# Power Ideals of Hyperplane Arrangements

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FPSAC 2009 Hagenberg, Austria, July 20, 2009 Main objects: three families of algebraic objects associated to a hyperplane arrangement A. (Today we focus on one of them.)

Main question: To what extent are their structure and their "size" (dimension, Hilbert series,...) determined by the combinatorics of  $\mathcal{A}$ ?

 $\mathcal{A} = \{H_1, \dots, H_n\}$  - arrangement of hyperplanes in V

combin.	$M_{\mathcal{A}}$ : matroid	$\rightarrow$	$T_{M_A}(x,y)$ : Tutte polynomial
	↓ ?		<b>↓?</b>
algebra	$A_{\mathcal{A}}$ : alg. object	$\longrightarrow$	$f(A_{\mathcal{A}})$ : "measure" of $A_{\mathcal{A}}$

# Algebra: The power ideals of A.

 $\mathcal{A} = \{H_1, \dots, H_n\}$  - arrangement of hyperplanes in V $H_i = \{x \in V \mid I_i(x) = 0\}.$ 

For  $h \in V$ , let

 $\rho_{\mathcal{A}}(h) = \text{ number of hyperplanes in } \mathcal{A} \text{ not containing } h.$ 

The power ideals  $I_{A,k}$  in  $\mathbb{C}[V]$  are

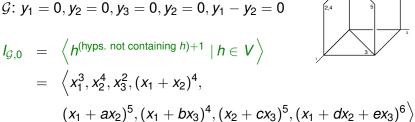
$$I_{\mathcal{A},k} := \left\langle h^{\rho_{\mathcal{A}}(h)+k+1} \mid h \in V, h \neq 0 \right\rangle.$$

The power inverse system  $C_{A,k}$  is

$$\begin{array}{ll} C_{\mathcal{A},k} &:=& \left\{ f(x) \in \mathbb{C}[V] \mid D_h^{\rho_{\mathcal{A}}(h)+k+1} f(x) = 0 \text{ for all } h \in V, h \neq 0 \right\} \\ &=& \text{polynomials } f \text{ such that } \deg f|_h \leq \rho_{\mathcal{A}}(h) + k \text{ for all } h \end{array}$$

# Example.

$$G$$
:  $y_1 = 0$ ,  $y_2 = 0$ ,  $y_3 = 0$ ,  $y_2 = 0$ ,  $y_1 - y_2 = 0$ 



where a, b, c, d, e range over  $\mathbb{C}$ .

$$C_{\mathcal{G},0} = \{ f(\mathbf{y}) \mid g(\partial/\partial \mathbf{y}) f(\mathbf{y}) = 0 \text{ for all } g \in I_{\mathcal{G},0} \}$$

$$= \text{span}(1; y_1, y_2, y_3; y_1^2, y_2^2, y_1 y_2, y_1 y_3, y_2 y_3; y_2^3, y_1^2 y_2, y_1^2 y_3, y_1 y_2^2, y_2^2 y_3, y_1 y_2 y_3; y_1 y_2^3 - y_1^2 y_2^2, y_2^3 y_3, y_1^2 y_2 y_3, y_1 y_2^2 y_3; y_1 y_2^3 y_3 - y_1^2 y_2^2 y_3. )$$

and

$$\mathsf{Hilb}\,(C_{\mathcal{G},0};q) = 1 + 3q + 5q^2 + 6q^3 + 4q^4 + q^5.$$

$$I_{\mathcal{A},k} := \left\langle h^{\rho_{\mathcal{A}}(h)+k+1} \mid h \in V, h \neq 0 \right\rangle.$$
 $C_{\mathcal{A},k} := \text{polynomials } f \text{ such that } \deg f|_h \leq \rho_{\mathcal{A}} + k \text{ for all } h$ 

 $I_{A,k}$  is homogeneous, so  $I_{A,k}$  and  $C_{A,k}$  are graded: For  $B = I_{A,k}, C_{A,k}$ ,

$$B_i = \{\text{homog. deg. } i \text{ elts.}\} \rightarrow B = \bigoplus_{i>0} B_i;$$

Goal: Compute their Hilbert series Hilb  $(B; q) = \sum \dim B_i q^i$ .

Easy fact: It suffices to compute either one, because

$$\mathsf{Hilb}\left(\mathit{C}_{\mathcal{A},k};q
ight) = \mathsf{Hilb}\left(\mathbb{C}[\mathit{V}]/\mathit{I}_{\mathcal{A},k};q
ight) = rac{1}{(1-q)^d} - \mathsf{Hilb}\left(\mathit{I}_{\mathcal{A},k};q
ight)$$

Q: Why do we care about these spaces?

A: Because they appear in several different contexts.

Box splines (Dahmen-Michelli, Holtz-Ron, De Concini-Procesi) Geometry (Postnikov-Shapiro-Shapiro) Zonotopal Cox rings (Sturmfels-Xu, A)

A prototypical combinatorial result:

$$\mathbb{Z}[x_1,\ldots,x_n] / \langle (x_{i_1}+\cdots+x_{i_k})^{k(n-k)+1} : 1 \leq i_1 < \cdots < i_k \leq n \rangle$$
 (which has a geometric meaning) has dimension  $(n+1)^{n-1}$ . (Postnikov, Shapiro)

#### Combinatorics: The matroid of A.

$$A = \{H_1, \dots, H_n\}$$
 - arrangement of hyperplanes in  $V$   
 $H_i = \{x \in V | I_i(x) = 0\}.$ 

The matroid  $M_A$  of A is the combinatorial data of o how the  $H_i$ s intersect, or o how the  $I_i$ s depend on each other. It is given by the rank function

$$r: 2^{\mathcal{A}} \to \mathbb{Z}$$

$$r(\mathcal{B}) = \operatorname{codim} \bigcap_{H_i \in \mathcal{B}} H_i = \operatorname{rank}\{I_i \mid H_i \in \mathcal{B}\} \qquad \text{for } \mathcal{B} \subseteq \mathcal{A}$$

#### Combinatorics: The Tutte polynomial of A.

The Tutte polynomial of A is

$$T_{\mathcal{A}}(x,y) = \sum_{\mathcal{B} \subseteq \mathcal{A}} (x-1)^{r(\mathcal{A})-r(\mathcal{B})} (y-1)^{|\mathcal{B}|-r(\mathcal{B})}$$

It knows **a lot** about A: (Zaslavsky, MacPherson, Crapo-Rota)

- $\mathbb{F} = \mathbb{R}$ : number of regions of  $\mathbb{R}^n \setminus \mathcal{A}$  is |T(2,0)|.
- $\mathbb{F} = \mathbb{C}$ : cohomology ring of  $\mathbb{C}^n \setminus \mathcal{A}$  has Hilb. ser.  $q^n T(1 + \frac{1}{q}, 0)$ .
- $\mathbb{F} = \mathbb{F}_q$ : number of points of  $\mathbb{F}_q^n \backslash \mathcal{A}$  is |T(1-q,0)|.

The reason: It is a universal deletion-contraction invariant: deletion:  $A \setminus H$ ; contraction:  $A/H = \{H' \cap H, H' \in A - H\}$ 

**Theorem.** (Tutte) If f(A) can be (nicely) expressed in terms of  $f(A \setminus H)$  and f(A/H), then f(A) is an evaluation of  $T_A(x, y)$ .

## Some previous results.

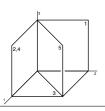
$$C_{\mathcal{A},k} := \{f \text{ with } \deg f|_h \leq \rho_{\mathcal{A}}(h) + k \text{ for all lines } h\}$$

$$C'_{\mathcal{A},k} := \{f \text{ with } \deg f|_h \leq \rho_{\mathcal{A}}(h) + k \text{ for the lines } h \text{ of } \mathcal{A}.\}$$
 (only lines which are intersections of hyps. of  $\mathcal{A}$ )

# Example.

$$I_{\mathcal{G},0} = \left\langle x_1^3, x_2^4, x_3^2, (x_1 + x_2)^4, (x_1 + ax_2)^5, (x_1 + bx_3)^4, (x_2 + cx_3)^5, (x_1 + dx_2 + ex_3)^6 \right\rangle$$

$$I_{\mathcal{G},0}' = \left\langle x_1^3, x_2^4, x_3^2, (x_1 + x_2)^4 \right\rangle$$



#### Theorems.

$$\mathsf{Hilb}\left(C'_{\mathcal{A},-1};q\right)=q^{n-r}T_{\mathcal{A}}(1,\frac{1}{q})\ (\mathsf{Dahmen\text{-}Miccheli 85})$$

$$\mathsf{Hilb}\left(C'_{\mathcal{A},0};q\right)=q^{n-r}T_{\mathcal{A}}(1+q,\frac{1}{q})$$
 (Postnikov-Shapiro-Shapiro '99)

$$\mathsf{Hilb}\left(C_{\mathcal{A},-1};q\right) = q^{n-r}T_{\mathcal{A}}(1,\tfrac{1}{q}) \; (\mathsf{A}.\text{-}\mathsf{Postnikov '02})$$

$$\mathsf{Hilb}\left(C'_{\mathcal{A},-2};q\right)=q^{n-r}T_{\mathcal{A}}(0,\tfrac{1}{q})\ (\mathsf{Holtz\text{-}Ron}\ '07)$$

$$C_{\mathcal{A},k} := \{f \text{ with } \deg f|_h \leq \rho_{\mathcal{A}}(h) + k \text{ for all lines } h\}$$
  
 $C'_{\mathcal{A},k} := \{f \text{ with } \deg f|_h \leq \rho_{\mathcal{A}}(h) + k \text{ for the lines } h \text{ of } \mathcal{A}.\}$ 

#### Theorems.

 $\mathsf{Hilb}\left(C'_{\mathcal{A},0};q\right)=q^{n-r}T_{\mathcal{A}}(1+q,\frac{1}{q})\ (\mathsf{Postnikov\text{-}Shapiro\text{-}Shapiro\text{'}99})$ 

 $\mathsf{Hilb}\left(C_{\mathcal{A},-1}';q\right)=q^{n-r}T_{\mathcal{A}}(1,\frac{1}{q})$  (Dahmen-Miccheli 85)

 $\mathsf{Hilb}\left(C'_{\mathcal{A},-2};q\right)=q^{n-r}T_{\mathcal{A}}(0,\frac{1}{q})\ (\mathsf{Holtz}\text{-}\mathsf{Ron}\ '07)$ 

#### Theorems. (A.-Postnikov, '08)

- ullet For  $k\in\{0,-1,-2\}, C_{\mathcal{A},k}=C_{\mathcal{A},k}'$  and Hilb  $(C_{\mathcal{A},k};q)$  is as above.
- For  $k \geq 0$ ,

$$\sum_{k>0} \operatorname{Hilb}\left(C_{\mathcal{A},k};q\right) z^k = \frac{q^{n-r}}{(1-z)(1-qz)^{d-r}} T_{\mathcal{A}}\left(1+\frac{q}{1-qz}\right)$$

(In general  $C_{A,k} \neq C'_{A,k}$  for  $k \geq 1$ .)

# Sketch of proof.

**Goal.** Compute Hilb  $(C_{\mathcal{A},k};q)$  for  $k\geq -2$ . **Key.** Hilb  $(C_{\mathcal{A},k};q)=q$ Hilb  $(C_{\mathcal{A}\setminus H,k};q)+$ Hilb  $(C_{\mathcal{A}/H,k};q)$ .

1. If  $H \in \mathcal{A}$  is not a loop, then there is an exact sequence

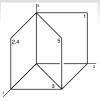
$$0 \to C_{\mathcal{A}\backslash H,k}(-1) \to C_{\mathcal{A},k} \to C_{\mathcal{A}/H,k} \to 0$$

of graded  $\mathbb{C}$ -vector spaces.

- 2. Let  $l_s$  be the linear form defining hyperplane  $H_s$ .
  - $C_{A,0} = \text{span}\{\prod_{s \in S} I_s \mid S \subseteq [n]\}$
  - $C_{A,-1} = \operatorname{span}\{\prod_{s \in S} I_s \mid r(s) = r\}$
  - $C_{A,-2} = \operatorname{span}\{\prod_{s \in S} I_s \mid r(S-x) = r \text{ for all } x \notin S\}$
  - $(k \ge 1)$   $C_{A,k} = \operatorname{span}\{f \prod_{s \in S} l_s | \operatorname{deg} f \le k, S \subseteq [n]\}.$
- In 1. the difficulty is right exactness.
- In 2. the difficulty is  $\subseteq$ .

These difficulties solve each other!! Proceed by joint induction.

$$\mathcal{G}$$
:  $y_1 = 0$ ,  $y_2 = 0$ ,  $y_3 = 0$ ,  $y_2 = 0$ ,  $y_1 - y_2 = 0$ 



$$I_{\mathcal{G},0} = \left\langle h^{(\text{hyps. not containing } h)+1} \mid h \in V \right\rangle$$

$$= \left\langle x_1^3, x_2^4, x_3^2, (x_1 + x_2)^4, (x_1 + ax_2)^5, (x_1 + bx_3)^4, (x_2 + cx_3)^5, (x_1 + dx_2 + ex_3)^6 \right\rangle$$
where  $a, b, c, d, e$  range over  $\mathbb{C}$ .

$$C_{\mathcal{G},0} = \{ f(\mathbf{y}) \mid g(\partial/\partial \mathbf{y}) f(\mathbf{y}) = 0 \text{ for all } g \in I_{\mathcal{G},0} \}$$

$$= \text{span}(1; y_1, y_2, y_3; y_1^2, y_2^2, y_1 y_2, y_1 y_3, y_2 y_3;$$

$$y_2^3, y_1^2 y_2, y_1^2 y_3, y_1 y_2^2, y_2^2 y_3, y_1 y_2 y_3;$$

$$y_1 y_3^2 - y_1^2 y_2^2, y_3^2 y_3, y_1^2 y_2 y_3, y_1 y_2^2 y_3; y_1 y_2^2 y_3, y_1 y_2^2 y_3;$$

and

$$\mathsf{Hilb}\,(C_{\mathcal{G},0};q) = 1 + 3q + 5q^2 + 6q^3 + 4q^4 + q^5.$$

## Application 1. Spline theory.

$$A = \{a_1, \dots, a_n\} \subseteq \mathbb{Z}^d$$
: unimodular set of vectors.  $(\det[a_{i_1}, \dots, a_{i_d}] \in \{-1, 0, 1\} \text{ for all } i_1, \dots, i_d).$ 

A: dual hyperplane arrangement

$$Z(A)$$
: zonotope of  $A := \sum_{a \in A} a$ 

 $B_A$ : box spline := convol. prod. of unif. measures on  $a_1, \ldots, a_n$ . (piecewise polynomial, supported on Z(A))

#### Holtz and Ron conjectured:

# Theorem. (A.-Postnikov, '08)

Any real function on int  $Z(A) \cap \mathbb{Z}^d$  extends uniquely to a polynomial function on Z(A) of the form

$$p(\partial/\partial \mathbf{x})B_A(\mathbf{x}) \qquad p \in C_{A,-2}.$$

In fact,  $C_{A,-2}$  is the canonical least space with that property.

#### Application 2. Zonotopal Cox rings.

A: hyperplane arrangement in  $\mathbb{CP}^{d-1}$ .

B(A): In  $\mathbb{CP}^{d-1}$  blowup the points of intersection of A.

Cox(B(A)): (multigraded) Cox ring of B(A)

Nagata: Not always fin. generated. (Hilbert's 14th problem)

 $\mathcal{Z}(A) \subset Cox(B(A))$ : zonotopal Cox ring of X (Sturmfels-Xu)

## Theorem. (A.-Postnikov, '08)

A formula for the multigraded Hilbert series of  $\mathcal{Z}(\mathcal{A})$  in terms of the multivariate Tutte polynomial of  $\mathcal{A}$ .

In progress: (A.) Analogous results for De Concini-Procesi's wonderful model W(A) of A. (In  $\mathbb{CP}^{d-1}$  blowup all flats of A.)

# many thanks!

Combinatorics and geometry of power ideals Federico Ardila and Alex Postnikov Transactions of the AMS, to appear.

#### Available at:

http://math.sfsu.edu/federico
http://front.math.ucdavis.edu