# Selection by suppression

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# 9 Abstract

# 10 Introduction

Fire suppression is an oft-cited root cause of the modern trend of larger, more severe wildfires in the yellow pine/mixed-conifer forests of California's Sierra Nevada mountain range (Miller and Thode 2007; Safford and Stevens 2017). While this system would experience frequent, low- to moderate-severity wildfire every 8 to 15 years in the several centuries prior to Euroamerican settlement, suppression management has largely eliminated fire effects from much of western dry forested land in the past 100 years (Steel et al. 2015; Safford and Stevens 2017). A lack of frequent fire has led to densification of Sierra yellow pine/mixed-conifer, which increases fuel loading and homogenizes forest structure (Collins et al. 2016; Stephens et al. 2018). Fortuitous 17 alignment of these extreme fuel conditions with earlier snowmelt, longer fire seasons, and hotter droughts (aka "climate change droughts") (Westerling 2006, 2016; Abatzoglou and Kolden 2013; Abatzoglou and Williams 2016) increases the probability that fires will generate self-propagating behavior (Coen et al. 2018) and burn at high severity (Koontz et al. 2019b) in large, contiguous patches (Stevens et al. 2017). The Sierra yellow pine/mixed-conifer community is ill-adapted to regenerate in the centers of high-severity patches, which are far from seed sources (Welch et al. 2016), and thus these megadisturbances have negative consequences for long-term forest health (Millar and Stephenson 2015; Stevens et al. 2017; Steel et al. 2018). Despite the long-term effects of fire suppression on wildfire trends, fire suppression generally is very effective

Despite the long-term effects of fire suppression on wildfire trends, fire suppression generally is very effective at extinguishing fires. Between 1970 and 2002, the U.S. forest service contained over 97.5% of fires before they reached 120 hectares in size (Calkin *et al.* 2005). In the Sierra yellow pine/mixed-conifer system, the vast majority of fires are managed for suppression (citation needed?) and 98.5% remain smaller than 80 hectares (Short 2017). The fires that remained small are often assumed to have burned under mild or moderate

- vegetation and climate conditions, facilitating initial suppression efforts. The fires that escape initial attack and grow large account for 97.5% of the total burned area of fires managed by the U.S. Forest Service (Calkin et al. 2005), and are often assumed to have grown to these sizes because they were burning under more extreme conditions. Thus, the cumulative effect of fire suppression policy has led to a management paradox with respect to maintaining forest health: we shouldn't put out the fires that we can, but we can't put out the fires that we should.
- Bimodal distribution of fire sizes under suppression policy: either the fires were quickly put out and remained very small, or they escaped suppression efforts and grew exceptionally large due to regional climate conspiring with accumulated fuel conditions. Indeed, Miller and Safford (2017) found evidence for this pattern in that the average size of all fires is much smaller under a modern fire suppression management regime compared to pre-Euroamerican settlement fires (as one might intuitively expect given the goal of suppression is to stop fires to protect life and property), but the average size of larger fires (>4 hectares) is, perhaps counter-intuitively, much greater under modern suppression management (Safford and Stevens 2017; Miller and Safford 2017). Many studies have suggested that adding more fire to the landscape is a way to return forests to pre-Euroamerican settlement resilient conditions (North et al. 2015; Collins et al. 2017; Stevens et al. 2017) (XXXXXX Stephens) and some efforts are underway (SEKI reference? new USFS policies using some forest management units as testbeds for this idea?)
- We use a new dataset of fire severity (Koontz *et al.* 2019a) to measure this "selection by suppression" effect and ask:
- 1) How do burning conditions (regional climate, vegetation density, vegetation continuity) vary between suppression and wildfire use fires?
- 2) What are the consequences of these varying conditions for wildfire effects (burn duration, fire event size, severity configurations)?
- 3) To what extent might prematurely extinguished suppression wildfires exhibit desireable fire effects, were
  they to be allowed to burn for longer? That is, are small suppression fires behaving like small wildfire
  use fires (and thus they should perhaps be left to burn and cover more area)?

### 56 Methods

# 57 Study system

- The Sierra Nevada yellow pine/mixed-conifer (hereafter Sierra YPMC) is a disturbance-prone forest system
- in the Sierra Nevada mountain range of California, U.S.A. It spans the full 628 kilometer latitudinal length of

the Sierra Nevada, and over 2,750 meters of elevation (229 meters to 3053 meters; 95\% interval = (679, 2516) meters; IQR = (1273, 1945) meters), primarily on the western slope of the mountain range. The forest is dominated by ponderosa pine (*Pinus ponderosa*), sugar pine (*Pinus lambertiana*), white fir (*Abies concolor*), and incense cedar (Calocedrus decurrens). Prior to Euroamerican settlement, the ecosystem experienced frequent, low- to moderate-severity wildfire every 8 to 15 years on average (Steel et al. 2015; Safford and Stevens 2017), which consumed surface fuels but generally had minimal effects on large, established trees. This fire regime generated heterogeneous horizontal forest structure, with groups of relatively even-aged trees having interlocking crowns, individual trees with distinct crowns, and variably-sized gaps between these tree clump and individual tree structural features (Lydersen et al. 2013). A century of fire suppression has led to infill of these gaps, homogenizing the horizontal forest structure (Collins et al. 2016) and compromising forest resilience (North 2012) in an era of climate change-induced hotter droughts (Millar and Stephenson 2015). We designated Sierra YPMC using the U.S. Forest Service Fire Return Interval Departure (FRID) dataset and included "dry mixed-conifier", "moist mixed-conifer", and "yellow pine" vegetation types following Steel et al. (2018) and Koontz et al. (2019b). These classifications represent "potential vegetation" given the climate of the area, such that there is no influence of recent disturbance events (Harvey et al. 2016; Steel et al. 2018; Koontz et al. 2019b).

# 76 Measuring wildfire burning conditions

The CalFire Fire Resource and Protection Program (FRAP; http://frap.fire.ca.gov/) maintains the most comprehensive datset of wildfire perimeters in the state of California, including attribute data for each fire such as its discovery date, its containment date, and the management objective. The management objective represents the approach taken by the management unit overseeing the wildfire—either "suppression", with a goal of extinguishing the fire, or "wildland fire use", with a goal of allowing the fire to burn so long as it didn't threaten lives or property. This dataset contains all fires >4 hectares, and thus has greater representation of fire events compared to other wildfire events datasets, though it lacks severity information. For instance, the Monitoring Trends in Burn Severity (MTBS) database only contains wildires in the western U.S. that are larger than 400 hectares (Eidenshink et al. 2007) and the U.S. Forest Service Region 5 geospatial database contains wildfires in the Sierra Nevada that are larger than 80 hectares (Steel et al. 2018), though both of these datasets contain information on wildfire severity.

A previous study used the expanded FRAP dataset of over 1,000 wildfire perimeters to calculate wildfire severity and calibrate satellite-derived measures using ground-based overstory composite burn index (CBI) (Koontz et al. 2019b), which is an integrated measure of the effect of a wildfire on the forest overstory

one year after the burn (Key and Benson 2006). CBI correlates well with direct measures of fire impact to vegetation in Sierra YPMC, such as percent of overstory mortality (Miller and Thode 2007).

In addition to mapping wildfire severity across each fire in the FRAP perimeter database, Koontz et al. (2019b) also calculated fuel and regional climate variables within the burn perimeter. The prefire Normalized Difference Vegetation Index (NDVI; Rouse et al. (1973)]) was found to correlate strongly with wildfire severity, as was the standard deviation of NDVI within the 90m x 90m window surrounding each pixel, which represents a measure of horizontal forest structure and fuel continuity (Koontz et al. 2019b). The gridMET product (Abatzoglou 2013) was used to calculate the median 100-hour fuel moisture, energy release component, and vapor pressure deficit for the 3 days prior to each fire's discovery date, which captures regional climate conditions over time periods of several days, but not very local weather events that might occur over the span of hours. Each of these variables has a strong impact on wildfire behavior at macroscales (Abatzoglou and Kolden 2013).

# 103 Aggregating burning conditions to the fire event level

For this study, we assigned prefire burning conditions for each fire as the mean fuel (prefire NDVI, prefire standard deviation of NDVI within 90m x 90m moving windows) and regional climate (100-hour fuel moisture, energy release component, vapor pressure deficit) values within each fire perimeter.

# 107 Designating "survivorship" of suppression fires

For each wildfire with a suppression management objective, we determined whether it "survived" initial attack by whether it's burn duration (discovery date subtracted from the containment date) was greater than 1 day. Following Abatzoglou *et al.* (2018), we assumed that fires under a suppression management objective that burned for more than one day would require different firefighting tactics than direct attack and thus represented a reconfiguration of firefighting personnel and resource allocation.

### 113 Quantifying the selection effect of fire suppression

We treated the prefire fuel (prefire NDVI, heterogeneity of NDVI) and climate conditions (prefire energy release component) as wildfire "phenotypes" with some distribution, and measured the extent to which wildfire suppression "selected" for particular phenotypes using the survivorship of each fire to initial attack as our fitness metric. Given the binomial response of the data, we used a logistic regression framework in an evolutionary ecology framework (Lande and Arnold 1983; Janzen and Stern 1998).

# 119 Implications of selection for wildfire responses

- We fit models using the same prefire fuel and climate conditions to wildfire effects responses including fire
  event size, proportion high severity, and the stand-replacing decay coefficient (Collins *et al.* 2017; Stevens *et al.* 2017).
- 123 Comparing to the "wildland fire use" fires in some way?

#### 124 Future work?

- Took the Short (2017) dataset and subsetted to (approximately) Sierra YPMC. (took centroid and fire area, assumed circular fire, assessed how much of it fell across the Sierra YPMC raster image; super small fires that intersected no centroids of the raster image were converted back to points and we "extracted" the Sierra YPMC raster value (either 0 or 1) based on the point location instead). Uploaded to Earth Engine and got the regional climate values for the centroids. (Also got the fuel values for the centroids, but these aren't that meaningful because they should vary quite a bit across the fires. Could still calculate across the assumed circular fires?)
- Some summary stats of the Sierra Nevada yellow pine/mixed-conifer subset of the Short (2017) fire occurrence dataset. (65% of fires less than a Landsat pixel; 5.2% of fires in Koontz *et al.* (2019a) dataset; 1.5% in USFS Region 5 dataset; 0.5% in MTBS dataset)
- Subset Short (2017) into suppression/managed wildfire based on where it burns, using method of Steel *et al.* (2018).
- Use the expanded set of fires, and the relationships between fire size/SDC and covariates to extrapolate the potential added "good fire area" that might have burned if the Short (2017) suppression fires weren't extinguished.

# 140 Results

#### 141 Selection by suppression

We found a sizeable effect of phenotypic selection of initial attack suppression on the heterogeneity of NDVI and the prefire energy release component. Wildfires that survived initial attack were more likely to be burning in more extreme, homogenous fuels (lower heterogeneity of NDVI) and more extreme, hotter/drier climate conditions (higher energy release component) compared to wildfires that succumbed to the initial attack.

Table 1:

| scale(prefire_ndvi)        | -0.045                      |
|----------------------------|-----------------------------|
|                            | (0.084)                     |
| $scale(nbhd\_sd\_ndvi\_1)$ | $-0.269^{***}$              |
|                            | (0.079)                     |
| $scale(prefire\_erc)$      | 0.190**                     |
|                            | (0.077)                     |
| Constant                   | 0.920***                    |
|                            | (0.079)                     |
| Observations               | 812                         |
| Log Likelihood             | -478.293                    |
| Akaike Inf. Crit.          | 964.585                     |
| Note:                      | *p<0.1; **p<0.05; ***p<0.01 |

# Implications of selection by suppression

Comparison to wildland fire use fires...

# Discussion

- We have demonstrated a clear multi-modality in the fire event size distribution of wildfires managed with a suppression objective, and further measured the effect of this "selection by suppression" on the severity 150 characteristics of these events. 151
- Suppression leads to especially large fires because the ones that grow large are only able to do so because they burn under extreme conditions. We found strong evidence that inital attack "kills" fires that would 153 otherwise burn under more mild and moderate fuel and climate conditions, instead favoring fires that burn under extreme conditions, with concomitant negative consequences for Sierra YPMC forest health. 155

#### Two implications: 156

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- 1) There is "lost work" (in terms of Sierra YPMC area affected positively by wildfire) associated with fires 157 that are extinguished before they have a chance to do that work. 158
- 2) Though destructive megaevents are on the rise, their prevalence is highlighted because they are all that burns large. 160

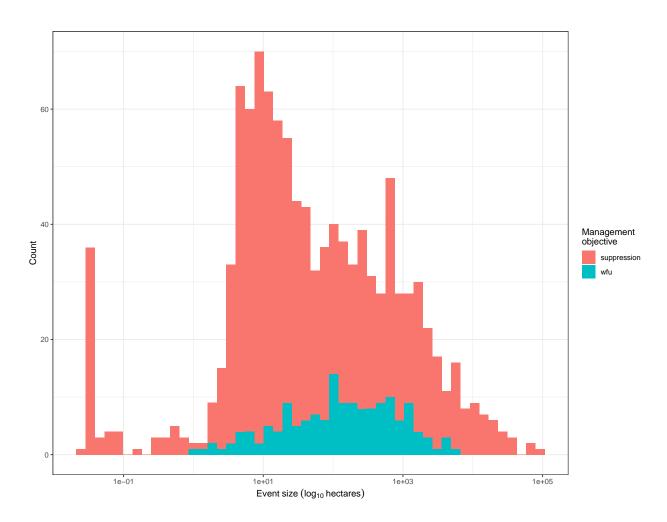


Figure 1: Distribution of log fire event size by management objective. While wildfire use fires exhibit a lognormal distribution in size, suppression fires exhibit clear multimodality.

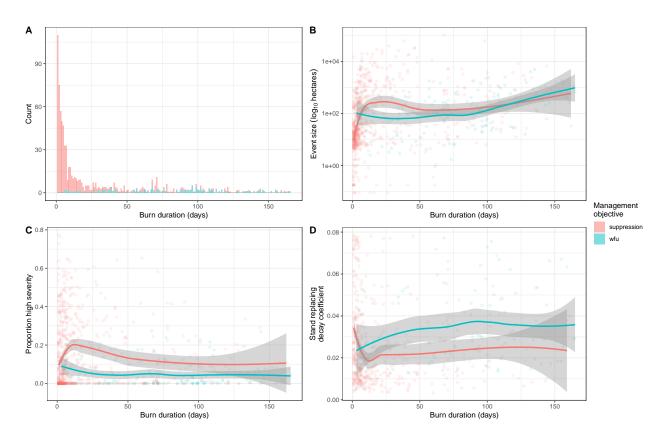


Figure 2: A) Distribution of burn duration by management objective. Most suppression fires are quickly extinguished. B) Effect of burn duration on fire event size shows that there's a similar trajectory between suppressed and wildfire use fires except early in the burning period when suppression fires remain small. C) The high severity portion of the fire tends to increase with shorter-duration suppression fires, but is relatively constant across burn durations for wildfire use fires. D) For fires with a high severity component, the stand replacing decay coefficient sharply declines for short-lived fires indicating that the high severity patches are larger and simpler which may reduce tree propagule pressure in the center of these patches and compromise dry forest regeneration. The SDC tends to increase with the burn duration for wildfire use fires.

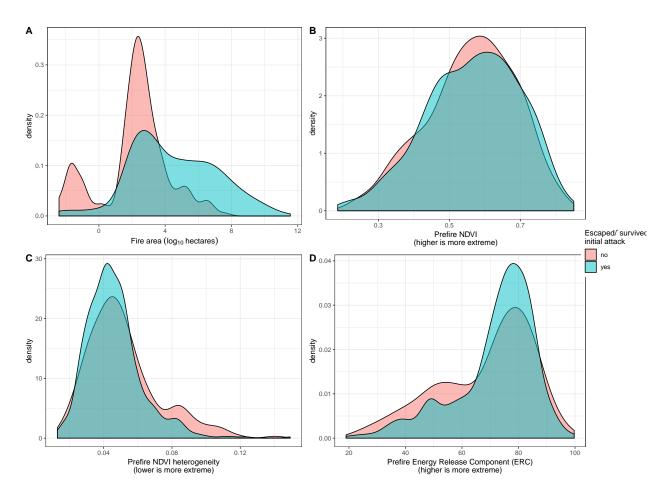


Figure 3: The selection effect on the vegetation and climate burning conditions of suppressed wildfires.

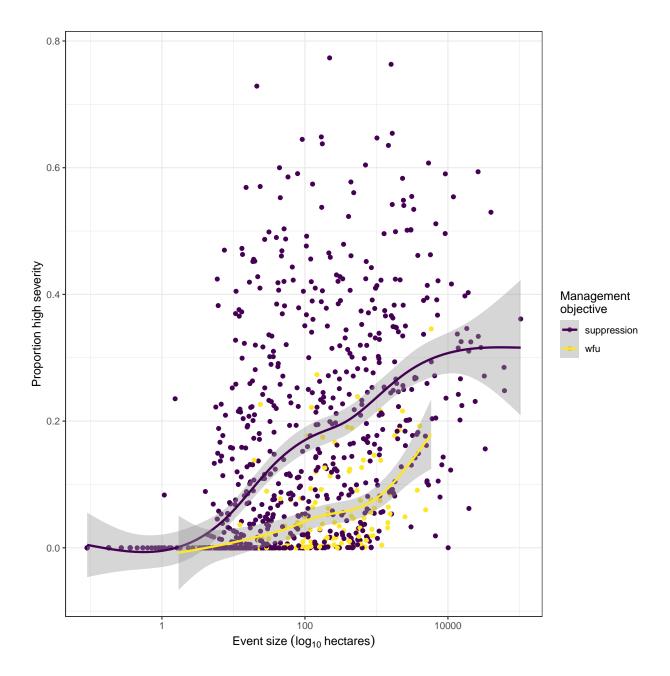


Figure 4: The effect of the fire event size on the proportion of high severity fire by management objective. The effect appears to manifest for suppression fires starting at fires around 40 hectares in size.

Essentially all of the suppression fires in Figure 2c that are within or above the confidence interval for the wildfire use fires had beneficial severity characteristics but were suppressed. Each of these fires represents potentially "lost area" of beneficial fire activity.

### 164 Extending the metaphor: a case for an evolutionary response to selection

Evolutionary ecology makes a clear and important distinction between "phenotypic selection"—the differential survivorship or reproduction of individuals with different phenotypes, and "evolutionary response to selection" in which differences in the phenotype distributions are passed on to offspring owing to heritability of the traits under selection (or correlation with heritable traits under selection) (Lande and Arnold 1983). Of course, our "selection" metaphor can only take us so far in exploring the implications of fire suppression on typical wildfire phenotypes in Sierra YPMC as wildfires are not living things, but perhaps we can make one more leap by considering that the fuel conditions are, in a sense, "heritable".

Forest structure and fuel characteristics link one disturbance event to the next via feedbacks: one wildfire 172 changes the fuel characteristics of the forest as it burns, and those altered fuel characteristics can feedback to influence the behavior and effects of the next wildfire. In Sierra YPMC, severe wildfires with stand-replacing 174 effects, especially large, contiguous stand-replacing effects, tend to homogenize forest spatial structure. In 175 turn, homogenous forest structure increases the probability that a forest will burn at high severity (Koontz et 176 al. 2019b). If initial attack suppression selects for wildfires to burn under more homogenous fuel conditions, 177 and those fires are more likely to burn at high severity, then the vegetation within the footprint of those fires 178 will be more likely to regenerate homogeneously, thus "inheriting" the phenotype that was selected for. On a longer time scale, this is perhaps true for the regional climate trait that suppression also selects for: selection for fires burning under hotter/drier conditions will likely lead to more severe wildfires, with greater release of 181 carbon to the atmosphere, greater climate forcing, and increasingly common hot/dry conditions. In this case, the selection for fires burning under hotter/drier conditions also leads to "inheritance" of the hotter/drier 183 phenotype for future fires.

### Tying into other studies (need to find a home for these thoughts)

# 186 Benefit of having smaller fires to analyze?

Steel *et al.* (2018) found only a small difference in the measure of regional climate during suppression versus wildfire use fires (modeled fuel moisture), which led to the conclusion that an "extreme fuel" effect underlied the differences in the size/configuration of high and unburned severity patches.

But that analysis only included fires greater than 80 hectares in size, which is still pretty big. Analyzing big

- fires made sense for that paper, because they affect the most area, but if we want to suggest "let fires burn at more moderate weather conditions" as a mitigation strategy for a century of making fuel conditions more extreme, then I think this paper would serve the purpose of measuring the degree to which good work can be done by a fire (even in extreme fuel conditions) in milder weather conditions.
- 195 Interdependence on various burning conditions and fire effects
- 196 Interacting effects (and directional dependence) of vegetation, regional climate, fire size, and severity patterns:
- 197 (Cansler and McKenzie 2014; Harvey et al. 2016).
- <sup>198</sup> "A variety of environmental and social factors influence wildfire growth and whether a fire overcomes initial
- 199 attack efforts and becomes a large wildfire. However, little is known about how these factors differ between
- 200 lightning-caused and human-caused wildfires." (Abatzoglou et al. 2018)
- <sup>201</sup> "As shown by Balch et al. (2017), human-caused fires occupy an environmental niche characterised by lower
- 202 lightning- frequency and higher fuel moisture than lightning-caused fires. The present work complements
- those findings by demonstrating the likelihood of human ignitions evolving into large fires when facilitated by
- strong winds." (Abatzoglou et al. 2018)

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