Specification for WebP Lossless Bitstream

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Abstract

WebP lossless is an image format for lossless compression of ARGB images. The lossless format stores and restores the pixel values exactly, including the color values for fully transparent pixels. A universal algorithm for sequential data compression (LZ77), prefix coding, and a color cache are used for compression of the bulk data. Decoding speeds faster than PNG have been demonstrated, as well as 25% denser compression than can be achieved using today's PNG format.

1 Introduction

This document describes the compressed data representation of a WebP lossless image. It is intended as a detailed reference for the WebP lossless encoder and decoder implementation.

In this document, we extensively use C programming language syntax to describe the bitstream and assume the existence of a function for reading bits, ReadBits(n). The bytes are read in the natural order of the stream containing them, and bits of each byte are read in least-significant-bit-first order. When multiple bits are read at the same time, the integer is constructed from the original data in the original order. The most significant bits of the returned integer are also the most significant bits of the original data. Thus, the statement

```
b = ReadBits(2);
```

is equivalent with the two statements below:

```
b = ReadBits(1);
b |= ReadBits(1) << 1;</pre>
```

We assume that each color component, that is, alpha, red, blue, and green, is represented using an 8-bit byte. We define the corresponding type as uint8. A whole ARGB pixel is represented by a type called uint32, which is an unsigned integer consisting of 32 bits. In the code showing the behavior of the transforms, these values are codified in the following bits: alpha in bits 31..24, red in bits 23..16, green in bits 15..8, and blue in bits 7..0; however, implementations of the format are free to use another representation internally.

Broadly, a WebP lossless image contains header data, transform information, and actual image data. Headers contain the width and height of the image. A WebP lossless image can go through four different types of transforms before being entropy encoded. The transform information in the bitstream contains the data required to apply the respective inverse transforms.

2 Nomenclature

ARGB

A pixel value consisting of alpha, red, green, and blue values.

ARGB image

A two-dimensional array containing ARGB pixels.

color cache

A small hash-addressed array to store recently used colors to be able to recall them with shorter codes.

color indexing image

A one-dimensional image of colors that can be indexed using a small integer (up to 256 within WebP lossless).

color transform image

A two-dimensional subresolution image containing data about correlations of color components.

distance mapping

Changes LZ77 distances to have the smallest values for pixels in two-dimensional proximity.

entropy image

A two-dimensional subresolution image indicating which entropy coding should be used in a respective square in the image, that is, each pixel is a meta prefix code.

LZ77

A dictionary-based sliding window compression algorithm that either emits symbols or describes them as sequences of past symbols.

meta prefix code

A small integer (up to 16 bits) that indexes an element in the meta prefix table.

predictor image

A two-dimensional subresolution image indicating which spatial predictor is used for a particular square in the image.

prefix code

A classic way to do entropy coding where a smaller number of bits are used for more frequent codes.

prefix coding

A way to entropy code larger integers, which codes a few bits of the integer using an entropy code and codifies the remaining bits raw. This allows for the descriptions of the entropy codes to remain relatively small even when the range of symbols is large.

scan-line order

A processing order of pixels (left to right and top to bottom), starting from the left-hand-top pixel. Once a row is completed, continue from the left-hand column of the next row.

3 RIFF Header

The beginning of the header has the RIFF container. This consists of the following 21 bytes:

- 1. String 'RIFF'.
- 2. A little-endian, 32-bit value of the chunk length, which is the whole size of the chunk controlled by the RIFF header. Normally, this equals the payload size (file size minus 8

bytes: 4 bytes for the 'RIFF' identifier and 4 bytes for storing the value itself).

- 3. String 'WEBP' (RIFF container name).
- 4. String 'VP8L' (FourCC for lossless-encoded image data).
- 5. A little-endian, 32-bit value of the number of bytes in the lossless stream.
- 6. 1-byte signature 0x2f.

The first 28 bits of the bitstream specify the width and height of the image. Width and height are decoded as 14-bit integers as follows:

```
int image_width = ReadBits(14) + 1;
int image_height = ReadBits(14) + 1;
```

The 14-bit precision for image width and height limits the maximum size of a WebP lossless image to 16384×16384 pixels.

The alpha_is_used bit is a hint only, and should not impact decoding. It should be set to 0 when all alpha values are 255 in the picture, and 1 otherwise.

```
int alpha_is_used = ReadBits(1);
```

The version_number is a 3 bit code that must be set to 0. Any other value should be treated as an error.

```
int version_number = ReadBits(3);
```

4 Transforms

The transforms are reversible manipulations of the image data that can reduce the remaining symbolic entropy by modeling spatial and color correlations. They can make the final compression more dense.

An image can go through four types of transforms. A 1 bit indicates the presence of a transform. Each transform is allowed to be used only once. The transforms are used only

for the main-level ARGB image; the subresolution images (color transform image, entropy image, and predictor image) have no transforms, not even the 0 bit indicating the end of transforms.

Typically, an encoder would use these transforms to reduce the Shannon entropy in the residual image. Also, the transform data can be decided based on entropy minimization.

```
while (ReadBits(1)) { // Transform present.
  // Decode transform type.
  enum TransformType transform_type = ReadBits(2);
  // Decode transform data.
  ...
}
// Decode actual image data (Section 5).
```

If a transform is present, then the next two bits specify the transform type. There are four types of transforms.

```
enum TransformType {
   PREDICTOR_TRANSFORM = 0,
   COLOR_TRANSFORM = 1,
   SUBTRACT_GREEN_TRANSFORM = 2,
   COLOR_INDEXING_TRANSFORM = 3,
};
```

The transform type is followed by the transform data. Transform data contains the information required to apply the inverse transform and depends on the transform type. The inverse transforms are applied in the reverse order that they are read from the bitstream, that is, last one first.

Next, we describe the transform data for different types.

4.1 Predictor Transform

The predictor transform can be used to reduce entropy by exploiting the fact that neighboring pixels are often correlated. In the predictor transform, the current pixel value is predicted from the pixels already decoded (in scan-line order) and only the residual value (actual - predicted) is encoded. The green component of a pixel defines which of the 14 predictors is used within a particular block of the ARGB image. The *prediction mode*

determines the type of prediction to use. We divide the image into squares, and all the pixels in a square use the same prediction mode.

The first 3 bits of prediction data define the block width and height in number of bits.

```
int size_bits = ReadBits(3) + 2;
int block_width = (1 << size_bits);
int block_height = (1 << size_bits);
#define DIV_ROUND_UP(num, den) (((num) + (den) - 1) / (den))
int transform_width = DIV_ROUND_UP(image_width, 1 << size_bits);</pre>
```

The transform data contains the prediction mode for each block of the image. It is a subresolution image where the green component of a pixel defines which of the 14 predictors is used for all the block_width * block_height pixels within a particular block of the ARGB image. This subresolution image is encoded using the same techniques described in Chapter 5 (#5_image_data).

The number of block columns, $transform_width$, is used in two-dimensional indexing. For a pixel (x, y), one can compute the respective filter block address by:

There are 14 different prediction modes. In each prediction mode, the current pixel value is predicted from one or more neighboring pixels whose values are already known.

We chose the neighboring pixels (TL, T, TR, and L) of the current pixel (P) as follows:

```
0
      0
          0
             0
   0
                 0
                    0
                        0
                           0
                               0
                                  0
0
   0
      0
          0
             0
                 0
                    0
                        0
                           0
                               0
                                  0
             TL
0
   0
      0
          0
                 Т
                    TR
                        0
                           0
                               0
0
   0
      0
          0
             L
                 Ρ
                    Χ
                        Χ
                           Χ
                               Χ
                                  Χ
Χ
   Χ
      Χ
          Χ
             Χ
                 Χ
                    Χ
                        Χ
                           Χ
                               Χ
                                  Χ
   Χ
      Χ
          Χ
             Χ
Χ
                 Χ
                    Χ
                        Χ
                           Χ
                               Χ
                                  Χ
```

where TL means top-left, T means top, TR means top-right, and L means left. At the time of predicting a value for P, all O, TL, T, TR and L pixels have already been processed, and the P pixel and all X pixels are unknown.

Given the preceding neighboring pixels, the different prediction modes are defined as follows.

Mode	Predicted value of each channel of the current pixel	
0	0xff000000 (represents solid black color in ARGB)	
1	L	
2	T	
3	TR	
4	TL	
5	Average2(Average2(L, TR), T)	
6	Average2(L, TL)	
7	Average2(L, T)	
8	Average2(TL, T)	
9	Average2(T, TR)	
10	Average2(Average2(L, TL), Average2(T, TR))	
11	Select(L, T, TL)	
12	ClampAddSubtractFull(L, T, TL)	
13	ClampAddSubtractHalf(Average2(L, T), TL)	

Average2 is defined as follows for each ARGB component:

```
uint8 Average2(uint8 a, uint8 b) {
  return (a + b) / 2;
}
```

The Select predictor is defined as follows:

```
uint32 Select(uint32 L, uint32 T, uint32 TL) {
   // L = left pixel, T = top pixel, TL = top-left pixel.

   // ARGB component estimates for prediction.
   int pAlpha = ALPHA(L) + ALPHA(T) - ALPHA(TL);
```

The functions ClampAddSubtractFull and ClampAddSubtractHalf are performed for each ARGB component as follows:

```
// Clamp the input value between 0 and 255.
int Clamp(int a) {
  return (a < 0) ? 0 : (a > 255) ? 255 : a;
}

int ClampAddSubtractFull(int a, int b, int c) {
  return Clamp(a + b - c);
}

int ClampAddSubtractHalf(int a, int b) {
  return Clamp(a + (a - b) / 2);
}
```

There are special handling rules for some border pixels. If there is a prediction transform, regardless of the mode [0..13] for these pixels, the predicted value for the left-topmost pixel of the image is 0xff000000, all pixels on the top row are L-pixel, and all pixels on the leftmost column are T-pixel.

Addressing the TR-pixel for pixels on the rightmost column is exceptional. The pixels on the rightmost column are predicted by using the modes [0..13], just like pixels not on the border, but the leftmost pixel on the same row as the current pixel is instead used as the TR-pixel.

The final pixel value is obtained by adding each channel of the predicted value to the encoded residual value.

4.2 Color Transform

The goal of the color transform is to decorrelate the R, G, and B values of each pixel. The color transform keeps the green (G) value as it is, transforms the red (R) value based on the green value, and transforms the blue (B) value based on the green value and then on the red value.

As is the case for the predictor transform, first the image is divided into blocks, and the same transform mode is used for all the pixels in a block. For each block, there are three types of color transform elements.

```
typedef struct {
  uint8 green_to_red;
  uint8 green_to_blue;
  uint8 red_to_blue;
} ColorTransformElement;
```

The actual color transform is done by defining a color transform delta. The color transform delta depends on the ColorTransformElement, which is the same for all the pixels in a particular block. The delta is subtracted during the color transform. The inverse color transform then is just adding those deltas.

The color transform function is defined as follows:

ColorTransformDelta is computed using a signed 8-bit integer representing a 3.5-fixed-point number and a signed 8-bit RGB color channel (c) [-128..127] and is defined as follows:

```
int8 ColorTransformDelta(int8 t, int8 c) {
  return (t * c) >> 5;
}
```

A conversion from the 8-bit unsigned representation (uint8) to the 8-bit signed one (int8) is required before calling ColorTransformDelta(). The signed value should be interpreted as an 8-bit two's complement number (that is: uint8 range [128..255] is mapped to the [-128..-1] range of its converted int8 value).

The multiplication is to be done using more precision (with at least 16-bit precision). The sign extension property of the shift operation does not matter here; only the lowest 8 bits are used from the result, and there the sign extension shifting and unsigned shifting are consistent with each other.

Now, we describe the contents of color transform data so that decoding can apply the inverse color transform and recover the original red and blue values. The first 3 bits of the color transform data contain the width and height of the image block in number of bits, just like the predictor transform:

```
int size_bits = ReadBits(3) + 2;
int block_width = 1 << size_bits;</pre>
```

```
int block_height = 1 << size_bits;</pre>
```

The remaining part of the color transform data contains ColorTransformElement instances, corresponding to each block of the image. Each ColorTransformElement 'cte' is treated as a pixel in a subresolution image whose alpha component is 255, red component is cte.red_to_blue, green component is cte.green_to_blue, and blue component is cte.green_to_red.

During decoding, ColorTransformElement instances of the blocks are decoded and the inverse color transform is applied on the ARGB values of the pixels. As mentioned earlier, that inverse color transform is just adding ColorTransformElement values to the red and blue channels. The alpha and green channels are left as is.

4.3 Subtract Green Transform

The subtract green transform subtracts green values from red and blue values of each pixel. When this transform is present, the decoder needs to add the green value to both the red and blue values. There is no data associated with this transform. The decoder applies the inverse transform as follows:

```
void AddGreenToBlueAndRed(uint8 green, uint8 *red, uint8 *blue) {
  *red = (*red + green) & 0xff;
```

```
*blue = (*blue + green) & 0xff;
}
```

This transform is redundant, as it can be modeled using the color transform, but since there is no additional data here, the subtract green transform can be coded using fewer bits than a full-blown color transform.

4.4 Color Indexing Transform

If there are not many unique pixel values, it may be more efficient to create a color index array and replace the pixel values by the array's indices. The color indexing transform achieves this. (In the context of WebP lossless, we specifically do not call this a palette transform because a similar but more dynamic concept exists in WebP lossless encoding: color cache.)

The color indexing transform checks for the number of unique ARGB values in the image. If that number is below a threshold (256), it creates an array of those ARGB values, which is then used to replace the pixel values with the corresponding index: the green channel of the pixels are replaced with the index, all alpha values are set to 255, and all red and blue values to 0.

The transform data contains the color table size and the entries in the color table. The decoder reads the color indexing transform data as follows:

```
// 8-bit value for the color table size
int color_table_size = ReadBits(8) + 1;
```

The color table is stored using the image storage format itself. The color table can be obtained by reading an image, without the RIFF header, image size, and transforms, assuming the height of 1 pixel and the width of color_table_size. The color table is always subtraction-coded to reduce image entropy. The deltas of palette colors contain typically much less entropy than the colors themselves, leading to significant savings for smaller images. In decoding, every final color in the color table can be obtained by adding the previous color component values by each ARGB component separately and storing the least significant 8 bits of the result.

The inverse transform for the image is simply replacing the pixel values (which are indices to the color table) with the actual color table values. The indexing is done based on the green component of the ARGB color.

```
// Inverse transform
argb = color_table[GREEN(argb)];
```

If the index is equal to or larger than color_table_size, the argb color value should be set to 0x00000000 (transparent black).

When the color table is small (equal to or less than 16 colors), several pixels are bundled into a single pixel. The pixel bundling packs several (2, 4, or 8) pixels into a single pixel, reducing the image width respectively. Pixel bundling allows for a more efficient joint distribution entropy coding of neighboring pixels and gives some arithmetic coding-like benefits to the entropy code, but it can only be used when there are 16 or fewer unique values.

color_table_size specifies how many pixels are combined:

```
int width_bits;
if (color_table_size <= 2) {
   width_bits = 3;
} else if (color_table_size <= 4) {
   width_bits = 2;
} else if (color_table_size <= 16) {
   width_bits = 1;
} else {
   width_bits = 0;
}</pre>
```

width_bits has a value of 0, 1, 2, or 3. A value of 0 indicates no pixel bundling is to be done for the image. A value of 1 indicates that two pixels are combined, and each pixel has a range of [0..15]. A value of 2 indicates that four pixels are combined, and each pixel has a range of [0..3]. A value of 3 indicates that eight pixels are combined and each pixel has a range of [0..1], that is, a binary value.

The values are packed into the green component as follows:

- width_bits = 1: For every x value, where x ≡ 0 (mod 2), a green value at x is
 positioned into the 4 least significant bits of the green value at x / 2, and a green value
 at x + 1 is positioned into the 4 most significant bits of the green value at x / 2.
- width_bits = 2: For every x value, where $x \equiv 0 \pmod{4}$, a green value at x is positioned into the 2 least-significant bits of the green value at x / 4, and green values

at x + 1 to x + 3 are positioned in order to the more significant bits of the green value at x / 4.

width_bits = 3: For every x value, where x ≡ 0 (mod 8), a green value at x is positioned into the least significant bit of the green value at x / 8, and green values at x + 1 to x + 7 are positioned in order to the more significant bits of the green value at x / 8.

After reading this transform, image_width is subsampled by width_bits. This affects the size of subsequent transforms. The new size can be calculated using DIV_ROUND_UP, as defined earlier (#41_predictor_transform).

```
image_width = DIV_ROUND_UP(image_width, 1 << width_bits);</pre>
```

5 Image Data

Image data is an array of pixel values in scan-line order.

5.1 Roles of Image Data

We use image data in five different roles:

- 1. ARGB image: Stores the actual pixels of the image.
- 2. Entropy image: Stores the meta prefix codes (see <u>"Decoding of Meta Prefix Codes"</u> (#622_decoding_of_meta_prefix_codes)).
- 3. Predictor image: Stores the metadata for the predictor transform (see <u>"Predictor Transform"</u> (#41_predictor_transform)).
- 4. Color transform image: Created by ColorTransformElement values (defined in <u>"Color Transform"</u> (#42_color_transform)) for different blocks of the image.
- 5. Color indexing image: An array of size color_table_size (up to 256 ARGB values) storing the metadata for the color indexing transform (see "Color Indexing Transform" (#44_color_indexing_transform)).

5.2 Encoding of Image Data

The encoding of image data is independent of its role.

The image is first divided into a set of fixed-size blocks (typically 16x16 blocks). Each of these blocks are modeled using their own entropy codes. Also, several blocks may share the same entropy codes.

Rationale: Storing an entropy code incurs a cost. This cost can be minimized if statistically similar blocks share an entropy code, thereby storing that code only once. For example, an encoder can find similar blocks by clustering them using their statistical properties or by repeatedly joining a pair of randomly selected clusters when it reduces the overall amount of bits needed to encode the image.

Each pixel is encoded using one of the three possible methods:

- 1. Prefix-coded literals: Each channel (green, red, blue, and alpha) is entropy-coded independently.
- 2. LZ77 backward reference: A sequence of pixels are copied from elsewhere in the image.
- 3. Color cache code: Using a short multiplicative hash code (color cache index) of a recently seen color.

The following subsections describe each of these in detail.

5.2.1 Prefix-Coded Literals

The pixel is stored as prefix-coded values of green, red, blue, and alpha (in that order). See <u>Section 6.2.3</u> (#623_decoding_entropy-coded_image_data) for details.

5.2.2 LZ77 Backward Reference

Backward references are tuples of *length* and *distance code*:

- Length indicates how many pixels in scan-line order are to be copied.
- Distance code is a number indicating the position of a previously seen pixel, from which the pixels are to be copied. The exact mapping is described <u>below</u> (#distance_mapping).

The length and distance values are stored using **LZ77 prefix coding**.

LZ77 prefix coding divides large integer values into two parts: the *prefix code* and the *extra bits*. The prefix code is stored using an entropy code, while the extra bits are stored as they are (without an entropy code).

Rationale: This approach reduces the storage requirement for the entropy code. Also, large values are usually rare, so extra bits would be used for very few values in the image. Thus, this approach results in better compression overall.

The following table denotes the prefix codes and extra bits used for storing different ranges of values.

Note: The maximum backward reference length is limited to 4096. Hence, only the first 24 prefix codes (with the respective extra bits) are meaningful for length values. For distance values, however, all the 40 prefix codes are valid.

Value range	Prefix code	Extra bits
1	0	0
2	1	0
3	2	0
4	3	0
56	4	1
78	5	1
912	6	2
1316	7	2
30724096	23	10
524289786432	38	18
7864331048576	39	18

The pseudocode to obtain a (length or distance) value from the prefix code is as follows:

```
if (prefix_code < 4) {
   return prefix_code + 1;
}
int extra_bits = (prefix_code - 2) >> 1;
int offset = (2 + (prefix_code & 1)) << extra_bits;</pre>
```

```
return offset + ReadBits(extra_bits) + 1;
```

Distance Mapping

As noted previously, a distance code is a number indicating the position of a previously seen pixel, from which the pixels are to be copied. This subsection defines the mapping between a distance code and the position of a previous pixel.

Distance codes larger than 120 denote the pixel distance in scan-line order, offset by 120.

The smallest distance codes [1..120] are special and are reserved for a close neighborhood of the current pixel. This neighborhood consists of 120 pixels:

- Pixels that are 1 to 7 rows above the current pixel and are up to 8 columns to the left or up to 7 columns to the right of the current pixel. [Total such pixels = 7 * (8 + 1 + 7) = 112].
- Pixels that are in the same row as the current pixel and are up to 8 columns to the left of the current pixel. [8 such pixels].

The mapping between distance code distance_code and the neighboring pixel offset (xi, yi) is as follows:

```
(-1, 1), (0, 2),
                                               (2, 0),
(0, 1),
         (1, 0),
                   (1, 1),
                                                         (1, 2),
(-1, 2), (2, 1),
                   (-2, 1), (2, 2),
                                      (-2, 2), (0, 3),
                                                         (3, 0),
(1, 3),
         (-1, 3), (3, 1),
                            (-3, 1), (2, 3),
                                               (-2, 3),
                                                         (3, 2),
(-3, 2), (0, 4),
                   (4, 0),
                            (1, 4),
                                      (-1, 4), (4, 1),
                                                         (-4, 1),
         (-3, 3), (2, 4),
(3, 3),
                            (-2, 4), (4, 2),
                                               (-4, 2),
                                                         (0, 5),
(3, 4),
         (-3, 4), (4, 3),
                            (-4, 3), (5, 0),
                                               (1, 5),
                                                         (-1, 5),
         (-5, 1), (2, 5),
                                               (-5, 2),
                                                         (4, 4),
(5, 1),
                            (-2, 5), (5, 2),
(-4, 4), (3, 5),
                   (-3, 5), (5, 3),
                                      (-5, 3), (0, 6),
                                                         (6, 0),
(1, 6),
         (-1, 6), (6, 1),
                            (-6, 1), (2, 6),
                                               (-2, 6),
                                                         (6, 2),
(-6, 2), (4, 5),
                   (-4, 5), (5, 4),
                                      (-5, 4), (3, 6),
                                                         (-3, 6),
(6, 3),
         (-6, 3), (0, 7),
                            (7, 0),
                                      (1, 7),
                                               (-1, 7), (5, 5),
(-5, 5), (7, 1),
                   (-7, 1), (4, 6),
                                      (-4, 6), (6, 4),
                                                         (-6, 4),
                            (-7, 2), (3, 7),
                                                (-3, 7),
(2, 7),
         (-2, 7), (7, 2),
                                                         (7, 3),
(-7, 3), (5, 6),
                  (-5, 6), (6, 5),
                                      (-6, 5), (8, 0),
                                                         (4, 7),
(-4, 7), (7, 4),
                  (-7, 4), (8, 1),
                                      (8, 2),
                                               (6, 6),
                                                         (-6, 6),
         (5, 7),
                  (-5, 7), (7, 5),
                                      (-7, 5), (8, 4),
(8, 3),
                                                         (6, 7),
(-6, 7), (7, 6), (-7, 6), (8, 5),
                                    (7, 7), (-7, 7), (8, 6),
(8, 7)
```

For example, the distance code 1 indicates an offset of (0, 1) for the neighboring pixel, that is, the pixel above the current pixel (0 pixel difference in the X direction and 1 pixel difference in the Y direction). Similarly, the distance code 3 indicates the top-left pixel.

The decoder can convert a distance code distance_code to a scan-line order distance dist as follows:

```
(xi, yi) = distance_map[distance_code - 1]
dist = xi + yi * image_width
if (dist < 1) {
  dist = 1
}</pre>
```

where distance_map is the mapping noted above, and image_width is the width of the image in pixels.

5.2.3 Color Cache Coding

Color cache stores a set of colors that have been recently used in the image.

Rationale: This way, the recently used colors can sometimes be referred to more efficiently than emitting them using the other two methods (described in <u>5.2.1</u> (#521_prefix_coded_literals) and <u>5.2.2</u> (#522_lz77_backward_reference)).

Color cache codes are stored as follows. First, there is a 1-bit value that indicates if the color cache is used. If this bit is 0, no color cache codes exist, and they are not transmitted in the prefix code that decodes the green symbols and the length prefix codes. However, if this bit is 1, the color cache size is read next:

```
int color_cache_code_bits = ReadBits(4);
int color_cache_size = 1 << color_cache_code_bits;</pre>
```

color_cache_code_bits defines the size of the color cache (1 <<
color_cache_code_bits). The range of allowed values for color_cache_code_bits is
[1..11]. Compliant decoders must indicate a corrupted bitstream for other values.</pre>

A color cache is an array of size color_cache_size. Each entry stores one ARGB color. Colors are looked up by indexing them by (0x1e35a7bd * color) >> (32 -

color_cache_code_bits). Only one lookup is done in a color cache; there is no conflict resolution.

In the beginning of decoding or encoding of an image, all entries in all color cache values are set to zero. The color cache code is converted to this color at decoding time. The state of the color cache is maintained by inserting every pixel, be it produced by backward referencing or as literals, into the cache in the order they appear in the stream.

6 Entropy Code

6.1 Overview

Most of the data is coded using a <u>canonical prefix code</u>

(https://en.wikipedia.org/wiki/Canonical_Huffman_code). Hence, the codes are transmitted by sending the *prefix code lengths*, as opposed to the actual *prefix codes*.

In particular, the format uses **spatially variant prefix coding**. In other words, different blocks of the image can potentially use different entropy codes.

Rationale: Different areas of the image may have different characteristics. So, allowing them to use different entropy codes provides more flexibility and potentially better compression.

6.2 Details

The encoded image data consists of several parts:

- 1. Decoding and building the prefix codes.
- 2. Meta prefix codes.
- 3. Entropy-coded image data.

For any given pixel (x, y), there is a set of five prefix codes associated with it. These codes are (in bitstream order):

- **Prefix code #1**: Used for green channel, backward-reference length, and color cache.
- Prefix code #2, #3, and #4: Used for red, blue, and alpha channels, respectively.
- **Prefix code #5**: Used for backward-reference distance.

From here on, we refer to this set as a **prefix code group**.

6.2.1 Decoding and Building the Prefix Codes

This section describes how to read the prefix code lengths from the bitstream.

The prefix code lengths can be coded in two ways. The method used is specified by a 1-bit value.

- If this bit is 1, it is a simple code length code.
- If this bit is 0, it is a normal code length code.

In both cases, there can be unused code lengths that are still part of the stream. This may be inefficient, but it is allowed by the format. The described tree must be a complete binary tree. A single leaf node is considered a complete binary tree and can be encoded using either the simple code length code or the normal code length code. When coding a single leaf node using the *normal code length code*, all but one code length are zeros, and the single leaf node value is marked with the length of 1 – even when no bits are consumed when that single leaf node tree is used.

Simple Code Length Code

This variant is used in the special case when only 1 or 2 prefix symbols are in the range [0..255] with code length 1. All other prefix code lengths are implicitly zeros.

The first bit indicates the number of symbols:

```
int num_symbols = ReadBits(1) + 1;
```

The following are the symbol values.

This first symbol is coded using 1 or 8 bits, depending on the value of is_first_8bits. The range is [0..1] or [0..255], respectively. The second symbol, if present, is always assumed to be in the range [0..255] and coded using 8 bits.

```
int is_first_8bits = ReadBits(1);
symbol0 = ReadBits(1 + 7 * is_first_8bits);
code_lengths[symbol0] = 1;
if (num_symbols == 2) {
   symbol1 = ReadBits(8);
   code_lengths[symbol1] = 1;
```

The two symbols should be different. Duplicate symbols are allowed, but inefficient.

Note: Another special case is when *all* prefix code lengths are *zeros* (an empty prefix code). For example, a prefix code for distance can be empty if there are no backward references. Similarly, prefix codes for alpha, red, and blue can be empty if all pixels within the same meta prefix code are produced using the color cache. However, this case doesn't need special handling, as empty prefix codes can be coded as those containing a single symbol 0.

Normal Code Length Code

The code lengths of the prefix code fit in 8 bits and are read as follows. First, num_code_lengths specifies the number of code lengths.

```
int num_code_lengths = 4 + ReadBits(4);
```

The code lengths are themselves encoded using prefix codes; lower-level code lengths, code_length_code_lengths, first have to be read. The rest of those code_length_code_lengths (according to the order in kCodeLengthCodeOrder) are zeros.

```
int kCodeLengthCodes = 19;
int kCodeLengthCodeOrder[kCodeLengthCodes] = {
   17, 18, 0, 1, 2, 3, 4, 5, 16, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15
};
int code_length_code_lengths[kCodeLengthCodes] = { 0 }; // All zeros
for (i = 0; i < num_code_lengths; ++i) {
   code_length_code_lengths[kCodeLengthCodeOrder[i]] = ReadBits(3);
}</pre>
```

Next, if ReadBits(1) == 0, the maximum number of different read symbols (max_symbol) for each symbol type (A, R, G, B, and distance) is set to its alphabet size:

- G channel: 256 + 24 + color_cache_size
- Other literals (A, R, and B): 256
- Distance code: 40

Otherwise, it is defined as:

```
int length_nbits = 2 + 2 * ReadBits(3);
int max_symbol = 2 + ReadBits(length_nbits);
```

If max_symbol is larger than the size of the alphabet for the symbol type, the bitstream is invalid.

A prefix table is then built from code_length_code_lengths and used to read up to max_symbol code lengths.

- Code [0..15] indicates literal code lengths.
 - Value 0 means no symbols have been coded.
 - Values [1..15] indicate the bit length of the respective code.
- Code 16 repeats the previous nonzero value [3..6] times, that is, 3 + ReadBits(2) times. If code 16 is used before a nonzero value has been emitted, a value of 8 is repeated.
- Code 17 emits a streak of zeros of length [3..10], that is, 3 + ReadBits(3) times.
- Code 18 emits a streak of zeros of length [11..138], that is, 11 + ReadBits(7) times.

Once code lengths are read, a prefix code for each symbol type (A, R, G, B, and distance) is formed using their respective alphabet sizes.

The Normal Code Length Code must code a full decision tree, that is, the sum of 2 ^ (-length) for all non-zero codes must be exactly one. There is however one exception to this rule, the single leaf node tree, where the leaf node value is marked with value 1 and other values are 0s.

6.2.2 Decoding of Meta Prefix Codes

As noted earlier, the format allows the use of different prefix codes for different blocks of the image. *Meta prefix codes* are indexes identifying which prefix codes to use in different parts of the image.

Meta prefix codes may be used *only* when the image is being used in the <u>role</u> (#51_roles_of_image_data) of an *ARGB image*.

There are two possibilities for the meta prefix codes, indicated by a 1-bit value:

- If this bit is zero, there is only one meta prefix code used everywhere in the image. No more data is stored.
- If this bit is one, the image uses multiple meta prefix codes. These meta prefix codes are stored as an *entropy image* (described below).

The red and green components of a pixel define a 16-bit meta prefix code used in a particular block of the ARGB image.

Entropy Image

The entropy image defines which prefix codes are used in different parts of the image.

The first 3 bits contain the prefix_bits value. The dimensions of the entropy image are derived from prefix_bits:

```
int prefix_bits = ReadBits(3) + 2;
int prefix_image_width =
    DIV_ROUND_UP(image_width, 1 << prefix_bits);
int prefix_image_height =
    DIV_ROUND_UP(image_height, 1 << prefix_bits);</pre>
```

where DIV_ROUND_UP is as defined <u>earlier</u> (#41_predictor_transform).

The next bits contain an entropy image of width prefix_image_width and height prefix_image_height.

Interpretation of Meta Prefix Codes

The number of prefix code groups in the ARGB image can be obtained by finding the *largest meta prefix code* from the entropy image:

```
int num_prefix_groups = max(entropy image) + 1;
```

where max(entropy image) indicates the largest prefix code stored in the entropy image.

As each prefix code group contains five prefix codes, the total number of prefix codes is:

```
int num_prefix_codes = 5 * num_prefix_groups;
```

Given a pixel (x, y) in the ARGB image, we can obtain the corresponding prefix codes to be used as follows:

```
int position =
     (y >> prefix_bits) * prefix_image_width + (x >> prefix_bits);
int meta_prefix_code = (entropy_image[position] >> 8) & 0xffff;
PrefixCodeGroup prefix_group = prefix_code_groups[meta_prefix_code];
```

where we have assumed the existence of PrefixCodeGroup structure, which represents a set of five prefix codes. Also, prefix_code_groups is an array of PrefixCodeGroup (of size num_prefix_groups).

The decoder then uses prefix code group prefix_group to decode the pixel (x, y), as explained in <u>"Decoding Entropy-Coded Image Data"</u> (#623_decoding_entropy-coded_image_data).

6.2.3 Decoding Entropy-Coded Image Data

For the current position (x, y) in the image, the decoder first identifies the corresponding prefix code group (as explained in the last section). Given the prefix code group, the pixel is read and decoded as follows.

Next, read the symbol S from the bitstream using prefix code #1. Note that S is any integer in the range 0 to (256 + 24 + color_cache_size (#523_color_cache_coding) - 1).

The interpretation of S depends on its value:

- 1. If S < 256
 - a. Use S as the green component.
 - b. Read red from the bitstream using prefix code #2.
 - c. Read blue from the bitstream using prefix code #3.
 - d. Read alpha from the bitstream using prefix code #4.
- 2. If S >= 256 & S < 256 + 24
 - a. Use S 256 as a length prefix code.
 - b. Read extra bits for the length from the bitstream.

- c. Determine backward-reference length L from length prefix code and the extra bits read.
- d. Read the distance prefix code from the bitstream using prefix code #5.
- e. Read extra bits for the distance from the bitstream.
- f. Determine backward-reference distance D from the distance prefix code and the extra bits read.
- g. Copy L pixels (in scan-line order) from the sequence of pixels starting at the current position minus D pixels.

```
3. If S >= 256 + 24
```

- a. Use S (256 + 24) as the index into the color cache.
- b. Get ARGB color from the color cache at that index.

7 Overall Structure of the Format

Below is a view into the format in Augmented Backus-Naur Form (ABNF) <u>RFC 5234</u> (https://www.rfc-editor.org/rfc/rfc5234) <u>RFC 7405</u> (https://www.rfc-editor.org/rfc/rfc7405). It does not cover all details. The end-of-image (EOI) is only implicitly coded into the number of pixels (image_width * image_height).

Note that *element means element can be repeated 0 or more times. 5element means element is repeated exactly 5 times. %b represents a binary value.

7.1 Basic Structure

7.2 Structure of Transforms

optional-transform = (%b1 transform optional-transform) / %b0

transform = predictor-tx / color-tx / subtract-green-tx

transform =/ color-indexing-tx

color-tx = %b01 color-image

color-image = 3BIT ; sub-pixel code

entropy-coded-image

subtract-green-tx = %b10

color-indexing-tx = %b11 color-indexing-image

color-indexing-image = 8BIT ; color count

entropy-coded-image

7.3 Structure of the Image Data

spatially-coded-image = color-cache-info meta-prefix data

entropy-coded-image = color-cache-info data

color-cache-info = %b0

color-cache-info =/ (%b1 4BIT) ; 1 followed by color cache size

meta-prefix = %b0 / (%b1 entropy-image)

data = prefix-codes lz77-coded-image

entropy-image = 3BIT ; subsample value entropy-coded-image

prefix-codes = prefix-code-group *prefix-codes

prefix-code-group =

5prefix-code ; See "Interpretation of Meta Prefix Codes" to

; understand what each of these five prefix

; codes are for.

prefix-code = simple-prefix-code / normal-prefix-code

simple-prefix-code = ; see "Simple Code Length Code" for details
normal-prefix-code = ; see "Normal Code Length Code" for details

lz77-coded-image =

```
*((argb-pixel / lz77-copy / color-cache-code) lz77-coded-image)
```

The following is a possible example sequence:

```
RIFF-header image-size %b1 subtract-green-tx %b1 predictor-tx %b0 color-cache-info %b0 prefix-codes lz77-coded-image
```

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