

How to go from partial to full retroactivity in detail

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IME-USP – Brazil

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1. Hello there everybody, my name is Felipe Noronha and today I'm gonna gonna be doing a quick presentation about the paper professor Cristina and I did at the IME of USP
2. with the topic being: going from partial to full retroactivity in detail
3. This work addresses a practical limitation in Demaine, Iacono & Langerman's 2007 transformation
4. And also iterates over Junior & Seabra's solution from 2022
5. Our contribution shows how to go from partial to full retroactivity with same time complexity without requiring persistent data structures
6. As our main focus of study we had the minimum spanning forest problem, and that's what we are going to start with today

└─What is a spanning tree?

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- Let $G = (V, E)$ be a connected graph
- **Spanning tree:** A tree with all vertices of G

1. Start with basic concept of spanning tree - fundamental in graph theory
2. ——— SKIP SLIDE ———
3. Explain key properties: connected (path between any two vertices), acyclic (no cycles), contains exactly $n-1$ edges for n vertices
4. ——— SKIP SLIDE ———
5. Show visual example with graph G (blue edges) and spanning tree T (red wavy edges)
6. In the example: 8 vertices, so spanning tree has exactly 7 edges
7. This builds up the concepts step by step for the incremental MSF problem
8. Emphasize that spanning trees are not unique - there can be many valid spanning trees

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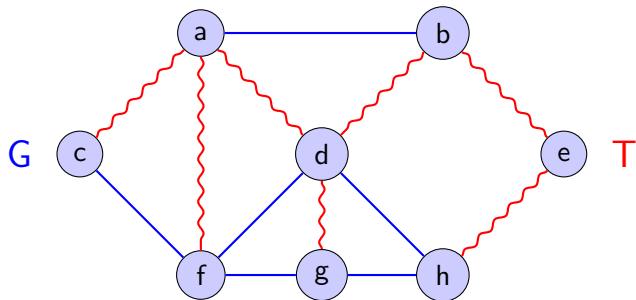
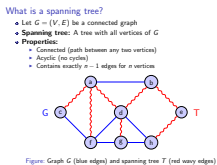


Figure: Graph G (blue edges) and spanning tree T (red wavy edges)

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└ Minimum Spanning Tree and Forest

Minimum Spanning Tree and Forest

- **Minimum Spanning Tree (MST):** spanning tree in a weighted graph with minimum total cost

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2. ——— SKIP SLIDE ———
3. Generalize to MSF for disconnected graphs - collection of MSTs for each component
4. ——— SKIP SLIDE ———
5. Show visual example with weighted edges: blue edges show graph G , red wavy edges show MST
6. Demonstrate that red edges form MST with cost 14 ($1+2+3+2+3+1+2 = 14$)
7. Explain that any other spanning tree would have higher cost - this is the optimal solution
8. This prepares for the incremental MSF problem where we maintain optimality dynamically
9. Key insight: we need to maintain optimality as edges are added one by one

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- **Minimum Spanning Tree (MST)**: spanning tree in a weighted graph with minimum total cost
- **Minimum Spanning Forest (MSF)**: generalization for disconnected graphs

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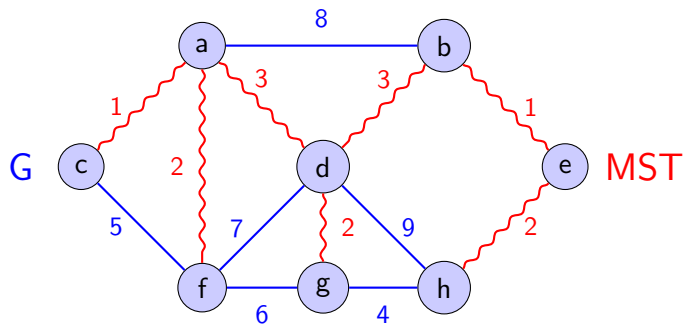
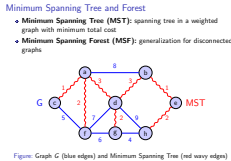


Figure: Graph G (blue edges) and Minimum Spanning Tree (red wavy edges)

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Incremental MSF problem

- **Problem:** Keep track of an MSF in a graph that grows over time
- Graph starts empty, edges are added one by one

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1. Define incremental MSF problem clearly: maintain MSF as graph grows
2. Emphasize that graph starts empty and grows - this is crucial for our approach
3. ——— SKIP SLIDE ———
4. Show the two key operations: `add_edge(u,v,w)` and `get_msf()`
5. ——— SKIP SLIDE ———
6. Mention Frederickson's breakthrough solution from 1983 using link-cut trees
7. Note the cost is $O(\log n)$ amortized per edge addition using link-cut trees
8. This is the foundation for retroactive version - we'll extend this to handle time
9. Key insight: we need to maintain MSF not just for current state, but for any time t

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└ Frederickson's link-cut tree solution

- **Key insight:** Use link-cut trees to maintain MSF dynamically

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3. List the specific link-cut tree operations: `find_max`, `link`, `cut` - all $O(\log n)$ amortized
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5. 1. Check connectivity using link-cut trees *find_root operations*
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- **Algorithm for adding edge (u, v, w) :**

- 1 Check if u and v are in same component
- 2 If not: add edge to forest
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Incremental MSF example - Step 1

- **add_edge(g, h, 4):** Add edge with cost 4

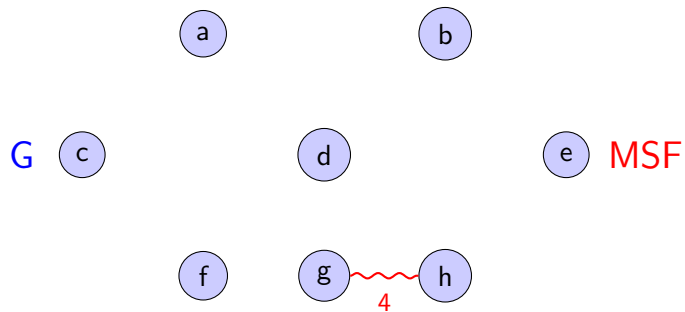


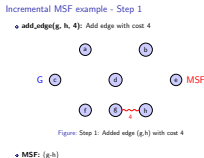
Figure: Step 1: Added edge (g,h) with cost 4

- **MSF:** {g-h}

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└ Incremental MSF example - Step 1



1. Show first edge being added: (g,h) with cost 4
2. Explain it's automatically added to MSF since no cycle exists yet
3. Current MSF: g-h with total cost 4
4. This demonstrates the incremental nature: we start with empty graph
5. Each step shows how MSF evolves as edges are added
6. Link-cut tree operations: link(g,h) - $O(\log n)$ time

Incremental MSF example - Step 2

- **add_edge(c, a, 1):** Add edge with cost 1

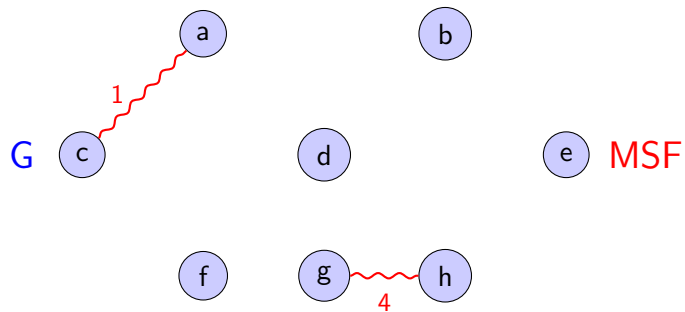


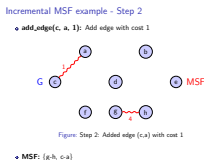
Figure: Step 2: Added edge (c,a) with cost 1

- **MSF:** {g-h, c-a}

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└ Incremental MSF example - Step 2



1. Show second edge being added: (c,a) with cost 1
2. Still no cycle, so added to MSF directly
3. Current MSF: g-h, c-a with total cost 5
4. Link-cut tree operations: link(c,a) - $O(\log n)$ time
5. We now have two separate components: g,h and c,a
6. This shows how MSF grows incrementally without cycles

Incremental MSF example - Step 3

- **add_edge(f, g, 6):** Add edge with cost 6

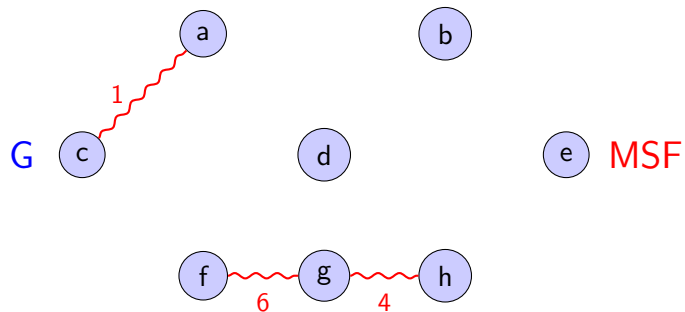


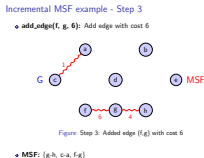
Figure: Step 3: Added edge (f,g) with cost 6

- **MSF:** {g-h, c-a, f-g}

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└ Incremental MSF example - Step 3



1. Show third edge being added: (f,g) with cost 6
2. Still no cycle, so added to MSF directly
3. Current MSF: g-h, c-a, f-g with total cost 11
4. Link-cut tree operations: link(f,g) - $O(\log n)$ time
5. Now we have components: g,h,f and c,a
6. This continues the incremental growth pattern

Incremental MSF example - Step 4

- **add_edge(a, f, 2):** Add edge with cost 2

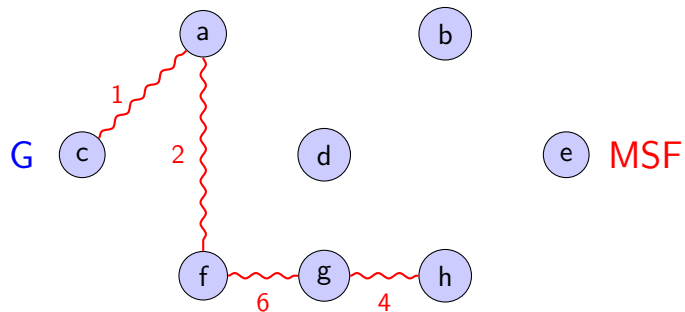


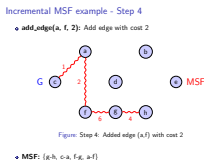
Figure: Step 4: Added edge (a,f) with cost 2

- **MSF:** $\{g-h, c-a, f-g, a-f\}$

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└ Incremental MSF example - Step 4



1. Show fourth edge being added: (a,f) with cost 2
2. Still no cycle, so added to MSF directly
3. Current MSF: g-h, c-a, f-g, a-f with total cost 13
4. Link-cut tree operations: $\text{link}(a,f) - O(\log n)$ time
5. Now we have component: g,h,f,a,c - all vertices connected!
6. This shows how components merge as edges are added

Incremental MSF example - Step 5

- **add_edge(c, f, 5):** Add edge with cost 5

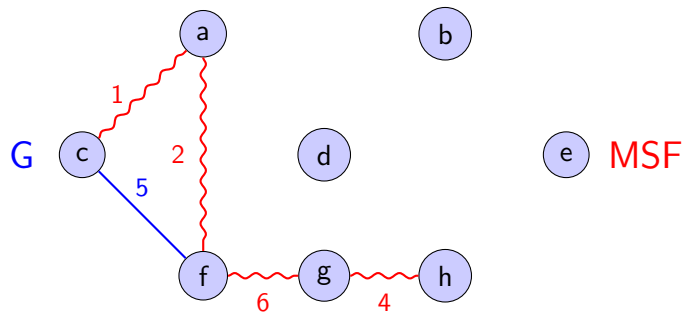


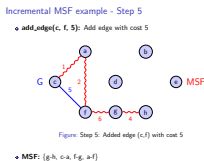
Figure: Step 5: Added edge (c,f) with cost 5

- **MSF:** {g-h, c-a, f-g, a-f}

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└ Incremental MSF example - Step 5



1. Show fifth edge being added: c, f with cost 5
2. This creates a cycle! $c-a-f-g-h-c$ forms a cycle
3. Link-cut tree operations: $\text{find_max}(c, f)$ finds edge a, f with cost 2
4. Since new edge cost 5, which is greater than 2, it's not added to the MSF
5. Current MSF: g-h, c-a, f-g, a-f with total cost 13
6. This demonstrates the cycle-breaking optimization in Frederickson's algorithm
7. Key insight: we maintain optimality by replacing expensive edges with cheaper ones

Incremental MSF example - Step 6

- **add_edge(f, d, 7):** Add edge with cost 7

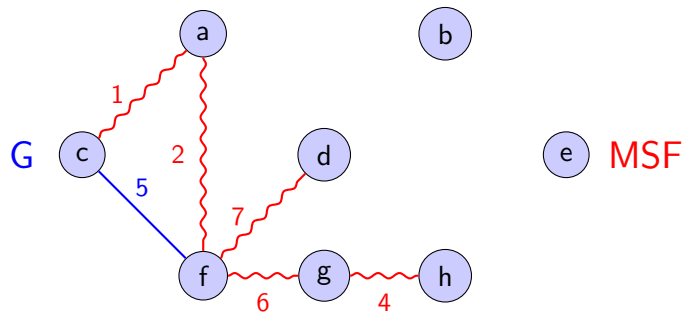


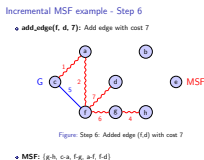
Figure: Step 6: Added edge (f,d) with cost 7

- **MSF:** {g-h, c-a, f-g, a-f, f-d}

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└ Incremental MSF example - Step 6



1. Show sixth edge being added: f, d with cost 7
2. This creates a cycle! $f-d-g-h-f$ forms a cycle
3. Link-cut tree operations: $\text{find_max}(f,d)$ no cycle returned
4. Edge is added to the MSF
5. Current MSF: g-h, c-a, f-g, a-f, f-d with total cost 20

Incremental MSF example - Step 7

- **add_edge(a, d, 3):** Add edge with cost 3

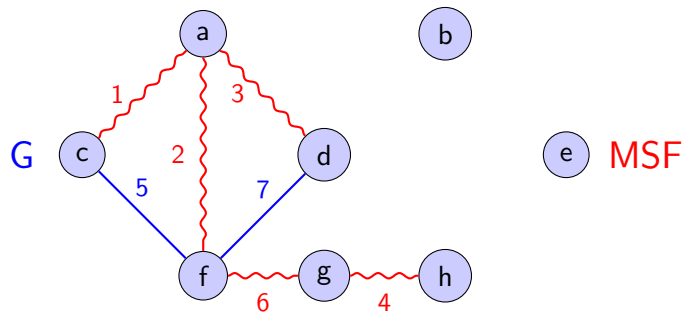


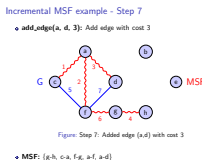
Figure: Step 7: Added edge (a,d) with cost 3

- **MSF:** {g-h, c-a, f-g, a-f, a-d}

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└ Incremental MSF example - Step 7



1. Show seventh edge being added: a, d with cost 3
2. This creates a cycle! $a-d-f-a$ forms a cycle
3. Link-cut tree operations: `find_max(a,d)` finds edge f, d with cost 7
4. Since new edge cost 3 \leq max cost 7, we replace (f,d) with (a,d)
5. Current MSF: $g-h, c-a, f-g, a-f, a-d$ with total cost 16 *improved!*
6. This shows continued optimization as better edges are found
7. Key insight: the algorithm continuously improves the MSF as new edges arrive

Incremental MSF example - Step 8

- **add_edge(d, g, 2):** Add edge with cost 2

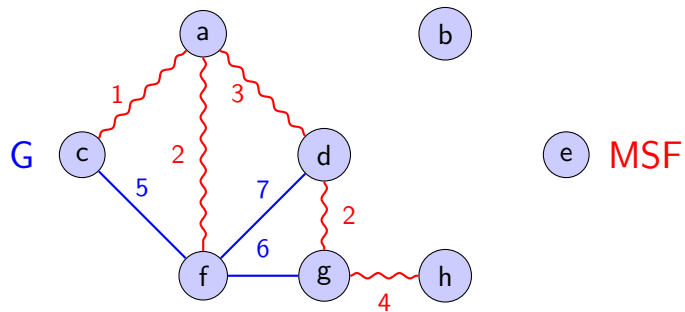


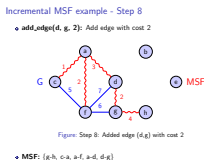
Figure: Step 8: Added edge (d,g) with cost 2

- **MSF:** {g-h, c-a, a-f, a-d, d-g}

Partial to full retroactivity

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└ Incremental MSF example - Step 8



1. Show eighth edge being added: d, g with cost 2
2. This creates a cycle! $d-g-f-a-d$ forms a cycle
3. Link-cut tree operations: `find_max(d,g)` finds edge (f,g) with cost 6
4. Since new edge cost 2 \leq max cost 6, we replace (f,g) with (d,g)
5. Current MSF: {g-h, c-a, a-f, a-d, d-g} with total cost 12 *improved!*
6. This shows the final optimization step
7. Key insight: the algorithm finds the optimal MSF through incremental improvements
8. Total cost reduced from 14 to 8 through smart edge replacements

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Partial to full retroactivity

└ Incremental MSF example - Final Result

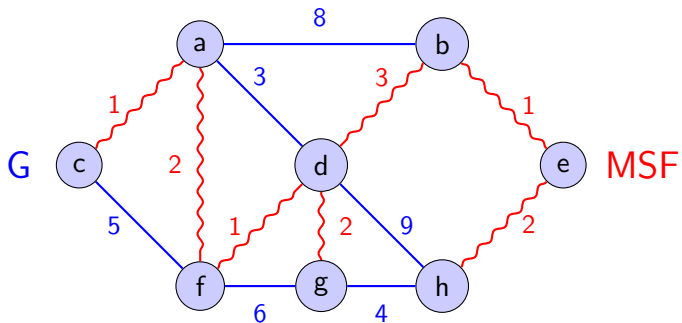
Incremental MSF example - Final Result

- Continue adding edges...

1. If we continue adding edges and applying this algorithm
2. ——— SKIP SLIDE ———
3. Show final complete MSF with optimal cost = 12
4. Summarize the incremental process: started empty, added edges one by one
5. Transition to Frederickson's solution: $O(\log n)$ amortized per edge addition
6. Key insight: link-cut trees enable efficient cycle detection and edge replacement
7. This sets up the retroactive version: what if we want to query MSF at any time t ?
8. The challenge: maintain MSF not just for current state, but for any historical time
9. This motivates the need for retroactive data structures

Incremental MSF example - Final Result

- Continue adding edges...
- **Final MSF:** Minimum spanning forest with optimal cost

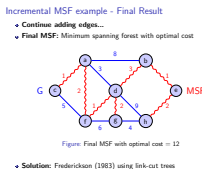


- **Solution:** Frederickson (1983) using link-cut trees

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Partial to full retroactivity

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What is retroactivity?

- **Problem:** Data structures usually support updates and queries
- The order of updates affects the state of the data structure

Partial to full retroactivity

└─What is retroactivity?

1. Data structures usually support queries, and generally, the order in which the updates are done will affect the result of the queries
2. Because of this, we don't always have a proper way of correcting mistakes or adding forgotten operations
3. ——— SKIP SLIDE ———
4. That where retroactive comes to play, to allow us to manipulate the sequence of updates, while also allowing for queries at any moment in time
5. ——— SKIP SLIDE ———
6. Show the three key operations: insert, remove, query at any time
7. Emphasize that time stamps must be distinct - this is important for correctness
8. This sets up the distinction between partial and full retroactivity
9. Key insight: we need to maintain state at every possible time, not just current

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Partial vs Full retroactivity

Fully Retroactive

- Queries at **any** time t
- Insert/remove updates at any time

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Partial to full retroactivity

└ Partial vs Full retroactivity

Partial vs Full retroactivity

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1. We also have different flavors of retroactivity, namely partial and semi-retroactivity
2. With full retroactivity, we have the operations we just showed
3. ——— SKIP SLIDE ———
4. While partial retroactivity only allows queries on current state - this is the limitation
5. ——— SKIP SLIDE ———
6. Define semi-retroactive: queries at any time, insertions, but no removals
7. Generally, partial retroactive structures are simpler to implement, and with that, an interesting challenge arises

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The challenge

Challenge

How to transform partial \rightarrow full retroactivity?

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Partial to full retroactivity

└ The challenge

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5. Introduce the solution approach: square-root decomposition
6. Mention the key insight about checkpoints
7. Reference the Demaine et al. work from 2007
8. This motivates the detailed solution in the next slide
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- $O(\sqrt{m})$ slowdown per operation
- $O(m)$ space usage
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Partial to full retroactivity

└ Demaine, Iacono & Langerman's solution

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Theorem (Theorem 05)

Any partially retroactive data structure can be transformed into a fully retroactive one with:

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- **Requirement:** Need persistent version of the data structure

1. State Theorem 05 from Demaine, Iacono and Langerman 2007
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3. ——— SKIP SLIDE ———
4. Explain square-root decomposition concept: break timeline into \sqrt{m} blocks
5. ——— SKIP SLIDE ———
6. Show how queries work: find checkpoint, apply updates, rollback
7. Time complexity: $O(\sqrt{m})$ slowdown per operation
8. Space complexity: $O(m)$ using persistent data structures
9. Set up the problem: what if we don't have persistent version?
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- **Naive approach:** Keep \sqrt{m} independent copies
- Space usage: $\Theta(m\sqrt{m})$

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Partial to full retroactivity

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3. Show how Demaine et al. solve it with persistent data structures: $O(m)$ space
4. A persistent data structure is a data structure that always preserves the previous version of itself when it is modified, so you can query at any time t but only update the present
5. ——— SKIP SLIDE ———
6. State the practical problem: persistent versions are complex to implement
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8. Present our key contribution: same performance without persistence
9. Emphasize the space trade-off we make: $\Theta(m\sqrt{m})$ vs $O(m)$
10. This motivates our improved rebuilding approach that use independent copies

The space problem

- **Naive approach:** Keep \sqrt{m} independent copies
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Simple rebuilding strategy without persistent data structures

- Same time complexity: $\mathcal{O}(\sqrt{m})$ per operation
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- **Junior & Seabra's solution:** Semi-retroactive incremental MSF

- **Operations:**

- ▶ `add_edge(u, v, w, t)`: add edge at time t
- ▶ `get_msf(t)`: get MSF at time t

Partial to full retroactivity

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5. Describe their square-root decomposition approach: \sqrt{m} checkpoints
6. Show how they use checkpoints: $t_i = i\sqrt{m}$ for $i = 1, \dots, \sqrt{m}$
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Problems with their approach

- **Fixed m :** Must know sequence length beforehand
- **Fixed time range:** Operations must have timestamps 1 to m
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Partial to full retroactivity

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1. Clearly list their three main limitations
2. Emphasize that fixed m and time range are serious restrictions
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6. Present our key insight: implement rebuilding process
7. Explain the challenge: how to rebuild without persistent structures
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Our goal

Remove these limitations while maintaining efficiency

- **Key insight:** Implement rebuilding process
- **Challenge:** How to rebuild without persistent data structures?
- **Solution:** Reuse existing data structures during rebuilding

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Partial to full retroactivity

Limitations

1. Clearly list their three main limitations
2. Emphasize that fixed m and time range are serious restrictions
3. ——— SKIP SLIDE ———
4. State our goal: remove these limitations while maintaining efficiency
5. ——— SKIP SLIDE ———
6. Present our key insight: implement rebuilding process
7. Explain the challenge: how to rebuild without persistent structures
8. This motivates our solution in the next slide
9. Key insight: we need to handle arbitrary growth without knowing m beforehand
10. Our approach: rebuild when m becomes a perfect square

Limitations

Problems with their approach

- **Fixed m :** Must know sequence length beforehand
- **Fixed time range:** Operations must have timestamps 1 to m
- **No rebuilding:** Cannot handle arbitrary growth

Our goal

Remove these limitations while maintaining efficiency

- **Key insight:** Implement rebuilding process
- **Challenge:** How to rebuild without persistent data structures?
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Partial to full retroactivity

└ Our solution - Rebuilding strategy

- **Key idea:** Reuse existing data structures during rebuilding
- **Rebuilding moments:** When $m = k^2$ (perfect square)

1. Explain our key insight: reuse existing data structures
2. Show rebuilding moments: when m is a perfect square ($m = k^2$)
3. ——— SKIP SLIDE ———
4. Walk through the three-step strategy:
 1. Create new empty structures D'_0, D'_1
 2. Reuse D_i to D'_{i+2} for $i = 0, \dots, k-1$
 3. Apply missing updates to each D'_i
8. ——— SKIP SLIDE ———
9. Present the key lemma: every update in D_i is within first $(i+2)(k+1)$ updates
10. ——— SKIP SLIDE ———
11. Analyze time complexity: $O(m \log n)$ total, $O(\sqrt{m} \log n)$ amortized
12. This sets up the detailed algorithm in the next slide
13. Key insight: we can reuse most of the work from previous structures
14. The offset $(i+2)$ is crucial for correctness

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Partial to full retroactivity

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Rebuilding algorithm

- 1 $D'_0 \leftarrow \text{NEWINCREMENTALMSF}()$
- 2 $D'_1 \leftarrow \text{NEWINCREMENTALMSF}()$
- 3 For $i = 2$ to $k + 1$: $D'_i \leftarrow D_{i-2}$ ▷ reuse existing
- 4 For $i = 1$ to $k + 1$:
 - ▷ $p \leftarrow \text{KTH}(S, i(k + 1))$
 - ▷ $t'_i \leftarrow p.\text{time}$
 - ▷ $\text{ADDEDGES}(S, t_{i-2}, t'_i, D'_i)$▷ $i(k + 1)$ th edge
- 5 Return $k + 1, D', t'$

Partial to full retroactivity

└ Rebuilding algorithm

1. Show the step-by-step rebuilding algorithm
2. Explain how we create new empty structures D'_0, D'_1
3. Show how we reuse existing structures with offset: D_i becomes D'_{i+2}
4. Walk through the process of applying missing updates
5. ——— SKIP SLIDE ———
6. Explain the key insight: D_i becomes D'_{i+2} with offset
7. Analyze time complexity: $O(m \log n)$ total, $O(\sqrt{m} \log n)$ amortized
8. Space complexity: $\Theta(m\sqrt{m})$ - this is our trade-off
9. This leads to our results in the next slide
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11. The visual shows the reuse pattern clearly

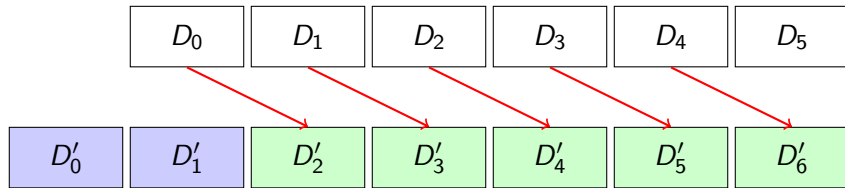
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Original



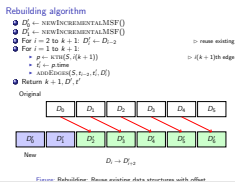
New

$$D_i \rightarrow D'_{i+2}$$

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Partial to full retroactivity

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Our contribution

- **General transformation:** Partial \rightarrow Full retroactivity
- **No persistent data structures needed**
- **Same time complexity:** $\mathcal{O}(\sqrt{m})$ per operation
- **Space trade-off:** $\Theta(m\sqrt{m})$ vs $\mathcal{O}(m)$

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Partial to full retroactivity

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1. Summarize our main theoretical contribution
2. Emphasize that we don't need persistent data structures - this is the key advantage
3. Show we achieve the same time complexity as Demaine et al.: $\mathcal{O}\sqrt{m}$ per operation
4. Present our MSF implementation results: $\mathcal{O}\sqrt{m}\log n$ per operation
5. Highlight that we removed the fixed m and time range restrictions
6. This demonstrates the practical value of our approach
7. Key insight: we provide a simpler alternative to persistent data structures
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Semi-retroactive MSF implementation

- **Operations:** `add_edge(u, v, w, t)`, `get_msf(t)`
- **Time:** $\mathcal{O}(\sqrt{m} \log n)$ per operation
- **Space:** $\Theta(m\sqrt{m})$
- **No fixed m or time range restrictions**

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Extending for full retroactivity

- **General applicability:** Works for any partially retroactive data structure

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Partial to full retroactivity

- Extending for full retroactivity

1. Emphasize the general applicability of our approach
2. Explain how to extend for full retroactivity with removals
3. Show the adapted rebuilding trigger condition
4. Explain how to handle both insertions and removals
5. List the requirements: partially retroactive, rollback capability
6. This shows how our approach can be extended for full functionality
7. Key insight: our method works for any partially retroactive data structure
8. The rebuilding frequency changes but the core idea remains the same
9. This demonstrates the generality of our approach

Extending for full retroactivity

- **General applicability:** Works for any partially retroactive data structure
- **Supporting removals:** To achieve full retroactivity
 - ▶ Adapt rebuilding trigger: when $|\lfloor \sqrt{m'} \rfloor - \lfloor \sqrt{m} \rfloor| \leq 1$
 - ▶ Handle both insertions and removals in update sequence
 - ▶ Rebuilding frequency: every $2\lfloor \sqrt{m} \rfloor - 1$ operations

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Thank you!

Questions?

1. Invite questions from the audience
2. Be prepared to answer questions about:
 3. * The rebuilding algorithm details
 4. * Space vs time trade-offs
 5. * Implementation challenges
 6. * Comparison with persistent data structures
 7. * Applications beyond MSF
8. Key points to emphasize if asked:
 9. * Our approach is simpler to implement
 10. * Same time complexity as Demaine et al.
 11. * No persistent data structure requirement
 12. * General applicability to any partially retroactive structure
13. Thank the audience for their attention