



APPENDIX D: SYSTEM CERTIFICATE & CALIBRATION

D1: PHANTOM

Certificate of Conformity / First Article Inspection

Item	SAM Twin Phantom V4.0
Type No	QD 000 P40 C
Series No	TP-1150 and higher
Manufacturer	SPEAG Zeughausstrasse 43 CH-8004 Zürich Switzerland

Tests

The series production process used allows the limitation to test of first articles.

Complete tests were made on the pre-series Type No. QD 000 P40 AA, Serial No. TP-1001 and on the series first article Type No. QD 000 P40 BA, Serial No. TP-1006. Certain parameters have been retested using further series items (called samples) or are tested at each item.

Test	Requirement	Details	Units tested
Dimensions	Compliant with the geometry according to the CAD model.	IT'IS CAD File (*)	First article, Samples
Material thickness of shell	Compliant with the requirements according to the standards	2mm +/- 0.2mm in flat and specific areas of head section	First article, Samples, TP-1314 ff.
Material thickness at ERP	Compliant with the requirements according to the standards	6mm +/- 0.2mm at ERP	First article, All items
Material parameters	Dielectric parameters for required frequencies	300 MHz – 6 GHz: Relative permittivity < 5, Loss tangent < 0.05	Material samples
Material resistivity	The material has been tested to be compatible with the liquids defined in the standards if handled and cleaned according to the instructions. Observe technical Note for material compatibility.	DEGMBE based simulating liquids	Pre-series, First article, Material samples
Sagging	Compliant with the requirements according to the standards. Sagging of the flat section when filled with tissue simulating liquid.	< 1% typical < 0.8% if filled with 155mm of HSL900 and without DUT below	Prototypes, Sample testing

Standards

[1] CENELEC EN 50361

[2] IEEE Std 1528-2003

[3] IEC 62209 Part I

[4] FCC OET Bulletin 65, Supplement C, Edition 01-01

(*) The IT'IS CAD file is derived from [2] and is also within the tolerance requirements of the shapes of the other documents.

Conformity

Based on the sample tests above, we certify that this item is in compliance with the uncertainty requirements of SAR measurements specified in standards [1] to [4].

Date 07.07.2005

Signature / Stamp

D2: DOSIMETRIC E-FIELD PROBE



Accredited by the Swiss Accreditation Service (SAS)

Accreditation No.: **SCS 108**

The Swiss Accreditation Service is one of the signatories to the EA
 Multilateral Agreement for the recognition of calibration certificates

Client **ADT (Auden)**

Certificate No: **EX3-3504_Jan09**

CALIBRATION CERTIFICATE

Object **EX3DV3 - SN:3504**

Calibration procedure(s) **QA CAL-01.v6, QA CAL-14.v3 and QA CAL-23.v3
 Calibration procedure for dosimetric E-field probes**

Calibration date: **January 21, 2009**

Condition of the calibrated item **In Tolerance**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).
 The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	1-Apr-08 (No. 217-00788)	Apr-09
Power sensor E4412A	MY41495277	1-Apr-08 (No. 217-00788)	Apr-09
Power sensor E4412A	MY41498087	1-Apr-08 (No. 217-00788)	Apr-09
Reference 3 dB Attenuator	SN: S5054 (3c)	1-Jul-08 (No. 217-00865)	Jul-09
Reference 20 dB Attenuator	SN: S5086 (20b)	31-Mar-08 (No. 217-00787)	Apr-09
Reference 30 dB Attenuator	SN: S5129 (30b)	1-Jul-08 (No. 217-00866)	Jul-09
Reference Probe ES3DV2	SN: 3013	2-Jan-09 (No. ES3-3013_Jan09)	Jan-10
DAE4	SN: 660	9-Sep-08 (No. DAE4-660_Sep08)	Sep-09
Secondary Standards	ID #	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Oct-07)	In house check: Oct-09
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-08)	In house check: Oct-09

	Name	Function	Signature
Calibrated by:	Katja Pokovic	Technical Manager	
Approved by:	Niels Kuster	Quality Manager	

Issued: January 21, 2009

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



Accredited by the Swiss Accreditation Service (SAS)
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 Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 108**

Glossary:

TSL	tissue simulating liquid
NORM _{x,y,z}	sensitivity in free space
ConvF	sensitivity in TSL / NORM _{x,y,z}
DCP	diode compression point
Polarization ϕ	ϕ rotation around probe axis
Polarization ϑ	ϑ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis

Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

Methods Applied and Interpretation of Parameters:

- NORM_{x,y,z}:** Assessed for E-field polarization $\vartheta = 0$ ($f \leq 900$ MHz in TEM-cell; $f > 1800$ MHz: R22 waveguide). NORM_{x,y,z} are only intermediate values, i.e., the uncertainties of NORM_{x,y,z} does not effect the E²-field uncertainty inside TSL (see below *ConvF*).
- NORM(*f*)_{x,y,z} = NORM_{x,y,z} * frequency_response** (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of *ConvF*.
- DCP_{x,y,z}:** DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- ConvF and Boundary Effect Parameters:** Assessed in flat phantom using E-field (or Temperature Transfer Standard for $f \leq 800$ MHz) and inside waveguide using analytical field distributions based on power measurements for $f > 800$ MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM_{x,y,z} * ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy):** in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset:** The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

Probe EX3DV3

SN:3504

Manufactured:	December 15, 2003
Last calibrated:	August 30, 2007
Recalibrated:	January 21, 2009

Calibrated for DASY Systems

(Note: non-compatible with DASY2 system!)

DASY - Parameters of Probe: EX3DV3 SN:3504

Sensitivity in Free Space^A

Diode Compression^B

NormX	0.60 ± 10.1%	$\mu\text{V}/(\text{V}/\text{m})^2$	DCP X	94 mV
NormY	0.62 ± 10.1%	$\mu\text{V}/(\text{V}/\text{m})^2$	DCP Y	94 mV
NormZ	0.65 ± 10.1%	$\mu\text{V}/(\text{V}/\text{m})^2$	DCP Z	95 mV

Sensitivity in Tissue Simulating Liquid (Conversion Factors)

Please see Page 8.

Boundary Effect

TSL **900 MHz** **Typical SAR gradient: 5 % per mm**

Sensor Center to Phantom Surface Distance		2.0 mm	3.0 mm
SAR _{be} [%]	Without Correction Algorithm	10.0	6.0
SAR _{be} [%]	With Correction Algorithm	0.7	0.3

TSL **1750 MHz** **Typical SAR gradient: 10 % per mm**

Sensor Center to Phantom Surface Distance		2.0 mm	3.0 mm
SAR _{be} [%]	Without Correction Algorithm	8.0	4.9
SAR _{be} [%]	With Correction Algorithm	0.8	0.6

Sensor Offset

Probe Tip to Sensor Center **1.0 mm**

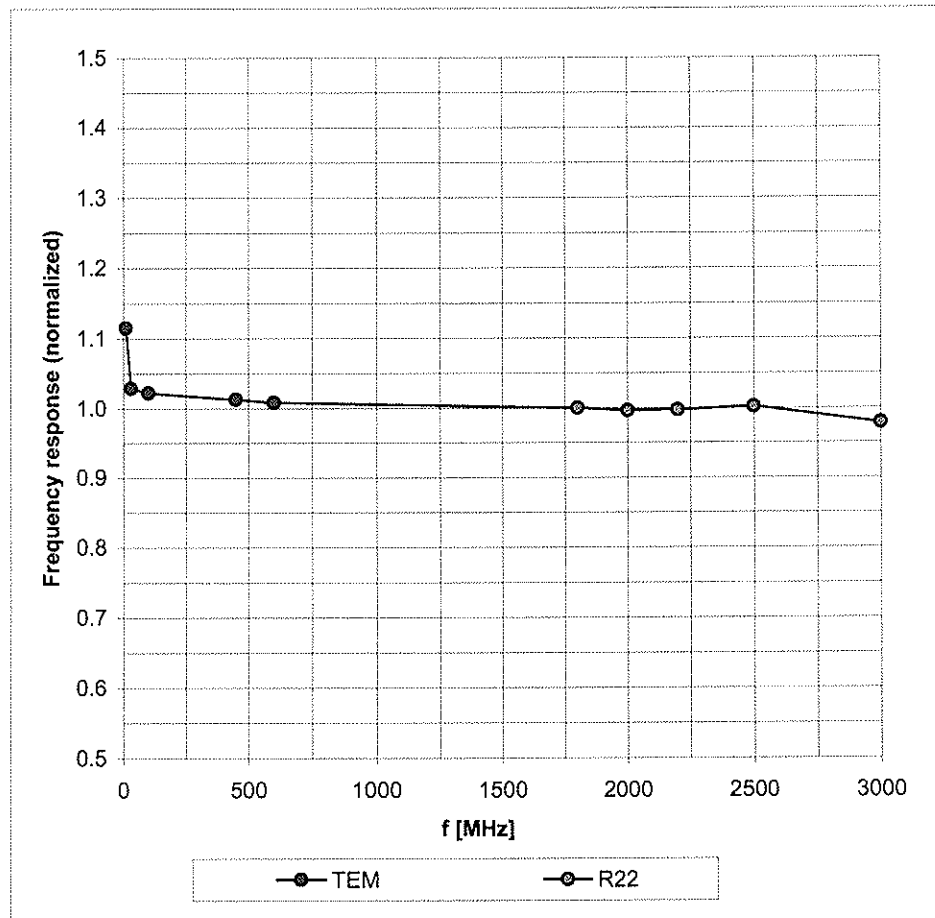
The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

^A The uncertainties of NormX,Y,Z do not affect the E²-field uncertainty inside TSL (see Page 8).

^B Numerical linearization parameter: uncertainty not required.

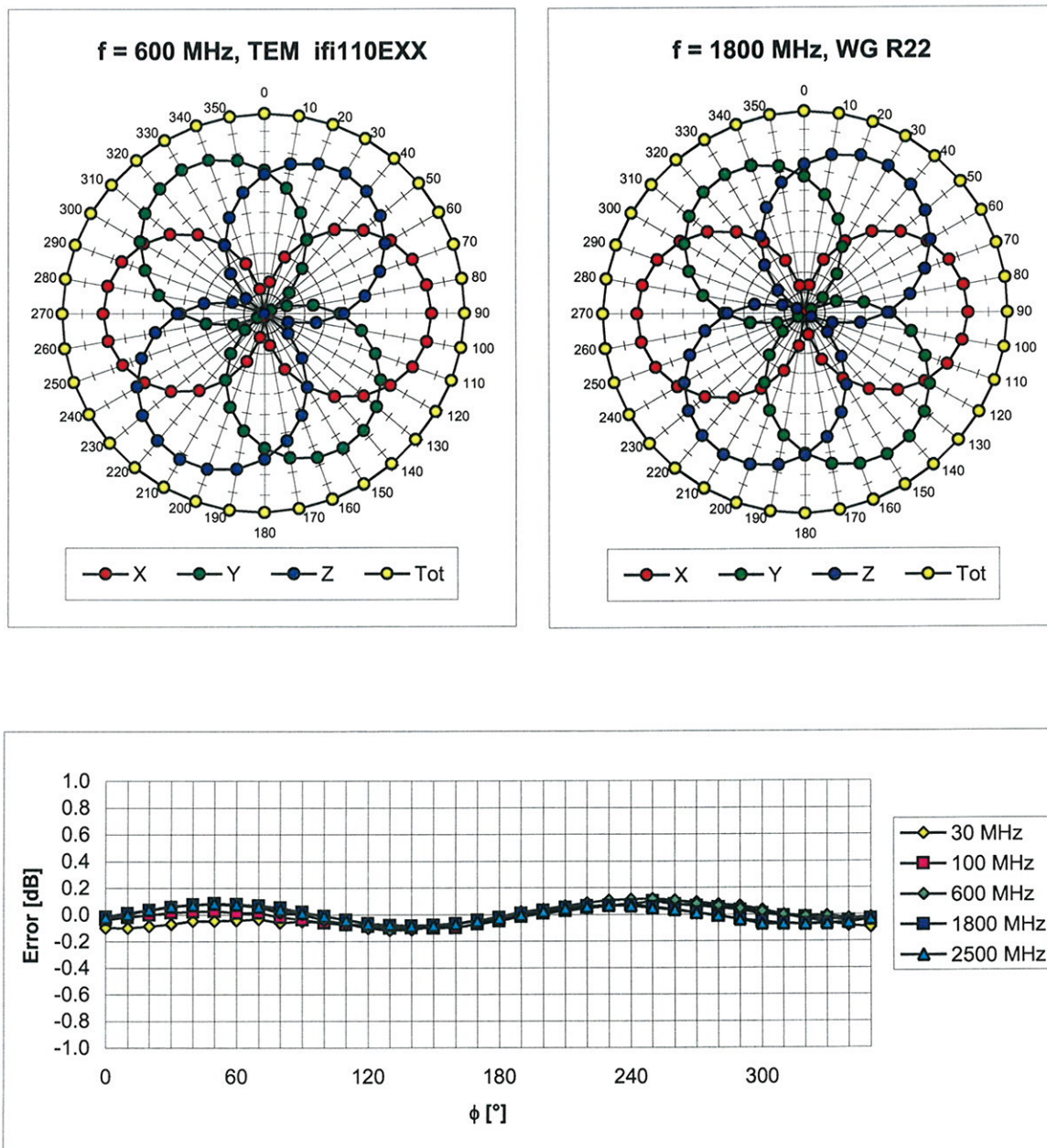
Frequency Response of E-Field

(TEM-Cell:ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field: $\pm 6.3\%$ ($k=2$)

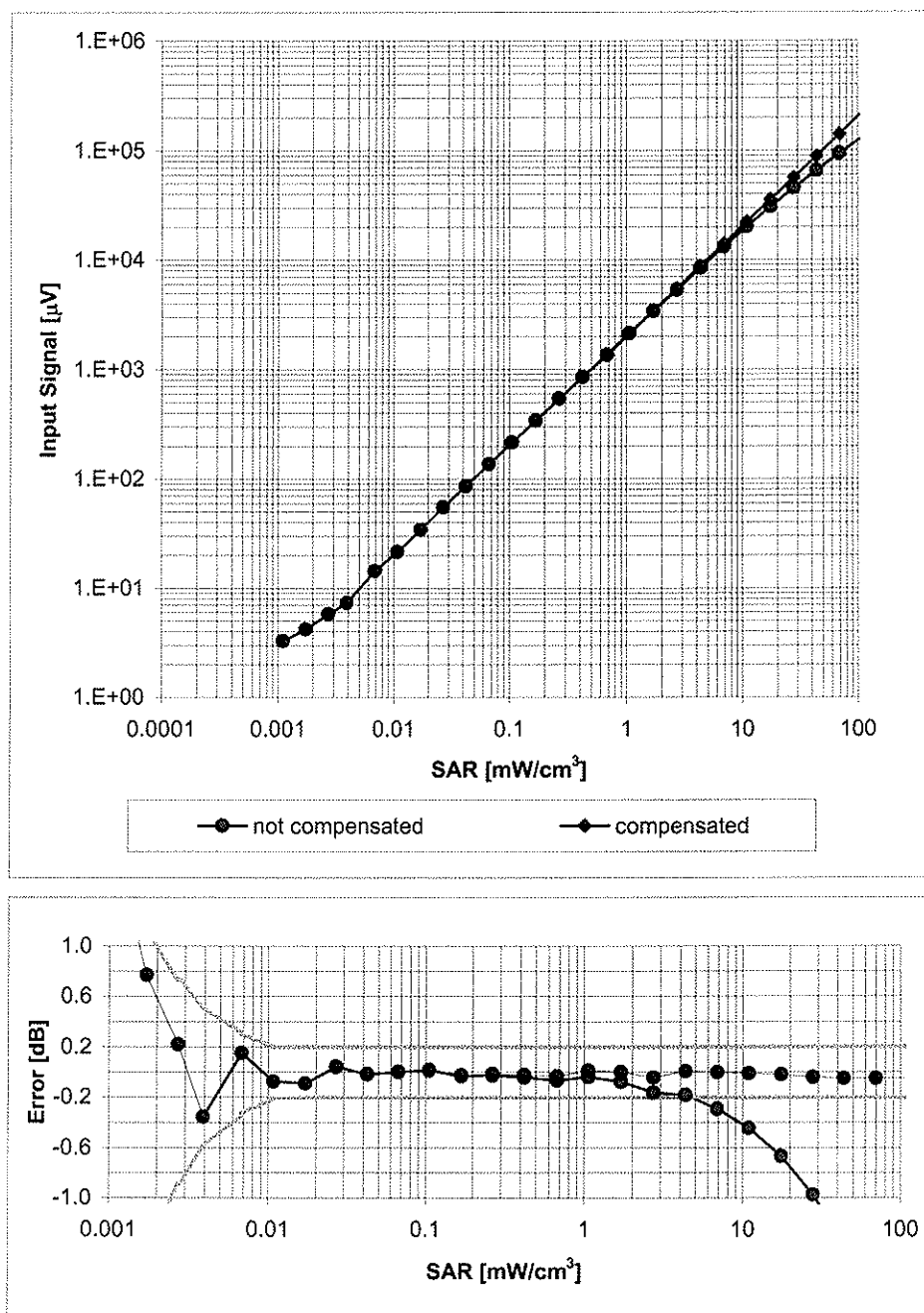
Receiving Pattern (ϕ), $\vartheta = 0^\circ$



Uncertainty of Axial Isotropy Assessment: $\pm 0.5\%$ ($k=2$)

Dynamic Range $f(\text{SAR}_{\text{head}})$

(Waveguide R22, $f = 1800$ MHz)



Uncertainty of Linearity Assessment: $\pm 0.6\%$ ($k=2$)

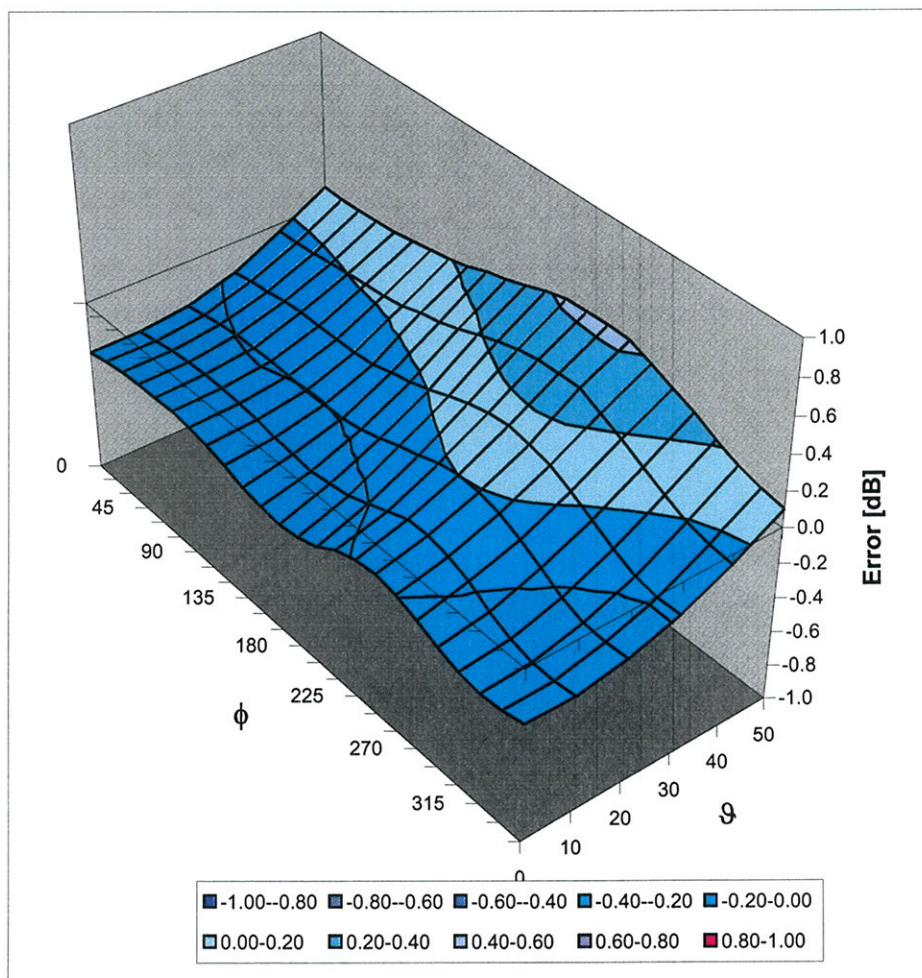
Conversion Factor Assessment

f [MHz]	Validity [MHz] ^c	TSL	Permittivity	Conductivity	Alpha	Depth	ConvF Uncertainty
900	± 50 / ± 100	Head	41.5 ± 5%	0.97 ± 5%	0.74	0.65	9.57 ± 11.0% (k=2)
1750	± 50 / ± 100	Head	40.1 ± 5%	1.37 ± 5%	0.56	0.64	8.53 ± 11.0% (k=2)
1950	± 50 / ± 100	Head	40.0 ± 5%	1.40 ± 5%	0.76	0.57	8.08 ± 11.0% (k=2)
2450	± 50 / ± 100	Head	39.2 ± 5%	1.80 ± 5%	0.53	0.66	7.67 ± 11.0% (k=2)
2600	± 50 / ± 100	Head	39.0 ± 5%	1.96 ± 5%	0.24	0.98	7.56 ± 11.0% (k=2)
5200	± 50 / ± 100	Head	36.0 ± 5%	4.66 ± 5%	0.42	1.70	4.65 ± 13.1% (k=2)
5300	± 50 / ± 100	Head	35.9 ± 5%	4.76 ± 5%	0.42	1.70	4.49 ± 13.1% (k=2)
5500	± 50 / ± 100	Head	35.6 ± 5%	4.96 ± 5%	0.42	1.70	4.39 ± 13.1% (k=2)
5600	± 50 / ± 100	Head	35.5 ± 5%	5.07 ± 5%	0.42	1.70	4.29 ± 13.1% (k=2)
5800	± 50 / ± 100	Head	35.3 ± 5%	5.27 ± 5%	0.42	1.70	4.40 ± 13.1% (k=2)
900	± 50 / ± 100	Body	55.0 ± 5%	1.05 ± 5%	0.50	0.73	9.71 ± 11.0% (k=2)
1750	± 50 / ± 100	Body	53.4 ± 5%	1.49 ± 5%	0.46	0.73	8.36 ± 11.0% (k=2)
1950	± 50 / ± 100	Body	53.3 ± 5%	1.52 ± 5%	0.43	0.73	8.21 ± 11.0% (k=2)
2450	± 50 / ± 100	Body	52.7 ± 5%	1.95 ± 5%	0.27	1.07	7.53 ± 11.0% (k=2)
2600	± 50 / ± 100	Body	52.5 ± 5%	2.16 ± 5%	0.25	1.10	7.33 ± 11.0% (k=2)
5200	± 50 / ± 100	Body	49.0 ± 5%	5.30 ± 5%	0.45	1.75	4.38 ± 13.1% (k=2)
5300	± 50 / ± 100	Body	48.9 ± 5%	5.42 ± 5%	0.45	1.75	4.06 ± 13.1% (k=2)
5500	± 50 / ± 100	Body	48.6 ± 5%	5.65 ± 5%	0.42	1.75	3.98 ± 13.1% (k=2)
5600	± 50 / ± 100	Body	48.5 ± 5%	5.77 ± 5%	0.42	1.75	3.91 ± 13.1% (k=2)
5800	± 50 / ± 100	Body	48.2 ± 5%	6.00 ± 5%	0.42	1.75	3.98 ± 13.1% (k=2)

^c The validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2). The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

Deviation from Isotropy in HSL

Error (ϕ , ϑ), $f = 900$ MHz



Uncertainty of Spherical Isotropy Assessment: $\pm 2.6\%$ ($k=2$)



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Accreditation No.: **SCS 108**

Client

XXXXXXXXXX

Certificate No: **EX3-3578 May08**

CALIBRATION CERTIFICATE

Object

ES3DV4 - SN 3578

Calibration procedure(s)

**QA CAL-01 v3, QA CAL-14 v3 and QA CAL-23 v3
Calibration procedure for dosimetric E-field probes**

Calibration date:

May 20, 2008

Condition of the calibrated item

In Tolerance

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature $(22 \pm 3)^{\circ}\text{C}$ and humidity $< 70\%$.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	1-Apr-08 (No. 217-00788)	Apr-09
Power sensor E4412A	MY41495277	1-Apr-08 (No. 217-00788)	Apr-09
Power sensor E4412A	MY41498087	1-Apr-08 (No. 217-00788)	Apr-09
Reference 3 dB Attenuator	SN: S5054 (3c)	8-Aug-07 (No. 217-00719)	Aug-08
Reference 20 dB Attenuator	SN: S5086 (20b)	31-Mar-08 (No. 217-00787)	Apr-09
Reference 30 dB Attenuator	SN: S5129 (30b)	8-Aug-07 (No. 217-00720)	Aug-08
Reference Probe ES3DV2	SN: 3013	2-Jan-08 (No. ES3-3013_Jan08)	Jan-09
DAE4	SN: 660	3-Sep-07 (No. DAE4-660_Sep07)	Sep-08

Secondary Standards	ID #	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Oct-07)	In house check: Oct-09
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-07)	In house check: Oct-08

Calibrated by:

Name
Kajko Polonoc

Function
Technical Manager

Signature

[Signature]

Approved by:

Name
Fin Donahoe

Function
R&D Director

[Signature]

Issued: May 21, 2008

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Accreditation No.: **SCS 108**

Glossary:

TSL	tissue simulating liquid
NORM _{x,y,z}	sensitivity in free space
ConvF	sensitivity in TSL / NORM _{x,y,z}
DCP	diode compression point
Polarization φ	φ rotation around probe axis
Polarization ϑ	ϑ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis

Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

Methods Applied and Interpretation of Parameters:

- NORM_{x,y,z}**: Assessed for E-field polarization $\vartheta = 0$ ($f \leq 900$ MHz in TEM-cell; $f > 1800$ MHz: R22 waveguide). NORM_{x,y,z} are only intermediate values, i.e., the uncertainties of NORM_{x,y,z} does not effect the E²-field uncertainty inside TSL (see below *ConvF*).
- NORM(f)_{x,y,z}** = NORM_{x,y,z} * *frequency_response* (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of *ConvF*.
- DCP_{x,y,z}**: DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- ConvF and Boundary Effect Parameters**: Assessed in flat phantom using E-field (or Temperature Transfer Standard for $f \leq 800$ MHz) and inside waveguide using analytical field distributions based on power measurements for $f > 800$ MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM_{x,y,z} * *ConvF* whereby the uncertainty corresponds to that given for *ConvF*. A frequency dependent *ConvF* is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy)**: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset**: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

Probe EX3DV4

SN:3578

Manufactured:	November 4, 2005
Last calibrated:	April 24, 2007
Recalibrated:	May 20, 2008

Calibrated for DASY Systems

(Note: non-compatible with DASY2 system!)

DASY - Parameters of Probe: EX3DV4 SN:3578

Sensitivity in Free Space^A

Diode Compression^B

NormX	0.520 ± 10.1%	$\mu\text{V}/(\text{V}/\text{m})^2$	DCP X	98 mV
NormY	0.500 ± 10.1%	$\mu\text{V}/(\text{V}/\text{m})^2$	DCP Y	90 mV
NormZ	0.540 ± 10.1%	$\mu\text{V}/(\text{V}/\text{m})^2$	DCP Z	93 mV

Sensitivity in Tissue Simulating Liquid (Conversion Factors)

Please see Page 8.

Boundary Effect

TSL **900 MHz** **Typical SAR gradient: 5 % per mm**

Sensor Center to Phantom Surface Distance		2.0 mm	3.0 mm
SAR _{be} [%]	Without Correction Algorithm	11.3	5.6
SAR _{be} [%]	With Correction Algorithm	0.6	0.2

TSL **1810 MHz** **Typical SAR gradient: 10 % per mm**

Sensor Center to Phantom Surface Distance		2.0 mm	3.0 mm
SAR _{be} [%]	Without Correction Algorithm	9.2	4.6
SAR _{be} [%]	With Correction Algorithm	0.5	0.2

Sensor Offset

Probe Tip to Sensor Center **1.0 mm**

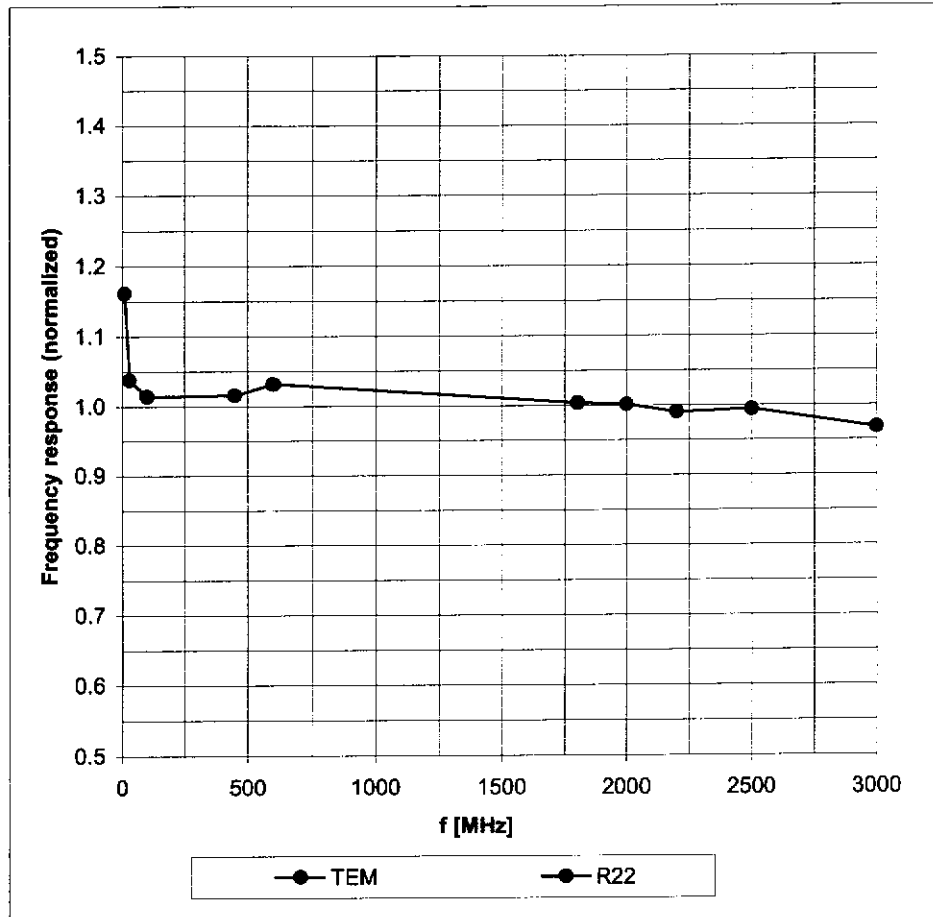
The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

^A The uncertainties of NormX,Y,Z do not affect the E²-field uncertainty inside TSL (see Page 8).

^B Numerical linearization parameter: uncertainty not required.

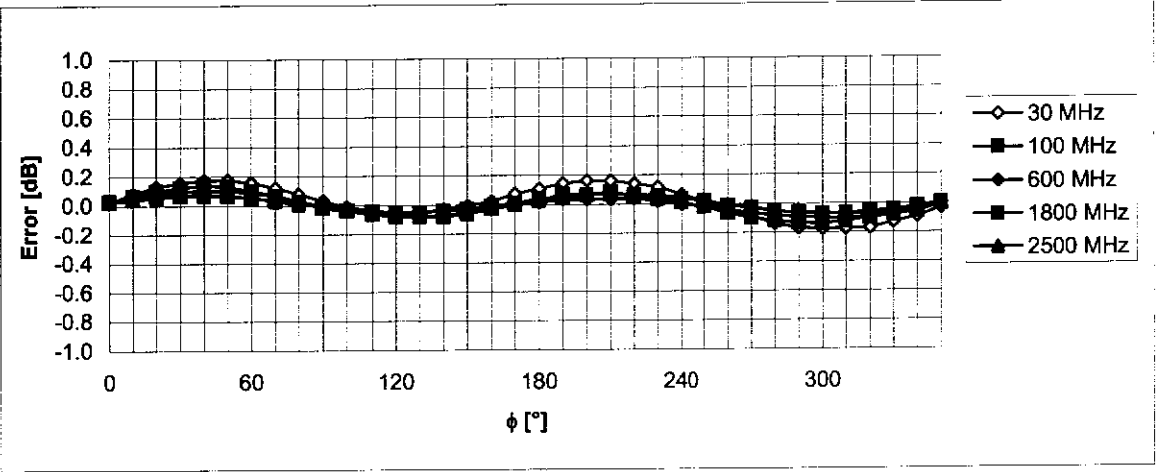
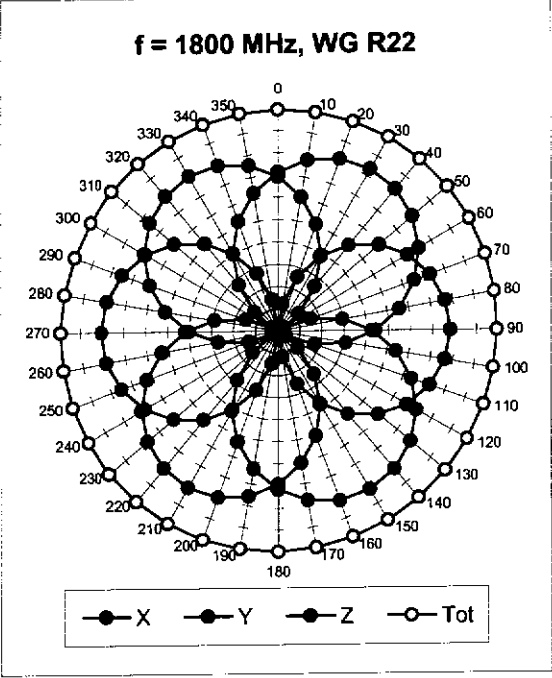
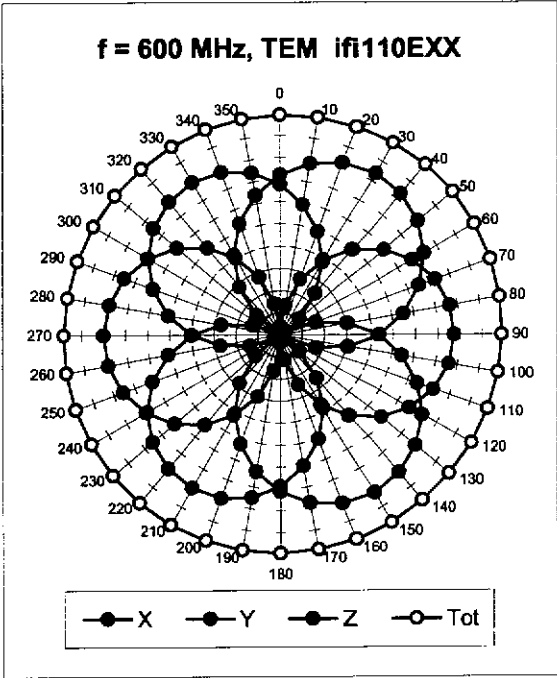
Frequency Response of E-Field

(TEM-Cell:ifi110 EXX, Waveguide: R22)



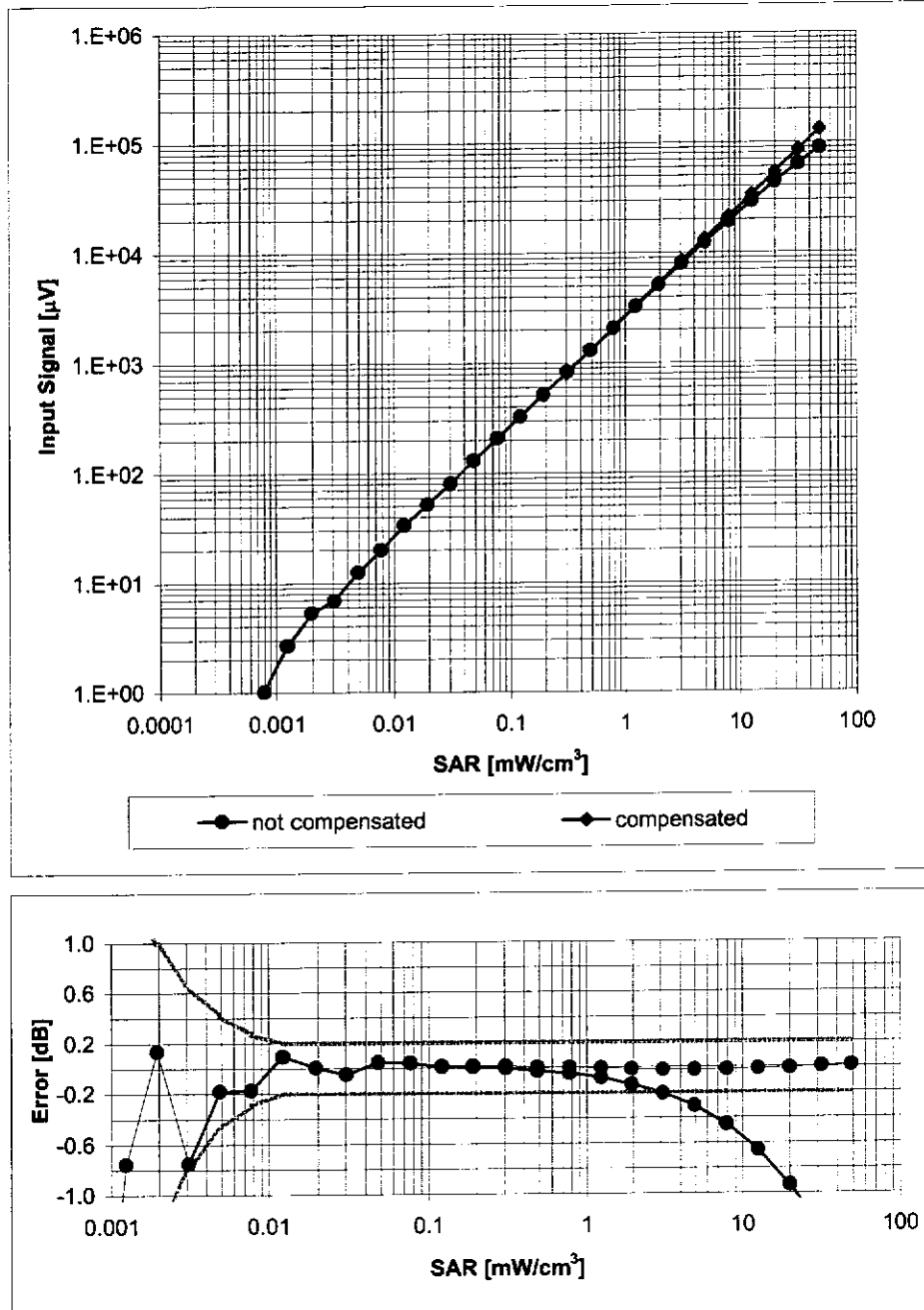
Uncertainty of Frequency Response of E-field: $\pm 6.3\%$ ($k=2$)

Receiving Pattern (ϕ), $\vartheta = 0^\circ$



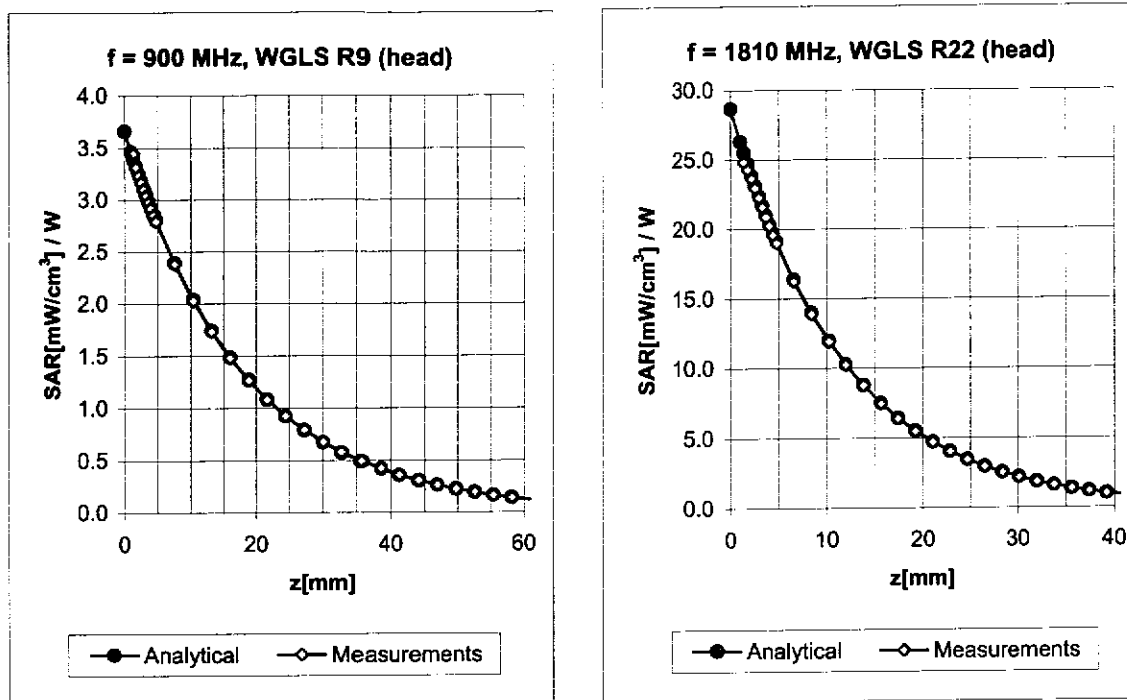
Uncertainty of Axial Isotropy Assessment: $\pm 0.5\%$ ($k=2$)

Dynamic Range $f(\text{SAR}_{\text{head}})$ (Waveguide R22, $f = 1800 \text{ MHz}$)



Uncertainty of Linearity Assessment: $\pm 0.6\%$ ($k=2$)

Conversion Factor Assessment

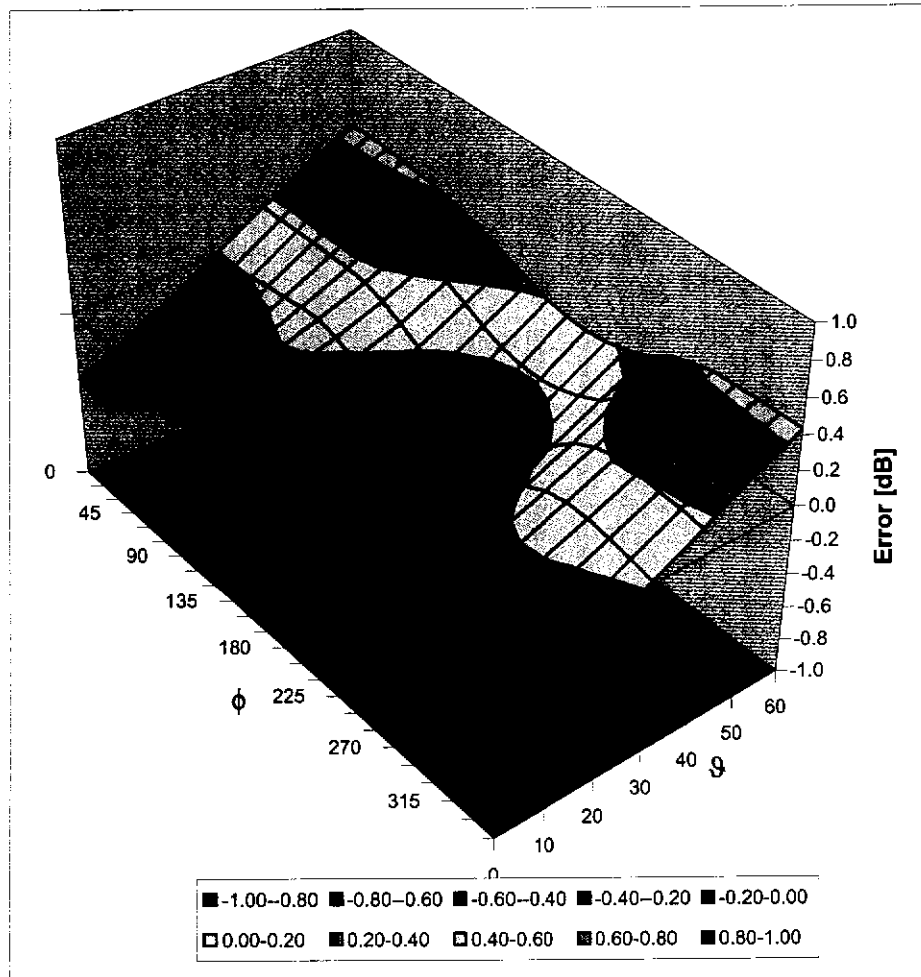


f [MHz]	Validity [MHz] ^c	TSL	Permittivity	Conductivity	Alpha	Depth	ConvF Uncertainty
900	± 50 / ± 100	Head	41.5 ± 5%	0.97 ± 5%	0.48	0.80	8.55 ± 11.0% (k=2)
1810	± 50 / ± 100	Head	40.0 ± 5%	1.40 ± 5%	0.51	0.75	7.28 ± 11.0% (k=2)
2000	± 50 / ± 100	Head	40.0 ± 5%	1.40 ± 5%	0.48	0.77	7.10 ± 11.0% (k=2)
2450	± 50 / ± 100	Head	39.2 ± 5%	1.80 ± 5%	0.40	0.87	6.66 ± 11.0% (k=2)
5200	± 50 / ± 100	Head	36.0 ± 5%	4.66 ± 5%	0.43	1.70	4.65 ± 13.1% (k=2)
5500	± 50 / ± 100	Head	35.6 ± 5%	4.96 ± 5%	0.48	1.70	4.30 ± 13.1% (k=2)
5800	± 50 / ± 100	Head	35.3 ± 5%	5.27 ± 5%	0.50	1.70	4.22 ± 13.1% (k=2)
900	± 50 / ± 100	Body	55.0 ± 5%	1.05 ± 5%	0.45	0.80	8.42 ± 11.0% (k=2)
1810	± 50 / ± 100	Body	53.3 ± 5%	1.52 ± 5%	0.49	0.80	7.41 ± 11.0% (k=2)
2000	± 50 / ± 100	Body	53.3 ± 5%	1.52 ± 5%	0.43	0.87	7.08 ± 11.0% (k=2)
2450	± 50 / ± 100	Body	52.7 ± 5%	1.95 ± 5%	0.55	0.80	6.55 ± 11.0% (k=2)
5200	± 50 / ± 100	Body	49.0 ± 5%	5.30 ± 5%	0.47	1.75	3.84 ± 13.1% (k=2)
5500	± 50 / ± 100	Body	48.6 ± 5%	5.65 ± 5%	0.35	1.75	4.12 ± 13.1% (k=2)
5800	± 50 / ± 100	Body	48.2 ± 5%	6.00 ± 5%	0.46	1.75	3.92 ± 13.1% (k=2)

^c The validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2). The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

Deviation from Isotropy in HSL

Error (ϕ , ϑ), $f = 900$ MHz



Uncertainty of Spherical Isotropy Assessment: $\pm 2.6\%$ ($k=2$)