

## 1.3 第五周作业

## 1.3.1 作业答案

## 习题 1.10 (第三章第 6 题)

证明:

$$\begin{vmatrix} a_{11}b_{11} + a_{12}b_{21} & a_{11}b_{12} + a_{12}b_{22} \\ a_{21}b_{11} + a_{22}b_{21} & a_{21}b_{12} + a_{22}b_{22} \end{vmatrix} = \begin{vmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{vmatrix} \cdot \begin{vmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{vmatrix}$$



证明 证法一: 考虑四阶行列式

$$\begin{vmatrix} a_{11} & a_{12} & 0 & 0 \\ a_{21} & a_{22} & 0 & 0 \\ -1 & 0 & b_{11} & b_{12} \\ 0 & -1 & b_{21} & b_{22} \end{vmatrix}$$

我们用后面两行将前两行前两列的四个元素消为 0:

$$\begin{vmatrix} a_{11} & a_{12} & 0 & 0 \\ a_{21} & a_{22} & 0 & 0 \\ -1 & 0 & b_{11} & b_{12} \\ 0 & -1 & b_{21} & b_{22} \end{vmatrix} \xrightarrow[\substack{a_{21}r_3 + a_{22}r_4 \rightarrow r_2}]{a_{11}r_3 + a_{12}r_4 \rightarrow r_1} \begin{vmatrix} 0 & 0 & a_{11}b_{11} + a_{12}b_{21} & a_{11}b_{12} + a_{12}b_{22} \\ 0 & 0 & a_{21}b_{11} + a_{22}b_{21} & a_{21}b_{12} + a_{22}b_{22} \\ -1 & 0 & b_{11} & b_{12} \\ 0 & -1 & b_{21} & b_{22} \end{vmatrix} \quad (1.1)$$

分别对式 (1.1) 两边的前两行进行拉普拉斯展开得:

$$LHS = (-1)^{1+2+1+2} \begin{vmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{vmatrix} \cdot \begin{vmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{vmatrix} = \begin{vmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{vmatrix} \cdot \begin{vmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{vmatrix} \quad (1.2)$$

$$\begin{aligned} RHS &= (-1)^{1+2+3+4} \begin{vmatrix} a_{11}b_{11} + a_{12}b_{21} & a_{11}b_{12} + a_{12}b_{22} \\ a_{21}b_{11} + a_{22}b_{21} & a_{21}b_{12} + a_{22}b_{22} \end{vmatrix} \cdot \begin{vmatrix} -1 & 0 \\ 0 & -1 \end{vmatrix} \\ &= \begin{vmatrix} a_{11}b_{11} + a_{12}b_{21} & a_{11}b_{12} + a_{12}b_{22} \\ a_{21}b_{11} + a_{22}b_{21} & a_{21}b_{12} + a_{22}b_{22} \end{vmatrix} \quad (1.3) \end{aligned}$$

证毕.

证法二: 将等式两边行列式完全展开, 分别对比 8 项得证 (这种方法只适用于二、三阶这样的低阶行列式, 高阶行列式展开项数过多, 费时费力)

注 本题即是以下性质的二阶形式, 感兴趣的同学可自行证明: 设  $A, B \in \mathbb{F}^{n \times n}$ , 有

$$\det(AB) = \det(A)\det(B) \quad (1.4)$$

## 习题 1.11 (第三章第 7 题)

证明:

$$\begin{vmatrix} a_{11} & a_{12} & 1 & 0 \\ a_{21} & a_{22} & 0 & 1 \\ 1 & 0 & b_{11} & b_{12} \\ 0 & 1 & b_{21} & b_{22} \end{vmatrix} = \begin{vmatrix} a_{11}b_{11} + a_{12}b_{21} - 1 & a_{11}b_{12} + a_{12}b_{22} \\ a_{21}b_{11} + a_{22}b_{21} & a_{21}b_{12} + a_{22}b_{22} - 1 \end{vmatrix}$$



证明 证法一: 类似于上题中的证法一, 我们将左边四阶行列式的前两行前两列四个元素消为 0:

$$\begin{vmatrix} a_{11} & a_{12} & 1 & 0 \\ a_{21} & a_{22} & 0 & 1 \\ 1 & 0 & b_{11} & b_{12} \\ 0 & 1 & b_{21} & b_{22} \end{vmatrix} \xrightarrow[-a_{21}r_3 - a_{22}r_4 \rightarrow r_2]{-a_{11}r_3 - a_{12}r_4 \rightarrow r_1} \begin{vmatrix} 0 & 0 & 1 - a_{11}b_{11} - a_{12}b_{21} & -a_{11}b_{12} - a_{12}b_{22} \\ 0 & 0 & -a_{21}b_{11} - a_{22}b_{21} & 1 - a_{21}b_{12} - a_{22}b_{22} \\ 1 & 0 & b_{11} & b_{12} \\ 0 & 1 & b_{21} & b_{22} \end{vmatrix} \quad (1.5)$$

对式 (1.5) 的右边进行拉普拉斯展开得:

$$\begin{aligned} RHS &= (-1)^{1+2+3+4} \begin{vmatrix} 1 - a_{11}b_{11} - a_{12}b_{21} & -a_{11}b_{12} - a_{12}b_{22} \\ -a_{21}b_{11} - a_{22}b_{21} & 1 - a_{21}b_{12} - a_{22}b_{22} \end{vmatrix} \cdot \begin{vmatrix} 1 & 0 \\ 0 & 1 \end{vmatrix} \\ &= \begin{vmatrix} a_{11}b_{11} + a_{12}b_{21} - 1 & a_{11}b_{12} + a_{12}b_{22} \\ a_{21}b_{11} + a_{22}b_{21} & a_{21}b_{12} + a_{22}b_{22} - 1 \end{vmatrix} \end{aligned} \quad (1.6)$$

证毕

证法二: 这里给出一种笔者能想到的较为简单的展开证法

$$\begin{aligned} \begin{vmatrix} a_{11} & a_{12} & 1 & 0 \\ a_{21} & a_{22} & 0 & 1 \\ 1 & 0 & b_{11} & b_{12} \\ 0 & 1 & b_{21} & b_{22} \end{vmatrix} &= \begin{vmatrix} a_{11} & a_{12} & 0 & 0 \\ a_{21} & a_{22} & 0 & 1 \\ 1 & 0 & b_{11} & b_{12} \\ 0 & 1 & b_{21} & b_{22} \end{vmatrix} + \begin{vmatrix} a_{11} & a_{12} & 1 & 0 \\ a_{21} & a_{22} & 0 & 1 \\ 1 & 0 & 0 & b_{12} \\ 0 & 1 & 0 & b_{22} \end{vmatrix} \\ &= \begin{vmatrix} a_{11} & a_{12} & 0 & 0 \\ a_{21} & a_{22} & 0 & 0 \\ 1 & 0 & b_{11} & b_{12} \\ 0 & 1 & b_{21} & b_{22} \end{vmatrix} + \begin{vmatrix} a_{11} & a_{12} & 0 & 0 \\ a_{21} & a_{22} & 0 & 1 \\ 1 & 0 & b_{11} & 0 \\ 0 & 1 & b_{21} & 0 \end{vmatrix} + \begin{vmatrix} a_{11} & a_{12} & 1 & 0 \\ a_{21} & a_{22} & 0 & 1 \\ 1 & 0 & 0 & b_{12} \\ 0 & 1 & 0 & b_{22} \end{vmatrix} \\ &= \begin{vmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{vmatrix} \cdot \begin{vmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{vmatrix} + \begin{vmatrix} a_{11} & a_{12} & 0 \\ 1 & 0 & b_{11} \\ 0 & 1 & b_{21} \end{vmatrix} + \begin{vmatrix} a_{21} & a_{22} & 1 \\ 1 & 0 & b_{12} \\ 0 & 1 & b_{22} \end{vmatrix} \\ &= \begin{vmatrix} a_{11}b_{11} + a_{12}b_{21} & a_{11}b_{12} + a_{12}b_{22} \\ a_{21}b_{11} + a_{22}b_{21} & a_{21}b_{12} + a_{22}b_{22} \end{vmatrix} - a_{11}b_{11} - a_{12}b_{21} + 1 - a_{21}b_{12} - a_{22}b_{22} \end{aligned} \quad (1.7)$$

$$\begin{aligned} &\begin{vmatrix} a_{11}b_{11} + a_{12}b_{21} - 1 & a_{11}b_{12} + a_{12}b_{22} \\ a_{21}b_{11} + a_{22}b_{21} & a_{21}b_{12} + a_{22}b_{22} - 1 \end{vmatrix} \\ &= \begin{vmatrix} a_{11}b_{11} + a_{12}b_{21} & a_{11}b_{12} + a_{12}b_{22} \\ a_{21}b_{11} + a_{22}b_{21} & a_{21}b_{12} + a_{22}b_{22} - 1 \end{vmatrix} + \begin{vmatrix} -1 & a_{11}b_{12} + a_{12}b_{22} \\ 0 & a_{21}b_{12} + a_{22}b_{22} - 1 \end{vmatrix} \\ &= \begin{vmatrix} a_{11}b_{11} + a_{12}b_{21} & a_{11}b_{12} + a_{12}b_{22} \\ a_{21}b_{11} + a_{22}b_{21} & a_{21}b_{12} + a_{22}b_{22} \end{vmatrix} + \begin{vmatrix} a_{11}b_{11} + a_{12}b_{21} & 0 \\ a_{21}b_{11} + a_{22}b_{21} & -1 \end{vmatrix} + \begin{vmatrix} -1 & a_{11}b_{12} + a_{12}b_{22} \\ 0 & a_{21}b_{12} + a_{22}b_{22} - 1 \end{vmatrix} \\ &= \begin{vmatrix} a_{11}b_{11} + a_{12}b_{21} & a_{11}b_{12} + a_{12}b_{22} \\ a_{21}b_{11} + a_{22}b_{21} & a_{21}b_{12} + a_{22}b_{22} \end{vmatrix} - a_{11}b_{11} - a_{12}b_{21} + 1 - a_{21}b_{12} - a_{22}b_{22} \end{aligned} \quad (1.8)$$

对比式 (1.7), (1.8) 可见命题成立

**注** 本题即是以下性质的二阶形式, 感兴趣的同学可自行证明: 设  $A \in F^{m \times n}, B \in F^{n \times m}$ , 有

$$\det(I_n - BA) = \det \begin{pmatrix} I_m & A \\ B & I_n \end{pmatrix} = \det(I_m - AB) \quad (1.9)$$

#### 习题 1.12 (第三章第 8 题)

设  $\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d}$  为 4 维数组向量. 证明:  $\det(2\mathbf{a} - \mathbf{b}, -\mathbf{a} + 2\mathbf{b} - \mathbf{c}, -\mathbf{b} + 2\mathbf{c} - \mathbf{d}, -\mathbf{c} + 2\mathbf{d}) = 5\det(\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d})$ .



**证明** 本题有多种变换方法, 过程合理即可.

$$\begin{aligned}
 & \det(2a - b, -a + 2b - c, -b + 2c - d, -c + 2d) \\
 \xrightarrow[c_3 \rightarrow c_2]{c_2 + c_3 + c_4 \rightarrow c_1} & \det(a + d, -a + b + c - d, -b + 2c - d, -c + 2d) \\
 \xrightarrow{c_1 \rightarrow c_2} & \det(a + d, b + c, -b + 2c - d, -c + 2d) \\
 \xrightarrow{c_2 \rightarrow c_3} & \det(a + d, b + c, 3c - d, -c + 2d) \\
 \xrightarrow{3c_4 \rightarrow c_3} & \det(a + d, b + c, 5d, -c + 2d) \\
 & = \det(a, b + c, 5d, -c) \\
 & = \det(a, b, 5d, -c) \\
 & = 5\det(a, b, c, d)
 \end{aligned}$$

### 习题 1.13 (第三章第 9 题)

求以下排列的逆序数, 并指出其奇偶性. (1) (6, 8, 1, 4, 7, 5, 3, 2, 9) (2) (6, 4, 2, 1, 9, 7, 3, 5, 8) (3) (7, 5, 2, 3, 9, 8, 1, 6, 4) ♠

**解** (1)  $\tau(6, 8, 1, 4, 7, 5, 3, 2, 9) = 19$ , 为奇排列;

(2)  $\tau(6, 4, 2, 1, 9, 7, 3, 5, 8) = 15$ , 为奇排列;

(3)  $\tau(7, 5, 2, 3, 9, 8, 1, 6, 4) = 20$ , 为偶排列.

### 习题 1.14 (第三章第 13 题)

用 Cramer 法则求解下列线性方程组:

$$(1) \begin{cases} x_1 - x_2 + x_3 = 3 \\ x_1 + 2x_2 + 4x_3 = 5 \\ x_1 + 3x_2 + 9x_3 = 7 \end{cases} \quad (2) \begin{cases} 2x_1 + x_2 - 5x_3 + x_4 = 8 \\ x_1 - 3x_2 - 6x_4 = 9 \\ 2x_2 - x_3 + 2x_4 = -5 \\ x_1 + 4x_2 - 7x_3 + 6x_4 = 0 \end{cases}$$

**解** (1)

$$\Delta = \begin{vmatrix} 1 & -1 & 1 \\ 1 & 2 & 4 \\ 1 & 3 & 9 \end{vmatrix} = 12 \quad (1.10)$$

$$\Delta_1 = \begin{vmatrix} 3 & -1 & 1 \\ 5 & 2 & 4 \\ 7 & 3 & 9 \end{vmatrix} = 36 \quad (1.11)$$

$$\Delta_2 = \begin{vmatrix} 1 & 3 & 1 \\ 1 & 5 & 4 \\ 1 & 7 & 9 \end{vmatrix} = 4 \quad (1.12)$$

$$\Delta_3 = \begin{vmatrix} 1 & -1 & 3 \\ 1 & 2 & 5 \\ 1 & 3 & 7 \end{vmatrix} = 4 \quad (1.13)$$

于是方程组的解为

$$(x_1, x_2, x_3) = \left( \frac{\Delta_1}{\Delta}, \frac{\Delta_2}{\Delta}, \frac{\Delta_3}{\Delta} \right) = \left( 3, \frac{1}{3}, \frac{1}{3} \right) \quad (1.14)$$

(2)

$$\Delta = \begin{vmatrix} 2 & 1 & -5 & 1 \\ 1 & -3 & 0 & -6 \\ 0 & 2 & -1 & 2 \\ 1 & 4 & -7 & 6 \end{vmatrix} = 27 \quad (1.15)$$

$$\Delta_1 = \begin{vmatrix} 8 & 1 & -5 & 1 \\ 9 & -3 & 0 & -6 \\ -5 & 2 & -1 & 2 \\ 0 & 4 & -7 & 6 \end{vmatrix} = 81 \quad (1.16)$$

$$\Delta_2 = \begin{vmatrix} 2 & 8 & -5 & 1 \\ 1 & 9 & 0 & -6 \\ 0 & -5 & -1 & 2 \\ 1 & 0 & -7 & 6 \end{vmatrix} = -108 \quad (1.17)$$

$$\Delta_3 = \begin{vmatrix} 2 & 1 & 8 & 1 \\ 1 & -3 & 9 & -6 \\ 0 & 2 & -5 & 2 \\ 1 & 4 & 0 & 6 \end{vmatrix} = -27 \quad (1.18)$$

$$\Delta_4 = \begin{vmatrix} 2 & 1 & -5 & 8 \\ 1 & -3 & 0 & 9 \\ 0 & 2 & -1 & -5 \\ 1 & 4 & -7 & 0 \end{vmatrix} = 27 \quad (1.19)$$

于是方程组的解为

$$(x_1, x_2, x_3, x_4) = \left( \frac{\Delta_1}{\Delta}, \frac{\Delta_2}{\Delta}, \frac{\Delta_3}{\Delta}, \frac{\Delta_4}{\Delta} \right) = (3, -4, -1, 1) \quad (1.20)$$

**习题 1.15 (第三章第 14 题)**

设  $x_0, x_1, \dots, x_n$  及  $y_0, y_1, \dots, y_n$  是任给实数, 其中  $x_i (0 \leq i \leq n)$  两两互不相等. 证明: 存在唯一的次数不超过  $n$  的多项式  $p(x)$  满足  $p(x_i) = y_i, i = 0, 1, \dots, n$ .



**证明** 设  $p(x) = a_0 + a_1x + \dots + a_nx^n$ , 命题等价于证明以下  $(n+1)$  元一次方程组有唯一解 (设  $a_0, a_1, \dots, a_n$  为未知数):

$$\begin{cases} a_0 + x_0a_1 + \dots + x_0^na_n = y_0 \\ a_0 + x_1a_1 + \dots + x_1^na_n = y_1 \\ \vdots \\ a_0 + x_na_1 + \dots + x_n^na_n = y_n \end{cases} \quad (1.21)$$

注意到方程组 (1.21) 的系数行列式为  $(n+1)$  阶 Vandermonde 行列式, 有

$$\begin{vmatrix} 1 & x_0 & \dots & x_0^n \\ 1 & x_1 & \dots & x_1^n \\ \vdots & \vdots & \dots & \vdots \\ 1 & x_n & \dots & x_n^n \end{vmatrix} = \prod_{0 \leq i < j \leq n} (x_j - x_i) \neq 0 \quad (1.22)$$

由 Cramer 法则知方程组有唯一解  $a_i = \frac{\Delta_{i+1}}{\Delta} \quad (0 \leq i \leq n)$ .

注 实际上我们由此得到了拉格朗日插值多项式

习题 1.16 (第三章第 16 题)

计算下列  $n$  阶行列式

$$\begin{aligned}
 (1) \quad & \begin{vmatrix} a_1 & & & & b_1 \\ & \ddots & & & \ddots \\ & & a_n & b_n & \\ & & c_n & d_n & \\ & \ddots & & & \ddots \\ c_1 & & & & d_1 \end{vmatrix} & (2) \quad \begin{vmatrix} 1+a_1 & 1 & \cdots & 1 \\ 1 & 1+a_2 & \ddots & \vdots \\ \vdots & \ddots & \ddots & 1 \\ 1 & \cdots & 1 & 1+a_n \end{vmatrix} \\
 (3) \quad & \begin{vmatrix} 2\cos(\theta) & 1 & 0 & \cdots & 0 \\ 1 & 2\cos(\theta) & 1 & \cdots & 0 \\ 0 & 1 & 2\cos(\theta) & \cdots & 0 \\ \vdots & \vdots & \vdots & & \vdots \\ 0 & 0 & 0 & \cdots & 2\cos(\theta) \end{vmatrix}
 \end{aligned}$$

解 (1)

$$\begin{aligned}
 & \begin{vmatrix} a_1 & & & & b_1 \\ & \ddots & & & \ddots \\ & & a_n & b_n & \\ & & c_n & d_n & \\ & \ddots & & & \ddots \\ c_1 & & & & d_1 \end{vmatrix} = (-1)^{2n-2} \begin{vmatrix} a_1 & & & & b_1 \\ c_1 & & & & d_1 \\ & a_2 & & & b_2 \\ & & \ddots & & \ddots \\ & & & a_n & b_n \\ & & & c_n & d_n \\ & & \ddots & & \ddots \\ & c_2 & & & d_2 \end{vmatrix} \\
 & = \begin{vmatrix} a_1 & b_1 \\ c_1 & d_1 \\ & a_2 & & b_2 \\ & & \ddots & \ddots \\ & & & a_n & b_n \\ & & & c_n & d_n \\ & & \ddots & & \ddots \\ & c_2 & & & d_2 \end{vmatrix} = \cdots \\
 & = \begin{vmatrix} a_1 & b_1 \\ c_1 & d_1 \\ & a_2 & b_2 \\ & c_2 & d_2 \\ & & \ddots & \ddots \\ & & & a_n & b_n \\ & & & c_n & d_n \end{vmatrix}
 \end{aligned}$$

$$\begin{aligned}
&= \begin{vmatrix} a_1 & b_1 \\ c_1 & d_1 \end{vmatrix} \times \begin{vmatrix} a_2 & b_2 \\ c_2 & d_2 \end{vmatrix} \times \cdots \times \begin{vmatrix} a_n & b_n \\ c_n & d_n \end{vmatrix} \\
&= \prod_{i=1}^n (a_i d_i - c_i b_i)
\end{aligned} \tag{1.23}$$

(2) 分以下三种情况讨论:

a. 当  $a_1, a_2, \dots, a_n$  中存在两个及以上的 0, 那么此时行列式有两行及以上相等, 行列式的值为 0;

b. 当  $a_1, a_2, \dots, a_n$  中有一个 0 (设为  $a_k (1 \leq k \leq n)$ ), 而其余元素非零, 此时行列式第  $k$  行元素均为 1, 有:

$$\begin{aligned}
&\begin{vmatrix} 1+a_1 & 1 & \cdots & \cdots & \cdots & 1 \\ 1 & 1+a_2 & \cdots & \cdots & \cdots & 1 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 1 & 1 & 1 & 1 & \cdots & 1 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & 1 & 1 & 1 & \cdots & 1+a_n \end{vmatrix} \xrightarrow{-r_k \rightarrow r_1, \dots, r_{k-1}, r_{k+1}, \dots, r_n} \begin{vmatrix} a_1 & 0 & \cdots & 0 \\ 0 & a_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 1 & 1 & \cdots & 1 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & a_n \end{vmatrix} \\
&= \begin{vmatrix} a_1 & 0 & \cdots & \cdots & \cdots & 0 \\ 0 & a_2 & \cdots & \cdots & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & a_n \end{vmatrix} \\
&= \prod_{i \neq k} a_i
\end{aligned}$$

注 a 和 b 两种情况也可以合并讨论

c. 当  $a_1, a_2, \dots, a_n$  均非零:

$$\begin{aligned}
&\begin{vmatrix} 1+a_1 & 1 & \cdots & 1 \\ 1 & 1+a_2 & \cdots & 1 \\ \vdots & \vdots & \ddots & \vdots \\ 1 & 1 & \cdots & 1+a_n \end{vmatrix} \xrightarrow{-r_1 \rightarrow r_2, \dots, r_n} \begin{vmatrix} 1+a_1 & 1 & \cdots & 1 \\ -a_1 & a_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ -a_1 & 0 & \cdots & a_n \end{vmatrix} \\
&\xrightarrow{-\frac{1}{a_2}r_2 - \cdots - \frac{1}{a_n}r_n \rightarrow r_1} \begin{vmatrix} 1+a_1+a_1 \sum_{i=2}^n \frac{1}{a_i} & 0 & \cdots & 0 \\ -a_1 & a_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ -a_1 & 0 & \cdots & a_n \end{vmatrix} \\
&= \left( \prod_{i=1}^n a_i \right) \left( 1 + \sum_{i=1}^n \frac{1}{a_i} \right)
\end{aligned}$$

(3) 我们将  $n$  阶这样的行列式记为  $K_n$ , 易知  $K_1 = 2\cos\theta, K_2 = 4\cos^2\theta - 1$ , 当  $n \geq 3$  时, 将  $K_n$  按照第一行展开, 得:

$$K_n = 2\cos\theta K_{n-1} - K_{n-2} \tag{1.24}$$

方法一: 这是一个二阶线性递推数列, 其特征方程为:

$$\lambda^2 = 2\cos\theta\lambda - 1 \tag{1.25}$$

解特征方程得到特征根:

$$\lambda = e^{\pm i\theta} \quad (1.26)$$

下面分类讨论:

a.  $\theta = 2m\pi (m \in \mathbb{Z})$ , 此时特征根为重根  $\lambda_1 = \lambda_2 = 1$ , 且  $\cos\theta = 1$ , 数列的递推公式写为:  $K_n = 2K_{n-1} - K_{n-2}$ , 易知  $K_n = n + 1$ .

b.  $\theta = (2m + 1)\pi (m \in \mathbb{Z})$ , 此时特征根为重根  $\lambda_1 = \lambda_2 = -1$ , 且  $\cos\theta = -1$ , 数列的递推公式写为:  $K_n = -2K_{n-1} - K_{n-2}$ , 易知  $K_n = (-1)^n(n + 1)$ .

c.  $\theta \neq m\pi (m \in \mathbb{Z})$ , 此时两个特征根不等:  $\lambda_1 = e^{i\theta}, \lambda_2 = e^{-i\theta}, \lambda_1 \neq \lambda_2$

设  $K_n = c_1\lambda_1^n + c_2\lambda_2^n$ , 将  $K_1, K_2$  代入解得  $c_1 = \frac{1-e^{-i2\theta}}{2-2\cos(2\theta)}, c_2 = \frac{1-e^{-i2\theta}}{2-2\cos(2\theta)}$ , 则  $K_n = \frac{\cos(n\theta) - \cos((n+2)\theta)}{1 - \cos(2\theta)} = \frac{2\sin((n+1)\theta)\sin\theta}{2\sin^2\theta} = \frac{\sin((n+1)\theta)}{\sin\theta}$

方法二:  $\cos\theta = \pm 1$  时与方法一类似, 不再赘述.

当  $\sin\theta \neq 0$  时观察可知  $K_1 = \frac{\sin(2\theta)}{\sin\theta}, K_2 = \frac{\sin(3\theta)}{\sin\theta}$ , 则我们猜测  $K_n = \frac{\sin((n+1)\theta)}{\sin\theta}$ . 下面进行数学归纳法:

假设  $m < n (n \geq 3)$  时均有  $K_m = \frac{\sin((m+1)\theta)}{\sin\theta}$  成立, 则

$$\begin{aligned} K_n &= 2\cos\theta K_{n-1} - K_{n-2} \\ &= 2\cos\theta \frac{\sin(n\theta)}{\sin\theta} - \frac{\sin((n-1)\theta)}{\sin\theta} \\ &= \frac{2\cos\theta \sin(n\theta) - \sin((n-1)\theta)}{\sin\theta} \\ &= \frac{\sin((n+1)\theta)}{\sin\theta} \end{aligned} \quad (1.27)$$

由归纳法原理知命题成立.

**注** 本题为三对角行列式的一种特殊情况, 类似本题使用的方法可以计算一般的三对角行列式:

$$\begin{vmatrix} a & b & & \\ c & \ddots & \ddots & \\ & \ddots & \ddots & b \\ & & c & a_n \end{vmatrix} = \begin{cases} (n+1)\left(\frac{a}{2}\right)^n & a^2 = 4bc \\ \frac{(a + \sqrt{a^2 - 4bc})^{n+1} - (a - \sqrt{a^2 - 4bc})^{n+1}}{2^{n+1}\sqrt{a^2 - 4bc}} & a^2 \neq 4bc \end{cases} \quad (1.28)$$

## 1.3.2 附录

对于最后一道题用到的知识的一些补充

## 二阶线性递推数列

一般的二阶线性递推数列  $\{a_n\}$  的递推关系写为:

$$a_n = pa_{n-1} + qa_{n-2} \quad (n \geq 3, p \neq 0, q \neq 0) \quad (1.29)$$

若已知  $a_1, a_2$ , 怎样求通项公式?

我们尝试将递推关系写为等比关系的形式如下:

$$a_n - \lambda_1 a_{n-1} = \lambda_2 (a_{n-1} - \lambda_1 a_{n-2}) \quad (1.30)$$

从而可以列出方程组:

$$\begin{cases} \lambda_1 + \lambda_2 = p \\ \lambda_1 \lambda_2 = -q \end{cases} \quad (1.31)$$

由韦达定理可知  $\lambda_1, \lambda_2$  是下面二次方程的两个根

$$\lambda^2 - p\lambda - q = 0 \quad (1.32)$$

我们将式 (1.32) 称为特征方程,  $\lambda_1, \lambda_2$  称为特征根, 可见特征方程与递推关系式类似, 只是将  $a_n, a_{n-1}, a_{n-2}$  分别替换为了  $\lambda^2, \lambda, 1$ .

通过特征方程解出特征根后, 有

$$a_n - \lambda_1 a_{n-1} = \lambda_2(a_{n-1} - \lambda_1 a_{n-2}) = \lambda_2^2(a_{n-2} - \lambda_1 a_{n-3}) = \cdots = \lambda_2^{n-2}(a_2 - \lambda_1 a_1) \quad (1.33)$$

观察可知式 (1.30) 可变形为

$$a_n - \lambda_2 a_{n-1} = \lambda_1(a_{n-1} - \lambda_2 a_{n-2}) \quad (1.34)$$

则同理有

$$a_n - \lambda_2 a_{n-1} = \lambda_1^{n-2}(a_2 - \lambda_2 a_1) \quad (1.35)$$

当  $\lambda_1 \neq \lambda_2$  时, 联立式 (2.3)(1.35) 得

$$a_n = \frac{a_2 - \lambda_2 a_1}{\lambda_1 - \lambda_2} \lambda_1^{n-1} - \frac{a_2 - \lambda_1 a_1}{\lambda_1 - \lambda_2} \lambda_2^{n-1} \quad (1.36)$$

当  $\lambda_1 = \lambda_2 = \lambda$  时, 有

$$a_n - \lambda a_{n-1} = \lambda(a_{n-1} - \lambda a_{n-2}) = \lambda^2(a_{n-2} - \lambda a_{n-3}) = \cdots = \lambda^{n-2}(a_2 - \lambda a_1) \quad (1.37)$$

此时

$$\begin{aligned} a_n &= (a_n - \lambda a_{n-1}) + \lambda(a_{n-1} - \lambda a_{n-2}) + \lambda^2(a_{n-2} - \lambda a_{n-3}) + \cdots + \lambda^{n-2}(a_2 - \lambda a_1) + \lambda^{n-1} a_1 \\ &= (n-1)\lambda^{n-2}(a_2 - \lambda a_1) + \lambda^{n-1} a_1 \end{aligned} \quad (1.38)$$

观察式 (1.36)(1.38) 可见, 通项公式  $a_n$  是以  $a_1, a_2, \lambda_1, \lambda_2$  为参数,  $n$  为自变量的函数, 我们下面给出求通项公式的一般程式:

(1) 求特征方程  $\lambda^2 - p\lambda - q = 0$  的根  $\lambda_1, \lambda_2$ , 在上述证明过程中, 我们并未将根限定在实数范围内, 实际上, 在复数域中, 二次方程必有两根.

(2) 若  $\lambda_1 \neq \lambda_2$ , 通项公式可写为  $a_n = c_1 \lambda_1^n + c_2 \lambda_2^n$ ; 若  $\lambda_1 = \lambda_2 = \lambda$ , 通项公式可写为  $(c_1 + c_2 n) \lambda^n$ . 其中  $c_1, c_2$  为待定系数.

(3) 将  $n = 1, 2$  代入通项公式, 得到两个方程构成的方程组, 可由此方程组求得  $c_1, c_2$ , 其表达式含  $a_1, a_2, \lambda_1, \lambda_2$ , 即通项公式的参数.

至此, 通项公式完全求出.

## 复数运算

### ¶ 实系数一元二次方程的复数解

在复数域中, 任一实系数二次方程  $ax^2 + bx + c = 0$  都存在两根, 当其判别式  $\Delta = b^2 - 4ac < 0$  时, 根为两共轭复数:

$$x_1 = \frac{-b + i\sqrt{4ac - b^2}}{2a}, \quad x_2 = \frac{-b - i\sqrt{4ac - b^2}}{2a} \quad (1.39)$$

### ¶ 欧拉公式

我们知道欧拉公式写为:

$$e^{i\theta} = \cos(\theta) + i\sin(\theta) \quad (1.40)$$

从而  $e^{-i\theta} = \cos(-\theta) + i\sin(-\theta) = \cos(\theta) - i\sin(\theta)$ , 反解得到:

$$\cos(\theta) = \frac{e^{i\theta} + e^{-i\theta}}{2}, \quad \sin(\theta) = \frac{e^{i\theta} - e^{-i\theta}}{2i} \quad (1.41)$$