

In these pseudo-codes, we refer to equation numbers introduced in our paper:

“Spectral image clustering on dual-energy CT scans using functional regression mixtures”
(arxiv link).

Algorithm 1

Pseudo code of EM with SsMFR and SgMFR

Inputs: 4D-image (n curves $(\mathbf{v}_i, \mathbf{x}_i, \mathbf{y}_i)_{i=1}^n$), # clusters K , degree p (and # knots q)

- 1: **Initialization:** $\boldsymbol{\theta}^{(0)} = (\boldsymbol{\alpha}^{(0)}, \boldsymbol{\theta}_1^{(0)}, \dots, \boldsymbol{\theta}_K^{(0)})$; set $t \leftarrow 0$
- 2: **while** increment in log-likelihood $> \epsilon$ (e.g. $1e^{-6}$) **do**
- 3: **E-Step:** % Conditional memberships :
- 4: **for** $k = 1, \dots, K$ **do**
- 5: compute $\tau_{ik}^{(t)}$ for $i = 1, \dots, n$ using (12) for SgMFR
- 6: or using an adaptation for SsMFR
- 7: **end for**
- 8: **M-Step:** %a. Spatial Mixture Weights
- 9: **if** SgMFR model is used: **then**
- 10: %Update Spatial Gaussian-Gating Functions:
- 11: **for** $k = 1, \dots, K$ **do**
- 12: compute $w_k^{(t+1)}$ (14), $\boldsymbol{\mu}_k^{(t+1)}$ (15), and $\mathbf{R}_k^{(t+1)}$ (16)
- 13: **end for**
- 14: **end if**
- 15: **if** SsMFR model is used: **then**
- 16: % Update Spatial Softmax-Gating Functions:
- 17: **IRLS Algorithm:**
- 18: **Initialize:** $\boldsymbol{\alpha}^{(s)} \leftarrow \boldsymbol{\alpha}^{(t)}$ and $s \leftarrow 0$ (IRLS iteration)
- 19: **while** increment in $Q_{\boldsymbol{\alpha}}(\boldsymbol{\alpha}, \boldsymbol{\theta}^{(t)}) > \delta$ (eg. $1e-6$) **do**
- 20: compute $\boldsymbol{\alpha}^{(s+1)}$ using the IRLS algorithm
- 21: $s \leftarrow s + 1$
- 22: **end while**
- 23: $\boldsymbol{\alpha}^{(t+1)} \leftarrow \boldsymbol{\alpha}^{(s)}$
- 24: **end if**
- 25: %b. Update Functional Mixture Components:
- 26: **for** $k = 1, \dots, K$ **do**
- 27: compute $\boldsymbol{\beta}_k^{(t+1)}$ using (17) and $\sigma_k^{2(t+1)}$ using (18)
- 28: **end for**
- 29: % Convergence test
- 30: Compute the current log-likelihood using (9)
- 31: for SgMFR or an adaptation for SsMFR.
- 32: $t \leftarrow t + 1$
- 33: **end while**

Outputs: $\hat{\boldsymbol{\theta}} = (\boldsymbol{\alpha}^{(t)}, \boldsymbol{\theta}_1^{(t)}, \dots, \boldsymbol{\theta}_K^{(t)})$ the MLE of $\boldsymbol{\theta}$ and the conditional probabilities $\tau_{ik}^{(t)}$

Algorithm 2

Pseudo code of EM with alternative SgMVFR and SsMVFR

Inputs: 4D-image (n curves $(\mathbf{v}_i, \mathbf{x}_i, \mathbf{y}_i)_{i=1}^n$), # clusters K , degree p (and # knots q)

- 1: **for** $i = 1, \dots, n$ **do**
- 2: Compute the functional data representations $\hat{\boldsymbol{\beta}}_i$ by (19)
- 3: **end for**
- 4: **Initialization:** $\boldsymbol{\theta}^{(0)} = (\boldsymbol{\alpha}^{(0)}, \boldsymbol{\theta}_1^{(0)}, \dots, \boldsymbol{\theta}_K^{(0)})$; set $t \leftarrow 0$ (EM iteration)
- 5: **while** increment in log-likelihood $> \epsilon$ (eg. $1e-6$) **do**
- 6: **E-Step:** % Conditional memberships :
- 7: **for** $k = 1, \dots, K$ **do**
- 8: compute $\tau_{ik}^{(t)}$ for $i = 1, \dots, n$ using (21) for SsMVFR
- 9: or using (22) for SgMVFR
- 10: **end for**
- 11: **M-Step:** % (a) Update Spatial Weights:
- 12: **if** SgMVFR model is used: **then**
- 13: %Update Spatial Gaussian-Gating Functions:
- 14: **for** $k = 1, \dots, K$ **do**
- 15: compute $w_k^{(t+1)}$ using (14), $\boldsymbol{\mu}_k^{(t+1)}$ using (15), and $\mathbf{R}_k^{(t+1)}$ using (16)
- 16: **end for**
- 17: **end if**
- 18: **if** SsMVFR model is used: **then**
- 19: % Update Spatial Softmax-Gating Functions:
- 20: $\boldsymbol{\alpha}^{(t+1)} \leftarrow$ is returned by the IRLS algorithm:
- 21: **end if**
- 22: % (b) Update Multivariate Mixture Components:
- 23: **for** $k = 1, \dots, K$ **do**
- 24: compute $\mathbf{m}_k^{(t+1)}$ using (23) and $\mathbf{C}_k^{(t+1)}$ using (24)
- 25: **end for**
- 26: % Convergence test
- 27: Compute the current log-likelihood using (9) for SsMVFR or an adaptation for SgMVFR.
- 28: $t \leftarrow t + 1$
- 29: **end while**

Outputs: $\hat{\boldsymbol{\theta}} = (\boldsymbol{\alpha}^{(t)}, \boldsymbol{\theta}_1^{(t)}, \dots, \boldsymbol{\theta}_K^{(t)})$ the MLE of $\boldsymbol{\theta}$ and the conditional probabilities $\tau_{ik}^{(t)}$
