

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

**Methods Applied and Interpretation of Parameters:**

- $NORM_{x,y,z}$ : Assessed for E-field polarization  $\theta=0$  ( $f \leq 900$ MHz in TEM-cell;  $f > 1800$ MHz: waveguide).  $NORM_{x,y,z}$  are only intermediate values, i.e., the uncertainties of  $NORM_{x,y,z}$  does not effect the  $E^2$ -field uncertainty inside TSL (see below ConvF).
- $NORM(f)_{x,y,z} = NORM_{x,y,z} * frequency\_response$  (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- $DCPx,y,z$ : DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics.
- $Ax,y,z; Bx,y,z; Cx,y,z; VRx,y,z; A,B,C$  are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- *ConvF and Boundary Effect Parameters*: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$ MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$ MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to  $NORM_{x,y,z} * ConvF$  whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$ MHz to  $\pm 100$ MHz.
- *Spherical Isotropy (3D deviation from isotropy)*: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- *Sensor Offset*: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- *Connector Angle*: The angle is assessed using the information gained by determining the  $NORM_x$  (no uncertainty required).



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## DASY/EASY – Parameters of Probe: ES3DV3 - SN: 3252

### Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm( $\mu$ V/(V/m) <sup>2</sup> ) <sup>A</sup>	1.32	1.40	1.37	$\pm$ 10.0%
DCP(mV) <sup>B</sup>	101.5	101.9	101.5	

### Modulation Calibration Parameters

UID	Communication System Name		A dB	B dB/ $\mu$ V	C	D dB	VR mV	Unc <sup>E</sup> (k=2)
0	CW	X	0.0	0.0	1.0	0.00	278.4	$\pm$ 2.5%
		Y	0.0	0.0	1.0		287.4	
		Z	0.0	0.0	1.0		284.8	

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X, Y, Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Page 5 and Page 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



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### DASY/EASY – Parameters of Probe: ES3DV3 - SN: 3252

#### Calibration Parameter Determined in Head Tissue Simulating Media

f [MHz] <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unct. (k=2)
750	41.9	0.89	6.25	6.25	6.25	0.50	1.25	±12.1%
835	41.5	0.90	6.19	6.19	6.19	0.32	1.66	±12.1%
900	41.5	0.97	6.16	6.16	6.16	0.36	1.62	±12.1%
1750	40.1	1.37	5.30	5.30	5.30	0.42	1.62	±12.1%
1900	40.0	1.40	5.11	5.11	5.11	0.73	1.18	±12.1%
2000	40.0	1.40	4.97	4.97	4.97	0.76	1.19	±12.1%
2300	39.5	1.67	4.90	4.90	4.90	0.90	1.10	±12.1%
2450	39.2	1.80	4.75	4.75	4.75	0.90	1.10	±12.1%
2600	39.0	1.96	4.44	4.44	4.44	0.90	1.15	±12.1%

<sup>C</sup> Frequency validity above 300 MHz of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequency below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



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## DASY/EASY – Parameters of Probe: ES3DV3 - SN: 3252

### Calibration Parameter Determined in Body Tissue Simulating Media

f [MHz] <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unct. (k=2)
750	55.5	0.96	6.34	6.34	6.34	0.60	1.20	± 12.1%
850	55.2	0.99	6.14	6.14	6.14	0.38	1.63	± 12.1%
900	55.0	1.05	6.06	6.06	6.06	0.46	1.49	± 12.1%
1750	53.4	1.49	4.95	4.95	4.95	0.49	1.52	± 12.1%
1900	53.3	1.52	4.69	4.69	4.69	0.67	1.33	± 12.1%
2000	53.3	1.52	4.89	4.89	4.89	0.69	1.25	± 12.1%
2300	52.9	1.81	4.58	4.58	4.58	0.57	1.65	± 12.1%
2450	52.7	1.95	4.42	4.42	4.42	0.68	1.42	± 12.1%
2600	52.5	2.16	4.22	4.22	4.22	0.56	1.66	± 12.1%

<sup>C</sup> Frequency validity above 300 MHz of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

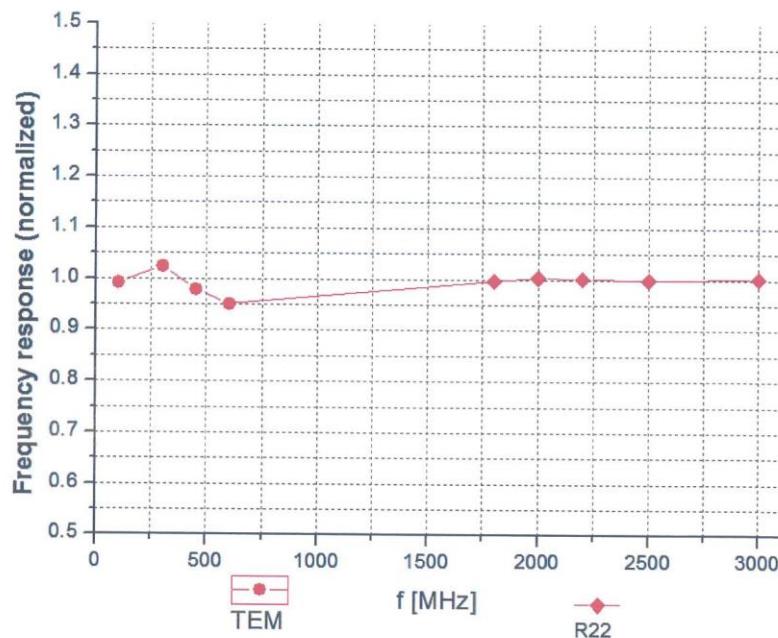
<sup>F</sup> At frequency below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



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### Frequency Response of E-Field (TEM-Cell: ifi110 EXX, Waveguide: R22)



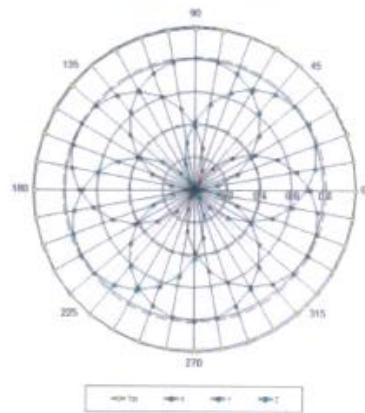
Uncertainty of Frequency Response of E-field:  $\pm 7.4\%$  ( $k=2$ )



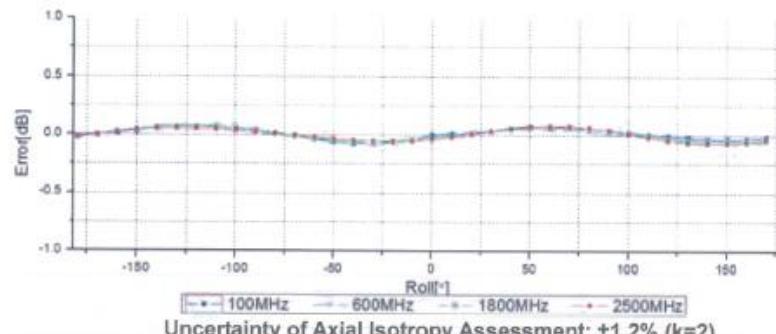
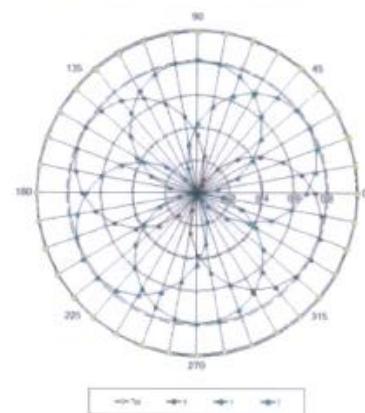
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### Receiving Pattern ( $\Phi$ ), $\theta=0^\circ$

f=600 MHz, TEM



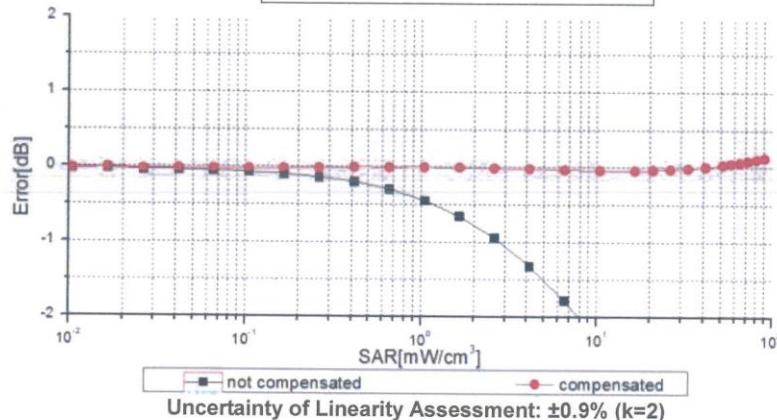
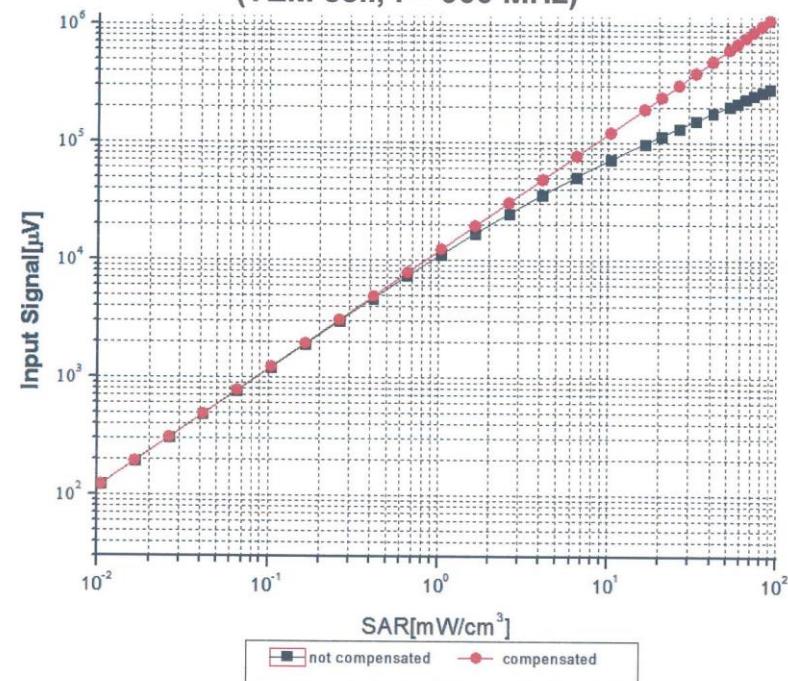
f=1800 MHz, R22





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### Dynamic Range f(SAR<sub>head</sub>) (TEM cell, f = 900 MHz)

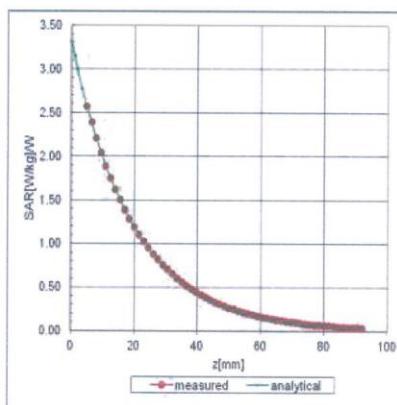




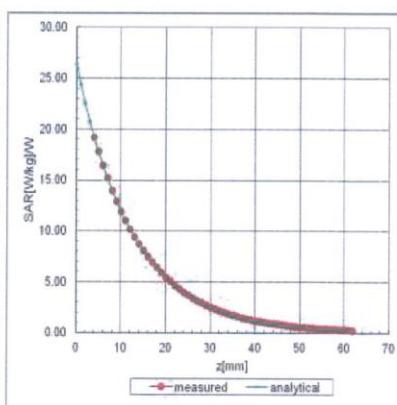
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## Conversion Factor Assessment

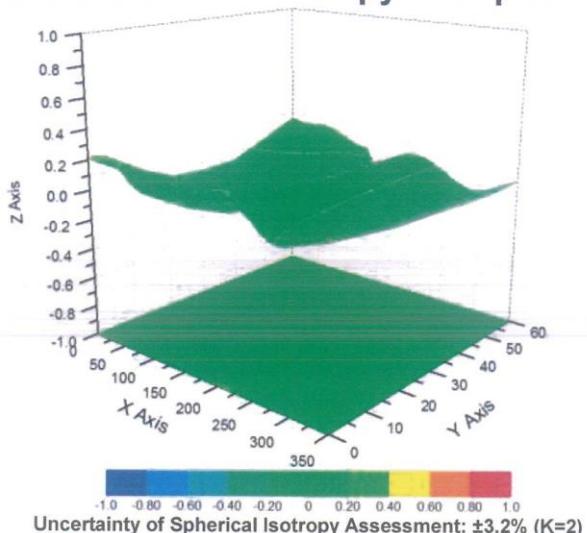
f=835 MHz, WGLS R9(H\_convF)



f=1750 MHz, WGLS R22(H\_convF)



## Deviation from Isotropy in Liquid





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**DASY/EASY – Parameters of Probe: ES3DV3 - SN: 3252****Other Probe Parameters**

Sensor Arrangement	Triangular
Connector Angle (°)	130.2
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disable
Probe Overall Length	337mm
Probe Body Diameter	10mm
Tip Length	10mm
Tip Diameter	4mm
Probe Tip to Sensor X Calibration Point	2mm
Probe Tip to Sensor Y Calibration Point	2mm
Probe Tip to Sensor Z Calibration Point	2mm
Recommended Measurement Distance from Surface	3mm



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Client

ECIT

Certificate No: Z18-60343

## CALIBRATION CERTIFICATE

Object ES3DV3 - SN:3252

Calibration Procedure(s) FF-Z11-004-01  
Calibration Procedures for Dosimetric E-field Probes

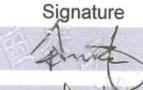
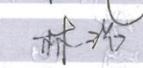
Calibration date: September 04, 2018

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature( $22\pm3$ )°C and humidity<70%.

## Calibration Equipment used (M&amp;TE critical for calibration)

Primary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRP2	101919	20-Jun-18 (CTTL, No.J18X05032)	Jun-19
Power sensor NRP-Z91	101547	20-Jun-18 (CTTL, No.J18X05032)	Jun-19
Power sensor NRP-Z91	101548	20-Jun-18 (CTTL, No.J18X05032)	Jun-19
Reference10dBAttenuator	18N50W-10dB	09-Feb-18(CTTL, No.J18X01133)	Feb-20
Reference20dBAttenuator	18N50W-20dB	09-Feb-18(CTTL, No.J18X01132)	Feb-20
Reference Probe EX3DV4	SN 3846	25-Jan-18(SPEAG, No.EX3-3846_Jan18)	Jan-19
DAE4	SN 777	15-Dec-17(SPEAG, No.DAE4-777_Dec17)	Dec -18
Secondary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
SignalGeneratorMG3700A	6201052605	21-Jun-18 (CTTL, No.J18X05033)	Jun-19
Network Analyzer E5071C	MY46110673	14-Jan-18 (CTTL, No.J18X00561)	Jan -19

Calibrated by:	Name	Function	Signature
	Yu Zongying	SAR Test Engineer	
Reviewed by:	Lin Hao	SAR Test Engineer	
Approved by:	Qi Dianyuan	SAR Project Leader	

Issued: September 06, 2018

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: Z18-60343

Page 1 of 11



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**Glossary:**

TSI	tissue simulating liquid
NORMx,y,z	sensitivity in free space
ConvF	sensitivity in TSL / NORMx,y,z
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A,B,C,D	modulation dependent linearization parameters
Polarization $\Phi$	$\Phi$ rotation around probe axis
Polarization $\theta$	$\theta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i $\theta=0$ is normal to probe axis

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system

**Calibration is Performed According to the Following Standards:**

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

**Methods Applied and Interpretation of Parameters:**

- $NORMx,y,z$ : Assessed for E-field polarization  $\theta=0$  ( $f \leq 900$ MHz in TEM-cell;  $f > 1800$ MHz: waveguide).  $NORMx,y,z$  are only intermediate values, i.e., the uncertainties of  $NORMx,y,z$  does not effect the  $E^2$ -field uncertainty inside TSL (see below ConvF).
- $NORM(f)x,y,z = NORMx,y,z * frequency\_response$  (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- $DCPx,y,z$ : DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics.
- $Ax,y,z; Bx,y,z; Cx,y,z; VRx,y,z; A,B,C$  are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- *ConvF and Boundary Effect Parameters*: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$ MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$ MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to  $NORMx,y,z * ConvF$  whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$ MHz to  $\pm 100$ MHz.
- *Spherical Isotropy (3D deviation from isotropy)*: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- *Sensor Offset*: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- *Connector Angle*: The angle is assessed using the information gained by determining the  $NORMx$  (no uncertainty required).



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# Probe ES3DV3

**SN: 3252**

Calibrated: September 04, 2018

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)



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## DASY/EASY – Parameters of Probe: ES3DV3 - SN: 3252

### Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm( $\mu$ V/(V/m) <sup>2</sup> ) <sup>A</sup>	1.29	1.35	1.33	±10.0%
DCP(mV) <sup>B</sup>	102.7	105.4	103.6	

### Modulation Calibration Parameters

UID	Communication System Name		A dB	B dB/ $\mu$ V	C	D dB	VR mV	Unc <sup>E</sup> (k=2)
0	CW	X	0.0	0.0	1.0	0.00	268.8	±2.5%
		Y	0.0	0.0	1.0		276.1	
		Z	0.0	0.0	1.0		278.3	

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X, Y, Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Page 5 and Page 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



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## DASY/EASY – Parameters of Probe: ES3DV3 - SN: 3252

### Calibration Parameter Determined in Head Tissue Simulating Media

f [MHz] <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unct. (k=2)
750	41.9	0.89	6.51	6.51	6.51	0.40	1.42	±12.1%
835	41.5	0.90	6.36	6.36	6.36	0.40	1.56	±12.1%
900	41.5	0.97	6.31	6.31	6.31	0.45	1.48	±12.1%
1750	40.1	1.37	5.39	5.39	5.39	0.61	1.28	±12.1%
1900	40.0	1.40	5.18	5.18	5.18	0.67	1.26	±12.1%
2000	40.0	1.40	5.17	5.17	5.17	0.71	1.20	±12.1%
2300	39.5	1.67	4.92	4.92	4.92	0.90	1.14	±12.1%
2450	39.2	1.80	4.74	4.74	4.74	0.90	1.15	±12.1%
2600	39.0	1.96	4.46	4.46	4.46	0.72	1.37	±12.1%

<sup>C</sup> Frequency validity above 300 MHz of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequency below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



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## DASY/EASY – Parameters of Probe: ES3DV3 - SN: 3252

### Calibration Parameter Determined in Body Tissue Simulating Media

f [MHz] <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unct. (k=2)
750	55.5	0.96	6.53	6.53	6.53	0.40	1.50	±12.1%
835	55.2	0.97	6.34	6.34	6.34	0.42	1.58	±12.1%
900	55.0	1.05	6.29	6.29	6.29	0.47	1.51	±12.1%
1750	53.4	1.49	4.99	4.99	4.99	0.65	1.28	±12.1%
1900	53.3	1.52	4.77	4.77	4.77	0.75	1.23	±12.1%
2000	53.3	1.52	4.95	4.95	4.95	0.67	1.28	±12.1%
2300	52.9	1.81	4.63	4.63	4.63	0.90	1.15	±12.1%
2450	52.7	1.95	4.41	4.41	4.41	0.90	1.17	±12.1%
2600	52.5	2.16	4.19	4.19	4.19	0.90	1.15	±12.1%

<sup>C</sup> Frequency validity above 300 MHz of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

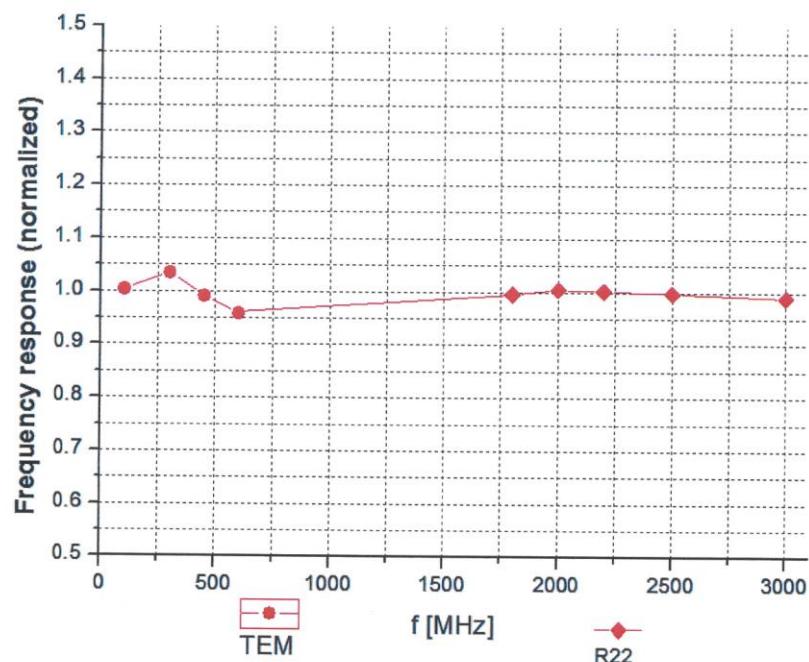
<sup>F</sup> At frequency below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



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### Frequency Response of E-Field (TEM-Cell: ifi110 EXX, Waveguide: R22)



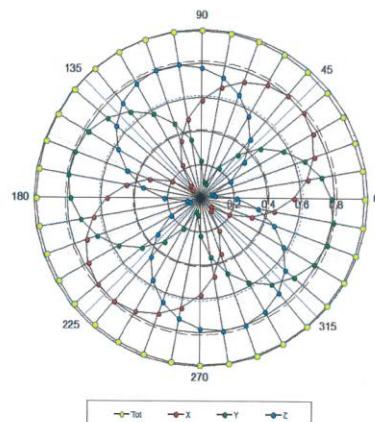
Uncertainty of Frequency Response of E-field:  $\pm 7.4\%$  ( $k=2$ )



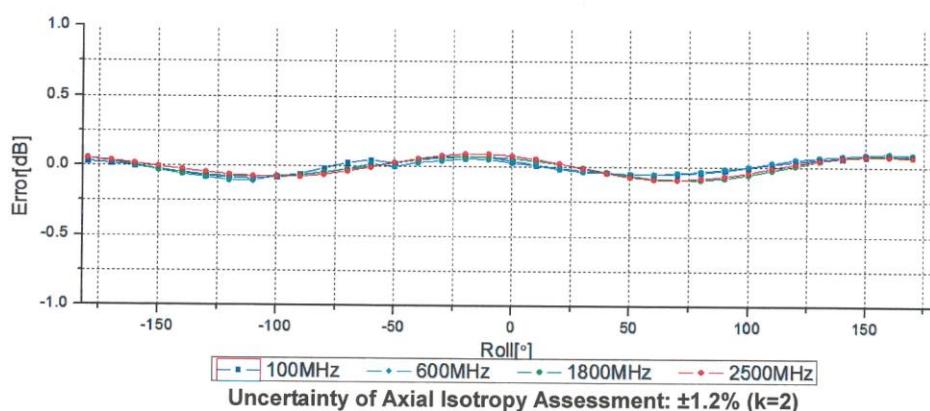
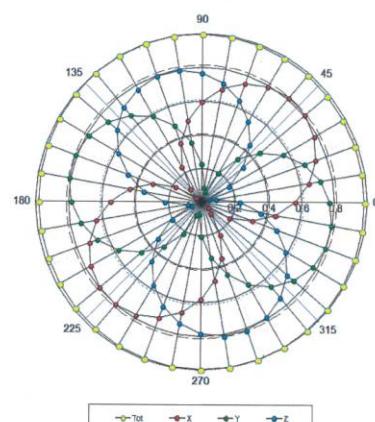
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### Receiving Pattern ( $\Phi$ ), $\theta=0^\circ$

f=600 MHz, TEM



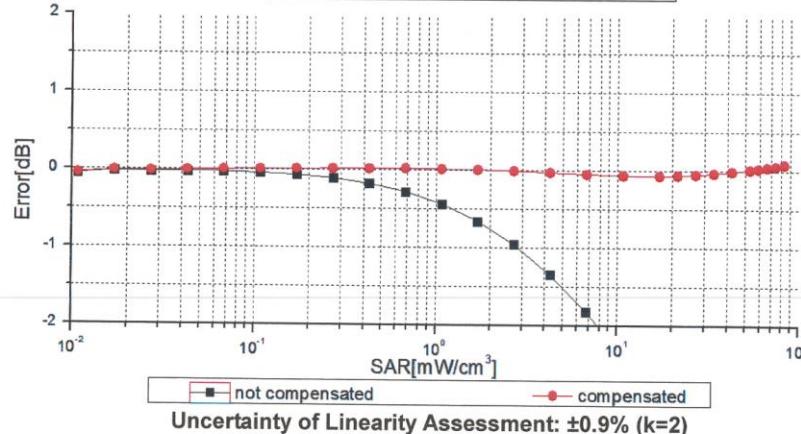
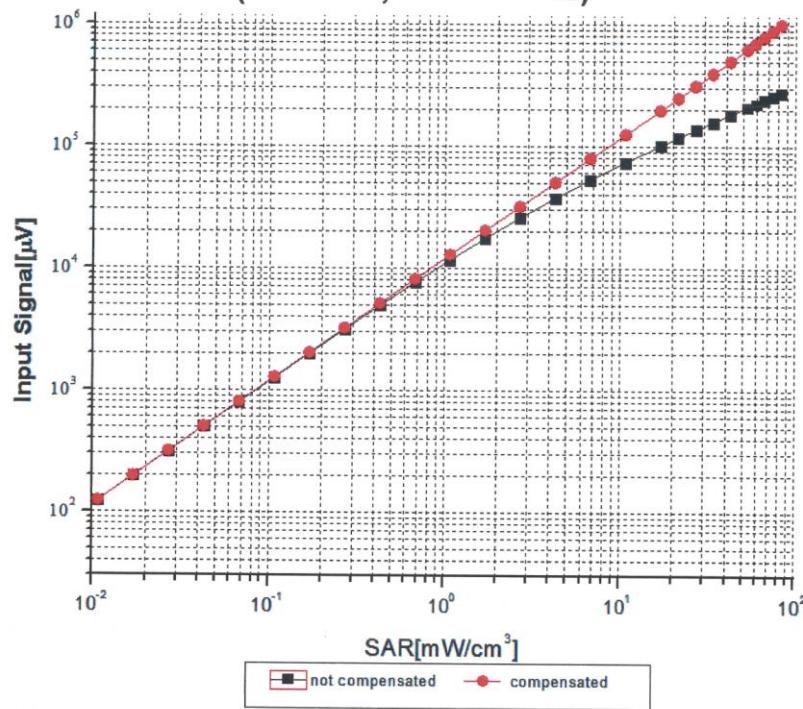
f=1800 MHz, R22





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### Dynamic Range f(SAR<sub>head</sub>) (TEM cell, f = 900 MHz)

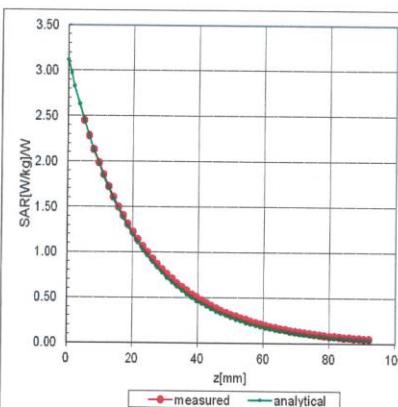




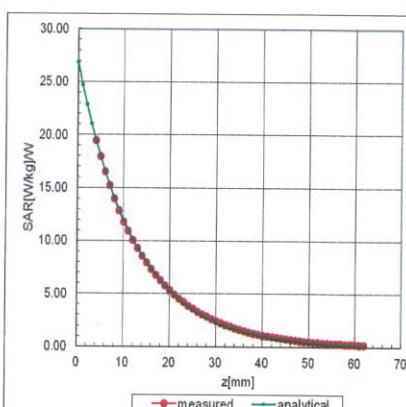
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## Conversion Factor Assessment

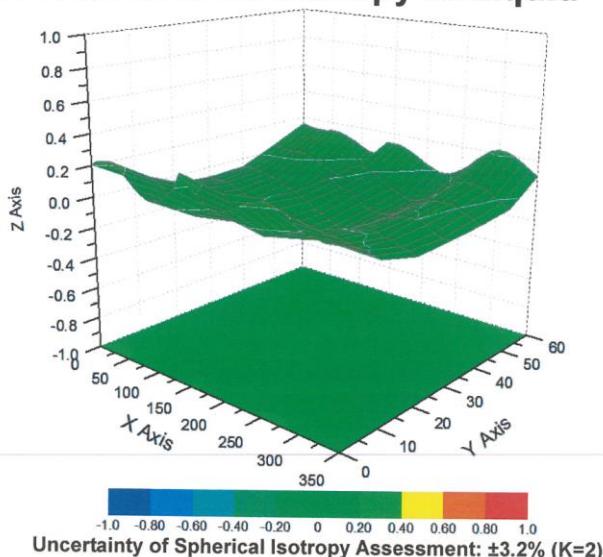
f=750 MHz, WGLS R9(H\_convF)



f=1750 MHz, WGLS R22(H\_convF)



## Deviation from Isotropy in Liquid





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## DASY/EASY – Parameters of Probe: ES3DV3 - SN: 3252

### Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	131.6
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disable
Probe Overall Length	337mm
Probe Body Diameter	10mm
Tip Length	10mm
Tip Diameter	4mm
Probe Tip to Sensor X Calibration Point	2mm
Probe Tip to Sensor Y Calibration Point	2mm
Probe Tip to Sensor Z Calibration Point	2mm
Recommended Measurement Distance from Surface	3mm



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**CALIBRATION CERTIFICATE**

Object D835V2 - SN: 4d112

Calibration Procedure(s) FF-Z11-003-01  
Calibration Procedures for dipole validation kits

Calibration date: October 25, 2018

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRVD	102083	01-Nov-17 (CTTL, No.J17X08756)	Oct-18
Power sensor NRV-Z5	100542	01-Nov-17 (CTTL, No.J17X08756)	Oct-18
Reference Probe EX3DV4	SN 7514	27-Aug-18(SPEAG, No.EX3-7514_Aug18)	Aug-19
DAE4	SN 1555	20-Aug-18(SPEAG, No.DAE4-1555_Aug18)	Aug-19
Secondary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Signal Generator E4438C	MY49071430	23-Jan-18 (CTTL, No.J18X00560)	Jan-19
NetworkAnalyzer E5071C	MY46110673	24-Jan-18 (CTTL, No.J18X00561)	Jan-19

Calibrated by:	Name	Function	Signature
	Zhao Jing	SAR Test Engineer	
Reviewed by:	Lin Hao	SAR Test Engineer	
Approved by:	Qi Dianyuan	SAR Project Leader	

Issued: October 29, 2018

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: Z18-60425

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**Glossary:**

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORMx,y,z
N/A	not applicable or not measured

**Calibration is Performed According to the Following Standards:**

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Measurement procedure for assessment of specific absorption rate of human exposure to radio frequency fields from hand-held and body-mounted wireless communication devices- Part 1: Device used next to the ear (Frequency range of 300MHz to 6GHz)", July 2016
- c) IEC 62209-2, "Procedure to measure the Specific Absorption Rate (SAR) For wireless communication devices used in close proximity to the human body (frequency range of 30MHz to 6GHz)", March 2010
- d) KDB865664, SAR Measurement Requirements for 100 MHz to 6 GHz

**Additional Documentation:**

- e) DASY4/5 System Handbook

**Methods Applied and Interpretation of Parameters:**

- *Measurement Conditions:* Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- *Antenna Parameters with TSL:* The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- *Feed Point Impedance and Return Loss:* These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- *Electrical Delay:* One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- *SAR measured:* SAR measured at the stated antenna input power.
- *SAR normalized:* SAR as measured, normalized to an input power of 1 W at the antenna connector.
- *SAR for nominal TSL parameters:* The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.



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#### Measurement Conditions

DASY system configuration, as far as not given on page 1.

DASY Version	DASY52	52.10.2.1495
Extrapolation	Advanced Extrapolation	
Phantom	Triple Flat Phantom 5.1C	
Distance Dipole Center - TSL	15 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	835 MHz ± 1 MHz	

#### Head TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	41.5	0.90 mho/m
Measured Head TSL parameters	(22.0 ± 0.2) °C	42.4 ± 6 %	0.89 mho/m ± 6 %
Head TSL temperature change during test	<1.0 °C	---	---

#### SAR result with Head TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	2.38 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	9.63 mW /g ± 18.8 % (k=2)
SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL	Condition	
SAR measured	250 mW input power	1.55 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	6.25 mW /g ± 18.7 % (k=2)

#### Body TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	55.2	0.97 mho/m
Measured Body TSL parameters	(22.0 ± 0.2) °C	55.3 ± 6 %	0.96 mho/m ± 6 %
Body TSL temperature change during test	<1.0 °C	---	---

#### SAR result with Body TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	2.42 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	9.75 mW /g ± 18.8 % (k=2)
SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	Condition	
SAR measured	250 mW input power	1.59 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	6.40 mW /g ± 18.7 % (k=2)

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**Appendix (Additional assessments outside the scope of CNAS L0570)****Antenna Parameters with Head TSL**

Impedance, transformed to feed point	52.7Ω- 1.03jΩ
Return Loss	- 31.0dB

**Antenna Parameters with Body TSL**

Impedance, transformed to feed point	49.2Ω- 6.11jΩ
Return Loss	- 24.1dB

**General Antenna Parameters and Design**

Electrical Delay (one direction)	1.265 ns
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After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.  
No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

**Additional EUT Data**

Manufactured by	SPEAG
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