## **Hao Shi**

Masspebble LLC 2617 Utopia Pkwy Queens, NY, 11358 Cell Phone: (757) 603-5543 Email: <a href="masspebble@gmail.com">masspebble@gmail.com</a> Website: <a href="http://hshi.github.io/">http://hshi.github.io/</a>

### Education

Ph.D. Physics, College of William and Mary, Williamsburg, VA, USA, 2011-2017.

M.S. study, Computational physic, Renmin University, Beijing, China, 2008-2011.

B.S. Physics, Nanjing University, Nanjing, China, 2004-2008.

# **Work History**

Quantitative Trader and Investor, Founder of Masspebble LLC, New York, NY, USA, 2022-Now

Tenure-Track Assistant Professor, Department of Physics and Astronomy, University of Delaware, Newark, DE, USE, 2021-2022

Flatiron Research Fellow, Center for Computational Quantum Physics, Flatiron Institute, Simons Foundation, New York, NY, USA, 2017-2021

# **Research Experience**

Center for Computational Quantum Physics

Flatiron Institute

2017-2021

Research focuses on studying strongly correlated systems by Auxiliary Field Quantum Monte Carlo (AFQMC) and other numerical methods.

- Made AFQMC simulations for Ca2RuO4 materials and determined magnetic and metal-insulator transition.
- Simulated transition metal atom and oxide molecules and got accurate results compared with experiments.
- Studied the multi-band Kanamori model to capture Hund's physics.
- Studied the three-band Hubbard model and determined the accurate phase transition point at Half-filling.
- Worked on repulsive interacting fermion problems with spin-orbit coupling.
- Developed the self-consistent algorithm in AFQMC for realistic materials.
- Applied trial wave functions with enormous number of determinants in AFQMC.

- Developed AFQMCLAB software for general applications of lattice model, quantum chemistry and solids problems.
- Developed finite temperature constraint path Monte Carlo method and reduced the cubic scaling to linear scaling.

College of William and Mary W&M Computational Materials Physics Group 2011-2017

- Developed a variety of new AFQMC methods.
- Used these developments to study the two dimensional Hubbard model; work has served as benchmark in the Simons Foundation Many Electron Collaboration.
- Studied the two-dimensional strongly interacting Fermi atomic gas, provided valuable benchmarks for future studies, and allowed precise comparisons with experiments.
- Made first exact numerical study to determine the ground state properties of the 2D
   Fermi gas with Rashba spin-orbit coupling.
- More researches include:
  - studied three band Hubbard model.
  - calculated dynamic information in AFQMC.
  - combined Hartree-Fock-Bogoliubov theory with AFQMC.

Renmin University Strongly Correlated Physics Computational Group 2008-2011

- Worked on Exact Diagonalization for the topological phase transition in interacting Haldane model.
- Research experience in Dynamic Mean Field Theory, Continuous Time Quantum Monte Carlo and Density Matrix Renormalization Group.

### Service

- Organize the workshop on "Algorithm & Software Development in Auxiliary-field Quantum Monte Carlo Method" New York, February 2018.
- Referee at Physical Review Letter, Physical Review B, and Journal of Chemical Theory and Computation.

#### Honors

- Arts & Science Distinguished Dissertation Award in Natural and Computational Sciences at the College of William & Mary, May 2017.
- Roy L. Champion Research award, awarded to graduate student in physics who has

- demonstrated outstanding research achievement, May 2016.
- The Materials Computation Center travel award of \$1900 for "4th Les Houches school in computational physics," Les Houches, France, June 2014.
- The Materials Computation Center travel award of \$950 for "Quantum Monte Carlo methods at work for novel phases of matter," Trieste, Italy, Jan 2012.

#### **Presentations**

- Invited talk: "Auxiliary Field Quantum Monte Carlo for Transition Metal Systems: from Molecules to Solids"
  - Lawrence Livermore National Laboratory, Livermore, CA, Aug 2019.
- Invited talk: "Auxiliary-field quantum Monte Carlo calculations of the two-dimensional Fermi gas"
  - Tsinghua University, Beijing, China, July 2019.
- Invited talk: "Auxiliary Field Quantum Monte Carlo in Simons Many-electron Collaboration: Hubbard Model, Hydrogen Chain, and Transition Metal Systems"
   The 5th Conference on Condensed Matter Physics, Liyang, China, June 2019.
- Invited talk: "Auxiliary Field Quantum Monte Carlo: basics and applications."
   Simons Many Electron Collaboration Summer School, New York, June 2019.
- Invited talk: "Quantum Monte Carlo Study of Strongly Interacting Fermi Gases in Two Dimensions: BCS-BEC Crossover, Spin-orbit Coupling, and Dynamical Response Functions" <u>APS March meeting</u>, Boston, March 2019.
- Invited talk: "Developments in auxiliary-field quantum Monte Carlo: infinite variance problem and improved trial wave functions"
  - Advances in Monte Carlo Techniques for Many-Body Quantum Systems, Seattle, WA, August 2018.
- Invited talk: "Auxiliary field quantum Monte Carlo library for strongly-correlated systems"
   Python quantum chemistry and material simulation software, Pasadena, CA, June 2018.
- Invited talk: "Ground-state properties of the two-dimensional strongly interacting Fermi atomic gas and the interplay between superfluidity and spin-orbit coupling"

<u>XVIII International Conference on Recent Progress in Many-Body Theories</u>, Niagara Falls, NY, August 2015.

- Invited talk: "Recent developments in auxiliary-field quantum Monte Carlo: magnetic orders and spin-orbit coupling"
  - <u>ES2015 Workshop: Developments in electronic structure theory and excited states beyond</u> <u>ground state DFT</u>, Seattle, WA, June 2015.
- Invited talk: "Auxiliary Field Quantum Monte Carlo Software"

Flatiron Institute Software Revenue, New York, NY, October 2018.

More invited and contributed talk can be found at: <a href="http://hshi.github.io/research">http://hshi.github.io/research</a>

#### **Publications**

1. Interfacing branching random walks with Metropolis sampling: constraint release in auxiliary-field quantum Monte Carlo

Zhi-Yu Xiao, *Hao Shi*, Shiwei Zhang, arXiv:2305.09575 (2023).

- 2. Solving 2D and 3D lattice models of correlated fermions -- combining matrix product states with mean field theory
  - Gunnar Bollmark, Thomas Köhler, Lorenzo Pizzino, Yiqi Yang, Johannes S. Hofmann, *Hao Shi*, Shiwei Zhang, Thierry Giamarchi, Adrian Kantian, *Phys. Rev. X* 13, 011039 (2023).
- 3. Stripes and spin-density waves in the doped two-dimensional Hubbard model: ground state phase diagram
  - Hao Xu, *Hao Shi*, Ettore Vitali, Mingpu Qin, Shiwei Zhang, *Phys. Rev. Research* 4.013239(2022).
- 4. Precision Many-Body Study of the Berezinskii-Kosterlitz-Thouless Transition and Temperature-Dependent Properties in the Two-Dimensional Fermi Gas
  - Yuan-Yao He, *Hao Shi*, Shiwei Zhang, *Phys. Rev. Lett.* 129, 076403(2022).
- 5. Ab initio Calculations in Atoms, Molecules, and Solids, Treating Spin-Orbit Coupling and Electron Interaction on Equal Footing
  - Brandon Eskridge, Henry Krakauer, *Hao Shi*, Shiwei Zhang, <u>J. Chem. Phys. 156, 014107</u> (2022).
- 6. A Pseudo-BCS Wavefunction from Density Matrix Decomposition: Application in Auxiliary-Field Quantum Monte Carlo
  - Zhiyu Xiao, *Hao Shi*, Shiwei Zhang, *Phys. Rev. Research* 3, 013065 (2021).
- 7. Some Recent Developments in Auxiliary-Field Quantum Monte Carlo for Real Materials

*Hao Shi*, Shiwei Zhang, <u>J. Chem. Phys. 154, 024107 (2021).</u>

8. Metal-insulator and magnetic phase diagram of Ca2RuO4 from auxiliary field quantum Monte Carlo and dynamical mean field theory

Hongxia Hao, Antoine Georges, Andrew Millis, Brenda M. Rubenstein, Qiang Han, and *Hao Shi*, Phys. Rev. B 101, 235110 (2020).

9. Ground-state properties of the hydrogen chain: insulator-to-metal transition, dimerization, and magnetic phases

Mario Motta, Claudio Genovese, Fengjie Ma, Zhi-Hao Cui, Randy Sawaya, Garnet Kin-Lic Chan, Natalia Chepiga, Phillip Helms, Carlos Jimenez-Hoyos, Andrew J. Millis, Ushnish Ray, Enrico Ronca, *Hao Shi*, Sandro Sorella, Edwin M. Stoudenmire, Steven R. White, Shiwei Zhang, *Phys. Rev. X* 10, 031058 (2020).

10. Absence of superconductivity in the pure two-dimensional Hubbard model

Mingpu Qin, Chia-Min Chung, *Hao Shi*, Ettore Vitali, Claudius Hubig, Ulrich Schollwöck, Steven R. White, Shiwei Zhang, *Phys. Rev. X* 10, 031016 (2020).

11. Direct comparison of many-body methods for realistic electronic Hamiltonians

Kiel T. Williams, Yuan Yao, Jia Li, Li Chen, *Hao Shi*, Mario Motta, Chunyao Niu, Ushnish Ray, Sheng Guo, Robert J. Anderson, Junhao Li, Lan Nguyen Tran, Chia-Nan Yeh, Bastien Mussard, Sandeep Sharma, Fabien Bruneval, Mark van Schilfgaarde, George H. Booth, Garnet Kin-Lic Chan, Shiwei Zhang, Emanuel Gull, Dominika Zgid, Andrew Millis, Cyrus J. Umrigar, Lucas K. Wagner, *Phys. Rev. X* 10, 011041 (2020).

12. Auxiliary field quantum Monte Carlo for multiband Hubbard models: controlling the sign and phase problems to capture Hund's physics

Hongxia Hao, Brenda M. Rubenstein, Hao Shi, Phys. Rev. B 99, 235142 (2019).

13. Reaching the continuum limit in finite-temperature ab initio field-theory computations in many-fermion systems

Yuan-Yao He, *Hao Shi*, Shiwei Zhang, Phys. Rev. Lett. 123, 136402 (2019).

14. Metal-insulator transition in the ground-state of the three-band Hubbard model at half-filling

Ettore Vitali, *Hao Shi*, Adam Chiciak, Shiwei Zhang, Phys. Rev. B 99, 165116 (2019).

15. Finite-temperature Auxiliary-Field Quantum Monte Carlo: Self-Consistent Constraint and Systematic Approach to Low Temperatures

Yuan-Yao He, Mingpu Qin, *Hao Shi*, Zhong-Yi Lu, Shiwei Zhang, Phys. Rev. B 99, 045108 (2019).

16. Accurate computations of Rashba spin-orbit coupling in interacting systems: from the Fermi gas to real materials

Peter Rosenberg, *Hao Shi*, Shiwei Zhang, Journal of Physics and Chemistry of Solids, Volume 128, Pages 161-168 (2019).

17. Magnetic orders in the hole doped three-band Hubbard model: spin spirals, nematicity, and ferromagnetic domain walls

Adam Chiciak, Ettore Vitali, *Hao Shi*, Shiwei Zhang, Phys. Rev. B 97, 235127 (2018).

18. Ultracold atoms in a square lattice with spin-orbit coupling: Charge order, superfluidity, and topological signatures

Peter Rosenberg, *Hao Shi*, Shiwei Zhang, Phys. Rev. Lett. 119, 265301 (2017).

19. Response functions for the two-dimensional ultracold Fermi gas: dynamical BCS theory and beyond

Ettore Vitali, *Hao Shi*, Mingpu Qin, Shiwei Zhang, Journal of Low Temperature Physics 189 (5-6), 312-327 (2017).

20. Numerical results on the short-range spin correlation functions in the ground state of the two-dimensional Hubbard model

Mingpu Qin, Hao Shi, Shiwei Zhang, Phys. Rev. B 96, 075156 (2017).

21. Visualizing the BEC-BCS crossover in the two-dimensional Fermi gas: pairing gaps and dynamical response functions from ab initio computations

Ettore Vitali, *Hao Shi*, Mingpu Qin, Shiwei Zhang, Phys. Rev. A 96, 061601 (2017).

22. Stripe order in the underdoped region of the two-dimensional Hubbard model

Bo-Xiao Zheng\*, Chia-Min Chung\*, Philippe Corboz\*, Georg Ehlers\*, Ming-Pu Qin\*, Reinhard M. Noack, *Hao Shi*\*, Steven R. White, Shiwei Zhang, Garnet Kin-Lic Chan, **equal contribution**, Science 358 (6367), 1155-1160 (2017).

- 23. Quantum Monte Carlo simulation with Hartree-Fock-Bogoliubov wave function *Hao Shi* and Shiwei Zhang, Phys. Rev. B 94, 235119 (2016).
- 24. Coupling quantum Monte Carlo and independent-particle calculations: self-consistent constraint for the sign problem based on density or density matrix

- Mingpu Qin, Hao Shi, and Shiwei Zhang, Phys. Rev. B. 94, 235119 (2016).
- 25. Cluster size convergence of density matrix embedding theory with an auxiliary field quantum Monte Carlo solver: cellular and dynamical cluster formulations
- Bo-Xiao Zheng, Joshua S. Kretchmer, *Hao Shi*, Shiwei Zhang, and Garnet Kin-Lic Chan, Phys. Rev. B 95, 045103 (2017).
  - 26. Computation of dynamical correlation functions for many fermions systems with auxiliary-field quantum Monte Carlo
- Ettore Vitali, *Hao Shi*, Mingpu Qin, and Shiwei Zhang, **editors' suggestion**, Phys. Rev. B 94, 085140 (2016).
  - 27. A benchmark study of the two-dimensional Hubbard model with auxiliary-field quantum Monte Carlo method
    - Mingpu Qin, *Hao Shi*, and Shiwei Zhang, Phys. Rev. B. 94, 085103 (2016).
  - 28. Rashba spin-orbit coupling, strong interactions, and the BCS-BEC crossover in the ground state of the two-dimensional Fermi Gas
  - *Hao Shi*, Peter Rosenberg, Simone Chiesa, and Shiwei Zhang, Phys. Rev. Lett. 117, 040401 (2016).
  - 29. Infinite Variance in Fermion Quantum Monte Carlo Calculations *Hao Shi* and Shiwei Zhang, Phys. Rev. E 93, 033303 (2016).
  - 30. Ground-state properties of strongly interacting Fermi gases in two dimensions *Hao Shi*, Simone Chiesa, and Shiwei Zhang, Phys. Rev. A 92, 033603 (2015).
  - 31. Solutions of the Two Dimensional Hubbard Model: Benchmarks and Results from a Wide Range of Numerical Algorithms
- J. P. F. LeBlanc, Andrey E. Antipov, Federico Becca, Ireneusz W. Bulik, Garnet Kin-Lic Chan, Chia-Min Chung, Youjin Deng, Michel Ferrero, Thomas M. Henderson, Carlos A. Jiménez-Hoyos, E. Kozik, Xuan-Wen Liu, Andrew J. Millis, N. V. Prokof'ev, Mingpu Qin, Gustavo E. Scuseria, *Hao Shi*, B. V. Svistunov, Luca F. Tocchio, I. S. Tupitsyn, Steven R. White, Shiwei Zhang, Bo-Xiao Zheng, Zhenyue Zhu, and Emanuel Gull, Phys. Rev. X 5, 041041 (2015).
  - 32. CPMC-Lab: A Matlab package for Constrained Path Monte Carlo calculations
- Huy Nguyen, *Hao Shi*, Jie Xu and Shiwei Zhang, Computer Physics Communications 185, 12 (2014).
  - Details about the CPMC-Lab package at http://cpmc-lab.wm.edu/
  - 33. Symmetry-projected wave functions in quantum Monte Carlo calculations

*Hao Shi*, Carlos A. Jiménez-Hoyos, R. Rodríguez-Guzmán, Gustavo E. Scuseria, and Shiwei Zhang, Phys. Rev. B 89, 125129 (2014).

- 34. Symmetry in Auxiliary-Field Quantum Monte Carlo Calculations *Hao Shi* and Shiwei Zhang, Phys. Rev. B 88, 125132 (2013).
- 35. Charge-density-wave and topological transitions in interacting Haldane model

  Lei Wang, *Hao Shi*, Shiwei Zhang, Xiaoqun Wang, Xi Dai, and X. C. Xie, arXiv:1012.5163
  (2010).