

<sup>1</sup> **Cross-scale interaction of host tree size and climate governs bark  
2 beetle-induced tree mortality**

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<sup>19</sup> **Abstract**

<sup>20</sup> The Californian hot drought of 2012 to 2015 created favorable conditions for unprecedented ponderosa pine  
<sup>21</sup> (*Pinus ponderosa*) mortality in the Sierra Nevada mountain range, largely attributable to the western pine  
<sup>22</sup> beetle (*Dendroctonus brevicomis*; WPB). Climate conditions can partially explain tree mortality patterns  
<sup>23</sup> through their direct effect on tree vigor, but tree mortality rates can respond non-linearly to climate  
<sup>24</sup> conditions when bark beetles interact with local forest characteristics while they colonize drought-stressed  
<sup>25</sup> trees. Measuring broad-scale climate conditions simultaneously with local forest composition and structure–  
<sup>26</sup> the spatial distribution and size of trees– will refine our understanding of how these variables interact, but  
<sup>27</sup> is generally expensive and/or labor-intensive. We use drone surveys over 32 distinct sites along a 350-km  
<sup>28</sup> latitudinal and 1000-m elevational gradient in western slope Sierra Nevada ponderosa pine/mixed-conifer  
<sup>29</sup> forests and structure from motion (SfM) photogrammetry to segment and classify more than 450,000 trees

30 over 9 km<sup>2</sup> of forest with WPB-induced tree mortality. We validated the segmentation and classification  
31 with data from 160 coincident field plots (each 0.041 ha in area) throughout the 32 sites, assuming that dead  
32 trees were all ponderosa pine killed by WPB. We modeled the probability of ponderosa pine mortality as a  
33 function of forest structure and composition and their interaction with site-level climatic water deficit (CWD),  
34 accounting for spatial covariance using exact Gaussian processes. A greater local proportion of host trees  
35 strongly increased the probability of host mortality, with greater host density amplifying this effect. Further,  
36 we found a strong interaction between host size and CWD such that larger trees increased the probability of  
37 host mortality at hot/dry sites, but smaller trees tended to drive mortality in cool/wet sites. Our results  
38 demonstrate a variable response of WPB to local forest structure and composition across an environmental  
39 gradient, which may help reconcile differences between observed ecosystem-wide tree mortality patterns and  
40 predictions from models based on coarser-scale forest structure. Climate change adaptation strategies should  
41 consider that future disturbance outcomes may depend on interactions between local forest structure and  
42 broad-scale environmental gradients, with the potential for cross-scale interactions that challenge our current  
43 understanding of forest insect dynamics.

## 44 Introduction

45 Bark beetles dealt the final blow to many of the nearly 150 million trees killed in the California hot drought of  
46 2012 to 2015 and its aftermath (USDAFS 2019). A harbinger of climate change effects to come, record high  
47 temperatures exacerbated the drought (Griffin and Anchukaitis 2014, Robeson 2015), which increased water  
48 stress in trees (Asner et al. 2016, Brodrick and Asner 2017), making them more susceptible to colonization  
49 by bark beetles (Fettig 2012, Kolb et al. 2016). Further, a century of fire suppression policy has enabled  
50 forests to grow unchecked, which can also make them more vulnerable to bark beetles (Waring and Pitman  
51 1985, Fettig 2012, Restaino et al. 2019). This combination of environmental conditions and forest structural  
52 characteristics led to tree mortality events of unprecedented size across the state (Young et al. 2017, USDAFS  
53 2017). Tree mortality exhibited a strong latitudinal and elevational gradient (Asner et al. 2016, Young et  
54 al. 2017) that can only be partially explained by coarse-scale measures of environmental conditions (i.e.,  
55 historic climatic water deficit; CWD) and current forest structure (i.e., current regional basal area) (Young  
56 et al. 2017). Progressive loss of canopy water content offers additional insight into tree vulnerability to  
57 mortality, but cannot ultimately resolve which trees die in forests with bark beetles as a key mortality agent  
58 (Brodrick and Asner 2017). Bark beetles respond to local forest characteristics in positive feedbacks that  
59 non-linearly alter tree mortality dynamics against a background of environmental conditions that stress  
60 trees (Raffa et al. 2008, Boone et al. 2011). Thus, an explicit consideration of local forest structure and

61 composition (Stephenson et al. 2019, Fettig et al. 2019) as well as its cross-scale interaction with regional  
62 climate conditions (Senf et al. 2017) can refine our understanding of tree mortality patterns from California's  
63 recent hot drought. The challenge of simultaneously measuring the effects of both local-scale forest features  
64 (such as structure and composition) and broad-scale environmental conditions (such as climatic water deficit;  
65 CWD) on forest insect disturbance leaves their interaction effect relatively underexplored (Seidl et al. 2016,  
66 Senf et al. 2017, Stephenson et al. 2019, Fettig et al. 2019).

67 The ponderosa pine/mixed-conifer forests in California's Sierra Nevada region are characterized by regular  
68 bark beetle disturbances, primarily by the influence of western pine beetle (*Dendroctonus brevicomis*; WPB)  
69 on its host ponderosa pine (*Pinus ponderosa*) (Fettig 2016). WPB is a primary bark beetle— its reproductive  
70 success is contingent upon host tree mortality, which itself requires enough beetles to mass attack the host tree  
71 and overwhelm its defenses (Raffa and Berryman 1983). This Allee effect creates a strong coupling between  
72 beetle selection behavior of host trees and host tree susceptibility to colonization (Raffa and Berryman 1983,  
73 Logan et al. 1998, Wallin and Raffa 2004). A key defense mechanism of conifers to bark beetle attack is to  
74 flood beetle bore holes with resin, which physically expels colonizing beetles, can be toxic to the colonizers  
75 and their fungi, and may interrupt beetle communication (Franceschi et al. 2005, Raffa et al. 2015). Under  
76 normal conditions, weakened trees with compromised defenses are the most susceptible to colonization and  
77 will be the main targets of primary bark beetles like WPB (Bentz et al. 2010, Boone et al. 2011, Raffa et al.  
78 2015). Under severe water stress, many trees no longer have the resources available to mount a defense (Boone  
79 et al. 2011, Kolb et al. 2016) and thus prolonged drought can often trigger increased bark beetle-induced  
80 tree mortality as average tree vigor declines (Bentz et al. 2010) (though we note that the inciting factors  
81 for increased tree mortality in other bark beetle systems, such as mountain pine beetle in lodgepole pine,  
82 may be more related to temperature's effect on the beetle's physiology). As the local population density of  
83 beetles increases due to successful reproduction within spatially-aggregated weakened trees, as might occur  
84 during drought, mass attacks grow in size and become capable of overwhelming formidable tree defenses  
85 such that even healthy trees may be susceptible to colonization and mortality (Bentz et al. 2010, Boone et  
86 al. 2011, Raffa et al. 2015). Thus, water stress can be a key determinant of whether individual trees are  
87 susceptible to bark beetles under many conditions, and this environmental condition may interact with beetle  
88 population dynamics to drive tree susceptibility under extreme conditions (Bentz et al. 2010, Boone et al.  
89 2011, Stephenson et al. 2019).

90 WPB activity is strongly influenced by forest structure— the spatial distribution and size of trees— and tree  
91 species composition. Taking forest structure alone, high-density forests are more prone to bark beetle-induced  
92 tree mortality (Fettig 2012) which may arise as greater competition for water resources amongst crowded trees

and thus average tree resistance is lower (Hayes et al. 2009), or because smaller gaps between trees protect pheromone plumes from dissipation by the wind and thus enhance intraspecific beetle communication (Thistle et al. 2004). Tree size is another aspect of forest structure that affects bark beetle host selection behavior with smaller trees tending to have lower capacity for resisting attack, and larger trees being more desirable targets on account of their thicker phloem providing greater nutritional content (Miller and Keen 1960, Chubaty et al. 2009, Boone et al. 2011, Graf et al. 2012). Throughout an outbreak, some bark beetle species will collectively “switch” the preferred size of tree to attack in order to navigate the trade-off between host susceptibility and host quality (Geiszler and Gara 1978, Klein et al. 1978, Mitchell and Preisler 1991, Preisler 1993, Wallin and Raffa 2004). Taking forest composition alone, WPB activity in the Sierra Nevada mountain range of California is necessarily tied to the regional distribution of its exclusive host, ponderosa pine (Fettig 2016). Colonization by primary bark beetles can also depend on the relative frequencies of tree species in a more local area, akin to reduced oligophagous insect herbivory in forests comprising taxonomically-distinct tree species compared to monocultures (Jactel and Brockerhoff 2007). The interaction between forest structure and composition also drives WPB activity. For instance, high-density forests with high host availability may experience greater beetle-induced tree mortality because dispersal distances between potential host trees are shorter reducing predation of adults searching for hosts and facilitating higher rates of colonization (Miller and Keen 1960, Berryman 1982, Fettig et al. 2007) or because high host availability reduces the chance of individual beetles wasting their limited resources flying to and landing on a non-host tree (Moeck et al. 1981, Evenden et al. 2014). Stand-scale measures of forest structure and composition thus paint a fundamentally limited picture of the mechanisms by which these forest characteristics affect bark beetle disturbance, but finer-grain information explicitly recognizing tree size, tree species, and local tree density should more appropriately capture the ecological processes underlying insect-induced tree mortality (Geiszler and Gara 1978, Mitchell and Preisler 1991, Preisler 1993, Kaiser et al. 2013). Additionally, considering the effects of local forest structure and composition with the effects of environmental conditions may help refine our understanding of tree mortality patterns in widespread events such as during the recent California hot drought.

The vast spatial extent of tree mortality in the 2012 to 2015 California hot drought challenges our ability to simultaneously consider how broad-scale environmental conditions may interact with local forest structure and composition to affect the dynamic between bark beetle selection and colonization of host trees, and host tree susceptibility to attack (Anderegg et al. 2015, Stephenson et al. 2019). Measuring local forest structure generally requires expensive instrumentation (Kane et al. 2014, Asner et al. 2016) or labor-intensive field surveys (Larson and Churchill 2012, Stephenson et al. 2019, Fettig et al. 2019), which constrains survey

125 extent and frequency. Small, unhumanned aerial systems (sUAS) enable relatively fast and cheap remote  
126 imaging over hundreds of hectares of forest, which can be used to measure complex forest structure and  
127 composition at the individual tree scale with Structure from Motion (SfM) photogrammetry (Morris et al.  
128 2017, Shiklomanov et al. 2019). The ultra-high resolution of sUAS-derived measurements as well as the  
129 ability to incorporate vegetation reflectance can help overcome challenges in species classification and dead  
130 tree detection inherent in other remote sensing methods, such as airborne LiDAR (Jeronimo et al. 2019).  
131 Distributing such surveys across an environmental gradient can overcome the data acquisition challenge  
132 inherent in investigating phenomena with both a strong local- and a strong broad-scale component.

133 We used sUAS-derived remote sensing images over a network of 32 sites in Sierra Nevada ponderosa pine/mixed-  
134 conifer forests spanning 1000 m of elevation and 350 km of latitude (see Fettig et al. 2019) and covering a  
135 total of 9 km<sup>2</sup> to ask how broad-scale environmental conditions interacted with local forest structure and  
136 composition to shape patterns of tree mortality rates during the cumulative tree mortality event of 2012 to  
137 2018. We asked:

- 138 1. How does the proportion of host trees in a local area and average host tree size affect WPB-induced  
139 tree mortality?
- 140 2. How does the density of all tree species (hereafter “overall density”) affect WPB-induced tree mortality?
- 141 3. How does environmentally-driven tree moisture stress affect WPB-induced tree mortality?
- 142 4. Do the effects of forest structure, forest composition, and environmental condition interact to influence  
143 WPB-induced tree mortality?

## 144 Methods

### 145 Study system

146 We built our study coincident with 160 vegetation/forest insect monitoring plots at 32 sites established  
147 between 2016 and 2017 by Fettig et al. (2019) (Figure 1). The study sites were chosen to reflect typical  
148 west-side Sierra Nevada yellow pine/mixed-conifer forests and were dominated by ponderosa pine (Fettig  
149 et al. 2019). Plots were located in WPB-attacked, yellow pine/mixed-conifer forests across the Eldorado,  
150 Stanislaus, Sierra and Sequoia National Forests and were stratified by elevation (914-1219 m, 1219-1524  
151 m, 1524-1829 m above sea level). In the Sequoia National Forest, the southernmost National Forest in our  
152 study, plots were stratified with the lowest elevation band of 1219-1524 m and extended to an upper elevation  
153 band of 1829-2134 m to capture a more similar forest community composition as at the more northern  
154 National Forests. The sites have variable forest structure and plot locations were selected in areas with >35%

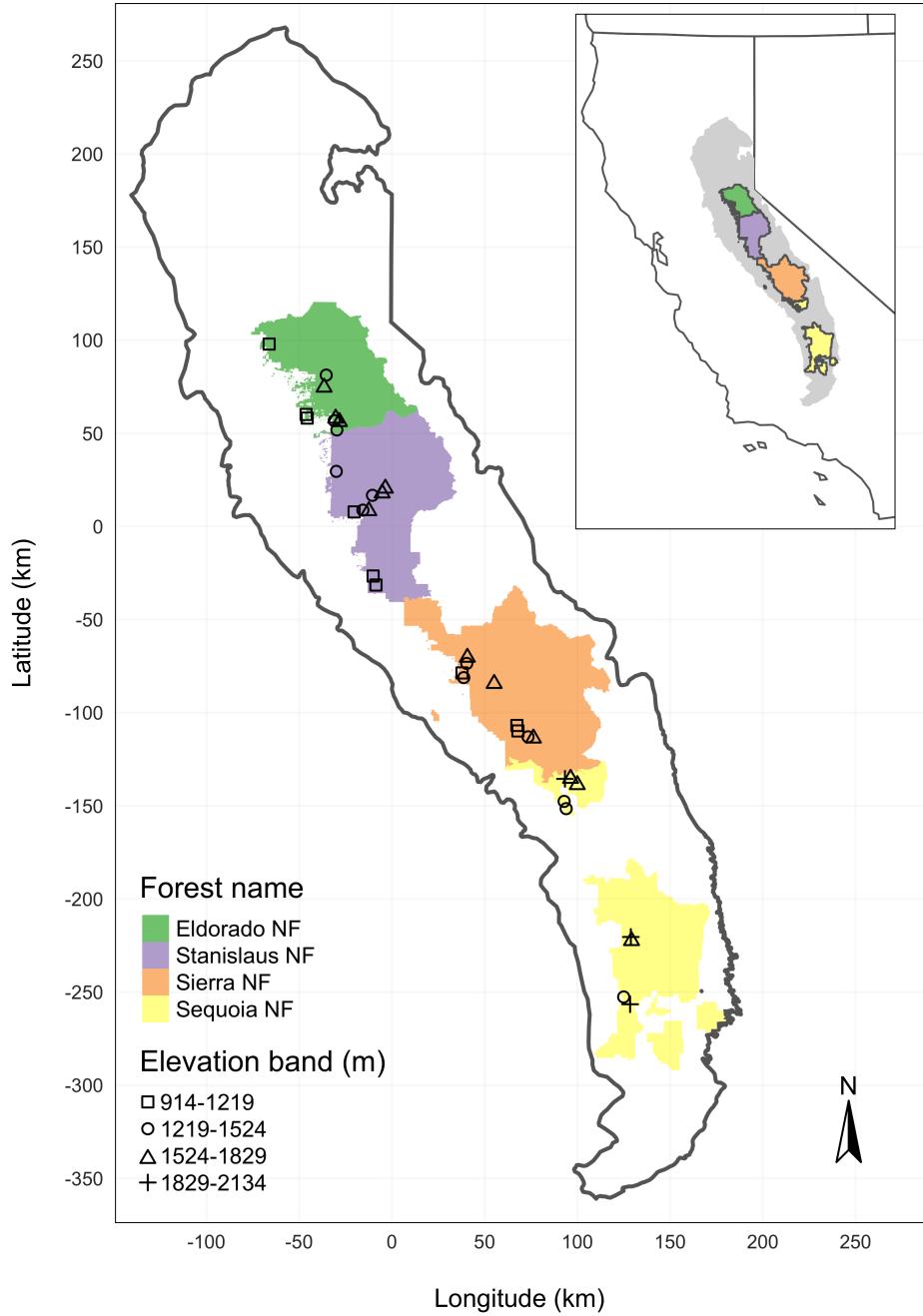


Figure 1: The network of field plots spanned a 350-km latitudinal gradient from the Eldorado National Forest in the north to the Sequoia National Forest in the south. Plots were stratified by three elevation bands in each forest, with the plots in the Sequoia National Forest (the southern-most National Forest) occupying elevation bands 305 m above the three bands in the other National Forests in order to capture a similar community composition.

155 ponderosa pine basal area and >10% ponderosa pine mortality. At each site, five 0.041 ha circular plots  
156 were installed along transects with 80 to 200m between plots. In the field, Fettig et al. (2019) mapped all  
157 stem locations relative to the center of each plot using azimuth/distance measurements. Tree identity to  
158 species, tree height, and diameter at breast height (DBH) were recorded if DBH was greater than 6.35cm.  
159 Year of mortality was estimated based on needle color and retention if it occurred prior to plot establishment,  
160 and was directly observed thereafter during annual site visits. A small section of bark (approximately 625  
161 cm<sup>2</sup>) on both north and south aspects was removed from dead trees to determine if bark beetle galleries  
162 were present. The shape, distribution, and orientation of galleries are commonly used to distinguish among  
163 bark beetle species (Fettig 2016). In some cases, deceased bark beetles were present beneath the bark to  
164 supplement identifications based on gallery formation. During the spring and early summer of 2018, all field  
165 plots were revisited to assess whether dead trees had fallen (Fettig et al. 2019).

166 In the typical life cycle of WPBs, females initiate host colonization by tunneling through the outer bark and  
167 into the phloem and outer xylem where they rupture resin canals.

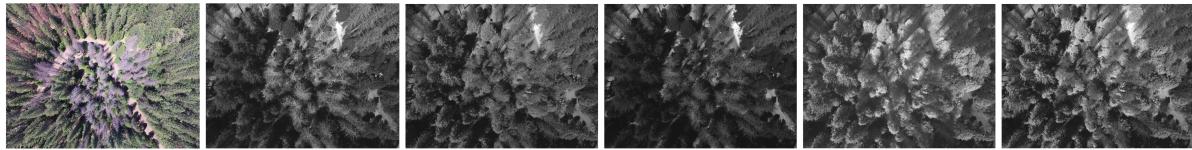
168 As a result, oleoresin exudes and collects on the bark surface, as is commonly observed with other bark beetle  
169 species. During the early stages of attack, females release an aggregation pheromone component which, in  
170 combination with host monoterpenes released from pitch tubes, is attractive to conspecifics (Bedard et al.  
171 1969). An antiaggregation pheromone component is produced during latter stages of host colonization by  
172 several pathways, and is thought to reduce intraspecific competition by altering adult behavior to minimize  
173 overcrowding of developing brood within the host (Byers and Wood 1980). Volatiles from several nonhosts  
174 sympatric with ponderosa pine have been demonstrated to inhibit attraction of WPB to its aggregation  
175 pheromones (Shepherd et al. 2007, Fettig and Hilszczański 2015). In California, WPB generally has 2-3  
176 generations in a single year and can often out-compete other primary bark beetles such as the mountain pine  
177 beetle (*Dendroctonus ponderosae*), in ponderosa pines, especially in larger trees (Miller and Keen 1960).

## 178 **Aerial data collection and processing**

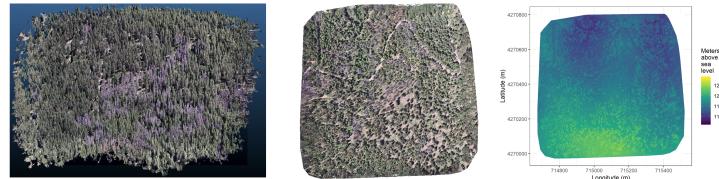
179 Nadir-facing imagery was captured using a gimbal-stabilized DJI Zenmuse X3 broad-band red/green/blue  
180 (RGB) camera (DJI 2015a) and a fixed-mounted Micasense Rededge3 multispectral camera with five narrow  
181 bands (Micasense 2015) on a DJI Matrice 100 aircraft (DJI 2015b). Imagery was captured from both cameras  
182 along preprogrammed aerial transects over ~40 hectares surrounding each of the 32 sites (each of these  
183 containing five field plots) and was processed in a series of steps to yield local forest structure and composition  
184 data suitable for our statistical analyses. All images were captured in 2018 during a 3-month period between  
185 early April and early July, and thus our work represents a postmortem investigation into the drivers of

186 cumulative tree mortality through the course of the hot drought. Following the call by Wyngaard et al.  
187 (2019), we establish “data product levels” to reflect the image processing pipeline from raw imagery (Level 0)  
188 to calibrated, fine-scale forest structure and composition information on regular grids (Level 4), with each  
189 new data level derived from levels below it. Here, we outline the steps in the processing and calibration  
190 pipeline visualized in Figure 2, and include additional details in the Supplemental Information.

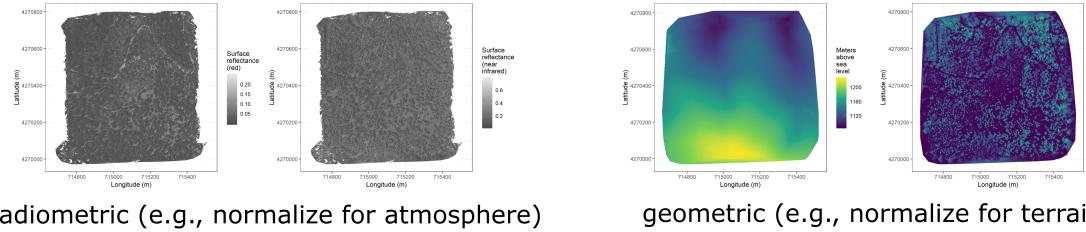
## Level 0: raw data from sensors



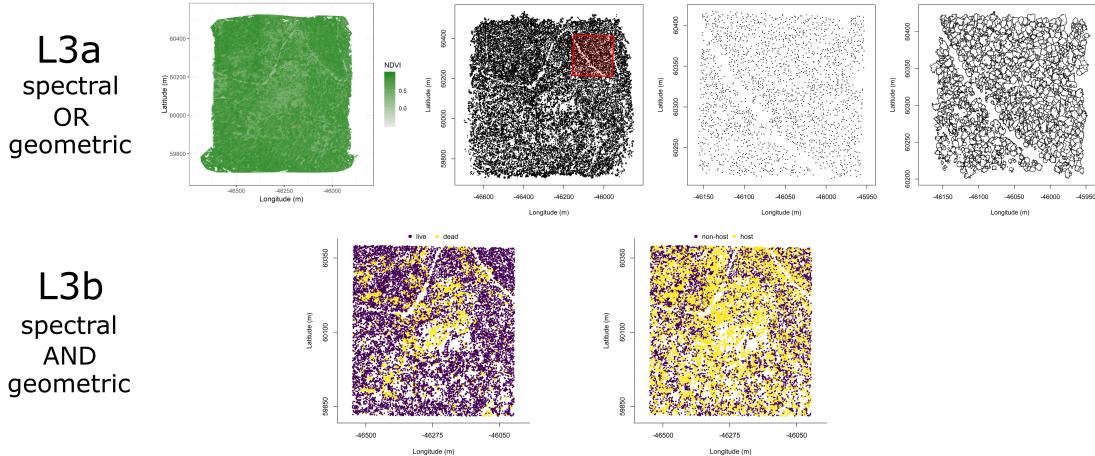
## Level 1: basic outputs from photogrammetric processing



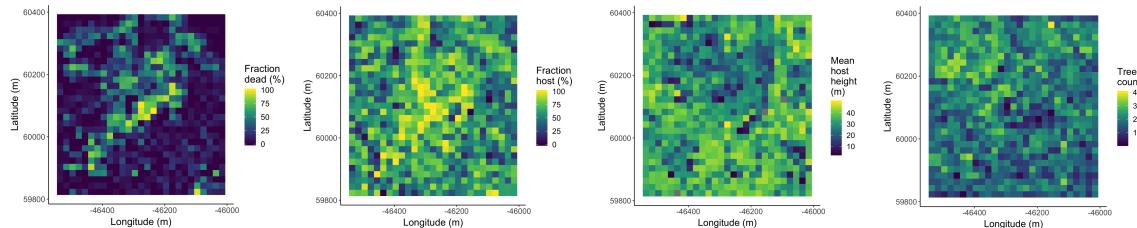
## Level 2: corrected outputs from photogrammetric processing



## Level 3: domain-specific information extraction



## Level 4: aggregations to regular grids



192 Figure 2. Schematic of the data processing workflow for a single site with each new data product level derived  
193 from data at lower levels.

194 Level 0 represents raw data from the sensors. From left to right: example broad-band RGB photo from  
195 DJI Zenmuse X3 camera, example blue photo from Rededge3 (centered on 475nm), example green photo  
196 from Rededge3 (centered on 560nm), example red photo from Rededge3 (centered on 668nm), example near  
197 infrared photo from Rededge3 (centered on 840nm), and example red edge photo from Rededge3 (centered on  
198 717nm).

199 Level 1 represents basic outputs from the photogrammetric workflow, in this case implemented with  
200 Pix4Dmapper. From left to right: a dense point cloud visualized in CloudCompare (<https://www.danielgm.net/cc/>), an orthophoto generated from the RGB camera, and a digital surface model representing the  
201 altitude above sea level (ground height + vegetation height) for every cell.

203 Level 2 represents outputs from photogrammetric processing that have been corrected radiometrically or  
204 geometrically. From left to right: a radiometrically-corrected surface reflectance map of the red narrow band  
205 from the Rededge3 camera, a radiometrically-corrected surface reflectance map of the near infrared narrow  
206 band from the Rededge3 camera, a rasterized version of the digital terrain model derived by a geometric  
207 correction of the dense point cloud, and a canopy height model derived by subtracting the terrain height  
208 from the digital surface model.

209 Level 3 represents domain-specific information extraction from Level 2 products and is divided into two  
210 sub-levels. Level 3a products are derived using only spectral or only geometric data. From left to right: a  
211 reflectance map of Normalized Difference Vegetation Index (NDVI; Rouse et al. (1973)) derived using the red  
212 and near infrared Level 2 reflectance products, a map of points representing detected trees from the canopy  
213 height model with a red polygon highlighting the area presented in more detail for the next two images, a  
214 close-up of points representing detected trees, and a close-up of polygons representing segmented tree crowns.  
215 Level 3b products are derived using both spectral and geometric data. From left to right: a map of the point  
216 locations of detected trees that have been classified as alive or dead based on the pixel values within each  
217 segmented tree crown and a map of the point locations of detected trees classified to WPB host/non-host  
218 using the same spectral information. Note that our study relies on the generation of Level 3a products in  
219 order to combine them and create Level 3b products, but this need not be the case. For instance, deep  
220 learning/neural net methods may be able to use both the spectral and geometric information from Level 2  
221 simultaneously to locate and classify trees in a scene and directly generate Level 3b products without a need  
222 to first generate the Level 3a products shown in this schematic (Weinstein et al. 2019, dos Santos et al. 2019).

223 Level 4 represents aggregations of Level 3 products to regular grids which might better reflect the grain size  
224 of the data for which we have the best calibration and thus the most confidence or which might provide  
225 new information not possible at an individual-tree level (e.g., average distance between trees in a small  
226 neighborhood). From left to right: aggregation of live/dead classified trees as fraction of dead trees in a 20 x  
227 20-m cell, aggregation of host/non-host classified trees as fraction of hosts in a 20 x 20-m cell, aggregation of  
228 mean host height in a 20 x 20-m cell, and aggregation of tree count (including all species), in a 20 x 20-m  
229 cell. In our case, the 20 x 20-m aggregation produces a grid cell with an area of 400 m<sup>2</sup>, which most closely  
230 matches the 404-m<sup>2</sup> area of the ground-based vegetation plots whose data we used in an aggregated form to  
231 calibrate our derivation of Level 3 products.

## 232 **Level 0: Raw data from sensors**

233 Raw data comprised approximately 1900 images per camera lens (one broad-band RGB lens and five narrow-  
234 band multispectral lenses) for each of the 32 sites (Figure 2; Level 0). Prior to the aerial survey, two strips of  
235 bright orange drop cloth (~100 x 15 cm) were positioned as an “X” over the permanent monuments marking  
236 the center of the 5 field plots from Fettig et al. (2019) (see Supplemental Information).

237 We preprogrammed north-south aerial transects using Map Pilot for DJI on iOS flight software (Drones-  
238 MadeEasy 2018) at an altitude of 120 m above ground level (with “ground” defined using a 1-arc-second  
239 digital elevation model (Farr et al. 2007)). The resulting ground sampling distance was approximately 5  
240 cm/px for the Zenmuse X3 RGB camera and approximately 8 cm/px for the Rededge3 multispectral camera.  
241 We used 91.6% image overlap (both forward and side) at the ground for the Zenmuse X3 RGB camera and  
242 83.9% overlap (forward and side) for the Rededge3 multispectral camera.

## 243 **Level 1: Basic outputs from photogrammetric processing**

244 We used SfM photogrammetry implemented in Pix4Dmapper Cloud ([www.pix4d.com](http://www.pix4d.com)) to generate dense point  
245 clouds (Figure 2; Level 1, left), orthophotos (Figure 2; Level 1, center), and digital surface models (Figure 2;  
246 Level 1, right) for each field site (Frey et al. 2018). For 29 sites, we processed the Rededge3 multispectral  
247 imagery alone to generate these products. For three sites, we processed the RGB and the multispectral  
248 imagery together to enhance the point density of the dense point cloud. All SfM projects resulted in a single  
249 processing “block,” indicating that all images in the project were optimized and processed together. The  
250 dense point cloud represents x, y, and z coordinates as well as the color of millions of points per site. The  
251 orthophoto represents a radiometrically uncalibrated, top-down view of the survey site that preserves the  
252 relative x-y positions of objects in the scene. The digital surface model is a rasterized version of the dense

253 point cloud that shows the altitude above sea level for each pixel in the scene at the ground sampling distance  
254 of the camera that generated the Level 0 data.

255 **Level 2: Corrected outputs from photogrammetric processing**

256 **Radiometric corrections**

257 A radiometrically-corrected reflectance map (Figure 2; Level 2, left two figures; i.e., a corrected version of the  
258 Level 1 orthophoto) was generated using the Pix4D software by incorporating incoming light conditions for  
259 each narrow band of the Rededge3 camera (captured simultaneously with the Rededge3 camera using an  
260 integrated downwelling light sensor) as well as a pre-flight image of a calibration panel of known reflectance  
261 (see Supplemental Information for camera and calibration panel details).

262 **Geometric corrections**

263 We implemented a geometric correction to the Level 1 dense point cloud and digital surface model by  
264 normalizing these data for the terrain underneath the vegetation. We generated the digital terrain model  
265 representing the ground underneath the vegetation at 1-m resolution (Figure 2; Level 2, third image) by  
266 classifying each survey area’s dense point cloud into “ground” and “non-ground” points using a cloth simulation  
267 filter algorithm (Zhang et al. 2016) implemented in the `lidR` (Roussel et al. 2019) package and rasterizing  
268 the ground points using the `raster` package (Hijmans et al. 2019). We generated a canopy height model  
269 (Figure 2; Level 2, fourth image) by subtracting the digital terrain model from the digital surface model.

270 **Level 3: Domain-specific information extraction**

271 **Level 3a: Data derived from spectral OR geometric Level 2 product**

272 Using just the spectral information from the radiometrically-corrected reflectance maps, we calculated several  
273 vegetation indices including the normalized difference vegetation index (NDVI; Rouse et al. (1973); Figure  
274 2; Level 3a, first image), the normalized difference red edge (NDRE; Gitelson and Merzlyak (1994)), the  
275 red-green index (RGI; Coops et al. (2006)), the red edge chlorophyll index ( $CI_{red\ edge}$ ; Clevers and Gitelson  
276 (2013)), and the green chlorophyll index ( $CI_{green}$ ; Clevers and Gitelson (2013)).

Table 1: Algorithm name, number of parameter sets tested for each algorithm, and references.

Algorithm	Parameter sets tested	Reference(s)
li2012	131	Li et al. (2012); Jakubowski et al. (2013); Shin et al. (2018)

Algorithm	Parameter sets tested	Reference(s)
lmfx	30	Roussel (2019)
localMaxima	6	Roussel et al. (2019)
multichm	1	Eysn et al. (2015)
ptrees	3	Vega et al. (2014)
vwf	3	Plowright (2018)
watershed	3	Pau et al. (2010)

Using just the geometric information from the canopy height model or terrain-normalized dense point cloud, we generated maps of detected trees (Figure 2; Level 3a, second and third images) by testing a total of 7 automatic tree detection algorithms and a total of 177 parameter sets (Table 1). We used the field plot data to assess each tree detection algorithm/parameter set by converting the distance-from-center and azimuth measurements of the trees in the field plots to x-y positions relative to the field plot centers distinguishable in the Level 2 reflectance maps as the orange fabric X's that we laid out prior to each flight. In the reflectance maps, we located 110 out of 160 field plot centers while some plot centers were obscured due to dense interlocking tree crowns or because a plot center was located directly under a single tree crown. For each of the 110 field plots with identifiable plot centers— the “validation field plots”, we calculated 7 forest structure metrics using the ground data collected by Fettig et al. (2019): total number of trees, number of trees greater than 15 m in height, mean height of trees, 25<sup>th</sup> percentile tree height, 75<sup>th</sup> percentile tree height, mean distance to nearest tree neighbor, and mean distance to second nearest neighbor. For each tree detection algorithm and parameter set described above, we calculated the same set of 7 structure metrics within the footprint of the validation field plots. We calculated the Pearson’s correlation and root mean square error (RMSE) between the ground data and the aerial data for each of the 7 structure metrics for each of the 177 automatic tree detection algorithms/parameter sets. For each algorithm and parameter set, we calculated its performance relative to other algorithms as whether its Pearson’s correlation was within 5% of the highest Pearson’s correlation as well as whether its RMSE was within 5% of the lowest RMSE. We summed the number of forest structure metrics for which it reached these 5% thresholds for each algorithm/parameter set. For automatically detecting trees across the whole study, we selected the algorithm/parameter set that performed well across the most number of forest metrics (see Results).

We delineated individual tree crowns (Figure 2; Level 3a, fourth image) with a marker controlled watershed segmentation algorithm (Meyer and Beucher 1990) implemented in the **ForestTools** package (Plowright

300 2018) using the detected treetops as markers. If the automatic segmentation algorithm failed to generate  
301 a crown segment for a detected tree (e.g., often snags with a very small crown footprint), a circular crown  
302 was generated with a radius of 0.5 m. If the segmentation generated multiple polygons for a single detected  
303 tree, only the polygon containing the detected tree was retained. Because image overlap decreases near the  
304 edges of the overall flight path and reduces the quality of the SfM processing in those areas, we excluded  
305 segmented crowns within 35 m of the edge of the survey area. Given the narrower field of view of the  
306 Rededge3 multispectral camera versus the X3 RGB camera whose optical parameters were used to define the  
307 ~40 hectare survey area around each site, as well as the 35 m additional buffering, the survey area at each  
308 site was ~30 ha (see Supplemental Information).

### 309 **Level 3b: Data derived from spectral AND geometric information**

310 We overlaid the segmented crowns on the reflectance maps from 20 sites spanning the latitudinal and elevation  
311 gradient in the study. Using QGIS (<https://qgis.org/en/site/>), we hand classified 564 trees as live/dead  
312 (Figure 3) and as one of 5 dominant species in the study area (ponderosa pine, *Pinus lambertiana*, *Abies*  
313 *concolor*, *Calocedrus decurrens*, or *Quercus kelloggii*) using the mapped ground data as a guide. Each tree was  
314 further classified as “host” for ponderosa pine or “non-host” for all other species (Fettig 2016). We extracted  
315 all the pixel values within each segmented crown polygon from the five, Level 2 orthorectified reflectance  
316 maps (one per narrow band on the Rededge3 camera) as well as from the five, Level 3a vegetation index  
317 maps using the **velox** package (Hunziker 2017). For each crown polygon, we calculated the mean value of the  
318 extracted Level 2 and Level 3a pixels and used them as ten independent variables in a five-fold cross validated  
319 boosted logistic regression model to predict whether the hand classified trees were alive or dead. For just the  
320 living trees, we similarly used all 10 mean reflectance values per crown polygon to predict tree species using a  
321 five-fold cross validated regularized discriminant analysis. The boosted logistic regression and regularized  
322 discriminant analysis were implemented using the **caret** package in R (Kuhn 2008). We used these models to  
323 classify all tree crowns in the data set as alive or dead (Figure 2; Level 3b, first image) as well as the species  
324 of living trees (Figure 2; Level 3b, second image). Finally, we estimated the basal area of each tree from their  
325 photogrammetry-derived height using species-specific simple linear regressions of the relationship between  
326 height and diameter at breast height as measured in the coincident field plots from Fettig et al. (2019).

### 327 **Level 4: Aggregations to regular grids**

328 We rasterized the forest structure and composition data at a spatial resolution similar to that of the field  
329 plots to better match the grain size at which we validated the automatic tree detection algorithms. In each  
330 raster cell, we calculated: number of dead trees, number of ponderosa pine trees, total number of trees, and

331 mean height of ponderosa pine trees. The values of these variables in each grid cell and derivatives from  
332 them were used for visualization and modeling. Here, we show the fraction of dead trees per cell (Figure 2;  
333 Level 4, first image), the fraction of host trees per cell (Figure 2; Level 4, second image), the mean height of  
334 ponderosa pine trees in each cell (Figure 2; Level 4, third image), and the total count of trees per cell (Figure  
335 2; Level 4, fourth image).

### 336 Note on assumptions about dead trees

337 For the purposes of this study, we assumed that all dead trees were ponderosa pine and thus hosts colonized  
338 by WPB. This is a reasonably good assumption for our study area; for example, Fettig et al. (2019) found  
339 that 73.4% of dead trees in their coincident field plots were ponderosa pine. Mortality was concentrated in  
340 the larger-diameter classes and attributed primarily to WPB (see Figure 5 of Fettig et al. 2019). The species  
341 contributing to the next highest proportion of dead trees was incense cedar which represented 18.72% of the  
342 dead trees in the field plots. While the detected mortality is most likely to be ponderosa pine killed by WPB,  
343 it is critical to interpret our results with these limitations in mind.

### 344 Environmental data

345 We used CWD (Stephenson 1998) from the 1981-2010 mean value of the basin characterization model (Flint  
346 et al. 2013) as an integrated measure of temperature and moisture conditions for each of the 32 sites. Higher  
347 values of CWD correspond to hotter, drier conditions and lower values correspond to cooler, wetter conditions.  
348 CWD has been shown to correlate well with broad patterns of tree mortality in the Sierra Nevada (Young et  
349 al. 2017) as well as bark beetle-induced tree mortality (Millar et al. 2012). The forests along the entire CWD  
350 gradient used in this study experienced exceptional hot drought between 2012 to 2015 with a severity of at  
351 least a 1,200-year event, and perhaps more severe than a 10,000-year event (Griffin and Anchukaitis 2014,  
352 Robeson 2015). We converted the CWD value for each site into a z-score representing that site's deviation  
353 from the mean CWD across the climatic range of Sierra Nevada ponderosa pine as determined from 179  
354 herbarium records described in Baldwin et al. (2017). Thus, a CWD z-score of 1 would indicate that the  
355 CWD at that site is one standard deviation hotter/drier than the mean CWD across all geolocated herbarium  
356 records for ponderosa pine in the Sierra Nevada.

### 357 Statistical model

358 We used a generalized linear model with a zero-inflated binomial response and a logit link to predict the  
359 probability of ponderosa pine mortality within each 20 x 20-m cell using the total number of ponderosa  
360 pine trees in each cell as the number of trials, and the number of dead trees in each cell as the number of

361 “successes”. As covariates, we used the proportion of trees that are WPB hosts (i.e., ponderosa pine) in each  
 362 cell, the mean height of ponderosa pine trees in each cell, the count of trees of all species (overall density) in  
 363 each cell, and the site-level CWD using Eq. 1. Note that the two-way interaction between the overall density  
 364 and the proportion of trees that are hosts is directly proportional to the number of ponderosa pine trees in  
 365 the cell. We centered and scaled all predictor values, and used weakly-regularizing default priors from the  
 366 `brms` package (Bürkner 2017). To measure and account for spatial autocorrelation underlying ponderosa pine  
 367 mortality, we subsampled the data at each site to a random selection of 200, 20 x 20-m cells representing  
 368 approximately 27.5% of the surveyed area. Additionally with these subsampled data, we included a separate  
 369 exact Gaussian process term per site of the noncentered/nonscaled interaction between the x- and y-position  
 370 of each cell using the `gp()` function in the `brms` package (Bürkner 2017). The Gaussian process estimates the  
 371 spatial covariance in the response variable (log-odds of ponderosa pine mortality) jointly with the effects of  
 372 the other covariates.

$$\begin{aligned}
 y_{i,j} &\sim \begin{cases} 0, & p \\ Binom(n_i, \pi_i), & 1 - p \end{cases} \\
 logit(\pi_i) &= \beta_0 + \\
 &\beta_1 X_{cwd,j} + \beta_2 X_{propHost,i} + \beta_3 X_{PipoHeight,i} + \\
 &\beta_4 X_{overallDensity,i} + \beta_5 X_{overallBA,i} + \\
 &\beta_6 X_{cwd,j} X_{PipoHeight,i} + \beta_7 X_{cwd,j} X_{propHost,i} + \\
 &\beta_8 X_{cwd,j} X_{overallDensity,i} + \beta_9 X_{cwd,j} X_{overallBA,i} + \\
 &\beta_{10} X_{propHost,i} X_{PipoHeight,i} + \beta_{11} X_{propHost,i} X_{overallDensity,i} + \\
 &\beta_{12} X_{cwd,j} X_{propHost,i} X_{PipoHeight,i} + \\
 &\mathcal{GP}_j(x_i, y_i)
 \end{aligned}$$

373 Where  $y_i$  is the number of dead trees in cell  $i$ ,  $n_i$  is the sum of the dead trees (assumed to be ponderosa pine)  
 374 and live ponderosa pine trees in cell  $i$ ,  $\pi_i$  is the probability of ponderosa pine tree mortality in cell  $i$ ,  $p$  is the  
 375 probability of there being zero dead trees in a cell arising as a result of an independent, unmodeled process,  
 376  $X_{cwd,j}$  is the z-score of CWD for site  $j$ ,  $X_{propHost,i}$  is the scaled proportion of trees that are ponderosa pine  
 377 in cell  $i$ ,  $X_{PipoHeight,i}$  is the scaled mean height of ponderosa pine trees in cell  $i$ ,  $X_{overallDensity,i}$  is the scaled  
 378 density of all trees in cell  $i$ ,  $X_{overallBA,i}$  is the scaled basal area of all trees in cell  $i$ ,  $x_i$  and  $y_i$  are the x- and  
 379 y- coordinates of the centroid of the cell in an EPSG3310 coordinate reference system, and  $\mathcal{GP}_j$  represents

380 the exact Gaussian process describing the spatial covariance between cells at site  $j$ .  
381 We fit this model using the **brms** package (Bürkner 2017) which implements the No U-Turn Sampler extension  
382 to the Hamiltonian Monte Carlo algorithm (Hoffman and Gelman 2014) in the Stan programming language  
383 (Carpenter et al. 2017). We used 4 chains with 4000 iterations each (2000 warmup, 2000 samples), and  
384 confirmed chain convergence by ensuring all **Rhat** values were less than 1.1 (Brooks and Gelman 1998) and  
385 that the bulk and tail effective sample sizes (ESS) for each estimated parameter were greater than 100 times  
386 the number of chains (i.e., greater than 400 in our case). We used posterior predictive checks to visually  
387 confirm model performance by overlaying the density curves of the predicted number of dead trees per cell  
388 over the observed number (Gabry et al. 2019). For the posterior predictive checks, we used 50 random  
389 samples from the model fit to generate 50 density curves and ensured curves were centered on the observed  
390 distribution, paying special attention to model performance at capturing counts of zero.

### 391 Software and data availability

392 All data are available via the Open Science Framework. Statistical analyses were performed using the **brms**  
393 packages. With the exception of the SfM software (Pix4Dmapper Cloud) and the GIS software QGIS, all  
394 data carpentry and analyses were performed using R (R Core Team 2018).

## 395 Results

### 396 Tree detection algorithm performance

397 We found that the experimental **lmfx** algorithm with parameter values of **dist2d** = 1 and **ws** = 2.5 (Roussel  
398 et al. 2019) performed the best across 7 measures of forest structure as measured by Pearson's correlation  
399 with ground data (Table 2).

Table 2: Correlation and differences between the best performing tree detection algorithm (**lmfx** with **dist2d** = 1 and **ws** = 2.5) and the ground data. An asterisk next to the correlation or RMSE indicates that this value was within 5% of the value of the best-performing algorithm/parameter set. Ground mean represents the mean value of the forest metric across the 110 field plots that were visible from the sUAS-derived imagery. The median error is calculated as the median of the differences between the air and ground values for the 110 visible plots. Thus, a positive number indicates an overestimate by the sUAS workflow and a negative number indicates an underestimate.

Forest structure metric	Ground mean	Correlation with ground	RMSE	Median error
total tree count	19	0.67*	8.68*	2
count of trees > 15 m	9.9	0.43	7.38	0
distance to 1st neighbor (m)	2.8	0.55*	1.16*	0.26

Forest structure metric	Ground mean	Correlation with ground	RMSE	Median error
distance to 2nd neighbor (m)	4.3	0.61*	1.70*	0.12
height (m); 25 <sup>th</sup> percentile	12	0.16	8.46	-1.2
height (m); mean	18	0.29	7.81*	-2.3
height (m); 75 <sup>th</sup> percentile	25	0.35	10.33*	-4

400 **Classification accuracy for live/dead and host/non-host**

401 The accuracy of live/dead classification on a withheld test dataset was 96.4%. The accuracy of species  
 402 classification on a withheld testing dataset was 64.1%. The accuracy of WPB host/non-WPB-host (i.e.,  
 403 ponderosa pine versus other tree species) on a withheld testing dataset was 71.8%.

404 **Site summary based on best tree detection algorithm and classification**

405 Across all study sites, we detected, segmented, and classified 452,413 trees. Of these trees, we classified  
 406 118,879 as dead (26.3% mortality). Estimated site-level tree mortality ranged from 6.8% to 53.6%. See  
 407 Supplemental Information for site summaries and comparisons to site-level mortality measured from field  
 408 data.

409 **Effect of local structure and regional climate on tree mortality attributed to western pine  
 410 beetle**

411 We detected a positive main effect of CWD on the probability of ponderosa pine mortality within each 20 x  
 412 20-m cell (Figure 4). We found a positive main effect of proportion of host trees per cell (effect size: 0.76;  
 413 95% CI: [0.70, 0.82]), with a greater proportion of host trees (i.e., ponderosa pine) in a cell increasing the  
 414 probability of ponderosa pine mortality. We detected no effect of overall tree density nor overall basal area  
 415 (i.e., including both ponderosa pine and non-host species; tree density effect size: -0.05; 95% CI: [-0.13, 0.03];  
 416 basal area effect size: 0.00; 95% CI: [-0.11, 0.11]).

417 We found a positive two-way interaction between the overall tree density per cell and the proportion of trees  
 418 that were hosts, which is equivalent to a positive effect of the density of host trees (effect size: 0.08; 95% CI:  
 419 [0.03, 0.13]; Figure 4).

420 We found a negative effect of mean height of ponderosa pine on the probability of ponderosa mortality,  
 421 suggesting that WPB attacked smaller trees, on average (effect size: -0.40; 95% CI: [-0.50, -0.30]). However,  
 422 there was a positive interaction between CWD and ponderosa pine mean height, such that larger trees were

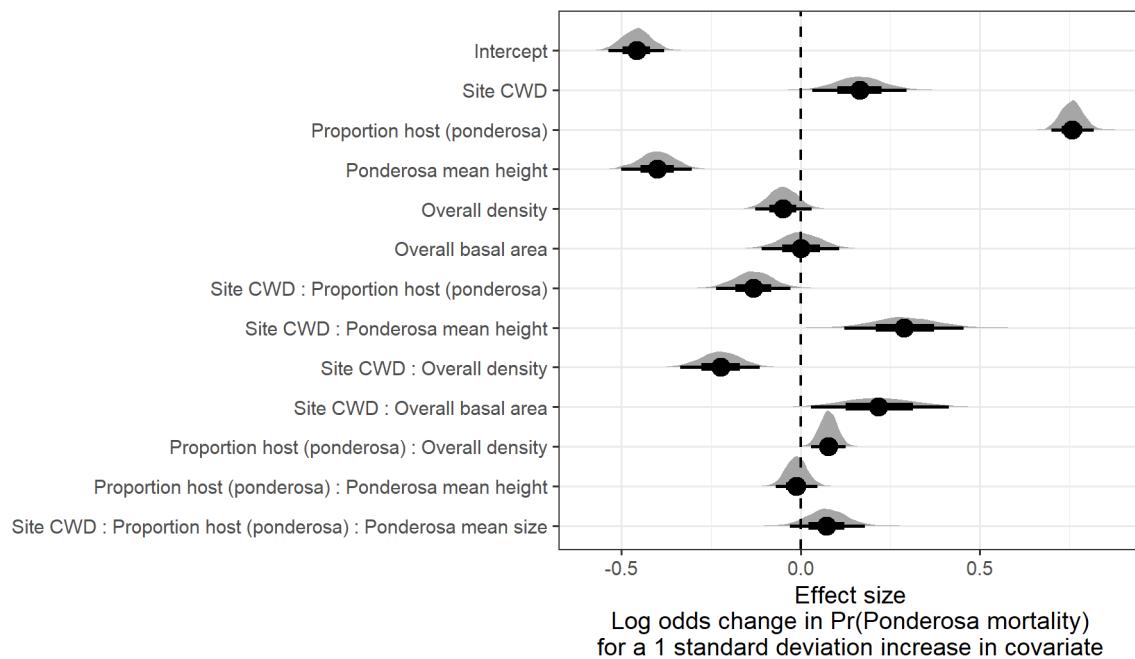


Figure 4: Posterior distributions of effect size from zero-inflated binomial model predicting the probability of ponderosa pine mortality in a 20 x 20-m cell given forest structure characteristics and site-level climatic water deficit (CWD). The gray filled area for each model covariate represents the probability density of the posterior distribution, the point underneath each density curve represents the median of the estimate, the bold interval surrounding the point estimate represents the 66% credible interval, and the thin interval surrounding the point estimate represents the 95% credible interval.

423 more likely to increase the local probability of ponderosa mortality in hotter, drier sites (effect size: 0.29;  
424 95% CI: [0.12, 0.46]; Figure 5).

425 We found weakly negative effects of the site-level CWD interactions with both the proportion of host trees  
426 and overall tree density (CWD/proportion host interaction effect size: -0.13; 95% CI: [-0.23, -0.03]; Figure 4;  
427 CWD/overall tree density interaction effect size: -0.22; 95% CI: [-0.34, -0.11]; Figure 4; Figure 5). We found  
428 a positive effect of the interaction between CWD and total basal area (effect size: 0.22; 95% CI: [0.03, 0.42];  
429 Figure 4; Figure 5).

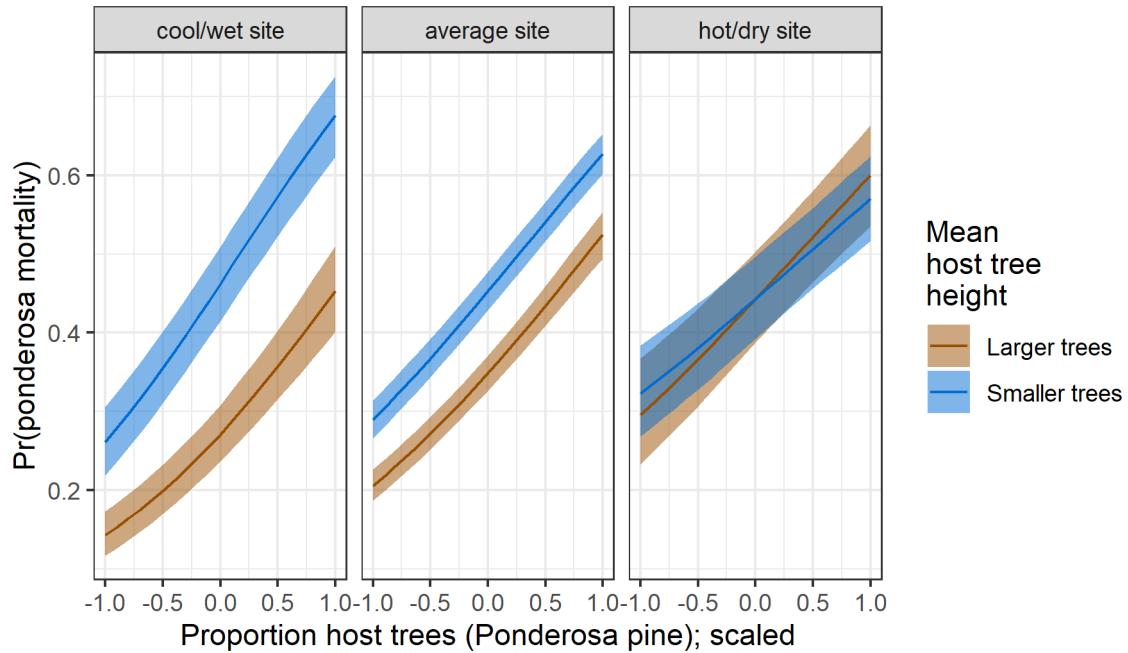


Figure 5: Line version of model results with 95% credible intervals showing primary influence of ponderosa pine structure on the probability of ponderosa pine mortality, and the interaction across climatic water deficit. The ‘larger trees’ line represents the mean height of ponderosa pine 0.7 standard deviations above the mean (approximately 24.1 m), and the ‘smaller trees’ line represents the mean height of ponderosa pine 0.7 standard deviations below the mean (approximately 12.1 m).

## 430 Discussion

431 This study represents a novel use of drones to further our understanding of the simultaneous effects of  
432 local forest structure and composition with broad-scale environmental gradients on tree mortality attributed  
433 to WPB. We found strong positive effects (effect sizes >0.4) of both the proportion of host trees and the  
434 interaction between site CWD and host tree mean size (height) on the probability of ponderosa pine mortality.  
435 Conversely, we found a strong negative effect (effect size <-0.4) of mean height of ponderosa pine. Site-level  
436 CWD exerted a positive, but relatively weak, main effect on the probability of ponderosa mortality (effect

437 size: 0.16; 95% CI: [0.03, 0.30]). To that end, we did not measure tree water stress at an individual tree  
438 level as in other recent work (Stephenson et al. 2019), and instead treated CWD as a general indicator of  
439 tree stress following results of coarser-scale studies (e.g., Young et al. 2017), which may have contributed to  
440 our failure to detect a stronger CWD effect. Also, our entire study area experienced the same extreme hot  
441 drought between 2012 and 2015 and the variation of mortality explained by a main effect of CWD may be  
442 dampened when most trees are experiencing a high degree of water stress (Floyd et al. 2009, Fettig et al.  
443 2019).

#### 444 Positive effect of host density and a negative effect of overall density

445 A number of mechanisms associated with the relative abundance of species in a local area might underlie the  
446 strong effect of host proportion on the probability of host tree mortality. Frequency-dependent herbivory—  
447 whereby mixed-species forests experience less herbivory compared to monocultures (as an extreme example)—  
448 is common, especially for oligophagous insect species (Jactel and Brockerhoff 2007). Furthermore, it has been  
449 demonstrated that nonhost volatiles reduce attraction of several species of bark beetles to their aggregation  
450 pheromones (Seybold et al. 2018), including WPB (Fettig et al. 2005). To that end, combinations of nonhost  
451 volatiles and an antiaggregation pheromone have been used successfully to reduce levels of tree mortality  
452 attributed to WPB (e.g., Fettig et al. 2012). In general, Hayes et al. (2009) and Fettig et al. (2019) found  
453 that measures of host availability explained less variation in mortality than measures of overall tree density,  
454 but those conclusions were based on a response variable of “total number of dead host trees,” rather than  
455 the number of dead host trees conditional on the total number of host trees as in our study (i.e., a binomial  
456 response).

457 The negative relationship between overall tree density and the probability of ponderosa pine mortality  
458 corroborates findings of coincident ground plots (Fettig et al. 2019, in their analysis using proportion of  
459 trees killed as a response) and other work during the same hot drought (Restaino et al. 2019). The forest  
460 structure (in the absence of management) is itself a product of climate and, with increasing importance at  
461 finer spatial scales, topographic conditions (Fricker et al. 2019). Thus, the denser forest patches in our study  
462 may indicate greater local water availability, more favorable conditions for tree growth and survivorship,  
463 and increased resistance to beetle-induced mortality (Ma et al. 2010, Restaino et al. 2019, Fricker et al.  
464 2019). The negative two-way interaction between site CWD and overall density that amplifies the negative  
465 overall density effect in hotter, drier sites (effect size: -0.22; 95% CI: [-0.34, -0.11]) supports this explanation  
466 if greater local tree density implies especially favorable growing conditions (and locally resistant trees) when  
467 denser patches are found in hot, dry sites.

468 The positive relationship between host density and susceptibility to colonization by bark beetles has been so  
469 well-documented at the experimental plot level (e.g., Raffa and Berryman 1987, Oliver 1995) that lowering  
470 stand densities through selective harvest of hosts is commonly recommended for reducing future levels of tree  
471 mortality attributed to bark beetles (Fettig and Hilszczański 2015), including WPB (Fettig 2016). Greater  
472 host density shortens the flight distance required for WPB to disperse to new hosts, which likely facilitates  
473 bark beetle spread, however we calibrated our aerial tree detection to ~400 m<sup>2</sup> areas rather than to individual  
474 tree locations, so our data are insufficient to address these relationships. Increased density of ponderosa pine,  
475 specifically, may disproportionately increase the competitive environment for host trees (and thus increase  
476 their susceptibility to WPB colonization) if intraspecific competition amongst ponderosa pine trees is stronger  
477 than interspecific competition as would be predicted with coexistence theory (Chesson 2000). Finally, greater  
478 host densities increase the frequency that searching WPB land on hosts, rather than nonhosts, thus reducing  
479 the amount of energy expended during host finding and selection as well as the time that searching WPB  
480 spend exposed to predators.

#### 481 **Positive interaction effect of CWD and basal area**

482 While overall tree density is likely an indicator of favorable microsite in fire-suppressed forests, overall basal  
483 area is a better indicator of the local competitive environment especially in water-limited forests (Ma et al.  
484 2010, Fricker et al. 2019). While we found no main effect of overall basal area on the probability of ponderosa  
485 mortality, we did detect a clear interaction between site-level CWD and basal area such that mortality rates  
486 of ponderosa pine in hotter, drier sites were greater when local overall basal area was high. This is a similar  
487 interaction as found by Young et al. (2017), and we perhaps did not detect a similar main effect of basal  
488 area as Young et al. (2017) because we partitioned this overall effect into the influence of finer-scale forest  
489 structure and composition (e.g., number of host trees).

#### 490 **Negative main effect of host tree mean size, but strong positive interaction with site CWD**

491 The negative main effect of host tree mean size was surprising, and appears to contradict long-standing  
492 wisdom on the dynamics of western pine beetle in the Sierra Nevada. WPB exhibit a preference for trees 50.8  
493 to 76.2 cm in diameter at breast height (Person 1928, 1931), and a positive relationship between host tree  
494 size and levels of tree mortality attributed to WPB was reported by Fettig et al. (2019) in the coincident field  
495 plots as well as in other recent studies (Restaino et al. 2019, Stephenson et al. 2019, Pile et al. 2019). Indeed,  
496 Fettig et al. (2019) reported no mortality in ponderosa pine trees <10.0 cm DBH attributable to WPB and  
497 found no tree size/mortality relationship for incense cedar or white fir in the coincident field plots. These  
498 species represent 22.3% of the total tree mortality observed in their study, yet in our study all dead trees

499 were classified as ponderosa pine (see Methods) which could dampen positive effect of tree size on mortality.  
500 Larger trees are more nutritious and are therefore ideal targets if local bark beetle density is high enough  
501 to successfully initiate mass attack as can occur when many trees are under severe water stress (Bentz et  
502 al. 2010, Boone et al. 2011, Kolb et al. 2016). In the recent hot drought, we expected that most trees  
503 would be under severe water stress, setting the stage for increasing beetle density, successful mass attacks,  
504 and targeting of larger trees. A possible explanation for our finding counter to this expectation is that our  
505 observations represent the cumulative mortality of trees during a multi-year drought event and its aftermath.  
506 Lower host tree mean size led to a greater probability of host mortality earlier in this drought (Pile et al.  
507 2019, Stovall et al. 2019) and that signal might have persisted even as mortality continued to accumulate  
508 driven by other factors. Another explanation may be that our extensive sampling design better captured  
509 the contagious process by which bark beetles colonize smaller, suboptimal trees in the vicinity of the larger,  
510 more desirable trees that are the focus of initial attack (Klein et al. 1978). If larger, desirable trees tend to  
511 be associated with a greater local density of smaller trees that are also colonized in this contagious process,  
512 then we might observe a negative relationship between tree size and ponderosa mortality rates. Finally, tree  
513 growth rates may be a better predictor of susceptibility to WPB colonization than tree size per se, with  
514 slower-growing trees being most vulnerable (Miller and Keen 1960). While slow-growing trees are often also  
515 the largest trees, this may not be the case for our study sites especially given the legacy of fire exclusion  
516 in the Sierra Nevada and its effect of perturbing forest structure far outside its natural range of variation  
517 (Safford and Stevens 2017).

518 In hot, dry sites, larger average host size increased the probability of host mortality while smaller host sizes  
519 increased the probability of host mortality in cooler, wetter sites. Notably, a similar pattern was shown  
520 by Stovall et al. (2019) with a strong positive tree height/mortality relationship in areas with the greatest  
521 vapor pressure deficit and no tree height/mortality relationship in areas with the lowest vapor pressure  
522 deficit. Stovall et al. (2019) did not observe that this environmental dependence extended to a negative tree  
523 height/mortality relationship (as we did) even at the lowest extremes of their vapor pressure deficit gradient,  
524 perhaps because their entire study took place in the southern Sierra Nevada which represents a hotter, drier  
525 portion of the more spatially extensive results we present here. Our work suggests that the WPB was cueing  
526 into different aspects of forest structure across an environmental gradient in a spatial context in a parallel  
527 manner to the temporal context noted by Stovall et al. (2019) and Pile et al. (2019), who observed that  
528 mortality was increasingly driven by larger trees as the hot drought proceeded and became more severe.  
529 All of our sites were considered in an “epidemic” population phase for WPB (>5 trees killed per hectare; see  
530 Supplemental Information; Miller and Keen 1960, Hayes et al. 2009), but our results challenge the notion that

531 outbreak behavior by the WPB and subsequent tree mortality is always driven by greater tree size. Despite a  
532 strong tree size/mortality relationship in coincident ground plots across our study area (Fettig et al. 2019),  
533 our results from surveying the broader context surrounding those ground plots reveals different effects of  
534 host tree size depending on CWD. Thus, it is possible that the massive tree mortality in hotter/drier Sierra  
535 Nevada forests (lower latitudes and elevations; Asner et al. 2016, Young et al. 2017) during the 2012 to  
536 2015 hot drought arose as a synergistic alignment of environmental conditions and local forest structure that  
537 allowed WPB to successfully colonize large trees, rapidly increase in population size, and expand. It follows  
538 that the unexpectedly low mortality in cooler/wetter Sierra Nevada forests compared to model predictions  
539 based on coarser-scale forest structure data (Young et al. 2017) could be explained by a different WPB  
540 response to local forest structure due to a lack of an alignment with favorable climate conditions.

## 541 **Limitations and future directions**

542 We have demonstrated that drones can be effective means of collecting forest data at multiple, vastly different  
543 spatial scales to investigate a single, multi-scale phenomenon– from meters in between trees, to hundreds  
544 of meters of elevation, to hundreds of thousands of meters of latitude. Some limitations remain but can be  
545 overcome with further refinements in the use of this tool for forest ecology. Most of these limitations arise  
546 from tree detection and classification uncertainty, and thus it was imperative to work with field data for  
547 calibration and uncertainty reporting.

548 The greatest limitation in our study arising from classification uncertainty is in the assumption that all dead  
549 trees were ponderosa pine, which we estimate from coincident field plots is true approximately 73.4% of  
550 the time. Because the forest structure factors influencing the likelihood of individual tree mortality during  
551 the hot drought depended on tree species (Stephenson et al. 2019), we cannot rule out that some of the  
552 ponderosa pine mortality relationships to forest structure that we observed may be partially explained by  
553 those relationships in other species that were misclassified as ponderosa pine using our methods. However,  
554 the overall community composition across our study area was similar (Fettig et al. 2019) and we are able to  
555 reproduce similar forest structure/mortality patterns in drone-derived data when restricting the scope of  
556 analysis to only trees detected in the footprints of the coincident field plots, but with dramatically different  
557 patterns observed when including data from the forest surrounding the coincident field plots (see Supplemental  
558 information). Thus, we remain confident that the patterns we observed were driven primarily by the dynamic  
559 between WPB and ponderosa pine. While spectral information of foliage could help classify living trees to  
560 species, the species of standing dead trees were not spectrally distinct. This challenge of classifying standing  
561 dead trees to species implies that a conifer forest system with less bark beetle and tree host diversity, such

562 as mountain pine beetle outbreaks in monocultures of lodgepole pine in the Intermountain West, should be  
563 particularly amenable to the methods presented here even with minimal further refinement because dead  
564 trees will almost certainly belong to a single species and have succumbed to colonization by a single bark  
565 beetle species.

566 Some uncertainty surrounded our ability to detect trees using the geometry of the dense point clouds derived  
567 with SfM. The horizontal accuracy of the tree detection was better than the vertical accuracy, which may  
568 result from a more significant error contribution by the field-based calculations of tree height compared to  
569 tree position relative to plot center (Table 2). Both the horizontal and vertical accuracy would likely improve  
570 with better SfM point clouds, which can be enhanced with greater overlap between images (Frey et al. 2018)  
571 or with oblique (i.e., off-nadir) imagery (James and Robson 2014). Frey et al. (2018) found that 95% overlap  
572 was preferable for generating dense point clouds in forested areas, and James and Robson (2014) reduced  
573 dense point cloud errors using imagery taken at 30 degrees off-nadir. We only achieved 91.6% overlap with  
574 the X3 RGB camera and 83.9% overlap with the multispectral camera, and all imagery was nadir-facing.  
575 While our live/dead classification was fairly accurate (96.4% on a withheld dataset), our species classifier  
576 would likely benefit from better crown segmentation because the pixel-level reflectance values within each  
577 crown are averaged to characterize the “spectral signature” of each tree. With better delineation of each  
578 tree crown, the mean value of pixels within each tree crown will likely be more representative of that tree’s  
579 spectral signature. Better crown segmentation might most readily be achieved through greater overlap in  
580 imagery. We anticipate that computer vision and deep learning will prove helpful in overcoming some of  
581 these detection and classification challenges (Gray et al. 2019).

582 Another limitation of our study is in our use of the probability of ponderosa mortality as our key response  
583 variable. This measure is well-suited to understanding the dynamics between WPB colonization behavior and  
584 host tree susceptibility, but may not capture impacts on the forest ecosystem and its services as well as a  
585 measure of biomass reduction such as tree basal area.

## 586 Conclusions

587 Climate change adaptation strategies emphasize management action that considers whole-ecosystem responses  
588 to inevitable change (Millar et al. 2007), which requires a macroecological understanding of how phenomena at  
589 multiple scales can interact. Tree vulnerability to environmental stressors presents only a partial explanation  
590 for tree mortality patterns during hot droughts, especially when bark beetles are present. We’ve shown that  
591 drones can be a valuable tool for investigating multi-scalar phenomena, such as how local forest structure  
592 combines with environmental conditions to shape forest insect disturbance. Understanding the conditions

593 that drive dry western U.S. forest responses to disturbances such as bark beetle outbreaks will be vital for  
594 predicting outcomes from increasing disturbance frequency and intensity exacerbated by climate change. Our  
595 study suggests that outcomes will depend on interactions between local forest structure and broad-scale  
596 environmental gradients, with the potential for cross-scale interactions to enhance our current understanding  
597 of forest insect dynamics.

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