

# CSCI 3104: Algorithms

## Lecture 8: Median of Medians, Quicksort Continued

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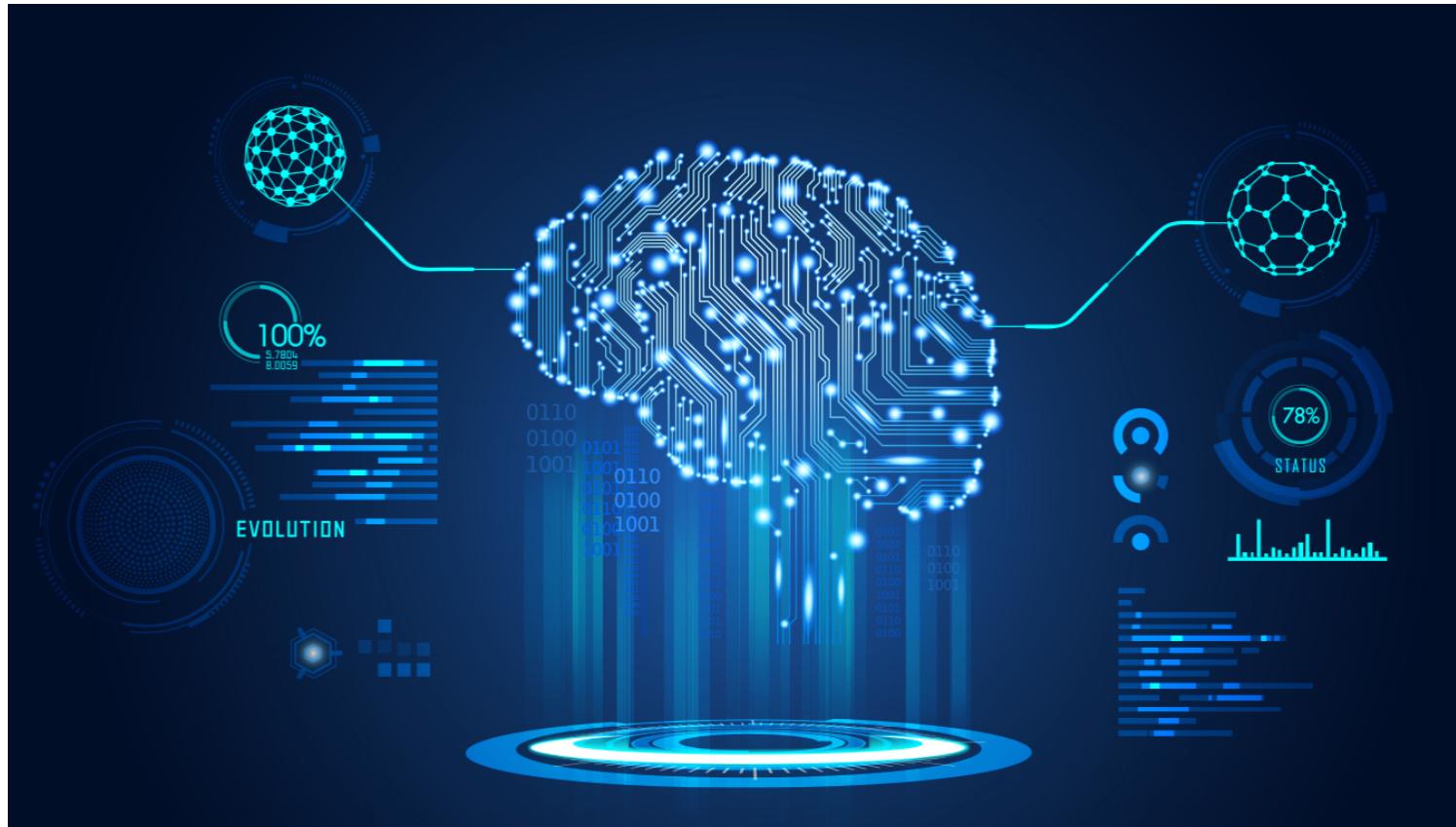
Department of Computer  
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# What will we learn today?

- ❑ Median of Medians
- ❑ Wrap-up of Quicksort

Intro to Algorithms, CLRS:  
Sections 7.1, 7.2, 7.3, 7.4



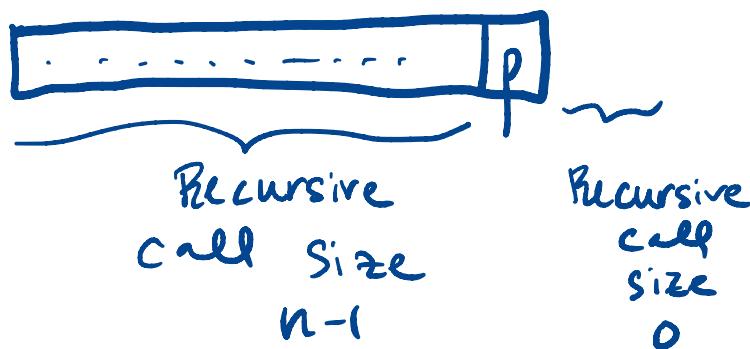
# Quicksort

## Worst-Case Partitioning

- Occurs when the partitioning routine produces one subproblem with  $n - 1$  elements and one with 0 elements.

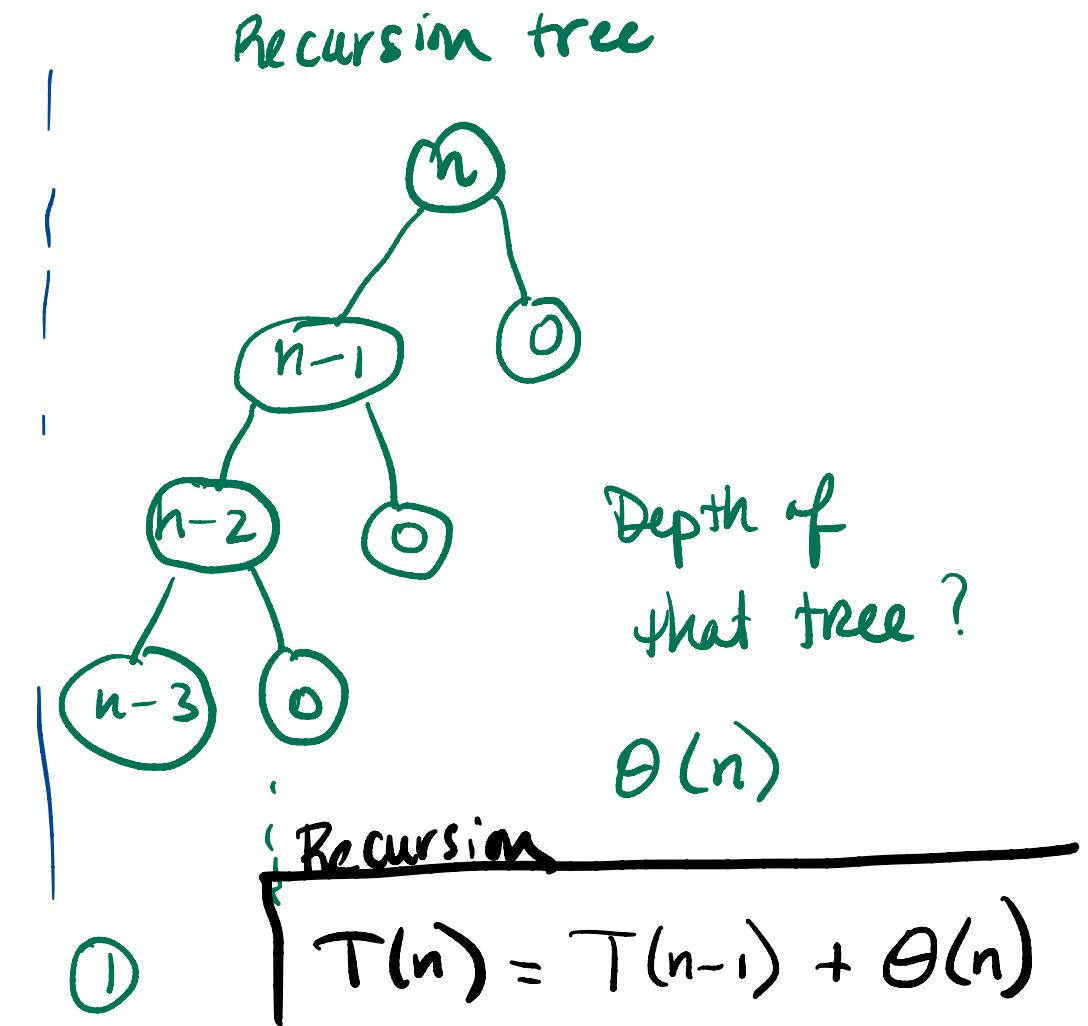
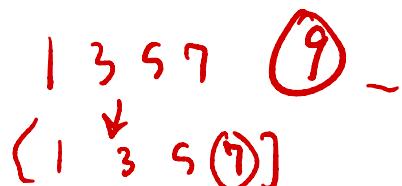
The recursion tree for this scenario

looks like:



worst case - pivot is chosen to be the largest element in the array

$$\text{e.g. } A = [1, 3, 5, 7, 9]$$



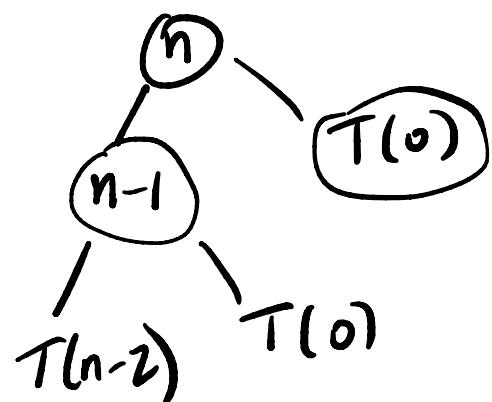
# Quicksort

## Worst-Case Partitioning

Example: Find the solution to the recurrence  $T(n) = T(n - 1) + \Theta(n)$

$$T(0) = \mathcal{O}(1) \quad \text{work done on an empty list}$$

Recursion Tree



work done at top level  $Cn$   
work done here  
 $c(n-1) + K$   
 $c(n-2) + K$

$\uparrow$   
 $n$  levels  
 $\downarrow$

hand-wavy answer  
 $\Theta(n^2)$

# Quicksort

## Worst-Case Partitioning

“unrolling”

Example: Find the solution to the recurrence  $T(n) = T(n - 1) + \Theta(n)$

$$T(n) = T(n-1) + \Theta(n)$$

$$= T(n-1) + cn$$

$$= T(n-2) + c(n-1) + cn$$

$$= T(n-3) + c(n-2) + c(n-1) + cn$$

$$= T(n-4) + c(n-3) + c(n-2) + c(n-1) + cn$$

:

$$= T(n-n) + c(n-(n-1)) + c(n-(n-2)) + \dots + c(n-3) + c(n-2) + c(n-1) + cn$$

$$= T(0) + c \cdot 1 + c \cdot 2 + c \cdot 3 + \dots + c \cdot (n-3) + c(n-2) + c(n-1) + cn$$

$$= T(0) + c \sum_{i=1}^n i$$

$$= K + c \sum_{i=1}^n i$$

Base Case:  $T(0) = K$

# Quicksort

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## Worst-Case Partitioning

Example: Find the solution to the recurrence  $T(n) = T(n - 1) + \Theta(n)$

$$\begin{aligned}T(n) &= K + C \sum_{i=1}^n i \\&= K + C \left( \frac{n(n+1)}{2} \right) \\&= K + \frac{Cn^2}{2} + \frac{Cn}{2}\end{aligned}$$

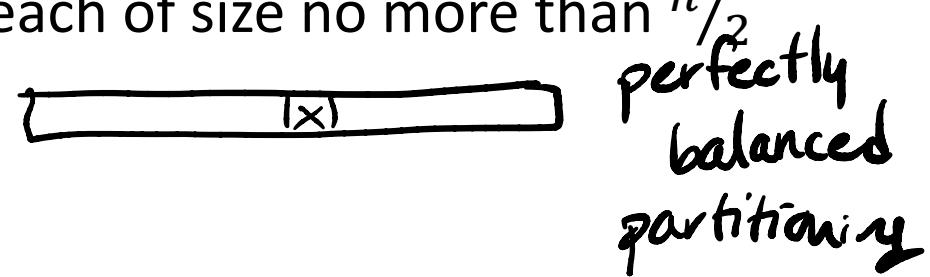
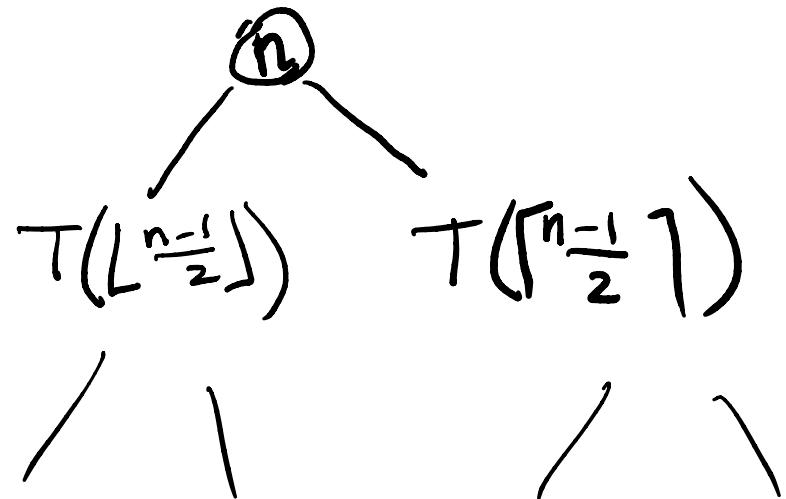
$\Theta(n^2)$

# Quicksort

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## Best-Case Partitioning

- Most even possible split; two subproblems, each of size no more than  $\frac{n}{2}$
- The recursion tree for this scenario looks like:



recurrence relation:

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$$

# Quicksort

## Best-Case Partitioning

Example: Find the solution to the recurrence  $T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$

Master Method.

$$f(n) \downarrow$$
$$\begin{matrix} f \\ \nearrow \\ a & b \end{matrix}$$

$$f(n) = \Theta(n)$$

$$a = 2$$

$$b = 2$$

$$n^{\log_b a} = n^{\log_2 2} = n^1$$

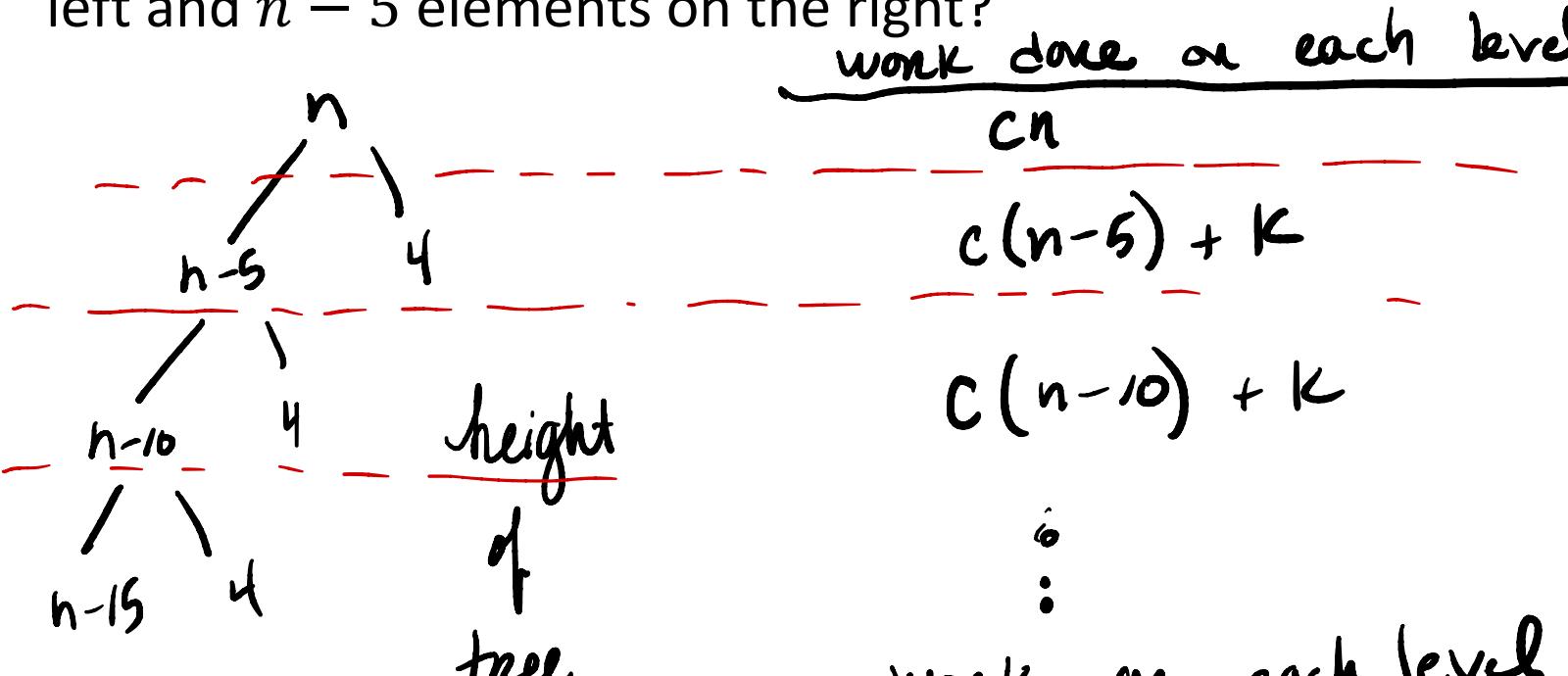
$$\Rightarrow f(n) = \Theta(n^{\log_2 2}) = \Theta(n)$$

CASE 2 of the  
Master Theorem

$$\Rightarrow \boxed{T(n) = \Theta(n \lg(n))}$$

# Quicksort

Question: What happens when you always have a partition with 4 elements on the left and  $n - 5$  elements on the right?

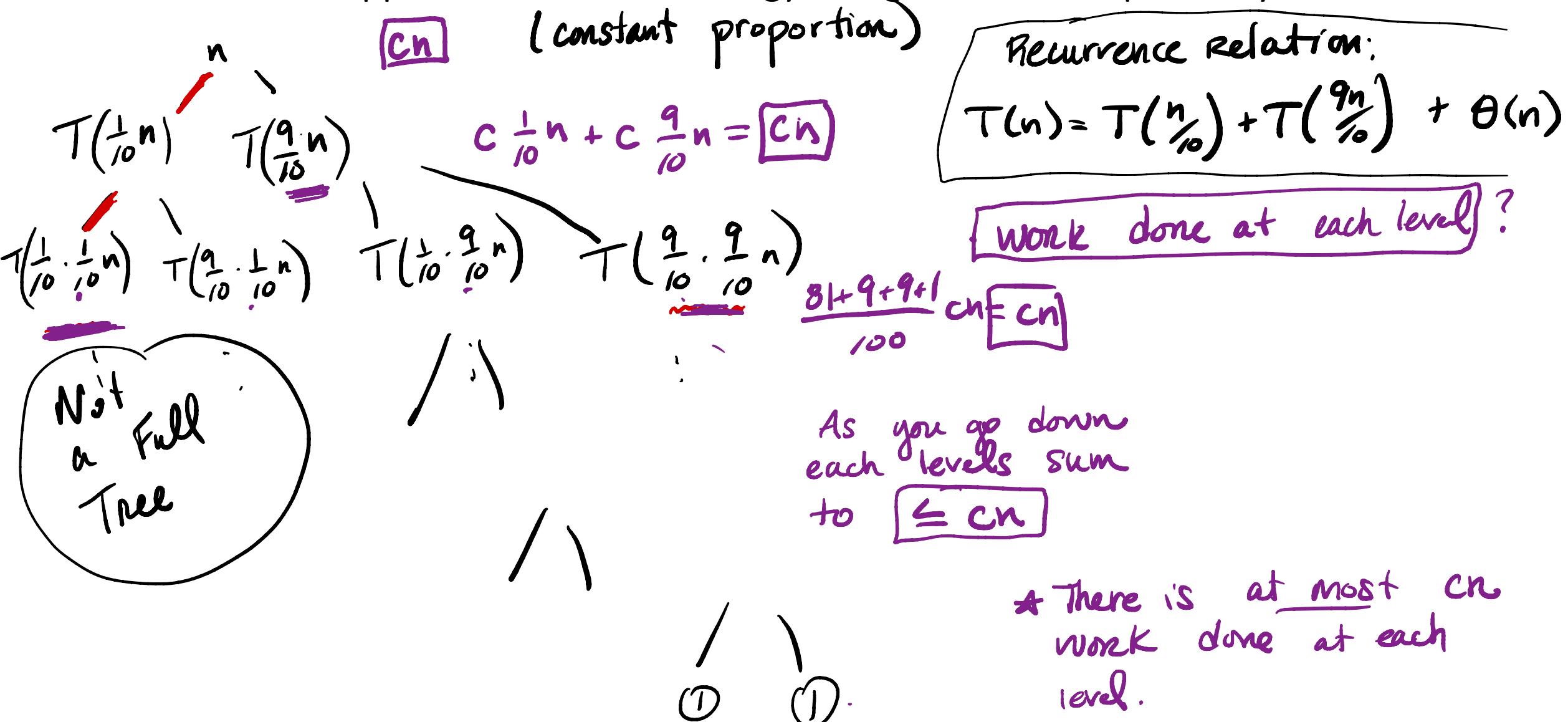


num of levels  
 $\Theta(n)$

$$\Rightarrow T(n) = \Theta(n^2)$$

# Quicksort

Question: What happens if we have a strategy that gives us a 1:9 split every time?



# Quicksort

Question: What happens if we have a strategy that gives us a 1:9 split every time?

How many levels?

longest path is when  $\left\lceil \frac{n}{(\frac{10}{9})^k} \right\rceil \leq 1$

K - number of levels.

$$n \leq \left(\frac{10}{9}\right)^k$$

$$\log_{10/9} n \leq k$$

$$\log_{10/9} n + \underline{\varepsilon} = k \quad \text{where } 0 \leq \varepsilon < 1$$

number of levels  $\approx \log_{10/9} n$

$\Rightarrow$  Total work done  $\leq cn \log_{10/9} n \Rightarrow \boxed{O(n \lg n)}$

# Quicksort

"Good splits" - splits of the type  $\left(\frac{n-1}{b}, (n-1)\left(1-\frac{1}{b}\right)\right)$

• Any split of constant proportionality yields a recursion tree with depth  $\Theta(\log n)$

"Bad splits" - splits of the type  $(n-k, k-1)$  for some constant  $k$ .

"Deterministic" Quicksort

→ choosing last item of subarray as pivot

→ performance - highly dependent on the kind of split produced,

Fair assumption: A is randomly shuffled, then the good and bad splits happen randomly

Hope! A random pivot is "pretty good" "often enough"

# Quicksort

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**Question:** What if we have a mixture of good and bad splits?

- It turns out that getting a good split a constant fraction of the time is enough to obtain  $\Theta(n \lg(n))$  running time.

# Quicksort Modifications

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Finding a good pivot is key to getting quicksort to work efficiently.

**“Good splits”** - splits of the type  $\left(\frac{n-1}{b}, (n-1)\left(1 - \frac{1}{b}\right)\right)$

**“Bad splits”** - splits of the type  $(n-k, k-1)$  for some constant  $k$ .

# Quicksort Modifications

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How to avoid a “bad split”?

Possible Variants:

- Always pick the first element as pivot
- Always pick the last element as pivot
- Randomized Quicksort - Pick a random element as pivot
- Hoare's Partition Scheme
- Pick the median as pivot
  - Median of 3
  - Median of Medians
- Hybrid of Quicksort and Insertion Sort
- Others!

} we discuss this here!

HW

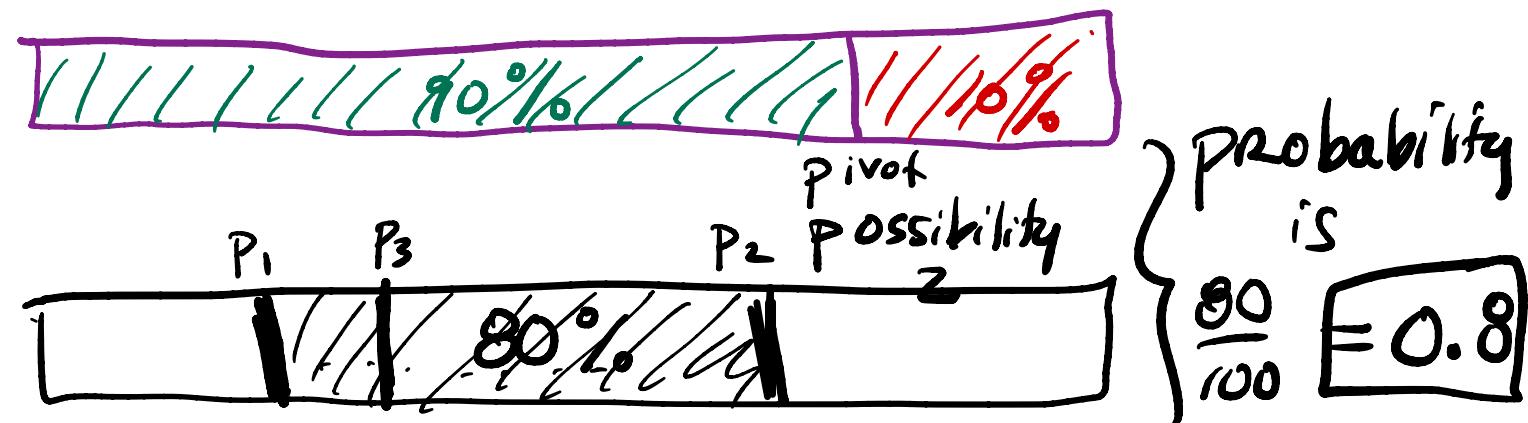
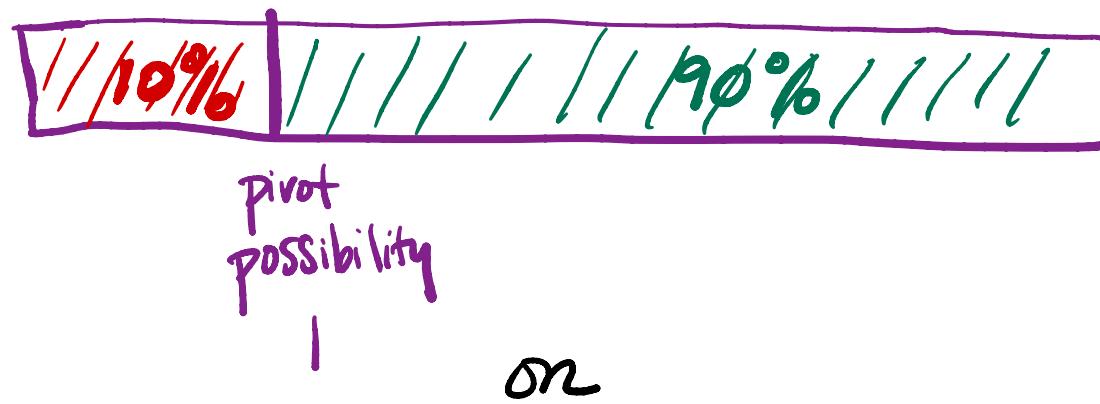
# Random Pivots

**Hope:** A random pivot is “pretty good” “often enough”.

Suppose we are happy with 10-90 splits. What is the probability that a random pivot achieves that or better?

Imagine a sorted  
list A

If a pivot is  
picked from this range,  
it produces a 10-90 split  
or better.



# Randomized Quicksort

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Two ways to implement **Randomized Quicksort**:

1. RANDOMIZED-PARTITION(A, p, r)

$i = \text{RANDOM}(p, r)$  .

exchange  $A[r]$  with  $A[i]$  .

return PARTITION(A, p, r).

2. PARTITION(A, p, r)

exchange  $A[r]$  with  $A[\text{random}(p, r)]$

$x = A[r]$

$i = p - 1$

**for**  $j = p$  **to**  $r - 1$

**if**  $A[j] \leq x$

$i = i + 1$

        exchange  $A[i]$  with  $A[j]$

    exchange  $A[i + 1]$  with  $A[r]$

return  $i + 1$

Quicksort - call's

Randomized-partition

# Medians and Quicksort

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Finding a good pivot is key to getting quicksort to work efficiently.

- ❖ Having the pivot be the median of your elements is best.

**Median of 3:** Randomly select 3 items from array. Select the median. Use that element as the pivot in the original array.

**Median of Medians:** Approximation of the median of array found in  $\Theta(n)$  time. Use the approximated median as the pivot.

## Median of 3

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**Median of 3:** Randomly select 3 items from array. Select the median. Use that element as the pivot in the original array.

- one way to choose is to look at the first, middle, and last elements of the array,
- choose the median of those 3 as our pivot.

A: [ 3 1 2 4 3 7 9 ]

median of these 3:  
pivot 4, 8, 9

[ 1 2 4 3 7 8 9 ]

# Median of Medians

Recall: the median is  
the middle element.

**Median of Medians:** Approximation of the median of array found in  $\Theta(n)$  time. Use the approximation median as the pivot.

- Given array  $A$ , divide  $A$  into groups of 5 elements.

$$A = [12, 13, 14, 6, 1, 5, 9, 4, 3, 2, 17, 18, 7, 23, 24]$$

- Sort each group. Each group is constant size. Sorting is  $\Theta(n)$

$$[1, 4, \underline{12}, 13, 14] \quad [2, 3, \underline{4}, 5, 9] \quad [7, 17, \underline{18}, 23, 24]$$

- Take the median of each group.

$$12, 4, 18$$

- Take the median of medians and use that as the pivot.

order the medians: 4, 12, 18

$\Rightarrow$  median of medians  
 $= 12$   
choose this as our pivot.

# Median of Medians

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**Median of Medians:** Approximation of the median of array found in  $\Theta(n)$  time. Use the approximation of the median as the pivot.

- $A = [12, 13, 14, 6, 1 | 5, 9, 4, 3, 2 | 17, 18, 7, 23, 24]$

Using 12 as a pivot,  $A = [6, 1, 5, 9, 4, 3, 2, 7, \textcolor{red}{12}, 13, 14, 17, 18, 23, 24]$

median  
of  
medians

- 12 is not the median, but its a pretty good approximation.

# Median of Medians

Question: What can we say about using the median of medians as a pivot?

What is the worst case split that I get?

Consider

[ 1, 2, 3, 4, 5 | 6, 7, 8, 9, 10 | 11, 12, 13, 14, 15 | 16, 17, 18, 19, 20 | 21, 22, 23, 24, 25 ]

medians: [ 3, 8, 13, 18, 23 ] ← size:  $\frac{1}{5}n$

median of medians: 13  
(m)

what do we know. well looking at the medians list :  $\begin{cases} 13 > 8 \\ 13 > 3 \end{cases}$

$$m \geq \frac{1}{10}n$$

And because 3 and 8 are both medians of their own sublist,  
 $\Rightarrow m > \frac{n}{10}$  elements - due to relationship with 3  
 $> \frac{n}{10}$  elements - due to relationship with 8

## Median of Medians

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Question: What can we say about using the median of medians as a pivot?

What is the worst case split that I get?

Thus by virtue of being the median of medians,  $m \geq$  than at least  $\frac{3}{10}$  of elements in original list.

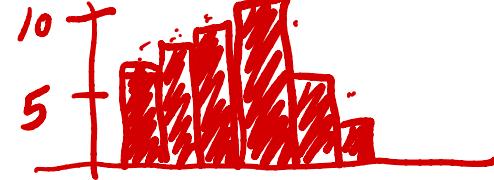
$$\Rightarrow m \geq \underbrace{\frac{3}{10} n}_{\text{number of elements } m \text{ is larger than.}} \quad \text{input array size}$$

So by choosing  $m$ , we have at worst a 30:70 split.

# Peaked Arrays

A **peaked array**  $A$  is defined as an array where the subarray  $A[0, \dots, i]$  has the property that  $A[j] < A[j + 1]$  for  $0 \leq j < i$  and the subarray  $A[i \dots n - 1]$  has the property that  $A[j] > A[j + 1]$  for  $i \leq j < n - 1$ . The max value in the array is  $A[i]$ .

e.g.  $A = [7, 8, 9, 10, 6, 2]$  is a peaked array.



Example: Write a recursive  $\Theta(\lg n)$  algorithm to find the peak for the given array  $A$ .

- $\Theta(\lg n)$  hints that this might be some sort of divide and conquer routine.
- we don't need to sort
- Think about Binary Search . modify .
- What is the Base Case?  $n=3$   
when we get down to 3 elements, the peak is the middle.

# Peaked Arrays

Example (continued): Write a recursive  $\Theta(\lg n)$  algorithm to find the peak for the given array A.

begin index  
end index  
 $\text{findPeak}(A, p, r)$

$$\text{mid} = \left\lfloor \frac{p+r}{2} \right\rfloor$$

# Check for Base Case

$$\text{if } r-p+1 == 3$$

return  $A[\text{mid}]$

# if we get to the base case,  
 $A[\text{mid}]$  must be the peak.

else if  $A[\text{mid}-1] < A[\text{mid}]$  AND  $A[\text{mid}] > A[\text{mid}+1]$   
return  $A[\text{mid}]$

else if:  $A[\text{mid}] < A[\text{mid}+1]$

$\text{findPeak}(\underline{\text{mid}}, r)$

else:

$\text{findPeak}(A, p, \underline{\text{mid}})$

## Other Ways to Choose Pivot

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Example: Consider the following strategy for choosing a pivot elements for the Partition subroutine of Quicksort, applied to an array A.

- Let  $n$  be the number of elements of the array A.
- If  $n \leq 15$ , perform an Insertion Sort of A and return.
- Otherwise:
  - Choose  $2\lfloor\sqrt{n}\rfloor$  elements at random from A; let  $\underline{S}$  be the new list with the chosen elements.
  - Sort the list  $S$  using Insertion Sort and store the median of  $S$  as  $m$ .
  - Partition the sub-array of A using  $m$  as a pivot.
  - Carry out QuickSort recursively on the two parts.

Using the following array A with  $n=20$ , show one iteration of this partitioning strategy on the array

$$A = [34, 45, 32, 1, 23, 90, 12, 13, 43, 54, 65, 76, 67, 56, 45, 34, 44, 55, 23, 2]$$

## Other Ways to Choose Pivot

Example (continued):

$$\bullet A = [34, 45, 32, 1, 23, 90, 12, 13, 43, 54, 65, 76, 67, 56, 45, 34, 44, 55, 23, 2]$$

How many elements ?  $2 \lfloor \ln \rfloor = 2 \lfloor \sqrt{20} \rfloor = 2 \cdot 4 = 8$

$$S = [34, 45, 32, 1, 23, 90, 12, 13]$$

Sorted S: [1, 12, 13, 23, 32, 34, 45, 90]

$$\text{median} = \frac{23 + 32}{2} = 27.5$$

Pivot

$$[1 \ 23 \ 12 \ 13 \ 23 \ 2]$$

6 elements

less than  
pivot

27.5

choose this as  
the partition

} use this on A.

34 45 32 90 43 54 65 76 67 56

$$45 \ 34 \ 44 \ 55]$$

14 elements greater than the  
pivot.

## Other Ways to Choose Pivot

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Example (continued): If the element  $m$  obtained as the median of  $S$  is used as the pivot, what can we say about the sizes of the two partitions of the array  $A$ ? Hint: Think about the best and worst possible selections for the values in  $S$ .

We always choose  $2\lceil \sqrt{n} \rceil$  elements.

(worst  
smallest)

worst case: we choose  $2\lceil \sqrt{n} \rceil$  largest elements in  $A$   
pick median from those

$\Rightarrow$  By nature of a median,  
this partition of  $A$  will have at  
least  $\lceil \sqrt{n} \rceil$  elements larger than the  
pivot

and  $n - \lceil \sqrt{n} \rceil$  elements less than  
the pivot.

## Other Ways to Choose Pivot

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Example (continued): How much time does it take to sort S and find its median? Give a  $\Theta$  bound.

- With Insertion Sort, the set S gets sorted in worst-case  $\Theta(n)$  steps.
- Finding the median takes  $\Theta(1)$  steps.

## Other Ways to Choose Pivot

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Example (continued): Write a recurrence relation for the worst case running time of QuickSort with this pivoting strategy.

$$T(n) = \begin{cases} T(\sqrt{n}) + T(n - \sqrt{n}) + c_1 n & n > 15 \\ c_0 & n \leq 15 \end{cases}$$

## Next Time

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- ❖ Hash Tables