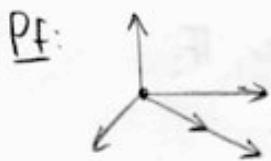


New facts:

- Algebraic matroids are matroids. (1937)
- Representable over $\text{IF} \Rightarrow$ algebraic over some extension IK/IF



100	x
010	y
001	z
011	$\frac{1}{2}y + \frac{1}{2}z$
000	$y+z$
	0

in IF in $\text{IF}(x, y, z)/\text{IF}$
 (lin.) \hookrightarrow (alg.)

- (Algebraic over IK/IF) \Rightarrow linear over $\overline{\text{IF}}$, the algebraic closure of IF .
 $\text{char IF} = 0$

- Non-Pappus is algebraic, but not linear. (1983)
- Are all matroids algebraic? (1971)
- No. (1975, you in 2007)
- Matroids closed under deletion (obvious) and contraction (1989)
- Matroids closed under duals? Open! Project?

Many open questions!

Enumeration

So far:

- ① Nice families of matroids (graphical, linear, transversal, cotransversal, algebraic, ...)
- ② Nice characterizations of matroids (different axiom systems, geom lattices, greedy, ...)
- ③ Nice constructions (deletion, contraction, duals, direct sum)

Next few weeks:

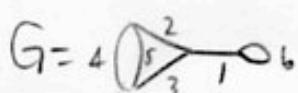
- ④
 - Counting in matroids
 - Using matroids to count things.

First: Counting in posets

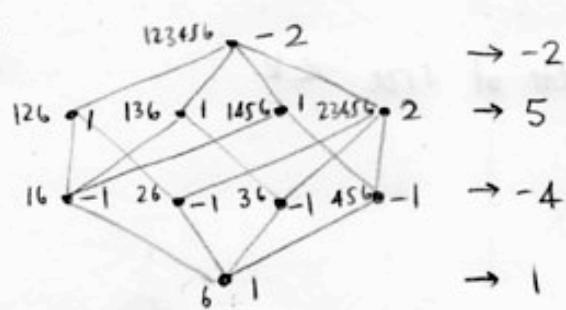
Let P be a (finite) poset. Define $\mu: P \rightarrow \mathbb{Z}$:

$$\mu(x) = \begin{cases} 1 & \text{if } x \text{ is minimal} \\ -\sum_{y \leq x} \mu(y) & \text{otherwise.} \end{cases}$$

Ex.



$L_{H(G)}$:



If P is graded,

$$X_P(q) = \sum_{x \in P} \mu(x) q^{r(P)-r(x)}$$

is its characteristic polynomial

$$\begin{aligned} X(q) &= q^3 - 4q^2 + 5q - 2 \\ &= (q-1)^2(q-2). \end{aligned}$$

(62)

Theorem (Birkhoff 1912)

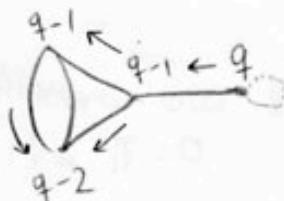
loopless

Let G be a graph with c connected components and let L be the lattice of flats of $M(G)$.

Then for $q \in \mathbb{Z}^+$,

$$q^c X_L(q) = \# \text{ of } \underbrace{\text{proper colorings of } G}_{\substack{\text{Colorings of the vertices with } q \text{ colors} \\ \text{such that no two neighbors have the same color.}}}$$

"chromatic polynomial of G "



$$q^c X_L(q) = q(q-1)^2(q-2)$$

Theorem (Appel, Haken, 1976)

If M is a planar graphical matroid, then $X_{L_M}(4) \neq 0$.

A good project: Investigate the roots of characteristic polynomials.

Example

$$M = U_{k,n} \quad (n > k)$$

$$\text{Note: } r(A) = \begin{cases} |A| & \text{for } |A| \leq k \\ k & \text{for } |A| > k \end{cases}$$

So the flats are:

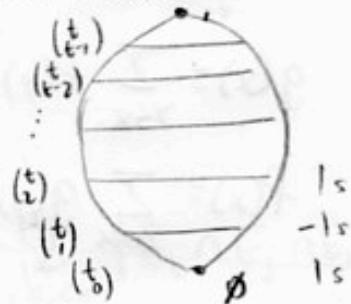
- all sets of size $< k$
- $[n]$.

$L(M)$
for $M = U_{k,n}$

lecture 27
3/26/07

Claim: $\mu(A) = (-1)^{|A|}$

Pf. Induct.



$\mu(A) = \alpha$ such that

$$\left(\begin{array}{c} t \\ 0 \end{array}\right) - \left(\begin{array}{c} t \\ 1 \end{array}\right) + \left(\begin{array}{c} t \\ 2 \end{array}\right) - \dots + (-1)^{t-1} \left(\begin{array}{c} t \\ t-1 \end{array}\right) + a = 0$$

$$(-1)^t - (-1)^t \left(\frac{t}{k}\right) + q = 0$$

$$a = (-1)^t$$

$$\chi_L(q) = \sum_{t=0}^{k-1} \binom{n}{t} (-1)^t q^{n-k-t}$$

$\underbrace{- \sum_{t=0}^{k-1} \binom{n}{t} (-1)^t}_{\mu(\hat{1})}$

Def. The two-variable Möbius function of P is

$$\mu: \{(x,y) \mid x \leq y \text{ in } P\} \rightarrow \mathbb{Z}$$

defined by

$$\mu(x,y) = \begin{cases} 1 & \text{if } y=x \\ -\sum_{x \leq z < y} \mu(x,z) & \text{otherwise.} \end{cases}$$

In other words,

$$\boxed{\mu(x,y) = \mu_{P_{\geq x}}(y)}$$

where $P_{\geq x}$ is the part of elts $\geq x$ in P

Möbius Inversion Formula.

P poset (or any other ring)
 $f, g: P \rightarrow \mathbb{Z}$

$$\text{If } g(x) = \sum_{y \leq x} f(y)$$

$$\text{then } f(x) = \sum_{y \leq x} g(y) \mu(y, x).$$

$$\text{If } g(x) = \sum_{y \geq x} f(y)$$

$$\text{then } f(x) = \sum_{y \geq x} g(y) \mu(x, y)$$

Ex. D_n = poset of divisors of n

$$g(d) = \# \text{ of } 1 \leq k \leq n \text{ such that } d|k = n/d$$

$$f(d) = \# \text{ of } 1 \leq k \leq n \text{ such that } (n, k) = d$$

$$\Rightarrow g(d) = \sum_{d|m|n} f(m)$$

$$\Rightarrow f(d) = \sum_{d|m|n} \mu(d, m) g(m) = \sum_{d|m|n} \mu(m/d) \frac{n}{m}$$

↑
Möbius fn. of number theory
(HW S #3)

$$f(1) = \# \text{ of } 1 \leq k \leq n \text{ with } (n, k) = 1$$

$$= \varphi(n) = n \left(1 - \frac{1}{p_1}\right) \cdots \left(1 - \frac{1}{p_k}\right) \quad (p_i \text{ s = primes dividing } n)$$

Ex. 1, 2, ..., n properties that elts of a set E might have

$\Rightarrow (\# \text{ of elts in } E \text{ satisfying none of the properties})$

$$= \# \left\{ E - \bigcup_{i=1}^n E_i \right\}$$

$$= |E| - \sum_i |E_i| + \sum_{i < j} |E_i \cap E_j| - \sum_{i < j < k} |E_i \cap E_j \cap E_k| + \dots \\ + (-1)^n |E_1 \cap \dots \cap E_n|$$

This is Möbius inversion applied to $P = 2^{[n]}$.

Proof of Möbius Inversion

Lemma: $\sum_{\substack{y \text{ s.t.} \\ z \leq y \leq x}} \mu(y, x) = \begin{cases} 1 & x=z \\ 0 & x > z \end{cases}$

lecture 28
3/28/07

Pf. "Partial induction"

o True for $x=z$

o Sup true for all $a < x$ ($z \leq a$) $\Rightarrow \sum_{y: z \leq y \leq x} \mu(y, x) = \sum_{y: z \leq y < x} \left(-\sum_{a: y \leq a < x} \mu(y, a) \right) + \mu(x, x)$

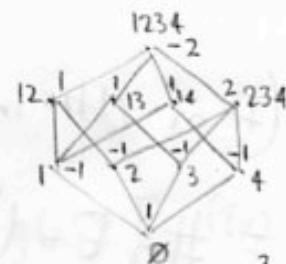
$$= \sum_{a: z \leq a < x} \underbrace{\left(\sum_{y: z \leq y \leq a} -\mu(y, a) \right)}_{\substack{-1 \text{ for } a=z \\ 0 \text{ otherwise}}} + 1 = -1 + 1 = 0 \quad \blacksquare$$

Ok, now: $\sum_{y \leq x} g(y) \mu(y, x) = \sum_{y \leq x} \left(\sum_{z \leq y} f(z) \right) \mu(y, x) = \sum_{z \leq y \leq x} f(z) \mu(y, x)$

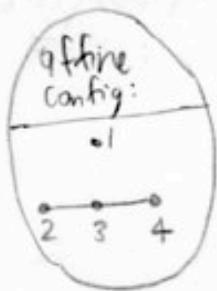
$$= \sum_{z \leq x} f(z) \underbrace{\left(\sum_{z \leq y \leq x} \mu(y, x) \right)}_{\substack{0 \text{ if } z < x \\ 1 \text{ if } z=x}} = f(x) \quad \blacksquare$$

Recall the characteristic polynomial of M is

$$\chi_M(q) = \sum_{F \in L_M} \mu(F) q^{r-r(F)}$$



$$q^3 - 4q^2 + 5q - 2$$



Recall:

A-hyperplane arrangement

→ M_A -matroid

$\{H_1, \dots, H_k\}$ "indep" \Leftrightarrow normal vectors $\{v_1, \dots, v_k\}$ lin. indep.
 $\Leftrightarrow \text{codim}(H_1 \cap \dots \cap H_k) = k$

→ L_A = poset of intersections of A

= lattice of flats of M_A .

Theorem (Zaslavsky, 1975)

A-arrangement in \mathbb{R}^n

L_A -intersection poset

$$r(A) = \# \text{ of regions in } \mathbb{R}^n - A \quad \rightarrow \quad r(A) = |\chi_A(-1)|$$

$$b(A) = \# \text{ of bounded regions} \quad b(A) = |\chi_A(1)|$$

Ex. For the arrangement shown, $r(A) = |-1-4-5-2| = 12$

$$b(A) = |1-4+5-2| = 0$$

Ex For n hyperplanes in \mathbb{R}^d in general position,

$$L_{A_{n,d}} =$$

$$\chi_{A_{n,d}}(q) = \binom{n}{0} q^d - \binom{n}{1} q^{d-1} + \binom{n}{2} q^{d-2} - \dots + (-1)^d \binom{n}{d} q^0$$

$$\rightarrow r(A_{n,d}) = \binom{n}{d} + \binom{n}{d-1} + \dots + \binom{n}{1} + \binom{n}{0}$$

$$b(A_{n,d}) = \binom{n}{d} - \binom{n}{d-1} + \dots + \binom{n}{1} - \binom{n}{0}$$

$$10 \text{ cuts on an apple: } \leq \binom{10}{3} + \binom{10}{2} + \binom{10}{1} + \binom{10}{0} = 176 \text{ pieces}$$

$$\leq \binom{10}{3} - \binom{10}{2} + \binom{10}{1} - \binom{10}{0} = 84 \text{ pieces without peel}$$

To prove this, we need to know more about μ and X for geometric lattices.

Prop. M simple matroid, L_M lattice of flats

$$\mu(F) = \sum_{\substack{X \subseteq E \\ c|X=F}} (-1)^{|X|}$$

← non-recursive
description of
Möbius function

Proof:

Need to check:

$$\cdot \mu(\emptyset) = 1 \quad \sum_{\substack{X \subseteq E \\ c|X=\emptyset}} (-1)^{|X|} = (-1)^0 = 1$$

$$\cdot \sum_{G \leq F} \mu(G) = 0$$

For $F \neq 0$ flat

$$\sum_{G \leq F} \left(\sum_{\substack{X \subseteq E \\ c|X=G}} (-1)^{|X|} \right) = \sum_{\substack{X \subseteq E \\ c|X \subseteq F}} (-1)^{|X|}$$

$$= \sum_{X \subseteq F} (-1)^{|X|} = (1 + (-1))^{|F|} = 0 \quad \blacksquare$$

F flat:
 $c|X \subseteq F \iff X \subseteq F$

Whitney's Theorem.

$$X_M(q) = \sum_{X \subseteq E} (-1)^{|X|} q^{r(M)-r(X)}$$

Proof.

$$X_M(q) = \sum_{F \text{ flat}} \mu(F) q^{r-r(F)} = \sum_{F \text{ flat}} \sum_{\substack{X \subseteq E \\ c|X=F}} (-1)^{|X|} q^{r-r(X)}$$

$$= \sum_{X \subseteq E} (-1)^{|X|} q^{r-r(c|X)} = \sum_{X \subseteq E} (-1)^{|X|} q^{r-r(X)} \quad \blacksquare$$

Prop. (Deletion-contraction)

$$\text{Prop. (Deletion-contraction)} \quad M \text{ matroid, } e \text{ element} \rightarrow X_M(q) = \begin{cases} X_{M \setminus e}(q) - X_{M/e}(q) & e \neq \text{loop, coloop} \\ (q-1) X_{M/e}(q) & e = \text{coloop } M/e \leq M/e \\ 0 & e = \text{loop} \end{cases}$$

$$X_H(q) = \underbrace{\sum_{X \subseteq E-e} (-1)^{|X|} q^{r(H)-r_H(X)}}_{\textcircled{1}} + \underbrace{\sum_{X \subseteq E-e} (-1)^{|X \cup e|} q^{r(H)-r_H(X \cup e)}}_{\textcircled{2}}$$

$$e \neq \text{coloop} \rightarrow r(M \setminus e) = r(M) \rightarrow \textcircled{1} = X_{M \setminus e}(g) \quad (g \in X_{M \setminus e}(g))$$

$r_{M \setminus e}(X) = r_M(X)$ if coloop

$$e \neq \text{loop} \rightarrow r(M/e) = r(M) - 1 \rightarrow \textcircled{2} = -X_{M/e}(g) \quad (-X_{M/e}(g)) \\ r_{M/e}(X) = r_M(X \cup e) - 1 \quad \text{if loop}$$

loop, coloop \rightarrow similar

Deletion, Contraction for arrangements

An arrangement in \mathbb{F}^n , $H \in A$

deletion: $A \setminus H = \{H' \in A : H' \neq H\}$

arrangement in \mathbb{F}^n

contraction: $A/H = \{H' \cap H : H' \in A, H' \neq H\}$

arrangement in $H \cong \mathbb{F}^{n+1}$

A:

All:

A/H:

Note. If A has matroid M ,

← (This is for A central. If the

$A \setminus H$ has matroid $M \setminus H$

hyperplanes don't go through the

A/μ has matroid M/μ

origin, you have to do something

a bit different. See Stanley's notes) (70)

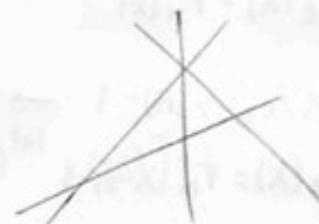
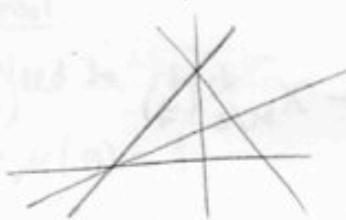
Prop

A arrangement, $H \in A$.

$$r(A) = r(A \setminus H) + r(A/H)$$

$$b(A) = \begin{cases} b(A \setminus H) + b(A/H) & H \neq \text{coloop} \\ 0 & H = \text{coloop} \end{cases}$$

Proof By picture:



$$\#(\text{total regions}) = \#(\text{regions I had without } H) + \#(\text{regions that } H \text{ cut into two})$$

$$r(A) = r(A \setminus H) + r(A/H)$$

($b(A)$ is similar.)

Zaslavsky's Theorem

A arrangement in \mathbb{R}^n , M = matroid of A

$$r(A) = (-1)^{r(M)} \chi_M(-1)$$

$$b(A) = (-1)^{n-r(M)} \chi_M(1)$$

Proof. Induct on $|A|$. $|A|=0$ clear. Sup. true for $|B| < |A|$. Sup. $H \neq \text{coloop}$.

$$\begin{aligned} r(A) &= r(A \setminus H) + r(A/H) = (-1)^{r(M \setminus H)} \chi_{M \setminus H}(-1) + (-1)^{r(M/H)} \chi_{M/H}(-1) \\ &= (-1)^{r(M)} \chi_{M \setminus H}(-1) + (-1)^{r(M)-1} \chi_{M/H}(-1) = (-1)^{r(M)} \chi_M(-1). \end{aligned}$$

⑪

(Similar for $H = \text{coloop}$, or for $b(A)$.)

A topological proof of Zariski's theorem (sketch)

Δ topological space \rightarrow "Euler characteristic" $\chi(\Delta) = \text{Euler}(\Delta)$

This number carries topological information. If Δ, Δ' are "the same" (homeomorphic, or even homotopic) then $\text{Euler}(\Delta) = \text{Euler}(\Delta')$.

Ex: $\text{Euler}(\Theta) = 2$
 $\text{Euler}(\Theta) = 1 \quad \rightarrow \text{different.}$

Def/Thm. If a "nice" space Δ is decomposed "nicely" into "nice" cells: f_d d-dim cells, f_{d-1} $(d-1)$ -dim, ..., f_0 0-dim,
 $\text{Euler}(\Delta) = f_0 - f_1 + f_2 - \dots$
(Independent of the decomposition)

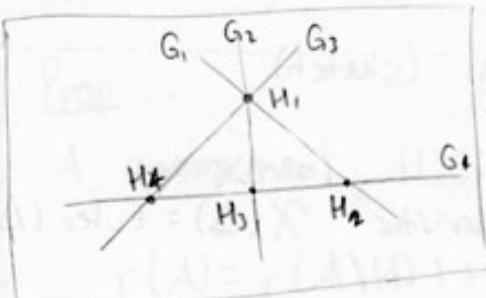
Ex



$$\text{Euler}(\Theta) = 6 - 12 + 8 = 2$$

Prop. $\text{Euler}(\mathbb{R}^n) = (-1)^n$

F



F flat of arrangement - already decomposed nicely.

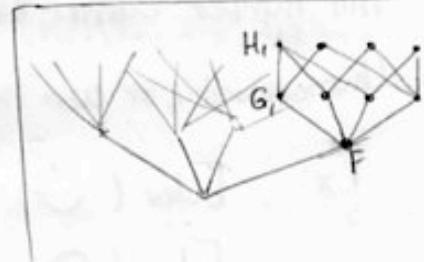
$$\text{Euler}(F) = f_0 - f_1 + f_2$$

$$= [r(H_1) + \dots + r(H_4)] - [r(G_1) + \dots + r(G_4)]$$

$$\text{tr}(F)$$

For each i -dim flat F

$$\text{Euler}(F) = \sum_{\substack{G \geq F \\ \text{in } L_A}} (-1)^{\dim G} r(G)$$



$$(-1)^{\dim F} r(F) = \sum_{\substack{G \geq F \\ \text{in } L_A}} \mu(F, G) \underbrace{\text{Euler}(G)}_{(-1)^{\dim G}}$$

Now put $F = \hat{0} = \mathbb{R}^n$



$$(-1)^n r(\mathbb{R}^n) = \sum_{G \in L_A} \mu(G) (-1)^{r-r(G)} = X(-1)$$

■

Similar for $b(A)$, except we need to prove:

$$\text{Euler}(F_{\text{bounded}}) = b_0 - b_1 + b_2 \stackrel{?}{=} 1$$



Union of the bounded faces.

Q Topology of A_{bounded} ?

- contractible (conj. by Zaslavsky 1975, Ziegler 1988)
- homeomorphic to a ball if A is simple (Dong, 2006)
- pure (Dong, 2006)

The finite field method

A very useful way to compute characteristic polynomials:

Theorem (Crapo-Rota 1970, Athanasiadis 1996)

, or $\mathbb{Q}^n, \mathbb{C}^n, \dots$

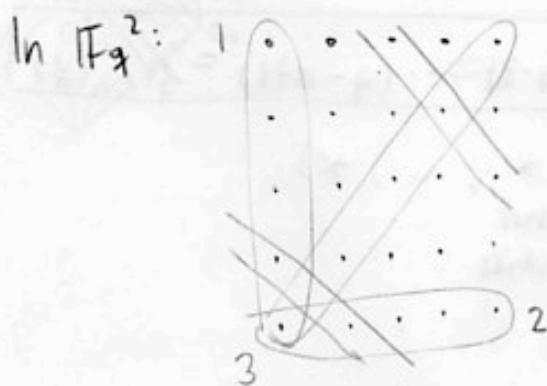
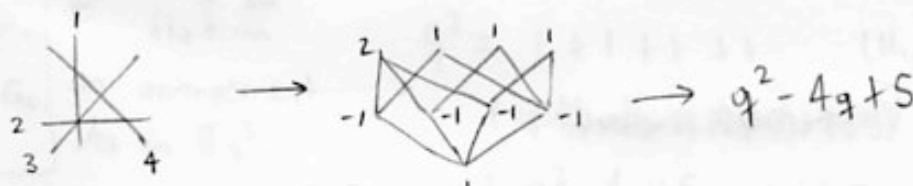
Let A be a hyperplane arrangement in \mathbb{R}^n whose equations have \mathbb{Z} (or \mathbb{Q}) coefficients. Let A_q be the arrangement with the same equations in \mathbb{F}_q^n (for some prime power q).

Then, for q large enough,

$$\chi_A(q) = \# \text{ of points in } \mathbb{F}_q^n \text{ on no hyperplane of } A_q$$

$$= |\mathbb{F}_q^n - \bigcup_{H \in A_q} H|$$

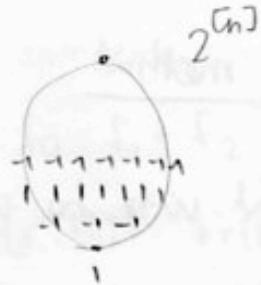
Ex: $x=0, y=0, x=y, x+y=1$



$$\rightarrow q^2 - \underbrace{q}_{\text{on 1}} - \underbrace{(q-1)}_{\text{not 1}} - \underbrace{(q-1)}_{\text{on 2}} - \underbrace{(q-3)}_{\text{on 3}} - \underbrace{(q-3)}_{\text{on 4}} - \underbrace{(q-3)}_{\text{not 1,2,3}}$$

$$= q^2 - 4q + 5$$

Ex $\exists \bar{x}: x_1=0, x_2=0, \dots, x_n=0 \rightarrow$



(Coordinate
hyperplanes)

$$X_{q^n}(z) = q^n - \binom{n}{1} q^{n-1} + \binom{n}{2} q^{n-2} - \dots$$

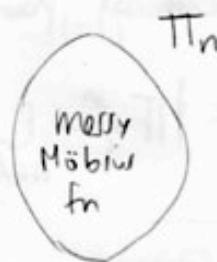
Finite field method:

$X_{\text{H}_n(g)} = \# \text{ of pts in } \mathbb{F}_{q^n} \text{ s.t. } \text{no } x_i = 0$

$$= (q-1)(q-1) \cdots (q-1) = \boxed{(q-1)^n} = X_{\#_n}(q)$$

Ex B_n : $X_i = X_j \quad 1 \leq i < j \leq n \rightarrow$

("braid arrangement")



$\chi_{B_n}(q) = \text{mess?}$

Finite field method:

$X_{B_n}(q) = \# \text{ of pts in } \mathbb{F}^{q^n} \text{ s.t. no } x_i = x_j$

$$= \boxed{q (q-1) (q-2) (q-3) \cdots (q-n+1)} = X_{B_n}(q)$$

$(\times, \times, \times, \times, \dots, \times)$

any	not	not	not
x_1	x_1, x_2	x_1, x_2, x_3	

Proof

① If q is large enough, $L_A \cong L_{Aq}$

Equivalently, A and A_q have the same (semi)matroid,

i.e.,

$$\dim(H_1 \cap \dots \cap H_c) \stackrel{?}{=} \dim((H_1)_q \cap \dots \cap (H_c)_q)$$

sols to a system
of linear eqns

$$Ax = b$$

sols to the same
system (mod q)

$$Ax = b$$

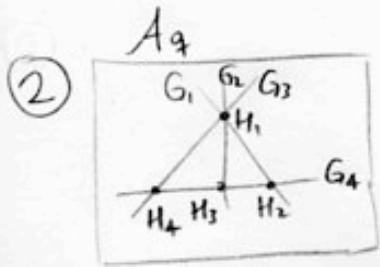
nonempty $\Leftrightarrow \text{rank } [A|b] = \text{rank } A$

$$\dim(\cap) = n - \text{rank } A$$

Key A and A_q have the same rank for q large enough, because

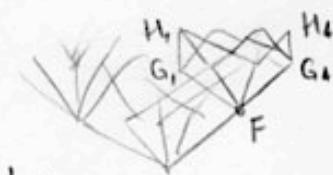
$\text{rank}(A) \geq t \Leftrightarrow \text{some } t \times t \text{ minor } \det B \neq 0$

So if $q > \text{these}(\det B)s$, ranks agree.



F flat in
an arrangement
 A_q in $PGL(3)$

$$\begin{aligned} q^2 &= 1 + 1 + 1 + 1 && (H_1, \dots, H_4) \\ &+ (q-2) + (q-2) + (q-2) + (q-3) && (G_1, \dots, G_4) \\ &+ q^2 - 4q + 5 && (F) \end{aligned}$$



$$\Rightarrow q^{\dim F} = \sum_{G \supseteq F} g(G)$$

where $g(G) = \text{points on } G, \text{ but not on}$
flats smaller than G

$$\Rightarrow g(F) = \sum_{G \supseteq F} \mu(F, G) q^{\dim G}$$

$$\Rightarrow g(F) = \sum_G \mu(G) q^{r-r(G)} = X_A(q) \blacksquare$$

The Tutte polynomial

Recall:

- $r(A) = r(A \setminus H) + r(A/H)$ $H \neq \text{loop, coloop}$
- $b(A) = b(A \setminus H) + b(A/H)$
- $X_M(z) = X_{M \setminus e}(z) - X_{M/e}(z)$ $e \neq L, I$
- $|B(M)| = |B(M \setminus e)| + |B(M/e)|$
- $|I(M)| = |I(M \setminus e)| + |I(M/e)|$
- ⋮ ⋮

Are these instances of a general phenomenon?

Def.

A Tutte-Grothendieck invariant is a function

$f: (\text{matroids}) \rightarrow (\text{comm. ring})$ such that

- $M \cong N \Rightarrow f(M) = f(N)$ "invariant"
- $f(M) = f(M \setminus e) + f(M/e)$ $e \neq \text{loop, coloop}$ "T-G"
- $f(M) = f(M \setminus e) f(e)$ $e = \text{loop, coloop}$

A generalized T-G invariant is one where

$$f(M) = af(M \setminus e) + bf(M/e) \quad a, b \in R$$

$e \neq L, I$

Notice, if you define $f(I), f(L)$, that determines f completely. But does it "overdefine" it?

No!

(Brylawski, 1972)

Theorem. There is a unique function $T: (\text{matroids}) \rightarrow \mathbb{Z}[x, y]$ such that

- $M \cong N \Rightarrow T_M(x, y) = T_N(x, y)$
- $T_{\text{coloop}}(x, y) = x, \quad T_{\text{loop}}(x, y) = y$
- $T_M(x, y) = T_{M \setminus e}(x, y) + T_{M/e}(x, y) \quad e \neq \text{loop, coloop}$
- $T_M(x, y) = \underbrace{T_e(x, y)}_{\begin{array}{l} x \text{ if coloop} \\ y \text{ if loop} \end{array}} T_{M \setminus e}(x, y) \quad e = \text{coloop, loop}$

Furthermore, any T-G invariant is an evaluation of it:

$$f(M) = T_M(f(\text{coloop}), f(\text{loop}))$$

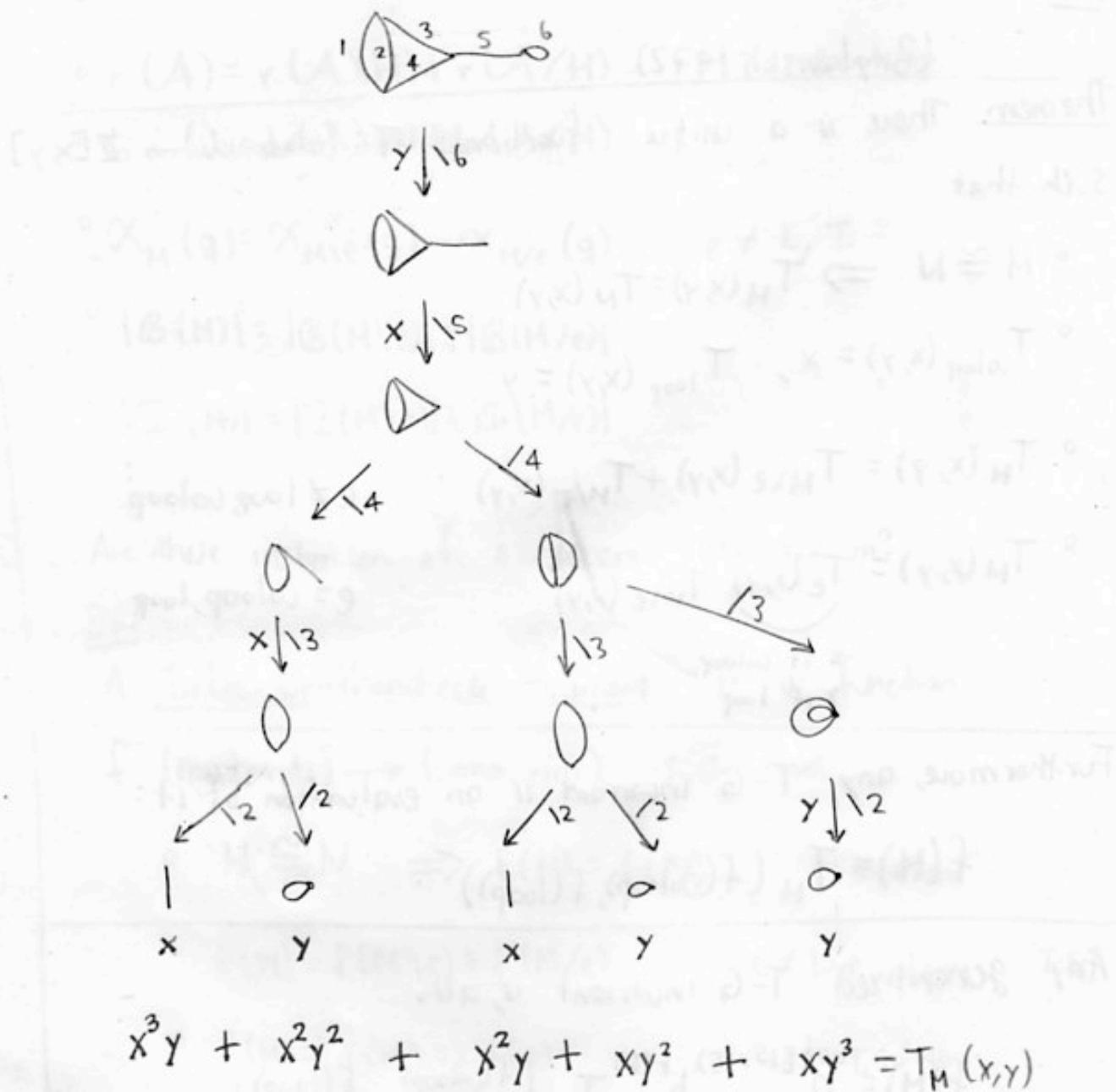
Any generalized T-G invariant is, also:

$$f(M) = a^{|E| - r(E)} b^{r(E)} T_M \left(\frac{f(\text{coloop})}{a}, \frac{f(\text{loop})}{b} \right)$$

So: The Tutte polynomial $T_M(x, y)$ is the mother of all (T-G) invariants. ("universal T-G invariant")

(Compare: M (comm. monoid) \rightarrow Grothendieck gp = universal way of $(M \rightarrow \text{abelian gp})$) (78)

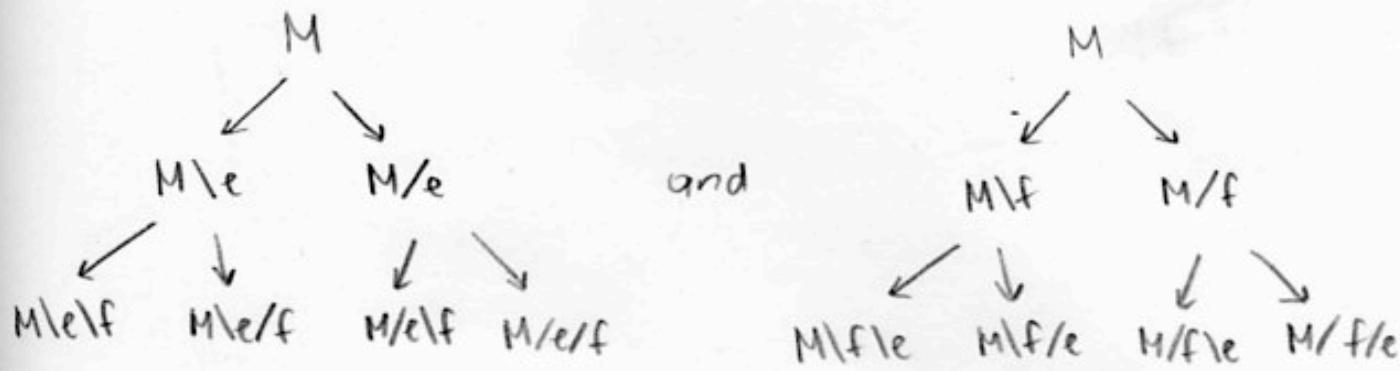
Before proving this, let's compute $T_M(x, y)$
for $M = M(\text{○} \rightarrow \text{o})$



Why does this not depend on the order of the elements deleted and contracted?

Proof. Clearly $T_M(x, y)$ is determined by $T_{\text{loop}}(x, y), T_{\text{color}}(x, y)$. Why is it not overdetermined?

Key: Deletion and Contraction commute, so:



give the same result \blacksquare

Theorem.

$$T_M(x, y) = \sum_{A \subseteq E} (x-1)^{r(E)-r(A)} (y-1)^{|A|-r(A)}$$

Proof: Need to check:

- This is an invariant \checkmark
- This satisfies the T-G recursion. (Exercise: See how we proved this for X_M , and imitate that proof.) \blacksquare

Exercise.

- Compute $T_{U_{2,4}}$ recursively (Δ) and directly from the formula.
- Compute $T_{U_{m,n}}$