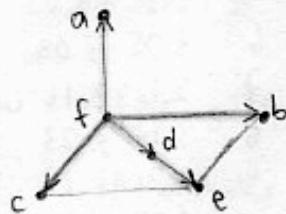


some motivating examples

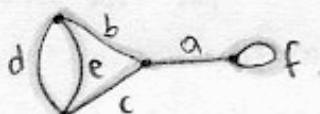
1. Linear algebra



$$\begin{array}{ll} a = (1, 0, 0) & d = (0, \frac{1}{2}, \frac{1}{2}) \\ b = (0, 1, 0) & e = (0, 1, 1) \\ c = (0, 0, 1) & f = (0, 0, 0) \end{array}$$

Goal: Choose a set of lin. Indep. vectors

2. Graph theory



Goal: Choose a set of edges without forming cycles.

Q1 One of these vectors is not like the others. Which?
roads
Which?

f is useless:
one can never choose it.

Q2 Another one of those vectors is not like the others. Which?
roads
Which?
Which?

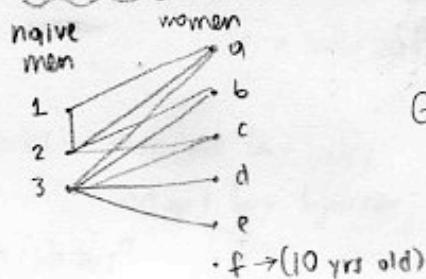
a is essential:
one should always choose it

Q3 Anything else?

Two of these vectors are not like the others. Which?
roads
Which?

d, e are "twins":
one can only choose one of them

3. Matching theory



Goal: Choose a set of women to propose to.

(No polygamy or same-sex marriage in US and in Colombia.)

1. (Independent sets)
of vectors

\emptyset
a, b, c, d, e
ab, ac, ad, ae, bc, bd, be, cd, ce
abc, abd, abe, acd, ace

(Do it.)

2. (Independent sets)
of edges → the same ones.

(Exercise.)

3. (Independent sets)
of women → the same ones.
(Exercise.)

Matroid theory studies "independence"; in particular 1, 2, 3.

Def. A matroid is a pair (E, \mathcal{I}) where

- E is a finite set
- \mathcal{I} is a collection of subsets of E such that:
 - (I1) $\emptyset \in \mathcal{I}$.
 - (I2) If $I \subset J$ and $J \in \mathcal{I}$, then $I \in \mathcal{I}$.
 - (I3) If $I, J \in \mathcal{I}$ and $|I| < |J|$, then there exists $j \in J - I$ such that $I \cup j \in \mathcal{I}$.

E = "ground set"

\mathcal{I} = collection of "independent sets"

1 Proposition. Let E be a finite set of vectors in a vector space V , and let \mathcal{I} be the collection of "linearly independent sets" in E . Then (E, \mathcal{I}) is a matroid.

Proof We need to check:

(I1) \rightarrow trivial

(I2) \rightarrow trivial

(I3). Let $I = \{i_1, \dots, i_n\}$. Suppose $I \cup j \notin \mathcal{I}$ for all $j \in J - I$.

$$\begin{array}{l} I \text{ indep} \rightarrow \text{eqn } c_1 i_1 + \dots + c_n i_n + c_j = 0 \quad c \neq 0 \\ I \cup j \text{ dep} \quad \quad \quad j = -\frac{c_1 i_1 + \dots + c_n i_n}{c} \in \text{span } I \end{array}$$

$$\text{So } J \subseteq \text{span}(I) \Rightarrow \text{span}(J) \subseteq \text{span}(I)$$

$$\Rightarrow \dim(\text{span}(J)) \leq \dim(\text{span}(I)) \Rightarrow |J| \leq |I|.$$

$$\Rightarrow |J| \leq |I|. \quad \Rightarrow \Leftarrow$$

\uparrow
contradiction \uparrow
qed

2. Proposition. Let $G = (V, E)$ be a graph, and let \mathcal{I} be the collection of edge sets forming no cycles.
Then (E, \mathcal{I}) is a matroid

Proof. (I1), (I2) trivial

(I3). Observation: If $I \subseteq E$ is independent, then the graph (V, I) has $|V| - |I|$ connected components. (Clear)



Suppose $I \cup j \notin I$ for all $j \in J - I$.

Then edge j forms a cycle with $I \Rightarrow$ Adding j doesn't connect components of (V, I)



$(V, I \cup J)$

$\Rightarrow (V, I \cup J)$ has $|V| - |I|$ components

$\Rightarrow (V, J)$ has $\geq |V| - |I|$ components

$$\Rightarrow |V| - |J| \geq |V| - |I|$$

$$\Rightarrow |I| \leq |J| \Rightarrow I = J$$

□

3. Proposition. Let G be a bipartite graph with bipartition (D, E) . Let I be the collection of subsets of E which can be matched to D . Then (E, I) is a matroid.

Proof HW 1.

Two matroids are isomorphic if they are the same matroid, up to a relabelling of the ground set. (Like exs 1, 2, 3 from lecture 1.)

$(E, I) \cong (E', I')$ if there is a bijection between E and E' that induces a bijection between I and I' .

We will often simply say that (E, I) and (E', I') are the same matroid.

Def. Linear matroids are matroids of vector configurations. (Ex 1.)

Graphical matroids are matroids of graphs. (Ex 2.)

Transversal matroids are matroids of matching problems. (Ex. 3)

Def. A basis of a matroid is a maximal independent set.

↳ not contained in a larger indep. set

In example,

lecture 3
1/29/07

$$\mathcal{B} = \{\text{abc}, \text{abd}, \text{abe}, \text{acd}, \text{ace}\}$$

This is a shorter way of describing M , since

$$(\text{indep. sets}) = (\text{subsets of bases})$$

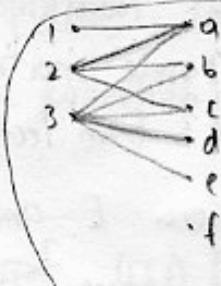
Prop. All the bases of a matroid have the same size.

Proof. Suppose not; say $|B_1| < |B_2|$ are bases

By (I3), $\exists b \in B_2$ s.t. $B_1 \cup b$ is independent.

But then B_1 wouldn't be maxl indep! \square

Cor. Every independent set is a subset of a basis.



Q. So why can this matching not be completed to a complete matching?
A. The matching cannot.
But $\{a, d\}$ can.

Ex. 1. For linear matroids, we expected this "by dimension".

Ex. 2. For graphical matroids.

G connected: "basis" = "spanning tree" (subgraph which is connected and contains all vertices)

G general: "basis" = "spanning forest" (A sp. tree in each component.)

⑤ It is not obvious that they should have the same size!

Ex 3. For transversal matroids, "bases" are called "transversals"

Given a set S

Subsets S_1, \dots, S_n

A transversal is a subset T of size n
which can be labelled $T = \{a_1, \dots, a_n\}$ so
that $a_i \in T_i$.

(In our example,

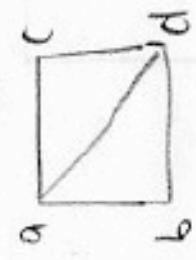
$$S = \{a, b, c, d, e, f\}$$

$$S_1 = \{a\}, \quad S_2 = \{b, c\}, \quad S_3 = \{a, b, c, d, e\}$$

"Gutta general" in algebraic combinatorics.

How many spanning trees does a graph have?

Form a $V \times V$ matrix L : ("Laplacian")



Let $L_{v,v} = \deg(v)$

$L_{v,v} = -(\# \text{ edges from } v \text{ to } v)$

$$L = \begin{bmatrix} 0 & b & c & d \\ b & 3 & -1 & -1 \\ c & -1 & 2 & 0 & -1 \\ d & -1 & 0 & 2 & 1 \\ 0 & -1 & -1 & 3 \end{bmatrix}$$

The eigenvalues of L are $0, \lambda_1, \lambda_2, \dots, \lambda_{n-1}$

Matrix-tree theorem (Kirchhoff)

The number of spanning trees of G is

$$\frac{1}{n} \lambda_1 \lambda_2 \dots \lambda_{n-1}$$

It also equals any cofactor of L .

$$\begin{bmatrix} 3 & -1 & -1 & -1 \\ -1 & 2 & 0 & -1 \\ -1 & 0 & 2 & 1 \\ -1 & -1 & -1 & 3 \end{bmatrix}$$

Final project:
Understand this, and its generalization
to linear matroids.

$$t(G) = (-1)^{4+3} (6 - 1 + 0 - 2 + 1 + 0) = 8. \quad (6)$$

A "real-life" problem:

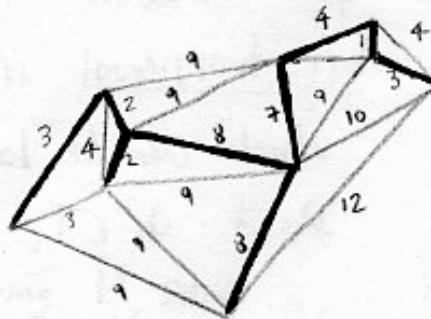
Given a graph $G = (V, E)$ and a weight on each edge ($w: E \rightarrow \mathbb{R}_{\geq 0}$)
find a minimum weight spanning tree of G .

(alg.
voraz)

One possible (but naive) strategy:

Greedy algorithm:

At each step, choose a cheapest edge that doesn't form a cycle.



Surprising fact: this works!

More generally:

Given: a matroid $M = (E, I)$

weights on the elements $w: E \rightarrow \mathbb{R}$

Problem: find a basis of minimum weight.

Greedy algorithm: (Kruskal, 1956)

- Start with $I = \emptyset$
- Add to I a cheapest element e such that $I \cup e \in I$.
- Repeat until you have a basis.

Proposition. Kruskal's algorithm works: For any weight function $w: E \rightarrow \mathbb{R}_{\geq 0}$
it finds a basis of minimum weight.

Proof. Let Kruskal give $I = i_1, i_2, \dots, i_n \quad w(i_1) \leq \dots \leq w(i_n)$

let a min basis be $J = j_1, j_2, \dots, j_n \quad w(j_1) \leq \dots \leq w(j_n)$

Suppose $w(I) > w(J)$.

Let k be such that $w(i_k) > w(j_k)$.

$i_1, i_2, \dots, i_{k-1}, j_1, \dots, j_k$ indep $\Rightarrow i_1, i_2, \dots, i_{k-1}, j_r$ indep. for some $1 \leq r \leq k$

But $w(j_r) \leq w(j_k) < w(i_k)$

So greedy algorithm would have chosen j_r instead of i_k !

□

In fact, matroids are the simplicial complexes when the greedy algorithm works.

Proposition. $M = (E, \mathcal{I})$ is a matroid if and only if

$$(I1) \quad \emptyset \in \mathcal{I}$$

$$(I2) \quad I \subset J, J \in \mathcal{I} \Rightarrow I \in \mathcal{I}$$

(I3') For any $w: E \rightarrow \mathbb{R}_{\geq 0}$, the greedy algorithm finds a set $I \in \mathcal{I}$ of minimum weight

(Def.)

A simplicial complex is an (E, \mathcal{I}) satisfying axiom (I2).

Proof: $\Rightarrow \checkmark$

\Leftarrow Suppose $I, J \in \mathcal{I}, |I| < |J|$ don't satisfy exchange.

Consider the weighting:

$$w(e) = \begin{cases} 1 & e \in I \\ 2 & e \in J \\ N & e \in E \end{cases} \quad e \notin I \quad e \notin J$$

where N is huge.
(say $N > 2|E|$)

o Greedy alg. will

- choose all of I

- not be able to choose any of J

- choose the rest in $E - J \Rightarrow \text{weight} = |I| + N(r - |I|)$

o There is at least one basis containing J .

It has weight $\leq 2|J| + N(r - |J|)$

$$< N + N(r - |J|)$$

$$= N(r - (|J| - 1)) \leq N(r - |I|)$$

So greedy alg. did not choose a basis of min. weight.

lecture 5

2/02/07

Prop. Let E be a finite set, and $\mathcal{B} \subset 2^E$.

Then \mathcal{B} is the collection of bases of a matroid if and only if:

(B1) $\mathcal{B} \neq \emptyset$

(B2) If $A, B \in \mathcal{B}$ and $a \in A - B$, there exists $b \in B - A$
s.t. $A - a \cup b \in \mathcal{B}$.

Proof.

\Rightarrow let \mathcal{B} be the collection of bases of (E, \mathcal{I}) .

(B1): There are indep sets, so there are max ones.

(B2): Apply exchange axiom to $A - a, B$.
 $(|A - a| = |\mathcal{B}| - 1)$

\Leftarrow let \mathcal{B} satisfy (B1), (B2)

Step 1

The sets in \mathcal{B} have the same size.

Proof: Take $B \in \mathcal{B}$ with $|B|$ minimal.

Goal: Every other $A \in \mathcal{B}$ has $|A| = |B|$

Induct on $|A-B|$

la. Initial case $|A-B| = 0 \Rightarrow A \subseteq B \xrightarrow{|A| \leq |B|} |A| = |B| \quad \square$

lb. Inductive step Suppose true for $|A-B| = k-1$, prove it for $|A-B| = k$.

$|A-B| = k > 1 \Rightarrow$ Let $a \in A-B$

(2) \Rightarrow Find $b \in B-A$ with $A-a \cup b \in \mathcal{B}$

Notice:

$$|(A-a \cup b)-B| = |A-B|-1$$

By inductive assumption, $|A-a \cup b| = |B|$

$$|A| = |B| \quad \square$$

Step 2 Let $\mathcal{T} = \{T \subseteq B : T \subseteq B \text{ for some } B \in \mathcal{B}\}$

Claim: $(\mathcal{E}, \mathcal{T})$ is a matroid.

(I1): Let $B \in \mathcal{B}$. $\emptyset \subset B \Rightarrow \emptyset \in \mathcal{T}$

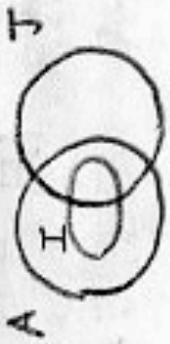
(I2): Suppose $T \subset J$, $J \in \mathcal{T} \Rightarrow J \subset B \text{ for some } B \in \mathcal{B}$
 $\Rightarrow T \subset B \Rightarrow T \in \mathcal{T}$.

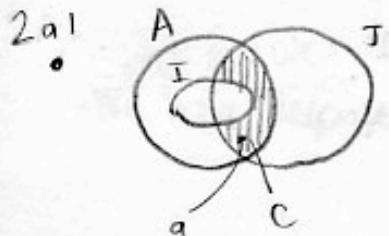
(I3): Given $\binom{\mathcal{T}, T \in \mathcal{T}}{|T| < |J|}$ need: $(\exists j \in J \text{ with } T \cup j \in \mathcal{T})$

Proof. Induct on $r - |J|$.

2a. Initial case $r - |J| = 0 : J = \text{basis.}$

Let A be a basis containing J .





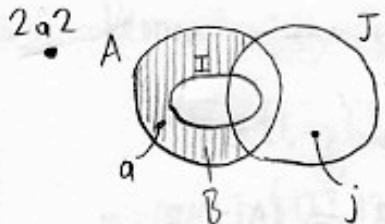
If $C \neq \emptyset$

Let $a \in C$

$$\cdot I \cup a \subset A \Rightarrow I \cup a \in I$$

$$\cdot a \in J - I$$

◻



If $B \neq \emptyset$

Let $a \in B$

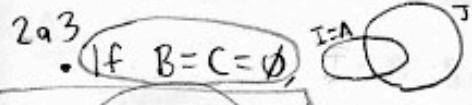
Since $A, J \in \mathcal{B}$, $a \in A - J$

$\xrightarrow{(B2)}$ Find $j \in J - A$ with $A - a \cup j \in \mathcal{B}$

$$\cdot I \cup j \subset A - a \cup j \Rightarrow I \cup j \in I$$

$$\cdot j \in J - I$$

◻



$|J| > |I| = |A|$, contradicting Step 1.

2b Inductive step Sup. true for $r - |J| = k-1$, show for $r - |J| = k$

Let $\begin{cases} I, J \in \mathcal{I} \\ |I| < |J| \\ r - |J| = k \end{cases}$

Say $I \subset A \cap B$ $A, B \in \mathcal{B}$.

By the argument in initial case for I, B ,

find $b \in B - I$ such that $I \cup b \in I$.

2b1. Case 1: $b \in J \Rightarrow I \cup b \in I$

$$b \in J - I \quad \checkmark \quad \square$$

2b2. Case 2: $b \notin J \Rightarrow I \cup b \in I$

$$I \cup b \subset B \Rightarrow I \cup b \in I$$

So $\begin{cases} I \cup b, J \cup b \in I \\ |I \cup b| < |J \cup b| \end{cases}$

$$r - |J \cup b| = k-1$$

(10)

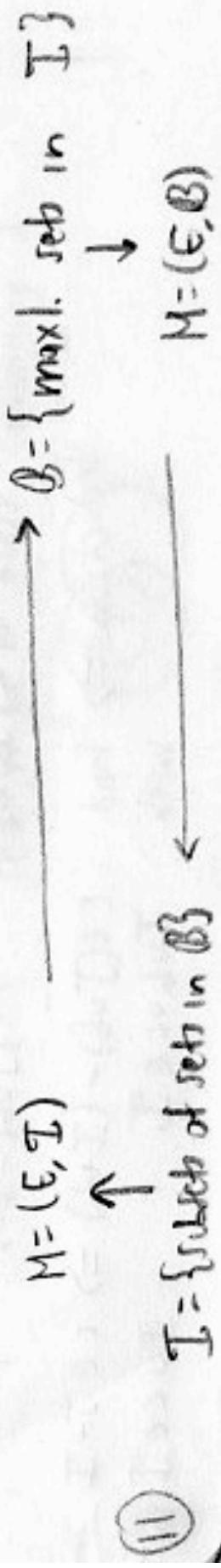
Induction \Rightarrow Find $c \in (J \cup b) - (I \cup b) \Rightarrow c \in J - I$

with $I \cup b \cup c \in I$ $I \cup b \cup c \in I$

Theorem / Definition.

- A matroid (E, \mathcal{B}) consists of a finite ground set E and a collection $\mathcal{B} \subset 2^E$ of "bases" such that
- (B1) $\emptyset \neq \mathcal{B}$
 - (B2) If $A, B \in \mathcal{B}$ and $a \in A - B$, there exists $b \in B - A$ with $A - a \cup b \in \mathcal{B}$.

We could have defined matroids in this equivalent way. (People do!)



A slightly philosophical digression:

We have two axiom systems.
1. $(E, I) \rightarrow (I1), (I2), (I3)$
2. $(E, B) \rightarrow (B1), (B2)$

- Proving the equivalence of these two axiom systems was not easy. BUT.
- The real difficulty is coming up with good axiom systems.

Q. Is $(I1), (I2), (I3)$ a good axiom system for "independence"?

A. Definitely!

Evidence:

- It simultaneously applies to lin. alg., graph th., (so far) matching th., Dyck paths, ...

- It describes the simplicial complexes where the greedy algorithm works

:

Q Is $(B1), (B2)$ a good axiom system for "bases"?

A. Yes. It is "weak enough" that $(I1)-(I3) \Rightarrow (B1)-(B2)$

◦ It is "strong enough" that $(B1)-(B2) \Rightarrow (I1)-(I3)$.

:

We have described matroids in terms of their bases

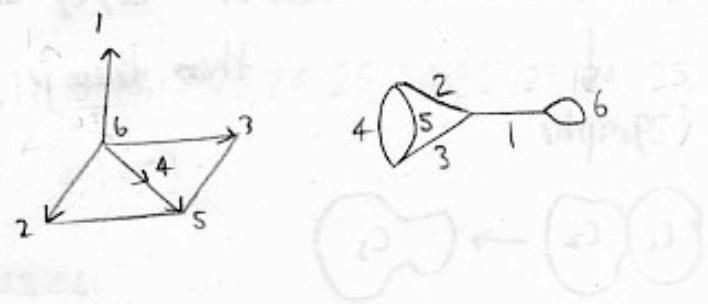
• independent sets

What about their dependent sets?

Remember our example matroid

$$E = \{1, \dots, 6\}$$

$$\mathcal{B} = \{123, 124, 125, 134, 135\}$$



I. was a long list

B. was a more "economical" presentation.

Now

$$\mathcal{D} = \{6, 16, 26, 36, 46, 56, 45, 234, 235, 245, 345, 126, \dots\} \text{ too long!}$$

Better to list just the minimal ones (by containment)

$$\mathcal{C} = \{6, 45, 234, 235\} \text{ "circuits"}$$

Def. A circuit of a matroid is a minimal dependent set.

Again, circuits completely describe the matroid.

$$\mathcal{C} = \text{circuits} \rightarrow \mathcal{D} = \text{dependents} = \text{sets containing circuits}$$

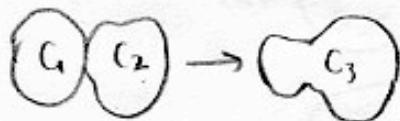


$$\mathcal{I} = \text{independents} = \text{not dependents}.$$

So what are the key properties of circuits? (Circuit axioms?)

- non-triviality: (C1) \emptyset is not a circuit.
- from big to small: (C2) A (proper) subset of a circuit is not a circuit
- from two circuits...: (C3) If C_1, C_2 are circuits and $x \in C_1 \cap C_2$,
then there is a circuit $C_3 \subseteq (C_1 \cup C_2) - x$.

↓
graphs:



Theorem. Let E be a finite set and $C \subseteq 2^E$. Then C is the collection of circuits of a matroid if and only if it satisfies (C1), (C2), (C3).

Proof. "⇒"

Let $M = (E, I)$ be a matroid and C its collection of circuits.

(C1). \emptyset is independent, so it's not a circuit. ✓

(C2). If $C_1 \subset C_2$ were circuits, C_2 wouldn't be minimal. ✓

(C3). Suppose not. Then $C_1 \cup C_2 - x$ is independent.

Take y in $C_1 - C_2$ ($C_1 \not\subseteq C_2$)

Then $C_1 - y$ indep, $C_1 \cup C_2 - x$ indep $|C_1 - y| < |C_1 \cup C_2 - x|$

$\Rightarrow C_1 \cup a - y$ indep $a \in C_2$ $|C_1 \cup a - y| < |C_1 \cup C_2 - x| ?$

$\Rightarrow C_1 \cup a \cup b - y$ indep $b \in C_2$ $|C_1 \cup a \cup b - y| < |C_1 \cup C_2 - x| ?$

⋮

This can only stop with $C_1 \cup C_2 - y$ indep.

But $C_2 \subseteq C_1 \cup C_2 - y$

↑ ↑
dep indep

(minimal
dependent
sets)

Today we'll see the first piece of evidence that the different points of view (I, B, C, \dots) are not isolated, they complement each other.

Theorem

Let $M = (E, B)$ be a matroid. Let

$$B^* = \{ E - B \mid B \in B \}$$

Then $M^* = (E, B^*)$ is a matroid, called the dual of M .

Strategy of proof:

(Lemma on circuits) \rightarrow (Lemma on bases) \rightarrow (Theorem).

1. Lemma on circuits

If I is independent and $I \cup e$ is dependent, then there is a unique circuit in $I \cup e$, and it contains e .

Proof. $I \cup e$ contains a circuit C , and $C \not\subseteq I$ so $e \in C$.

Suppose C' is another one: $C' \subset I \cup e, e \in C'$

$(C) \Rightarrow$ There is a circuit in $(C \cup C') - e \subseteq I$. \blacksquare

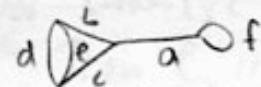
Note. This is the "fundamental circuit of e with respect to I "

It is denoted $C(e, I)$.

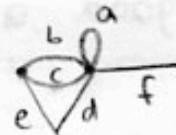
Ex

$$E = \{a, b, c, d, e, f\}$$

$$B = \{\{abc, abd, acd, ace\}\}$$



$$B^* = \{\{def,cef,cdf,bef,bdf\}\}$$



2. Lemma on bases

(B2)* Let $A, B \in \mathcal{B}$ and $b \in B - A$. Then there exists $a \in A - B$ such that $A - a + b \in \mathcal{B}$.

Proof.

A indep, $A \cup b$ dep \Rightarrow Let $C = C(b, A)$

Idea: The only real dependence in $A \cup b$ is C .

So $A - a + b$ is a basis if and only if $a \in C(b, A)$ ($a \neq b$)
 ↑
 (you break that)
 dependence

Need $a \in A - B$, $a \in C$. Since B indep, C dep $\Rightarrow \exists a \in C - B$. \blacksquare

3. Proof of theorem

Check \mathcal{B}^* satisfies (B1), (B2). (B1) is obvious.

(B2) Let $B^* = E - B$.

Let $A^*, B^* \in \mathcal{B}^*$ $a \in A^* - B^*$

$\Rightarrow A, B \in \mathcal{B}$ $a \in B - A$

Use (B2)* \Rightarrow Find $b \in A - B$ such that $A - b + a \in \mathcal{B}$.

$\Rightarrow b \in B^* - A^*$ has $(A - b + a)^* \in \mathcal{B}^*$

$A^* - a + b \in \mathcal{B}^*$ \blacksquare

Remark. (E, \mathcal{B}) is a matroid $\Leftrightarrow \mathcal{B}$ satisfies (B1), (B2)*.

Proof. Exercise. (Hint: Use matroid duality)

Or see Oxley, Corollary 2.1.5.

Today: Duality for linear matroids.

First:

The matroid of a subspace

Let V be a k -dim subspace of \mathbb{R}^n , with a fixed system of coordinates. By HW1, Problem 1, there is a matroid $M(V)$, such that

If the rows of a $k \times n$ matrix A span V
the columns of A have matroid $M(V)$.

Goal. Describe $M(V)$ intrinsically,
without reference to A .

Say $k=3$, $n=5$.

$$A = \begin{matrix} & | & | & | & | & | \\ & C_1 & C_2 & C_3 & C_4 & C_5 \\ & | & | & | & | & | \\ & 5 & & & & & \end{matrix} \quad \text{rowspace}(A) = V$$

Note: $(C_1, C_2, C_4 \text{ is}) \leftarrow \Rightarrow$ a basis $\begin{matrix} & | & | & | \\ & 0 & 0 & C_3 & C_4 & 0 \\ & | & | & | & | & | \\ & 3 & & & & & \end{matrix} = A_{134}$ has col. rank 3
 $\leftarrow \dim(\text{rowspace } A_{134}) = 3$

Consider the projection

$$\Pi_{134}: \mathbb{R}^5 \longrightarrow X_{134} = \{(x, 0, x, x, 0)\}$$

$$(x_1, \dots, x_5) \mapsto (x_1, 0, x_3, x_4, 0)$$

Then

$$\text{rowspace } A_{134} = \Pi_{134}(V)$$

So $\begin{pmatrix} c_1, c_3, c_4 \\ \text{basis} \end{pmatrix} \Leftrightarrow \dim(\Pi_{134}(V)) = 3 \Leftrightarrow \Pi_{134}|_V : V \rightarrow X_{134}$ is bijective

$$\Leftrightarrow V \cap \ker \Pi_{134} = \emptyset \Leftrightarrow V \cap X_{25} = \emptyset$$

$$\Leftrightarrow V + X_{25} = \mathbb{R}^5$$

So:

Prop. The bases of the matroid $M(V)$ are the subsets S for which $V \cap X_{E-S} = \emptyset$.

Theorem. "Orthogonal complements are matroid duals"

If M is the matroid of a subspace V of \mathbb{R}^n ,
then M^* is the matroid of the orthogonal complement V^\perp .

Note This also holds over any field.

Corollary.

The dual of a linear matroid is linear.

Proof of Theorem.

(S is a basis of $M(V^\perp)$)

$$\Leftrightarrow V^\perp \cap X_{E-S} = \emptyset$$

$$\Leftrightarrow V^\perp \cap X_S^\perp = \emptyset$$

$$\Leftrightarrow (V + X_S)^\perp = \emptyset$$

$$\Leftrightarrow V + X_S = \mathbb{R}^n$$

$$\Leftrightarrow V \cap X_S = \emptyset$$

$$\Leftrightarrow (E-S) \text{ is a basis of } M(V)$$

Lemma:
 $V^\perp \cap V^\perp = (V + V)^\perp$

Proof: Exercise.

Therefore

$$M(V^\perp) = M(V)^*$$

Note. A "generic" k -plane and $(n-k)$ -plane have empty intersection.

So a "generic" k -plane V has every k -set S as a basis, giving the uniform matroid $U_{n,k}$.

The matroid $H(V)$ "measures" how special the position of V is with respect to the coordinate axes and the subspaces determined by them.

Today: Duality for graphs

vertices \rightarrow points
edges \rightarrow continuous curves

Def. A planar graph is one that can be drawn in the plane, so that no edges intersect (except possibly at their endpoints).

Def. A plane graph is one already drawn in the plane.

Note: A plane graph G divides the plane into regions, called the "faces" of the graph.

Define the dual plane graph G^* :

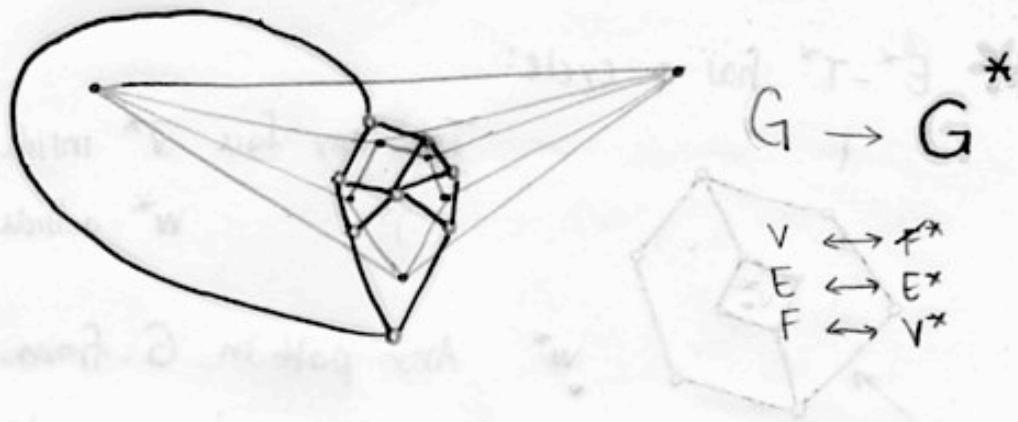
draw a vertex f^* of G^* in each face f of G
then, for each edge e in G , common to faces f and g ,
draw an edge e^* in G^* joining f^* and g^* , which crosses
only edge e .

Observation: Suppose G is connected

If G^* is a dual for G ,
then G is a dual for G^* :

$$G^{**} = G$$

Ex.



Theorem. "Graph duality is matroid duality"

If G is a connected plane graph and G^* is dual to it, then $M(G^*) = M(G)^*$

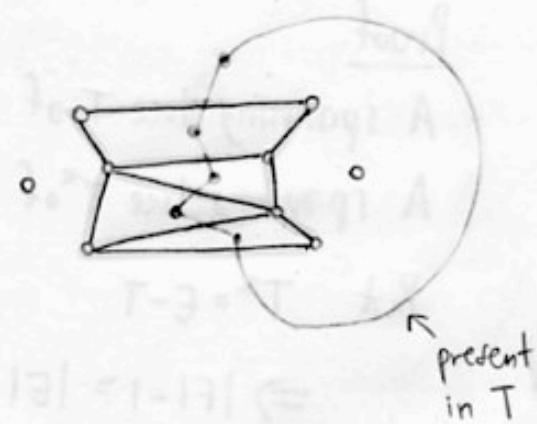
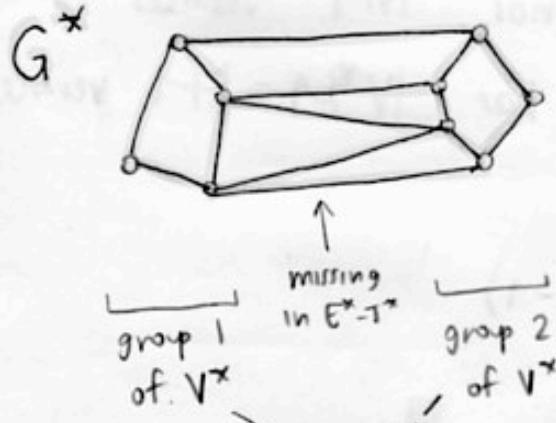
Proof.

Need: (T spanning tree on G) \Leftrightarrow ($E^* - T^*$ spanning tree on G^*)

By duality, it suffices to show " \Rightarrow " (and then apply it to)
 $(T \rightarrow E^* - T^* \quad G \rightarrow G^*)$

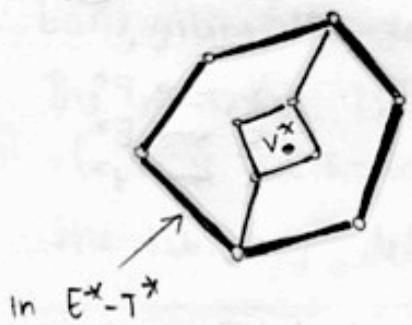
" \Rightarrow " A spanning tree is a connected, cycle-free subgraph using all the vertices. Suppose not:

- If $E^* - T^*$ is not connected



$\Rightarrow T$ has a cycle $\Rightarrow \times$

- If $E^* - T^*$ has a cycle:



Take any two v^* inside the cycle
 w^* outside the cycle

\bullet Any path in G from v to w
would need to cross the cycle
 \Rightarrow would need an edge e
such that $e^* \in E^* - T^*$
 $\Rightarrow e \notin T$

So v and w are disconnected in T
 $\Rightarrow \angle =$



Corollary (Euler)

For a connected plane graph,
 $|V| - |E| + |F| = 2.$

Proof.

A spanning tree T of G has $|V|-1$ vertices

A spanning tree T^* of G^* has $|V^*|-1 = |F|-1$ vertices

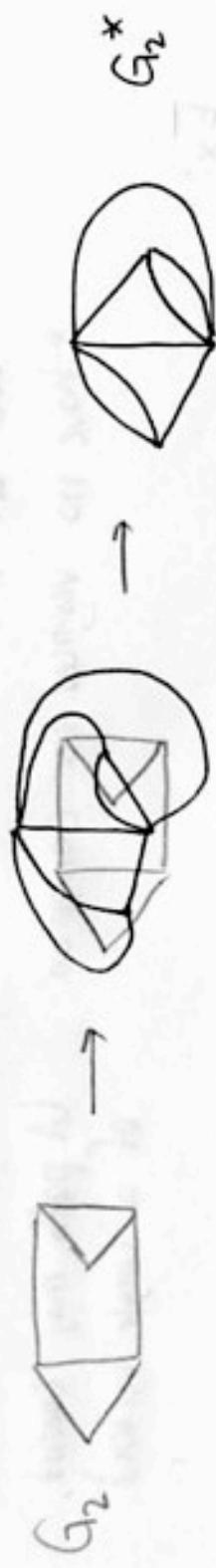
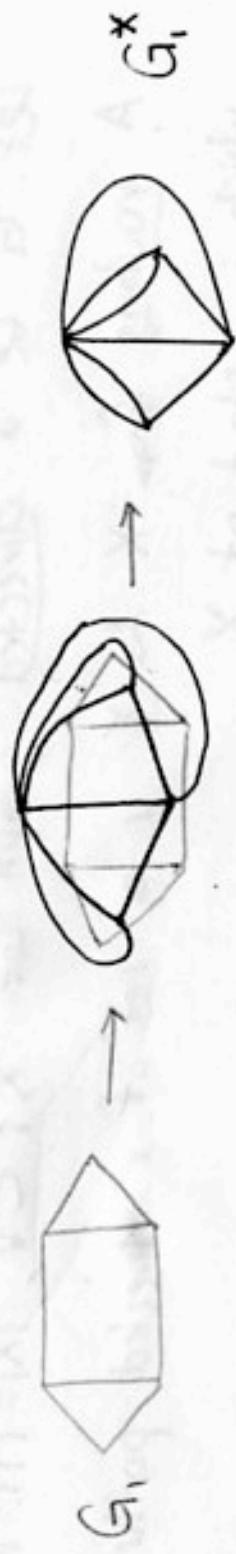
$$\text{But } T^* = E - T$$

$$\Rightarrow |F|-1 = |E| - (|V|-1)$$

$$\Rightarrow |V| - |E| + |F| = 2. \quad \blacksquare$$

Observation:

Different embeddings of G may give different dual graphs G^*



(G_1^* has a vertex of degree 6, G_2^* doesn't.)

But $H(G_1) \cong H(G_2^*)$.

A good project:

- (1) How are the different duals of G related?
- (2) When is $H(G) \cong H(H)$?

Duality for transversal matroids

Let G be a directed graph. Let $X, Y \subset V$, $|X|=|Y|=r$

A routing from X to Y is a set of r directed paths

which :

- start at X
- end at Y
- have no vertices in common.

(A path may consist
of a single vertex)

Ex:



$$\begin{aligned}\bullet &= X \\ \circ &= Y \\ r &= 4\end{aligned}$$

Theorem. (Mason, 1972) Let $G = (V, E)$ be a digraph

and let $B_0 \subseteq V$. Let

$$L(G, B_0) = \{X \subset V \mid \text{there is a routing from } X \text{ to } B_0\}$$

Then $L(G, B_0)$ is the collection of bases of a matroid.

↓
In hw3

Such a matroid is called "cotransversal" or "strict gammoid".

Theorem. (Ingleton-Piff, 1973)

Cotransversal matroids are precisely the duals of transversal matroids.

Sketch of a proof: (F. Ardila, "Transversal and cotransversal...", 2006)

- 1 ° Transversal matroids are linear \rightarrow represented by $V \subset \mathbb{R}^n$
- 2 ° Cotransversal matroids are linear \rightarrow represented by $W \subset \mathbb{R}^n$
- 3 ° (The Vs appearing in 1) and (the Ws appearing in 2)
are orthogonal complements of each other. \blacksquare

Project:

- ° Understand this proof.
 - ° Investigate the different linear reps. of transv, cotransv matroids.
-

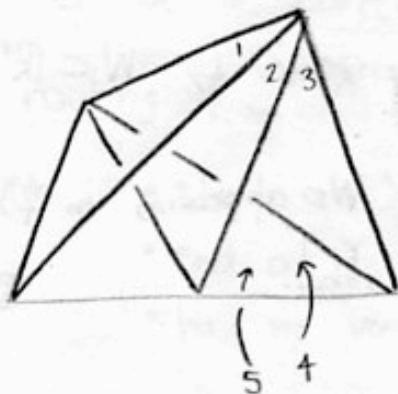
Goal for the next week(s):

Define and study:

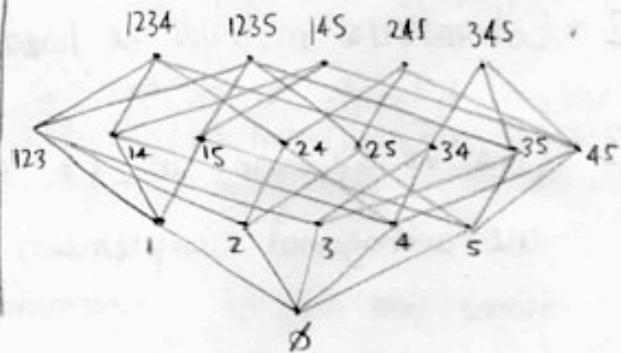
rank \rightarrow closure \rightarrow lattice of flats

Let's motivate this with hyperplane arrangements.

A hyperplane arrangement:



Its intersection poset:



Elements of P_A : Intersections of hyperplanes of A .

Order in P_A : $x \leq y$ in P_A if $x \supseteq y$.

This is a partially ordered set:

- A set P
- A partial order \leq such that
 - $a \leq a$
 - $a \leq b$ and $b \leq a$ imply $a = b$
 - $a \leq b$ and $b \leq c$ imply $a \leq c$

(Ex: $a < b$, $A \subset B$, $a | b$, ...)

Note

For $a \neq b$, we

could have:

- $a < b$,
- $a > b$, or
- neither.

Question

How much does L_A (a combinatorial object) tell us about A (a geometric object)?

Ex #regions? #bounded regions? topology?

Answer

A lot!

Yes Yes Yes.

Next goal: Generalize and study this construction:

(M matroid) \rightarrow (L_M "lattice of flats")

Rank ("Dimension" for matroids)

let M be a matroid on E .

Def. The rank function $r: 2^E \rightarrow \mathbb{N}$ is

$$r(X) = \max\{|I| : I \subseteq X, I \in \mathcal{I}\}$$

= size of any "basis" of A

Def. The rank of M is $r(M) = r(E)$.

Recall: (HW 2)
 (A, \mathcal{I}_A) is pure

Some key properties:

$$(R1) \quad 0 \leq r(X) \leq |X| \quad \text{for all } X$$

$$(R2) \quad r(X) \leq r(Y) \quad \text{for all } X \subseteq Y$$

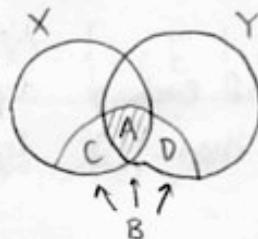
$$(R3) \quad r(X \cup Y) + r(X \cap Y) \leq r(X) + r(Y) \quad \text{for all } X, Y$$

) \rightarrow trivial

Proof of (R3):

Let A be a basis of $X \cap Y$

A is independent in $X \cup Y$ so extend it to a basis $B \supseteq A$ of $X \cup Y$



$$r(X \cup Y) = |B| = |A| + |C| + |D|$$

$$r(X \cap Y) = |A|$$

$$r(X) \geq |A| + |C| \quad \text{since } A \cup C \in \mathcal{I}, A \cup C \subseteq X$$

$$r(Y) \geq |A| + |D| \quad \text{since } A \cup D \in \mathcal{I}, A \cup D \subseteq Y$$

These "key properties" are strong enough:

Theorem.

A function $r: 2^E \rightarrow \mathbb{N}$ is the rank function of a matroid on E if and only if it satisfies (R1), (R2), (R3).

\Rightarrow Done

\Leftarrow Given r , say

$$I \in \mathcal{I} \Leftrightarrow r(I) = |I|.$$

Using (R1)-(R3), one proves:

- (E, I) is a matroid
- Its rank function is r .

Details omitted - do it yourself or see Oxley.

But the key step is this:

Lemma. Let $X, Y \subseteq E$.

If $r(X \cup y) = r(X)$ for all $y \in Y$

then $r(X \cup Y) = r(X)$.

\rightarrow If each y dep. on X
then Y dep. on X .

Proof: Induct on $|Y|$.

$|Y|=0, 1 \rightarrow$ trivial.

Suppose true for $|Y|=n-1$.

Let $|Y|=n$. Write $Y = \underbrace{Y'}_{n-1 \text{ elts}} \cup \underbrace{y}_{\text{elt}}$

$r(X \cup Y) = r(X)$ for $y' \in Y \Rightarrow \underline{r(X \cup Y') = r(X)}$ by induction hyp.

$\underline{r(X \cup y) = r(X)}$ also.

Now:

$$\begin{aligned} r(X \cup Y) &= r(X \cup Y' \cup y) \\ &= r((X \cup Y') \cup (X \cup y)) \\ &\leq r(X \cup Y') + r(X \cup y) - r((X \cup Y') \cap (X \cup y)) \quad (R3) \\ &= r(X) + r(X) - r(X) \\ &= r(X) \end{aligned}$$

But $r(X \cup Y) \geq r(X)$ by (R2) $\Rightarrow r(X \cup Y) = r(X)$. \square

Closure

("Span" for matroids)

Let M be a matroid on E .

Def The closure operator $c1: 2^E \rightarrow 2^E$ is

$$c1(X) = \{x \in E \mid r(X \cup x) = r(X)\}$$

Key properties:

(CL1) For all X , $X \subseteq c1(X)$.

(CL2) For all $X \subseteq Y$, $c1(X) \subseteq c1(Y)$

(CL3) For all X , $c1(c1(X)) = c1(X)$

(CL4) For all $X \subseteq E$, $x \in E$,

if $\begin{cases} y \notin c1(X) \\ y \in c1(X \cup x) \end{cases}$ then $x \in c1(X \cup y)$.

examples:

- topological closure
- span
- generated subgroup
- convex hull
- algebraic closure

} defining properties of
an "abstract closure
operator"

} "exchange property"

Proof:

((L1)) is trivial.

((L2)) Let $X \subseteq Y$. Want: $\text{cl}(X) \subseteq \text{cl}(Y)$

let $x \in \text{cl}(X) \Rightarrow r(X \cup x) = r(X)$.

let B be a basis of X

\Rightarrow basis of $X \cup x$ also. (so $B \cup x$ is dependent)

Extend B to a basis C of $Y \cup x$.

C doesn't contain x (because $B \cup x$ is dependent), so $C \subseteq Y$.

$\Rightarrow r(Y) \geq |C| = r(Y \cup x)$

$\Rightarrow r(Y) = r(Y \cup x) \Rightarrow x \in \text{cl}(Y)$.

((L3)) We know $X \subseteq \text{cl}(X) \Rightarrow \text{cl}(X) \subseteq \text{cl}(\text{cl}(X))$

Suppose $x \in \text{cl}(\text{cl}(X))$

$$r(\text{cl}(X) \cup x) = r(\text{cl}(X)) = r(X)$$

But \uparrow \nearrow
 $\text{cl}(X) \cup x \supseteq X \cup x \supseteq X$

so also

$$r(X \cup x) = r(X) \Rightarrow x \in \text{cl}(X)$$

Lemma

$$r(\text{cl}(X)) = r(X)$$

Proof:

$$r(X \cup x) = r(X) \quad x \in \text{cl}(X)$$

\Downarrow lemma

$$r(X \cup \text{cl}(X)) = r(X)$$

$$r(\text{cl}(X)) = r(X)$$

((L4)) let $y \in \text{cl}(X \cup x) \Rightarrow r(X \cup x \cup y) = r(X \cup x)$

$$y \notin \text{cl}(X) \Rightarrow r(X \cup y) \neq r(X)$$

$$r(X \cup y) = r(X) + 1$$

$$\Rightarrow r(X \cup x \cup y) = r(X \cup x)$$

$$\leq r(X) + 1 = r(X \cup y)$$

Lemma. $X \subseteq E, x \in E$

$$r(X \cup x) = r(X) \text{ or } r(X) + 1$$

Proof:

B basis of X

\Downarrow
B or $B \cup x$ basis of $X \cup x$.

$$\Rightarrow x \in \text{cl}(X \cup y)$$

□

Theorem: A function $\text{cl}: 2^E \rightarrow 2^E$ is the closure operator of a matroid on E if and only if it satisfies (CL1)–(CL4).

In other words: We can think of a matroid as a closure operator satisfying the exchange property.

⇒ Done

⇐ Given cl , define

$$I \in \mathcal{I} \Leftrightarrow x \notin \text{cl}(I - x) \text{ for all } x \in I.$$

Using (CL1)–(CL4), one proves:

- (E, \mathcal{I}) is a matroid
- cl is its closure operator.

Key lemma: If $x \in I$ and $x \cup u \notin I$ (with I as above)
then $x \in \text{cl}(x)$.

Details omitted. □

Parenthesis: (A possible project)

- A closure operator satisfying ((CL4): exchange property) is a matroid.
It captures the combinatorial essence of independence.
- A closure operator satisfying ((CL4'): antiexchange property) is a convex geometry.
It captures the combinatorial essence of convexity. Ex: $\text{cl} = \text{"convex hull"}$

((CL4'): If $\begin{cases} y \in \text{cl}(x \cup u) \\ y \notin \text{cl}(x) \end{cases}$ then $x \notin \text{cl}(x \cup u)$)

Flats

("Closed sets" for matroids)

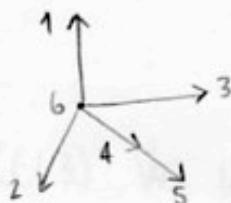
let M be a matroid on E .

Def. A flat of M is a set $F \subseteq E$ such that $\text{cl}(F) = F$.

In other words, $r(F \cup x) = r(F) + 1$ for all $x \notin F$.

Ex. If M is represented by a vector configuration,

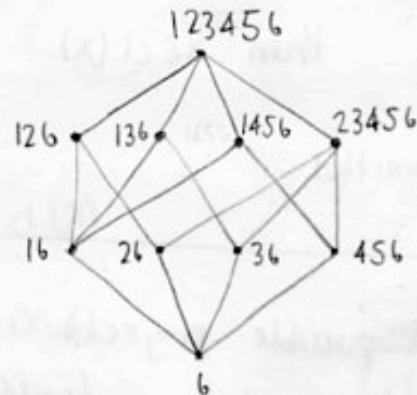
(flats) \leftrightarrow (spanned subspaces)



Flats:

- 16, 26, 36, 456
- 126, 136, 1456, 23456
- 123456

We can order the flats by containment:



Conjunto parcialmente ordenado (poset)

Def. A partially ordered set (poset) (P, \leq) is a set P with a binary relation " \leq " such that

- $a \leq a$
- $a \leq b$ and $b \leq a \Rightarrow a = b$
- $a \leq b$ and $b \leq c \Rightarrow a \leq c$

(31)

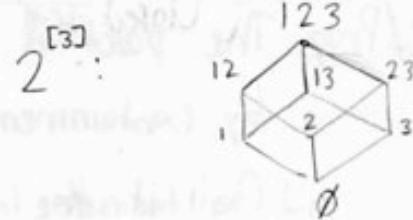
For $a \neq b$, one can have

- $a < b$,
- $a > b$, or
- neither.

Examples of posets:

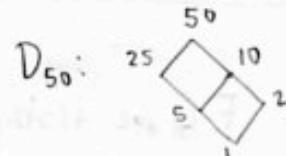
① $2^S =$ subsets of a set S

$A \leq B$ means $A \subseteq B$



② $D_n =$ divisors of n

$a \leq b$ means $a | b$



③ $P = \mathbb{Z}$

$a \leq b$ means $a \leq b$

④ $L_M =$ flats of M

$A \leq B$ means $A \subseteq B$

(Say a covers b) if $(a > b, \text{ and}$
 Write $a > b$ \cdot there is no c such that $a > c > b$)

Draw P by putting \cdot a dot for each elt. of P

\cdot an edge \overrightarrow{ab} for each cover relation $a > b$.

Def A poset P is a lattice if every pair of elements a, b has a least upper bound $a \vee b$ and a greatest lower bound $a \wedge b$.

LUB : $(a \leq a \vee b), (b \leq a \vee b)$, and $\begin{cases} a \leq c \Rightarrow a \vee b \leq c \\ b \leq c \end{cases}$

GLB : $(a \geq a \wedge b), (b \geq a \wedge b)$, and $\begin{cases} a \geq c \Rightarrow a \wedge b \geq c \\ b \geq c \end{cases}$

Show ①, ②, ③ are lattices, \bowtie is not.

Def/Prop. The poset of flats of a matroid M ordered by containments is a lattice.
Call it the "lattice of flats" L_M .

Proof. Let F, G be flats.

• $F \wedge G = F \cap G$:

- $F \cap G \subseteq F$ If $H \subseteq F$ then $H \subseteq F \cap G$.
 $F \cap G \subseteq G$ $H \subseteq G$

- $F \cap G$ is a flat:

Let $x \notin F \cap G \Rightarrow x \notin F$ or $x \notin G$

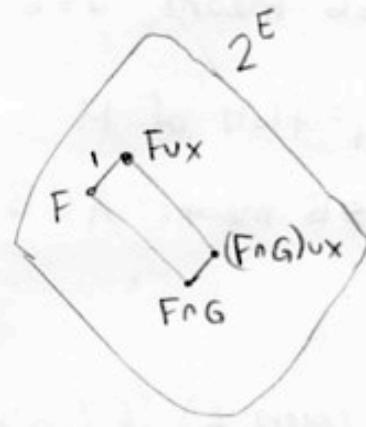
Say $x \notin F$.

$$r(F) + r((F \cap G) \cup x) \geq$$

$$r(F \cup x) + r(F \cap G)$$

$$= r(F) + 1 + r(F \cap G)$$

So $r((F \cap G) \cup x) \geq r(F \cap G) + 1$.



• $F \vee G = cl(F \cup G)$

- $cl(F \cup G) \supseteq F \cup G \supseteq F, G$ If $H \supseteq F$ then $H \supseteq G$

\uparrow
(CL1)

$$H \supseteq F \cup G$$

$$cl(H) \supseteq cl(F \cup G)$$

$$H \supseteq cl(F \cup G)$$

(CL2)

(CL3)

□

Def A poset is graded if there exists a rank function $r: P \rightarrow \mathbb{Z}$ such that

- If x is minimal, then $r(x) = 0$.

- If y covers x , then $r(y) = r(x) + 1$.

In other words, P "has floors"

Prop. L_M is graded.

Proof. The rank on L_M is the rank on M .

° Note: $\text{cl}(\emptyset) = \{\text{loops}\}$ is the unique min element of L_M , and it has rank 0.

° Suppose $F < G$.

Let $x \in G - F$. Then $F \subseteq F \cup x \subseteq G \Rightarrow \text{cl}(F) \subseteq \text{cl}(F \cup x) \subseteq \text{cl}(G)$
But $\text{cl}(F \cup x) \stackrel{?}{=} G$

$$r(\text{cl}(F \cup x)) = r(F \cup x) = r(F) + 1 > r(\text{cl}(F))$$

so

$$\text{cl}(F \cup x) = G \rightarrow r(G) = r(F) + 1$$

□

Prop L_M is "submodular":

$$r(x) + r(y) \geq r(x \vee y) + r(x \wedge y)$$

for all $x, y \in L_M$

Proof:

$$r(F) + r(G) \geq r(F \vee G) + r(F \wedge G) = r(\text{cl}(F \vee G)) + r(F \wedge G) = r(F \vee G) + r(F \wedge G) \quad \square$$

Prop. L_M is "atomic":

Every element is a join of elements of rank 1.

Proof: Let $F \in L_M$. For each $f \in F$, $\text{cl}(f) \subseteq F$. So

$$F = \bigcup_{f \in F} f \subseteq \bigcup_{f \in F} \text{cl}(f) \subseteq F$$

rank 1
↓

$$\text{So } F = \bigcup_{f \in F} \text{cl}(f) \Rightarrow F = \text{cl}\left(\bigcup_{f \in F} \text{cl}(f)\right) = \bigvee_{f \in F} \text{cl}(f) \quad \square$$

Notes.

- ° In a lattice, any finite set a, b, c, \dots has a "meet" $a \wedge b \wedge c \wedge \dots$ (a greatest lower bound) and a "join" $a \vee b \vee c \vee \dots$ (a least upper bound)

- ° In L_M ,

$$F \wedge G \wedge \dots = F \wedge G \wedge \dots$$

$$F \vee G \vee \dots = \text{cl}(F \vee G \vee \dots)$$

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Def. A finite lattice is geometric
if it is semimodular and atomic.

(note:
semimodular \rightarrow graded)

Theorem A lattice is geometric if and only if
it is the lattice of flats of a matroid.

\Leftarrow : done

\Rightarrow : let L be geometric.

Let E be the set of atoms. (If $E = \emptyset$, $L = L(U_{0,0})$)

Define

$$r: 2^E \rightarrow \mathbb{Z}$$

$$r(\{e_1, \dots, e_k\}) = r_L(e_1 \vee \dots \vee e_k)$$

Claim: (1) (E, r) is a matroid

(2) Its lattice of flats is L .

Proof of (1):

Check that r satisfies the local rank axioms:

$$(R1) \quad r(\emptyset) = 0$$

Clear since $r(\emptyset) = r_L(\hat{0})$

$$(R2) \quad r(A \cup a) - r(A) = 0 \text{ or } 1$$

$$\text{Let } \bigvee_{a \in A} a = x \Rightarrow r(A \cup a) - r(A) = r_L(x \vee a) - r_L(x)$$

$$L \text{ semimodular} \Rightarrow r_L(a) + r_L(x) \geq r_L(x \vee a) + r_L(x \wedge a)$$

$$r_L(x \vee a) - r_L(x) \leq r_L(a) - r_L(x \wedge a) \xrightarrow{\leq a}$$

$$0 \xleftarrow[\text{clear}]{} r_L(x \vee a) - r_L(x) \leq 1 - (0 \text{ or } 1) \xleftarrow{} 1$$

(Q3) If $r(A) = r(A \cup a) = r(A \cup b)$ then $r(A) = r(A \cup a \cup b)$.

Let $\bigvee_{a \in A} a = x$.

Then $r_L(x) = r_L(x \vee a) = r_L(x \vee b)$

Since $x \leq x \vee a \Rightarrow x = x \vee a \Rightarrow x \vee a \cup b = x \vee b = x$

$x \leq x \vee b \Rightarrow x = x \vee b$

$\Rightarrow r_L(x \vee a \cup b) = r_L(x)$

$\Rightarrow r(A \cup a \cup b) = r(A)$. \square

So \mathcal{L} geometric $\rightarrow (E, r)$ is a matroid M .

Now I need to show "it is the right matroid" — that is:

(2) Claim: $\mathcal{L} = \mathcal{L}_M$.

Proof. We show that this is an isomorphism of posets:

$\varphi: \mathcal{L} \rightarrow \mathcal{L}_M$

$x \mapsto \{\text{atoms } a \leq x\}$

Need to check: ① φ is a bijection on the sets $\mathcal{L}, \mathcal{L}_M$

② φ preserves order: $x \leq_L y \Leftrightarrow \varphi(x) \leq_{\mathcal{L}_M} \varphi(y)$

Note:

\mathcal{L} is atomic, so

$$x = \bigvee_{\substack{\text{atoms} \\ a \leq x}} a$$
$$= \bigvee_{a \in \varphi(x)} a$$

③ • φ is well-defined:

let $F = \{\text{atoms } a \text{ such that } a \leq x\}$. Need: F flat.

Suppose not: $r_M(F \cup b) = r_M(F)$ for $b \in E \setminus F$.

$\Rightarrow r_L(x \vee b) = r_L(x) \underset{\text{semimod}}{\Rightarrow} r_L(x \wedge b) = r_L(b) = 1$

$\Rightarrow x \wedge b = b \Rightarrow b \leq x \Rightarrow b \in F$.

• ℓ is injective:

Suppose $\ell(x) = \ell(y)$. Then

$$x = \bigvee_{\substack{\text{atoms} \\ \alpha \leq x}} \alpha = \bigvee_{\substack{\text{atoms} \\ \alpha \leq y}} \alpha = y.$$

• ℓ is surjective:

Let F be a flat of M .

$$\text{Let } x = \bigvee_{\alpha \in F} \alpha. \text{ Claim: } F = \ell(x).$$

$$\subseteq: \alpha \in F \Rightarrow x = \bigvee_{\alpha \in F} \alpha \geq \alpha \Rightarrow \alpha \in \ell(x)$$

$$\supseteq: \alpha \in \ell(x) \Rightarrow \alpha \leq x = \bigvee_{\alpha \in F} \alpha \Rightarrow \bigvee_{\alpha \in F} \alpha = (\bigvee_{\alpha \in F} \alpha) \vee \alpha$$

$$\Rightarrow r_F(\bigvee_{\alpha \in F} \alpha) = r_F(\bigvee_{\alpha \in F \cup \alpha} \alpha) \Rightarrow r_F(F) = r_F(F \cup \alpha).$$

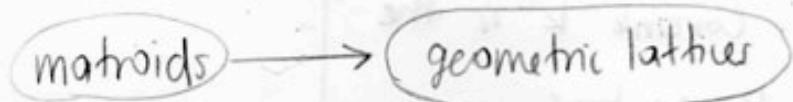
Since F is a flat, $\alpha \in F$.

(b) ℓ preserves order:

$$\bullet x \underset{L_n}{\leq} y \Rightarrow \{\text{atoms } \leq x\} \subseteq \{\text{atoms } \leq y\} \Rightarrow \ell(x) \underset{L_m}{\leq} \ell(y)$$

$$\bullet \ell(x) \underset{L_m}{\leq} \ell(y) \Rightarrow x = \bigvee_{\alpha \in \ell(x)} \alpha \leq \bigvee_{\alpha \in \ell(y)} \alpha = y \quad \blacksquare$$

So we have



$$M \mapsto L_M$$

How much information do we lose?

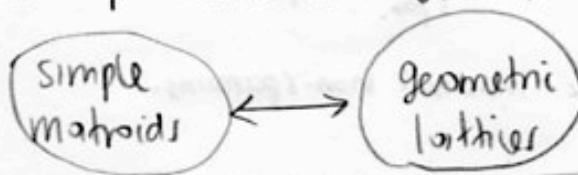
- We lose loops
- We lose parallel elements

We don't lose anything else! (Follows from the proof.)

Def A matroid is simple if it has no loops
and no parallel elements.

Theorem.

This correspondence is bijective:



Note:

matroid = "(combinatorial) pregeometry"

simple = "(combinatorial) geometry"

Also, there is a canonical way to go:

matroid \rightarrow simple matroid



- delete loops
- keep 1 element of each parallel class.

Prop. Geometric lattices are coatomic: every flat of corank k is the meet of some k coatoms.

Proof.

Coatoms of \mathcal{L}_M = flats of M of rank $r(M)-1$
 = "hyperplanes"
 = maximal non-spanning sets of M .

Induct on k . $k=1$ obvious.

Let X be a flat with $r(X) = r-k$.

Let $y \notin X$, and $Y = cl(X \cup y) \Rightarrow r(Y) = r-k+1$. and $Y > X$ in \mathcal{L}_M .

So $Y = H_1 \cap \dots \cap H_{k-1}$.

- If $E-y$ is not spanning, let $H_k = E-y$.

- If $E-y$ is spanning, we have $X \subset E-y$, so let

$$\begin{array}{c} \uparrow & \uparrow \\ \text{non-} & \text{span.} \\ \text{spar.} & \end{array}$$

$X \subset H_k \subset E-y$ with H_k maximal non-spanning.

Either way,

$$\begin{array}{l} X \subset Y \\ X \subset H_k \end{array} \rightarrow X \subset (Y \cap H_k) \subset Y$$

\uparrow
in \mathcal{L}_M

But $X < Y$ and $Y \cap H_k \neq Y \Rightarrow X = Y \cap H_k$. \square

\uparrow no y \uparrow yes y

vector spaces \rightarrow subspaces

groups \rightarrow subgroups

matroids \rightarrow submatroids?

Obj
pg. 41
↓
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minors

(the matroids "living inside" a matroid)

let M be a matroid on E .

Let $T \subseteq E$.

Def. The deletion of T from M

(or restriction to $E-T$ of M)

is the matroid $M \setminus T = M|_{(E-T)}$
with

- Ground set: $E-T$

- Rank: $r_{M \setminus T}(X) = r(X) \quad (X \subseteq E-T)$

Def. The contraction of T from M

is the matroid M/T with

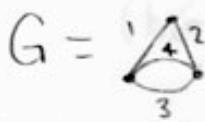
- Ground set: $E-T$

- Rank: $r_{M/T}(X) = r_M(X \cup T) - r_M(T) \quad (X \subseteq E-T)$

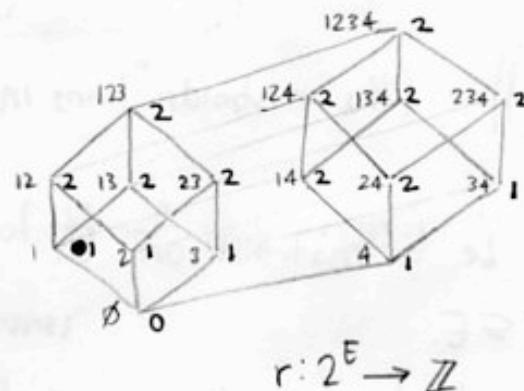
Recall:

One way to think about a matroid is through its rank function.

Ex.



$$B = \{12, 13, 14, 23, 24\}$$



$$r: 2^E \rightarrow \mathbb{Z}$$

A rank function is a labelling of 2^E such that:

$$\emptyset = 0$$

(R1)

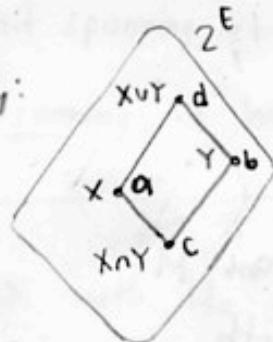
$$x \Rightarrow x \text{ or } x+1$$

(R2)

$$x \quad x \Rightarrow x$$

(R3)

Note: Submodularity:

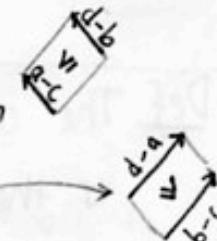


In any parallelogram,

$$a+b \geq c+d$$

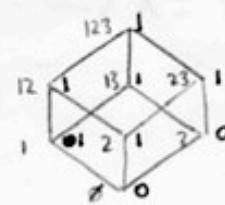
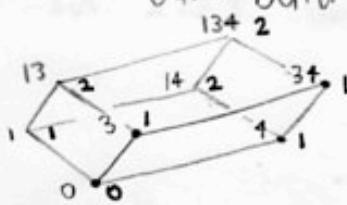
$$a-c \geq d-b$$

$$b-c \geq d-a$$



"Subcubes" also have these properties.

So we can build more matroids in this way:



(41)

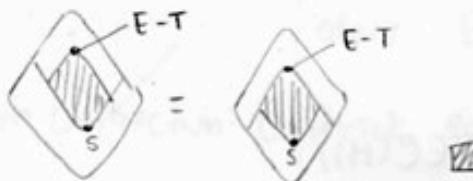
M\2

M/4

Prop. Let $S, T \subseteq E$, $S \cap T = \emptyset$. Then

- $(M \setminus S) \setminus T = M \setminus (S \cup T) = (M \setminus T) \setminus S$
- $(M/S)/T = M/(S \cup T) = (M/T)/S$
- $(M/S) \setminus T = (M \setminus T)/S$

Pf. By picture. For example, the third is



So deletion and contraction commute.

$$M/2 \setminus 5^9 / 6 / 7 \setminus 8 = M/267 \setminus 589$$

Def. A minor of M is a matroid of the form $M/S \setminus T$
for $S, T \subseteq E$, $S \cap T = \emptyset$

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Prop. Deletion and contraction are dual:

$$(M/T)^* = M^* \setminus T$$

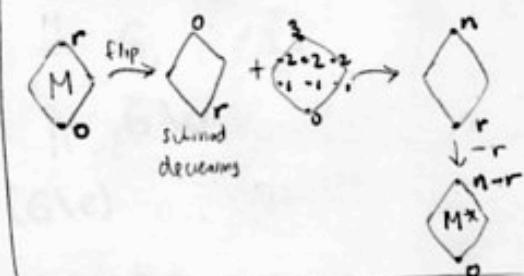
$$(M \setminus T)^* = M^*/T$$

Pf: You. (HW 4)

By the way:

Prop.

$$r_{M^*}(X) = |X| - r_M(E-X)$$



Prop. $I(M \setminus T) = \{I \subseteq E - T : I \in I(M)\}$

→ indeps. disjoint with T

$I(M/T) = \{I \subseteq E - T : I \cup B_T \in I(M)\}$

→ indeps. containing a basis for T

where B_T is (any) fixed basis of T .

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PF.

$$x \in I(M \setminus T) \Leftrightarrow r_{M \setminus T}(x) = |x| \Leftrightarrow r_M(x) = |x| \Leftrightarrow x \in I(M)$$

$$x \in I(M/T) \Leftrightarrow r_{M/T}(x) = |x| \Leftrightarrow r_M(x \cup T) - r_M(T) = |x|$$

$$\Leftrightarrow r_M(x \cup B_T) = |B_T| + |x| \Leftrightarrow x \cup B_T \in I(M)$$

Prop.

$$\begin{aligned} C(M \setminus T) &= \{C \subseteq E - T : C \in \mathcal{C}(M)\} \\ C(M/T) &= \text{minimal sets in } \{C - T : C \in \mathcal{C}(M)\} \end{aligned}$$

$$cl_{M \setminus T}(x) = cl_M(x) - T$$

$$cl_{M/T}(x) = cl_M(x \cup T) - T$$

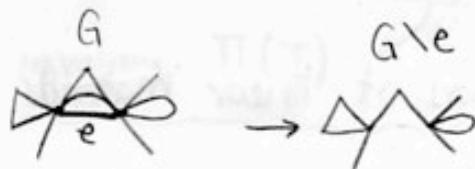
$\mathcal{L}_{M \setminus T}$ = complicated

$\mathcal{L}_{M/T}$ = interval $[cl(T), 1]$ of \mathcal{L}_M
etc.

Minors of graphical matroids:

Let $G = (V, E)$

Let $e \in E$.

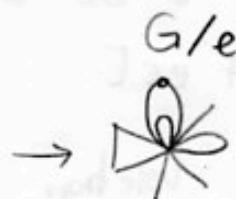


Graph deletion: Delete edge e

Vertices: V

edges: $E \setminus e$

Graph contraction: Contract edge $e = i_1, i_2$



vertices: $(V - \{i_1, i_2\}) \cup i$

edges: ab if $ab \in E$ and $a, b \neq i_1, i_2$

ai if $ai, e \in E$ or $ai_2 \in E$

Prop. "Graph minors are matroid minors"

$$M(G \setminus e) = M(G) \setminus e$$

$$M(G/e) = M(G)/e$$

PF For $e \notin I$

I indep in $M(G) \setminus e \Leftrightarrow I$ indep in $M(G)$, $e \notin I$

$\Leftrightarrow I$ has no circs. in G , $e \notin I$

$\Leftrightarrow I$ has no circs. in $G \setminus e$

$\Leftrightarrow I$ indep in $M(G \setminus e)$.

I indep in $M(G)/e \Leftrightarrow I \cup e$ indep in $M(G)$

(Sup e not
a loop.)

$\Leftrightarrow I \cup e$ has no circs in G

$\Leftrightarrow I$ has no circs in G/e

$\Leftrightarrow I$ indep in $M(G/e)$

Corollary.

Every minor of a graphical matroid is graphical.

Minors of linear matroids

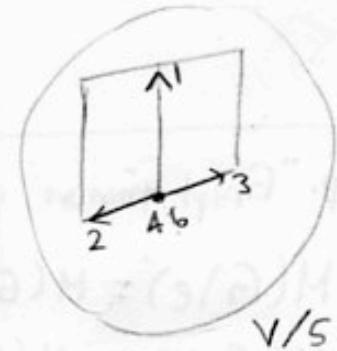
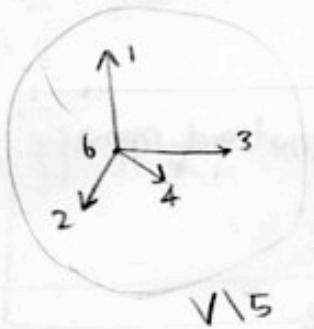
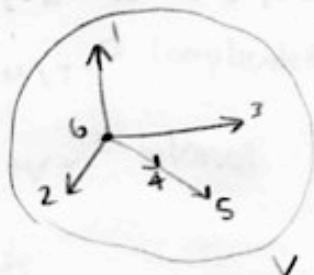
Let E be a vector configuration in a vector space V .

Let $e \in E$.

Deletion: Vector config: $E - e$ in V

Contraction: Let $\pi: V \rightarrow e^\perp$ be the orthogonal projector
vector config: $\pi(E - e)$ in e^\perp .

Ex



Prop. "Vector config minors are matroid minors"

$$M(V \setminus e) = M(V) \setminus e$$

$$M(V/e) = M(V)/e$$

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P.F. For $e \notin I$:

I independent in $M(V) \setminus e \Leftrightarrow I$ lin indep $\Leftrightarrow I$ indep
in $M(V \setminus e)$

I indep in $M(V)/e \Leftrightarrow I \cup e$ indep in $M(V)$

\Leftrightarrow no lin. egn involving I, e

I indep in $M(V/e) \Leftrightarrow$ no lin egn involving $\Pi(I)$

?

lin egn in $I, e \Rightarrow c_1 v_1 + \dots + c_k v_k + ce = 0$

$\underbrace{c_1}_{I} \underbrace{v_1}_{\text{---}} + \dots + c_k v_k + ce = 0$

$$\Rightarrow \Pi(c_1 v_1 + \dots + c_k v_k + ce) = 0$$

$$\Rightarrow c_1 \Pi(v_1) + \dots + c_k \Pi(v_k) = 0$$

lin egn in $\Pi(I) \Rightarrow c_1 \Pi(v_1) + \dots + c_k \Pi(v_k) = 0$

$$\Rightarrow \Pi(c_1 v_1 + \dots + c_k v_k) = 0$$

$\ker \Pi = \text{multiples of } e$

$$\Rightarrow c_1 v_1 + \dots + c_k v_k = ce$$

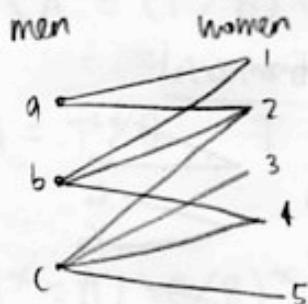
□

Corollary

Every minor of a linear matroid is linear.

Minors of transversal matroids

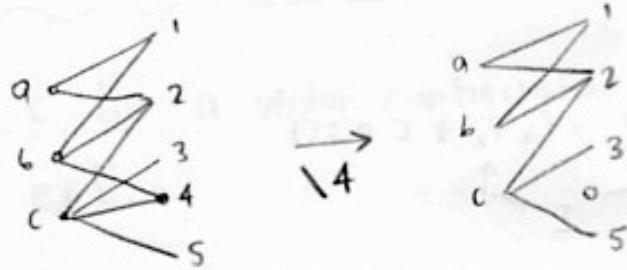
Is every minor of a transversal matroid transversal?



bases: maxl sets of
women who can marry
simultaneously.

Deletion of e

Bases not containing e \rightarrow e decides not to get married
 \rightarrow erase e from the graph.



Contraction of e

Bases containing e \rightarrow e demands to get married.
 \rightarrow what to do to the graph?
 who did e marry?

A transversal matroid with a non-transversal contraction:

Let $G = \{a, b_1, b_2, c_1, c_2, d_1, d_2\}$

$$G/a = \{b_1, b_2, c_1, c_2, d_1, d_2\}$$

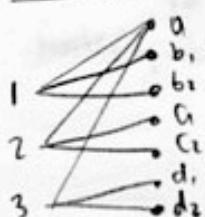
$$M = M(G)$$

$$C = \{b_1, b_2, G(c_2, d_1, d_2), \\ ab_1; c_1d_2\}$$

$$M/a$$

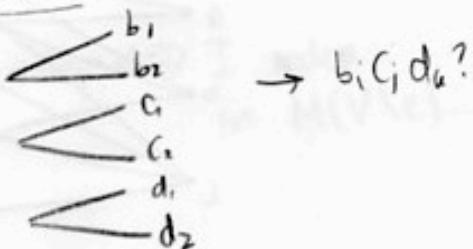
$$C(M/a) = \{b_1, b_2, G(c_2, d_1, d_2), \\ b_1c_1d_2\}$$

transversal:



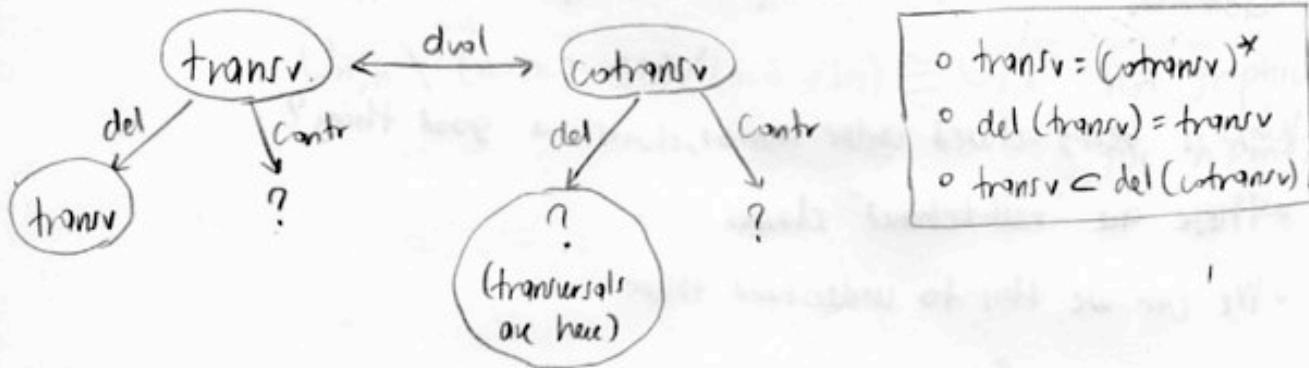
non-transversal:

must have



- Graphical matroids are closed under minors, duality.
- Linear matroids are closed under minors, duality.
-

Want to expand transversals to a class closed under minors, duality.



Def A gammoid is a contraction of a transversal.

Prop Gammoids are closed under minors, duality.

They are the minimal such class containing transversal matroids.

Proof. Let M be a gammoid on E .

Contr: $M/A = (T/B)/A = T/(A \cup B) = \text{gammoid}$.

$$\begin{array}{ccc} & \uparrow & \\ \text{transv.} & & \text{transv.} \\ \text{mat.} & & \downarrow \end{array}$$

del: $M \setminus A = (T/B) \setminus A = \overline{(T \setminus A)} / B = \text{gammoid}$

dual: $M = T/B \quad T \text{ transv} \Rightarrow T = U \setminus C \Rightarrow M = U \setminus C / B$

$$M^* = (U \setminus C / B)^* = \underbrace{U^* \setminus C / B}_{\text{transv.}}$$

last time we saw:

	closed under minors	duals
Graphical matroids	Y	N
Linear matroids	Y	Y
Transversal matroids	N	N
Gomrnoids	Y	Y

Q Why is being closed under minors, duals a good thing?

- A
- These are "well-behaved" classes.
 - We can use this to understand them.

Q. How can you tell if a matroid is graphical?

- If Y, you could find the graph.

$$C = \{234, 235, 45, 6\} \rightarrow \begin{array}{c} 6 \\ | \\ 4 \end{array} \begin{array}{c} 2 \\ | \\ 5 \\ | \\ 3 \end{array} 1$$

- If N, what? Could this

$$C = \{1279, 1289, 13456, 1789, 2346, 2567, 2789\}$$

be the set of circuits of a graphical matroid?

No, because

$$C(M \setminus 3456) = \{1279, 1289, 1789, 2789\}$$

$$C(M \setminus 3456/9) = \{127, 128, 178, 278\}$$

$$M \setminus 3456/9 \cong U_{2,4}$$

Ex. $U_{m,n}$ not graphical except $U_{0,n}, U_{1,n}, U_{n-1,n}, U_{n-2,n}$

Pf. $U_{r,s} \setminus e \cong U_{r-1,s}$

$$U_{r,s}/e \cong U_{r-1,s-1}$$

So $U_{m,n} \setminus (\text{n-m-2 elements}) \cong U_{m,m+2}$

$$U_{m,n} \setminus (\text{n-m-2 elts}) / (\text{m-2 elts}) \cong U_{2,4} \text{ not graphical}$$

for $n \geq m+2$
 $m \geq 2$

Other non-graphical matroids: $M(K_5)^*, M(K_{3,3})^*$

F_7 = "Fano matroid", F_7^*

go to
next page

Theorem (Tutte, 1959)

A matroid is graphical \Leftrightarrow It has no minor isomorphic to
 $U_{2,4}, M(K_5)^*, M(K_{3,3})^*, F_7, F_7^*$

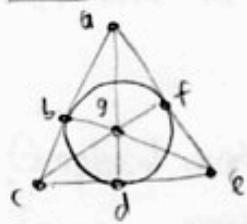
Proof: Difficult, intricate. (Chapter 13 of Oxley.)

Project?

So: There are 5 obstructions to being graphical.

↑
"forbidden minors"

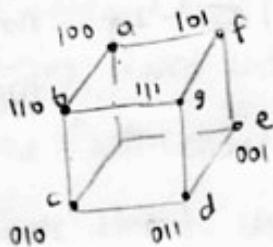
The Fano Matroid F_7



$$\mathcal{C} = \{abc, cde, efa, agd, bge, cgf, bcd\}$$

Why is it a matroid?

$$\mathbb{F} = GF(2) = \{0, 1\} \quad V = \mathbb{F}^3 \quad E = \mathbb{F}^3 - 000$$



Prop. F_7 is not representable over \mathbb{R} (as vectors in \mathbb{R}^3)

Pf. Sup it is.

We can assume $a = (100)$, $c = (010)$, $e = (001)$, $g = (xyz)$ $x, y, z \neq 0$

$$d = x(100) + y(xyz) \rightarrow d = (0, ry, rz) \quad r \neq 0$$

$$= x(010) + y(001)$$

$$\rightarrow f = (sx, 0, sz) \quad s \neq 0$$

$$\rightarrow b = (tx, ty, 0) \quad t \neq 0$$

$$\text{Now } \alpha d + \beta f + \gamma b = 0 \Rightarrow x(\beta s + \gamma t) = 0$$

$$\alpha, \beta, \gamma \neq 0$$

$$y(\alpha r + \gamma t) = 0$$

$$z(\alpha r + \beta s) = 0$$

$$\Rightarrow 2\alpha r = 0 \quad 2\beta s = 0 \quad 2\gamma t = 0 \Rightarrow 2 = 0 \quad \square$$

(51)

Corollary F_7^* is not representable over \mathbb{R}

Linearity / representability

Theorem. A matroid is representable over $GF(2)$
(Tutte, 58) \Leftrightarrow it contains no $U_{2,4}$ minor.

Theorem A matroid is rep. over $GF(3)$
(Bixby, 79)
(Deza, 71) \Leftrightarrow no $U_{2,5}, U_{3,5}, F_7, F_7^*$

Theorem A matroid is rep. over
(Tutte 58) any field \Leftrightarrow
no $U_{2,4}, F_7, F_7^*$

Conjecture Rep. over $GF(q)$ is characterized by a
(Bose, 71) finite list of excluded minors, for any $q = p^\alpha$.

still open

Theorem Seven excluded minors for $GF(4)$.
(Geelen, Gerards, Kapoor 00)

"Fear it may fail for $q \geq 5$ "

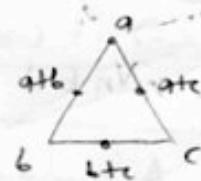
Theorem Infinitely many excluded minors for \mathbb{R} , or any \mathbb{F} with $\text{char } \mathbb{F} = 0$.
(Lazerson 1958)

Open. In which $GF(q)$ can you represent $U_{r,n}$?

Non-linear matroids

We saw $F_7 = \triangle$ is not rep. in char > 2

Now $F_7^- = \triangle$ is not rep. in char 2:



Prop $F_7 \oplus F_7^-$ is not linear.

Pf. If it was rep over \mathbb{F} , then

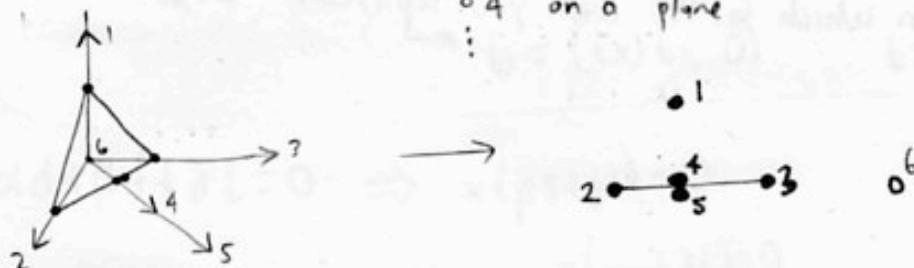
- the restriction F_7 is rep over $\mathbb{F} \rightarrow \text{char } \mathbb{F} = 2$
- the restriction F_7^- is rep over $\mathbb{F} \rightarrow \text{char } \mathbb{F} > 2$. \square

An aside:

$v_1, \dots, v_n \in \mathbb{F}^d$ are affinely indep $\Leftrightarrow a_1v_1 + \dots + a_nv_n = 0$ for some $a_i \in \mathbb{F}, \text{ not all } 0, \text{ s.t. } a_1 + \dots + a_n = 0$

- \Leftrightarrow
- 2 on a point
 - 3 on a line
 - 4 on a plane
 - ⋮

Idea:



$$C = \{6, 45, 234, 235\}$$

Prop A loopless matroid is linear \Leftrightarrow it is the affine matroid of some point configuration.

$$\text{PF} \quad \text{affine matroid of } v_1, \dots, v_n \in \mathbb{F}^d \quad \stackrel{\cong}{=} \quad \text{linear matroid of } (1, v_1), \dots, (1, v_n) \in \mathbb{F}^{d+1}$$

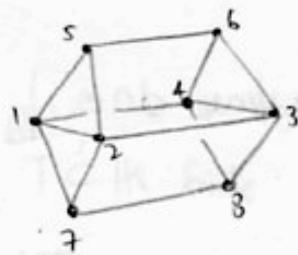
$$\sum_i a_i v_i = 0 \Leftrightarrow \sum_i a_i (1, v_i) = (\sum a_i, \sum a_i v_i) = 0$$

$$\sum_i a_i = 0$$

So now we can use what we know about projective geometry.

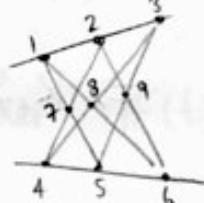
Non-linear matroids

Vamos



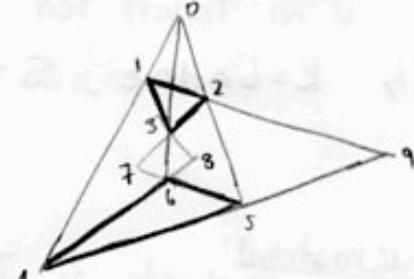
C: 1456, 2356,
1478, 2378,
every 5

non-Pappus



C: 123, 456, 157,...
every 4

non-Descartes



C: 014, 025, 129,...
every 4

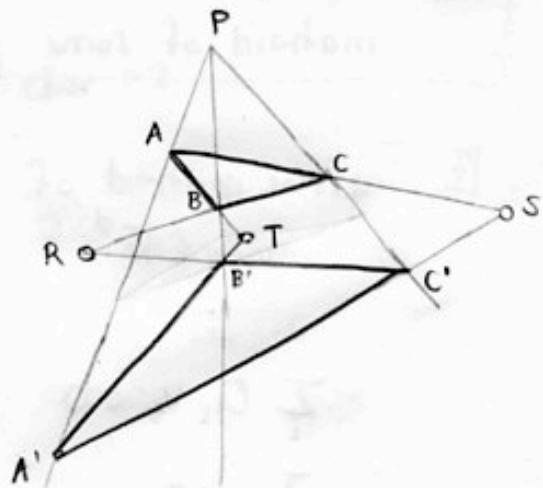
These are matroids, but not representable (from proj. geom.)

Desarguer's Theorem

If triangles $ABC, A'B'C'$ are perspective from a point P , then the points

$$R = BC \cap B'C', S = AC \cap A'C', T = AB \cap A'B'$$

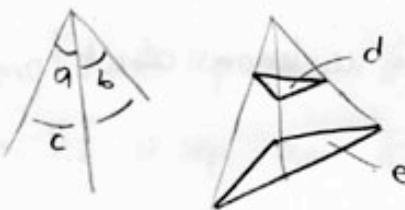
are collinear.



Proof.

Prove it in 3-D.

Label the planes



$$\text{Then } AB = a \text{ and } A'B' = a \text{ and } \rightarrow T = a \cap d \cap e$$

Similarly, $R = b \cap d \cap e, S = c \cap d \cap e \Rightarrow R, S, T \text{ on line } d \cap e.$ □

Desarguer matroid:

The affine matroid of $A, B, C, A', B', C', P, R, S, T$

(Exercise: This is $M(K_5)$.)

non-Desarguer matroid:

The same matroid, except the circuit R, S, T is erased.

(Exercise: This is a matroid.)

Algebraic matroids

\mathbb{F} -field

\mathbb{K} -extension field (so \mathbb{F} is a subfield of \mathbb{K})

Ex: $\mathbb{F} = \mathbb{R}$ $\mathbb{K} = \mathbb{C}$

$\mathbb{F} = \mathbb{C}$ $\mathbb{K} = \mathbb{C}(x, y, z)$ - rat'l fns in x, y, z .

$v \in \mathbb{K}$ is algebraic over \mathbb{F} if there is $P(x) \in \mathbb{F}[x]$ with $P(v)=0$.
transcendental otherwise.

$v, t_1, \dots, t_n \in \mathbb{K}$

v is alg. dep. on t_1, \dots, t_n over \mathbb{F} if there is

$$P(v, t_1, \dots, t_n) = 0 \quad \text{for } P \text{ with coeffs in } \mathbb{F}$$

not trivial in v

(i.e. v is algebraic over $\mathbb{F}(t_1, \dots, t_n)$)

$T \subset \mathbb{K}$ finite

T alg. dep. over \mathbb{F} if some $t \in T$ is alg. dep. on $T - t$

alg. indep. otherwise

Theorem let \mathbb{K} be an extension field of \mathbb{F} and let
 E be a finite subset of \mathbb{K} . Then the alg. ind.
subsets of E are the indep. sets of a matroid.

Def A matroid is algebraic if it comes from this construction.

Ex $\mathbb{F} = \mathbb{C}$

$$\mathbb{K} = \mathbb{C}(x, y, z)$$

$$a = z \quad b = (y+z)^2 \quad c = xy + xz \quad d = x \quad e = yx \quad f = 1+i$$

Ex $\mathbb{F} = \mathbb{R}$

$$\mathbb{K} = \mathbb{C}$$

$$a = \sqrt{2} \quad b = 1+i \quad c = 1 \quad d = \sqrt[3]{5+\sqrt{2}}$$

Ex $\mathbb{F} = \mathbb{Q}$

$$\mathbb{K} = \mathbb{R}$$

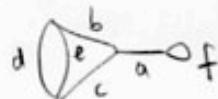
$$a = \sqrt{2} \quad b = \sqrt[3]{3} \quad c = e \quad d = e + \pi$$

1. $de - 1 = 0$

$$f - (1+i) = 0 \rightarrow$$
 Some or our good old friend:

$$bd^2 - c^2 = 0$$

$$c^2 e^2 - b = 0$$



No more

2. all loops! (\mathbb{C} = algebraic closure of \mathbb{R})

3. 9, 6 loops

c indep

d - open! not known whether $e + \pi$ is algebraic.

Ex. from last time:

$$a=r \quad b=s^2 \quad c=xs \quad d=x \quad e=\frac{1}{x^2} \quad f=1+i$$

$$r=wz \quad s=y+wz$$

CMS: f
 d, e
 b, c, d
 b, c, e

Algebraic matroids are matroids:

\mathbb{F} field

\mathbb{K} field extension

$E \subset \mathbb{K}$ finite

The closure of this matroid would be $\text{cl}: 2^E \rightarrow 2^E$:

$$\text{cl}(A) = \{x \in E \mid x \text{ is also dep. on } A \text{ over } \mathbb{F}\}$$

Let's prove this is a matroid closure.

$$(CL1) \quad A \subseteq \text{cl}(A)$$

$$a \in A \rightarrow \text{is a root of } x-a=0 \quad \text{coeffs in } \mathbb{F}(A)$$

$$(CL2) \quad A \subseteq B \Rightarrow \text{cl}(A) \subseteq \text{cl}(B)$$

$$x \in \text{cl}(A) \rightarrow P(x)=0 \text{ with coeffs in } \mathbb{F}(A) \supseteq \text{in } \mathbb{F}(B)$$

$$(CL3) \quad \text{cl}(\text{cl}(A)) = \text{cl}(A)$$

wait.

(CL4) If $a \notin \text{cl}(A)$ and $a \in \text{cl}(A \cup b)$

then $b \in \text{cl}(A \cup a)$.

$a \in \text{cl}(A \cup b) \rightarrow P(a) = 0$ P with coeffs in $\text{IF}(A \cup b)$

• This is a polynomial equation in $A \cup a \cup b$ with coeffs in IF

• It involves b (or else $a \in \text{cl}(A)$)

$\rightarrow Q(b) = 0$ Q with coeffs in $\text{IF}(A \cup a)$.

(CL3):

Note. $\text{IF} \subset \text{IK}$ field extension

$\Rightarrow \text{IK}$ is a vector space over IF

$[\text{IK} : \text{IF}] = \dim$ of this = "degree" of extension

Lemma. x alg. dep. on a_1, \dots, a_n over IF

$\Leftrightarrow [\text{IF}(a_1, \dots, a_n, x) : \text{IF}(a_1, \dots, a_n)]$ is finite

Proof.

\Leftarrow If alg. dep.

$$\sum_{i=1}^n P_i(a_1, \dots, a_n) x^i = 0$$

then $1, x, \dots, x^{n-1}, x^n$ are linearly dependent,

and one can check $1, x, \dots, x^n$ generate

$\text{IF}(a_1, \dots, a_n, x)$ as a $\text{IF}(a_1, \dots, a_n)$ -vector space

\Rightarrow If there is no alg. dependence, then

$$1, x, x^2, \dots$$

are linearly independent. \blacksquare

Lemma. $\mathbb{F} \subset \mathbb{K} \subset \mathbb{L}$ field extensions

$$\Rightarrow [\mathbb{L} : \mathbb{F}] = [\mathbb{L} : \mathbb{K}] \cdot [\mathbb{K} : \mathbb{F}]$$

Proof. x_i basis of \mathbb{L} over \mathbb{K}

y_j basis of \mathbb{K} over \mathbb{F}

$\Rightarrow x_i y_j$ basis of \mathbb{L} over \mathbb{F} .

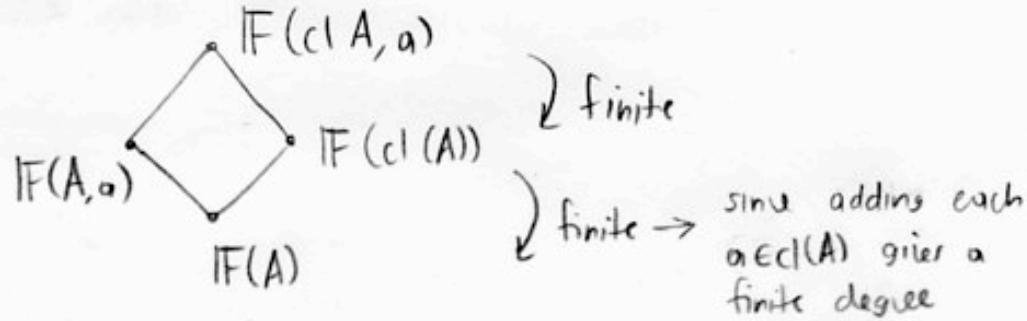
$$((L3)): cl(cl(A)) = cl(A)$$

$\circ \supseteq$ clear.

$\circ \subseteq$ Let $a \in cl(cl(A))$

$\Rightarrow a$ dep on $cl(A)$

$$\Rightarrow [\mathbb{F}(cl(A), a) : \mathbb{F}(cl(A))] \text{ finite}$$



$$\Rightarrow [\mathbb{F}(cl(A), a) : \mathbb{F}(A)] \text{ finite}$$

$$\Rightarrow [\mathbb{F}(cl(A), a) : \mathbb{F}(A, a)] \cdot [\mathbb{F}(A, a) : \mathbb{F}(A)] \text{ finite}$$

\downarrow
finite
 \downarrow
 $a \in cl(A)$ \blacksquare