

General Checklist and Application Form 731 for Equipment Authorization subject to FCC CERTIFICATION

Product Certification Department
Königswinkel 10
D-32825 Blomberg, Germany
Phone +49 (0) 52 35 95 00-24
Fax +49 (0) 52 35 95 00-28
notifiedbody@phoenix-testlab.de
www.phoenix-testlab.de

Further information on the application for certification and the form 731 is documented
on the FCC OET website: <http://www.fcc.gov/encyclopedia/equipment-authorization>

Applicant Information

Item 0. **FCC Registration Number (FRN Number):**

Item 1. **Applicant's complete, legal business name:**

Item 2. **Applicant's mailing address:**

Line 1:

Line 2:

P. O. Box:

City:

State: Country (if foreign address):

Zip/ Postal Code:

FCC ID

Item 3. **Grantee code:**

***Equipment Product Code (14 characters maximum):**

Person at the applicant's address to receive or for contact

Item 4.

First Name:

Last Name:

Title:

Telephone:

Fax No:

E-mail:

Mail Stop:

Item 5. **Instead of Applicant, the original Grant is
authorized to be mailed to:**

Technical Contact

Item 6.

Firm Name:

First Name:

Middle Initial:

Last Name:

Address Line 1:

Address Line 2:

P. O. Box:

City:

State:

Country (if foreign address):

Zip/ Postal Code:

Telephone:

Ext:

Fax:

E - Mail:

Non-Technical Contact

Item 7.

Firm Name:

First Name:

Middle Initial:

Last Name:

Address Line 1:

Address Line 2:

P. O. Box:

City:

State:

Country (if foreign address):

Zip/ Postal Code:

Telephone:

Ext:

Fax:

E - Mail:

Long-Term Confidentiality

Item 8.

*Does this application include a request for confidentiality for any portion(s) of the data contained in this application pursuant to 47 CFR § 0.459 of the Commission Rules?

- ☐ Yes
☐ No

Short-Term Confidentiality

Item 9.

Does short-term confidentiality apply to this application?:

- ☐ Yes
☐ No

If so, specify the short-term confidentiality release date (MM/DD/YYYY format):
Note: If no date is supplied, the release date will be set to 45 calendar days past the date of grant.

Software Defined/ Cognitive Radio

Item 10.

Is this application for software defined/cognitive radio authorization?

- ☐ Yes
☐ No

Equipment Class

Item 11.

*Equipment Class:

*Description of Product as it is Marketed:

(NOTE: This text will appear below the equipment class on the grant.
Please mention the description, not the model of the product.)

Related OET KnowledgeDataBase Inquiry

Item 12.

Is there a KDB inquiry associated with this application?

- ☐ Yes KDB No.
☐ No

Modular Equipment

Item 13.

- ☐ Does not apply
☐ Limited Modular Approval
☐ Single Modular Approval

Application Purpose

Item 14.

*Application is for:

- ☐ Original Equipment (See instructions)
☐ Change in identification of presently authorized equipment:
Original FCC ID:
Grant Date (MM/DD/YYYY format):
☐ Class II permissive change or modification of presently
authorized equipment (See instructions)

Composite/Related Equipment

Item 15.

Is the equipment in this application:

*(a) a composite device subject to an additional equipment authorization?

☐ Yes ☐ No

*(b) part of a system that operates with, or is marketed with, another device
that requires an equipment authorization?

☐ Yes ☐ No

If either of the above questions is answered "Yes" complete section 12 (c).

(c) The related application:

- ☐ has been granted under the FCC ID listed below
☐ is in the process of being filed under the FCC ID listed below
☐ is pending with the FCC under the FCC ID listed below
☐ has a mix of pending and granted statuses under the FCC ID's listed below

FCC ID:

Equipment Specifications- Licensed only

Item 16:

Line Entry:

Lower Frequency:

Upper Frequency:

Power Output:

Tolerance:

Emission Designator:

Microprocessor Number:

Rule Parts:

Test Firm Information

Item 17.

Name of test firm and contact person file with the FCC, if different from applicant or contact person:

Firm Name:

First Name:

Last Name:

Telephone:

Ext:

Fax No:

E-Mail:

Read each certification carefully before answering and signing this application

Willful false statements made on this form are punishable by fine and imprisonment (U.S. Code, Title 18, Section 1001), and/ or Revocation of any station license or construction permit (U.S. Code, Title 47, Section 312(a)(1)), and/ or forfeiture (U.S. Code, Title 47, Section 503).

***Section 5301 (Anti-Drug abuse) Certification**

Item 18.

The applicant must certify that neither the applicant nor any party to the application is subject to a denial of Federal benefits, that include FCC benefits, pursuant to Section 5301 of the Anti-Drug Abuse of 1988, 21 U.S.C. § 862 because of a conviction for possession or distribution of a controlled substance. See 47 CFR 1.2002(b) for the definition of a "party" for these purposes.

*Does the applicant or authorized agent so certify? ☐ Yes ☐ No

Applicant/ Agent Certification

Item 19.

I certify that I am authorized to sign this application. All of the statements herein and the exhibits attached hereto, are true and correct to the best of my knowledge and belief. In accepting a Grant of Equipment Authorization as a result of the representations made in this application, the applicant is responsible for (1) labeling the equipment with the exact FCC ID specified in this application, (2) compliance statement labeling pursuant to the applicable rules, and (3) compliance of the equipment with the applicable technical rules. If the applicant is not the actual manufacturer of the equipment, appropriate arrangements have been made with the manufacturer to ensure that production units of this equipment will continue to comply with the FCC` s technical requirements.

Authorizing an agent to sign this application, is done solely at the applicant` s discretion; however, the applicant remains responsible for all statements in this application.

If an agent has signed this application on behalf of the applicant, a written letter of authorization which includes information to enable the agent to respond to the above section 5301 (Anti-Drug Abuse) Certification statement has been provided by the applicant. It is understood that the letter of authorization must be submitted to the FCC upon request, and that the FCC reserves the right to contact the applicant directly at any time.

*Signature of Authorized Person Filing: _____

Signature

Title of authorized signature:

Complete items below if an agent signs the application:

Firm Name:

First Name:

Middle Initial:

Last Name:

Address Line 1:

Address Line 2:

P. O. Box:

City:

State:

Country (if foreign address):

Zip/ Postal Code:

Telephone:

Ext:

Fax:

E - Mail:

**NOTE: An asterisk `*` preceding a field indicates it must be completed
before this application can be submitted.**

1. Certificate.

The Applicant shall endeavour to ensure that no certificate or report or any part thereof is used in a misleading manner. Equipment shall be represented as certified only if it complies with the FCC regulations and is labelled as required by the FCC. The Applicant will use the certification only to indicate that the respective products are certified in conformity with the specified FCC regulations.

2. Conformity:

The equipment, represented, as certified shall comply with all of the FCC technical and administrative requirements.

3. Forwarded information:

The Applicant is liable that all information, including but not limited to specifications, company information, technical data, testing data etc., given and to be given to the TCB are correct, complete, and verifiable.

4. Samples:

The TCB shall notify the applicant in writing of the sampling requirement. The applicant is obligated to have production samples available for at least one year after the last production date. The applicant acknowledges that he might be required to submit the equipment for post-market surveillance testing. These samples may be requested, at any time, by either the TCB or the FCC.

5. Testing and Certification:

The Applicant recognizes that the opinions and findings of the TCB represent its judgment given with due consideration to the type of certification, the necessary limitations of practical and in accordance with its objects and purposes. The Applicant recognizes that many tests specified in the FCC requirements may be inherently hazardous and agrees that the TCB neither assumes nor accepts any responsibility for any injury or damage to the Applicant's property or personnel that may occur during or as a result of tests, whether performed in whole or in part by the Applicant or the TCB, and whether or not any device, test equipment, facility or personnel for or in connection with the test is furnished by the Applicant or the TCB.

6. Indemnity:

The Applicant agrees to indemnify and hold harmless the TCB, its members, directors, officers and employees against any and all liability, loss, costs, damages, reasonable legal fees and expenses of whatever kind or nature which is proximately caused by:

- (a) Any breach by the Applicant of the terms of this Agreement, including without limitation any failure to abide by the requirements,
- (b) Any use of the equipment in any manner that is not consistent with the requirements,
- (c) Any audit of the certified equipment under this Agreement,
- (d) Any event that occurs during the testing of the equipment, and
- (e) Any failure to make a material disclosure, or other misrepresentation, whether intentional or unintentional, made by Applicant to the TCB, but excluding any such liability, loss, cost, damage, legal fees or expense caused by any gross negligence or wilful misconduct of the TCB, whether in acting or omitting to act, in granting certification.

7. Anti-Drug Abuse Statement:

As part of the certification process, the Applicant shall take full responsibility with regard to the Anti-Drug Abuse Statement, Section 5301 of the *Anti-Drug Abuse Act of 1988*, 21 U.S.C. Section 862

Jurisdiction / Applicable Law:

All disputes arising in connection with this Agreement or its validity - all so including certificates, bills of exchange or cheques - shall be finally settled in accordance with the Arbitration Rules of the German Institute for Arbitration e.V. (DIS) without recourse to the ordinary courts of the law. The Arbitration Tribunal may also decide on the validity of this Arbitration Agreement with binding effect for the state-run courts. **The place of arbitration is Paderborn, Germany.** The Arbitration Tribunal consists of three arbitrators. The applicable law is German Law. The language of the arbitral proceedings is English. The fees of the arbitrators and the administration fee shall be born by the non-prevailing party. The arbitrators shall decide upon the allocation of the lawyer's fees of the parties.

Place, Date

Signature and Stamp

The Application Should Include The Following Documents In Electronic Formatation

Typical Documents List (please specify confidential documents and sign all letters by an authorised signatory). A detailed checklist is available upon request:

- Cover Letters (As applicable. e.g.: Confidentiality Request, Agency Letter)
 - Used Accessoires (Model, Type, S/N, FCC ID)
 - Used Cables (Type, Length, Shield, Ferrites)
 - External Photos
 - Internal Photos
 - Test Setup Photos
 - Test Report(s)
 - Block Diagram showing the frequencies of all oscillators in the EUT
 - Schematics
 - Centre Frequency of the lowest channel to the highest channel
 - Operational Description / Equipment Under Test (EUT) Description
 - User Manual
 - Parts list (Not required for Part 15 and Part 18 equipment)
 - Tune up information or statement (Not required for Part 15 and Part 18 equipment)
 - RF exposure information or SAR report(s)
 - FCC Label and Location Information (how affixed?)
 - Confidential request per CFR 47 §0.459
- Confidential treatment of documents submitted shall be requested in a letter. The letter shall specify the reasons for the request with reference to CFR 47 §0.459

If Applicable:

- Agency Letter (Authorisation)
- Warning Statements and Location
- Statement, that this is a Modular Approval
- Modification Letter