# **FCC SAR Test Report**

APPLICANT : HMD Global Oy

**EQUIPMENT**: Smart Phone

**BRAND NAME**: NOKIA

MODEL NAME : TA-1004

FCC ID : 2AJOTTA-1004

**STANDARD** : FCC 47 CFR Part 2 (2.1093)

**ANSI/IEEE C95.1-1992** 

IEEE 1528-2013

We, SPORTON INTERNATIONAL INC., would like to declare that the tested sample has been evaluated in accordance with the procedures and had been in compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of SPORTON INTERNATIONAL INC., the test report shall not be reproduced except in full.

Reviewed by: Eric Huang / Manager

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Approved by: Jones Tsai / Manager





Report No. : FA783101

### SPORTON INTERNATIONAL INC.

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## **Revision History**

REPORT NO.	VERSION	DESCRIPTION	ISSUED DATE
FA783101	Rev. 01	Initial issue of report	Sep. 13, 2017

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### 1. Statement of Compliance

The maximum results of Specific Absorption Rate (SAR) found during testing for HMD Global Oy, Smart Phone, TA-1004, are as follows.

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		Highest SAR Summary				Highest
Equipment Class	Frequency Band	Head (Separation 0mm)	Body-worn (Separation 15mm)	Hotspot (Separation 10mm)	Product Specific (Separation 0mm)	Simultaneous Transmission 1g SAR
			1g SAR (W/kg)		10g SAR (W/kg)	(W/kg)
Licensed	WCDMA IV	0.07	0.38	1.01		1.57
Date o	of Testing:	2017/9/4				

This device is in compliance with Specific Absorption Rate (SAR) for general population/uncontrolled exposure limits (1.6 W/kg for Partial-Body, 4.0 W/kg for Product Specific) specified in FCC 47 CFR part 2 (2.1093) and ANSI/IEEE C95.1-1992, and had been tested in accordance with the measurement methods and procedures specified in IEEE 1528-2013 and FCC KDB publications

### 2. Administration Data

Testing Laboratory		
Test Site	SPORTON INTERNATIONAL INC.	
Test Site Location	No.52, Hwa Ya 1st Rd., Hwa Ya Technology Park, Kwei-Shan District, Taoyuan City, Taiwan (R.O.C.) TEL: +886-3-327-3456 FAX: +886-3-328-4978	

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Applicant Applicant		
Company Name	HMD Global Oy	
Address	Karaportti 2, 02610 Espoo, Finland	

Manufacturer		
Company Name	HMD Global Oy	
Address	Karaportti 2, 02610 Espoo, Finland	

### 3. Guidance Applied

The Specific Absorption Rate (SAR) testing specification, method, and procedure for this device is in accordance with the following standards:

- FCC 47 CFR Part 2 (2.1093)
- ANSI/IEEE C95.1-1992
- IEEE 1528-2013
- FCC KDB 865664 D01 SAR Measurement 100 MHz to 6 GHz v01r04
- FCC KDB 865664 D02 SAR Reporting v01r02
- FCC KDB 447498 D01 General RF Exposure Guidance v06
- FCC KDB 648474 D04 SAR Evaluation Considerations for Wireless Handsets v01r03
- FCC KDB 248227 D01 802.11 Wi-Fi SAR v02r02
- FCC KDB 941225 D01 3G SAR Procedures v03r01
- FCC KDB 941225 D05 SAR for LTE Devices v02r05
- FCC KDB 941225 D06 Hotspot Mode SAR v02r01

## 4. Equipment Under Test (EUT) Information

### 4.1 General Information

	Product Feature & Specification
<b>Equipment Name</b>	Smart Phone
Brand Name	NOKIA
Model Name	TA-1004
FCC ID	2AJOTTA-1004
IMEI	SIM 1 : 004400152020002 SIM 2 : 004400152020002
Wireless Technology and Frequency Range	GSM850: 824.2 MHz ~ 848.8 MHz GSM1900: 1850.2 MHz ~ 1909.8 MHz WCDMA Band II: 1852.4 MHz ~ 1907.6 MHz WCDMA Band IV: 1712.4 MHz ~ 1752.6 MHz WCDMA Band V: 826.4 MHz ~ 846.6 MHz LTE Band 2: 1850.7 MHz ~ 1909.3 MHz LTE Band 4: 1710.7 MHz ~ 1754.3 MHz LTE Band 5: 824.7 MHz ~ 848.3 MHz LTE Band 7: 2502.5 MHz ~ 2567.5 MHz LTE Band 38: 2572.5 MHz ~ 2617.5 MHz LTE Band 41: 2498.5 MHz ~ 2687.5 MHz WLAN 2.4GHz Band: 2412 MHz ~ 2462 MHz WLAN 5.2GHz Band: 5180 MHz ~ 5240 MHz WLAN 5.3GHz Band: 5500 MHz ~ 5720 MHz WLAN 5.5GHz Band: 5745 MHz ~ 5825 MHz Bluetooth: 2402 MHz ~ 2480 MHz NFC: 13.56 MHz ANT+: 2402 MHz ~ 2480 MHz
Mode GSM / (E)GPRS Dual	GSM/GPRS/EGPRS/DTM RMC/AMR 12.2Kbps HSDPA HSUPA DC-HSDPA LTE: QPSK, 16QAM WLAN 2.4GHz: 802.11b/g/n HT20 WLAN 5GHz: 802.11a/n/ac HT20/HT40/VHT20/VHT40/VHT80 Bluetooth BR/EDR/LE NFC:ASK ANT+: GFSK
Transfer mode	Class A – EUT can support Packet Switched and Circuit Switched Network simultaneously.
<b>EUT Stage</b>	Identical Prototype
Remark:	

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- 1. This is a variant report and the detail changes please refer to "Class II Permissive Change".
- This report only has WCDMA Band IV test record, for other frequency test records please refer to Sporton FCC SAR Test Report, Report No.: FA712102 as Appendix D.

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### 5. RF Exposure Limits

### 5.1 Uncontrolled Environment

Uncontrolled Environments are defined as locations where there is the exposure of individuals who have no knowledge or control of their exposure. The general population/uncontrolled exposure limits are applicable to situations in which the general public may be exposed or in which persons who are exposed as a consequence of their employment may not be made fully aware of the potential for exposure or cannot exercise control over their exposure. Members of the general public would come under this category when exposure is not employment-related; for example, in the case of a wireless transmitter that exposes persons in its vicinity.

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### 5.2 Controlled Environment

Controlled Environments are defined as locations where there is exposure that may be incurred by persons who are aware of the potential for exposure, (i.e. as a result of employment or occupation). In general, occupational/controlled exposure limits are applicable to situations in which persons are exposed as a consequence of their employment, who have been made fully aware of the potential for exposure and can exercise control over their exposure. The exposure category is also applicable when the exposure is of a transient nature due to incidental passage through a location where the exposure levels may be higher than the general population/uncontrolled limits, but the exposed person is fully aware of the potential for exposure and can exercise control over his or her exposure by leaving the area or by some other appropriate means.

#### Limits for Occupational/Controlled Exposure (W/kg)

Whole-Body	Partial-Body	Hands, Wrists, Feet and Ankles
0.4	8.0	20.0

#### Limits for General Population/Uncontrolled Exposure (W/kg)

Whole-Body	Partial-Body	Hands, Wrists, Feet and Ankles
0.08	1.6	4.0

1. Whole-Body SAR is averaged over the entire body, partial-body SAR is averaged over any 1gram of tissue defined as a tissue volume in the shape of a cube. SAR for hands, wrists, feet and ankles is averaged over any 10 grams of tissue defined as a tissue volume in the shape of a cube.

## 6. Specific Absorption Rate (SAR)

### 6.1 Introduction

SAR is related to the rate at which energy is absorbed per unit mass in an object exposed to a radio field. The SAR distribution in a biological body is complicated and is usually carried out by experimental techniques or numerical modeling. The standard recommends limits for two tiers of groups, occupational/controlled and general population/uncontrolled, based on a person's awareness and ability to exercise control over his or her exposure. In general, occupational/controlled exposure limits are higher than the limits for general population/uncontrolled.

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### 6.2 SAR Definition

The SAR definition is the time derivative (rate) of the incremental energy (dW) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dv) of a given density (p). The equation description is as below:

$$SAR = \frac{d}{dt} \left( \frac{dW}{dm} \right) = \frac{d}{dt} \left( \frac{dW}{\rho dv} \right)$$

SAR is expressed in units of Watts per kilogram (W/kg)

$$SAR = \frac{\sigma |E|^2}{\rho}$$

Where:  $\sigma$  is the conductivity of the tissue,  $\rho$  is the mass density of the tissue and E is the RMS electrical field strength.

### 7. System Description and Setup

The DASY system used for performing compliance tests consists of the following items:



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- A standard high precision 6-axis robot with controller, teach pendant and software. An arm extension for accommodating the data acquisition electronics (DAE).
- An isotropic Field probe optimized and calibrated for the targeted measurement.
- A data acquisition electronics (DAE) which performs the signal amplification, signal multiplexing,
   AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. The unit is battery powered with standard or rechargeable batteries. The signal is optically transmitted to the EOC.
- The Electro-optical converter (EOC) performs the conversion from optical to electrical signals for the digital communication to the DAE. To use optical surface detection, a special version of the EOC is required. The EOC signal is transmitted to the measurement server.
- The function of the measurement server is to perform the time critical tasks such as signal filtering, control of the robot operation and fast movement interrupts.
- The Light Beam used is for probe alignment. This improves the (absolute) accuracy of the probe positioning.
- A computer running WinXP or Win7 and the DASY5 software.
- Remote control and teach pendant as well as additional circuitry for robot safety such as warning lamps, etc.
- The phantom, the device holder and other accessories according to the targeted measurement.

### 7.1 E-Field Probe

The SAR measurement is conducted with the dosimetric probe (manufactured by SPEAG). The probe is specially designed and calibrated for use in liquid with high permittivity. The dosimetric probe has special calibration in liquid at different frequency. This probe has a built in optical surface detection system to prevent from collision with phantom.

#### <ES3DV3 Probe>

Construction	Symmetric design with triangular core	
	Interleaved sensors	
	Built-in shielding against static charges	
	PEEK enclosure material (resistant to organic	
	solvents, e.g., DGBE)	
Frequency	10 MHz – 4 GHz;	
	Linearity: ±0.2 dB (30 MHz – 4 GHz)	
Directivity	±0.2 dB in TSL (rotation around probe axis)	
	±0.3 dB in TSL (rotation normal to probe axis)	
Dynamic Range	5 μW/g – >100 mW/g;	
	Linearity: ±0.2 dB	60
Dimensions	Overall length: 337 mm (tip: 20 mm)	
	Tip diameter: 3.9 mm (body: 12 mm)	
	Distance from probe tip to dipole centers: 3.0 mm	



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#### <EX3DV4 Probe>

Construction	Symmetric design with triangular core	
	Built-in shielding against static charges	
	PEEK enclosure material (resistant to organic	
	solvents, e.g., DGBE)	
Frequency	10 MHz – >6 GHz	
	Linearity: ±0.2 dB (30 MHz – 6 GHz)	
Directivity	±0.3 dB in TSL (rotation around probe axis)	
	±0.5 dB in TSL (rotation normal to probe axis)	
Dynamic Range	10 μW/g – >100 mW/g	
	Linearity: ±0.2 dB (noise: typically <1 µW/g)	
Dimensions	Overall length: 337 mm (tip: 20 mm)	
	Tip diameter: 2.5 mm (body: 12 mm)	
	Typical distance from probe tip to dipole centers: 1	
	mm	



### 7.2 <u>Data Acquisition Electronics (DAE)</u>

The data acquisition electronics (DAE) consists of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder and control logic unit. Transmission to the measurement server is accomplished through an optical downlink for data and status information as well as an optical uplink for commands and the clock.

The input impedance of the DAE is 200 MOhm; the inputs are symmetrical and floating. Common mode rejection is above 80 dB.



Fig 5.1 Photo of DAE

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### 7.3 Phantom

#### <SAM Twin Phantom>

Shell Thickness	2 ± 0.2 mm;	
Sileii Hilickiless	1	
	Center ear point: 6 ± 0.2 mm	A STATE OF THE STA
Filling Volume	Approx. 25 liters	-
Dimensions	Length: 1000 mm; Width: 500 mm; Height:	-
	adjustable feet	<b>S</b>
Measurement Areas	Left Hand, Right Hand, Flat Phantom	

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The bottom plate contains three pair of bolts for locking the device holder. The device holder positions are adjusted to the standard measurement positions in the three sections. A white cover is provided to tap the phantom during off-periods to prevent water evaporation and changes in the liquid parameters. On the phantom top, three reference markers are provided to identify the phantom position with respect to the robot.

#### <ELI Phantom>

Shell Thickness	2 ± 0.2 mm (sagging: <1%)	
Filling Volume	Approx. 30 liters	
Dimensions	Major ellipse axis: 600 mm Minor axis: 400 mm	

The ELI phantom is intended for compliance testing of handheld and body-mounted wireless devices in the frequency range of 30 MHz to 6 GHz. ELI4 is fully compatible with standard and all known tissue simulating liquids.

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#### 7.4 Device Holder

#### <Mounting Device for Hand-Held Transmitter>

In combination with the Twin SAM V5.0/V5.0c or ELI phantoms, the Mounting Device for Hand-Held Transmitters enables rotation of the mounted transmitter device to specified spherical coordinates. At the heads, the rotation axis is at the ear opening. Transmitter devices can be easily and accurately positioned according to IEC 62209-1, IEEE 1528, FCC, or other specifications. The device holder can be locked for positioning at different phantom sections (left head, right head, flat). And upgrade kit to Mounting Device to enable easy mounting of wider devices like big smart-phones, e-books, small tablets, etc. It holds devices with width up to 140 mm.





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Mounting Device for Hand-Held Transmitters

Mounting Device Adaptor for Wide-Phones

### <Mounting Device for Laptops and other Body-Worn Transmitters>

The extension is lightweight and made of POM, acrylic glass and foam. It fits easily on the upper part of the mounting device in place of the phone positioned. The extension is fully compatible with the SAM Twin and ELI phantoms.



Mounting Device for Laptops

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### 8. Measurement Procedures

The measurement procedures are as follows:

#### <Conducted power measurement>

(a) For WWAN power measurement, use base station simulator to configure EUT WWAN transmission in conducted connection with RF cable, at maximum power in each supported wireless interface and frequency band.

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- Read the WWAN RF power level from the base station simulator.
- For WLAN/BT power measurement, use engineering software to configure EUT WLAN/BT continuously transmission, at maximum RF power in each supported wireless interface and frequency band
- (d) Connect EUT RF port through RF cable to the power meter, and measure WLAN/BT output power

#### <SAR measurement>

- Use base station simulator to configure EUT WWAN transmission in radiated connection, and engineering software to configure EUT WLAN/BT continuously transmission, at maximum RF power, in the highest power
- Place the EUT in the positions as Appendix D demonstrates.
- (c) Set scan area, grid size and other setting on the DASY software.
- (d) Measure SAR results for the highest power channel on each testing position.
- Find out the largest SAR result on these testing positions of each band (e)
- Measure SAR results for other channels in worst SAR testing position if the reported SAR of highest power channel is larger than 0.8 W/kg

According to the test standard, the recommended procedure for assessing the peak spatial-average SAR value consists of the following steps:

- Power reference measurement (a)
- (b) Area scan
- (c) Zoom scan
- Power drift measurement

#### 8.1 Spatial Peak SAR Evaluation

The procedure for spatial peak SAR evaluation has been implemented according to the test standard. It can be conducted for 1g and 10g, as well as for user-specific masses. The DASY software includes all numerical procedures necessary to evaluate the spatial peak SAR value.

The base for the evaluation is a "cube" measurement. The measured volume must include the 1g and 10g cubes with the highest averaged SAR values. For that purpose, the center of the measured volume is aligned to the interpolated peak SAR value of a previously performed area scan.

The entire evaluation of the spatial peak values is performed within the post-processing engine (SEMCAD). The system always gives the maximum values for the 1g and 10g cubes. The algorithm to find the cube with highest averaged SAR is divided into the following stages:

- Extraction of the measured data (grid and values) from the Zoom Scan
- Calculation of the SAR value at every measurement point based on all stored data (A/D values and (b) measurement parameters)
- Generation of a high-resolution mesh within the measured volume (c)
- Interpolation of all measured values form the measurement grid to the high-resolution grid (d)
- Extrapolation of the entire 3-D field distribution to the phantom surface over the distance from sensor to surface (e)
- Calculation of the averaged SAR within masses of 1g and 10g

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### 8.2 Power Reference Measurement

The Power Reference Measurement and Power Drift Measurements are for monitoring the power drift of the device under test in the batch process. The minimum distance of probe sensors to surface determines the closest measurement point to phantom surface. This distance cannot be smaller than the distance of sensor calibration points to probe tip as defined in the probe properties.

### 8.3 Area Scan

The area scan is used as a fast scan in two dimensions to find the area of high field values, before doing a fine measurement around the hot spot. The sophisticated interpolation routines implemented in DASY software can find the maximum found in the scanned area, within a range of the global maximum. The range (in dB0 is specified in the standards for compliance testing. For example, a 2 dB range is required in IEEE standard 1528 and IEC 62209 standards, whereby 3 dB is a requirement when compliance is assessed in accordance with the ARIB standard (Japan), if only one zoom scan follows the area scan, then only the absolute maximum will be taken as reference. For cases where multiple maximums are detected, the number of zoom scans has to be increased accordingly.

Area scan parameters extracted from FCC KDB 865664 D01v01r04 SAR measurement 100 MHz to 6 GHz.

	≤ 3 GHz	> 3 GHz
Maximum distance from closest measurement point (geometric center of probe sensors) to phantom surface	5 ± 1 mm	$\frac{1}{2} \cdot \delta \cdot \ln(2) \pm 0.5 \text{ mm}$
Maximum probe angle from probe axis to phantom surface normal at the measurement location	30° ± 1°	20° ± 1°
	$\leq$ 2 GHz: $\leq$ 15 mm 2 – 3 GHz: $\leq$ 12 mm	$3 - 4 \text{ GHz:} \le 12 \text{ mm}$ $4 - 6 \text{ GHz:} \le 10 \text{ mm}$
Maximum area scan spatial resolution: $\Delta x_{Area}$ , $\Delta y_{Area}$	When the x or y dimension of measurement plane orientation the measurement resolution is x or y dimension of the test of measurement point on the test	on, is smaller than the above, must be $\leq$ the corresponding levice with at least one

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#### 8.4 Zoom Scan

Zoom scans are used assess the peak spatial SAR values within a cubic averaging volume containing 1 gram and 10 gram of simulated tissue. The zoom scan measures points (refer to table below) within a cube shoes base faces are centered on the maxima found in a preceding area scan job within the same procedure. When the measurement is done, the zoom scan evaluates the averaged SAR for 1 gram and 10 gram and displays these values next to the job's label.

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Zoom scan parameters extracted from FCC KDB 865664 D01v01r04 SAR measurement 100 MHz to 6 GHz.

			≤ 3 GHz	> 3 GHz	
Maximum zoom scan s	patial reso	lution: Δx <sub>Zoom</sub> , Δy <sub>Zoom</sub>	$\leq$ 2 GHz: $\leq$ 8 mm 2 – 3 GHz: $\leq$ 5 mm <sup>*</sup>	$3 - 4 \text{ GHz: } \le 5 \text{ mm}^*$ $4 - 6 \text{ GHz: } \le 4 \text{ mm}^*$	
	uniform	grid: $\Delta z_{Zoom}(n)$	≤ 5 mm	$3 - 4 \text{ GHz}: \le 4 \text{ mm}$ $4 - 5 \text{ GHz}: \le 3 \text{ mm}$ $5 - 6 \text{ GHz}: \le 2 \text{ mm}$	
Maximum zoom scan spatial resolution, normal to phantom surface	graded	Δz <sub>Zoom</sub> (1): between 1 <sup>st</sup> two points closest to phantom surface	≤ 4 mm	$3 - 4 \text{ GHz: } \le 3 \text{ mm}$ $4 - 5 \text{ GHz: } \le 2.5 \text{ mm}$ $5 - 6 \text{ GHz: } \le 2 \text{ mm}$	
	grid	Δz <sub>Zoom</sub> (n>1): between subsequent points	$\leq 1.5 \cdot \Delta z_{Zoom}(n-1)$		
Minimum zoom scan volume	x, y, z		≥ 30 mm	$3 - 4 \text{ GHz:} \ge 28 \text{ mm}$ $4 - 5 \text{ GHz:} \ge 25 \text{ mm}$ $5 - 6 \text{ GHz:} \ge 22 \text{ mm}$	

Note: δ is the penetration depth of a plane-wave at normal incidence to the tissue medium; see draft standard IEEE P1528-2011 for details.

#### 8.5 Volume Scan Procedures

The volume scan is used for assess overlapping SAR distributions for antennas transmitting in different frequency bands. It is equivalent to an oversized zoom scan used in standalone measurements. The measurement volume will be used to enclose all the simultaneous transmitting antennas. For antennas transmitting simultaneously in different frequency bands, the volume scan is measured separately in each frequency band. In order to sum correctly to compute the 1g aggregate SAR, the EUT remain in the same test position for all measurements and all volume scan use the same spatial resolution and grid spacing. When all volume scan were completed, the software, SEMCAD postprocessor can combine and subsequently superpose these measurement data to calculating the multiband SAR.

#### 8.6 Power Drift Monitoring

All SAR testing is under the EUT install full charged battery and transmit maximum output power. In DASY measurement software, the power reference measurement and power drift measurement procedures are used for monitoring the power drift of EUT during SAR test. Both these procedures measure the field at a specified reference position before and after the SAR testing. The software will calculate the field difference in dB. If the power drifts more than 5%, the SAR will be retested.

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When zoom scan is required and the <u>reported</u> SAR from the area scan based 1-g SAR estimation procedures of KDB 447498 is  $\leq 1.4 \text{ W/kg}$ ,  $\leq 8 \text{ mm}$ ,  $\leq 7 \text{ mm}$  and  $\leq 5 \text{ mm}$  zoom scan resolution may be applied, respectively, for 2 GHz to 3 GHz, 3 GHz to 4 GHz and 4 GHz to 6 GHz.

### 9. Test Equipment List

Manufacturer	Name of Emilianes	Tomo/Madal	Serial Number	Calib	ration	
Manufacturer	Name of Equipment	Type/Model	Serial Number	Last Cal.	Due Date	
SPEAG	1750MHz System Validation Kit	D1750V2	1068	Nov. 16, 2016	Nov. 15, 2017	
SPEAG	Data Acquisition Electronics	DAE4	854	May. 02, 2017	May. 01, 2018	
SPEAG	Dosimetric E-Field Probe	EX3DV4	7306	Jul. 24, 2017	Jul. 23, 2018	
Wisewind	Thermometer	HTC-1	TM225	Oct. 12, 2016	Oct. 11, 2017	
Anritsu	Radio Communication Analyzer	MT8820C	6201341950	Dec. 14, 2016	Dec. 13, 2017	
SPEAG	Device Holder	N/A	N/A	N/A	N/A	
Anritsu	Signal Generator	MG3710A	6201502524	Dec. 09, 2016	Dec. 08, 2017	
Agilent	ENA Network Analyzer	E5071C	MY46316648	Jan. 04, 2017	Jan. 03, 2018	
SPEAG	Dielectric Probe Kit	DAK-3.5	1146	Jul. 18, 2017	Jul. 17, 2018	
LINE SEIKI	Digital Thermometer	LKMelectronic	DTM3000SPEZIAL	Sep. 05, 2016	Sep. 04, 2017	
Anritsu	Power Meter	ML2495A	1438002	Dec. 06, 2016	Dec. 05, 2017	
Anritsu	Power Sensor	MA2411B	1339195	Dec. 06, 2016	Dec. 05, 2017	
Anritsu	Spectrum Analyzer	MS2830A	6201396378	Jun. 26, 2017	Jun. 25, 2018	
Mini-Circuits	Power Amplifier	ZVE-8G+	D120604	Mar. 09, 2017	Mar. 08, 2018	
Mini-Circuits	Power Amplifier	ZHL-42W+	QA1344002	Mar. 09, 2017	Mar. 08, 2018	
ATM	Dual Directional Coupler	C122H-10	P610410z-02	No	te 1	
Woken	Attenuator 1	WK0602-XX	N/A	No	te 1	
PE	Attenuator 2	PE7005-10	N/A	No	te 1	
PE	Attenuator 3	PE7005-3	N/A	Note 1		

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#### **General Note:**

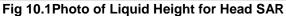
1. Prior to system verification and validation, the path loss from the signal generator to the system check source and the power meter, which includes the amplifier, cable, attenuator and directional coupler, was measured by the network analyzer. The reading of the power meter was offset by the path loss difference between the path to the power meter and the path to the system check source to monitor the actual power level fed to the system check source.

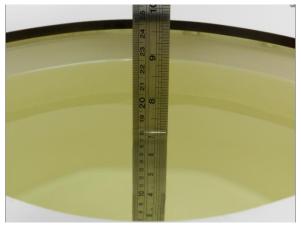
### 10. System Verification

### 10.1 Tissue Simulating Liquids

For the measurement of the field distribution inside the SAM phantom with DASY, the phantom must be filled with around 25 liters of homogeneous body tissue simulating liquid. For head SAR testing, the liquid height from the ear reference point (ERP) of the phantom to the liquid top surface is larger than 15 cm, which is shown in Fig. 10.1. For body SAR testing, the liquid height from the center of the flat phantom to the liquid top surface is larger than 15 cm, which is shown in Fig. 10.2.







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Fig 10.2 Photo of Liquid Height for Body SAR



### 10.2 Tissue Verification

The following tissue formulations are provided for reference only as some of the parameters have not been thoroughly verified. The composition of ingredients may be modified accordingly to achieve the desired target

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tissue parameters required for routine SAR evaluation.

Frequency (MHz)	Water (%)	Sugar (%)	Cellulose (%)	Salt (%)	Preventol (%)	DGBE (%)	Conductivity (σ)	Permittivity (εr)			
	For Head										
750	41.1	57.0	0.2	1.4	0.2	0	0.89	41.9			
835	40.3	57.9	0.2	1.4	0.2	0	0.90	41.5			
900	40.3	57.9	0.2	1.4	0.2	0	0.97	41.5			
1800, 1900, 2000	55.2	0	0	0.3	0	44.5	1.40	40.0			
2450	55.0	0	0	0	0	45.0	1.80	39.2			
2600	54.8	0	0	0.1	0	45.1	1.96	39.0			
				For Body							
750	51.7	47.2	0	0.9	0.1	0	0.96	55.5			
835	50.8	48.2	0	0.9	0.1	0	0.97	55.2			
900	50.8	48.2	0	0.9	0.1	0	1.05	55.0			
1800, 1900, 2000	70.2	0	0	0.4	0	29.4	1.52	53.3			
2450	68.6	0	0	0	0	31.4	1.95	52.7			
2600	68.1	0	0	0.1	0	31.8	2.16	52.5			

Simulating Liquid for 5GHz, Manufactured by SPEAG

Ingredients	(% by weight)
Water	64~78%
Mineral oil	11~18%
Emulsifiers	9~15%
Additives and Salt	2~3%

### <Tissue Dielectric Parameter Check Results>

Frequency (MHz)	Tissue Type	Liquid Temp. (℃)	Conductivity (σ)	Permittivity (ε <sub>r</sub> )		Permittivity Target (ε <sub>r</sub> )	Delta (σ) (%)	Delta (ε <sub>r</sub> ) (%)	Limit (%)	Date
1750	HSL	22.6	1.379	38.785	1.37	40.10	0.66	-3.28	±5	2017/9/4
1750	MSL	22.6	1.450	55.244	1.49	53.40	-2.68	3.45	±5	2017/9/4

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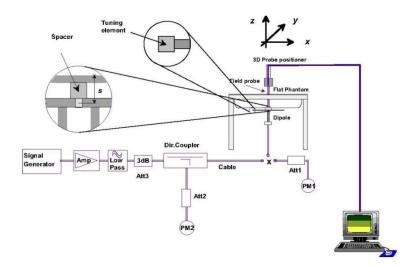
Issued Date: Sep. 13, 2017

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### 10.3 System Performance Check Results

Comparing to the original SAR value provided by SPEAG, the verification data should be within its specification of 10 %. Below table shows the target SAR and measured SAR after normalized to 1W input power. The table below indicates the system performance check can meet the variation criterion and the plots can be referred to Appendix A of this report.

Date	Frequency (MHz)	Tissue Type	Input Power (mW)	Dipole S/N	Probe S/N	DAE S/N	Measured 1g SAR (W/kg)	Targeted 1g SAR (W/kg)	Normalized 1g SAR (W/kg)	Deviation (%)
2017/9/4	1750	HSL	250	D1750V2-1068	EX3DV4 - SN7306	DAE4 Sn854	8.72	36.60	34.88	-4.70
2017/9/4	1750	MSL	250	D1750V2-1068	EX3DV4 - SN7306	DAE4 Sn854	8.49	36.20	33.96	-6.19





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Fig 8.3.1 System Performance Check Setup

Fig 8.3.2 Setup Photo

### 11. RF Exposure Positions

### 11.1 Ear and handset reference point

Figure 9.1.1 shows the front, back, and side views of the SAM phantom. The center-of-mouth reference point is labeled "M," the left ear reference point (ERP) is marked "LE," and the right ERP is marked "RE." Each ERP is 15 mm along the B-M (back-mouth) line behind the entrance-to-ear-canal (EEC) point, as shown in Figure 9.1.2 The Reference Plane is defined as passing through the two ear reference points and point M. The line N-F (neck-front), also called the reference pivoting line, is normal to the Reference Plane and perpendicular to both a line passing through RE and LE and the B-M line (see Figure 9.1.3). Both N-F and B-M lines should be marked on the exterior of the phantom shell to facilitate handset positioning. Posterior to the N-F line the ear shape is a flat surface with 6 mm thickness at each ERP, and forward of the N-F line the ear is truncated, as illustrated in Figure 9.1.2. The ear truncation is introduced to preclude the ear lobe from interfering with handset tilt, which could lead to unstable positioning at the cheek.

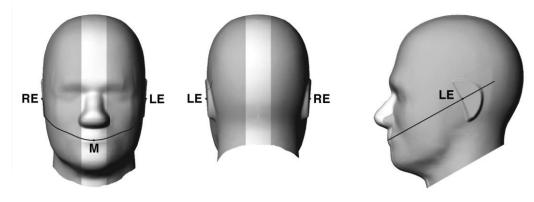


Fig 9.1.1 Front, back, and side views of SAM twin phantom

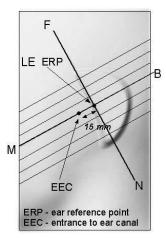
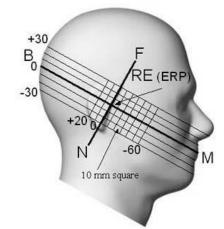


Fig 9.1.2 Close-up side view of phantom showing the ear region.



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Fig 9.1.3 Side view of the phantom showing relevant markings and seven cross-sectional plane locations

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### 11.2 Definition of the cheek position

- Ready the handset for talk operation, if necessary. For example, for handsets with a cover piece (flip cover), open the cover. If the handset can transmit with the cover closed, both configurations must be tested.
- Define two imaginary lines on the handset—the vertical centerline and the horizontal line. The vertical centerline passes through two points on the front side of the handset—the midpoint of the width wt of the handset at the level of the acoustic output (point A in Figure 9.2.1 and Figure 9.2.2), and the midpoint of the width wb of the bottom of the handset (point B). The horizontal line is perpendicular to the vertical centerline and passes through the center of the acoustic output (see Figure 9.2.1). The two lines intersect at point A. Note that for many handsets, point A coincides with the center of the acoustic output: however, the acoustic output may be located elsewhere on the horizontal line. Also note that the vertical centerline is not necessarily parallel to the front face of the handset (see Figure 9.2.2), especially for clamshell handsets, handsets with flip covers, and other irregularly-shaped handsets.
- Position the handset close to the surface of the phantom such that point A is on the (virtual) extension of the line passing through points RE and LE on the phantom (see Figure 9.2.3), such that the plane defined by the vertical centerline and the horizontal line of the handset is approximately parallel to the sagittal plane of the phantom.
- Translate the handset towards the phantom along the line passing through RE and LE until handset point A touches the pinna at the ERP.
- 5. While maintaining the handset in this plane, rotate it around the LE-RE line until the vertical centerline is in the plane normal to the plane containing B-M and N-F lines, i.e., the Reference Plane.
- Rotate the handset around the vertical centerline until the handset (horizontal line) is parallel to the N-F line. 6.
- While maintaining the vertical centerline in the Reference Plane, keeping point A on the line passing through RE and LE, and maintaining the handset contact with the pinna, rotate the handset about the N-F line until any point on the handset is in contact with a phantom point below the pinna on the cheek. See Figure 9.2.3. The actual rotation angles should be documented in the test report.

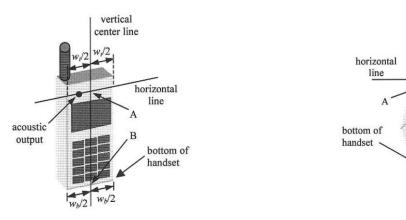


Fig 9.2.1 Handset vertical and horizontal reference lines—"fixed case

Fig 9.2.2 Handset vertical and horizontal reference lines-"clam-shell case"

vertical

center line

acoustic output

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Fig 9.2.3 cheek or touch position. The reference points for the right ear (RE), left ear (LE), and mouth (M), which establish the Reference Plane for handset positioning, are indicated.

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### 11.3 Definition of the tilt position

1. Ready the handset for talk operation, if necessary. For example, for handsets with a cover piece (flip cover), open the cover. If the handset can transmit with the cover closed, both configurations must be tested.

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- While maintaining the orientation of the handset, move the handset away from the pinna along the line passing through RE and LE far enough to allow a rotation of the handset away from the cheek by 15°.
- 3. Rotate the handset around the horizontal line by 15°.
- 4. While maintaining the orientation of the handset, move the handset towards the phantom on the line passing through RE and LE until any part of the handset touches the ear. The tilt position is obtained when the contact point is on the pinna. See Figure 9.3.1. If contact occurs at any location other than the pinna, e.g., the antenna at the back of the phantom head, the angle of the handset should be reduced. In this case, the tilt position is obtained if any point on the handset is in contact with the pinna and a second point



Fig 9.3.1 Tilt position. The reference points for the right ear (RE), left ear (LE), and mouth (M), which define the Reference Plane for handset positioning, are indicated.

### 11.4 Body Worn Accessory

Body-worn operating configurations are tested with the belt-clips and holsters attached to the device and positioned against a flat phantom in a normal use configuration (see Figure 9.4). Per KDB648474 D04v01r03, body-worn accessory exposure is typically related to voice mode operations when handsets are carried in body-worn accessories. The body-worn accessory procedures in FCC KDB 447498 D01v06 should be used to test for body-worn accessory SAR compliance, without a headset connected to it. This enables the test results for such configuration to be compatible with that required for hotspot mode when the body-worn accessory test separation distance is greater than or equal to that required for hotspot mode, when applicable. When the reported SAR for body-worn accessory, measured without a headset connected to the handset is > 1.2 W/kg, the highest reported SAR configuration for that wireless mode and frequency band should be repeated for that body-worn accessory with a handset attached to the handset.

Accessories for body-worn operation configurations are divided into two categories: those that do not contain metallic components and those that do contain metallic components and those that do contain metallic components. When multiple accessories that do not contain metallic components are supplied with the device, the device is tested with only the accessory that dictates the closest spacing to the body. Then multiple accessories that contain metallic components are test with the device with each accessory. If multiple accessories share an identical metallic component (i.e. the same metallic belt-chip used with different holsters with no other metallic components) only the accessory that dictates the closest spacing to the body is tested.

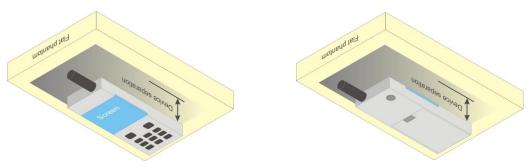


Fig 9.4 Body Worn Position

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#### 11.5 Wireless Router

Some battery-operated handsets have the capability to transmit and receive user through simultaneous transmission of WIFI simultaneously with a separate licensed transmitter. The FCC has provided guidance in FCC KDB Publication 941225 D06 v02r01 where SAR test considerations for handsets (L x W  $\ge$  9 cm x 5 cm) are based on a composite test separation distance of 10mm from the front, back and edges of the device containing transmitting antennas within 2.5cm of their edges, determined form general mixed use conditions for this type of devices. Since the hotspot SAR results may overlap with the body-worn accessory SAR requirements, the more conservative configurations can be considered, thus excluding some body-worn accessory SAR tests.

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When the user enables the personal wireless router functions for the handset, actual operations include simultaneous transmission of both the WIFI transmitter and another licensed transmitter. Both transmitters often do not transmit at the same transmitting frequency and thus cannot be evaluated for SAR under actual use conditions due to the limitations of the SAR assessment probes. Therefore, SAR must be evaluated for each frequency transmission and mode separately and spatially summed with the WIFI transmitter according to FCC KDB Publication 447498 D01v06 publication procedures. The "Portable Hotspot" feature on the handset was NOT activated during SAR assessments, to ensure the SAR measurements were evaluated for a single transmission frequency RF signal at a time.

### 11.6 Product Specific Exposure

For smart phones with a display diagonal dimension > 15.0 cm or an overall diagonal dimension > 16.0 cm that provide similar mobile web access and multimedia support found in mini-tablets or UMPC mini-tablets that support voice calls next to the ear, According to KDB648474 D04v01r03, the following phablet procedures should be applied to evaluate SAR compliance for each applicable wireless modes and frequency band. Devices marketed as phablets, regardless of form factors and operating characteristics must be tested as a phablet to determine SAR compliance

- 1. The normally required head and body-worn accessory SAR test procedures for handsets, including hotspot mode, must be applied.
- 2. The UMPC mini-tablet procedures must also be applied to test the SAR of all surfaces and edges with an antenna located at ≤ 25 mm from that surface or edge, in direct contact with a flat phantom, for 10-g Product Specific SAR according to the body-equivalent tissue dielectric parameters in KDB 865664 to address interactive hand use exposure conditions.6 The UMPC mini-tablet 1-g SAR at 5 mm is not required. When hotspot mode applies, 10-g Product Specific SAR is required only for the surfaces and edges with hotspot mode 1-g reported SAR > 1.2 W/kg.

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### 12. Conducted RF Output Power (Unit: dBm)

#### <WCDMA Conducted Power>

- 1. The following tests were conducted according to the test requirements outlines in 3GPP TS 34.121 specification.
- 2. The procedures in KDB 941225 D01v03r01 are applied for 3GPP Rel. 6 HSPA to configure the device in the required sub-test mode(s) to determine SAR test exclusion.

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3. For DC-HSDPA, the device was configured according to the H-Set 12, Fixed Reference Channel (FRC) configuration in Table C.8.1.12 of 3GPP TS 34.121-1, with the primary and the secondary serving HS-DSCH Cell enabled during the power measurement.

A summary of these settings are illustrated below:

#### **HSDPA Setup Configuration:**

- The EUT was connected to Base Station Agilent E5515C referred to the Setup Configuration.
- The RF path losses were compensated into the measurements. b.
- A call was established between EUT and Base Station with following setting:
  - Set Gain Factors (βc and βd) and parameters were set according to each
  - Specific sub-test in the following table, C10.1.4, quoted from the TS 34.121 ii.
  - iii. Set RMC 12.2Kbps + HSDPA mode.
  - Set Cell Power = -86 dBm
  - Set HS-DSCH Configuration Type to FRC (H-set 1, QPSK)
  - vi. Select HSDPA Uplink Parameters
  - vii. Set Delta ACK, Delta NACK and Delta CQI = 8
  - viii. Set Ack-Nack Repetition Factor to 3
  - ix. Set CQI Feedback Cycle (k) to 4 ms
  - Set CQI Repetition Factor to 2 Χ.
  - Power Ctrl Mode = All Up bits
- The transmitted maximum output power was recorded.

Table C.10.1.4: β values for transmitter characteristics tests with HS-DPCCH

Sub-test	βс	βd	βd (SF)	βс/βа	βнs (Note1, Note 2)	CM (dB) (Note 3)	MPR (dB) (Note 3)
1	2/15	15/15	64	2/15	4/15	0.0	0.0
2	12/15	15/15	64	12/15	24/15	1.0	0.0
	(Note 4)	(Note 4)		(Note 4)			
3	15/15	8/15	64	15/8	30/15	1.5	0.5
4	15/15	4/15	64	15/4	30/15	1.5	0.5

- Note 1:  $\Delta_{ACK}$ ,  $\Delta_{NACK}$  and  $\Delta_{CQI}$  = 30/15 with  $\beta_{hs}$  = 30/15 \*  $\beta_c$ .
- For the HS-DPCCH power mask requirement test in clause 5.2C, 5.7A, and the Error Vector Note 2: Magnitude (EVM) with HS-DPCCH test in clause 5.13.1A, and HSDPA EVM with phase discontinuity in clause 5.13.1AA,  $\triangle_{ACK}$  and  $\triangle_{NACK}$  = 30/15 with  $\beta_{hs}$  = 30/15 \*  $\beta_c$  , and  $\triangle_{CQI}$  = 24/15 with  $\beta_{hs} = 24/15 * \beta_c$ .
- CM = 1 for  $\beta_o/\beta_d$  =12/15,  $\beta_{hs}/\beta_c$ =24/15. For all other combinations of DPDCH, DPCCH and HS-Note 3: DPCCH the MPR is based on the relative CM difference. This is applicable for only UEs that support HSDPA in release 6 and later releases.
- For subtest 2 the  $\beta_{\sigma}/\beta_{d}$  ratio of 12/15 for the TFC during the measurement period (TF1, TF0) is Note 4: achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to  $\beta_c$  = 11/15 and  $\beta_d$

**Setup Configuration** 

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#### **HSUPA Setup Configuration:**

- The EUT was connected to Base Station Agilent E5515C referred to the Setup Configuration.
- The RF path losses were compensated into the measurements.
- A call was established between EUT and Base Station with following setting \*:
  - Call Configs = 5.2B, 5.9B, 5.10B, and 5.13.2B with QPSK
  - ii. Set the Gain Factors ( $\beta_c$  and  $\beta_d$ ) and parameters (AG Index) were set according to each specific sub-test in the following table, C11.1.3, quoted from the TS 34.121

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- Set Cell Power = -86 dBm
- iv. Set Channel Type = 12.2k + HSPA
- Set UE Target Power ٧.
- vi. Power Ctrl Mode= Alternating bits
- vii. Set and observe the E-TFCI
- viii. Confirm that E-TFCI is equal to the target E-TFCI of 75 for sub-test 1, and other subtest's E-TFCI
- The transmitted maximum output power was recorded.

Table C.11.1.3: β values for transmitter characteristics tests with HS-DPCCH and E-DCH

Sub- test	βα	βa	β <sub>d</sub> (SF)	βc/βd	βнs (Note1)	βес	β <sub>ed</sub> (Note 5) (Note 6)	β <sub>ed</sub> (SF)	β <sub>ed</sub> (Codes)	CM (dB) (Note 2)	MPR (dB) (Note 2)	AG Index (Note 6)	E- TFCI
1	11/15 (Note 3)	15/15 (Note 3)	64	11/15 (Note 3)	22/15	209/2 25	1309/225	4	1	1.0	0.0	20	75
2	6/15	15/15	64	6/15	12/15	12/15	94/75	4	1	3.0	2.0	12	67
3	15/15	9/15	64	15/9	30/15	30/15	β <sub>ed</sub> 1: 47/15 β <sub>ed</sub> 2: 47/15	4 4	2	2.0	1.0	15	92
4	2/15	15/15	64	2/15	4/15	2/15	56/75	4	1	3.0	2.0	17	71
5	15/15 (Note 4)	15/15 (Note 4)	64	15/15 (Note 4)	30/15	24/15	134/15	4	1	1.0	0.0	21	81

- Note 1:  $\Delta_{\rm ACK},\,\Delta_{\rm NACK}$  and  $\Delta_{\rm CQI}$  = 30/15 with  $\,\,\beta_{hs}$  = 30/15 \*  $\,\beta_{c}$  .
- CM = 1 for  $\beta_d/\beta_d$  =12/15,  $\beta_{hs}/\beta_c$ =24/15. For all other combinations of DPDCH, DPCCH, HS- DPCCH, E-DPDCH Note 2: and E-DPCCH the MPR is based on the relative CM difference.
- Note 3: For subtest 1 the  $\beta_d/\beta_d$  ratio of 11/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to  $\beta_c$  = 10/15 and  $\beta_d$  = 15/15.
- For subtest 5 the  $\beta_0/\beta_0$  ratio of 15/15 for the TFC during the measurement period (TF1, TF0) is achieved by Note 4: setting the signalled gain factors for the reference TFC (TF1, TF1) to  $\beta_c$  = 14/15 and  $\beta_d$  = 15/15.
- Note 5: In case of testing by UE using E-DPDCH Physical Layer category 1, Sub-test 3 is omitted according to TS25.306 Table 5.1g.
- $\beta_{\text{ed}}\,\text{can}$  not be set directly, it is set by Absolute Grant Value. Note 6:

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#### DC-HSDPA 3GPP release 8 Setup Configuration:

- The EUT was connected to Base Station Agilent E5515C referred to the Setup Configuration below
- The RF path losses were compensated into the measurements.
- A call was established between EUT and Base Station with following setting:
  - Set RMC 12.2Kbps + HSDPA mode.
  - Set Cell Power = -25 dBm ii.
  - Set HS-DSCH Configuration Type to FRC (H-set 12, QPSK) iii.
  - Select HSDPA Uplink Parameters
  - Set Gain Factors ( $\beta_c$  and  $\beta_d$ ) and parameters were set according to each Specific sub-test in the following table, C10.1.4, quoted from the TS 34.121

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- a). Subtest 1:  $\beta_c/\beta_d=2/15$
- b). Subtest 2:  $\beta_c/\beta_d=12/15$  c). Subtest 3:  $\beta_c/\beta_d=15/8$

- d). Subtest 4:  $\beta_c/\beta_d=15/4$ Set Delta ACK, Delta NACK and Delta CQI = 8
- Set Ack-Nack Repetition Factor to 3 vii.
- Set CQI Feedback Cycle (k) to 4 ms viii.
- ix. Set CQI Repetition Factor to 2
- Power Ctrl Mode = All Up bits
- The transmitted maximum output power was recorded.

The following tests were conducted according to the test requirements outlines in 3GPP TS 34.121 specification. A summary of these settings are illustrated below:

#### C.8.1.12 Fixed Reference Channel Definition H-Set 12

Table C.8.1.12: Fixed Reference Channel H-Set 12

	Parameter	Unit	Value			
Nominal	Avg. Inf. Bit Rate	kbps	60			
Inter-TTI	Distance	TTI's	1			
Number	of HARQ Processes	Proces	6			
		ses	O			
Informati	on Bit Payload ( $N_{\mathit{INF}}$ )	Bits	120			
Number	Code Blocks	Blocks	1			
Binary Cl	hannel Bits Per TTI	Bits	960			
Total Ava	ailable SML's in UE	SML's	19200			
Number (	of SML's per HARQ Proc.	SML's	3200			
Coding R	Rate		0.15			
Number	of Physical Channel Codes	Codes	1			
Modulatio	on		QPSK			
Note 1:	The RMC is intended to be used f	or DC-HSD	PA			
	mode and both cells shall transmit	t with ident	ical			
	parameters as listed in the table.					
Note 2:						
	retransmission is not allowed. The redundancy and					
	constellation version 0 shall be us	ed.				

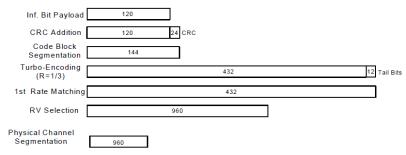


Figure C.8.19: Coding rate for Fixed reference Channel H-Set 12 (QPSK)

### **Setup Configuration**

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#### <WCDMA Conducted Power>

#### **General Note:**

1. Per KDB 941225 D01v03r01, for SAR testing is measured using a 12.2 kbps RMC with TPC bits configured to all "1's".

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2. Per KDB 941225 D01v03r01, RMC 12.2kbps setting is used to evaluate SAR. The maximum output power and tune-up tolerance specified for production units in HSDPA / HSUPA / DC-HSDPA is ≤ ¼ dB higher than RMC 12.2Kbps or when the highest reported SAR of the RMC12.2Kbps is scaled by the ratio of specified maximum output power and tune-up tolerance of HSDPA / HSUPA / DC-HSDPA to RMC12.2Kbps and the adjusted SAR is ≤ 1.2 W/kg, SAR measurement is not required for HSDPA / HSUPA / DC-HSDPA, and according to the following RF output power, the output power results of the secondary modes (HSUPA, HSDPA, DC-HSDPA) are less than ¼ dB higher than the primary modes; therefore, SAR measurement is not required for HSDPA / HSUPA / DC-HSDPA.

	Band		WCDMA IV				
	TX Channel	1312	1413	1513	Tune-up Limit		
	Rx Channel	1537	1638	1738	(dBm)		
	Frequency (MHz)	1712.4	1732.6	1752.6			
3GPP Rel 99	AMR 12.2Kbps	23.76	23.77	23.70	24.00		
3GPP Rel 99	RMC 12.2Kbps	23.86	23.76	23.88	24.00		
3GPP Rel 6	HSDPA Subtest-1	22.85	22.78	22.81	23.00		
3GPP Rel 6	HSDPA Subtest-2	22.78	22.82	22.90	23.00		
3GPP Rel 6	HSDPA Subtest-3	22.13	22.31	22.32	22.50		
3GPP Rel 6	HSDPA Subtest-4	22.26	22.32	22.22	22.50		
3GPP Rel 8	DC-HSDPA Subtest-1	22.70	22.73	22.72	23.00		
3GPP Rel 8	DC-HSDPA Subtest-2	22.58	22.64	22.83	23.00		
3GPP Rel 8	DC-HSDPA Subtest-3	22.07	22.14	22.31	22.50		
3GPP Rel 8	DC-HSDPA Subtest-4	22.21	22.27	22.22	22.50		
3GPP Rel 6	HSUPA Subtest-1	22.63	22.59	22.70	23.00		
3GPP Rel 6	HSUPA Subtest-2	20.63	20.60	20.68	21.00		
3GPP Rel 6	HSUPA Subtest-3	21.60	21.63	21.72	22.00		
3GPP Rel 6	HSUPA Subtest-4	20.64	20.61	20.71	21.00		
3GPP Rel 6	HSUPA Subtest-5	22.64	22.80	22.53	23.00		

### 13. ANT+ Exclusions Applied

Mode Band	Average power(dBm)
Mode Darid	GFSK
ANT+	-4

#### Note:

1. Per KDB 447498 D01v06, the 1-g and 10-g SAR test exclusion thresholds for 100 MHz to 6 GHz at *test separation distances* ≤ 50 mm are determined by:

[(max. power of channel, including tune-up tolerance, mW)/(min. test separation distance, mm)]  $\cdot [\sqrt{f(GHz)}] \le 3.0$  for 1-g SAR and  $\le 7.5$  for 10-g Product Specific SAR

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- f(GHz) is the RF channel transmit frequency in GHz
- Power and distance are rounded to the nearest mW and mm before calculation
- The result is rounded to one decimal place for comparison

ANT+ Max Power (dBm)	Separation Distance (mm)	Frequency (GHz)	exclusion thresholds
-4	15	2.48	0

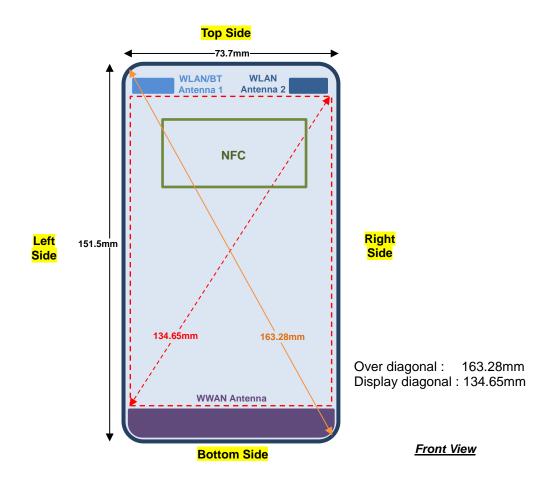
#### Note:

Per KDB 447498 D01v06, when the minimum test separation distance is 15 mm which is applied to determine SAR test exclusion. The test exclusion threshold is 0 which is <= 3, SAR testing is not required.

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### 14. Antenna Location

#### <Mobile Phone>



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	Distance of the Antenna to the EUT surface/edge													
A	Antennas Back Front Top Side Bottom Side Right Side Left Side													
WWA	N Antenna	≤ 25mm	≤ 25mm	>25 mm	≤ 25mm	≤ 25mm	≤ 25mm							
BT&WL	AN Antenna 1	≤ 25mm	≤ 25mm	≤ 25mm	>25 mm	>25 mm	≤ 25mm							
WLAN Antenna 2         ≤ 25mm         ≤ 25mm         ≤ 25mm         ≤ 25mm         >25 mm														

	Positions for SAR tests; Hotspot mode												
Antennas Back Front Top Side Bottom Side Right Side Left Side													
WWAN Antenna	Yes	Yes	No	Yes	Yes	Yes							
BT&WLAN Antenna 1	Yes	Yes	Yes	No	No	Yes							
WLAN Antenna 2 Yes Yes Yes No Yes No													

#### **General Note:**

1. Referring to KDB 941225 D06 v02r01, when the overall device length and width are ≥ 9cm\*5cm, the test distance is 10 mm. SAR must be measured for all sides and surfaces with a transmitting antenna located within 25mm from that surface or edge

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### 15. SAR Test Results

#### **General Note:**

- 1. Per KDB 447498 D01v06, the reported SAR is the measured SAR value adjusted for maximum tune-up tolerance.
  - a. Tune-up scaling Factor = tune-up limit power (mW) / EUT RF power (mW), where tune-up limit is the maximum rated power among all production units.

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- b. For WWAN: Reported SAR(W/kg)= Measured SAR(W/kg)\*Tune-up Scaling Factor
- 2. Per KDB 447498 D01v06, for each exposure position, testing of other required channels within the operating mode of a frequency band is not required when the *reported* 1-g or 10-g SAR for the mid-band or highest output power channel is:
  - ≤ 0.8 W/kg or 2.0 W/kg, for 1-g or 10-g respectively, when the transmission band is ≤ 100 MHz
  - · ≤ 0.6 W/kg or 1.5 W/kg, for 1-g or 10-g respectively, when the transmission band is between 100 MHz and 200 MHz
  - ≤ 0.4 W/kg or 1.0 W/kg, for 1-g or 10-g respectively, when the transmission band is ≥ 200 MHz
- Per KDB 865664 D01v01r04, for each frequency band, repeated SAR measurement is required only when the measured SAR is ≥0.8W/kg.
- 4. Per KDB 648474 D04v01r03, when the reported SAR for a body-worn accessory measured without a headset connected to the handset is ≤ 1.2 W/kg, SAR testing with a headset connected to the handset is not required.

#### **UMTS Note:**

- 1. Per KDB 941225 D01v03r01, for SAR testing is measured using a 12.2 kbps RMC with TPC bits configured to all "1's".
- 2. Per KDB 941225 D01v03r01, RMC 12.2kbps setting is used to evaluate SAR. The maximum output power and tune-up tolerance specified for production units in HSDPA / HSUPA / DC-HSDPA is ≤ ¼ dB higher than RMC 12.2kbps or when the highest reported SAR of the RMC12.2kbps is scaled by the ratio of specified maximum output power and tune-up tolerance of HSDPA / HSUPA / DC-HSDPA to RMC12.2kbps and the adjusted SAR is ≤ 1.2 W/kg, SAR measurement is not required for HSDPA / HSUPA / DC-HSDPA, and according to the following RF output power, the output power results of the secondary modes (HSUPA, HSDPA, DC-HSDPA) are less than ¼ dB higher than the primary modes; therefore, SAR measurement is not required for HSDPA / HSUPA / DC-HSDPA.



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### 15.1 Head SAR

### <WCDMA SAR>

Plot No.	Band	Mode	Test Position	Gap (mm)	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Tune-up Scaling Factor		Measured 1g SAR (W/kg)	Reported 1g SAR (W/kg)
	WCDMA IV	RMC 12.2Kbps	Right Cheek	0mm	1513	1752.6	23.88	24.00	1.028	-0.13	0.023	0.024
	WCDMA IV	RMC 12.2Kbps	Right Tilted	0mm	1513	1752.6	23.88	24.00	1.028	0.04	0.020	0.021
01	WCDMA IV	RMC 12.2Kbps	Left Cheek	0mm	1513	1752.6	23.88	24.00	1.028	0.07	0.070	0.072
	WCDMA IV	RMC 12.2Kbps	Left Tilted	0mm	1513	1752.6	23.88	24.00	1.028	0.14	0.006	0.006

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### 15.2 Hotspot SAR

### <WCDMA SAR>

Plot No.	Band	Mode	Test Position	Gap (mm)	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Tune-up Scaling Factor	Power Drift (dB)	Measured 1g SAR (W/kg)	Reported 1g SAR (W/kg)
	WCDMA IV	RMC 12.2Kbps	Front	10mm	1513	1752.6	23.88	24.00	1.028	-0.02	0.806	0.829
02	WCDMA IV	RMC 12.2Kbps	Front	10mm	1312	1712.4	23.86	24.00	1.033	0.02	0.976	1.008
	WCDMA IV	RMC 12.2Kbps	Front	10mm	1413	1732.6	23.76	24.00	1.057	0.02	0.909	0.961
	WCDMA IV	RMC 12.2Kbps	Back	10mm	1513	1752.6	23.88	24.00	1.028	-0.03	0.112	0.115
	WCDMA IV	RMC 12.2Kbps	Left Side	10mm	1513	1752.6	23.88	24.00	1.028	-0.1	0.084	0.086
	WCDMA IV	RMC 12.2Kbps	Right Side	10mm	1513	1752.6	23.88	24.00	1.028	0	0.001	0.001
	WCDMA IV	RMC 12.2Kbps	Bottom Side	10mm	1513	1752.6	23.88	24.00	1.028	-0.05	0.834	0.857
	WCDMA IV	RMC 12.2Kbps	Bottom Side	10mm	1312	1712.4	23.86	24.00	1.033	-0.02	0.944	0.975
	WCDMA IV	RMC 12.2Kbps	Bottom Side	10mm	1413	1732.6	23.76	24.00	1.057	-0.09	0.918	0.970

### 15.3 Body Worn Accessory SAR

#### <WCDMA SAR>

Plot No.	Band	Mode	Test Position	Gap (mm)	Ch.	Freq. (MHz)	Average Power (dBm)				Measured 1g SAR (W/kg)	
03	WCDMA IV	RMC 12.2Kbps	Front	15mm	1513	1752.6	23.88	24.00	1.028	-0.16	0.372	0.382
	WCDMA IV	RMC 12.2Kbps	Back	15mm	1513	1752.6	23.88	24.00	1.028	-0.04	0.033	0.034

### 15.4 Repeated SAR Measurement

No.	Band	Mode	Test Position	Gap (mm)	Ch.	Freq. (MHz)	Average Power (dBm)		Scaling		Measured 1g SAR (W/kg)	Ratio	Reported 1g SAR (W/kg)
1st	WCDMA IV	RMC 12.2Kbps	Front	10mm	1312	1712.4	23.86	24.00	1.033	0.02	0.976		1.008
2nd	WCDMA IV	RMC 12.2Kbps	Front	10mm	1312	1712.4	23.86	24.00	1.033	-0.12	0.956	1.02	0.987

#### **General Note:**

- 1. Per KDB 865664 D01v01r04, for each frequency band, repeated SAR measurement is required only when the measured SAR is ≥0.8W/kg.
- 2. Per KDB 865664 D01v01r04, if the ratio among the repeated measurement is  $\leq$  1.2 and the measured SAR <1.45W/kg, only one repeated measurement is required.
- 3. The ratio is the difference in percentage between original and repeated measured SAR.
- 4. All measurement SAR result is scaled-up to account for tune-up tolerance and is compliant.

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### 16. Simultaneous Transmission Analysis

			Portable	Handset	
NO.	Simultaneous Transmission Configurations	Head	Body-worn	Hotspot	Product Specific
1.	WWAN (Voice) + WLAN Ant 1 + WLAN Ant 2	Yes	Yes		Yes
2.	WWAN (Data) + WLAN Ant 1 + WLAN Ant 2	Yes	Yes	Yes	Yes
3.	WWAN (Voice) + Bluetooth Ant 1 + WLAN Ant 2		Yes		Yes
4.	WWAN (Data) + Bluetooth Ant 1 + WLAN Ant 2		Yes		Yes

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#### **General Note:**

- In this report, the WLAN/BT SAR results are referenced from Sporton FCC SAR Report, Report No: FA712102 as appendix D.
- 2. This device 2.4GHz / 5.2GHz / 5.8GHz WLAN supports Hotspot operation.
- 3. The Scaled SAR summation is calculated based on the same configuration and test position.
- 4. Per KDB 447498 D01v06, simultaneous transmission SAR is compliant if,
  - i) Scalar SAR summation < 1.6W/kg.
  - ii) SPLSR = (SAR1 + SAR2)^1.5 / (min. separation distance, mm), and the peak separation distance is determined from the square root of [(x1-x2)2 + (y1-y2)2 + (z1-z2)2], where (x1, y1, z1) and (x2, y2, z2) are the coordinates of the extrapolated peak SAR locations in the zoom scan.
  - iii) If SPLSR ≤ 0.04, simultaneously transmission SAR measurement is not necessary.
  - iv) Simultaneously transmission SAR measurement, and the reported multi-band SAR < 1.6W/kg.
  - v) The SPLSR calculated results please refer to section 16.5.

### 16.1 Head Exposure Conditions

			1	2	3	4	5						
W\	VAN Band	Exposure Position		WLAN Ant 1	2.4GHz WLAN Ant 2 1g SAR	WLAN Ant 1	Ant 2	1+2+3 Summed 1g SAR (W/kg)	1+2+5 Summed 1g SAR (W/kg)	1+3+4 Summed 1g SAR (W/kg)	1+4+5 Summed 1g SAR (W/kg)	SPLSR	Case No
			(W/kg)	(W/kg)		(W/kg)		`	) (W/Kg)	ì	` ",		
		Right Cheek	0.024	0.774	0.121	1.089	0.364	0.92	1.16	1.23	1.48		
MODMA	WCDMA IV	Right Tilted	0.021	0.582	0.080	0.942	0.354	0.68	0.96	1.04	1.32		
WCDMA	WCDMA IV	Left Cheek	0.072	0.326	0.450	0.777	0.860	0.85	1.26	1.30	1.71	0.04	Case 1
		Left Tilted	0.006	0.259	0.279	0.544	0.667	0.54	0.93	0.83	1.22		

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### 16.2 Hotspot Exposure Conditions

			1	2	3	4	5				
W	WAN Band	Exposure Position	WWAN	2.4GHz WLAN Ant 1	2.4GHz WLAN Ant 2	5GHz WLAN Ant 1	5GHz WLAN Ant 2	1+2+3 Summed 1g SAR	1+2+5 Summed 1g SAR	1+3+4 Summed 1g SAR	1+4+5 Summed 1g SAR
			1g SAR (W/kg)	1g SAR (W/kg)	1g SAR (W/kg)	1g SAR (W/kg)	1g SAR (W/kg)	(W/kg)	(W/kg)	(W/kg)	(W/kg)
		Front	1.008	0.201	0.084	0.361	0.203	1.29	1.41	1.45	1.57
		Back	0.115	0.073	0.039	0.035	0.034	0.23	0.22	0.19	0.18
WCDMA	WCDMA IV	Left side	0.086	0.092		0.105		0.18	0.18	0.19	0.19
WCDIVIA	WCDMA IV	Right side	0.001		0.034		0.038	0.04	0.04	0.04	0.04
		Top side		0.054	0.028	0.123	0.085	0.08	0.14	0.15	0.21
		Bottom side	0.975					0.98	0.98	0.98	0.98

### 16.3 Product Specific Exposure Conditions

	1	2	3	4	5	6								
	WWAN	2.4GHz WLAN Ant 1	2.4GHz WLAN Ant 2	5GHz WLAN Ant 1	5GHz WLAN Ant 2	Bluetooth Ant 1	1+2+3 Summed 10g SAR			Summed Summed	Summed	1+4+5 Summed 10g SAR	1+3+6 Summed 10g SAR	1+5+6 Summed 10g SAR
	10g SAR (W/kg)	10g SAR (W/kg)	10g SAR (W/kg)	10g SAR (W/kg)	10g SAR (W/kg)	Estimated 10g SAR (W/kg)	(W/kg)	(W/kg)	(W/kg)	(W/kg)	(W/kg)	(W/kg)		
Product Specific	-	-	-	0.365	1.015	0.235	-	1.11	0.37	1.38	0.24	1.25		

#### Remark:

- 1. The worst case 5GHz WLAN results are taking from 5.3GHz (U-NII-2A) and 5.5GHz (U-NII-2C) perform product specific simultaneous transmission analysis.
- 2. According to KDB 648474 D04v01r01, for WWAN / 2.4GHz WLAN hand SAR ("-") was excluded, since WWAN / 2.4GHz WLAN hotspot SAR was < 1.2W/kg.

## 16.4 Body-Worn Accessory Exposure Conditions

WWAN Band		Exposure	1 WWAN	2 2.4GHz WLAN	3 2.4GHz WLAN	4 5GHz WLAN	5 5GHz WLAN	1+2+3 Summed 1g SAR (W/kg)	1+2+5 Summed 1g SAR (W/kg)	1+3+4 Summed 1g SAR (W/kg)	1+4+5 Summed 1g SAR (W/kg)
		Position	1g SAR (W/kg)	Ant 1 1g SAR (W/kg)	Ant 2 1g SAR (W/kg)	Ant 1 1g SAR (W/kg)	Ant 2 1g SAR (W/kg)				
MCDMA	MCDMA IV	Front	0.382	0.133	0.030	0.216	0.148	0.55	0.66	0.63	0.75
WCDMA	WCDMA IV	Back	0.034	0.065	0.021	0.030	0.038	0.12	0.14	0.09	0.10

			1	3	5	6		
WWAN Band		Exposure Position	WWAN	2.4GHz WLAN Ant 2	5GHz WLAN Ant 2	Bluetooth Ant 1	1+3+6 Summed	1+5+6 Summed 1g SAR (W/kg)
			1g SAR (W/kg)	1g SAR (W/kg)	1g SAR (W/kg)	Estimated 1g SAR (W/kg)	. 9 97 (117.19)	
WCDMA	WCDMA IV	Front	0.382	0.030	0.148	0.196	0.61	0.73
WCDIVIA	WCDIVIA IV	Back	0.034	0.021	0.038	0.196	0.25	0.27

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### 16.5 SPLSR Evaluation and Analysis

#### **General Note:**

SPLSR = (SAR<sub>1</sub> + SAR<sub>2</sub>)<sup>1.5</sup> / (min. separation distance, mm). If SPLSR ≤ 0.04, simultaneously transmission SAR measurement is not necessary

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	Band	Position	SAR (W/kg)	Gap	SAR po	eak location	n (m)	3D distance (mm)	Summed SAR (W/kg)	SPLSR Results	Simultaneous SAR
				(cm)	X	Y	Z				
	WCDMA IV	Left Cheek	0.072	0	3.28	-5.29	-0.34	59.1	0.85	0.01	Not required
Case 1	WLAN5GHz Ant 1		0.777	0	-1.92	-2.59	0.47				
Case	WCDMA IV	Left Cheek	0.072	0	3.28	-5.29	-0.34	78.8	0.93	0.01	Not required
	WLAN5GHz Ant 2		0.86	0	0.62	2.12	-0.21				
	WLAN5GHz Ant 1	Left Cheek	0.777	0	-1.92	-2.59	0.47	53.9	1.64	0.04	Not required
	WLAN5GHz Ant 2		0.86	0	0.62	2.12	-0.21				



Test Engineer: Steven Chang

### 17. Uncertainty Assessment

The component of uncertainly may generally be categorized according to the methods used to evaluate them. The evaluation of uncertainly by the statistical analysis of a series of observations is termed a Type An evaluation of uncertainty. The evaluation of uncertainty by means other than the statistical analysis of a series of observation is termed a Type B evaluation of uncertainty. Each component of uncertainty, however evaluated, is represented by an estimated standard deviation, termed standard uncertainty, which is determined by the positive square root of the estimated variance.

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A Type A evaluation of standard uncertainty may be based on any valid statistical method for treating data. This includes calculating the standard deviation of the mean of a series of independent observations; using the method of least squares to fit a curve to the data in order to estimate the parameter of the curve and their standard deviations; or carrying out an analysis of variance in order to identify and quantify random effects in certain kinds of measurement.

A type B evaluation of standard uncertainty is typically based on scientific judgment using all of the relevant information available. These may include previous measurement data, experience, and knowledge of the behavior and properties of relevant materials and instruments, manufacture's specification, data provided in calibration reports and uncertainties assigned to reference data taken from handbooks. Broadly speaking, the uncertainty is either obtained from an outdoor source or obtained from an assumed distribution, such as the normal distribution, rectangular or triangular distributions indicated in table below.

Uncertainty Distributions	Normal	Rectangular	Triangular	U-Shape
Multi-plying Factor <sup>(a)</sup>	1/k <sup>(b)</sup>	1/√3	1/√6	1/√2

- (a) standard uncertainty is determined as the product of the multiplying factor and the estimated range of variations in the measured quantity
- (b)  $\kappa$  is the coverage factor

#### Table 17.1. Standard Uncertainty for Assumed Distribution

The combined standard uncertainty of the measurement result represents the estimated standard deviation of the result. It is obtained by combining the individual standard uncertainties of both Type A and Type B evaluation using the usual "root-sum-squares" (RSS) methods of combining standard deviations by taking the positive square root of the estimated variances.

Expanded uncertainty is a measure of uncertainty that defines an interval about the measurement result within which the measured value is confidently believed to lie. It is obtained by multiplying the combined standard uncertainty by a coverage factor. Typically, the coverage factor ranges from 2 to 3. Using a coverage factor allows the true value of a measured quantity to be specified with a defined probability within the specified uncertainty range. For purpose of this document, a coverage factor two is used, which corresponds to confidence interval of about 95 %. The DASY uncertainty Budget is shown in the following tables.

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Error Description	Uncertainty Value (±%)	Probability	Divisor	(Ci) 1g	(Ci) 10g	Standard Uncertainty (1g) (±%)	Standard Uncertainty (10g) (±%)
Measurement System							
Probe Calibration	6.00	N	1	1	1	6.0	6.0
Axial Isotropy	4.70	R	1.732	0.7	0.7	1.9	1.9
Hemispherical Isotropy	9.60	R	1.732	0.7	0.7	3.9	3.9
Boundary Effects	1.00	R	1.732	1	1	0.6	0.6
Linearity	4.70	R	1.732	1	1	2.7	2.7
System Detection Limits	1.00	R	1.732	1	1	0.6	0.6
Modulation Response	4.68	R	1.732	1	1	2.7	2.7
Readout Electronics	0.30	N	1	1	1	0.3	0.3
Response Time	0.00	R	1.732	1	1	0.0	0.0
Integration Time	2.60	R	1.732	1	1	1.5	1.5
RF Ambient Noise	3.00	R	1.732	1	1	1.7	1.7
RF Ambient Reflections	3.00	R	1.732	1	1	1.7	1.7
Probe Positioner	0.40	R	1.732	1	1	0.2	0.2
Probe Positioning	2.90	R	1.732	1	1	1.7	1.7
Max. SAR Eval.	2.00	R	1.732	1	1	1.2	1.2
Test Sample Related							
Device Positioning	3.03	N	1	1	1	3.0	3.0
Device Holder	3.60	N	1	1	1	3.6	3.6
Power Drift	5.00	R	1.732	1	1	2.9	2.9
Power Scaling	0.00	R	1.732	1	1	0.0	0.0
Phantom and Setup							
Phantom Uncertainty	6.10	R	1.732	1	1	3.5	3.5
SAR correction	0.00	R	1.732	1	0.84	0.0	0.0
Liquid Conductivity Repeatability	0.03	N	1	0.78	0.71	0.0	0.0
Liquid Conductivity (target)	5.00	R	1.732	0.78	0.71	2.3	2.0
Liquid Conductivity (mea.)	2.50	R	1.732	0.78	0.71	1.1	1.0
Temp. unc Conductivity	3.68	R	1.732	0.78	0.71	1.7	1.5
Liquid Permittivity Repeatability	0.02	N	1	0.23	0.26	0.0	0.0
Liquid Permittivity (target)	5.00	R	1.732	0.23	0.26	0.7	0.8
Liquid Permittivity (mea.)	2.50	R	1.732	0.23	0.26	0.3	0.4
Temp. unc Permittivity	0.84	R	1.732	0.23	0.26	0.1	0.1
Сон	11.6%	11.6%					
Co	K=2	K=2					
Exp	23.2%	23.1%					

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Table 17.2. Uncertainty Budget for frequency range 300 MHz to 3 GHz

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Error Description	Uncertainty Value (±%)	Probability	Divisor	(Ci) 1g	(Ci) 10g	Standard Uncertainty (1g) (±%)	Standard Uncertainty (10g) (±%)
Measurement System							
Probe Calibration	6.55	N	1	1	1	6.6	6.6
Axial Isotropy	4.70	R	1.732	0.7	0.7	1.9	1.9
Hemispherical Isotropy	9.60	R	1.732	0.7	0.7	3.9	3.9
Boundary Effects	2.00	R	1.732	1	1	1.2	1.2
Linearity	4.70	R	1.732	1	1	2.7	2.7
System Detection Limits	1.00	R	1.732	1	1	0.6	0.6
Modulation Response	4.68	R	1.732	1	1	2.7	2.7
Readout Electronics	0.30	N	1	1	1	0.3	0.3
Response Time	0.00	R	1.732	1	1	0.0	0.0
Integration Time	2.60	R	1.732	1	1	1.5	1.5
RF Ambient Noise	3.00	R	1.732	1	1	1.7	1.7
RF Ambient Reflections	3.00	R	1.732	1	1	1.7	1.7
Probe Positioner	0.40	R	1.732	1	1	0.2	0.2
Probe Positioning	6.70	R	1.732	1	1	3.9	3.9
Max. SAR Eval.	4.00	R	1.732	1	1	2.3	2.3
Test Sample Related							
Device Positioning	3.03	N	1	1	1	3.0	3.0
Device Holder	3.60	N	1	1	1	3.6	3.6
Power Drift	5.00	R	1.732	1	1	2.9	2.9
Power Scaling	0.00	R	1.732	1	1	0.0	0.0
Phantom and Setup							
Phantom Uncertainty	6.60	R	1.732	1	1	3.8	3.8
SAR correction	0.00	R	1.732	1	0.84	0.0	0.0
Liquid Conductivity Repeatability	0.03	N	1	0.78	0.71	0.0	0.0
Liquid Conductivity (target)	5.00	R	1.732	0.78	0.71	2.3	2.0
Liquid Conductivity (mea.)	2.50	R	1.732	0.78	0.71	1.1	1.0
Temp. unc Conductivity	3.68	R	1.732	0.78	0.71	1.7	1.5
Liquid Permittivity Repeatability	0.02	N	1	0.23	0.26	0.0	0.0
Liquid Permittivity (target)	5.00	R	1.732	0.23	0.26	0.7	0.8
Liquid Permittivity (mea.)	2.50	R	1.732	0.23	0.26	0.3	0.4
Temp. unc Permittivity	0.84	R	1.732	0.23	0.26	0.1	0.1
Cor	12.7%	12.6%					
Co	K=2	K=2					
Exp	25.4%	25.3%					

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Table 17.3. Uncertainty Budget for frequency range 3 GHz to 6 GHz

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