APPENDIX C: RELEVANT PAGES FROM PROBE CALIBRATION REPORT(S)

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Acceptable Conditions for SAR Measurements Using Probes and Dipoles Calibrated under the SPEAG-TMC Dual-Logo Calibration Program to Support FCC Equipment Certification

The acceptable conditions for SAR measurements using probes, dipoles and DAEs calibrated by TMC (Telecommunication Metrology Center of MITT in Beijing, China), under the Dual-Logo Calibration Certificate program and quality assurance (QA) protocols established between SPEAG (Schmid & Partner Engineering AG, Switzerland) and TMC, to support FCC (U.S. Federal Communications Commission) equipment certification are defined and described in the following.

- The agreement established between SPEAG and TMC is only applicable to calibration services performed by TMC where its clients (companies and divisions of such companies) are headquartered in the Greater China Region, including Taiwan and Hong Kong. This agreement is subject to renewal at the end of each calendar year between SPEAG and TMC. TMC shall inform the FCC of any changes or early termination to the agreement.
- Only a subset of the calibration services specified in the SPEAG-TMC agreement, while it remains valid, are applicable to SAR measurements performed using such equipment for supporting FCC equipment certification. These are identified in the following.
 - a) Calibration of dosimetric (SAR) probes EX3DVx, ET3DVx and ES3DVx.
 - Free-space E-field and H-field probes, including those used for HAC (hearing aid compatibility) evaluation, temperature probes, other probes or equipment not identified in this document, when calibrated by TMC, are excluded and cannot be used for measurements to support FCC equipment certification.
 - ii) Signal specific and bundled probe calibrations based on PMR (probe modulation response) characteristics are handled according to the requirements of KDB 865664; that is, "Until standardized procedures are available to make such determination, the applicability of a signal specific probe calibration for testing specific wireless modes and technologies is determined on a case-by-case basis through KDB inquiries, including SAR system verification requirements."
 - b) Calibration of SAR system validation dipoles, excluding HAC dipoles.
 - c) Calibration of data acquisition electronics DAE3Vx, DAE4Vx and DAEasyVx.
 - d) For FCC equipment certification purposes, the frequency range of SAR probe and dipole calibrations is limited to 700 MHz - 6 GHz and provided it is supported by the equipment identified in the TMC QA protocol (a separate attachment to this document).
 - The identical system and equipment setup, measurement configurations, hardware, evaluation algorithms, calibration and QA protocols, including the format of calibration certificates and reports used by SPEAG shall be applied by TMC.
 - The calibrated items are only applicable to SPEAG DASY 4 and DASY 5 or higher version systems.

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- 3) The SPEAG-TMC agreement includes specific protocols identified in the following to ensure the quality of calibration services provided by TMC under this SPEAG-TMC Dual-Logo calibration agreement are equivalent to the calibration services provided by SPEAG. TMC shall, upon request, provide copies of documentation to the FCC to substantiate program implementation.
 - a) The Inter-laboratory Calibration Evaluation (ILCB) stated in the TMC QA protocol shall be performed between SPEAG and TMC at least once every 12 months. The ILCE acceptance criteria defined in the TMC QA protocol shall be satisfied for the TMC, SPEAG and FCC agreements to remain valid.
 - b) Check of Calibration Certificate (CCC) shall be performed by SPEAG for all calibrations performed by TMC. Written confirmation from SPEAG is required for TMC to issue calibration certificates under the SPEAG-TMC Dual-Logo calibration program. Quarterly reports for all calibrations performed by TMC under the program are also issued by SPEAG.
 - c) The calibration equipment and measurement system used by TMC shall be verified before each calibration service according to the specific reference SAR probes, dipoles, and DAE calibrated by SPEAG. The results shall be reproducible and within the defined acceptance criteria specified in the TMC QA protocol before each actual calibration can commence. TMC shall maintain records of the measurement and calibration system verification results for all calibrations.
 - d) Quality Check of Calibration (QCC) certificates shall be performed by SPEAG at least once every 12 months. SPEAG shall visit TMC facilities to verify the laboratory, equipment, applied procedures and plausibility of randomly selected certificates.
- (4) A copy of this document, to be updated annually, shall be provided to TMC clients that accept calibration services according to the SPEAG-TMC Dual-Logo calibration program, which should be presented to a TCB (Telecommunication Certification Body), to facilitate FCC equipment approval.
- TMC shall address any questions raised by its clients or TCBs relating to the SPEAG-TMC Dual-Logo calibration program and inform the FCC and SPEAG of any critical issues.

Change Note: Revised on June 26 to clarify the applicability of PMR and Bundled probe calibrations according to the requirements of KDB 865664.



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Client

AUDEN

Certificate No: Z14-97164

CALIBRATION CERTIFICATE

Object ES3DV3 - SN:3203

Calibration Procedure(s)

TMC-OS-E-02-195

Calibration Procedures for Dosimetric E-field Probes

Calibration date: December 19, 2014

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID#	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRP2	101919	01-Jul-14 (CTTL, No.J14X02146)	Jun-15
Power sensor NRP-Z91	101547	01-Jul-14 (CTTL, No.J14X02146)	Jun-15
Power sensor NRP-Z91	101548	01-Jul-14 (CTTL, No.J14X02146)	Jun-15
Reference10dBAttenuator	18N50W-10dB	13-Mar-14(TMC,No.JZ14-1103)	Mar-16
Reference20dBAttenuator	18N50W-20dB	13-Mar-14(TMC,No.JZ14-1104)	Mar-16
Reference Probe EX3DV4	SN 3617	28-Aug-14(SPEAG,No.EX3-3617_Aug14)	Aug-15
DAE4	SN 1331	23-Jan-14 (SPEAG, DAE4-1331_Jan14)	Jan -15
Secondary Standards	ID#	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
SignalGeneratorMG3700A	6201052605	01-Jul-14 (CTTL, No.J14X02145)	Jun-15
Network Analyzer E5071C	MY46110673	15-Feb-14 (TMC, No.JZ14-781)	Feb-15
	Name	Function	Signature
Calibrated by:	Yu Zongying	SAR Test Engineer	A AC
Reviewed by:	Qi Dianyuan	SAR Project Leader	282
Approved by:	Lu Bingsong	Deputy Director of the laboratory	32 200 Jr
		Issued: Decer	nber 20, 2014
This calibration certificate sh	all not be reprod	uced except in full without written approval of	the laboratory.

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Glossary:

TSL NORMx,y,z ConvF tissue simulating liquid sensitivity in free space sensitivity in TSL / NORMx,y,z diode compression point

DCP CF

crest factor (1/duty_cycle) of the RF signal modulation dependent linearization parameters

A,B,C,D Polarization Φ

Φ rotation around probe axis

Polarization θ

θ rotation around an axis that is in the plane normal to probe axis (at measurement center), i

θ=0 is normal to probe axis

Connector Angle Information used in DASY system to align probe sensor X to the robot coordinate system Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013

 b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz)", February 2005

Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization θ=0 (f≤900MHz in TEM-cell; f>1800MHz: waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not effect the E²-field uncertainty inside TSL (see below ConvF).
- NORM(f)x,y,z = NORMx,y,z* frequency_response (see Frequency Response Chart). This
 linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the
 frequency response is included in the stated uncertainty of ConvF.
- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics.
- Ax,y,z; Bx,y,z; Cx,y,z; VRx,y,z:A,B,C are numerical linearization parameters assessed based on the
 data of power sweep for specific modulation signal. The parameters do not depend on frequency nor
 media, VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature
 Transfer Standard for f≤800MHz) and inside waveguide using analytical field distributions based on
 power measurements for f >800MHz. The same setups are used for assessment of the parameters
 applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given.
 These parameters are used in DASY4 software to improve probe accuracy close to the boundary.
 The sensitivity in TSL corresponds to NORMx,y,z* ConvF whereby the uncertainty corresponds to
 that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which
 allows extending the validity from±50MHz to±100MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the
 probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

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Probe ES3DV3

SN: 3203

Calibrated: December 19, 2014

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

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DASY/EASY - Parameters of Probe: ES3DV3 - SN: 3203

Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm(µV/(V/m)2)A	1.39	1.37	1.19	±10.8%
DCP(mV) ⁸	103.9	100.8	104.3	

Modulation Calibration Parameters

UID	Communication System Name		A dB	B dBõV	С	D dB	VR mV	Unc E (k=2)
0	cw	X	0.0	0.0	1.0	0.00	298.4	±2.3%
		Y	0.0	0.0	1.0		292.8	
		Z	0.0	0.0	1.0		272.7	

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

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A The uncertainties of Norm X, Y, Z do not affect the E²-field uncertainty inside TSL (see Page 5 and Page 6).
B Numerical linearization parameter: uncertainty not required.
Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



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DASY/EASY - Parameters of Probe: ES3DV3 - SN: 3203

Calibration Parameter Determined in Head Tissue Simulating Media

f [MHz] ^c	Relative Permittivity F	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha ^G	Depth ^G (mm)	Unct. (k=2)
900	41.5	0.97	6.55	6.55	6.55	0.32	1.66	±12%
1810	40.0	1.40	5.20	5.20	5.20	0.67	1.27	±12%
2450	39.2	1.80	4.55	4.55	4.55	0.90	1.10	±12%

^C Frequency validity of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.
[®] At frequency below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.
^G Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance targer than half the probe tip diameter from the boundary.

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DASY/EASY - Parameters of Probe: ES3DV3 - SN: 3203

Calibration Parameter Determined in Body Tissue Simulating Media

f [MHz] ^C	Relative Permittivity F	Conductivity (S/m) ^F	ConvF X	ConvF Y	ConvF Z	Alpha ^g	Depth ^G (mm)	Unct. (k=2)
900	55.0	1.05	6.20	6.20	6.20	0.55	1.38	±12%
1810	53.3	1.52	4.88	4.88	4.88	0.46	1,60	±12%
2450	52.7	1.95	4.47	4.47	4.47	0.59	1.55	±12%

^C Frequency validity of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.
^F At frequency below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.
^G Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

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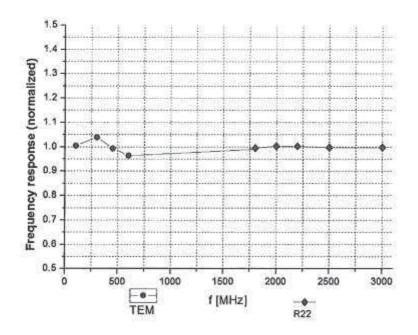
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Frequency Response of E-Field (TEM-Cell: ifi110 EXX, Waveguide: R22)



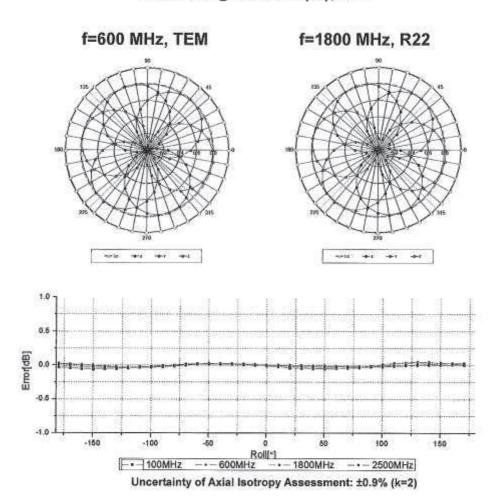
Uncertainty of Frequency Response of E-field: ±7.5% (k=2)

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Receiving Pattern (Φ), θ=0°

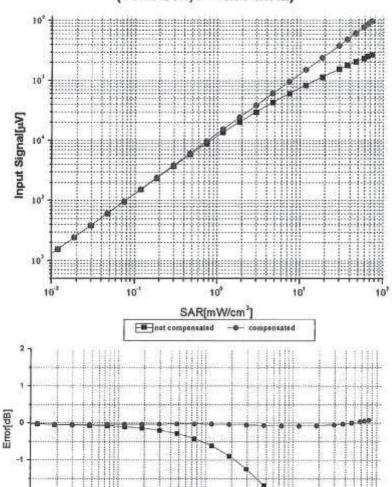


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Dynamic Range f(SAR_{head}) (TEM cell, f = 900 MHz)



10° SAR[mW/cm³]

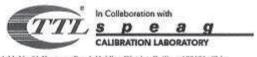
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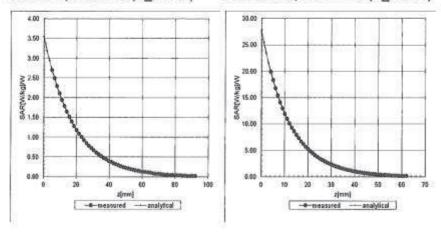


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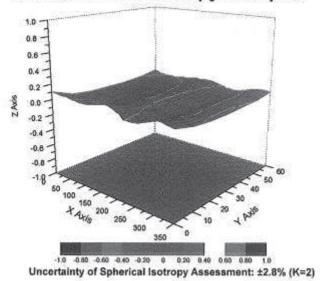
Conversion Factor Assessment

f=900 MHz, WGLS R9(H_convF)

f=1810 MHz, WGLS R22(H_convF)



Deviation from Isotropy in Liquid



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DASY/EASY - Parameters of Probe: ES3DV3 - SN: 3203

Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	175.2
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disable
Probe Overall Length	337mm
Probe Body Diameter	10mm
Tip Length	10mm
Tip Diameter	4mm
Probe Tip to Sensor X Calibration Point	2mm
Probe Tip to Sensor Y Calibration Point	2mm
Probe Tip to Sensor Z Calibration Point	2mm
Recommended Measurement Distance from Surface	3mm

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Certificate No: Z15-97095

CALIBRATION CERTIFICATE

SMO

Object

EX3DV4 - SN:3881

Calibration Procedure(s)

Client

FD-Z11-2-004-01

Calibration Procedures for Dosimetric E-field Probes

Calibration date:

July 24, 2015

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(S)). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)% and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID#	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRP2	101919	01-Jul-15 (CTTL, No J15X04256)	Jun-16
Power sensor NRP-Z91	101547	01-Jul-15 (CTTL, No.J15X04256)	Jun-16
Power sensor NRP-Z91	101548	01-Jul-15 (CTTL, No.J15X04256)	Jun-18
Reference10dBAttenuator	18N50W-10dB	13-Mar-14(TMC,No.JZ14-1103)	Mar-16
Reference20dBAttenuator	18N50W-20dB	13-Mar-14(TMC,No.JZ14-1104)	Mar-16
Reference Probe EX3DV4	SN 3617	28-Aug-14(SPEAG No.EX3-3617_Aug14)	Aug-15
DAE4	SN 777	17-Sep-14 (SPEAG DAE4-777_Sep14)	Sep -15
Secondary Standards	ID#	Cal Date Calibrated by Certificate No.)	Scheduled Calibration
SignalGeneralorMG3700A	6201052605	01-Jul-15 (CTTL, No.J15X04255)	Jun-16
Network Analyzer E5071C	MY46110673	03-Feb-15 (CTTL, No.J15X00728)	Feb-16
	Name	Function	Signature
Calibrated by:	Yu Zongying	SAR Test Engineer	200
Reviewed by:	Qi Dianyuan	SAR Project Leader	300
Approved by:	Lu Bingsong	Deputy Director of the laboratory	hun
		Issued: July 2	5, 2015
This calibration certificate st	nall not be reprod	uped except in full without written approval of	the laboratory.

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Glossary:

tissue simulating liquid NORMX, y, z sensitivity in free space sensitivity in TSL / NORMx,y,z ConvF DCP diode compression point

crest factor (1/duty_cycle) of the RF signal CF modulation dependent linearization parameters A.B.C.D

or rotation around probe axis Polarization Φ

8 rotation around an axis that is in the plane normal to probe axis (at measurement center), i Polarization 6

8=0 is normal to probe axis

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system

Calibration is Performed According to the Following Standards:

a) IEEE Sld 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013

b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz). February 2005

Methods Applied and Interpretation of Parameters:

NORMx.y.z. Assessed for E-field polarization θ=0 (f≤900MHz in TEM-cell; f>1800MHz: waveguide). NORMx, y, z are only intermediate values, i.e., the uncertainties of NORMx, y, z does not effect the E²-field uncertainty inside TSL (see below ConvF).

NORM(f)x,y,z = NORMx,y,z* frequency_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.

DCPx y.z: DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.

PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics.

Ax,yz; Bx,y,z; Cx,y,z; VRx,y,z;A,B,C are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.

ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for fs800MHz) and inside waveguide using analytical field distributions based on power measurements for f >800MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from±50MHz to±100MHz. Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat

phantom exposed by a patch antenna.

Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

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Probe EX3DV4

SN: 3881

Calibrated: July 24, 2015

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

Certificate No: Z15-97095

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Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm(µV/(V/m)²)^	0.18	0.38	0.50	±10.8%
DCP(mV) ^B	95.9	104.3	102.3	

Modulation Calibration Parameters

UID	Communication System Name		A dB	B dBõV	C	dB	VR mV	Unc" (k=2)
0	cw	X	0.0	0.0	1.0	.0.00	98.5	±2.9%
	250.0	Y	0.0	0.0	1.0		172.5	
		Z	0.0	0.0	1.0		195.3	

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

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⁴ The uncertainties of Norm X, Y, Z do not affect the E²-field uncertainty inside TSL (see Page 5 and Page 6).
⁵ Numerical linearization parameter: uncertainty not required
⁶ Uncertainty is determined using the max, deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



Calibration Parameter Determined in Head Tissue Simulating Media

f [MHz] [©]	Relative Permittivity	Conductivity (\$/m) ^F	ConvF X	ConvF Y	ConvF Z	Alpha ^G	Depth ⁶ (mm)	Unct. (k=2)
835	41.5	0.90	9.66	9.66	9.66	0.16	1.26	±12%
1900	40.0	1.40	7.96	7.96	7.96	0.18	4.34	± 12%

Frequency validity of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. *At frequency below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters. *AlpharDopth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ±1% for frequencies below 3 GHz and below ±2% for the frequencies. between 3-6 GHz at any distance larger than half the probe tip dismeter from the boundary.

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Calibration Parameter Determined in Body Tissue Simulating Media

t [MHz] ^d	Relative Permittivity	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha	Depth ^G (mm)	Unct.
835	55.2	0.97	9.45	9.45	9.45	0.16	1.39	±12%
1900	53.3	1.52	7.60	7.60	7.60	0.15	1.60	±12%

Frequency validity of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. At frequency below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

AlpharDepth are determined during calibration. SPEAG warrants that the remaining deviation due to the coundary effect after compensation is always less than ±1% for frequencies below 3 GHz and below ±2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

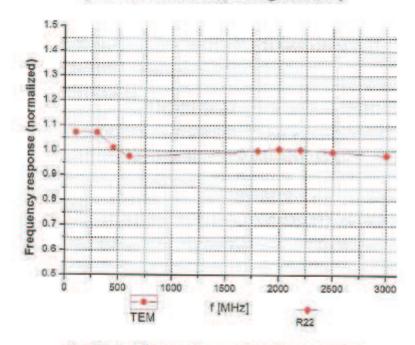
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Frequency Response of E-Field (TEM-Cell: ifi110 EXX, Waveguide: R22)



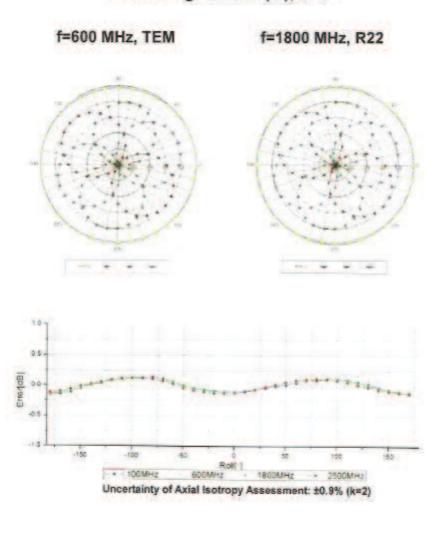
Uncertainty of Frequency Response of E-field: ±7.5% (k=2)

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Receiving Pattern (Φ), θ=0°

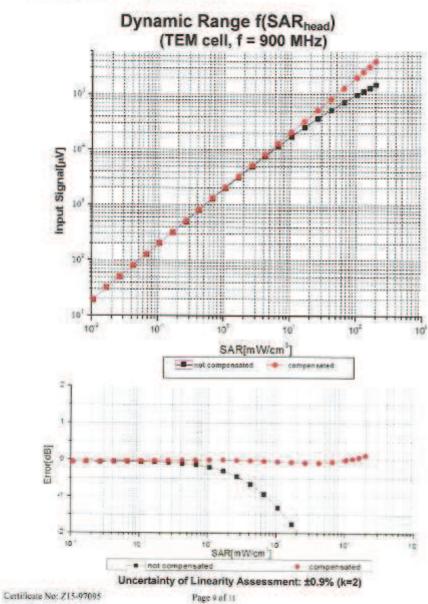


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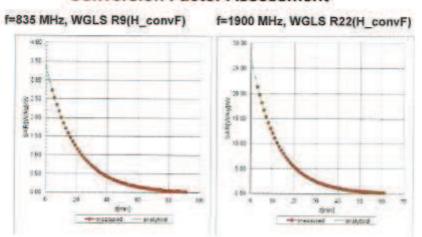
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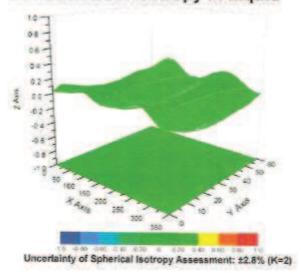




Conversion Factor Assessment



Deviation from Isotropy in Liquid



Certificate No: Z15-97095

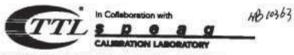
Page 10 of 11



Sensor Arrangement	Triangular
Connector Angle (°)	170.2
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disable
Probe Overall Length	337mm
Probe Body Diameter	10mm
Tip Length	9mm
Tip Diameter	2.5mm
Probe Tip to Sensor X Calibration Point	1mm
Probe Tip to Sensor Y Calibration Point	1mm
Probe Tip to Sensor Z Calibration Point	1mm
Recommended Measurement Distance from Surface	1.4mm

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Client

AUDEN

Certificate No: Z14-97117

Object

EX3DV4 - SN:3881

Calibration Procedure(s)

TMC-OS-E-02-195

Calibration Procedures for Dosimetric E-field Probes

Calibration date:

November 06, 2014

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID#	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRP2	101919	01-Jul-14 (CTTL, No.J14X02146)	Jun-15
Power sensor NRP-Z91	101547	01-Jul-14 (CTTL, No.J14X02146)	Jun-15
Power sensor NRP-Z91	101548	01-Jul-14 (CTTL, No.J14X02146)	Jun-15
Reference10dBAttenuator	BT0520	12-Dec-12(TMC, No. JZ12-867)	Dec-14
Reference20dBAttenuator	BT0267	12-Dec-12(TMC, No. JZ12-866)	Dec-14
Reference Probe EX3DV4	SN 3617	28-Aug-14(SPEAG,No.EX3-3617_Aug14)	Aug-15
DAE4	SN 1331	23-Jan-14 (SPEAG, DAE4-1331_Jan14)	Jan -15
Secondary Standards SignalGeneratorMG3700A Network Analyzer E5071C		Cal Date(Calibrated by, Certificate No.) 01-Jul-14 (CTTL, No.J14X02145) 15-Feb-14 (TMC, No.JZ14-781)	Scheduled Calibration Jun-15 Feb-15
	Name	Function	Signature
Calibrated by:	Yu Zongying	SAR Test Engineer	ANT)
Reviewed by:	Qi Dianyuan	SAR Project Leader	302)
Approved by:	Lu Bingsong	Deputy Director of the laboratory	Br. ess to
		Issued: Nove	mber 07, 2014

Issued: November 07, 2014

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: Z14-97117

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Glossary:

NORMx,y,z ConvF DCP

tissue simulating liquid sensitivity in free space sensitivity in TSL / NORMx, y, z diode compression point

CF A.B,C,D crest factor (1/duty_cycle) of the RF signal modulation dependent linearization parameters

Polarization Φ

Φ rotation around probe axis

Polarization 0

6 rotation around an axis that is in the plane normal to probe axis (at measurement center), i

θ=0 is normal to probe axis

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013

b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz)*, February 2005

Methods Applied and Interpretation of Parameters:

NORMx, y.z: Assessed for E-field polarization θ=0 (f≤900MHz in TEM-cell; f>1800MHz: waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not effect the E2-field uncertainty inside TSL (see below ConvF)

 $NORM(f)x,y,z = NORMx,y,z^*$ frequency_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.

DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.

PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal

Ax,y,z; Bx,y,z; Cx,y,z;VRx,y,z;A,B,C are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.

ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f≤800MHz) and inside waveguide using analytical field distributions based on power measurements for f >800MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from±50MHz to±100MHz.

Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.

Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

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Probe EX3DV4

SN: 3881

Calibrated: November 06, 2014

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

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DASY - Parameters of Probe: EX3DV4 - SN: 3881

Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm(µV/(V/m)2)A	0.18	0.39	0.51	±10.8%
DCP(mV) ⁸	96.3	101.9	102.3	

Modulation Calibration Parameters

UID	Communication System Name		A dB	B dBõV	С	D dB	VR mV	Unc ^E (k=2)
0	CW	X	0.0	0.0	1.0	0.00	93.5	±3.0%
		Y	0.0	0.0	1.0		160.6	
		Z	0.0	0.0	1.0		183.4	1

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

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^A The uncertainties of Norm X, Y, Z do not affect the E²-field uncertainty inside TSL (see Page 5 and Page 6).

B Numerical linearization parameter: uncertainty not required.

E Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



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DASY - Parameters of Probe: EX3DV4 - SN: 3881

Calibration Parameter Determined in Head Tissue Simulating Media

f [MHz] ^C	Relative Permittivity ^F	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha ^G	Depth ^G (mm)	Unct. (k=2)
750	41.9	0.89	9.88	9.88	9.88	0.33	0.81	±12%
2600	39.0	1.96	6.91	6.91	6.91	0.50	0.77	±12%
5300	35.9	4.76	5.22	5.22	5.22	0.52	0.70	±13%
5500	35.6	4.96	4.69	4.69	4.69	0.45	0.73	±13%
5600	35.5	5.07	4.43	4.43	4.43	0.57	0.61	±13%
5800	35.3	5.27	4.20	4.20	4.20	0.56	0.56	±13%

^c Frequency validity of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.
^F At frequency below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

^c Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

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DASY - Parameters of Probe: EX3DV4 - SN: 3881

Calibration Parameter Determined in Body Tissue Simulating Media

f [MHz] ^C	Relative Permittivity F	Conductivity (S/m) ^F	ConvF X	ConvF Y	ConvF Z	Alpha ^G	Depth ^G (mm)	Unct. (k=2)
750	55.5	0.96	9.56	9.56	9.56	0.15	1.49	±12%
2600	52.5	2.16	7.19	7.19	7.19	0.41	0.90	±12%
5300	48.9	5.42	4.61	4.61	4.61	0.45	1.11	±13%
5500	48.6	5.65	4.22	4.22	4.22	0.43	1.27	±13%
5600	48.5	5.77	4.13	4.13	4.13	0.43	1.36	±13%
5800	48.2	6.00	4.21	4.21	4.21	0.45	1.48	±13%

^c Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.
^f At frequency below 3 GHz, the validity of tissue parameters (ϵ and σ) can be relaxed to $\pm 10\%$ if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ϵ and σ) is restricted to $\pm 5\%$. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

^g Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than $\pm 1\%$ for frequencies below 3 GHz and below $\pm 2\%$ for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

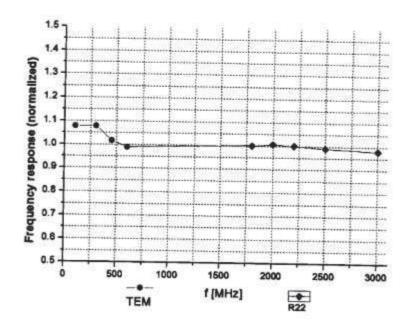
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Frequency Response of E-Field (TEM-Cell: ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field: ±7.5% (k=2)

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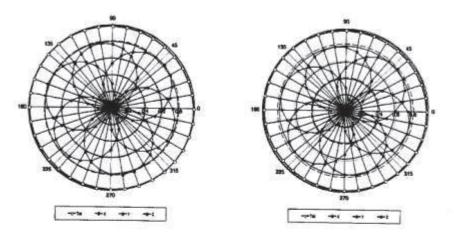


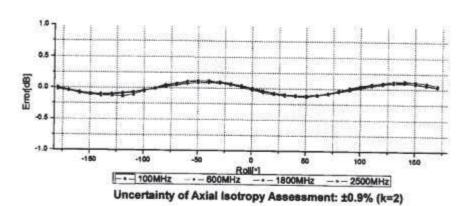
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Receiving Pattern (Φ), θ=0°

f=600 MHz, TEM

f=1800 MHz, R22





Certificate No: Z14-97117

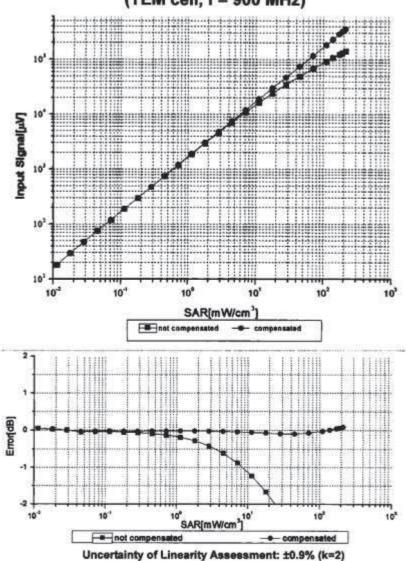
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Dynamic Range f(SAR_{head}) (TEM cell, f = 900 MHz)



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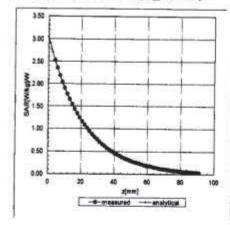


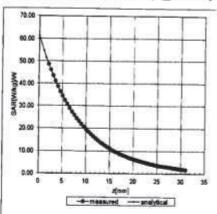
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Conversion Factor Assessment

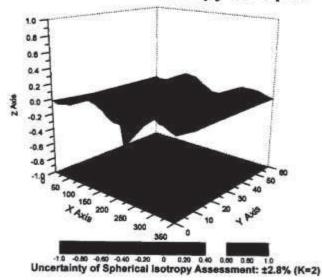
f=750 MHz, WGLS R9(H_convF)

f=2600 MHz, WGLS R26(H_convF)





Deviation from Isotropy in Liquid



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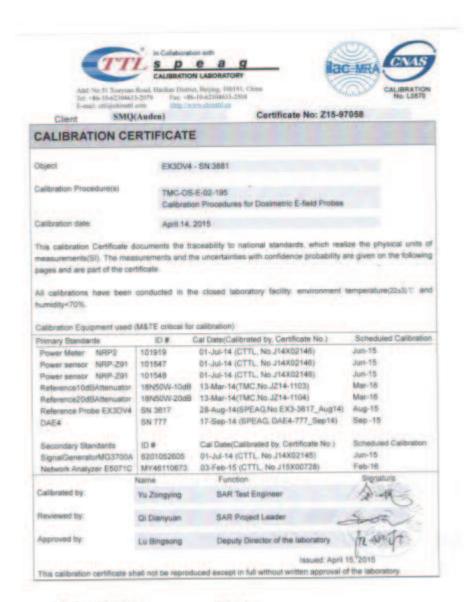
DASY - Parameters of Probe: EX3DV4 - SN: 3881

Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	170.2
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disable
Probe Overall Length	337mm
Probe Body Diameter	10mm
Tip Length	9mm
Tip Diameter	2.5mm
Probe Tip to Sensor X Calibration Point	1mm
Probe Tip to Sensor Y Calibration Point	1mm
Probe Tip to Sensor Z Calibration Point	1mm
Recommended Measurement Distance from Surface	2mm

Certificate No: Z14-97117

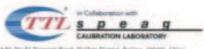
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Glossary:

tissue simulating liquid NORMX,y.z sensitivity in free space sensitivity in TSL / NORMx.y.z. ConvF

diode compression point crest factor (1/duty, cycle) of the RF signal modulation dependent linearization parameters © rotation around probe axis ARCD

Polarization Φ Polarization 6

9 rotation around an axis that is in the plane normal to probe axis (at measurement center), i 9=0 is normal to probe axis

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system

Connector Angle Information used in DASY system to align probe sensor X to the robot coordinate syste Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices Measurement Techniques", June 2013

b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz)", February 2005

Methods Applied and Interpretation of Parameters:

NORMx,y.z. Assessed for E-field polarization 8=0 (fs900MHz in TEM-cell, f=1800MHz waveguide) NORMx, y, z are only intermediate values, i.e., the uncertainties of NORMx, y, z does not effect the \mathcal{E}^1 -field uncertainty inside TSL (see below ConvF).

 $NORM(f)x, y,z = NORMx, y,z^*$ frequericy_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the

frequency response is included in the stated uncertainty of ConvF DCPs.y.z. DCP are numerical linearization parameters assessed based on the data of power sweep

(no uncertainty required). DCP does not depend on frequency nor media.

PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal.

Ax, y.z. 8x, y.z. 0x, y.z. VRx, y.z.A.B.C are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.

media. VR is the maximum calibration range expressed in RMS voltage across the diode.

ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for fs800MHz) and inside waveguide using analytical field distributions based on power measurements for f >800MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx y.z* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from:50MHz tox 100MHz.

Spherical actropy (3D deviation from isotropy): In a field of low gradients realized using a flat phantom exposed by a patch antenna.

Sensor Offset. The sensor offset corresponds to the offset of virtual measurement center from the probe lip (on probe axis). No tolerance required.

nector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

Conferme No. 715,07008



Probe EX3DV4

SN: 3881

Calibrated: April 14, 2015

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

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Calibration Parameter Determined in Head Tissue Simulating Media

f [MHz] ^c	Relative Permittivity	Conductivity (S/m) ^f	ConvF X	ComyF Y	ConvF Z	Alpha ^d	Depth [©] (mm)	Unct. (k=2)
5200	36.0	4.66	5.44	5.44	5.44	0.45	1.32	±13%

Calibration Parameter Determined in Body Tissue Simulating Media

F[MHz] ^c	Relative Permittivity	Conductivity (S/m)	ConvF X	ConvF Y	ConvF Z	Alpha ^a	Depth [®] (mm)	Unct. (k=2)
5200	49.0	5.30	4.93	4.93	4.93	0.51	1.31	±13%

Frequency variety of ±100MHz only applies for DIASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. "At frequency below 3 GHz, the validity of tissue parameters (it and d) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values At frequencies above 3 GHz, the validity of tissue parameters (it and d) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

4 Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ±1% for frequencies below 3 GHz and below ±2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

Note: For other parameters please refer to report (No. Z14-97117). And this report should be used together with No. Z14-97117 report.

Certificate No. 215-97058

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Acceptable Conditions for SAR Mussurements Using Probes and Dipoles Calibrated under the SPEAG-CTTL Dual-Logo Calibration Program to Support FCC Equipment Certification

The acceptable conditions for SAR measurements using probes, dipoles and DAEs The acceptable conditions for SAR measurements using probes, usposes and SARs unditrated by CTTL (Clinia Telecommunication Technology Lates, under the Dual-Logo Calibration Certificate program and quality assumance (QA) protocols established herween SPEAG (Schmid & Partner Enganvering AG, Switzerland) and CTTL, to support FCC (U.S. Federal Communications Commission) equipment certification are defined and described in the following. The conditions in this KDB are valid until December 31, 2015.

- 1) The agreement established between SPEAG and CTTL is only applicable to the agreement examinates between the Art and Crist thing approaches a calibration services performed by CTTL where its clients (companies and divisions of such companies) are headquartered in the Greater China Region, including Taiwan and Hong Kong. CTTL shall inform the FCC of any changes or early termination to the agreement
- Only a subset of the calibration services specified in the SPEAG-CTTL agreement, while it remains valid, are applicable to SAR measurements performed using such equipment for supporting FCC equipment certification. These are identified in the wing.
 - a) Calibration of dosimetric (SAR) probes EX3DVx, ET3DVx and ES3DVx
 - Free-space E-field and H-field probes, including those used for HAC (hearing aid compatibility) evaluation, temperature probes, other probes or equipment not identified in this document, when calibrated by CTTL, are excluded and
 - cannot be used for measurements to support PCC equipment certification.

 ii) Signal specific and bundled probe calibrations based on PMR (probe modulation response) characteristics or probe sensor model based linearization methods that are not fully described in SAR standards are excluded and cannot be used for measurements to support FCC equipment certification

 - ortification.

 Calibration of SAR system validation dipoles, excluding HAC dipoles.

 Calibration of data sequinition electronics DAE3Vx, DAE4Vx and DAE3vyVx.

 d) For FCC equipment certification purposes, the frequency range of SAR probe and dipole calibrations is limited to 700 MHz. -6 GHz and provided it is supported by the equipment identified in the CTTL QA protocol (a separate attachment to this
 - e) The identical system and equipment setup, meas nent configurations hardware, evaluation algorithms, calibration and QA protocols, including the format of calibration certificates and reports used by SPEAG shall be applied by CTTL. Equivalent fest equipment and measurement configurations may be considered only when agreed by both SPEAG and the FCC.
 - f) The calibrated items are only applicable to SPEAG DASY 4 and DASY 5
- systems or higher version systems that satisfy the requirements of this KDB.

 3) The SPEAG-CTTL agreement includes specific protocols identified in the following to ensure the quality of calibration services provided by CTTI, under this SPEAG-

Report No.: WT158005435



ement are equivalent to the calibration services provided by SPEAG. CI'TL shall apply the required protocols without modification and, upon request, provide copies of documentation to the FCC to substantiate

- program implementation.

 a) The Inter-laboratory Calibration Evaluation (ILCE) stated in the CTTL QA protocol shall be performed between SPEAG and CTTL at least once every 12 months. The ILCE acceptance criteria defined in the CTTL QA protocol shall be satisfied for the CTTL, SPEAG and PCC agreements to remain valid.
- Check of Calibration Certificate (CCC) shall be performed by SPEAG for all calibrations performed by CTTL. Written confirmation from SPEAG is required for CTTL to issue calibration octofficates under the SPEAG-CTTL Dual-Logo calibration program. Quarterly reports for all calibrations performed by CTTL under the program are also issued by SPEAG.
- under the program are also issued by SPEAG.

 c) The calibration equipment and missurement system used by CTTL shall be verified before each calibration service according to the specific reference SAR probes, dipoles, and DAE calibrated by SPEAG. The results shall be reproducible and within the defined acceptance criteria specified in the CTTL QA protocol before each actual calibration can commence. CTTL shall maintain records of the measurement and calibration system verification results for all calibrations.

 d) Quality Check of Calibration (QCC) certificates shall be performed by SPEAG at least once every 12 months. SPEAG shall visit CTTL facilities to verify the inhoratory, equipment, applied procedures and plausibility of randomly selected certificates.
- certificates.
- 4) A copy of this document shall be provided to UTTL clients that accept calibration services according to the SPEAG-CTTL Dual-Logo calibration program, which should be presented to a TCB (Trircommunication Certification Body), to facilitate
- FCC equipment approval
 CTTL shall address any questions raised by its clients or TCBs relating to the SPEAG-CTTL Dual-Logo calibration program and inform the FCC and SPEAG of any critical issues.

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