Exceptional Control Flow: Exceptions and Processes

Adapted from CMU course 15-213: Introduction to Computer Systems 14th Lecture, October 13th, 2016

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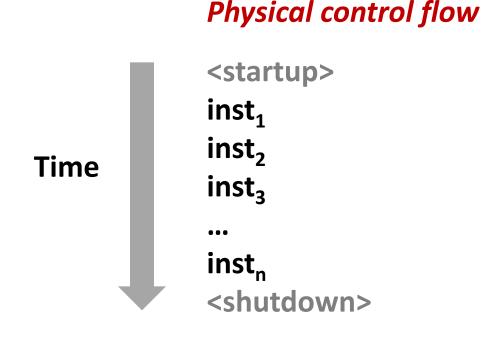
Today

- Exceptional Control Flow
- Exceptions
- Processes
- Process Control

Control Flow

Processors do only one thing:

- From startup to shutdown, a CPU simply reads and executes (interprets) a sequence of instructions, one at a time
- This sequence is the CPU's control flow (or flow of control)



Altering the Control Flow

- Up to now: two mechanisms for changing control flow:
 - Jumps and branches
 - Call and return

React to changes in *program state*

- Insufficient for a useful system:
 Difficult to react to changes in system state
 - Data arrives from a disk or a network adapter
 - Instruction divides by zero
 - User hits Ctrl-C at the keyboard
 - System timer expires
- System needs mechanisms for "exceptional control flow"

Exceptional Control Flow

- Exists at all levels of a computer system
- Low level mechanisms
 - 1. Exceptions
 - Change in control flow in response to a system event (i.e., change in system state)
 - Implemented using combination of hardware and OS software

Higher level mechanisms

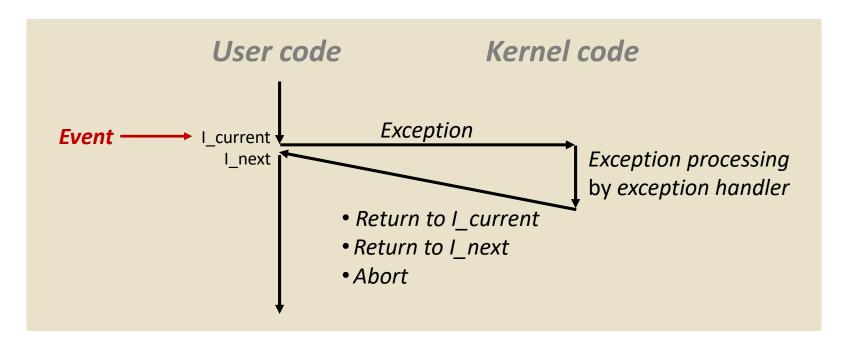
- 2. Process context switch
 - Implemented by OS software and hardware timer
- 3. Signals
 - Implemented by OS software
- 4. Nonlocal jumps: setjmp() and longjmp()
 - Implemented by C runtime library

Today

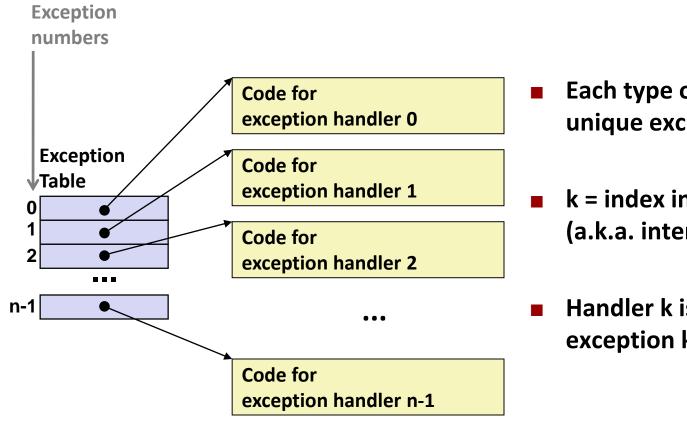
- Exceptional Control Flow
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Exceptions

- An exception is a transfer of control to the OS kernel in response to some event (i.e., change in processor state)
 - Kernel is the memory-resident part of the OS
 - Examples of events: Divide by 0, arithmetic overflow, page fault, I/O request completes, typing Ctrl-C

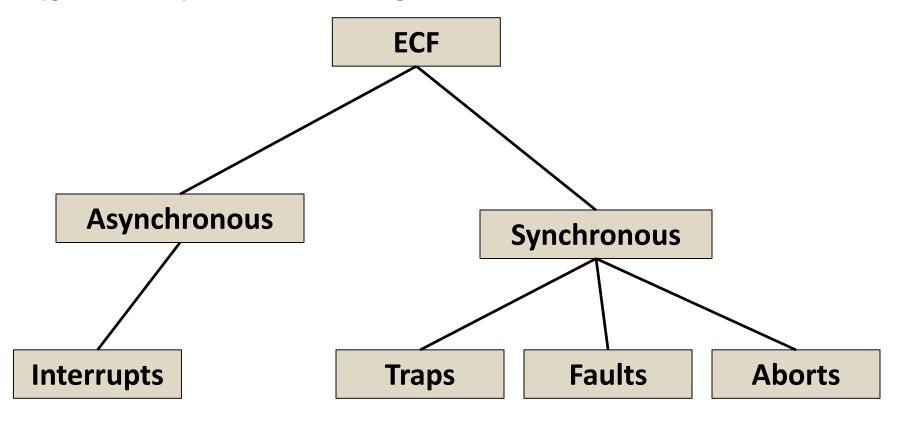


Exception Tables



- Each type of event has a unique exception number k
- k = index into exception table (a.k.a. interrupt vector)
- Handler k is called each time exception k occurs

(partial) Taxonomy



Asynchronous Exceptions (Interrupts)

Caused by events external to the processor

- Indicated by setting the processor's interrupt pin
- Handler returns to "next" instruction

Examples:

- Timer interrupt
 - Every few ms, an external timer chip triggers an interrupt
 - Used by the kernel to take back control from user programs
- I/O interrupt from external device
 - Hitting Ctrl-C at the keyboard
 - Arrival of a packet from a network
 - Arrival of data from a disk

Synchronous Exceptions

Caused by events that occur as a result of executing an instruction:

Traps

- Intentional
- Examples: system calls, breakpoint traps, special instructions
- Returns control to "next" instruction

Faults

- Unintentional but possibly recoverable
- Examples: page faults (recoverable), protection faults (unrecoverable), floating point exceptions
- Either re-executes faulting ("current") instruction or aborts

Aborts

- Unintentional and unrecoverable
- Examples: illegal instruction, parity error, machine check
- Aborts current program

System Calls

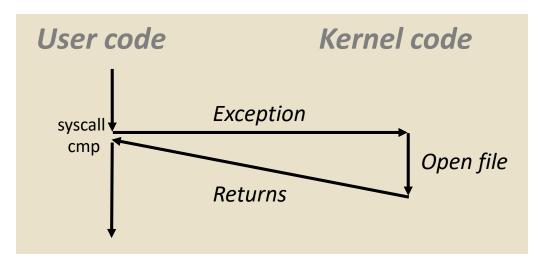
- Each x86-64 system call has a unique ID number
- Examples:

| Number | Name | Description |
|--------|--------|------------------------|
| 0 | read | Read file |
| 1 | write | Write file |
| 2 | open | Open file |
| 3 | close | Close file |
| 4 | stat | Get info about file |
| 57 | fork | Create process |
| 59 | execve | Execute a program |
| 60 | _exit | Terminate process |
| 62 | kill | Send signal to process |

System Call Example: Opening File

- User calls: open (filename, options)
- Calls __open function, which invokes system call instruction syscall

```
00000000000e5d70 <__open>:
        b8 02 00 00 00
e5d79:
                                 $0x2, %eax # open is syscall #2
                            mov
e5d7e:
       0f 05
                            syscal1
                                            # Return value in %rax
e5d80:
       48 3d 01 f0 ff ff
                                 $0xffffffffffff001, %rax
                            cmp
e5dfa:
        c3
                            retq
```



- %rax contains syscall number
- Other arguments in %rdi, %rsi, %rdx, %r10, %r8, %r9
- Return value in %rax
- Negative value is an error corresponding to negative errno

- User calls: open (f
- Calls **__open** functi

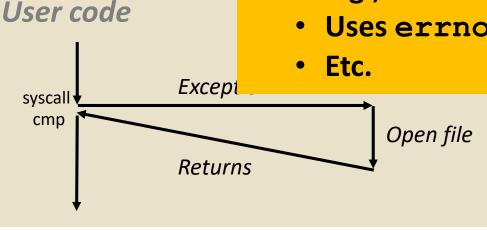
$0000000000000e5d70 < o_1$ e5d79: b8 02 00 00 e5d7e: 0f 05 48 3d 01 f0 e5d80: e5dfa: c3

System Call | Almost like a function call

- Transfer of control
- On return, executes next instruction
- Passes arguments using calling convention
- Gets result in %rax

One Important exception!

- **Executed by Kernel**
- **Different set of privileges**
- And other differences:
 - E.g., "address" of "function" is in %rax
 - Uses errno



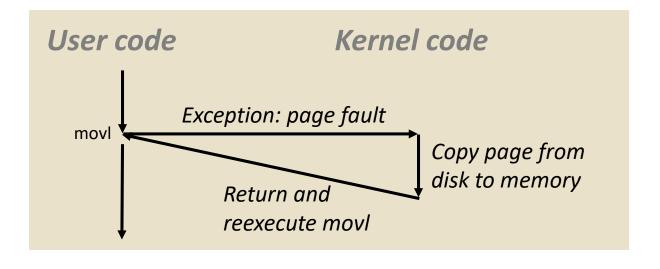
- Return value in %rax
- Negative value is an error corresponding to negative errno

Fault Example: Page Fault

- User writes to memory location
- That portion (page) of user's memory is currently on disk

```
int a[1000];
main ()
{
    a[500] = 13;
}
```

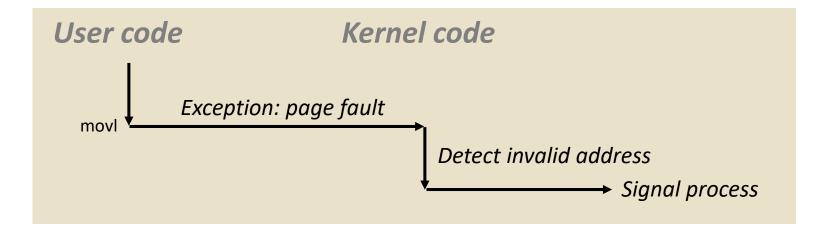
```
80483b7: c7 05 10 9d 04 08 0d movl $0xd,0x8049d10
```



Fault Example: Invalid Memory Reference

```
int a[1000];
main ()
{
    a[5000] = 13;
}
```

```
80483b7: c7 05 60 e3 04 08 0d movl $0xd,0x804e360
```



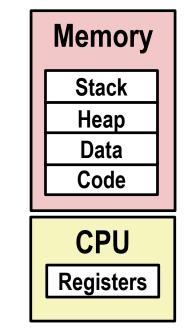
- Sends SIGSEGV signal to user process
- User process exits with "segmentation fault"

Today

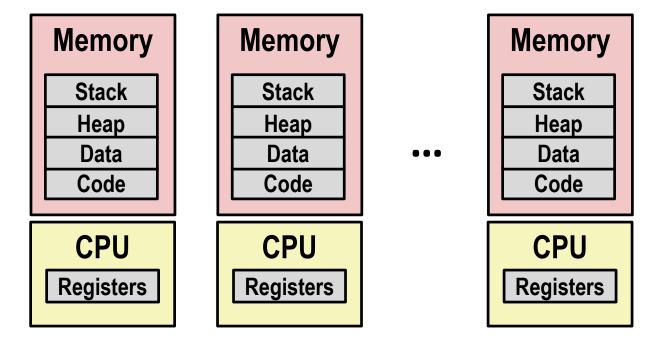
- Exceptional Control Flow
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Processes

- Definition: A *process* is an instance of a running program.
 - One of the most profound ideas in computer science
 - Not the same as "program" or "processor"
- Process provides each program with two key abstractions:
 - Logical control flow
 - Each program seems to have exclusive use of the CPU
 - Provided by kernel mechanism called context switching
 - Private address space
 - Each program seems to have exclusive use of main memory.
 - Provided by kernel mechanism called *virtual memory*



Multiprocessing: The Illusion



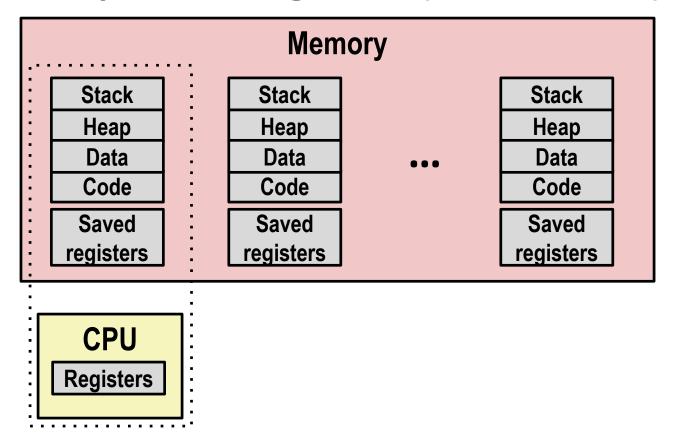
Computer runs many processes simultaneously

- Applications for one or more users
 - Web browsers, email clients, editors, ...
- Background tasks
 - Monitoring network & I/O devices

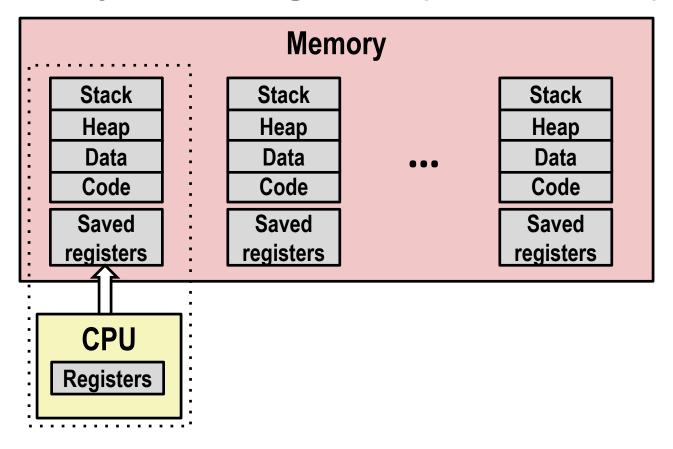
Multiprocessing Example

```
000
                                           X xterm
 Processes: 123 total, 5 running, 9 stuck, 109 sleeping, 611 threads
                                                                                     11:47:07
 Load Avg: 1.03, 1.13, 1.14 CPU usage: 3.27% user, 5.15% sys, 91.56% idle
 SharedLibs: 576K resident, OB data, OB linkedit.
 MemRegions: 27958 total, 1127M resident, 35M private, 494M shared.
 PhysMem: 1039M wired, 1974M active, 1062M inactive, 4076M used, 18M free.
 VM: 280G vsize, 1091M framework vsize, 23075213(1) pageins, 5843367(0) pageouts.
 Networks: packets: 41046228/11G in, 66083096/77G out.
 Disks: 17874391/349G read, 12847373/594G written.
                                  #TH
                                             #PORT #MREG RPRVT
                                                                       RSIZE
 PID
        COMMAND
                     %CPU TIME
                                                                RSHRD
                                                                              VPRVT
                                                                                     VSIZE
                                        #WQ
 99217- Microsoft Of 0.0 02:28.34 4
                                             202
                                                   418
                                                         21M
                                                                24M
                                                                       21M
                                                                              66M
                                                                                     763M
 99051
                     0.0 00:04.10 3
                                             47
                                                   66
                                                         436K
                                                                       480K
                                                                              60M
                                                                                     2422M
        usbmuxd
                                                                216K
 99006
        iTunesHelper 0.0 00:01.23 2
                                             55
                                                   78
                                                         728K
                                                                3124K
                                                                       1124K
                                                                              43M
                                                                                     2429M
                                                   24
 84286
                                                         224K
                                                                732K
                                                                       484K
                                                                              17M
                                                                                     2378M
        bash
                     0.0 00:00.11 1
                                             32
 84285
                    0.0 00:00.83 1
                                                   73
                                                         656K
                                                                872K
                                                                       692K
                                                                              9728K
                                                                                     2382M
        xterm
 55939- Microsoft Ex 0.3 21:58.97 10
                                             360
                                                   954
                                                         16M
                                                                65M
                                                                       46M
                                                                              114M
                                                                                     1057M
 54751
        sleep
                     0.0 00:00.00 1
                                             17
                                                   20
                                                         92K
                                                                212K
                                                                       360K
                                                                              9632K
                                                                                     2370M
                                             33
 54739
        launchdadd
                    0.0 00:00.00 2
                                                   50
                                                                220K
                                                                       1736K
                                                         488K
                                                                              48M
                                                                                     2409M
                                             30
 54737
        top
                     6.5 00:02.53 1/1
                                                         1416K
                                                                216K
                                                                       2124K
                                                                              17M
                                                                                     2378M
                                             53
 54719
        automountd
                    0.0 00:00.02 7
                                                   64
                                                         860K
                                                                216K
                                                                       2184K
                                                                              53M
                                                                                     2413M
                    0.0 00:00.05 4
                                             61
                                                   54
                                                         1268K
                                                                2644K
                                                                       3132K
                                                                                     2426M
 54701
        ocspd
                                                                              50M
                                                   389+
 54661
        Grab
                     0.6 00:02.75 6
                                                         15M+
                                                                26M+
                                                                       40M+
                                                                              75M+
                                                                                     2556M+
 54659
                    0.0 00:00.15 2
                                                         3316K
                                                                224K
                                                                       4088K
                                                                                     2411M
        cookied
                                             40
                                                   61
                                                                              42M
        mdworker
                    0.0 00:01.67 4
                                                   91
                                                         7628K
                                                                7412K
                                                                       16M
                                                                              48M
                                                                                     2438M
 57212
Running program "top" on Mac
                                                                6148K
                                                                              44M
                                                                                     2434M
                                                         280K
                                                                872K
                                                                       532K
                                                                              9700K
                                                                                     2382M
    System has 123 processes, 5 of which are active
                                                                       88K
                                                                              18M
```

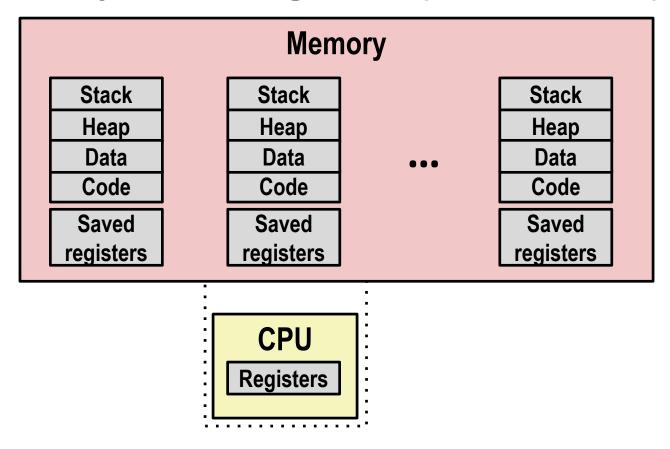
Identified by Process ID (PID)



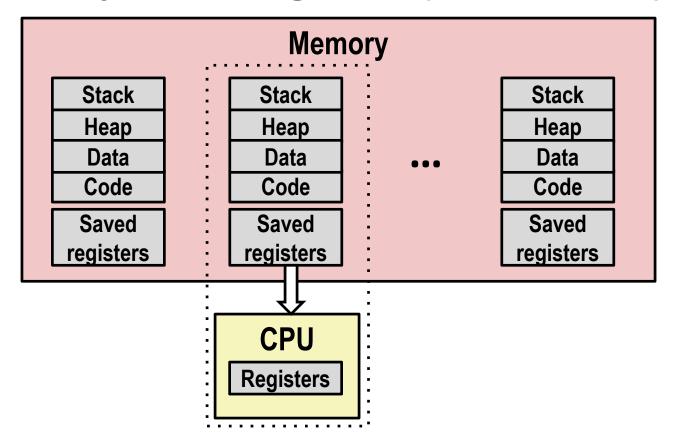
- Single processor executes multiple processes concurrently
 - Process executions interleaved (multitasking)
 - Address spaces managed by virtual memory system (later in course)
 - Register values for nonexecuting processes saved in memory



Save current registers in memory

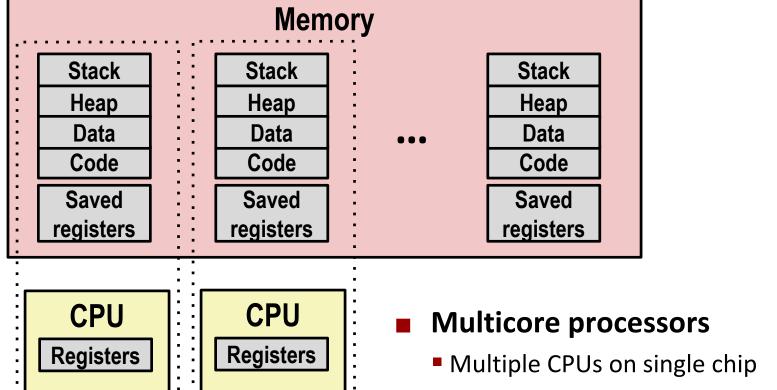


Schedule next process for execution



Load saved registers and switch address space (context switch)

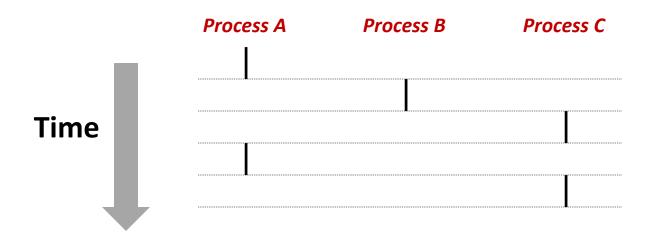
Multiprocessing: The (Modern) Reality



- Share main memory (and some caches)
- Each can execute a separate process
 - Scheduling of processors onto cores done by kernel

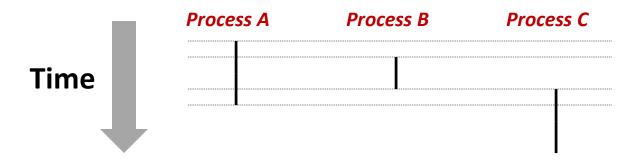
Concurrent Processes

- Each process is a logical control flow.
- Two processes run concurrently (are concurrent) if their flows overlap in time
- Otherwise, they are sequential
- Examples (running on single core):
 - Concurrent: A & B, A & C
 - Sequential: B & C



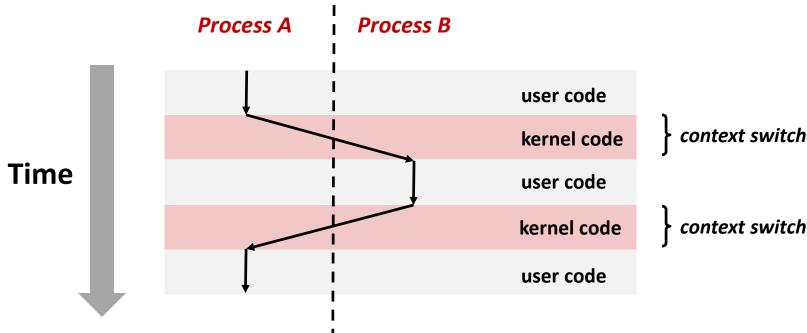
User View of Concurrent Processes

- Control flows for concurrent processes are physically disjoint in time
- However, we can think of concurrent processes as running in parallel with each other



Context Switching

- Processes are managed by a shared chunk of memoryresident OS code called the kernel
 - Important: the kernel is not a separate process, but rather runs as part of some existing process.
- Control flow passes from one process to another via a context switch



Today

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System Call Error Handling

- On error, Linux system-level functions typically return -1 and set global variable errno to indicate cause.
- Hard and fast rule:
 - You must check the return status of every system-level function
 - Only exception is the handful of functions that return void
- Example:

```
if ((pid = fork()) < 0) {
    fprintf(stderr, "fork error: %s\n", strerror(errno));
    exit(-1);
}</pre>
```

Error-reporting functions

Can simplify somewhat using an error-reporting function:

```
void unix error(char *msg) /* Unix-style error */
{
    fprintf(stderr, "%s: %s\n", msg, strerror(errno));
    exit(-1);
                                             Note: csapp.c exits with 0.
 if ((pid = fork()) < 0)</pre>
```

```
unix error("fork error");
```

Error-handling Wrappers

We simplify the code we present to you even further by using Stevens-style error-handling wrappers:

```
pid_t Fork(void)
{
    pid_t pid;

if ((pid = fork()) < 0)
    unix_error("Fork error");
    return pid;
}</pre>
```

```
pid = Fork();
```

NOT what you generally want to do in a real application

Obtaining Process IDs

- pid_t getpid(void)
 - Returns PID of current process
- pid_t getppid(void)
 - Returns PID of parent process

Creating and Terminating Processes

From a programmer's perspective, we can think of a process as being in one of three states

Running

 Process is either executing, or waiting to be executed and will eventually be scheduled (i.e., chosen to execute) by the kernel

Stopped

 Process execution is suspended and will not be scheduled until further notice (next lecture when we study signals)

Terminated

Process is stopped permanently

Terminating Processes

- Process becomes terminated for one of three reasons:
 - Receiving a signal whose default action is to terminate (next lecture)
 - Returning from the main routine
 - Calling the exit function
- void exit(int status)
 - Terminates with an exit status of status
 - Convention: normal return status is 0, nonzero on error
 - Another way to explicitly set the exit status is to return an integer value from the main routine
- exit is called once but never returns.

Creating Processes

Parent process creates a new running child process by calling fork

- int fork(void)
 - Returns 0 to the child process, child's PID to parent process
 - Child is almost identical to parent:
 - Child get an identical (but separate) copy of the parent's virtual address space.
 - Child gets identical copies of the parent's open file descriptors
 - Child has a different PID than the parent
- fork is interesting (and often confusing) because it is called *once* but returns *twice*

fork Example

```
int main(int argc, char** argv)
   pid t pid;
    int x = 1;
   pid = Fork();
    if (pid == 0) { /* Child */
        printf("child: x=%d\n", ++x);
       return 0;
    /* Parent */
    printf("parent: x=%d\n", --x);
    return 0;
                                fork.c
```

- Call once, return twice
- Concurrent execution
 - Can't predict execution order of parent and child

```
linux> ./fork
parent: x=0
child : x=2
```

```
linux> ./fork
child : x=2
parent: x=0
```

```
linux> ./fork
parent: x=0
child : x=2
```

```
linux> ./fork
parent: x=0
child : x=2
```

Making fork More Nondeterministic

Problem

- Linux scheduler does not create much run-to-run variance
- Hides potential race conditions in nondeterministic programs
 - E.g., does fork return to child first, or to parent?

Solution

- Create custom version of library routine that inserts random delays along different branches
 - E.g., for parent and child in fork
- Use runtime interpositioning to have program use special version of library code

Variable delay fork

```
/* fork wrapper function */
pid t fork(void) {
    initialize();
    int parent delay = choose delay();
    int child delay = choose delay();
    pid t parent pid = getpid();
    pid t child pid or zero = real fork();
    if (child pid or zero > 0) {
        /* Parent */
        if (verbose) {
            printf(
"Fork. Child pid=%d, delay = %dms. Parent pid=%d, delay = %dms\n",
                   child pid or zero, child delay,
                   parent pid, parent delay);
            fflush(stdout);
        ms sleep(parent delay);
    } else {
        /* Child */
        ms sleep(child delay);
    return child pid or zero;
```

fork Example

```
int main(int argc, char** argv)
   pid t pid;
    int x = 1;
   pid = Fork();
    if (pid == 0) { /* Child */
        printf("child: x=%d\n", ++x);
        printf("child : x=%d\n", ++x);
        return 0;
    /* Parent */
    printf("parent: x=%d\n", --x);
   printf("parent: x=%d\n", --x);
    return 0;
```

- Call once, return twice
- Concurrent execution
 - Can't predict execution order of parent and child
- Duplicate but separate address space
 - x has a value of 1 when fork returns in parent and child
 - Subsequent changes to x are independent

```
linux> ./fork
parent: x=0
child : x=2
parent: x=-1
child : x=3
```

fork Example

```
int main(int argc, char** argv)
   pid t pid;
    int x = 1;
   pid = Fork();
    if (pid == 0) { /* Child */
        printf("child : x=%d\n", ++x);
       return 0;
    /* Parent */
    printf("parent: x=%d\n", --x);
    return 0;
                                 fork.c
```

```
linux> ./fork
parent: x=0
child : x=2
```

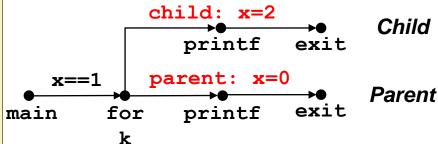
- Call once, return twice
- Concurrent execution
 - Can't predict execution order of parent and child
- Duplicate but separate address space
 - x has a value of 1 when fork returns in parent and child
 - Subsequent changes to x are independent
- Shared open files
 - stdout is the same in both parent and child

Modeling fork with Process Graphs

- A process graph is a useful tool for capturing the partial ordering of statements in a concurrent program:
 - Each vertex is the execution of a statement
 - a -> b means a happens before b
 - Edges can be labeled with current value of variables
 - printf vertices can be labeled with output
 - Each graph begins with a vertex with no inedges
- Any topological sort of the graph corresponds to a feasible total ordering.
 - Total ordering of vertices where all edges point from left to right

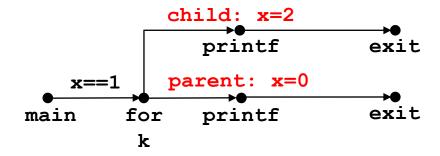
Process Graph Example

```
int main(int argc, char** argv)
{
   pid t pid;
    int x = 1;
   pid = Fork();
    if (pid == 0) { /* Child */
        printf("child : x=%d\n", ++x);
       return 0;
    /* Parent */
   printf("parent: x=%d\n", --x);
    return 0;
                                 fork.c
```

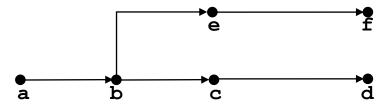


Interpreting Process Graphs

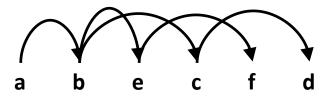
Original graph:



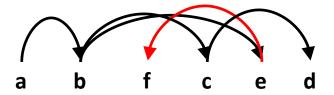
Relabled graph:



Feasible total ordering:

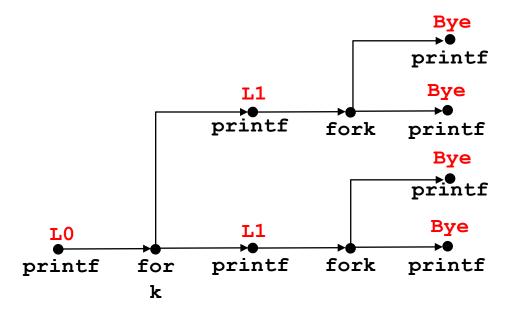


Infeasible total ordering:



fork Example: Two consecutive forks

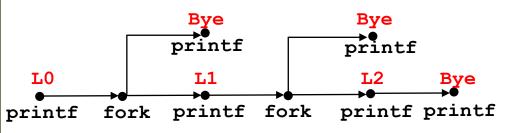
```
void fork2()
{
    printf("L0\n");
    fork();
    printf("L1\n");
    fork();
    printf("Bye\n");
}
```



| Feasible output: | Infeasible output: |
|------------------|--------------------|
| LO | LO |
| L1 | Bye |
| Bye | L1 |
| Bye | Bye |
| L1 | L1 |
| Bye | Bye |
| Bye | Bye |

fork Example: Nested forks in parent

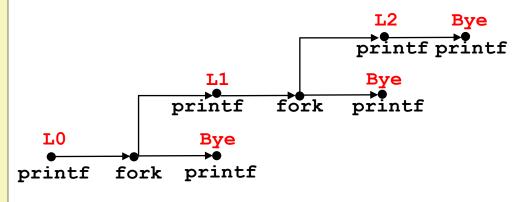
```
void fork4()
{
    printf("L0\n");
    if (fork() != 0) {
        printf("L1\n");
        if (fork() != 0) {
            printf("L2\n");
        }
     }
    printf("Bye\n");
}
```



| Feasible output: | Infeasible output: |
|------------------|--------------------|
| LO | LO |
| L1 | Bye |
| Bye | L1 |
| Bye | Bye |
| L2 | Bye |
| Bye | L2 |

fork Example: Nested forks in children

```
void fork5()
{
    printf("L0\n");
    if (fork() == 0) {
        printf("L1\n");
        if (fork() == 0) {
            printf("L2\n");
        }
     }
    printf("Bye\n");
}
```



| Feasible output: | Infeasible output: |
|------------------|--------------------|
| LO | L0 |
| Bye | Bye |
| L1 | L1 |
| L2 | Bye |
| Bye | Bye |
| Bve | L2 |

Reaping Child Processes

Idea

- When process terminates, it still consumes system resources
 - Examples: Exit status, various OS tables
- Called a "zombie"
 - Living corpse, half alive and half dead

Reaping

- Performed by parent on terminated child (using wait or waitpid)
- Parent is given exit status information
- Kernel then deletes zombie child process

What if parent doesn't reap?

- If any parent terminates without reaping a child, then the orphaned child will be reaped by init process (pid == 1)
- So, only need explicit reaping in long-running processes
 - e.g., shells and servers

Zombie Example

```
linux> ./forks 7 &
[1] 6639
Running Parent, PID = 6639
Terminating Child, PID = 6640
linux> ps
  PID TTY
                   TIME CMD
 6585 ttyp9 00:00:00 tcsh
                                              ps shows child process as
 6639 ttyp9
           00:00:03 forks
                                                 "defunct" (i.e., a zombie)
 6640 ttyp9 00:00:00 forks <defunct>
 6641 ttyp9 00:00:00 ps
linux> kill 6639
                                                 Killing parent allows child to
[1] Terminated
                                                 be reaped by init
linux> ps
  PID TTY
                   TIME CMD
 6585 ttyp9
               00:00:00 tcsh
 6642 ttyp9
               00:00:00 ps
```

Nonterminating Child Example

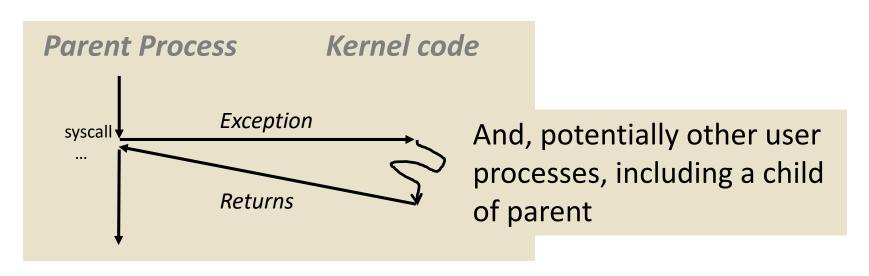
```
linux> ./forks 8
Terminating Parent, PID = 6675
Running Child, PID = 6676
linux> ps
  PID TTY
                   TIME CMD
 6585 ttyp9
               00:00:00 tcsh
 6676 ttyp9
               00:00:06 forks
 6677 ttyp9
               00:00:00 ps
linux> kill 6676 ←
linux> ps
  PID TTY
                   TIME CMD
 6585 ttyp9
               00:00:00 tcsh
 6678 ttyp9
               00:00:00 ps
```

Child process still active even though parent has terminated

Must kill child explicitly, or else will keep running indefinitely

wait: Synchronizing with Children

- Parent reaps a child by calling the wait function
- int wait(int *child status)
 - Suspends current process until one of its children terminates



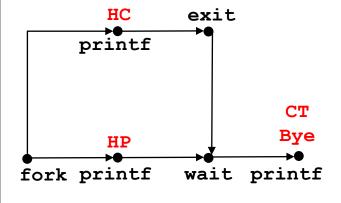
wait: Synchronizing with Children

- Parent reaps a child by calling the wait function
- int wait(int *child status)
 - Suspends current process until one of its children terminates
 - Return value is the pid of the child process that terminated
 - If child_status != NULL, then the integer it points to will be set to a value that indicates reason the child terminated and the exit status:
 - Checked using macros defined in wait.h
 - WIFEXITED, WEXITSTATUS, WIFSIGNALED, WTERMSIG, WIFSTOPPED, WSTOPSIG, WIFCONTINUED
 - See textbook for details

wait: Synchronizing with Children

```
void fork9() {
   int child_status;

if (fork() == 0) {
     printf("HC: hello from child\n");
     exit(0);
} else {
     printf("HP: hello from parent\n");
     wait(&child_status);
     printf("CT: child has terminated\n");
}
printf("Bye\n");
}
```



Feasible output(s):

HC HP HC CT CT Bye Bye

Infeasible output:

HP CT Bye HC

Another wait Example

- If multiple children completed, will take in arbitrary order
- Can use macros WIFEXITED and WEXITSTATUS to get information about exit status

```
void fork10() {
   pid t pid[N];
    int i, child status;
    for (i = 0; i < N; i++)
        if ((pid[i] = fork()) == 0) {
            exit(100+i); /* Child */
    for (i = 0; i < N; i++) { /* Parent */</pre>
        pid t wpid = wait(&child status);
        if (WIFEXITED(child status))
            printf("Child %d terminated with exit status %d\n",
                   wpid, WEXITSTATUS(child status));
        else
            printf("Child %d terminate abnormally\n", wpid);
                                                         forks.c
```

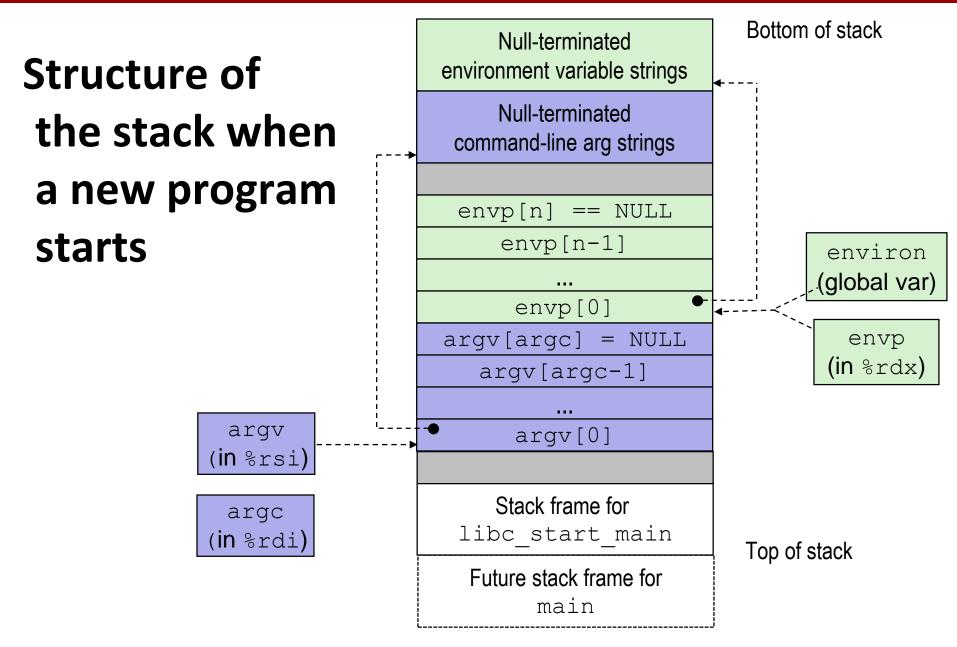
waitpid: Waiting for a Specific Process

- pid_t waitpid(pid_t pid, int *status, int options)
 - Suspends current process until specific process terminates
 - Various options (see textbook)

```
void fork11() {
   pid t pid[N];
    int i;
    int child status;
    for (i = 0; i < N; i++)
        if ((pid[i] = fork()) == 0)
            exit(100+i); /* Child */
    for (i = N-1; i \ge 0; i--) {
        pid t wpid = waitpid(pid[i], &child status, 0);
        if (WIFEXITED(child status))
            printf("Child %d terminated with exit status %d\n",
                   wpid, WEXITSTATUS(child status));
        else
            printf("Child %d terminate abnormally\n", wpid);
                                                         forks.c
```

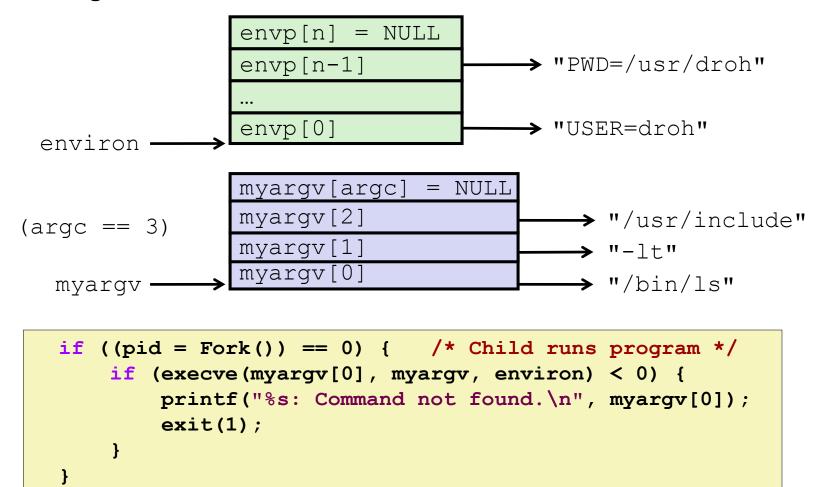
execve: Loading and Running Programs

- int execve(char *filename, char *argv[], char *envp[])
- Loads and runs in the current process:
 - Executable file filename
 - Can be object file or script file beginning with #!interpreter
 (e.g., #!/bin/bash)
 - ...with argument list argv
 - By convention argv[0] == filename
 - ...and environment variable list envp
 - "name=value" strings (e.g., USER=droh)
 - getenv, putenv, printenv
- Overwrites code, data, and stack
 - Retains PID, open files and signal context
- Called once and never returns
 - ...except if there is an error



execve Example

■ Execute "/bin/ls -lt /usr/include" in child process using current environment:



Summary

Exceptions

- Events that require nonstandard control flow
- Generated externally (interrupts) or internally (traps and faults)

Processes

- At any given time, system has multiple active processes
- Only one can execute at a time on any single core
- Each process appears to have total control of processor + private memory space

Summary (cont.)

Spawning processes

- Call fork
- One call, two returns

Process completion

- Call exit
- One call, no return

Reaping and waiting for processes

Call wait or waitpid

Loading and running programs

- Call execve (or variant)
- One call, (normally) no return