

Maclean  
A new language for the modern world

John Skaller

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# Chapter 1

## Rationale

For many years binary byte addressable von Neumann machines dominated the computing world. However the world has changed, with increasing demands for security and privacy and trusted access to computing power required in an untrustworthy environment.

With the rise of cryptography and the invention of the block chain, new capabilities have arisen, along with new requirements. The established von Neumann architectures are no longer suitable.

Block chain operations are basically microservices operating in an untrusted environment, where proof that a server faithfully executed a program is required, in addition to the older requirement that the program be correct. Program correctness has been addressed by advanced type systems, but the new breed of system, often represented by a circuit or a virtual machine, no longer uses the same basic types as the traditional signed and unsigned integers of 8, 16, 32 or 64 bits.

Instead, finite fields are required for cryptographic reasons, addressing models vary, and performance is now dominated by the cost of proof generation, rather than the cost of execution.

We still need strong static typing for proofs of correctness, but computation and optimisation must now deal with both execution, and proof generation. Performance of virtual machines is considerably degraded so that the proof generation becomes tractable.

Behind the birth of the crypto world, other new requirements arose. Clearly with the internet itself, there is a requirement for distributed, concurrent processing, along with a need for sophisticated communications. Yet whilst type systems for single threaded local memory access are reasonably advanced there is only fledgling support for typing communications, and only rudimentary understanding of concurrent processes (even at the local level).

Today, early vectorisation in supercomputers, primarily to compute inner products rapidly, has been superseded by more general and capable GPUs, capable of running thousands of threads concurrently. Although these devices were originally for improving graphics display rendering speeds, they have rapidly been adopted for more general applications amenable to parallel processing. Yet mostly they're programmed with a separate language than the CPU, and with very little type safety.

In addition, the ad hoc nature of older languages is no longer tolerable, instead we need a system solidly based in algebra: ring theory and finite field theory providing the basic types, and category theory providing ways to construct new types from the base, abstraction, polymorphism, and modularity.

## Chapter 2

# Category Theory

**Definition 1.** A *category* is a directed multi-graph whose vertices are called *objects*, and whose edges are termed *arrows* or *morphisms*, together with an equality operator for both objects and arrows, and a partial binary operator called *composition* with three constraints:

1. *Closure:* If  $A, B, C$  are objects, and  $f : A \rightarrow B$  is an arrow from A to B, and  $g : B \rightarrow C$  is an arrow from B to C, then there exists an arrow  $h : A \rightarrow C$  such that

$$h = g \circ f = f \odot g$$

where  $\circ$  is composition operator in forward notation and  $\odot$  is the (vastly preferred) composition operator in reverse notation.

2. *Identity:* For every object  $X$  there is a unique arrow  $1_X : X \rightarrow X$  called an *identity* arrow, such that for all arrows  $f : A \rightarrow X$

$$f \odot 1_X = f$$

and for all arrows  $g : X \rightarrow C$

$$1_X \odot g = g$$

3. *Associativity:* If  $f : A \rightarrow B$ ,  $g : B \rightarrow C$  and  $h : C \rightarrow D$  then

$$(f \odot g) \odot h = f \odot (g \odot h)$$

which as usual means the parentheses may be elided without ambiguity.

The head object of an arrow is called the *domain* and the tail object the *codomain*.

Note that due to the bijection between objects and identity arrows we can throw out the objects altogether; such a category is called an *arrows only* category.

**Example 1.** The collection of all small sets and functions between them is the category *Set*. In this case the objects are sets, and sometimes called *types*; the arrows are functions.

**Example 2.** For any directed graph, the collection of all paths on the graph is a category; provided we add, if necessary, an identity arrow for each vertex.

**Definition 2.** A *subcategory* of a category is a subset of objects and arrows which forms a category. This means, objects and identity arrows correspond, and the set of arrows must be closed under composition.

**Definition 3.** If  $D$  and  $C$  are objects of a category, the set of all arrows from  $D$  to  $C$  is called the *hom set* from  $D$  to  $C$  and is written

$$\text{Hom}(D, C).$$

**Definition 4.** The *dual*, or *opposite* of a category  $X$  is the same category with all the arrows reversed, usually written  $X^{op}$ .

**Definition 5.** A *covariant functor* is a structure preserving map  $F : A \rightarrow B$  from category  $A$  to category  $B$ , which maps objects to objects and arrows to arrows and which preserves composition; that is,

$$F(f \odot_A g) = F(f) \odot_B F(g)$$

where  $\odot_A$  is the composition operator on  $A$ , and  $\odot_B$  is the composition operator on  $B$ .

Visually this means triangles map to (possibly degenerate) triangles.

**Definition 6.** A *contravariant functor* reverses the arrows, so that if  $f : A \rightarrow B$  then  $F(f) : F(B) \rightarrow A$  and so the structure preservation rule becomes

$$F(f \odot g) = F(g) \odot F(f)$$

A contravariant functor is a covariant functor from the opposite category.

**Definition 7.** If  $A$  and  $B$  are categories, the *product* category, written  $A \times B$  consists of pairs of objects and arrows from  $A$  and  $B$ . The definition can be extended to any finite number of categories.

A functor from a pair of categories is sometimes called a *bifunctor*. In computer science, a functor is also known as a *type constructor* or simply a *polymorphic type*.

## Chapter 3

# Type System

### 3.1 Theory

Let  $Set$  be the usual distributive category consisting of all small sets and functions. Let  $Set_n$  be the full subcategory containing only sets of size less than  $n$ .

Let  $Nat$  be the full subcategory of  $Set$  consisting of sets of integers  $N_n = [0, n)$  for some  $n$ , and let  $Nat_n$  be the full category consisting of the sets  $N_k$  for  $k \in N_n$ .

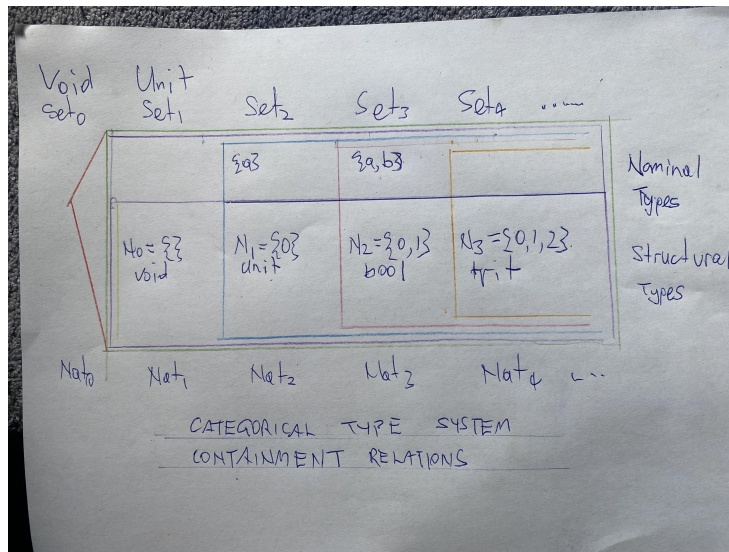


Figure 3.1: Categorical inclusion diagram

The objects of these categories are sets which we will also call *types*.

The category with no objects is called *Void*, with one object, the empty set, is called *Unit*. The category  $Nat_2$  has two objects, the empty set and a set containing only 0, and is also called *Bool*.

The empty set  $N_0$  is also called *void*, and the set  $N_1$  with just  $\{0\}$  *unit*, the set with two values, 0 and 1 is called *bool*.

The number of objects in a finite category is given by a the function *size* which is overloaded to also given the number of values of a set, and is written

$$\text{size}(X) = |X| \quad (3.1)$$

so that in particular

$$|N_n| = n \quad (3.2)$$

Note: it is important to understand that the type  $N_3$  in  $Nat_4$  is the *same* type as found in  $Nat_5$ . It's not a different type because  $Nat_4$  is a subcategory of  $Nat_5$ . Similarly, an integer 3 in  $N_4$  is the *same* integer as the 3 in  $N_5$  even though the type appears different this is not so, because again, they're subtypes. So what this means is that for example 3 has type  $N_4$  and type  $N_5$  and type  $N_6$  etc. In other words, the embedding is the identity map.

It's usual in algebra to use embeddings: for example, the integers are embedded in the rationals, but an integer 3 is *not the same* as the rational 3/1 it corresponds to.

The fundamental theorem of our construction is

**Lemma 1.** *Every object  $X$  of size  $k < n$  in  $Set_n$  is isomorphic to the unique object  $N_k$  in  $Nat_n$ .*

The basic idea is that we have a functor

$$\text{Rep}_1 : Nat_n \rightarrow Set_n, \quad (3.3)$$

where  $\text{Rep}_1$  is an isomorphism.

To perform a computation in the image of the functor in  $Set_n$  we first apply the inverse functor, perform the calculation using integers in  $Nat_n$  and then apply the functor to go back from the representation space to the represented space.

However this is not enough because for example we can have two distinct objects in  $Set_n$  of the same size which "behave differently" so we would need a second functor

$$\text{Rep}_2 : Nat_n \rightarrow Set_n \quad (3.4)$$

which is also an isomorphism. In fact, the same object can be used in different ways, and so "behave differently" in different contexts.

However, we cannot have this second functor model operations on a single objects behaving "the same way" in each context give different results, these functors must be constrained so that it does not matter which representation is used, we get the same answer. This leads to the following core theorem:



**Theorem 1.** *Any two representations must be naturally equivalent, in other words, the representation functors must be related by a natural transformation.*

### 3.1.1 Compact Tuple

**Definition 8.** *Compact Tuple.* The compact tuple functor has kind

$$\text{Tuple} : (\text{Nat} - N_0)^{N_n} \rightarrow \text{Nat},$$

where the index set is considered as a discrete set.<sup>1</sup> It maps types

$$(N_{i_0}, N_{i_1}, \dots, N_{i_{n-1}}) \mapsto N_m$$

where

$$m = \prod_{j=0}^{n-1} i_j.$$

The value map is given by

$$(v_0, v_1, \dots, v_{n-1}) \mapsto v_0 r_0 + v_1 r_1 + \dots + r_{n-1} v_{n-1}$$

where

$$r_k = \prod_{j=k+1}^{n-1} i_j$$

where the empty product is 1.

The projection functions of type

$$\text{prj}_k : N_m \rightarrow N_k$$

of the  $k$ 'th index is given by

$$v \mapsto v / r_k \mod i_k$$

using Euclidean (integer) division.

The common notation for tuple types is

$$N_{i_0} \times N_{i_1} \times \dots \times N_{i_{n-1}}$$

and values are usually written

$$v_0, v_1, \dots, v_{n-1}$$

.

When it is clear, we will just write  $n$  instead of  $N_n$ , for example 5 instead of  $N_5$ .

Note that the result of the functor is *NOT* a type: this cannot be emphasised enough. The result is a family of projections with the same index as the functor.

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<sup>1</sup>the index structure is crucial and will be generalised later

Note

- Category theory explained [1]
- the domain categories have the empty set excluded because its setwise cartesian product with a non-empty set is the empty set, and is not a categorical product due to the non-existence of a projection for the non-empty component.
- for a domain component of unit type  $N_1$  a projection will be the unique map  $N_m \rightarrow N_1$  and the result will be the value 0, independent of the constructed value  $v$ , since  $x \bmod 1$  always has value 0. In particular no state is required for storage of the component and our encoding does not allocate any. Nevertheless the encoding of the projection yields the correct result, namely 0.
- if the index set itself is empty, the product exists and is the unit type  $N_1 = \{0\}$  and there are no projections so the categorical requirement is satisfied in vacuo.
- the indexing scheme is big-endian so a component with index 0 has the highest value. This is un-natural mathematically but conforms to the usual Western representation of numbers, lexicographical ordering, and the usual convention in programming languages. Of course we use Arabic numbers, and Arabic is read right to left.
- product formation is not associative: associates are isomorphic but not equal; they have different projections; however, they all have the same domain. For example

$$5 \times 3 \times 2 \neq (5 \times 3) \times 2 \neq 5 \times (3 \times 2)$$

have projections  $30 \rightarrow 5$ ,  $30 \rightarrow 3$ ,  $30 \rightarrow 2$  and  $30 \rightarrow 5 \times 3$ ,  $30 \rightarrow 2$  and  $30 \rightarrow 5$ ,  $30 \rightarrow 3 \times 2$ , respectively.

The construction given is well known and is commonly called a *variadic number system*.

British Monetary System				
name	pounds	shillings	pence	farthings
$k$	0	1	2	3
$i_k$	100	20	12	4
$r_k$	960	48	4	1
Constructor				
$v_k$	2	3	4	1
$v_k \times r_k$	1920	144	16	1
$v = \sum_0^3 v_k \times r_k$				2081
Projections				
$v/r_k$	2	43	520	2081
$v/r_k \bmod i_k$	2	3	4	1

For example in the British monetary system, 20 shillings is a pound, 12 pence is a shilling, and 4 farthings is a penny. So  $v_0=2$  pounds,  $v_1=3$  shillings,  $v_2=4$  pence, and  $v_3=1$  farthing is equal to  $2 * (20 * 12 * 4) + 3 * (12 * 4) + 4 * (4) + 1 * (1)$  farthings. We store 2081 farthings. We extract pounds as  $2081 / (20 * 12 * 4) = 2$ , shillings as  $(2081 / (12 * 4)) \bmod 20 = 3$ . pence as  $2081 / (4) \bmod 12 = 5$  and  $2081 / (1) \bmod 4 = 1$  farthing where the formulae in parentheses are precisely the  $r_k$  above.

Note the first modulo is not required by construction, and the final division will always be by 1. The store of farthings is a compact product, and the extractors of each denomination are projections. The general formulae and category theoretic rationalisation reduces to primary school arithmetic!

### 3.1.2 Compact Enumeration

In order to understand compact enumerations with arguments we will first start with a special case, the unit sum. A type we would write as  $1+1+1$  can be thought of as a 3 slot check box, where you mark 1 of the three slots with your choice. The encoding of your choice will simply be its position, 2, 1 or 0. In otherwords the type  $N_3$  can be considered a unit sum.

Now suppose you have a check box that gives two choices, so that if you select the first, you get 3 more choices, and if you select the second, you get 4 choices. Thus can be written  $(1+1+1)+(1+1+1+1)$  or more simply  $3+4$ , and is equivalent to having 7 choices. In particular we can just use the value 6 for the first choice, 5 for the second choice, and so on down to 0. More precisely, values in the range 4..6 inclusive represent the first case and 3..0 the second case.

Therefore we can figure out which of the top level two cases are encoded by testing if the stored value is in the inclusive range 4..6 (first case), or 3..0 (second case).

If we find the first case we have to subtract 4 to get the summand. otherwise we have the second case and the value is the answer.

It takes only a second to realise that the argument of a sum type in our system is always a type with  $k$  states; that is,  $N_k$  for some  $k$ , even if it was constructed as a product, the formula will work to find the argument.

Category theorists call this type constructor a sum or coproduct, and programmers usually call them variants, however we will follow Rust and just call them enumerations.

**Definition 9.** *Compact Enumeration.* The compact enumeration functor has kind

$$\text{Enum} : (Nat - N_0)^{N_n} \rightarrow Nat,$$

where the index set is considered as a discrete set. It maps types

$$(N_{i_0}, N_{i_1}, \dots, N_{i_{n-1}}) \rightarrow N_m$$

where

$$m = \sum_{k=0}^{n-1} i_k$$

The injection function index  $k$  type

$$\text{inj}_k : N_{i_k} \rightarrow \text{Nat}_m$$

constructs the enumeration as follows:

$$v \mapsto v + s_k$$

where

$$s_k = \sum_{j=k+1}^{n-1} i_j$$

where the empty sum is 0.

Decoding an enumeration requires extracting both the index and injected value. The index is given by the largest  $k$  such that  $v \geq s_k$  and the argument is then  $v - s_k$ .

Note

- the ordering is big-endian, with the operand of the choice in position 0 being given the highest value.
- the required  $k$  always exists because  $s_{n-1} = 0$ .
- as for products we exclude  $N_0$  from the input categories, however for a different reason. There is a unique map from  $N_0 = \{\}$  to every set which is a perfectly good injection, but it cannot be applied and so does not construct an enumeration, so has no representation in our encoding scheme. A sum containing voids is well defined but isomorphic to a sum with the voids removed (except if it is the only one), so we will treat that isomorphism as an equality and exclude void cases.

### 3.1.3 Compact Arrays

If all the components of a compact product are the same type, we can use a special array functor to construct it.

**Definition 10.** *Compact Array* The compact array functor has kind

$$\text{Arr} : (\text{Nat} - N_0) \times \text{Nat} \rightarrow \text{Nat},$$

It maps types

$$(N_b, N_n) \mapsto N_m$$

where

$$m = b \times n.$$

The value map is given by

$$(v_0, v_1, \dots, v_{n-1}) \mapsto \sum_{k=0}^{n-1} v_k r_k$$

where

$$r_k = b^{n-1-k}$$

The projection functions of type

$$\text{aproj} : N_m \times N_n \rightarrow N_b$$

of the  $k'$ th index is given by

$$(v, k) \mapsto v / b^{n-1-k} \mod b$$

using Euclidean (integer) division.

The type  $N_b$  is the base type of the array, and the type  $N_n$  is the index type, the array will be length  $n$ . Not that unlike the ordinary projection  $\text{prj}$ , the  $\text{aproj}$  operator takes an argument  $k$  of the index type  $N_n$ , in other words the index can be computed at run time. The reason is that the type of the projection is independent of the index.

### 3.1.4 Generalised Compact Arrays

It seems a pity we cannot calculate the indices of a tuple at run time because the tuple components all have different types. Luckily there's a way to fix this! We simply unify projection codomains into a coproduct type, namely, the categorical dual of the product type!

**Definition 11.** *Generalised Compact Array* The generalised compact array functor has kind

$$\text{GArr} : (Nat - N_0) \times Nat \rightarrow Nat,$$

It maps types

$$(N_{i_0}, N_{i_1}, \dots, N_{i_{n-1}}) \mapsto N_p$$

where

$$p = \sum_{k=0}^{n-1} i_k.$$

The value map is given by

$$(v_0, v_1, \dots, v_{n-1}) \mapsto v_0 r_0 + v_1 r_1 + \dots + v_{n-1} r_{n-1}$$

where

$$r_k = \sum_{j=k+1}^{n-1} i_j$$

where the empty sum is 0.

The projection functions of type

$$\text{gprj} : N_m \times N_n \rightarrow N_p$$

of the  $k$ 'th index is given by

$$(v, k) \mapsto (v/r_k \bmod i_k) + s_k$$

where

$$s_k = \sum_{j=k+1}^{n-1} i_j$$

Note that for example  $A \times B \rightarrow A + B$ , in other words the projections all return the sum type which is dual to the product type. In particular the usual projection first returns the  $k$ 'th component which is then injected into the dual sum at the same position. To retrieve the value could do the usual case analysis. however since we know the index it can be retrieved immediately by just subtracting  $s_k$ .

### 3.1.5 Compact Coarrays

A coarray, or repeated sum, is the dual of an array, that is, it is a special case of the enumeration in which all the enumerants have the same type. In this case the injection functions can take a run time argument. Now the sum of the RHS components can be computed by a single multiply, and instead of a linear search through subranges, we can use a binary chop to reduce the decoding time.

In fact, a different encoding could be used consisting of a pair, the index and value which would admit constant time encoding (by just using projections).

[add specs]

## 3.2 The ALU

We now have almost enough machinery to specify our first device.

**Definition 12.** An  $\text{ALU}_n$  is a device with two registers, an instruction register  $F$  of size  $k$  and a data register  $A$  of size  $n$ . The instruction register contains an ALU implementation specific encoding of a function  $f : N_n \rightarrow N_n$  which is applied to the value in the  $A$  register, which is replaced by the result of applying the function to it.

A simple case of an ALU is a *synchronous* ALU which has a clock input. It reads the instruction and data on the rising edge of the clock pulse, and must write the result to the data register prior to the falling edge of the clock.

An *asynchronous* ALU reads its input on an input clock pulse, writes the result to the data register, and then emits an output clock pulse to signify completion.

The key observation to be made, in either case, is that composition of functions is represented temporally by successive ALU operations.

Let  $S$  be a subset of the functions of the type  $N_n$ . The collection is said to be a *spanning set* if its transitive closure under composition is the set  $Hom(N_n, N_n)$ , the set of all functions from  $N_n$  to  $N_n$ .

A spanning set is said to be a *basis* if the removal of any one function would leave a non-spanning set.

An ALU is said to be *absolutely complete* if the set of instructions it accepts map to the set of all functions of the type. Only very small ALUs can be absolutely complete, since the number of functions from a set of size  $n$  to itself is  $n^n$ .

An ALU is said to be *temporally complete* or just *complete* if the set of mapped functions is a spanning set, otherwise the ALU is *incomplete* or *abstract*.

We wish to extend these definitions to the whole category  $Nat_{n+1}$  (the  $+1$  being required in the notation so that  $N_n$  is the largest type.)

We can do this as follows: first, a function with codomain  $N_c$  for  $c < n$  can be extended to a function with codomain  $N_n$  by post composition with the embedding from  $N_c$  to  $N_n$ . The beauty of this arrangement is that, since  $N_c$  is simply a subset of  $N_n$ , and thus an integer of  $N_c$  is the very same integer of  $N_n$ , the operation is merely a type cast to ensure type correctness and has no run time impact; in other words it has no operation and does not require an instruction to effect it.

Secondly, if the domain of the function  $f$  is  $N_d$ , we can replace it with a function  $g$  provided  $g \upharpoonright_{N_d} = f$  and pre compose another embedding, which as above is a type cast with no run time impact.

Indeed, this second method subsumes the first if  $g : N_n \rightarrow N_n$ .

Thus we can represent functions of the whole category, provided we have suitable function on the largest type. This is not a universal property of categories of course, but peculiar to a specific construction of the *Nat* family.

It is well known, for any category, that given any chain of arrows, appropriate identity arrows can be added at any point, without changing the resulting composite, and, identities can be removed at any point, unless the chain consists of a single identity.

However for the *Nat* family we have a much stronger result: for any category  $Nat_n$  the chain of arrows an *ALU* can implement embedding functions required

to ensure type correctness can be ignored when constructing the corresponding instruction stream for the ALU. This is called *type erasure*. In effect it means that instructions are *generic* in the sense that each instruction can represent a whole family of functions.

It is important to note that more than one  $g$  can represent  $f$ , because the action of  $g$  on values outside the domain of  $f$  can be anything, since, when  $g$  is used to replace  $f$ , the argument to  $g$  is guaranteed to be in the domain of  $f$  and the result of applying it to values outside the domain are irrelevant, since such application cannot occur.

Another way to view this result is to say that an ALU for a category  $Nat_n$  can perform all the *supported* operations for all the contained categories. The qualification *supported* is required in case the ALU can only represent an abstraction; that is, if the instructions do not form a spanning set for the largest type of the largest category.

A particular mapping of functions

$$\text{Repr} : \text{Hom}_n(-, -) \rightarrow \text{Hom}(N_n, N_n)$$

used to represent them is called a *representation* of the category  $Nat_{n+1}$  and in effect reduces the design space of the ALU to the monoidal subcategory with only the largest type retained.

**Example 3.** Consider an ALU of size  $2^{64}$  with instructions

```
LDA #999    // load immediate
CLRHL       // clear high 32 bits
ADD         // add high 32 bits to low 32 bits
NEG         // negate
MUL         // multiply high 32 bits with low 32 bits
```

*These instructions suffice to implement all the operations of a ring of size  $2^{32}$ . In particular CLRHL mathematically implements modulo  $2^{32}$ . Note that the ALU can NOT perform ring operations of size  $2^{64}$  because addition and multiplication both requires two arguments, which are represented in a single value as a compact product.*

**Example 4.** Register files. Most real world processors have multiple registers and instructions which operator on them, for example one might have 8 registers of size 32 bits. Although our ALU model only allows a single register, we can easily consider it to be the compact product of 8 x 32 bit types and design our instruction set accordingly.

**Example 5.** Stack machine. Many VM in the crypto world use a stack instead of registers. In conventional applications this can be inefficient, but the simplification of the instruction set significantly reduces the complexity of the associate AIR constraints. The run time performance is of little interest since ZK proof generation dominates processing. Clearly, our ALU model allows for the use



*of a stack instead of, or as well as, registers in much the same way. However the stack must be bounded to a small size.*

### 3.3 The MMU

Although in theory there is no limit to the size of an ALU, in practice one step calculations with very large integers are intractable; and this observation extends to computations unpacked to any fixed number of composition steps.

At the heart of the problem is the representation of projections: for a very large integer maintaining state, the divisor of an arbitrary projection is roughly the same size as the state itself. Similarly, to obtain an arbitrarily large chunk of the state, the modulus is similarly sized.

Luckily, we already have a solution: if we are willing to throw out some precision, we can use a logarithm for the divisor and modulus, and have the projection operator implicitly raise them. This is what `aprj` does.

In most conventional machines today, a single array of base type  $2^8$ , called a *byte* is used, with  $2^{32}$  or  $2^{64}$  index values, and this array is called *main memory*. The logarithms, base  $2^8$ , used for projections, are called *addresses*, the sequence of subsequent  $k$  bytes is called an *object* where  $k$  is the logarithm of the projection modulus. The combination of a run time address with a compile time size is commonly known as a *pointer*.

The key difficulty here is that the digital logarithm loses information; that is, it is not injective. However, quite apart from the large values which can be handled, and despite the loss of compactness resulting from the loss of injectivity, logarithms have a major advantage, to the point where very few current programming languages have any notion of compact sums and products at all: division, a notoriously expensive operation, can be replaced by subtraction, which is very much cheaper.

Most modern memory systems are little endian (just to confuse you) so in fact we use addition: every C programmer knows that to unpack a struct you can simply add the offset in bytes of the component.

However this leads to a complication in our maths: we now have *two* kinds of products, our original compact products, and now our non-compact ones as well. Furthermore, the programmer now has to make choices about which kinds of products to use.

**Example 6.** *An array of 64 boolean values has two viable representations: as a single 64 bit integer, or as an array of 64 bytes. In the C++ standard arrays of objects are used for all base types except `bool` which has a template specialisation using a compact representation. In fact this was an extremely poor choice because not all the usual array operations work, which means parametric polymorphism fails.*

**Example 7.** *In the Felix programming language, which has both compact and non-compact products, the author originally tried to have the compiler make the distinction and hide it from the programmer. Alas, the requirement to support polymorphic operations soon showed this could not work. In particular, non-compact data structures can be addressed with ordinary pointers of machine word size, and whilst compact pointers are indeed possible (and implemented!), they require three machine words: an object pointer, along with the usual quotient and modulus, and therefore have a distinct type.*

*It is, in fact, worth noting that to preserve generic behaviour (since mathematically a product is a product), the author was pushed into finally introducing a first class kinding system so that type variables used in compact product types could be constrained to accept only compact types.*

## Chapter 4

# Compact Linear Types

The theory presented in the previous chapter appears reasonably general, but in fact it is somewhat crude *because* it starts with subranges of integers, and uses them as indices for functors.

We now present a more general system of mind blowing expressive power. Note that, despite this, the representational computations are the same.

**Definition 13.** Given two functors for products and sums with the empty product, or unit, denoted 1, and the empty sum, or void, denoted 0, then, a *compact linear type* is defined recursively as follows:

1. 0 is a compact linear type
2. 1 is a compact linear type
3. any finite product of compact linear types is compact linear
4. any finite sum of compact linear types is compact linear

Note that in fact the first two conditions can be dropped, since they are subsumed by the second two conditions.

A *unitsum* is a special case which is a finite sum of units, that is, a type of the form  $1 + 1 + \dots + 1$ . This is just our original type  $N_n$  for the sum of  $n$  units.

However there is a significant extension to our original formulation: where previously we used sum and product functors indexed by  $N_k$  for some  $k$ , we now allow *any compact linear type* as the functor index type.

To understand how radical an upgrade this is, and what it actually means, consider the product  $2 \times (3 \times 4)$ . Products are not associative so we first construct  $3 \times 4$  with a bifunctor indexed by  $N_2$ , then construct the whole term, with a second bifunctor, also indexed by  $N_2$ .

This is clearly inconvenient, we would like to compose the functors so there is only one functor, and we do that *by changing the index type* to  $1 + (1 + 1) = 1 + 2$ .

It's important to see that the index is an exponential, and so obeys the usual index laws. In particular the domain category is

$$\text{Nat}^{1+2} = \text{Nat} \times \text{Nat}^2$$

which means the LHS is precisely the functor composite of the RHS. What we have done is *lifted the structure out of the codomain into the domain*. In particular, we have *linearised* the structured type in doing so.

To see what this means, lets consider that the value of the RHS type

$$(1, (2, 3))$$

is in fact

$$(1, 2, 3)$$

in the LHS type. What's more, the *representation* type of both is precisely  $N_{24}$  and iterating through the representation will find all the values, in order, for both types.

In particular, every structured type is an array, with an index that models the type as an exponential. Consider another example:

$$((1, 2, 3), (4, 5, 6))$$

This is an array length 2, of arrays length 3; that is, it has type

$$(N^3)^2$$

However it is equivalent to an single linear array of type

$$N^{2 \times 3}$$

with the corresponding value being

$$(1, 2, 3, 4, 5, 6)$$

In particular you can see when we raise an exponential to an index we get a product, and when we raise a product to an index we get a sum. Conversely, if the index of a functor is a sum, the type designated is a product, and if a product it is an exponential.

To put this another way, the index is the *logarithm* of the type. In our array of arrays case, we have converted the type to a matrix so instead of writing  $(x.1).2$  for a component we can now write  $x.(1, 2)$  and the subscript is now a pair, instead of two successively applied subscripts. An array of arrays is not a matrix, because a matrix does take a single index which is a pair.

Linearisation is an isomorphism, not an identity; but it has the special property that the underlying representation is not changed; that is, the order of array elements is invariant. In code, this means it is a suitable static cast, involving no run time operations. Contrast this with symmetry, which is also an isomorphism, but requires reordering of values in the store.

The interpretation is that:

1. When the functor has an index of type  $k$ , the array subscript has type  $k$
2. The element accessed is the representation of  $k$

Here are more examples. Consider

$$(1, 2, 3), (4, 5)$$

The type is

$$N^3 \times N^2$$

This can be represented as a linear array

$$(1, 2, 3, 4, 5)$$

by using a functor index of type

$$3 + 2$$

This means, you first chose the left summand of type 3 or the right summand of type 2, which is picking which tuple you're interested in, either  $(1, 2, 3)$  or  $(4, 5)$ , respectively.

In the first case, you now pick a value of type 3, and in the second, a value of type 2. In other words, the array index is a variant. To actually compute the array index, we simply use the representation which is 0,1, or 2 in the first case, and 3 or 4 in the second. So the representation value is an index of the original data structure, but *linearised* or *flattened*.

Compact linear types were invented with the intention they be used as array indices allowing polyadic array operations; that is, operations on matrices of arbitrary rank and dimension, in a manner independent of the rank and dimension. The rules are the generalisations of the binary index laws:

$$\log(X^n \times X^m) = X^{n+m}$$

and

$$\log X^{nm} = X^{m \times n}$$

Note the reversed order of the second case law. This is necessary so that in sequencing, the  $n$ , or inner index moves fastest.

# Bibliography

- [1] *Products*. URL: [https://en.wikipedia.org/wiki/Product\\_\(category\\_theory\)](https://en.wikipedia.org/wiki/Product_(category_theory)).