

## How to go from partial to full retroactivity in detail

Cristina Gomes Fernandes, Felipe Castro de Noronha

IME-USP – Brazil

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1. Introduce yourself: Cristina Gomes Fernandes (IME-USP) and Felipe Castro de Noronha
2. State topic: going from partial to full retroactivity in detail
3. This work addresses a practical limitation in Demaine, Iacono & Langerman's 2007 transformation
4. Our contribution: same time complexity without requiring persistent data structures
5. Secondary contribution: implementation of semi-retroactive incremental MSF
6. Key insight: we can reuse existing data structures during rebuilding process

└ What is a spanning tree?

## What is a spanning tree?

- Let  $G = (V, E)$  be a connected graph
- **Spanning tree:** A tree with all vertices of  $G$

1. Start with basic concept of spanning tree - fundamental in graph theory
2. Show visual example with graph  $G$  (blue edges) and spanning tree  $T$  (red wavy edges)
3. Explain key properties: connected (path between any two vertices), acyclic (no cycles), contains exactly  $n-1$  edges for  $n$  vertices
4. In the example: 8 vertices, so spanning tree has exactly 7 edges
5. This builds up the concepts step by step for the incremental MSF problem
6. Emphasize that spanning trees are not unique - there can be many valid spanning trees

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## Partial to full retroactivity

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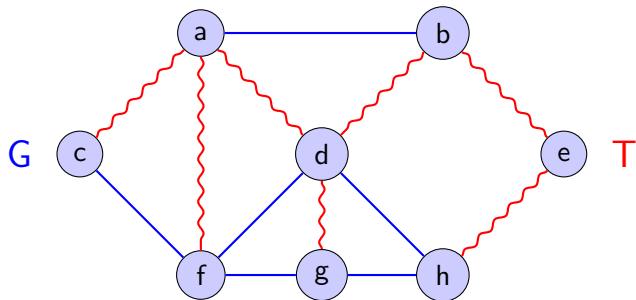
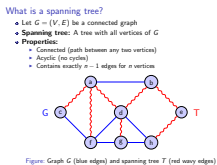


Figure: Graph  $G$  (blue edges) and spanning tree  $T$  (red wavy edges)

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└ Minimum Spanning Tree and Forest

# Minimum Spanning Tree and Forest

- **Minimum Spanning Tree (MST):** spanning tree with minimum total cost

1. Define MST as spanning tree with minimum total cost - optimization problem
2. Show visual example with weighted edges: blue edges show graph  $G$ , red wavy edges show MST
3. Demonstrate that red edges form MST with cost 14 ( $1+2+3+2+3+1+2 = 14$ )
4. Explain that any other spanning tree would have higher cost - this is the optimal solution
5. Generalize to MSF for disconnected graphs - collection of MSTs for each component
6. This prepares for the incremental MSF problem where we maintain optimality dynamically
7. Key insight: we need to maintain optimality as edges are added one by one

# Minimum Spanning Tree and Forest

- **Minimum Spanning Tree (MST):** spanning tree with minimum total cost
- **Minimum Spanning Forest (MSF):** generalization for disconnected graphs

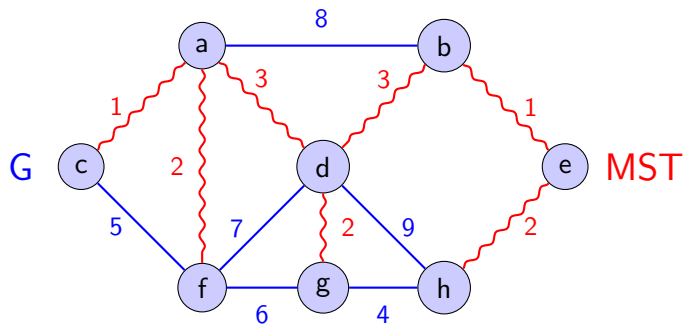
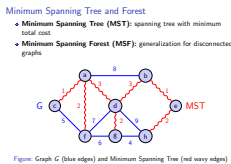


Figure: Graph G (blue edges) and Minimum Spanning Tree (red wavy edges)

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- **Problem:** Keep track of an MSF in a graph that grows over time
- Graph starts empty, edges are added one by one

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Incremental MSF problem

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1. Define incremental MSF problem clearly: maintain MSF as graph grows
2. Emphasize that graph starts empty and grows - this is crucial for our approach
3. Show the two key operations: `add_edge(u,v,w)` and `get_msf()`
4. Mention Frederickson's breakthrough solution from 1983 using link-cut trees
5. Note the cost is  $O(\log n)$  amortized per edge addition using link-cut trees
6. This is the foundation for retroactive version - we'll extend this to handle time
7. Key insight: we need to maintain MSF not just for current state, but for any time  $t$

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  - ▶ `add_edge( $u, v, w$ )`: add edge with cost  $w$  between vertices  $u$  and  $v$
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└ Frederickson's link-cut tree solution

# Frederickson's link-cut tree solution

- **Key insight:** Use link-cut trees to maintain MSF dynamically

1. Explain Frederickson's key insight: use link-cut trees to maintain MSF dynamically
2. Walk through the algorithm step by step:
  1. Check connectivity using link-cut trees *find\_root operations*
  2. If not connected: add edge directly *link operation*
  3. If connected: find max cost edge on u-v path *find\_max operation*
  4. If new edge cheaper: replace max edge *cut + link operations*
7. Show how cycle detection and edge replacement works using link-cut tree properties
8. List the specific link-cut tree operations: find\_max, link, cut - all  $O(\log n)$  amortized
9. Emphasize the logarithmic time complexity:  $O(\log n)$  per edge addition
10. Key insight: link-cut trees support efficient rollback, which we'll need for retroactivity

- ♦ **Key insight:** Use link-cut trees to maintain MSF dynamically
- ♦ **Algorithm for adding edge**  $(u, v, w)$ :
  - 1 Check if  $u$  and  $v$  are in same component
  - 2 If not: add edge to forest
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## Incremental MSF example - Step 1

- **add\_edge(g, h, 4):** Add edge with cost 4

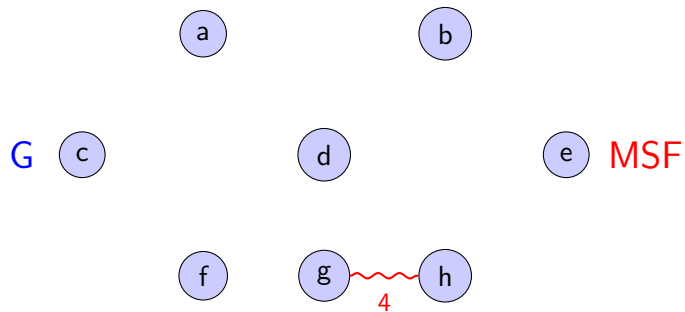
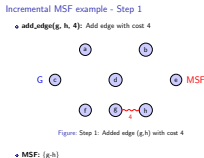


Figure: Step 1: Added edge (g,h) with cost 4

- **MSF:** {g-h}

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└ Incremental MSF example - Step 1



1. Show first edge being added: (g,h) with cost 4
2. Explain it's automatically added to MSF since no cycle exists yet
3. Current MSF: g-h with total cost 4
4. This demonstrates the incremental nature: we start with empty graph
5. Each step shows how MSF evolves as edges are added
6. Link-cut tree operations: link(g,h) -  $O(\log n)$  time

## Incremental MSF example - Step 2

- **add\_edge(c, a, 1):** Add edge with cost 1

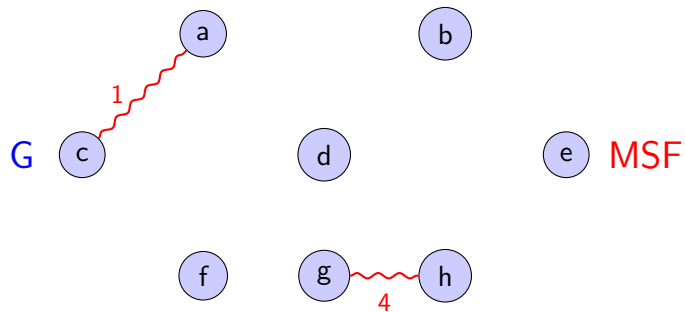
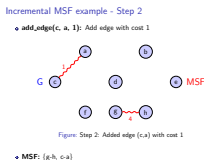


Figure: Step 2: Added edge (c,a) with cost 1

- **MSF:** {g-h, c-a}

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### Incremental MSF example - Step 2



1. Show second edge being added: (c,a) with cost 1
2. Still no cycle, so added to MSF directly
3. Current MSF: g-h, c-a with total cost 5
4. Link-cut tree operations: link(c,a) -  $O(\log n)$  time
5. We now have two separate components: g,h and c,a
6. This shows how MSF grows incrementally without cycles

## Incremental MSF example - Step 3

- **add\_edge(f, g, 6):** Add edge with cost 6

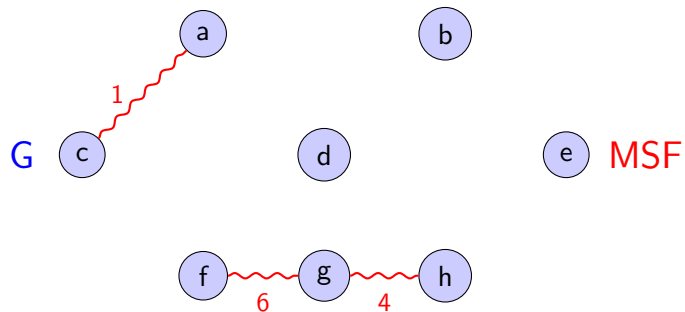


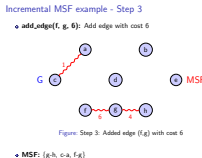
Figure: Step 3: Added edge (f,g) with cost 6

- **MSF:** {g-h, c-a, f-g}

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└ Incremental MSF example - Step 3



1. Show third edge being added: (f,g) with cost 6
2. Still no cycle, so added to MSF directly
3. Current MSF: g-h, c-a, f-g with total cost 11
4. Link-cut tree operations: link(f,g) -  $O(\log n)$  time
5. Now we have components: g,h,f and c,a
6. This continues the incremental growth pattern



## Incremental MSF example - Step 4

- **add\_edge(a, f, 2):** Add edge with cost 2

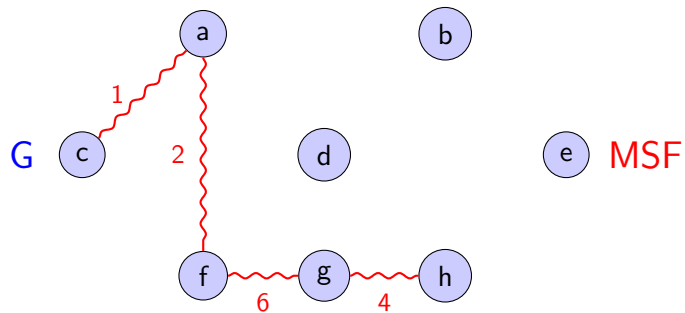


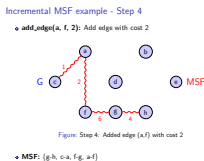
Figure: Step 4: Added edge (a,f) with cost 2

- **MSF:**  $\{g-h, c-a, f-g, a-f\}$

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└ Incremental MSF example - Step 4



1. Show fourth edge being added: (a,f) with cost 2
2. Still no cycle, so added to MSF directly
3. Current MSF: g-h, c-a, f-g, a-f with total cost 13
4. Link-cut tree operations:  $\text{link}(a,f) - O(\log n)$  time
5. Now we have components: g,h,f,a,c - all vertices connected!
6. This shows how components merge as edges are added

## Incremental MSF example - Step 5

- **add\_edge(c, f, 5):** Add edge with cost 5

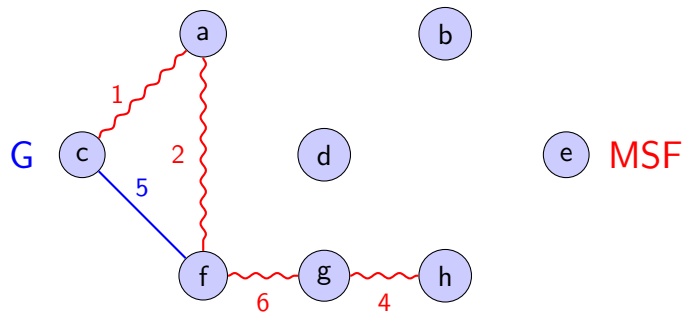


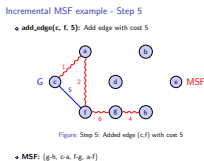
Figure: Step 5: Added edge (c,f) with cost 5

- **MSF:** {g-h, c-a, f-g, a-f}

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└ Incremental MSF example - Step 5



1. Show fifth edge being added:  $c, f$  with cost 5
2. This creates a cycle!  $c-a-f-g-h-c$  forms a cycle
3. Link-cut tree operations:  $\text{find\_max}_{c, f}$  finds edge  $f, g$  with cost 6
4. Since new edge cost  $5 < \text{max cost } 6$ , we replace  $f, g$  with  $c, f$
5. Current MSF: {g-h, c-a, c-f, a-f} with total cost 12 (improved!)
6. This demonstrates the cycle-breaking optimization in Frederickson's algorithm
7. Key insight: we maintain optimality by replacing expensive edges with cheaper ones

## Incremental MSF example - Step 6

- **add\_edge(f, d, 7):** Add edge with cost 7

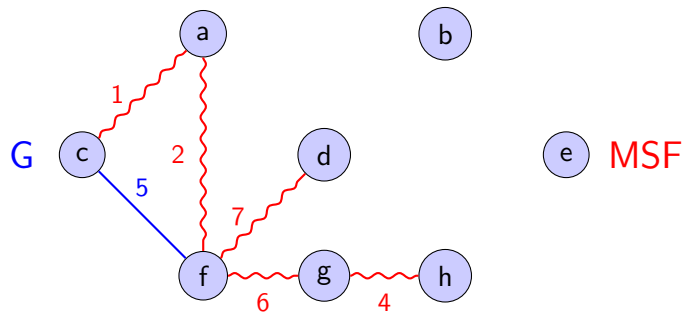


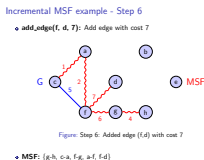
Figure: Step 6: Added edge (f,d) with cost 7

- **MSF:** {g-h, c-a, f-g, a-f, f-d}

## Partial to full retroactivity

### Incremental MSF example - Step 6

1. Show sixth edge being added:  $f, d$  with cost 7
2. This creates a cycle!  $f-d-g-h-f$  forms a cycle
3. Link-cut tree operations:  $\text{find\_max}_{f,d}$  finds edge  $g, h$  with cost 4
4. Since new edge cost 7  $\geq$  max cost 4, we don't replace - edge is rejected
5. Current MSF: {g-h, c-a, c-f, a-f} with total cost 12 *unchanged*
6. This shows how expensive edges are rejected to maintain optimality
7. Key insight: not all edges improve the MSF - we only keep beneficial ones



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## Incremental MSF example - Step 7

- **add\_edge(a, d, 3):** Add edge with cost 3

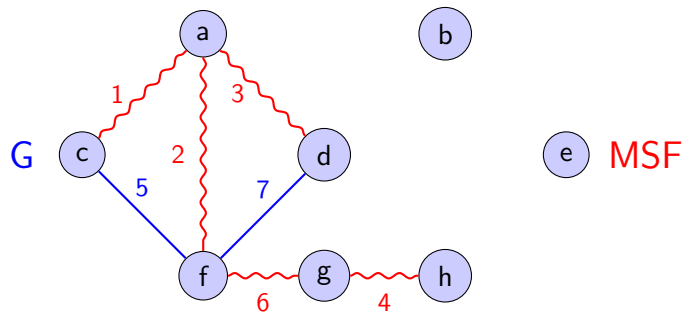
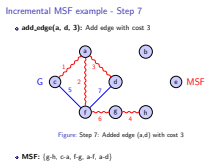


Figure: Step 7: Added edge (a,d) with cost 3

- **MSF:** {g-h, c-a, f-g, a-f, a-d}

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└ Incremental MSF example - Step 7



1. Show seventh edge being added:  $a, d$  with cost 3
2. This creates a cycle!  $a-d-f-c-a$  forms a cycle
3. Link-cut tree operations:  $\text{find\_max}_{a,d}$  finds edge  $c, f$  with cost 5
4. Since new edge cost 3  $\leq$  max cost 5, we replace  $c, f$  with  $a, d$
5. Current MSF: {g-h, c-a, a-d, a-f} with total cost 10 *improved!*
6. This shows continued optimization as better edges are found
7. Key insight: the algorithm continuously improves the MSF as new edges arrive

## Incremental MSF example - Step 8

- **add\_edge(d, g, 2):** Add edge with cost 2

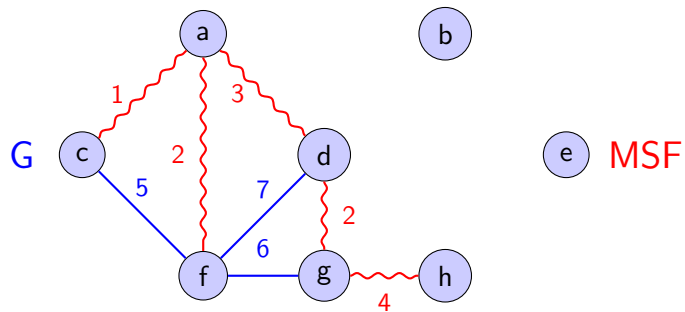


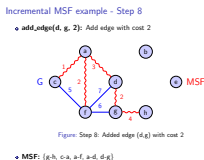
Figure: Step 8: Added edge (d,g) with cost 2

- **MSF:** {g-h, c-a, a-f, a-d, d-g}

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└ Incremental MSF example - Step 8



1. Show eighth edge being added:  $d, g$  with cost 2
2. This creates a cycle!  $d-g-h-f-a-d$  forms a cycle
3. Link-cut tree operations:  $\text{find\_max}_{d,g}$  finds edge  $g, h$  with cost 4
4. Since new edge cost 2  $\leq$  max cost 4, we replace  $g, h$  with  $d, g$
5. Current MSF: {d-g, c-a, a-f, a-d, d-g} with total cost 8 *improved!*
6. This shows the final optimization step
7. Key insight: the algorithm finds the optimal MSF through incremental improvements
8. Total cost reduced from 14 to 8 through smart edge replacements

## └ Incremental MSF example - Final Result

- Continue adding edges...

1. Show final complete MSF with optimal cost = 12
2. Summarize the incremental process: started empty, added edges one by one
3. Transition to Frederickson's solution:  $O(\log n)$  amortized per edge addition
4. Key insight: link-cut trees enable efficient cycle detection and edge replacement
5. This sets up the retroactive version: what if we want to query MSF at any time  $t$ ?
6. The challenge: maintain MSF not just for current state, but for any historical time
7. This motivates the need for retroactive data structures

## Incremental MSF example - Final Result

- **Continue adding edges...**
- **Final MSF:** Minimum spanning forest with optimal cost

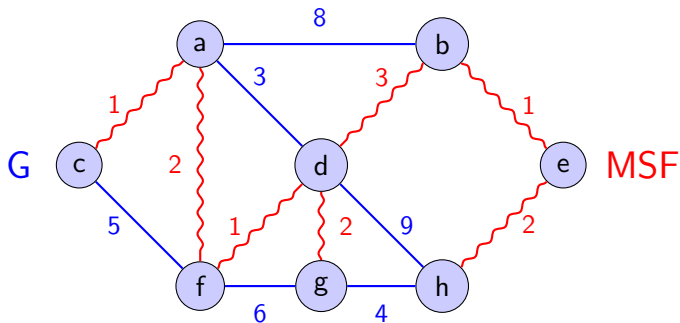
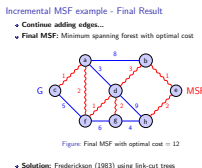


Figure: Final MSF with optimal cost = 12

- **Solution:** Frederickson (1983) using link-cut trees

Partial to full retroactivity

└ Incremental MSF example - Final Result



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# What is retroactivity?

- **Problem:** Data structures usually support updates and queries
- Order of updates affects the state of the data structure

## Partial to full retroactivity

└─What is retroactivity?

1. Start with the fundamental problem: data structures depend on update order
2. Explain the motivation: correcting mistakes, adding forgotten operations
3. Show the three key operations: insert, remove, query at any time
4. Make it clear that query at any time is crucial for full retroactivity
5. Emphasize that time stamps must be distinct - this is important for correctness
6. Give concrete example: MSF at time  $t = 5$  vs MSF at time  $t = 10$
7. This sets up the distinction between partial and full retroactivity
8. Key insight: we need to maintain state at every possible time, not just current



# What is retroactivity?

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  - ▶ Insert update at time  $t$  (possibly in the past)
  - ▶ Remove update at time  $t$
  - ▶ Query at time  $t$  (not just present)

## Partial to full retroactivity

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# Partial vs Full retroactivity

## Partially Retroactive

- Queries only on **current** state
- Insert/remove updates at any time
- Example: Dynamic MSF  $\rightarrow$  Partially retroactive MSF

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## Partial to full retroactivity

└ Partial vs Full retroactivity

Partial vs Full retroactivity

Partially Retroactive

- Queries only on **current** state
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- Example: Dynamic MSF  $\rightarrow$  Partially retroactive MSF

1. Clearly distinguish between partial, full, and semi-retroactivity
2. Emphasize that partial only allows queries on current state - this is the limitation
3. Show that full allows queries at any time - much more powerful and useful
4. Define semi-retroactive: queries at any time, insertions, but no removals
5. Give concrete example: dynamic MSF becomes partially retroactive MSF
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## Semi-Retroactive

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# The challenge

## Challenge

How to transform partial  $\rightarrow$  full retroactivity?

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Partial to full retroactivity

└ The challenge

The challenge

Challenge

How to transform partial  $\rightarrow$  full retroactivity?

1. State the main challenge clearly: partial to full retroactivity
2. Explain what we need to achieve: queries at any time  $t$
3. Introduce the solution approach: square-root decomposition
4. Mention the key insight about checkpoints
5. Reference the Demaine et al. work from 2007
6. This motivates the detailed solution in the next slide
7. Key insight: we need to maintain multiple versions of the data structure
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How to transform partial  $\rightarrow$  full retroactivity?

- **Problem:** Need to support queries at any time  $t$
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- **Implementation:** Demaine, Iacono & Langerman (2007)

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- $O(\sqrt{m})$  slowdown per operation
- $O(m)$  space usage
- **Requirement:** Need persistent version of the data structure

## Partial to full retroactivity

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└ Demaine, Iacono &amp; Langerman's solution

## Demaine, Iacono &amp; Langerman's solution

## Theorem (Theorem 05)

*Any partially retroactive data structure can be transformed into a fully retroactive one with:*

- $O(\sqrt{m})$  slowdown per operation
- $O(m)$  space usage
- **Requirement:** Need persistent version of the data structure

1. State Theorem 05 from Demaine, Iacono and Langerman 2007
2. Emphasize the persistent data structure requirement, this is the key limitation
3. Explain square-root decomposition concept: break timeline into  $\sqrt{m}$  blocks
4. Show how queries work: find checkpoint, apply updates, rollback
5. Time complexity:  $O(\sqrt{m})$  slowdown per operation
6. Space complexity:  $O(m)$  using persistent data structures
7. Set up the problem: what if we don't have persistent version?
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- **Naive approach:** Keep  $\sqrt{m}$  independent copies
- Space usage:  $\Theta(m\sqrt{m})$

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Partial to full retroactivity

└ The space problem

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1. Explain the space issue with naive approach:  $\Theta(m\sqrt{m})$  space
2. Show how Demaine et al. solve it with persistent data structures:  $O(m)$  space
3. State the practical problem: persistent versions are complex to implement
4. Present our key contribution: same performance without persistence
5. Emphasize the space trade-off we make:  $\Theta(m\sqrt{m})$  vs  $O(m)$
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What if we don't have or don't want to use persistent data structures?

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Simple rebuilding strategy without persistent data structures

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- **Junior & Seabra's solution:** Semi-retroactive incremental MSF
- **Operations:**
  - ▶ `add_edge( $u, v, w, t$ )`: add edge at time  $t$
  - ▶ `get_msf( $t$ )`: get MSF at time  $t$

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## Partial to full retroactivity

└ Starting point

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2. Explain their semi-retroactive MSF problem: add edge at time  $t$ , query at time  $t$
3. Show their operations: `add_edge $u, v, w, t$`  and `get_msf $t$`
4. Describe their square-root decomposition approach:  $\sqrt{m}$  checkpoints
5. Show how they use checkpoints:  $t_i = i\sqrt{m}$  for  $i = 1, \dots, \sqrt{m}$
6. Data structures:  $D_i$  contains edges before time  $t_i$
7. Time complexity:  $O(\sqrt{m} \log n)$  per operation
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# Limitations

## Problems with their approach

- **Fixed  $m$ :** Must know sequence length beforehand
- **Fixed time range:** Operations must have timestamps 1 to  $m$
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Partial to full retroactivity

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1. Clearly list their three main limitations
2. Emphasize that fixed  $m$  and time range are serious restrictions
3. State our goal: remove these limitations while maintaining efficiency
4. Present our key insight: implement rebuilding process
5. Explain the challenge: how to rebuild without persistent structures
6. This motivates our solution in the next slide
7. Key insight: we need to handle arbitrary growth without knowing  $m$  beforehand
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## Problems with their approach

- **Fixed  $m$ :** Must know sequence length beforehand
- **Fixed time range:** Operations must have timestamps 1 to  $m$
- **No rebuilding:** Cannot handle arbitrary growth

## Our goal

Remove these limitations while maintaining efficiency

- **Key insight:** Implement rebuilding process
- **Challenge:** How to rebuild without persistent data structures?
- **Solution:** Reuse existing data structures during rebuilding

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Partial to full retroactivity

└ Limitations

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Remove these limitations while maintaining efficiency

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1. Clearly list their three main limitations
2. Emphasize that fixed  $m$  and time range are serious restrictions
3. State our goal: remove these limitations while maintaining efficiency
4. Present our key insight: implement rebuilding process
5. Explain the challenge: how to rebuild without persistent structures
6. This motivates our solution in the next slide
7. Key insight: we need to handle arbitrary growth without knowing  $m$  beforehand
8. Our approach: rebuild when  $m$  becomes a perfect square

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## Partial to full retroactivity

## └ Our solution - Rebuilding strategy

## Our solution - Rebuilding strategy

- **Key idea:** Reuse existing data structures during rebuilding
- **Rebuilding moments:** When  $m = k^2$  (perfect square)

1. Explain our key insight: reuse existing data structures
2. Show rebuilding moments: when  $m$  is a perfect square ( $m = k^2$ )
3. Walk through the three-step strategy:
  1. Create new empty structures  $D'_0, D'_1$
  2. Reuse  $D_i$  to  $D'_{i+2}$  for  $i = 0, \dots, k-1$
  3. Apply missing updates to each  $D'_i$
7. Present the key lemma: every update in  $D_i$  is within first  $(i+2)(k+1)$  updates
8. Analyze time complexity:  $O(m \log n)$  total,  $O(\sqrt{m} \log n)$  amortized
9. This sets up the detailed algorithm in the next slide
10. Key insight: we can reuse most of the work from previous structures
11. The offset  $(i+2)$  is crucial for correctness

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- 2  $D'_1 \leftarrow \text{NEWINCREMENTALMSF}()$
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- 4 For  $i = 1$  to  $k + 1$ :
  - ▶  $p \leftarrow \text{KTH}(S, i(k + 1))$
  - ▶  $t'_i \leftarrow p.\text{time}$
  - ▶  $\text{ADDEDGES}(S, t_{i-2}, t'_i, D'_i)$  ▷  $i(k + 1)$ th edge
- 5 Return  $k + 1, D', t'$

## Partial to full retroactivity

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### └ Rebuilding algorithm

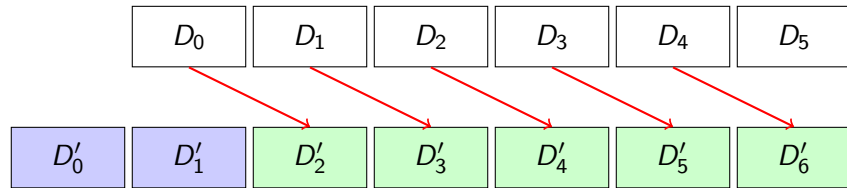
```
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7. Space complexity:  $\Theta(m\sqrt{m})$  - this is our trade-off
8. This leads to our results in the next slide
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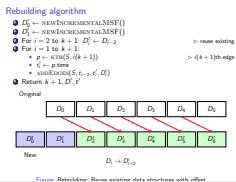


New

$$D_i \rightarrow D'_{i+2}$$

## Partial to full retroactivity

└ Rebuilding algorithm



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## Our contribution

- **General transformation:** Partial  $\rightarrow$  Full retroactivity
- **No persistent data structures needed**
- **Same time complexity:**  $\mathcal{O}(\sqrt{m})$  per operation
- **Space trade-off:**  $\Theta(m\sqrt{m})$  vs  $\mathcal{O}(m)$

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Partial to full retroactivity

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1. Summarize our main theoretical contribution
2. Emphasize that we don't need persistent data structures - this is the key advantage
3. Show we achieve the same time complexity as Demaine et al.:  $\mathcal{O}(\sqrt{m})$  per operation
4. Present our MSF implementation results:  $\mathcal{O}(\sqrt{m} \log n)$  per operation
5. Highlight that we removed the fixed  $m$  and time range restrictions
6. This demonstrates the practical value of our approach
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## Semi-retroactive MSF implementation

- **Operations:** `add_edge(u, v, w, t)`, `get_msf(t)`
- **Time:**  $\mathcal{O}(\sqrt{m} \log n)$  per operation
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## Extending for full retroactivity

- **General applicability:** Works for any partially retroactive data structure

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## Partial to full retroactivity

- Extending for full retroactivity

1. Emphasize the general applicability of our approach
2. Explain how to extend for full retroactivity with removals
3. Show the adapted rebuilding trigger condition
4. Explain how to handle both insertions and removals
5. List the requirements: partially retroactive, rollback capability
6. This shows how our approach can be extended for full functionality
7. Key insight: our method works for any partially retroactive data structure
8. The rebuilding frequency changes but the core idea remains the same
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# Extending for full retroactivity

- **General applicability:** Works for any partially retroactive data structure
- **Supporting removals:** To achieve full retroactivity
  - ▶ Adapt rebuilding trigger: when  $|\lfloor \sqrt{m'} \rfloor - \lfloor \sqrt{m} \rfloor| \leq 1$
  - ▶ Handle both insertions and removals in update sequence
  - ▶ Rebuilding frequency: every  $2\lfloor \sqrt{m} \rfloor - 1$  operations

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# Thank you!

# Questions?

1. Invite questions from the audience
2. Be prepared to answer questions about:
  3. \* The rebuilding algorithm details
  4. \* Space vs time trade-offs
  5. \* Implementation challenges
  6. \* Comparison with persistent data structures
  7. \* Applications beyond MSF
8. Key points to emphasize if asked:
  9. \* Our approach is simpler to implement
  10. \* Same time complexity as Demaine et al.
  11. \* No persistent data structure requirement
  12. \* General applicability to any partially retroactive structure
13. Thank the audience for their attention