# lab2

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## stage1

读取douban2fb.txt,获得实体映射关系,创建一个空的 Pandas DataFrame,命名为 pd\_triplet,记录 头尾节点和关系信息

```
FreebaseID = set()
with open("douban2fb.txt", "r", encoding="utf-8") as file:
    for line in file:
        FreebaseID.add(line.split("\t")[1].strip())

pd_triplet = pd.DataFrame()
pd_triplet['head'] = None
pd_triplet['relation'] = None
pd_triplet['tail'] = None
pd_triplet['tail'] = None
pd_triplet.to_csv("freebase_douban.csv", index=False)
```

构建第一跳,将三元组中实体在豆瓣ID映射实体加入pd\_triplet,然后生成freebase\_douban.csv,包含第一跳未经筛选的三元组信息.

```
j = 0
with gzip.open("freebase_douban.gz") as f:
    for line in f:
        line = line.strip()
        triplet = line.decode().split('\t')[:3]
        if (match_entity(triplet[0].strip("<>").split('/')[-1]) or
match_entity(triplet[2].strip("<>").split('/')[-1])) :
                         if triplet[2].startswith("
<a href="http://rdf.freebase.com/ns/m"">http://rdf.freebase.com/ns/m"</a>) and triplet[2].startswith("
<http://rdf.freebase.com/ns/m"):
                     new_row = {"head":triplet[0].strip("
<>"),"relation":triplet[1].strip("<>"),"tail":triplet[2].strip("<>")}
                     pd_triplet=pd.concat([pd_triplet, pd.DataFrame([new_row])],
ignore_index=True)
                     # pd_triplet = pd_triplet.append(new_row,ignore_index=True)
                     FreebaseID.add(triplet[0].strip("<>").split('/')[-1])
                     FreebaseID.add(triplet[2].strip("<>").split('/')[-1])
                 j += 1
                 if(j % 1000000==0):
                   print(j)
pd_triplet.to_csv('freebase_douban.csv', mode='a', index=False, header = False)
temp = pd.read_csv('freebase_douban.csv')
print(j)
print("Length of pd_triplet:", len(temp))
```

1. **实体与关系出现次数的统计**:使用字典 entity\_num 统计了每个实体出现的次数,并使用字典 relation\_num 统计了每个关系出现的次数。这是通过迭代 DataFrame 中的每一行实现的。

- 2. **过滤实体和关系**: 根据设定的条件,保留了至少出现在 20 个三元组中的实体,并只保留出现超过 50 次的关系。通过遍历 DataFrame 的每一行,将不符合条件的行的索引添加到 [to\_be\_delete] 列表中。
- 3. **删除不符合条件的行**: 使用 Pandas 的 drop 方法删除了 to\_be\_delete 列表中的行,以实现过滤。
- 4. 获取第二跳的实体: 根据设定条件,获取了第二跳的实体。

```
#统计不同实体出现次数
entity_num = {key: 0 for key in FreebaseID}
relation_num = dict()
for index, row in pd_triplet.iterrows():
   head = row['head']
   relation = row['relation']
   tail = row['tail']
   if head.split('/')[-1] in entity_num:
        entity_num[head.split('/')[-1]] += 1
   if tail.split('/')[-1] in entity_num:
       entity_num[tail.split('/')[-1]] += 1
   if relation in relation_num:
        relation_num[relation] += 1
   else:
        relation_num[relation] = 0
to_be_delete = []
# 保留了至少出现在 20 个三元组中的实体,同时只保留出现超过 50 次的关系
for index, row in pd_triplet.iterrows():
   if relation_num[row['relation']] < 50 :</pre>
        to_be_delete.append(index)
pd_triplet = pd_triplet.drop(to_be_delete)
# 得到第二跳的实体
FreebaseID.clear()
for index, row in pd_triplet.iterrows():
   if row['head'].startswith("http://rdf.freebase.com/ns/") and
entity_num[row['head'].split('/')[-1]] >= 20:
        FreebaseID.add(row['head'].split('/')[-1])
   if row['tail'].startswith("http://rdf.freebase.com/ns/") and
entity_num[row['tail'].split('/')[-1]] >= 20:
       FreebaseID.add(row['tail'].split('/')[-1])
```

与一跳类似,根据第一跳获得的实体,重新遍历freebase\_douban.gz,获得第二跳未筛选的三元组信息

```
j = 0
entity_num = {key: 0 for key in second_FreebaseID}
with gzip.open("freebase_douban.gz") as f:
    for line in f:
        line = line.strip()
        triplet = line.decode().split('\t')[:3]
        if triplet[0].strip("<>").split('/')[-1] in second_ori_FreebaseID:
            new_row = {"head":triplet[0].strip("
<>"),"relation":triplet[1].strip("<>"),"tail":triplet[2].strip("<>")}
            pd_triplet=pd.concat([pd_triplet, pd.DataFrame([new_row])],
ignore_index=True)
        if(triplet[2].startswith("<http://rdf.freebase.com/ns/")):
            second_FreebaseID.add(triplet[2].strip("<>").split('/')[-1])
        entity_num[triplet[0].strip("<>").split('/')[-1]] += 1
```

```
if entity_num[triplet[0].strip("<>").split('/')[-1]] >= 20000: #有的
实体出现太多次了!
              second_ori_FreebaseID.remove(triplet[0].strip("<>").split('/')
[-1])
              print("i have removed:",triplet[0].strip("<>").split('/')[-1])
       j += 1
       if(j % 1000000==0):
         temp = pd.read_csv('second_freebase_douban.csv')
         print(j)
          print("Length of pd_triplet:", len(temp))
       if( len(pd_triplet) > 10000):
          pd_triplet.to_csv('second_freebase_douban.csv', mode='a', index=False,
header = False
         pd_triplet = pd_triplet[0:0]
pd_triplet.to_csv('second_freebase_douban.csv', mode='a', index=False, header =
False)
temp = pd.read_csv('second_freebase_douban.csv')
print(j)
print("Length of pd_triplet:", len(temp))
```

- 1. **第二跳实体与关系出现次数的统计**: 使用字典 second\_entity\_num 统计了每个第二跳实体出现的次数,并使用字典 second\_relation\_num 统计了每个关系出现的次数。这是通过迭代 DataFrame 中的每一行实现的。
- 2. **过滤实体和关系**: 根据设定的条件,保留了至少出现在 18 到 19500 个三元组中的实体,并且只保留出现超过 50 次的关系。通过遍历 DataFrame 的每一行,将不符合条件的行的索引添加到 to\_be\_delete 列表中。
- 3. **删除不符合条件的行**: 使用 Pandas 的 drop 方法删除了 to\_be\_delete 列表中的行,以实现过滤。
- 4. 获取第二跳的实体:根据设定条件,获取了第二跳的实体。

```
pd_triplet = pd.read_csv('second_freebase_douban.csv')
#统计不同实体出现次数
second_entity_num = {key: 0 for key in second_FreebaseID}
second_relation_num = dict()
for index, row in pd_triplet.iterrows():
   head = row['head']
   relation = row['relation']
   tail = row['tail']
   if head.split('/')[-1] in second_entity_num:
        second_entity_num[head.split('/')[-1]] += 1
   if tail.split('/')[-1] in second_entity_num:
       second_entity_num[tail.split('/')[-1]] += 1
   if relation in second_relation_num:
       second_relation_num[relation] += 1
   else:
       second_relation_num[relation] = 0
to_be_delete = []
# 保留了至少出现在 20 个三元组中的实体,同时只保留出现超过 50 次的关系
for index, row in pd_triplet.iterrows():
    flag = (row['head'].split('/')[-1] in second_entity_num and 19500 >=
second_entity_num[row['head'].split('/')[-1]] >= 18) and (row['tail'].split('/')
[-1] in second_entity_num and 19500 >= second_entity_num[row['tail'].split('/')
[-1]] >= 18)
   if second_relation_num[row['relation']] < 50 or not flag :</pre>
       to_be_delete.append(index)
```

```
pd_triplet = pd_triplet.drop(to_be_delete)
# 得到第二跳的实体
second_FreebaseID.clear()
for index, row in pd_triplet.iterrows():
   if row['head'].startswith("http://rdf.freebase.com/ns/") :
       second_FreebaseID.add(row['head'].split('/')[-1])
   if row['tail'].startswith("http://rdf.freebase.com/ns/") :
       second_FreebaseID.add(row['tail'].split('/')[-1])
# 得到第二跳剩余的关系:
second_relation_num.clear()
for index, row in pd_triplet.iterrows():
   head = row['head']
   relation = row['relation']
   tail = row['tail']
   if relation in second_relation_num:
       second_relation_num[relation] += 1
   else:
       second_relation_num[relation] = 0
```

## stage2

#### 知识图谱映射:

```
import pandas as pd
def MovieMap(givenmap, selected_entity) -> list:
    value = 0
    with open(givenmap, "r") as file:
        given_movie_lines = file.readlines()
    movie_id = {}
    for line in given_movie_lines:
        name = line.strip().split('\t')[1]
        movie_id[name] = value
        value += 1
    with open(selected_entity, "r") as file:
        selected_movie_lines = file.readlines()
    for line in selected_movie_lines:
        name = line.strip()
        if name not in movie_id:
            movie_id[name] = value
            value += 1
    return movie_id
def RelationMap(relaiton_dic) ->dict:
    value = 0
    with open(relaiton_dic, "r") as file:
        lines = file.readlines()
    relation_id = {}
    for line in lines:
        name, _ = line.strip().split(': ')
        relation_id[name] = value
        value += 1
    return relation_id
def KgMap(KG_Graph,movie_id_map,relation_map):
    with open("stage2/data/Douban/kg_final.txt", "w") as file:
```

### 基于图谱嵌入的模型

dataloder的补充:

调用 rename 函数和 concat 函数来实现为KG添加逆向三元组和三元组的拼接

关系数则为 kg\_data 中r列的最大值再加上1

实体数为 kg\_data 中h列和t列中的最大值加1

reverse\_kg["r"] = reverse\_kg["r"].apply(lambda x: x + relation\_num) apply函数得到 r+n\_relations

```
def construct_data(self, kg_data):
       #TODO:
       1.1.1
           kg_data 为 DataFrame 类型
       # 1. 为KG添加逆向三元组,即对于KG中任意三元组(h, r, t),添加逆向三元组(t,
r+n_relations, h),
            并将原三元组和逆向三元组拼接为新的DataFrame,保存在 self.kg_data 中。
       reverse_kg = kg_data[["t","r","h"]]
       reverse_kg = reverse_kg.rename(columns = {"t":"h","h":"t"})
       relation_num = len(kg_data["r"].unique())
       reverse_kg["r"] = reverse_kg["r"].apply(lambda x: x + relation_num)
       self.kg_data = pd.concat([kg_data,reverse_kg],axis=0,ignore_index=True)
       # 2. 计算关系数,实体数和三元组的数量
       self.n_relations = len(self.kg_data["r"].unique()) + 1
       self.n_entities =
max(len(self.kg_data["h"].unique()),len(self.kg_data["r"].unique())) + 1
       self.n_kg_data = len(self.kg_data)
       # 3. 根据 self.kg_data 构建字典 self.kg_dict , 其中key为h, value为tuple(t,
r),
            和字典 self.relation_dict, 其中key为r, value为tuple(h, t)。
       self.kq_dict = collections.defaultdict(list)
       self.relation_dict = collections.defaultdict(list)
       for _ , content in self.kg_data.iterrows():
           h = content["h"]
           r = content["r"]
           t = content["t"]
           self.kg_dict[h].append((t,r))
```

```
self.relation_dict[r].append((h,t))
```

TransE函数的补充:

需要对关系嵌入、头实体嵌入、尾实体嵌入以及负采样的尾实体嵌入进行L2归一化处理

使用 torch 中的 normalize 函数实现三元组的得分涉及向量距离的运算。

```
# 5. 对关系嵌入,头实体嵌入,尾实体嵌入,负采样的尾实体嵌入进行L2范数归一化
r_embed = F.normalize(r_embed,p=2,dim=1)
h_embed = F.normalize(h_embed,p=2,dim=1)
pos_t_embed = F.normalize(pos_t_embed,p=2,dim=1)
neg_t_embed = F.normalize(neg_t_embed,p=2,dim=1)
# 6. 分别计算正样本三元组(h_embed, r_embed, pos_t_embed) 和负样本三元组
(h_embed, r_embed, neg_t_embed) 的得分
pos_score = torch.sum(torch.pow(h_embed + r_embed - pos_t_embed, 2),
dim=1)
neg_score = torch.sum(torch.pow(h_embed + r_embed - neg_t_embed, 2),
dim=1)
# 7. 使用 BPR Loss 进行优化,尽可能使负样本的得分大于正样本的得分
kg_loss = (-1.0) * F.logsigmoid(pos_score - neg_score)
kg_loss = torch.mean(kg_loss)
```

TransR函数的补充

利用torch中的squeeze函数进行维度运算

```
# 1. 计算头实体, 尾实体和负采样的尾实体在对应关系空间中的投影嵌入
       r_mul_h = torch.bmm(h_embed.unsqueeze(1), W_r).squeeze(1)
       r_mul_pos_t = torch.bmm(pos_t_embed.unsqueeze(1), W_r).squeeze(1)
       r_mul_neq_t = torch.bmm(neq_t_embed.unsqueeze(1), W_r).squeeze(1)
       # 2. 对关系嵌入,头实体嵌入,尾实体嵌入,负采样的尾实体嵌入进行L2范数归一化
       r_embed = F.normalize(r_embed, p=2, dim=1)
       r_mul_h = F.normalize(r_mul_h, p=2, dim=1)
       r_mul_pos_t = F.normalize(r_mul_pos_t, p=2, dim=1)
       r_mul_neg_t = F.normalize(r_mul_neg_t, p=2, dim=1)
       # 3. 分别计算正样本三元组 (h_embed, r_embed, pos_t_embed) 和负样本三元组
(h_embed, r_embed, neg_t_embed) 的得分
       pos_score = torch.sum(torch.pow(r_mul_h + r_embed - r_mul_pos_t, 2),
dim=1
       neg_score = torch.sum(torch.pow(r_mul_h + r_embed - r_mul_neg_t, 2),
dim=1)
       # 4. 使用 BPR Loss 进行优化,尽可能使负样本的得分大于正样本的得分
       kg_loss = (float)(-1) * F.logsigmoid(neg_score - pos_score)
       kg_loss = torch.mean(kg_loss)
```

#### 注入图谱实体语义信息的方式

```
# 8. 为 物品嵌入 注入 实体嵌入的语义信息
item_pos_cf_embed = item_pos_embed+item_pos_kg_embed
item_neg_cf_embed = item_neg_embed+item_neg_kg_embed
```

```
# 9. 为 物品嵌入 注入 实体嵌入的语义信息
item_cf_embed = item_embed+item_kg_embed
```

### 实验结果

```
KG free
2023-12-22 19:26:03,927 - root - INFO - Best CF Evaluation: Epoch 0040 |
Precision [0.2966, 0.2532], Recall [0.0660, 0.1094], NDCG [0.3110, 0.2829]
2023-12-15 17:37:43,134 - root - INFO - Best CF Evaluation: Epoch 0030 |
Precision [0.2931, 0.2530], Recall [0.0650, 0.1106], NDCG [0.3105, 0.2842]
2023-12-15 17:54:13,153 - root - INFO - Best CF Evaluation: Epoch 0030 |
Precision [0.2805, 0.2517], Recall [0.0628, 0.1104], NDCG [0.2902, 0.2737]
拼接、TransE:
2023-12-15 18:20:40,686 - root - INFO - Best CF Evaluation: Epoch 0030 |
Precision [0.2931, 0.2532], Recall [0.0650, 0.1111], NDCG [0.3108, 0.2846]
相加、TransR:
2023-12-15 19:29:30,751 - root - INFO - Best CF Evaluation: Epoch 0040 |
Precision [0.2940, 0.2521], Recall [0.0633, 0.1100], NDCG [0.3097, 0.2816]
2023-12-15 19:14:03,306 - root - INFO - Best CF Evaluation: Epoch 0030 |
Precision [0.2832, 0.2503], Recall [0.0634, 0.1104], NDCG [0.2964, 0.2757]
拼接、TransR:
2023-12-15 18:35:14,409 - root - INFO - Best CF Evaluation: Epoch 0040
Precision [0.2944, 0.2526], Recall [0.0633, 0.1101], NDCG [0.3100, 0.2819]
```

	Recall@5	Recall@10	NDCG@5	NDCG@10
KG free	0.0660	0.1094	0.3110	0.2829
相加的注入实体信息 + TransE	0.0650	0.1106	0.3105	0.2842
相加的注入实体信息 + TransR	0.0633	0.1100	0.3097	0.2816
相乘的注入实体信息 + TransE	0.0628	0.1104	0.2902	0.2737
相乘的注入实体信息 + TransR	0.0634	0.1104	0.2964	0.2757
拼接的注入实体信息 + TransE	0.0650	0.1111	0.3108	0.2846
拼接的注入实体信息 + TransR	0.0633	0.1101	0.3100	0.2819

#### 结果分析

对于不同的注入信息方式,采用拼接的注入效果比相加、相乘的注入方式效果要好

对于TransE和TransR两种方法,在Recall指标上,TransE效果要优于TransR,在NDCG指标上,TranR效果要优于TransE

相较于KG free,采用图谱嵌入的方法对于NDCG指标有一定的提升,但是效果不算明显,分析原因可能因为:

#### 1. 知识图谱问题:

本次提取的实体可能与待检测信息的关联度不是很高,导致图谱嵌入的有效性降低

#### 2. 超参数调优问题:

• 可以适当调整学习率和训练轮数来获得更优的参数

#### 3. 未考虑上下文信息:

