

# Nucleotide Diversity Loss on a Plant Y Chromosome Following Recent Recombination Suppression

Josh Hough<sup>\*,†,1</sup>, Wei Wang<sup>†</sup>, Spencer C.H. Barrett<sup>†</sup> and Stephen I. Wright<sup>†</sup>

<sup>\*</sup>Department of Plant Sciences, University of California, Davis, <sup>†</sup>Department of Ecology and Evolutionary Biology, University of Toronto

**ABSTRACT** X and Y chromosomes differ in effective population size ( $N_e$ ), rates of recombination, and exposure to natural selection, all of which can affect levels of genetic diversity. On Y chromosomes with suppressed recombination, selection is expected to eliminate neutral variation and reduce the  $N_e$  of Y compared to X chromosomes or autosomes. However, non-selective factors including female biased sex ratios and high variance in male reproductive success can also reduce Y-linked  $N_e$ , making it difficult to infer the causes of low Y-diversity. Here, we investigate the factors affecting levels of polymorphism within the genome during sex chromosome evolution in *Rumex hastatulus* (Polygonaceae), a dioecious plant with young sex chromosomes. Strikingly, we find that neutral diversity for genes on the Y is on average ~2.1% of the value for their homologues on the X, corresponding to a chromosome-wide reduction of ~93% compared to the neutral expectation. We demonstrate that the magnitude of this diversity loss is inconsistent with a reduced male  $N_e$  caused by neutral processes including female-biased sex ratios and high variance in male reproductive success. Instead, using forward simulations, we show that the loss of diversity on the Y can be explained by interference among a large number ( $\geq 800$  Kb) of weakly selected mutations. Our results are in agreement with theory on "interference selection", and provide evidence that the effects of purifying selection over a large number of genetically-linked sites can substantially reduce neutral diversity. Given the recent origin of *R. hastatulus* sex chromosomes (~15MYA), our results imply that Y chromosome degeneration in the early stages may be largely driven by interference rather than positive selection for gene silencing followed by neutral genetic drift.

**KEYWORDS** Sex Chromosome Evolution; Nucleotide Diversity; Recombination; Deleterious Mutations

## Introduction

Morphologically distinct sex chromosomes have evolved multiple times independently in both plants and animals (Westergaard 1958; Ohno 1967; Bull 1983; Charlesworth 1991, 2015). Despite clear biological differences between these kingdoms, X and Y chromosomes in both lineages have undergone similar genetic changes. For example, in both groups the loss of recombination between X and Y chromosomes has been associated with an accumulation of deleterious mutations and a gradual loss of genes from the Y chromosome (Hough *et al.* 2014; Bergero *et al.* 2015; Bachtrog 2013), and in some species, the degeneration of the Y has led to the evolution dosage compensation of the X chromosome (Charlesworth 1996; Muyle *et al.*

2012; Mank 2013; Papadopoulos *et al.* 2015). The independent evolution of these phenomena in such taxonomically distant species suggest that general evolutionary mechanisms may be involved, but inferring the causes of molecular evolution and patterns of polymorphism in genomic regions that lack recombination is a longstanding challenge for both theoreticians and experimentalists (Charlesworth 1978; Feldman *et al.* 1980; Barton 1995; Charlesworth 1996; Otto and Feldman 1997; Charlesworth and Charlesworth 2000; McVean and Charlesworth 2000a).

One fundamental difference between the X and Y chromosomes is that there are 1/3 as many Y-linked gene copies as X-linked ones in a diploid population. Thus, genes on the Y chromosome are expected to experience an effective population size ( $N_e$ ) that is 1/4 that of autosomal genes, whereas the  $N_e$  for genes on the X chromosome should be 3/4 that of autosomal genes (assuming an equal number of reproducing females and males). The lowered  $N_e$  of the Y chromosome implies that the equilibrium level of neutral polymorphism

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doi: 10.1534/genetics.XXX.XXXXXX

Manuscript compiled: Saturday 12<sup>th</sup> November, 2016%

<sup>1</sup>jhough@ucdavis.edu

- proportional to the product of  $N_e$  and the neutral mutation rate,  $\mu$  - should be lower for Y-linked genes than for their X-linked counterparts. In the absence of recombination, genes on the Y chromosome are also expected to be in strong linkage disequilibrium, making them vulnerable to diversity loss due to selection against strongly deleterious mutations (background selection) and selective sweeps of strongly beneficial mutations (genetic hitchhiking). Furthermore, the build-up of linkage disequilibrium between selected mutations on the Y means that selection will act non-independently across the chromosome such that selection at a focal site may "interfere" with selection at sites with which it is linked. Originally developed by Hill Robertson (1966), a large body of work has now shown that such "selective interference" can substantially reduce both the efficacy of selection and the level of neutral variability (Fisher 1930; Muller 1964; Hill and Robertson 1966; McVean and Charlesworth 2000b). These arguments all suggest that non-recombining Y chromosomes should harbor a lower amount of neutral genetic variability than predicted based on the number of Y chromosomes in a population, but the extent to which background selection, genetic hitchhiking, or selective interference have affected chromosome-wide levels of diversity in natural populations, and the relative importance of these processes, is not well-understood.

In addition to reduced diversity arising from selection, in species with female-biased sex ratios or extensive male-male competition, high variance in male reproductive success is also expected reduce the  $N_e$  experienced by genes on the Y chromosome (Caballero 1995; Charlesworth 2001; Laporte and Charlesworth 2002; Pool and Nielsen 2007; Ellegren 2009), suggesting that inferences about the effects positive of purifying selection need to be distinguished from these neutral processes. Because variance in male reproductive success reduces both Y-linked  $N_e$  and autosomal  $N_e$  (Kimura and Crow 1964; Nomura 2002), evidence for this can therefore be obtained by comparing levels of neutral diversity on X and Y chromosomes relative to values on autosomes. For example, high variance in male reproductive success causes a reduction in the Y/A diversity ratio, but an increase in the X/A ratio. Based on such comparisons, studies in humans, for example, have suggested that the inflated X/A ratio is attributable to a historical excess of breeding females over males (Hammer *et al.* 2008) (and see (Bustamante and Ramachandran 2009; Hammer *et al.* 2010; Cotter *et al.* 2016)).

Despite widespread interest in determining the evolutionary factors affecting neutral diversity on sex chromosomes (Ellegren 2011; Bachtrog 2013), we know very little about the influence of either sex ratio variation or linked selection in determining levels of diversity on more recently evolved sex chromosomes. The time scales over which these different effects are likely to be important is therefore not well understood. In humans, estimates of Y-linked diversity are considerably lower than predicted under neutral models, and simulations suggest that levels of diversity are consistent with strong purifying selection (Wilson Sayres *et al.* 2014). However, given that human sex chromosomes evolved from autosomes ~200 million years ago (MYA), is not immediately obvious whether purifying selection might have such strong effects on Y chromosomes that evolved *do novo* from autosomes over much more recent evolutionary time (e.g., within the last 20 MYA in the case of plants (Charlesworth 2015)). Y-linked diversity loss might on the one hand be expected to be lower in younger systems due

to a shorter history of recombination suppression. On the other hand, simulations of strong selection models (background selection and genetic hitchhiking) suggest that these processes may have the greatest effects during the earliest stages of sex chromosome evolution, before the Y has lost many of its genes (Bachtrog 2008). Moreover, work by (Kaiser and Charlesworth 2009) and (Good *et al.* 2014) have provided evidence that even weak purifying selection, if acting non-independently across large number of sites, can generate substantial deviations from neutrality, whereas classic background selection theory breaks down in such cases. Given that a large number of sites are likely to be in linkage disequilibrium on a recently evolved Y chromosome, such "interference selection" *sensu* (Good *et al.* 2014) is a good candidate model for exploring the evolutionary dynamics of young plant sex chromosomes.

To investigate the factors affecting nucleotide diversity in the early stages of sex chromosome evolution, we analyzed neutral polymorphism levels on X, Y, and autosomal chromosomes in the plant *R. hastatulus* (Polygonaceae). This species is a dioecious annual with heteromorphic X and Y chromosomes that evolved approximately 15 MYA (Quesada del Bosque *et al.* 2011; Grabowska-Joachimik *et al.* 2015; Navajas-Pérez *et al.* 2005), making Y chromosomes in this species over 100 million years younger than the highly degenerated Y chromosomes in mammals (Lahn and Page 1999; Ross *et al.* 2005). *Rumex hastatulus* has also received particular attention because of the occurrence of an intraspecific polymorphism in sex chromosome system, in which both XY and XY<sub>1</sub>XY<sub>2</sub> males occur in geographically distinct populations ("chromosomal races") (Smith 1963). The XY<sub>1</sub>XY<sub>2</sub> sex chromosome system in this species is thought to have originated through an X-autosome fusion, with the XY system maintaining the ancestral chromosome complement (Smith 1964). Despite the recent origin of sex chromosomes in both races, there is evidence that both Y's have undergone significant gene loss and functional deterioration (Hough *et al.* 2014). Here, to simplify our comparison of polymorphism levels on X, Y, and autosomes, we focus only on the ancestral Y chromosome, which occurs in both sex chromosome races.

Of particular importance for our study, *R. hastatulus* populations have been found to consistently exhibit female-biased reproductive sex ratios, with a mean sex ratio of  $N_f / (N_m + N_f) = 0.6$  (Pickup and Barrett 2013). Female-biased sex ratios are not uncommon in dioecious plants with heteromorphic X and Y chromosomes (Field *et al.* 2013; Hough *et al.* 2013), and their occurrence in *R. hastatulus* provides an excellent opportunity to study both the demographic and selective factors contributing to sex-linked variability.

## Materials and Methods

### Population Samples and Sex-Linked Genes

We analyzed sex-linked and autosomal genes identified from Illumina RNA sequence data from 12 males and 12 females (1 male and 1 female from each of 6 populations). Samples were collected in 2010 from throughout the native range of *R. hastatulus* (locations in Table S1), and plants were grown in the glasshouse at the University of Toronto from seeds collected from open-pollinated females. We extracted RNA from leaf tissue using Spectrum Plant Total RNA kits (Sigma-Aldrich). Isolation of mRNA and cDNA synthesis was conducted according to standard Illumina RNAseq procedures, and sequencing was conducted on two Illumina HiSeq lanes with 150-bp end reads at the Genome Quebec Innovation Cen-

ter. Reads from these samples were mapped to the *R. hastatus* reference transcriptome (Hough *et al.* 2014) using the BurrowsWheeler Aligner (Li and Durbin 2010), followed by Stampy (Lunter and Goodson 2011). We used Picard tools (<http://picard.sourceforge.net>) to process mapping alignments for the Genome Analysis Toolkit (McKenna *et al.* 2010) variant calling software, and subsequently removed genes with low coverage (<10x) and low Phred Quality Scores <20. The population samples analyzed here were previously reported in Hough *et al.* (2014), where they were used to validate the ascertainment of sex-linked genes identified through segregation analysis, and raw sequences are available from the GenBank Short Read Archive under accession no. SRP041588. Here, to consider sex linked genes that were identified in all of our sequenced population samples, we focused on the previously described set of 460 X/Y genes for which a Y homolog was found in both the Texas and North Carolina races (i.e., X/Y genes where the Y copy was inferred to be on the  $Y_1$  chromosome).

### Autosomal Genes

In evaluating evidence for nucleotide diversity differences between X and Y chromosomes, it is important to distinguish between reduced Y-linked diversity, and the possibility that X-linked diversity is elevated above the level predicted from a neutral model. To do this, we normalized our sex-linked diversity estimates by autosomal diversity, and compared empirical X/A and Y/A nucleotide diversity ratios to those predicted from neutral models and from simulations (described below). Because the criteria for ascertaining autosomal loci in Hough *et al.* (2014) were based on identifying four segregating SNPs per locus, and since this set of genes is likely to be higher in diversity than the average autosomal gene, here we instead used the larger set of all non-sex linked (putatively autosomal) genes as our autosomal reference. We filtered genes in this set to remove any genes that may have been sex-linked but were not identified as such by Hough *et al.*'s conservative ascertainment criteria. In particular, we removed: (i) any genes in which there was evidence for at least one SNP with a sex-linked segregation pattern, (ii) any genes where SNPs showed fixed heterozygosity in males and fixed homozygosity in females, (iii) genes with less than 10X coverage or greater than 100X coverage from independently obtained genomic coverage data (to filter out duplicates or genes with highly repetitive sequences), and (iv) any genes containing SNPs with large (>0.4) allele frequency differences between males and females. Finally, we removed genes with fewer than 100 synonymous sites to avoid biasing our results toward genes that may have been particularly short due to assembly problems. This filtering resulted in a final set of 12,356 autosomal genes.

### Phasing X and Y alleles

To estimate polymorphism for X and Y sequences separately, it is necessary to infer the phase of SNPs in sex-linked transcripts in males. In previous work, phasing alleles on *R. hastatus* sex chromosomes was achieved using segregation analysis from a genetic cross. Here, to phase SNPs from population samples where such segregation data was unavailable, we used HAPCUT (Bansal and Bafna 2008), a maximum-cut based algorithm that reconstructs haplotypes using sequenced fragments (Illumina read data) from the two homologous chromosomes to output a list of phased haplotype blocks containing the SNP variants on each chromosome. Because the resulting

haplotype blocks produced by HAPCUT contained SNPs that were phased relative to each other, but not designated to either the X or Y chromosome, we assigned individual variants to X or Y by independently identifying fixed X-Y differences within each haplotype block (i.e., sites where all females were homozygous, and all males were heterozygous). Identifying such fixed differences within phased haplotype blocks enabled us to then infer the correct phase (X or Y) of the polymorphisms from HAPCUTs output. In particular, this was done by matching the phase of fixed X-Y differences with their neighboring polymorphic sites: when a fixed X-Y difference occurred in the same phased haplotype block as a polymorphic site, then the polymorphic variants in that block were assigned to either X or Y based on the known phase of the fixed difference with which they were matched. SNPs that were identified outside of phased blocks, or in blocks without fixed X-Y differences, were recorded as missing data. Finally, we filtered out SNPs with coverage > 60, QUAL score > 60, and those within a distance of 10bp or less from indels. This filtering procedure resulted in fasta-formatted alignments of X and Y sequences for 372 sex-linked genes.

We further validated the results of HAPCUTs allele phasing by comparing the accuracy of this method with the phasing-by-segregation method that was conducted in Hough *et al.* (2014). To do this, we first phased the sequence data from parents and their progeny using HAPCUTs algorithm (using the same parameters as for the population data), and then identified cases where SNPs were inferred on the Y chromosome by HAPCUT, but where the true level polymorphism, obtained from the genetic cross, was zero. We identified 7 % of sex-linked genes that either had phasing errors of this kind genotyping errors. This corresponds to a SNP error rate estimate of  $1.7 \times 10^{-4}$ . Note that this rate is very low relative to population-based estimates of polymorphism on the X and autosomes (Table 1), and therefore should have minimal effects on our estimation of the X/A ratio. However, because this rate is high relative to the expected level of polymorphism on the Y chromosome, we further filtered genes in which we found evidence for false-positive SNP calls arising from: (i) phasing errors caused by gene duplicates (more than two haplotypes), (ii) polymorphisms around indels, and (iii) genotyping errors caused by low Y-expression. This final filtering was conducted by manually checking each individual putative polymorphism on the Y chromosome using IGV (Robinson *et al.* 2011).

### Estimating nucleotide diversity on sex chromosomes and autosomes

For each locus in our analysis, we calculated Wattersons (1975) estimator of the population parameter  $\theta = 4N_e\mu$ , where  $N_e$  is the effective population size, and  $\mu$  is the mutation rate (Watterson 1975), using a modified version of the Perl program Polymorphurama (Bachtrog and Andolfatto 2006). To compare sex-linked and autosomal loci, we calculated the average value of  $\theta$ , weighted by the number of synonymous sites in each gene (Figure 2). We obtained 95% confidence intervals for X/A and Y/A ratios by bootstrapping per gene using the BCa method (Efron and Tibshirani 1994) implemented in the Boot package in R (Canty and Ripley 2012), and calculating X/A and Y/A on each iteration for 20000 replicates each. Bootstrapping was conducted on the final filtered set of 173 sex-linked, and 12355 autosomal genes. Note that the lack of recombination on the Y chromosome implies that statistical assumptions about inde-



pendence across loci are violated, suggesting that the true uncertainty in the estimate Y/A may be wider than implied by bootstrapping results. To address this, we also used a maximum likelihood approach, implemented in a modified version of the MLHKA software (Wright and Charlesworth 2004), to independently estimate a credibility interval for the Y/A ratio (Figure S1). Because of the thousands of genes involved, a likelihood method incorporating divergence to control for heterogeneity in mutation rate was not feasible, as this would require maximizing the likelihood estimate of the mutation rate for each locus independently. Therefore, we assumed no heterogeneity in mutation rate, no recombination between Y-linked genes, and free recombination between autosomal loci. Our model thus had two parameters:  $\theta_{autosomal}$  and Y/A. We varied both parameters and evaluated the likelihood for Y/A from 0.001 to 1, and  $\theta_{autosomal}$  from 0.001 to 0.01.

### Neutral predictions and the effect of sex ratio bias on diversity

To test whether the levels of diversity on X, Y and autosomal chromosomes could be explained by neutral processes, we compared our diversity estimates to predictions from a model that incorporates variance of progeny number for males and females, and gives the predicted  $N_e$  for males and females when this variance is either smaller or larger than Poisson (Kimura and Crow 1964; Hedrick 2011). As we were primarily interested in the possible influence of variance in male reproductive success on Y-linked  $N_e$ , and the parameter space within which such a model might generate Y/A and X/A ratios consistent with our data, we ignored non-poisson variance in female offspring, and calculated the male  $N_e$  as a function of variance in offspring number as:

$$N_{em} = \frac{N_m k_m - 1}{k_m - 1 + \frac{V_{k_m}}{\bar{k}}} \quad (1)$$

where  $k_m$  and  $V_{k_m}$  are the mean and variance in male offspring number, respectively, in a population of  $N_m$  reproducing males and  $\bar{k}$  is the total mean number of progeny of males and females (Kimura and Crow 1964). Given the expected values for  $N_{em}$  across a range of values for  $V_{k_m}$  and  $N_m$ , the corresponding expectation for the  $N_e$  of autosomes and sex chromosomes at equilibrium were calculated as:

$$N_{eA} = \frac{4N_m N_f}{N_m + N_f} \quad (2)$$

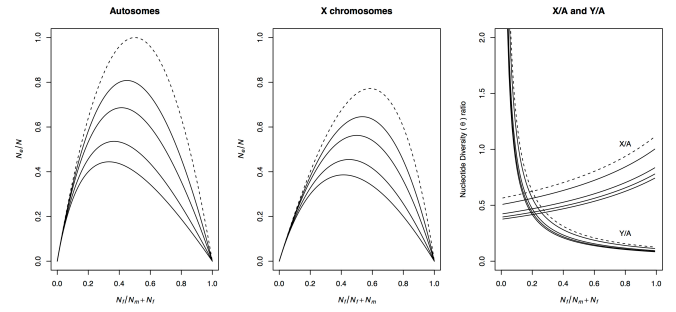
$$N_{eX} = \frac{9N_m N_f}{4N_m + 2N_f} \quad (3)$$

$$N_{eY} = \frac{N_m}{2} \quad (4)$$

following (Wright 1931). Note that with equal sex ratios, and Poisson distributed offspring numbers ( $V_{k_m} = \bar{k}$ ), then  $N_{eX}/N_{eA} = 0.75$ , and  $N_{eY}/N_{eA} = 0.25$ . Figure 1 shows these predictions as a function of the sex ratio for a range values of  $V_{k_m}$ , and we tested the fit of our empirical diversity ratios to these predictions (shown in Figure 2) assuming the estimated *R. hastatulus* sex ratio of 0.6 (Pickup and Barrett 2013).

### Simulations of purifying selection

To test whether our observed level of Y-chromosome diversity could be explained by the effects of linked purifying selection, we followed the approach used in (Wilson Sayres et al. 2014)



**Figure 1** The relation between effective population size and sex ratio bias for genes on autosomes (A) and the X chromosome (B), and corresponding normalized X/A and Y/A ratios (C). The sex ratio is shown as the proportion of females,  $N_f/(N_f + N_m)$ , plotted against  $N_e/N$ , where  $N = N_m + N_f$ . Dotted curves show equilibrium predictions when both males and females produce Poisson-distributed offspring numbers and ( $V_k = \bar{k} = 2$ ). Solid curves correspond to increasing values for  $V_{k_m}$ , the variance in male offspring number, ranging from Poisson/2 (top solid curve in panels A and B) to  $3 \times \text{Poisson}$  (bottom most curve). For (C) we assumed equal neutral mutation rates among genes and calculated the expected neutral diversity as  $\theta = 4N_e\mu$ .

and conducted forward-time simulations of a non-recombining Y chromosome using the software SFSCODE (Hernandez 2008) and compared our empirical diversity estimates on the Y with those from simulations. This approach was implemented rather than using analytical predictions of background selection for a few reasons. First,... because the equilibrium background selection model over-predicts the reduction in diversity when there are many linked sites under selection (Kaiser and Charlesworth 2009), as is expected to be the case for large Y chromosomes that lack crossing over.

...there is no general analytical treatment describing the dynamics of interference among many alleles under selection and drift, with variable degrees of selection and linkage. Thus, forward computer simulations are still fundamental to the study of realistic situations.

- dfe for the y is probably still fairly similar to that for the x - shared genes
- human Y: genes on y are not on X
- 45331 = synsites in observed data after filtering - summary stats phased texas autospay
- doesnt matter about coding/non-coding in non-recombining regions? all of those neutral sites are linked

To parameterize the forward simulations, we estimated the distribution of fitness effects of deleterious mutations (DFE) using our polymorphism data on the X-chromosome with the program DFE-alpha (Keightley and Eyre-Walker ref). This program fits a gamma distribution of selection coefficients to the observed frequency distribution of nonsynonymous and synonymous polymorphism from the population genetic analyses above. We used this estimated gamma distribution in our forward simulations. To examine the expected reduction in diversity, we varied the number of sites under selection, and obtained an approximate likelihood surface for our observed data based on the proportion of simulations that matched the observed estimate of  $\pi_{synonymous}$ . To make the simulation output comparable to our data, we initialized the simulations with our empirically estimated autosomal  $\theta$ , adjusted for the expected

neutral reduction in effective population size on the Y chromosome under a sex ratio of  $N_f/(N_f + N_m) = 0.6$ , and we sampled 6 haploid chromosomes per simulation. Simulated sequences contained 45,331 of linked neutral sequence from which we calculated diversity, matching the number of synonymous sites sampled in our empirical analyses on the Y chromosome (see Supporting Information).

## Results and Discussion

### Extensive loss of Y-chromosome diversity

Our analysis reveals that diversity on the *R. hastatulus* Y chromosome is significantly lower than expected under neutrality, with estimates indicating  $Y/A=0.02$ , ...which is 12.5 fold lower than the standard neutral prediction of  $Y/A = 0.25$  ( $P<0.0001$ ). We also observe that the Y chromosome shows a 40-fold lower than mean diversity on the X chromosome (Table 1). Note that by normalizing X and Y diversity by autosomal diversity, our results indicate that the X-Y difference we observed was not due to an elevation of X chromosome diversity, but rather a Y-specific reduction. Conceivably, such low diversity on the Y could arise from a low Y-linked mutation rate, or a lower mutation rate in males compared to females. However, these possibilities are unlikely because the number of synonymous mutations in X and Y lineages, estimated by both parsimony and maximum likelihood, are not significantly different (Hough *et al.* 2014). Similarly, our estimates of weighted average synonymous substitution rate between *R. hastatulus* and the out-group *R. bucephalophorus* reveal similar levels of synonymous divergence at sex-linked (0.2016) and autosomal genes (0.219) (Hough *et al.* 2014).

In contrast to the X chromosome, however, our data indicate a strong and consistent diversity reduction on the Y chromosome: an approximately 50 fold reduction compared to the mean  $\theta_{Aut}$ . We next consider several possible models - neutral and selective - that might explain this reduction.

### Female biased sex ratio and high variance in male offspring number

The occurrence of female-biased sex ratios in *R. hastatulus* is expected to lower Y diversity through lowering male  $N_e$  and therefore the  $N_e$  of the Y chromosome. In addition, the  $N_{eY}$  might be further reduced due to high variance in male reproductive success (Figure 1), which is not unusual in annual wind-pollinated plants such as *R. hastatulus* that exhibit extensive phenotypic plasticity in plant size and flower production (Harper 1977). Given that male plants in this species produce large amounts of pollen, and female flowers are uniovulate, there is reason to believe that there could be strong competition among males.

In common with most flowering plants we do not have marker-based estimates of the variance in male reproductive success in *R. hastatulus*. However, by comparing our empirical estimates of diversity to predictions from models that jointly predict the effects on diversity of sex ratio bias and male reproductive variance, we evaluated whether these effects could jointly explain the level of Y/A diversity that we observed (see Methods). Conditioning on estimates of sex ratio bias in *R. hastatulus*, ranging from  $N_m/(N_m + N_f) = 0.4$  to  $N_m/(N_m + N_f) = 0.35$  (Pickup and Barrett 2013), the predicted Y/A diversity ratio is approximately 0.2 (Table1, Figure 3). This is significantly lower than our estimated mean Y/A ratio of 0.02

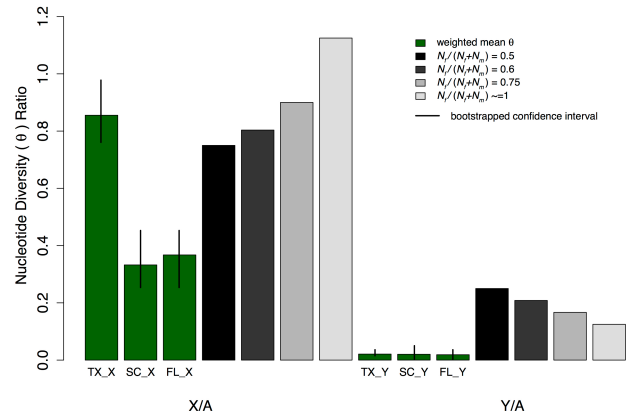


Figure 2 new figure coming soon).

( $P < 0.0001$ ), and remains significant even if we consider an upper bound estimate obtained from our likelihood based estimated of the confidence interval. This suggests that the sex ratio effect alone is insufficient to explain our data under the standard sex ratio model.

Assuming that there is extensive variance in male reproductive success (), the predicted ratios of Y/A diversity (supp) were also significantly higher than our estimates for the empirically estimated sex ratio (table) . We also find, however, that purely neutral models in which the sex ratio was highly female-biased (), with level of variance in male reproductive success on the order of (), predicted a Y/A ratio that could not be rejected (). However, because a highly females biased sex ratio is expected to increase the X/A ratio as well, these models simultaneously predicted a range of X/A ratios that were significantly different from what we observed (Figure). Thus, our results indicate that the combined effects of sex ratio bias and variance in reproductive success cannot jointly explain our observed levels of X, Y, and autosomal diversity.

### Background Selection and Selective Sweeps

discussion points to make: - Although we do not exclude the possibility that positive selection has also reduced Y chromosome variability, our simulations suggest that the reduction in diversity arising from purifying selection is sufficient to explain our observed patters of neutral variation.

BGS predictions: -"Traditionally, the term background selection is used to refer both to the general effects of purifying selection on linked neutral diversity as well as to the limiting behavior that emerges when  $N_s \rightarrow \infty$ . " - "existing theory struggles to predict genetic diversity when many sites experience selection at the same time, which limits our ability to interpret variation in DNA sequence data.". In particular,

- "For non-crossover regions, both with and without gene conversion, there is a rapid initial decline of B with L, but B levels off at a value of 0.015 for  $> 640\,000$  sites. B values predicted by the BGS model decrease log-linearly with L"

Very relevant remarks From Good *et al.*:

- "Here, we have shown that simple behavior emerges in the limit of widespread interference. When fitness variation is composed of many individual mutations, the magnitudes and signs of their fitness effects are relatively unimportant. Instead, molecular evolution is controlled by the variance in fit-

ness within the population over some effectively asexual segment of the genome" " In other words, we cannot conclude that interference is negligible just because Nes, as inferred from data, is larger than one."

- estimates of "Nes" ignore linkage by fiat under the assumption that sites evolve independently. But these estimates become unreliable precisely when small- and intermediate-effect mutations are most common

- "Individual fitness effects may play a central role in single-site models, but we have shown that global properties like the variance in fitness and the corresponding linkage scale are more relevant for predicting evolution in interfering populations. "

- "We have provided further evidence that even weak purifying selection, when aggregated over a sufficiently large number of sites, can generate strong deviations from neutrality. ""

- "Apart from an overall reduction in polymorphism, the most prominent features of this frequency spectrum include an excess of rare alleles "

- "silent site diversity decays as  $p = p_0 \cdot 1 = Ns$ , while the shape of the site-frequency spectrum,  $Q_n(i)$ , becomes independent of all underlying parameters."

- " we showed that the reduction in silent site diversity on this chromosome ( $p = p_0 \cdot 7$ ) is consistent with the parameters  $Ns < 30$ ,  $NU < 300$ , and  $NR < 0$ , which fall in the middle of the interference selection regime"

- Indeed, simulations suggest that the effects of HRI on 100,000 linked sites can reduce polymorphism levels by ~30 % compared to neutral expectations (McVean and Charlesworth 2000b), suggesting that HRI is likely important for the evolution of Y chromosomes.

- even that a large number of sites in the genome likely experience weak purifying selection (refs), the "interference" effect on Y chromosomes with suppressed recombination might alone cause a substantial diversity loss.

Classical population genetics fails to account for interference between linked mutations, which grows increasingly severe as the density of selected polymorphisms increases.

## Conclusions

The observation of widespread degeneration and diversity loss on Y chromosomes illustrates the importance of recombination for maintaining fitness and the genetic variability needed for adaptation (Maynard Smith 1978; Kondrashov 1993; Barton Charlesworth 1998). The extensive loss of diversity on a young plant Y chromosome revealed by our study provides a clear example of the .... a large genomic regions that lack recombination.

## Acknowledgments

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