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Series 65 Practice Exams (Paperback)

By Philip Martin McCaulay

Createspace, United States, 2014. Paperback. Book Condition: New. 279 x 216 mm. Language: English . Brand New Book ***** Print on Demand *****.This book will help investment professionals pass the Series 65 Uniform Investment Adviser Law Examination. It contains sixteen 130-question practice exams with a total of 2,080 questions. The sample questions are the type that are most likely to appear on the Series 65 test and are in the same format as those on the exam. The questions are straightforward multiple choice questions with four choices and one best answer. Each 130-question practice test is followed by the answer key. After the answer key, each practice exam is repeated with the answers shown and the formulas for the math questions. There are questions for each topic covered on the Series 65 exam, including Economic Factors and Business Information; Investment Vehicle Characteristics; Client Investment Recommendations and Strategies; and Laws, Regulations, and Guidelines, including Prohibition on Unethical Business Practices.



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