Harmony and Counterpoint with Dependent Types

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We love Agda.

Additional Key Words and Phrases: dependent types, harmony, counterpoint

ACM Reference Format:

John Leo and Youyou Cong. 2020. Harmony and Counterpoint with Dependent Types. *Proc. ACM Program. Lang.* 4, ICFP, Article 42 (August 2020), 8 pages.

1 INTRODUCTION

Edgar Varèse describes music as "organized sound", and throughtout history cultures have created and applied systems of rules and guidelines to govern the music they create, most notably the Common Practice Period of Western music spanning the 17th to early 20th centuries. These rule systems are seldom absolute, and indeed deliberate breaking the rules is often part of the aesthetic, but they roughly constrain the music they apply to and give it a common form and sound.

Artists and theoreticians have attempted to capture and codify these rule systems, always informally in natural language, and typically accompanied by examples from the existing literature. The intent is both to analysize existing music and then to use these principles to guide the creation of new music, in other words for synthesis.

Starting in the 20th century computers have become ubiquitous in music in every area including sound sythesis, composition and production ([2]).

[more here]

2 MUSICAL PRELIMINARIES

Pitches. In music, a pitch is a value denoting how high or low a sound is. Pitches can be represented either as an absolute value or as a relative value paired with the octave the sound belongs to. In our implementation, the two representations of pitches are defined as Pitch and PitchOctave, respectively, accompagnied by a proof that converting back and forth between them is an identity.

data Pitch : Set where pitch : $\mathbb{N} \to \text{Pitch}$

PitchOctave : Set

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2475-1421/2020/8-ART42 \$15.00

https://doi.org/

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PitchOctave = Fin $12 \times Octave$

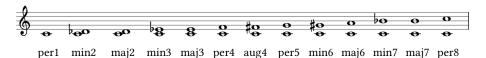
Duration. Duration denotes a certain unit of time during which a sound or silence lasts. The unit is unspecified at the composition time; it is instantiated to a concrete value when the music is played at a specific tempo.

```
data Duration : Set where duration : \mathbb{N} \to \text{Duration}
```

Note. Combining pitches and duration gives us *notes*. In our implementation, we represent notes with sound as tones and those without as rests.

```
data Note : Set where tone : Duration \rightarrow Pitch \rightarrow Note rest : Duration \rightarrow Note
```

Intervals. Intervals are yet another key concept in music. An interval denotes the difference in pitch between two notes. There are 13 kinds of interval within an octave, and these intervals may be classified from several different perspectives: (i) major or minor; (ii) consonant or dissonant; and (iii) perfect or imperfect. For convenience, we let the names of intervals carry the information about the first and third classifications. As a convention, we refer to min2 and maj2 uniformly as "2nd", and similarly for other intervals. We also call per1 the *unison* in the rest of this section.



```
interval : \mathbb{N} \to \text{Interval}
      = interval 0 -- consonant
per1
min2 = interval 1
maj2 = interval 2
min3 = interval 3 -- consonant
maj3 = interval 4 -- consonant
      = interval 5
per4
aug4
      = interval 6
per5 = interval 7 -- consonant
min6 = interval 8 -- consonant
      = interval 9 -- consonant
maj6
      = interval 10
min7
```

data Interval : Set where

3 COUNTERPOINT

= interval 11

= interval 12 -- consonant

maj7

per8

Counterpoint is a technique for combining multiple lines of melodies. Composing counterpoint is like arranging a song for choir: we start with a *cantus firmus*, which serves as the base melody, and compose counterpoint lines above or below the cantus firmus. When doing this, we must make sure that the whole music sounds harmonically pleasing, and that the individual melodic lines are distinguishable to the listener.

In this section, we present an implementation of species counterpoint, based on the formulation given by Fux [1]. The idea is to represent "good" counterpoint as a dependent record, whose fields encode the musical content as well as proofs that the counterpoint follows certain rules. For space reason, we only describe two variants of species counterpoint; other variants can be formalized in an analogous way.

3.1 First Species Counterpoint

First species counterpoint is the simplest variant of counterpoint. In first species, we set one note against each note in the cantus firmus, which is required to start with a tonic and consist only of whole notes. Figure 1 shows an example of first species counterpoint:

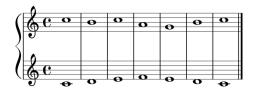


Fig. 1. First Species Counterpoint

The lower line is the cantus firmus, which we excerpt from a famous German song called "Froschgesang" (Frog's song). The upper line is the counterpoint composed by the second author.

3.1.1 Representing Music. In our implementation, we represent each bar as a pitch-interval pair (p, i), where p is a note in the cantus firmus, and i is the distance between p and the corresponding counterpoint note. We then represent a sequence of bars as a list of pitch-interval pairs, but with one proviso: we separate the first and last bars from the middle bars. Therefore, the Frog's song in Figure 1 is represented as a compound of the following three elements:

3.1.2 Representing Rules. The reason behind our three-part representation of music is that different parts are subject to different rules, as we detail below.

Beginning. The beginning of the music should express perfection. As we saw in Section 2, there are three intervals that are classified as perfect: the unison, the 5th, and the 8th. The first interval of the music must then be one of these intervals. In our formalization, we implement this rule as the checkBeginning function, which reports an error not158 i when the first interval i is an invalid one. Since Agda does not have exceptions, we turn the error into an option value by wrapping it around the just constructor.

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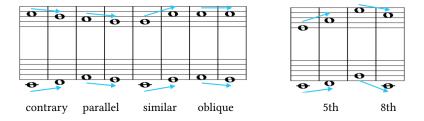


Fig. 2. Four Kinds of Motion (left) and Bad Motion (right)

```
data BeginningError : Set where
  not158 : PitchInterval → BeginningError

checkBeginning : PitchInterval → Maybe BeginningError
checkBeginning pi@(_ , i) =
  if ((i == per1) ∨ (i == per5) ∨ (i == per8))
  then nothing
  else just (not158 pi)
```

Intervals. The middle bars of the music should maintain harmonical consonance and independence of melodic lines. As we saw before, consonant intervals include the unison, 3rd, 5th, 6th, and 8th, and among these, the unison is clearly an obstacle to distinguish between the two lines of music. Therefore, the middle bars must consist of the latter four intervals. We encode this rule as the checkIntervals function, which returns a list of errors correponding to the occurrences of dissonant intervals and unisons.

Motion. The independence of melodic lines is also affected by *motion*, i.e., the way one interval moves to another interval.

As can be seen from the figure on the left, there are four kinds of motion: *contrary* (two lines go in different directions), *parallel* (two lines go in the same direction by the same distance), *similar* (two lines go in the same direction), and *oblique* (one line plays the same note). Among these variants, parallel and similar motion makes it harder to distinguish between two voices, especially when the second interval is a perfect one, as in the figure on the right. To avoid problematic motion, we define the checkMotion function, which lists all invalid motion approaching a perfect interval.

```
data MotionError : Set where parallel : PitchInterval \rightarrow PitchInterval \rightarrow MotionError
```

```
similar : PitchInterval → PitchInterval → MotionError

motionCheck : PitchInterval → PitchInterval → Maybe MotionError
motionCheck i1 i2 with motion i1 i2 | isPerfect (proj<sub>2</sub> i2)
motionCheck i1 i2 | contrary | _ = nothing
motionCheck i1 i2 | oblique | _ = nothing
motionCheck i1 i2 | parallel | false = nothing
motionCheck i1 i2 | parallel | true = just (parallel i1 i2)
motionCheck i1 i2 | similar | false = nothing
motionCheck i1 i2 | similar | true = just (similar i1 i2)

checkMotion : List PitchInterval → List MotionError
checkMotion = mapMaybe (uncurry motionCheck) ∘ pairs
-- where pairs returns a list of all adjacent pairs in a given list
```

Ending. The ending of the music should express relaxation. Among different intervals, the unison and the 8th are considered most stable, hence the last interval of the music must be either of these intervals. The last interval should also be approached by a *cadence*, a progression that gives rise to a sense of resolution. We encode these rules as the checkEnding function. Note that it returns the tooShort error when the music does not have enough number of bars to form a valid ending.

```
data EndingError : Set where
          : PitchInterval → EndingError
  not18
            : PitchInterval → EndingError
  not27
  tooShort : List PitchInterval → EndingError
endingCheck : PitchInterval \rightarrow PitchInterval \rightarrow Maybe EndingError
endingCheck pi1@(pitch p , i) (pitch q , interval 0) =
  if ((p + 1 \equiv^b q) \land (i == min3)) then nothing else just (not27 pi1)
endingCheck pi1@(pitch p , i) (pitch q , interval 12) =
  if ((q + 2 \equiv^b p) \land (i == maj6) \lor (p + 1 \equiv^b q) \land (i == min10))
  then nothing
  else just (not27 pi1)
endingCheck pi1
                                                           =
                                pi2
  just (not18 pi2)
checkEnding : List PitchInterval \rightarrow PitchInterval \rightarrow Maybe EndingError
                        _ = just (tooShort [])
checkEnding []
checkEnding (p :: []) q = endingCheck p q
checkEnding (p :: ps) q = checkEnding ps q
```

- 3.1.3 Putting Things Together. Using the encoding of music and rules we have seen so far, we define FirstSpecies, a record type inhabited by correct counterpoint. The first three fields of this record type represent the first, middle, and last bars, respectively. The last four fields stand for the proofs that the music satisfies all the required properties, that is:
 - The beginning is a perfect consonance
 - The middle bars have no dissonant interval or unison
 - The middle bars have no perfect interval approached by parallel or similar motion
 - The ending is a unison or an octave approached by a cadence

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Recall that the functions representing these rules return either an option value or a list of found errors. Therefore, the correctness proofs either take the form func $args \equiv nothing$, or look like func $args \equiv []$.

```
record FirstSpecies : Set where
  constructor firstSpecies
  field
    firstBar : PitchInterval
    middleBars : List PitchInterval
    lastBar : PitchInterval
```

beginningOk : checkBeginning firstBar ≡ nothing
intervalsOk : checkIntervals middleBars ≡ []
motionOk : checkMotion middleBars ≡ []

endingOk : checkEnding middleBars lastBar ≡ nothing

With this record type, we can show that the counterpoint composed for the Frog's song is correct, since the music inhabits the FIrstSpecies type.

```
fs : FirstSpecies
fs = firstSpecies first1 middle1 last1 refl refl refl refl
```

3.2 Second Species Counterpoint

We next discuss a more complex variant of counterpoint, called the second species. In second species, we set *two* half notes against every cantus firmus note. Figure 3 is an example of such two-against-one counterpoint, again composed for the Frog's song:



Fig. 3. Second Species Counterpoint

As we can see from this example, the first and last bars may have a different structure from middle bars. More specifically, it is encouraged to begin the counterpoint line with a half rest and end with a whole note. Therefore, in our implementation, we reuse the PitchInterval type for the first and last bars, and define a new type PitchInterval2 for middle bars:

```
PitchInterval2 : Set
PitchInterval2 = Pitch × Interval × Interval

first2 : PitchInterval
first2 = (c 5 , per5)

middle2 : List PitchInterval2
middle2 =
   (d 5 , min3 , per5) :: (e 5 , min3 , min6) :: (f 5 , maj3 , aug4) :: (e 5 , min6 , min3) :: (d 5 , min3 , maj6) :: []
last2 : PitchInterval
```

Proc. ACM Program. Lang., Vol. 4, No. ICFP, Article 42. Publication date: August 2020.

```
last2 = (c 5, per8)
```

The rhythmic structure of secound species counterpoint gives rise to the distinction between *strong beats* (the first interval in a bar) and *weak beats* (the second interval). Roughly speaking, strong beats in middle bars are constrained by the same rules as in first species. That is, they must all be consonant, non-unison intervals, and in the case of perfect intervals, the motion from the preceding interval (on the weak beat) must be either contrary or oblique.

Weak beats, on the other hand, are constrained in a looser manner. First, they are allowed to be dissonant if they are created by a *passing tone*, i.e., a note in the middle of two step-wise motions in the same direction (as in bars 4-5 of Figure 3). Second, they are allowed to be a unison if they are left by step in the opposite direction from their approach (as in bars 5-6 of Figure 3).

With these rules in mind, let us look at the definition of SecondSpecies, a record type inhabited by correct second species counterpoint:

```
record SecondSpecies : Set where
  constructor secondSpecies
  field
    firstBar
                  : PitchInterval
    middleBars
                  : List PitchInterval2
    lastBar
                  : PitchInterval
                  : checkBeginning2 firstBar ≡ nothing
    beginning0k
    strongBeatsOk : checkStrongBeats middleBars ≡ []
    weakBeats0k
                  : checkWeakBeats middleBars (secondPitch lastBar) ≡ []
    motion0k
                  : checkMotion2 (firstBar ::
                                   (expandPitchIntervals2 middleBars) ++
                                   (lastBar :: [])) \equiv []
    ending0k
                  : checkEnding2 middleBars lastBar ≡ nothing
```

As in FirstSpecies, we have three fields holding the musical content, followed by five fields carrying the proofs of various properties. Among the latter group of fields, beginningOK is defined analogously to the corresponding field of FirstSpecies; the only difference is that the interval must not be a unison, as it prevents the listener from recognizing the beginning of the counterpoint line. The next two fields, strongBeatsOK and weakBeatsOK, guarantee the correctness of strong and weak beats, respectively. What follows is motionOK, which converts a list of PitchInterval2 into a list of PitchInterval, and checks motion accross bars. Lastly, we have endingOK, which forces the last two intervals to have a cadence structure.

Using SecondSpecies, we can show that our second species counterpoint for the Frog's song is correct.

```
ss : SecondSpecies
ss = SecondSpecies first2 middle2 last2 refl refl refl refl refl
```

4 HARMONY

Text here.

5 CONCLUSION

Text here.

REFERENCES

[1] Johann Joseph Fux. 1965. The Study of Counterpoint. W. W. Norton & Company.

42:8 Leo and Cong [2] Curtis Roads, John Strawn, Curtis Abbott, John Gordon, and Philip Greenspun. 1996. The Computer Music Tutorial. MIT Press, Cambridge, MA, USA.