Music Theory with Dependent Types (Experience Report)

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Throughout history, music has been composed following general rules and guidelines, expressed informally through natural language and examples. The expressiveness of dependent type theory allows us to capture these rules formally, and then use them to automate analysis and synthesis of music.

In this experience report, we explore expressing a small subset of the rules of the common practice period in Agda, a functional programming language with full dependent types. We focus on the construction of species counterpoint as well as four-part harmonization of melody. We point out both the advantages of using dependent types to express music theory and some of the challenges that remain to make languages like Agda more practical as a tool for musical exploration.

CCS Concepts: • **Applied computing → Sound and music computing**; • **Software and its engineering → Functional languages**; • **Theory of computation → Type theory**.

Additional Key Words and Phrases: dependent types, counterpoint, harmony

1 INTRODUCTION

Edgar Varèse describes music as "organized sound", and throughout history cultures have developed and applied systems of rules and guidelines to govern the music they create, most notably the Common Practice Period of Western music spanning the 17th to early 20th centuries. These rule systems are seldom absolute, and indeed deliberate breaking of the rules is often part of the aesthetic, but they roughly constrain the music they apply to and give it a common form and sound.

Artists and theoreticians have attempted to capture and codify these rule systems, informally in natural language, and typically accompanied by examples from the existing literature. The intent is both to analyze existing music and then to use these principles to guide the creation of new music, in other words for synthesis.

Starting in the 20th century, computers have become ubiquitous in music in every area, including sound synthesis, composition and production [Roads et al. 1996]. In terms of music theory, there has been a line of recent work on using functional programming for harmonic analysis [De Haas et al. 2011, 2013; Magalhães and de Haas 2011], harmonization of a melody and generation of melodies based on a harmonization [Koops et al. 2013; Magalhães and Koops 2014] and counter-point [Szamozvancev and Gale 2017]. There is also an established Haskell library Euterpea [Hudak and Quick 2018] for general music and sound exploration.

To describe rules of basic harmonic structure, Magalhães and de Haas [2011] and their successors use dependent types, for example to index chords by major or minor mode. However Haskell currently has limited support for dependent types, and requires many extentions and tricks such as the use of singleton types [Eisenberg and Weirich 2013].

In this paper we explore what can be done in the context of music by using a programming language that offers full dependent types. We use Agda [Norell 2007] since it is fairly mature and aims to be both a functional programming language and a proof assistant. It also features a Haskell FFI so we can take advantage of existing Haskell libraries, in particular for MIDI generation.

Full dependent types allow expression of predicate logic, and it is tempting to take a standard textbook on music theory such as Piston and DeVoto [1987] or Aldwell and Cadwallader [2018] and formalize it in type theory. As a first step towards this goal, we start with the modest task of expressing a small, relatively strict rule set known as species counterpoint [Fux 1965], intended for combining interdependent melody lines to produce a pleasant-sounding result. Roughly speaking, what we do is to write a custom musical type checker in Agda. Compared to the previous work by Cong and Leo [2019], where rules are expressed directly as Agda types, our approach makes it easier to describe fine-grained rules, produce readable error messages, and add or drop rules depending on the circumstances.

We also present preliminary work on harmonizing a melody using a subset of rules based on Piston and DeVoto [1987], contrasting with existing work by Koops et al. [2013]. Since counterpoint and harmony are not separate concepts but in fact deeply intertwined, we would wish to reuse counterpoint rules to develop natural-sounding harmonizations. We show that, with our custom type checker, it is straightforward to reuse rules in different contexts. Put differently, our representation of rules satisfies modularity.

The rest of this paper structured as follows. Section 2 introduces basic musical concepts as well as their Agda representation. Sections 3 and 4 describe our formalization of counterpoint and harmony, focusing on the composable and modular aspects of the proposed approach. Lastly, Section 5 concludes the paper with future perspectives.

This is an experience report, and we highlight both the advantages and disadvantages of using Agda for music theory. On one hand the expressiveness of dependent types makes it easy and natural to describe music theory rules. On the other hand we find the emphasis on proof construction and particularly the extra work needed for decidable equality can add an extra burden which is not always welcome. However overall we feel the positives far outweigh the negatives, and in the final section we discuss possible ways to reduce the tedium.

2 BASIC MUSICAL TERMS AND THEIR REPRESENTATION IN AGDA

[TODO] Maybe need to explain degrees, instead of duration and notes.

Pitches. A *pitch* tells us how high or low a sound is. We represent pitches as natural numbers, but throughout the paper, we use a more readable notation name octave, where name ranges over c, d, e, etc., and octave denotes which octave the pitch belongs to. For instance, the middle C is represented as c 5.

Duration. *Duration* denotes an unspecified unit of time during which a sound or silence lasts. We represent duration as a natural number, and use this value to calculate the absolute length when the music is played at a specific tempo. As an example, the duration constant whole has value 16 and corresponds to 4 seconds if the tempo is 60 beats per minute.

Notes. Combining pitches and duration gives us *notes*. We represent notes as a datatype Note with two constructors: tone for notes with sound and rest for those without. For example, tone whole (c 5) is a whole note whose sound is the middle C.

Intervals. An *interval* represents the difference in pitch between two notes. There are 13 kinds of interval within an octave (Figure 1), and these intervals can be classified from several different perspectives: (i) major or minor; (ii) consonant or dissonant; and (iii) perfect or imperfect. We represent intervals as natural numbers, but in this paper, we refer to them using more informative names such as maj3 and per8.
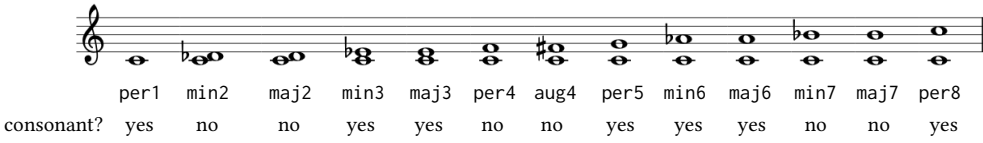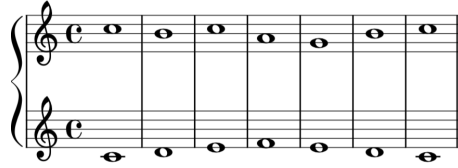
Fig. 1. 13 Kinds of Intervals

Fig. 2. First Species Counterpoint

Chords and Triads. A *chord* refers to a set of simultaneously sounding notes. In this paper we are particularly interested in *triads*, which are chords consisting of three notes separated by the 3rd intervals (i.e., maj3 and min3). We define triads as a datatype Triad with roman numeral constructors representing the scale degree of the lowest note (called the *root*). The other two notes are then members of the scale a third and a fifth above the root. For instance, I in C major represents the triad C-E-G.

3 COUNTERPOINT

Counterpoint is a technique for combining multiple lines of melodies. Composing counterpoint is like arranging a song for choir: we start with a *cantus firmus*, which serves as the base melody, and compose a counterpoint line above or below the cantus firmus. When doing this, we must make sure that the whole music sounds harmonically pleasing, and that the individual melodic lines are distinguishable to the listener.

In this section, we present an implementation of species counterpoint, based on the formulation given by Fux [1965]. The idea is to represent "good" counterpoint as a dependent record, whose fields encode the musical content as well as proofs that the counterpoint follows certain rules. For space reason, we only describe two variants of species counterpoint; other variants can be formalized in an analogous way.

3.1 First Species Counterpoint

First species counterpoint is the simplest variant of counterpoint. In first species, we set one note against each note in the cantus firmus, which is required to start with a tonic (the first note of a scale, such as C in C major) and consist only of whole notes. Figure 2 shows an example of first species counterpoint. The lower line is the cantus firmus, which we excerpt from a German song called *Froschgesang* (Frog's song). The upper line is the counterpoint composed by the second author.

3.1.1 Representing Music. In our implementation, we represent each bar as a pitch-interval pair (p , i), where p is the pitch of a cantus firmus note, and i is the interval between p and the corresponding counterpoint note. We then represent a sequence of bars as a list of pitch-interval pairs, but with one proviso: we separate the first and last bars from the middle bars. Therefore, the Frog's song in Figure 2 is represented as a compound of the following three elements:

```
148   first : PitchInterval -- Pitch × Interval
149   first = (c 5 , per8)
150
151   middle : List PitchInterval
152   middle = (d 5 , maj6) :: (e 5 , min6) :: (f 5 , maj3) ::
153            (e 5 , min3) :: (d 5 , maj6) :: []
154
155   last : PitchInterval
156   last = (c 5 , per8)
```

3.1.2 Representing Rules. The reason behind our three-part representation of music is that different parts are subject to different rules, as we detail below.

Beginning. The beginning of the music should express perfection. As we saw in Section 2, there are three intervals that are classified as perfect: the 1st (usually called the *unison*), 5th, and 8th. The first interval of the music must then be one of these intervals. In our formalization, we implement this rule as the checkBeginning function, which reports an error not158 i when the first interval i is an invalid one. Since Agda does not have exceptions, we turn the error into a Maybe value by wrapping it around the just constructor.

```
data BeginningError : Set where
  not158 : PitchInterval → BeginningError

checkBeginning : PitchInterval → Maybe BeginningError
checkBeginning pi@(_ , i) =
  if ((i == per1) ∨ (i == per5) ∨ (i == per8))
  then nothing
  else just (not158 pi)
```

Intervals. The middle bars of the music should maintain consonance of intervals and independence of melodic lines. As we saw in Figure 1, consonant intervals include the unison, 3rd, 5th, 6th, and 8th, and among these, the unison is clearly an obstacle to distinguishing between the two lines of music. Therefore, the middle bars must consist only of the latter four intervals. We encode this rule as the checkIntervals function, which returns a list of errors corresponding to the occurrences of dissonant intervals and unisons.

```
data IntervalError : Set where
  dissonant : Interval → IntervalError
  unison    : Pitch    → IntervalError

intervalCheck : PitchInterval → Maybe IntervalError
intervalCheck (p , i) with isConsonant i | isUnison i
intervalCheck (p , i) | false | _    = just (dissonant i)
intervalCheck (p , i) | _     | true = just (unison p)
intervalCheck (p , i) | _     | _    = nothing

checkIntervals : List PitchInterval → List IntervalError
checkIntervals = mapMaybe intervalCheck
```

Motion. The independence of melodic lines is also affected by *motion*, i.e., the way one interval moves to another interval. As Figure 3 left shows, there are four kinds of motion: *contrary* (two
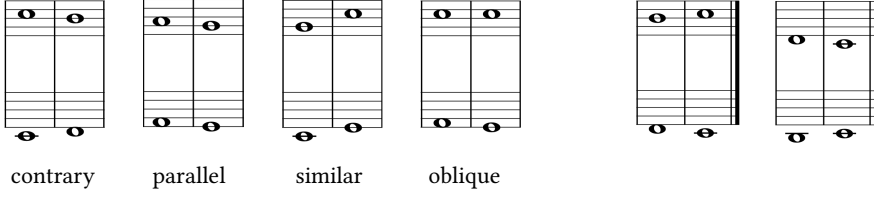
contrary parallel similar oblique

Fig. 3. Motion (left) and Cadence (right)

lines go in different directions), *parallel* (two lines go in the same direction by the same distance), *similar* (two lines go in the same direction by different distances), and *oblique* (one line plays the same note). It is known that approaching a perfect interval by parallel or similar motion tends to fuse the melodic lines into one. To rule out such motion, we define the checkMotion function, which inspects all pairs of two adjacent intervals (using an auxiliary function pairs) and returns a list of erroneous patterns.

```
data MotionError : Set where
  parallel : PitchInterval → PitchInterval → MotionError
  similar  : PitchInterval → PitchInterval → MotionError

motionCheck : PitchInterval → PitchInterval → Maybe MotionError
motionCheck i1 i2 with motion i1 i2 | isPerfect (proj₂ i2)
motionCheck i1 i2 | contrary | _     = nothing
motionCheck i1 i2 | oblique  | _     = nothing
motionCheck i1 i2 | parallel | false = nothing
motionCheck i1 i2 | parallel | true  = just (parallel i1 i2)
motionCheck i1 i2 | similar  | false = nothing
motionCheck i1 i2 | similar  | true  = just (similar i1 i2)

checkMotion : List PitchInterval → List MotionError
checkMotion = mapMaybe (uncurry motionCheck) ∘ pairs
```

Ending. The ending of the music should express relaxation. There is a consensus that the unison and 8th are the most stable intervals, hence the music must end with either of these intervals. The last interval should also be approached by a *cadence*, a progression that gives rise to a sense of resolution (Figure 3 right). This in turn suggests that a valid ending requires the middle bars to be non-empty. We encode these rules as the checkEnding function, which, upon finding an invalid ending, reports one of the three possible errors.

```
data EndingError : Set where
  not18   : PitchInterval      → EndingError
  not27   : PitchInterval      → EndingError
  tooShort : List PitchInterval → EndingError

endingCheck : PitchInterval → PitchInterval → Maybe EndingError
endingCheck pi1@(pitch p , i) (pitch q , interval 0) =
  if ((p + 1 ≡ᵇ q) ∧ (i == min3)) then nothing else just (not27 pi1)
endingCheck pi1@(pitch p , i) (pitch q , interval 12) =
  if ((q + 2 ≡ᵇ p) ∧ (i == maj6) ∨ (p + 1 ≡ᵇ q) ∧ (i == min10))
```

Fig. 4. Second Species Counterpoint

```
   then nothing
   else just (not27 pi1)
endingCheck pi1                    pi2                              =
   just (not18 pi2)


checkEnding : List PitchInterval → PitchInterval → Maybe EndingError
checkEnding []        _ = just (tooShort [])
checkEnding (p :: []) q = endingCheck p q
checkEnding (p :: ps) q = checkEnding ps q
```

3.1.3 Putting Things Together. Using the encoding of music and rules we have seen so far, we
define FirstSpecies, a record type inhabited by correct first species counterpoint. The first three
fields of this record type represent the first, middle, and last bars, respectively. The last four fields
stand for the proofs that the music satisfies all the required properties.

```
record FirstSpecies : Set where
  constructor firstSpecies
  field
    firstBar    : PitchInterval
    middleBars  : List PitchInterval
    lastBar     : PitchInterval
    beginningOk : checkBeginning firstBar ≡ nothing
    intervalsOk : checkIntervals middleBars ≡ []
    motionOk    : checkMotion (firstBar :: middleBars) ≡ []
    endingOk    : checkEnding middleBars lastBar ≡ nothing
```

With this record type, we can show that the counterpoint in Figure 2 is correct, since the music
is an inhabitant of FirstSpecies.

```
fs : FirstSpecies
fs = firstSpecies first middle last refl refl refl refl
```

3.2 Second Species Counterpoint

We next discuss a more complex variant of counterpoint, called the second species. In second
species, we set *two* half notes against every cantus firmus note. This gives rise to the distinction
between *strong* beats (the first interval in a bar) and *weak* beats (the second interval). Figure 4 is an
example of two-against-one counterpoint, again composed for the Frog's song.

3.2.1 Representing Music. In second species, the first and last bars may have a different structure
from middle bars. More specifically, it is encouraged to begin the counterpoint line with a half rest
and end with a whole note. Therefore, in our implementation, we reuse the PitchInterval type
for the first and last bars, and define a new type PitchInterval2 for middle bars.

```
295  first2 : PitchInterval
296  first2 = (c 5 , per5)
297
298  middle2 : List PitchInterval2 -- Pitch × Interval × Interval
299  middle2 =
300    (d 5 , min3 , per5) :: (e 5 , min3 , min6) :: (f 5 , maj3 , aug4) ::
301    (e 5 , min6 , min3) :: (d 5 , min3 , maj6) :: []
302
303  last2 : PitchInterval
304  last2 = (c 5 , per8)
```

3.2.2 Representing Rules. The rules for second species counterpoint can be obtained by tweaking those for first species and adding a few new ones. Here we go through the rules without showing the corresponding Agda functions, as they are largely similar to what we defined for first species.

Beginning. The beginning of the music may be either the 5th or 8th, but *not* the unison, as it prevents the listener from recognizing the beginning of the counterpoint line.

Strong Beats. Strong beats in middle bars are constrained by the same rules as in first species: they must all be consonant, non-unison intervals.

Weak Beats. Weak beats are allowed to be dissonant if they are created by a *passing tone*, i.e., a note in the middle of two step-wise motions in the same direction (as in bars 4-5 of Figure 4). They may also be the unison if they are left by step in the opposite direction from their approach (as in bars 5-6 of Figure 4).

Motion. Parallel and similar motion towards a perfect interval is prohibited across bars.

Ending. The last interval must be the unison or 8th, preceded by an appropriate interval that constitutes a cadence structure.

3.2.3 Putting Things Together. Now we define SecondSpecies, a record type inhabited by correct second species counterpoint. As in FirstSpecies, we have three fields holding the musical content, followed by five fields carrying the proofs of the required properties discussed above[1].

```
record SecondSpecies : Set where
  constructor secondSpecies
  field
    firstBar      : PitchInterval
    middleBars    : List PitchInterval2
    lastBar       : PitchInterval
    beginningOk   : checkBeginning2 firstBar ≡ nothing
    strongBeatsOk : checkStrongBeats middleBars ≡ []
    weakBeatsOk   : checkWeakBeats middleBars (secondPitch lastBar) ≡ []
    motionOk      : checkMotion2 (firstBar ::
                                 (expandPitchIntervals2 middleBars)) ≡ []
    endingOk      : checkEnding2 middleBars lastBar ≡ nothing
```

Using SecondSpecies, we can show that the second species counterpoint in Figure 4 is correct.

---

[1]The auxiliary function secondPitch in weakBeatsOk extracts the counterpoint note of a given interval, and expandPitchIntervals2 turns a list of PitchInterval2s into a list of PitchIntervals.

```
ss : SecondSpecies
ss = SecondSpecies first2 middle2 last2 refl refl refl refl refl
```

3.3 Comparison with Previous Work

The type-theoretical formalization of counterpoint has first been attempted by Szamozvancev and Gale [2017]. They encode the rules for intervals and motion by declaring type classes `ValidHarmInterval` and `ValidMotion`, and specifying which instances are invalid using GHC's support for custom type errors.

The availability of the custom error feature is an advantage of using Haskell, but the language's type system does not allow producing detailed error messages. Suppose we have replaced the second interval of Figure 2 by an octave (8th) of D, breaking the rule that perfect intervals cannot be approached by parallel motion. In our implementation, this causes an error in the `motionOk` field, with the following message:

```
(parallel (c 5 , per8) (d 5 , per8) :: []) != [] of type (List MotionError)
```

Observe that the message clearly tells us which intervals form what kind of invalid motion.

In Szamozvancev and Gale's implementation, the same example results in the following error:

```
Direct motion into a perfect octave is forbidden.
```

The lack of the precise information about the intervals and motion is due to the absence of native dependent types in Haskell.

More recently, Cong and Leo [2019] give an implementation of first species counterpoint using dependent types in Agda. Their idea is to represent correct counterpoint as a list-like datatype, where the base cases enforce a valid ending and the inductive case guarantees correct uses of intervals and motion (by means of implicit arguments representing proofs).

Our representation of counterpoint improves on their implementation in two ways. First, while Cong and Leo [2019] rely on the Agda type checker to report errors in the counterpoint, we use our own type checker (implemented as the `checkXXX` functions) and type errors (defined as the `XXXError` datatypes). This allows us to produce helpful error messages while keeping types simple. Indeed, for the parallel octave example discussed above, Cong and Leo [2019] would just report the existence of an unsolved metavariable _13, meaning that Agda failed to construct a proof required by the counterpoint constructor:

```
_13 : motionOk (c 5 , per8) (d 5 , per8)
```

For a non-expert user, it may be difficult to connect this message to the corresponding musical error.

The second improvement from Cong and Leo [2019] is that, instead of incorporating all the rules into a counterpoint datatype, we define each of them as a separate function and combine them using a record type. This makes it easy to switch between strict and relaxed rule sets: we just need to add or remove certain fields. It also allows us to reuse some of the rules in a context other than counterpoint, as we will see in the next section.

4 HARMONY

Harmony refers to simultaneously sounding tones (chords) and its study is primarily concerned with the progression of chords throughout a piece of music. It is in essence the of dual of counterpoint. In this section we formalize a small part of the classic text Piston and DeVoto [1987], in particular part of Chapter 9 which is concerned with techniques for harmonizing a given melody.
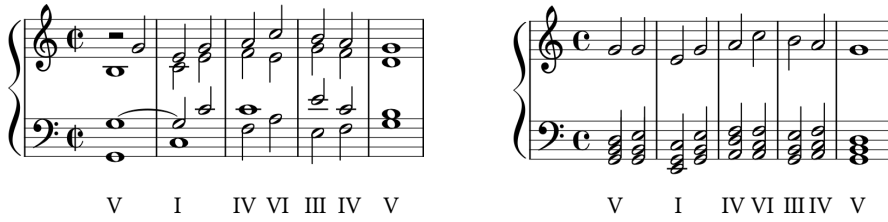
Fig. 5. Harmonization by Piston (left) and FHARM (right)

4.1 Harmonic Progression

The primary Example 9.1 in Piston's text is shown on the left side of Figure 5 which shows the melody with a sample four part SATB harmonization in C major. The melody is the series of highest notes, the soprano (S) line, while the other four parts alto (A), tenor (T) and bass (B) are the melodies below it. Roman numerals denote the names of the triads at each vertical slice. Note that even though there are four voices there are only three distinct pitches sounding at each vertical slice (where tones an octave apart are considered to have the same relative pitch). As is typical the root of the triad is always doubled. Also note that all triads are in root position (meaning the root is in the bass voice), as Piston restricts to this case initially in the chapter (he does relax it later). The goal of a harmonization is both to create an aesthetically pleasing chord progression as well as fluid melodies in each voice (called voice leading) and independence of each music line (in other words good counterpoint). In this chapter as well as throughout the text Piston gives advice on how to achieve these goals.

We would like to formalize some of this advice and apply it to automate harmonization of melodies. We start with selection of the sequence of triads, in other words the harmonic progression. Earlier in the text on page 23 Piston presents a Table of Usual Root Prrogressions, noting which triads usually follow a given one and which are sometimes used or rare. It is easy to encode this in Agda.

```
data Triad : Set where
  I : Triad; II : Triad; III : Triad; IV : Triad; V : Triad; VI : Triad; VII : Triad

record NextTriad : Set where
  constructor nextTriadb
  field
    usual : List Triad; sometimes : List Triad; rare : List Triad

rootProgression : Triad → NextTriad
rootProgression I  = nextTriad (IV :: V :: []) (VI :: []) (II :: III :: [])
rootProgression II = nextTriad (V :: []) (IV  :: VI :: []) (I :: III :: [])
-- other cases omitted for space
```

It is easiest to create a harmonic progression in reverse, to ensure one ends a musical phrase with either a full (V–I) or half (V) cadence, and work backward from there. So we would like to invert this table to give a list of likely triads to precede a given triad. This would normally be straightfoward but here we see a disadvantage of using Agda. As is typical with languages based on dependent type theories, equality is a very subtle concept and even determing if a term is a member of a list is nontrivial.

In Haskell this would be easy–there is an Eq typeclass and a method for automatically generating equalities. In the Agda Standard Library [Agda Developers 2020] is a relation with constructive
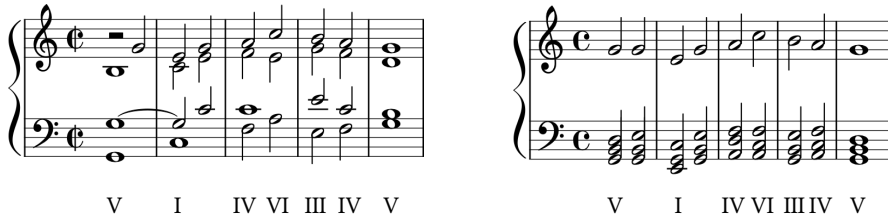
Fig. 6. Harmonization by Music Tools (to be replaced by real figure)

proof of membership, and requires far more effort than we need. Alternatives include defining decidable equality for triads (which requires writing out 49 cases) or mapping to natural numbers and using already implemented decidable or boolean equality for those. For now we simply write the inverse function manually, excluding the rare cases.

```
previousTriads : Triad → List Triad
previousTriads I  = V :: IV :: VII :: []
previousTriads II = VI :: IV :: []
-- other cases omitted for space
```

4.2 Completing the Harmonization

TODO: Finish this.

See Figure 6, which is currently just a copy of the other figure, but included here now for space.

4.3 Comparison with Previous Work

Most of the the Haskell-based work [Koops et al. 2013; Magalhães and de Haas 2011; Magalhães and Koops 2014] has focused on harmony. In particular Fharm [Koops et al. 2013] tackles the problem of harmonization of a melody, and their harmonization of Piston's example is shown on the right side of Figure 5. Their method is quite different from ours. Instead of using Piston's table of root progressions they use a more sophisticated hierarchical grammar from previous work [Magalhães and de Haas 2011].

Their technique is to first require the melody notes to be members of the harmonizing chords, then use their previous work to try to create a harmonic analysis of every possible sequence of chords that arises, choosing the one that most closely fits their grammar. Although the result is not bad, it clearly leaves room for improvement. Noted in the paper is that no attention is given to voicing (how each of the four parts flows horizontally and how the lines interact—in other words the counterpoint). Not noted is that the melody note is always doubled, whereas typically in four-part harmony it is preferred to double the root note. Also minimizing the number of parse errors as a means to choose the best harmonization does not necessarily have a musical meaning.

TODO: More comparison.

5 CONCLUSION AND FUTURE WORK

We believe that functional programming, and in particular functional programming with dependent types, is a promising way to do software engineering going forward. The languages and tools are perhaps not yet as finely polished and rich as those typically used in production software, but they are fundamentally far more powerful, and thus once the infrastructure (and training of programmers) catches up should become the languages of choice.

Music is an especially valuable domain in which to explore these ideas. We have found it to be a microcosm of issues that arise everywhere in software engineering: modularity, composability, correctness, and data issues such as handling equivalent formulations of the same data as well slightly different formulations. If we can demonstrate that functional programming with dependent types works well in the domain of music, the same techniques can be used in software in general.

Data issues are particularly prevalent at API boundaries between systems, which may internally represent the data in different formats (satisfying modularity and abstraction) but then rely on tedious and potentially error-prone conversions between the formats. In music we see this in wanting to work sometimes with absolute pitch and others with relative pitch (a pair of an octave and a pitch within that octave), or choosing between a pair of pitches and a `PitchInterval`. Also we would like to reuse a function that just works on a pitch to work on a note, which consists of a pitch plus a duration.

For now we have explicitly converted between equivalent representations and explicitly lifted functions, to get a feel for the amount of work required. However in the world of dependent types there are known research techniques for handling these cases, namely transporting across equivalences as in Homotopy Type Theory [Univalent Foundations Program 2013] and ornaments [Dagand 2017]. Cubical Agda [Vezzosi et al. 2019], although still early in development, should be an excellent tool for exploring the extent to which these research ideas can be applied in a practical context.

Aside from these more fundamental ideas there is simply the work of further extending the formalization of music theory to encompass harmonic analysis, more advanced counterpoint, voice leading, and even composition [Schoenberg et al. 1999]. The results so far have been encouraging, but much more needs to be done to find the right abstractions to express the rules in the simplest, clearest and most composable way possible. We expect the world of music theory to be worthy of a long exploration.

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