

# Lambda Calculus with Lifetimes and Higher Kinded Types

Final Year Project Report

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# Chapter 1

## Introduction

### 1.1 Project Aims

The purpose of this project is to design a type system for a programming language that incorporates Higher Kinded Types with a system that guarantees memory safety at compile time. Higher Kinded types are necessary to neatly express certain desirable programming features. Rust is a programming language that provides memory safety through compile-time checks. This aspect of Rust is known as the *ownership* model, of which *lifetimes* and *borrowing* are key concepts [9]. This project attempts to implement a type checker for this language as a Haskell program, and investigate how higher kinded types and the Rust ownership model interact.

### 1.2 Types in Programming Languages

Type systems are designed to help programmers reason about behaviours in the programs that they write. Types restrict the kinds of statements that may be expressed, the most obvious reason for doing this is to detect certain types of errors before a program is executed. Small, obvious errors, found in expressions such as `"this sentence" * 69` are easily detected, because multiplication makes no sense when applied to a strings of characters and a number. However, modern type systems are capable of doing far more.

As programs become larger and more complex, separating parts of programs that do not need any knowledge of each other becomes more important. It is much easier to maintain a program composed of separate modules that have no knowledge of the inner workings of one another. Modules may interact through well defined interfaces and in this way may be swapped out or replaced much more easily. One may re-write code that conforms to an existing interface without knowing about another module's implementation details. A type system can be used to define this interface and to ensure that new code behaves as expected. Polymorphism, discussed in detail in Section 4.1.1, is one way of allowing more flexibility in these interfaces between parts of a program while retaining correctness.

Some programming language features may introduce whole new families of errors. Allowing a programmer to manually allocate and deallocate space for storing objects in memory is known to be a common source of bugs. Languages like C have a reputation for being difficult to write bug free programs in because of manual memory management. Memory leaks may occur if a programmer requests some storage in memory but does not deallocate it. This can lead to programs consuming unreasonably large amounts of memory. Referencing some location in memory after it has been deallocated (and possibly allocated with some new object) is another common pitfall associated with manual memory management. Garbage collection, or the automatic allocation and deallocation of objects in heap memory, may alleviate some of these issues. However, it can incur a sometimes unacceptable runtime overhead especially in software systems where responsiveness is key.

A solution can be found in the type system. Restricting where a programmer can request or reference some location in memory allows a program to be statically analysed before it is run, and any sections of code that could cause memory related issues are shown as compile time errors. A type system can form the basis of this restriction, as shown in Section 4.3.1

In studying these type systems it is often useful to formalize them in a system with well known and well understood behaviour. In this case the system is the lambda calculus, which functions as a miniature programming language and as a formal model for understanding the behaviour of types. The lambda calculus and how it pertains to this project is described in Section 5.1. Higher Kinded Types, or higher order polymorphism, have been well described in System  $F\omega$ , itself a typed lambda calculus [6].

## 1.3 Goals of This Project

A type checker for a language based upon the lambda calculus will be developed as a Haskell program. Haskell is described in Chapter 7 along with the reasons for choosing it. A parser, type checker, and simple interpreter will all be implemented along with a test program demonstrating some intended behaviours. The design of the language is described in Section 5. The type-checker aspect of the program is concerned with:

- Higher Kinded types, described in Section 4.1.1. Formalised in System  $F\omega$ , they allow for a neat implementation of very generic, high level programming concepts such as Monads and Functors.
- A system restricting the allocation, use, and deallocation of memory, encoded into the type system. This aspect is based on the type system of the Rust programming language, which guarantees memory safety through its lifetime and borrow checker.

The notion of an addressable area of memory, as well as mechanisms for allocating and deallocating areas of that memory, will be added to the base lambda calculus. The implementation of the type system, as well as the parser, build system, test framework, and interpreter are described in Chapter 7. A formal description of the language can be found in Chapter 5.

## 1.4 Project Overview

The rest of this report is divided in several chapters. Chapter 2 lists the ethical considerations of this project. Chapter 4 describes important concepts in more detail as well as describing how common tasks related to type checking are accomplished. Chapter 5 then introduces the lambda calculus as a way of reasoning about type systems. The design of the language is shown including the grammar. Chapter 6 formalizes what should be accomplished by the type checker to be implemented. Software engineering issues are tackled in Chapter 7, including the test framework and build system used. Key tests demonstrating desired behaviour are given in Chapter 8. Issues about the effectiveness of this type system are described in Chapter 9. Finally, unmet requirements and further work to be done is covered in Chapter 10.

## **Chapter 2**

# **Professional and Ethical Considerations**

No part of this project requires human participation and as such there are no ethical considerations.

## Chapter 3

# Parametric Polymorphism

This section introduces Parametric Polymorphism, which is found in many programming languages including Generics in Java. It is used to make programming languages more expressive while attempting to prevent errors from occurring at runtime. Polymorphism is one way of sticking to a core programming principle: Functionality in a program should only be implemented in one place in the source code. If different functions are meant to do very similar things, it is generally beneficial to combine them into one by parametrizing sections that differ. This allows code to be updated, maintained, and deleted more easily and so becomes very necessary in large codebases. This parametrizing of areas of code is known as abstraction. Abstraction at the levels of values is very common in the form of functions; parametric polymorphism allows for the abstraction at the level of types.

The rest of this chapter attempts to explain how polymorphism is useful, and how it is used in languages like Java to reduce code duplication and increase expressiveness. Generics, or parametric polymorphism in Java, is used extensively in Java's collections framework, which provides very general data structures for organizing data in programs. These structures are practical to implement and use partly because of Generics.

Finally, Functors are introduced as a concept that we would like to be able to represent and use in a programming language, but cannot do so in languages with the expressive power of Java. Higher Kinded Types are introduced to provide very generic solutions to programming problems, and form the basis of a large part of this project.

### 3.1 A World Without Generics

In software engineering one of the principles to keep in mind when attempting to write software is Don't Repeat Yourself, known as the DRY principle. If lots of similar behaviour exists in different places in a codebase, then a change to this behaviour will result in the code being modified in several places. Such changes to behaviour are common; and not applying changes to one area while applying them to another area can be a source of bugs. There are other reasons not repeat oneself in a codebase as well. Less time is spent writing code if a function only has to be written once, and lots of similar code can distract from the meaning of a program.

As an example, consider writing a list class to store some strings in a language like Java. The class may have an implementation that looks something like this:

```
class StringList {  
  
    public boolean add(String e) {  
        // implementation...  
    }  
  
    public String getAt(int index) {  
        // Get the string at position index...  
    }  
  
    public int size() {  
    }  
}
```



```

        // other sensible methods that you might want in a list...
    }

```

### Listing 3.1: String List in Java

This seems all very well and good. However, at a different part of the program we might want to have a list of Booleans:

```

class BoolList {

    public boolean add(Boolean e) {
        // add a boolean to the list
    }

    public Boolean getAt(int index) {
        // Get the bool at position index...
    }

    public int size() {
    }
}

```

### Listing 3.2: A very similar class

The similarity between these classes is obvious: the methods that they implement are very similar except for the types in method signatures. `BoolList`'s `getAt` methods returns a `Boolean`, and `StringList`'s `getAt` returns a `String`. It is easy to see that the implementation for both of the `getAt` methods would be very similar. Now imagine if there was a bug in the implementation of this method. This bug would likely be present in both of the implementations and so a programmer would have to fix both of these implementations. It would be very possible for the programmer to forget or ignore one of these methods, leading to inconsistent behavior in the program. Even if the programmer did remember to change the codebase in two places, there would still be twice as much code to change. This becomes more of a problem for every new list type that the programmer wishes to implement.

One might be tempted to leverage Java's inheritance to solve this issue. A general list class that stores Java's `Object` class might be the answer. After all, `String` and `Boolean` both extend `Object`. At first glance this seems like a sensible solution, any changes to how lists would only have to be put into effect in this one class. This would be a vast improvement on making changes to every type of list that we implement. The class might look something like:

```

class List {

    public boolean add(Object e) {
        // add whatever you like to the list!
    }

    public Object getAt(int index) {
        // Get the whatever object is stored at index
    }

    public int size() {
    }
}

```

### Listing 3.3: A more general approach.

This class looks very similar to the types of list that were implemented in Listings 3.2 and 3.1. However, in the signatures of the methods we use `Object` so we can put whatever we like in the list. The class could be used like this:

```

List list = new List();
list.add("This_seems_to_work_well!");
String firstElem = (String) list.getAt(0);

```

However, there could be a problem here. On the last line we get an element from the list, using the `getAt` method, which returns an `Object`. An instance of type `Object` is not very useful because we probably want to call some class-specific methods on our element that we pulled from the list. The example above gets around this by using casting.

Casting is a way of telling the Java compiler that one type should be treated as another. Specifically it is often used to tell the compiler that the class that is being casted from is actually a subtype of the class that is being casted to. In this case it is used to tell the Java compiler that the instance of `Object` that is being returned from the `getAt` method is actually a `String`. This is done so that we can access all of the methods that the `String` class provides, and so this object can be used where ever an instance of the `String` class is expected.

Casting from `Object` cannot be checked by the compiler. This snippet shows what could potentially go wrong in this situation:

```
List list = new List();
list.add(new Boolean(true));
String firstElem = (String) list.getAt(0);    // This line will compile, but is obviously wrong
```

The above code compiles but will throw a `ClassCastException` when run because `String` is not a subclass of `Boolean`. The Java compiler cannot tell from the above that the list object is holding `Boolean` values, so cannot warn the programmer that the above code does not make sense. Any safety provided by the type system has been subverted and the code may be in production somewhere before the obvious error is detected by a human. This is the kind of problem that Java's Generics were intended to solve.

## 3.2 Parametrizing Constructors

Just as methods in Java may have parameters that abstract values out of the method body, Generics allow types to be abstracted out of the implementation of a class. When a class is constructed a type can be passed in as an argument which can be referenced by the methods that the class provides. This has the benefit that code can be type-checked at compile time. Casting, as demonstrated in Section 3.1, can lead to nefarious run time errors which can be difficult to find before they cause errors.

With Generics the list example from the previous section can be implemented like this:

```
class List<T> {
    public boolean add(T e) {
        // add something of type T to the list
    }

    public T getAt(int index) {
        // Get the object of type T stored at index
    }

    public int size() {
    }
}
```

Listing 3.4: List class with Generics

Here the list is parametrized with some unknown type, `T`, in the declaration `class List<T>`. When an instance of this class is created a type is passed into the constructor and the instance becomes a new, specific type of list holding objects of that type. This list can be used like this:

```
List<String> list = new List<String>();
list.add("Much_better!");
String firstElem = list.getAt(0); // No casting!
```

The `String` type is passed into the `List` type constructor here. The `T` type variable in Listing 3.4 is instantiated with the `String` type and methods like `getAt` return a `String`. There is no need for casting and the type correctness of this code can be checked at compile time. If we tried to assign the result of the `getAt` method to a boolean variable here the program would not compile.

## 3.3 More Generic Containers

Any class that acts as a container for other types of object benefits from being parametrized with the type of object that it holds. In the list example earlier in the chapter it did not matter what kind of element the list was meant to store, all

that mattered was that there was a list of them. Most data structures are this general, in that the type of element inside the structure does not matter. This is where parametric polymorphism is most useful.

Binary trees are another example of a data structure that can store any type of object. A node in a binary tree can either be empty, or hold an element and a pointer to two other nodes. The element that is held in that tree can be of any type- a perfect opportunity to use generics. The implementation could look something like this:

```
class Tree<T> {
    private Tree<T> left;      // pointer to the left branch
    private Tree<T> right;     // pointer to the right branch
    private T elem;           // Data that this node stores

    public void insert(T e) {
        // insert element into the tree
    }

    public boolean contains(T e) {
        // is e in this tree?
    }

    public int size() {
    }
}
```

Listing 3.5: Binary tree with generics

Very similarly to the list implementation, the tree class must be instantiated with some type, referred to as `T` in the body of the class. Additionally, both of these data structures act as generic containers.

This kind of abstraction, where types are parametrized in the body of classes, is known as first-order polymorphism. This notion is formalized in language called System F, described in Chapter 5. However this report attempts to explain higher-order polymorphism, a concept that cannot be expressed in languages like Java. In order to understand why how higher order polymorphism is useful it is important to understand some additional concepts.

## 3.4 `map` and Higher Order Functions

As mentioned, both the list in Listing 3.4 and the tree in Listing 3.5 can be thought of as containers that hold some type of object. It is very common in programs to apply a function to every element in collection. In Java, this is commonly expressed with a for-each loop. For example, to get a new list by adding one to every element from the old list one would write:

```
List<Integer> intList = new ArrayList<>();
intList.add(1);
intList.add(2);
intList.add(3);

List<Integer> outList = new ArrayList<>();

for (Integer i : intList) {
    outList.add(addOne(i));    // outList will contain [2, 3, 4]
}
```

Manipulating elements of a collection in this way is very common. However, all we are doing here is applying a function to every element in this container. It would be nice if one could apply the same kind of transformation to the binary tree that we defined, without worrying details of tree traversal in this section of program.

In functional programming this problem is solved by specific higher-order function, commonly called `map`. A higher-order function one that takes another function as a parameter. `map` as defined for our list class would take a function as a parameter and apply that function to every element in a list. The example from above could be written as:

```
List<Integer> intList = new ArrayList<>();
intList.add(1);
```

```
intList.add(2);  
intList.add(3);
```

```
List<Integer> outList = intList.map((x) -> addOne(x));    // Define an lambda function to pass to map
```

**Here the**

## Chapter 4

# Background and Motivation

This chapter attempts to give some background for the topics covered in this project. Aspects from existing type systems are introduced and explained. Later an introduction to how programming languages are represented as trees is given, as well as a how these trees can be traversed in order to build a type checker.

### 4.1 Higher Kinded Types

This section introduces Higher Kinded Types, also known as higher order polymorphism, and demonstrates the expressive power of this concept through examples.

#### 4.1.1 Parametric Polymorphism

First order parametric polymorphism, known as Generics in Java, are types that are parametrized over some other type. This kind of polymorphism allows for the definition and use of functions that behave uniformly over all types. They can be used to write code that can be checked for safety at compile time. For example, without generics, a list could be used like:

```
List l = new ArrayList();  
l.add("This_is_a_string");  
Integer i = (Integer) l.get(0); // Run time error here
```

Listing 4.1: Runtime error that could be avoided

This is problematic because a list in Java can contain any type of object, but methods that the `List` object provides must know about the type of object that the list contains. If those methods do not have a way of knowing what kind of object a list contains then calling the methods will not be type safe, as demonstrated in Listing 4.1. The same code written with Java's Generics will produce a compile time error:

```
List<String> l = new ArrayList<String>(); // Now the list has been parametrized with a type  
l.add("This_is_a_string");  
Integer i = l.get(0); // Compile time error here.
```

Listing 4.2: Compile time error

Compile time errors are much more desirable than runtime errors because they can be caught and fixed predictably, unlike runtime errors. The code in Listing 4.2 parametrizes the `List` type with the `String` type, and hence the last line produces a compile time error as the list's `get` method returns a `String`.

#### Type Constructors

A type constructor is a feature of programming languages that builds new types from old ones. In Java, an example would be the `List` type. The `List` type cannot be used to describe some value in Java; all values must be a `List of`

something, for example a `List<String>`. In other words, `List` is a type constructor that must be parametrized with some base type like `Integer`, `String`, or even `List<String>`. Once it has been applied to some base type, it becomes a new type; `List<Integer>`, `List<String>`, and `List<List<String>>` are all separate types.

Parametric polymorphism is an important addition to statically typed programming languages. First order polymorphism is modelled by a typed lambda calculus called System F, which is introduced in Section 5.1.2. However, Generics in Java and in lots of other programming languages leave something to be desired. There are higher level, general, generic programming concepts that cannot be expressed with first order polymorphism. Generally, these systems are constrained in that type constructors may only be parametrized by concrete types, and not other type constructors. Higher order polymorphism is needed in order to neatly express some programming concepts.

## 4.1.2 Higher Order Polymorphism

As mentioned in Section 4.1.1, first order parametric polymorphism can be very useful to programmers. However, there are limitations. This section will attempt to demonstrate one shortfall of first order polymorphism and then show how the problems can be solved with higher order polymorphism. Functors are introduced as an example of one of the concepts that cannot be expressed neatly with first order polymorphism.

### Functors

A Functor is important concept in modern programming languages. Most languages have constructs that can be thought of as Functors, such as lists, optional types, trees, and other constructs that can be mapped over. Anything that acts as a container for another type and provides a function for mapping a function over that contained type is a Functor. They are an important concept because they provide a common interface to working with any type that acts as a box for another type. This means that programs can be written more generically and is more open to changes and modifications.

Some languages seek to reify the general concept of functors so that aspects of a program can be checked for correctness at compile time. However, expressing Functors in a generic way requires a more expressive type system, specifically a type system that incorporates higher order polymorphism. Here is an example of an attempt to define a general Functor interface in Java:

```
interface Functor<A> {  
    Functor<B> map(Function<A, B> f);  
}
```

Listing 4.3: An attempt to define Functor in Java

The code in Listing 4.3 has one main issue. The `map` method defined here may return any type that implements `Functor`, not the necessarily the same class as the one that the method has been called from. This means that there is no type-safe way of calling any method on the result of calling the `map` function.

In order to define a generic Functor interface, a way of referencing the type constructor that is being used as a functor is needed. In other words, the programming language needs type constructors that can be parametrized with other type constructors. Generics in Java provide a way of making types like `Integer`, `String`, and even `List<Integer>` first class, but type constructors like `List` must be applied to some concrete type before they can be abstracted over.

```
class Functor f where  
    fmap      :: (a -> b) -> f a -> f b
```

Listing 4.4: Functor as defined in Haskell.

Listing 4.4 shows how Functor can be defined in a Haskell, a language that does allow higher order polymorphism. Here, `f` is a variable that references a type constructor. This class in Haskell specifies that anything that instantiates it must provide a single function, `fmap`. `fmap` takes a function as a parameter that takes a value of type `a`, and returns a value of type `b`. It then takes another argument of type `f a`, or a type that the type constructor `f` has been applied to. For example, it would take a value of type `Maybe Int`, `Maybe` being the type constructor `f`. It would then return a value of type `b`, wrapped in the same type constructor `Maybe`.

The ability to use type constructors as first class in a programming language allows programs to be written in a more succinct, patterned, and generic manner. Examples like `Functor` show how a generic interface to work with constructs commonly found in software engineering can be achieved with the use of higher order polymorphism.

## Kinding

Higher order polymorphism can be thought of as the polymorphic lambda calculus ‘one level up’, and as such the language at the level of types also needs to have a system in place in order to prevent wrong expressions from being created.

A system that allows for abstracting over type constructors needs some way of enforcing type constructors are not applied to types in a way that does not make sense, e.g. `Integer Integer` does not make sense because the integer types does not take any type arguments in order to become a concrete type that can be inhabited by values. Alternately just `List` cannot be instantiated with a value because it need one more type constructor, the type that will be contained within that list.

What is needed is essentially a type system for types. This is the notion of the kind of a type. Types can have the kind of  $*$ , in which case they are concrete and can be inhabited by values, or they can have kind  $* \rightarrow *$ , or a function from types to other types. Types like `Integer` or `List Integer` have kind  $*$  however type constructors like `List` have kind  $* \rightarrow *$  because they need to take one more concrete types before they can be used as a value. This system of kinding enforces that all types are well formed.

$K ::= *$	Concrete types
$  K \rightarrow K$	Type functions from types to types

Figure 4.1: Syntax of Kinds

## 4.2 Problems with Existing Memory Management Techniques

Most programming languages allow for dynamic memory management where the program can request portions of memory at run time and free that memory when it is no longer required. This operation can be performed manually as in the case of languages such as C or automatically in languages with a garbage collector such as Java.

### 4.2.1 Manual Memory Management: `malloc` and `free`

Some languages rely on manual instructions inserted by the programmer in order to allocate and deallocate regions of memory. Most implementations of the C programming language provide a group of library functions for this purpose, which include `malloc` and `free`. As mentioned, these constructs are insert manually by the programmer. This can lead to the issues described below.

#### Dangling References

Deallocating storage may lead to dangling references, where some reference exists that points to memory that has been deallocated. This is nearly always unintentional, however it is an easy for a programmer to make this mistake when writing a program. Using the value of deallocated storage can lead to nefarious bugs and most languages consider using deallocated storage to be undefined behaviour.

```
int *dangle()
{
    int i = 69;
    return &i; // Function return address of local variable!
}

int main()
{
    int *p;
```

```

    p = dangle();
}

```

Listing 4.5: A C program that leaves `p` pointing to deallocated memory.

In the Rust programming language, references to values must live longer than the resource that they refer to [7]. The same program as the C dangling pointer example (Listing 4.5) written in Rust does not compile as it does not meet this restriction.

```

fn dangle() -> &u32 {
    let i = 69;
    &i // This does not typecheck because this would be a dangling pointer
}

fn main() {
    let p = dangle();
}

```

Listing 4.6: A Rust program that does not type check.

## Memory Leaks

Memory leaks occur when heap storage that is no longer usable is not deallocated. This can lead to software allocating more memory for itself and in the worst case can lead to a program consuming all available memory.

```

#include <stdlib.h>

void allocate()
{
    int* a = malloc(sizeof(int) * 100); // Allocate memory on the heap
    // Return and forget about the allocated memory!
}

int main()
{
    allocate();
    // ...
    // do more stuff, while heap space that allocate used is unreachable
}

```

Listing 4.7: A C program which leaks memory.

### 4.2.2 Automatic Memory Management: Garbage Collection

Techniques have been invented in order to mitigate errors related to manual memory management. Garbage collection is one such method. Garbage is a term for storage that has been allocated on the stack but is no longer reachable by the program, e.g. the storage is pointed to by a variable that has gone out of scope. Automatic garbage collection alleviates the programmer of explicitly managing memory. However there are several downsides to garbage collection. For example, the garbage collector will be invoked to collect unusable memory outside of the control of the programmer. When it is running it affects the execution of the program for an indeterminate amount of time. This hang is not acceptable in real-time systems.

## 4.3 A Solution in the Type System

Memory safety can still be achieved without the use of a garbage collectors. Program structure may be analysed during semantic checking and areas likely to cause issue can be identified. Here Rust's way of performing these checks is examined.



### 4.3.1 An Introduction to Rust's Model of Memory Management

Rust, a systems programming language [9] is designed to provide memory safety with no runtime overhead. Rather than relying on a garbage collector to provide run-time memory safety, Rust relies on strong compile time checks. This system of compile time checks is referred to as *ownership*, and is derived from affine type systems and unique pointers to memory locations[7] [4].

#### Affine types

Affine types are a development of Linear type systems. Linear type systems ensure that every variable is used exactly once. In an affine type system, a variable may be used at most once, i.e. zero or one times. This has applications for managing resources in a program that do not persist the whole time that the program is running, e.g. file handles or heap allocated memory [5] [10].

#### Ownership

Values in Rust are bound to a single *owner*, which is the variable to which it is bound. When the owning variable goes out of scope, the value is freed. For example:

```
{
    let x = 69;
}
```

When `x` goes out of scope the value associated with it will also be released from memory. Because there can only be a single owner associated with a value, aliasing a variable is not allowed. This prevents any two parts of a Rust program from accessing a section of heap memory at the same time.

```
{
    let x = Thing::new();
    let y = x;
    println!("{}", x);
}
```

The above code does not compile because `x` has been moved to `y`, and there is an attempt to use `x` in the print statement. Using a variable as a function argument will also make it not available for use again. If a function uses some value that has been passing in as a argument it must return that same value if the scope outside that function uses it.

```
// value is comes from outside the scope of this function
fn foo(value: Bar) -> Bar {
    // do stuff with value, returning it and hence handing back ownership
    value
}
```

This is not practical, and gets even less practical once there are more arguments that must be passed back to the caller of the function. To this end Rust allows references to a value to be created. Creating a reference to a value in Rust is called borrowing. A reference to a value may be created and passed in to a function that uses it in some way, and that function does not need to thread the ownership of that variable back to the caller.

```
// instead of passing value1 and value2 in by value, create references to values
fn foo(value1: &Bar, value2: &Bar) -> u32 {
    // do stuff with value1 and value2 and return the answer
    123
}
```

There are two kinds of borrows: immutable and mutable. There may be many immutable borrows of a resource at once or a single mutable borrow. This also prevents heap data from being accessed at the same time at different points in the program. The scope of borrow must always be shorter than that of the resource which it borrows.

This system where resources are freed after the single owner goes out of scope, as well as borrows always having shorter lifetimes than original resource mean that Rust can enforce the memory safety of programs. These concepts are built into the type system.

## **4.4 Representing Programs as Data Structures**

talk about ASTs here

## **4.5 Type checking**

talk about ast tree traversal

## Chapter 5

# Formal Definitions

This chapter attempts to formalize some of the concepts from Chapter 4. The lambda calculus is introduced as a model of computation and as a system for reasoning about features found in programming languages. Types are introduced to the lambda calculus as well as other more complex extensions relevant to this project. The higher order polymorphic lambda calculus, known as System  $F_\omega$ , is included as well as a lifetime-calculus devised for this project.

### 5.1 Lambda Calculus

The lambda calculus is model of computation where the only behaviour is function definition and application. It is commonly used to specify programming language features and to formalize their behaviour. Specifically, it is used to reason about type systems. The lambda calculus can be viewed as a miniature programming language and a system where strict properties can be proved.

$t$	$::=$	$x$	variables
		$\lambda x . t$	function abstraction
		$t t$	function application

Figure 5.1: Grammer of the untyped lambda calculus.

#### 5.1.1 Simple Types in the Lambda Calculus

The lambda calculus can be extended with the most simple of typing systems. In order to demonstrate how the lambda calculus can be used to reason about type systems, some additional constructs added. If Boolean literals some other concepts are added it becomes more clear how types are useful in programming languages.

**Terms**

$t$	$::=$	$x$	variables
		$\lambda x : T . t$	function abstraction, with type annotations
		$true$	true literal
		$false$	false literal
		$\text{if } t \text{ then } t \text{ else } t$	if-then-else expression
		$t \ t$	function application

**Values**

$v$	$::=$	$\lambda x : T . t$	lambda value
		$true$	true literal value
		$false$	false literal value

**Types**

$T$	$::=$	$T \rightarrow T$	type of functions
		$Bool$	type of boolean literals

Figure 5.2: Lambda calculus extended with simple types and booleans.

Programs can be constructed using the language defined in Figure 5.1.1 that do not make any sense. For example:

```
baddef = if  $\lambda x : Bool.x$  then false else true
```

This program is constructed according to the grammar of terms. However, is not well typed according to the typing rules in Figure ???. Specifically, the type of the term found in the guard of the if-expression,  $\lambda x : Bool.x$  has type  $Bool \rightarrow Bool$  but has to be  $Bool$  for the term to be considered well typed. It makes sense to restrict the sorts of values found in the guard of an if-then-else expression. Anything other than a boolean value in that place may indicate programmer error, which could be as simple as a spelling mistake or a fundamental misunderstanding of what they are trying to express. Type systems help catch these kind of mistakes before program execution. Specifying these rules on top of the lambda calculus can be a very informative way of looking at programming language features. The rest of this chapter describes other extensions to the lambda calculus that model some of the programming concepts investigated in this report.

**5.1.2 System F**

System F is an extension of the simply typed lambda calculus [6] that allows for quantification over types as well as terms. In doing this it formalizes the notion of polymorphism in programming languages, as described in Section 4.1.1. It is used to study implementations of polymorphism in programming languages.

As the simply typed lambda calculus allows for abstraction of terms outside of terms through function definitions, System F introduces abstractions at the level of types. The system also allows for application of type level expressions. This system can be used to reason about first order polymorphism, however more extensions to talk about higher order polymorphism. These extensions are introduced in Section 5.1.3.

### Terms

$t$	$::=$	$x$	variables
		$\lambda x : T . t$	function abstraction, with type annotations
		$t \ t$	function application
		$\lambda X . t$	type abstraction
		$t \ [T]$	type application

### Values

$v$	$::=$	$\lambda x : T . t$	lambda value
		$\lambda X . t$	type abstraction value

### Types

$T$	$::=$	$T \rightarrow T$	type of functions
		$X$	type variables
		$\forall X . T$	universal type

Figure 5.3: System F

### Examples

System F models first order polymorphism in programming languages, such as aspect of the generics found in Java. Some examples of how System F can be used are given below:

```
id =  $\lambda X . \lambda x : X . x$ 
boolId = id [Bool]
```

This example shows type level lambdas, where  $X$  is a type variable that has been abstracted out of the function definition. The term level lambda abstracts  $x$  which has the type  $X$ . Applying `id` to a type maybe be written as `id [Bool]`, which yields  $\lambda x : Bool . x$ , or the identify function over boolean values. Here  $X$  in the original definition of `id` has been instantiated with the type of Booleans.

#### 5.1.3 System $F \omega$

System  $F \omega$  is also known as the higher order polymorphic lambda calculus. It takes concepts from the polymorphic lambda calculus, or System F, and combines these with type constructors described in Section 5.1.3. From a programming point of view, System  $F \omega$  allows us to write polymorphic functions not only at the level of types, but also in type constructors.

Most usefully System  $F \omega$  can be used to build type safe abstractions around traditionally impure forms of computation; typically in the form of a *monad*. Not only is higher order polymorphism very good at formalizing monads, but also very good at combining them. *Monad transformers* are a key example, but outside the scope of this paper. An example of monad transformers in use can be found in Section 7.4.6. Haskell uses the concepts to great effect.

### Type Operators

Type constructors were mentioned in Section 4.1.1 and this section attempts to frame this idea within the lambda calculus. As mentioned previously, type constructors can be thought of functions from types to new types. Application and abstraction at the level of types can be modelled as a type system for the lambda calculus.

**Terms**

$t ::= x$	variables
$\mid \lambda x : T . t$	function abstraction, with type annotations
$\mid t \ t$	function application

**Values**

$v ::= \lambda x : T . t$	lambda value
---------------------------	--------------

**Types**

$T ::= T \rightarrow T$	type of functions
$\mid \lambda X :: K . T$	operator lambda
$\mid T \ T$	operator application
$\mid X$	type variables

**Kinds**

$K ::= *$	kind of concrete types
$\mid K \rightarrow K$	kind of type operators

Figure 5.4: Type operators and Kinding

Kinds play a key role here. Just as types enforce well-formed expressions at the level of terms, Kinds are needed to check that expressions at the level of types are well formed. In other words, Kinds do not allow non-sensicle expressions like `Bool Int`, because `Bool` is not a type constructor. Concrete types, also called base types, have Kind `*`. These are the types that may be the type of terms in the language like `false`. Type constructors, like `List` have kind `*  $\rightarrow$  *`. They need to be parametrized with a type that had kind `*`.

Type operators combined with System F forms the basis of System F  $\omega$ . In effect System F  $\omega$  turns type constructors into first class values of the language. Kind annotations are carried over from the lambda calculus with type constructors.

### Terms

$t$	$::=$	$x$	variables
		$\lambda x : T . t$	function abstraction, with type annotations
		$t \ t$	function application
		$\lambda X :: K . t$	type abstraction
		$t \ [T]$	type application

### Values

$v$	$::=$	$\lambda x : T . t$	lambda value
		$\lambda X :: K . t$	type abstraction value

### Types

$T$	$::=$	$T \rightarrow T$	type of functions
		$\lambda X :: K . T$	operator lambda
		$T \ T$	operator application
		$X$	type variables
		$\forall X :: K . t$	universal type

### Kinds

$K$	$::=$	$*$	kind of concrete types
		$K \rightarrow K$	kind of type operators

Figure 5.5: System  $F \omega$

### Examples

examples of programming in System  $F \omega$

## 5.2 Lifetimes

syntax of lifetimes

### 5.2.1 Adding Impurity

var references, borrow types, etc

## 5.3 Final Typing Rules

final grammar and typing derivations for the language

## **Chapter 6**

# **Requirements**



## Chapter 7

# Implementation

This chapter describes how the ideas and type system described in Chapter 5 will be implemented. As stated in Chapter 6, one of the goals for this project is to build a program that will be able to parse, type check, and evaluate a language based on the lambda calculus. The most involved phase of implementation and the focus of this project involves the type checking phase, which includes lifetime checking of pointers and references, polymorphic type checking, and checking that the language of types themselves is well formed, or kind checking.

The requirements of this project influence the choice of the language of implementation. Haskell was chosen as it is well suited to the tasks of parsing, abstract syntax tree declaration, and is in general a practical language to work with.

### 7.1 The Haskell Language

Haskell is a general purpose, statically typed, functional language [3]. It has several desirable features for a language implementation language. These include:

- Algebraic data types, which are very good at representing abstract syntax trees, used to describe programming languages.
- Pattern matching, which is useful for deconstructing abstract syntax trees.
- A wealth of effective parsing libraries, convening several paradigms.
- Idiomatic monadic programming, which can be used to reduce error handling and state threading boilerplate out of the logic of the program.

It also has the benefit of having higher kinded types built into the language, making it ideal for testing potential features of the implemented language. The language described in Chapter 5 has been implemented as a Haskell program. The rest of this chapter describes how this was accomplished in more specific detail.

### 7.2 Stack, Haskell's Build System

Stack was used as the build tool for this project [8]. This made it easy to compile and test the project as well as managing libraries. Several commands were very useful in managing the project, including `stack build` to compile all changes to the project and `stack test` which ran all of the tests for the project. The lexer and parser generator source files were not Haskell source files but instead specify how Haskell source files should be built. These tools are described in detail in later sections but Stack was used to automate the building of these tools as well. Finally, Stack provides an interface to an interactive interpreter for Haskell, GHCi. This tool was used extensively to test parts of the project as they were being developed.

## 7.3 Parsing

A lexer generator, Alex [1], and parser generator, Happy [2], were used in this project. Using these tools in combination made parsing the language into the Haskell representation of the abstract syntax simple. The grammars provided for the parser generator are a very close approximation of Haskell data type. Both Alex and Happy generate Haskell files when run which implement the specified grammars.

## 7.4 Components of the Type Checker

### 7.4.1 Terms

The Haskell representation of terms of the language are given in Listing 7.1. Type lambdas and Lifetime lambdas can be seen as first class citizens here.

```
data Term
  = Var String
  | Lit Int
  | Lam String (Lifetime, Type) Term
  | App Term Term
  | TyLam String Kind Term
  | TyApp Term Type
  | LiLam String Term
  | LiApp Term Term
  | Lt Lifetime
  deriving (Eq, Show)
```

Listing 7.1: Haskell representation of Terms.

### 7.4.2 Kind Checker

The kind checker makes sure that the type expressions in a supplied program are well-formed. The Haskell abstract data type that represents kind expression is show in Listing 7.2.

```
data Kind
  = KnStar
  | KnArr Kind Kind
  deriving (Eq, Show)
```

Listing 7.2: Haskell representation of Kinds.

### 7.4.3 Lifetime Checking

The syntax of lifetime is show in Listing 7.3.

```
data Lifetime
  = LiVar String
  | LiLit Int
  | LiStatic
  | LiDummy
  deriving (Eq, Show)
```

Listing 7.3: Haskell representation of Lifetimes..

`LiDummy` is used here as a dummy place holder for occurrences of lifetime literals during parsing, as lifetimes are associated with the scope of terms. An initial walk of the tree replaces dummy values with the `LiLit` value that represents the depth of the scope that the lifetime value is found in.

## 7.4.4 Type Checking

Type checking is rather involved in this language as the type system almost contains the lambda calculus itself.

```
data Type
  = TyVar String
  | TyInt
  | TyArr Type Type
  | Forall String Kind Type
  | OpLam String Kind Type
  | OpApp Type Type
  deriving (Eq, Show)
```

Listing 7.4: Haskell representation of Types.

## 7.4.5 Error Reporting

Any part of the program that may result in some kind of error is wrapped in a partial application of Haskell's error monad, `Except`, to a custom error data type:

```
type ThrowsError = Except LangErr

data LangErr
  = ParseError
  | NoMain
  | VarNotFound String
  | WrongKind Kind Kind
  | NotKnArr Kind
  | WrongType Type Type
  | NotTyArr Type
  | NotForall Type
  deriving (Eq, Show)
```

Listing 7.5: Partially applied error monad and language errors.

This has the advantage of being very composeable and also of reducing error handling boilerplate in the program.

## 7.4.6 Context Management

The lifetime checker, kind checker, and type checker all rely on a variable typing context. These contexts are threaded through the program using Haskell's environment monad, also known as the Reader monad. The record type holding these contexts is shown in Listing 7.6. Contexts are represented as a map from variable names are strings to some value, using Haskell's own built in strict map data structure.

```
type Ctx = Map.Map String

data Env = Env
  { _typeCtx :: Ctx (Type, Lifetime)
  , _kindCtx :: Ctx Kind
  , _ltCtx :: Ctx Lifetime
  } deriving (Show, Eq)
```

Listing 7.6: Record data type showing contexts.

The monad transformer stack where parsing, lifetime checking, kind checking, and type checking take place is therefore:

```
type Typing = ReaderT Env ThrowsError
```

Listing 7.7: Environment and error monad stack.

## 7.5 Testing

The testing aspect of this project was very important, and was the only way to have some idea that the program worked as expected. Specifically, these tests needed to be automated so that any changes in the behaviour of the program could be checked to make sure that they did not break any previous behaviours. Test programs were manually written, and then loaded from file.

Testing code goes here, unit tests etc

The correctness of the parser, type checker, and evaluator was tested with the HUnit testing framework for Haskell. This tool integrates with the stack build tool so that all tests can be run with a single command. Tests themselves were small programs— these small programs were loaded from file, parsed, and then type checked. The resulting type was then validated against the expected type that was associated with each test file. This system had the advantage that the whole process was checked any time that changes were made to the behaviour of the type checker.

Certain tests were simply to test the parser aspect of the program but others demonstrated key behavior expected from the language. These tests are covered in more detail in Chapter 8.

# Chapter 8

## Key Tests

This section describes key test programs that demonstrate programming with higher kinded types and lifetimes. The syntax the language is described in Chapter 5. Additional constructs have been added for clarity, such as allowing a term to be defined with a name.

### 8.1 Simple Programs

The programs in this section serve as an introduction to the language syntax and style, without introducing more advanced features. Some of the examples are polymorphic in their type.

#### 8.1.1 Polymorphic Identity Function

This example shows the polymorphic identity function:

```
id: (X, 'a) → (X, 'a)
id = λX::* . λ'a . λx: (X, 'a) . x
```

Listing 8.1: Identity function

Above, the type of the function is give. All function parameters are associated with a lifetime as well as a type. This is what is meant by the pair  $(X, 'a)$ . Here  $x$  is a type parameter which is introduced starting in the scope of the function  $\lambda X::* \dots$ . The while  $\text{id}$  term must be applied to type first, as the type lambda expression is outermost. The type that the expression is applied to must be of kind  $*$ , like  $\text{Int}$  or  $X \rightarrow X$ . For example,  $\text{id } [\text{Int}]$  is type correct.

The term  $\lambda 'a \dots$  is a lifetime lambda. This term must be applied to some lifetime expression, such as another lifetime variable or a static lifetime.

#### 8.1.2 Multiple Lifetimes and References

More concepts are introduced in this example:

```
add: (&Int, 'a) → (&Int, 'b) → (Int, min('a, 'b))
add = λ'a . λ'b . λx: (&Int, 'a) . λy: (&Int, 'b) . !x + !y
```

Listing 8.2: Addition of two references

Here the dereference operator is used to get the values in the locations held by  $x$  and  $y$ . Both of these variables have the type of references of  $\text{Int}$ , demonstrated in the type,  $\&\text{Int}$ . These values have an associated lifetime, or the length that they will exist in memory for. The lifetime of the whole resulting expression is the either  $'a$  or  $'b$ , whichever exists for the shortest length of time. In other words, whichever lifetime is at the top of the stack.

It is quite useful to have multiple lifetimes bound here.  $x$  may exist for a different length of time then  $y$ . A common real world example would be searching for some value in a larger data structure; the value to search for would only

need to exist for the length of the search function, whereas the structure being search might need to exist for a much longer time.

### 8.1.3 Higher Order Functions

This example shows functions being used as first class values in the language, which is not very interesting in itself, but the type signatures of the functions are of note. In the simply typed lambda calculus, functions may have type  $X \rightarrow X$ . Here, functions must annotate the variable that they bind with a lifetime as well as a type. Hence, a function might have the signature  $(X, 'a) \rightarrow (X, 'a)$ , meaning that a function takes a value of type  $X$  that lives for  $'a$  and return a value of the same type and lifetime.

```
compose: ((B, 'a) → (C, 'a)) → ((A, 'a) → (B, 'a)) → (A, 'a) → (C, 'a)
compose = λA:: * . λB:: * . λC:: * .
    λ'a .
        λf: (B, 'a) → (C, 'a) .
            λg: (A, 'a) → (B, 'a) .
                λx: (A, 'a) . f (g x)
```

Listing 8.3: Function composition

The signatures of  $f$  and  $g$  show that they may return values with different types, but the same lifetimes. In fact, all values in this version of `compose` must live for the same length of time.

## 8.2 Memory safety

The intention of this section is to show how programs written in an unsafe language, like C, can be transformed into a language with memory safety. Specifically, problems in C programs will be highlighted and an equivalent program will be shown that does not compile.

dangle example, calc example that does not typecheck, explain why

## 8.3 Basic tests

Here basic aspects such as definition

```
double:
double = λX:: * . λa' . λf: (X, 'a) → (X, 'a) . λx: (X, 'a) . f (f x)
```

Listing 8.4: Double Function application

```
quad:
quad = λX:: * . λ'a . double [X → X] a' (double [X] 'a)
```

Listing 8.5: Quadruple application function using double

## **Chapter 9**

# **Evaluation**

### **9.1 Problems**

## **Chapter 10**

# **Conclusion**

### **10.1 Further Work**



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