

## Lab 8.3: Kafka Security SASL PLAIN



Welcome to the session 8 lab 3. The work for this lab is done in `~/kafka-training/labs/lab8.3`. In this lab, you are going to Kafka SASL PLAIN.

### Important!

Run following script first to stop any running kafka/zookeeper process and clear logs.

```
~/kafka-training/kill-clean.sh
```

**Note:** Lab solution is available in following directory: `~/kafka-training/labs/lab8.3/solution`

## Kafka and SASL PLAIN

You should use **SASL/PLAIN** with **SSL** only as **transport layer** to ensure no clear text passwords are not transmitted. The Kafka default implementation of **SASL/PLAIN** specifies *username*s and *password*s in **JAAS** config files.

## Create JAAS config for ZooKeeper add admin user

To log into ZooKeeper, you would need user *admin* and a password (*kafka-123*).

You would configure this via JAAS file called `zookeeper_jaas.conf`.

which will live under `/opt/kafka/config/security/zookeeper_jaas.conf`.

### ZooKeeper JAAS file

`~/kafka-training/labs/lab8.3/resources/opt/kafka/conf/security/zookeeper_jaas.conf`

```
// Zookeeper server authentication
Server {
    org.apache.kafka.common.security.plain.PlainLoginModule required
    username="admin"
    password="kafka-123"
    user_admin="kafka-123";
};
```

Note we are using PlainLoginModule from Kafka.

**ACTION** EDIT `resources/opt/kafka/conf/security/kafka_broker_jaas.conf` and follow instructions in file

## Modify ZooKeeper properties file add SASL config

We need ZooKeeper to use `org.apache.zookeeper.server.auth.SASLAuthenticationProvider` as its `authProvider`. This `authProvider` requires JaaS login via SASL config/zookeeper.properties.

### Use Kafka SASLAuthenticationProvider from ZooKeeper

`~/kafka-training/labs/lab8.3/config/zookeeper.properties`

```
dataDir=/tmp/zookeeper-secure2
clientPort=2181
maxClientCnxns=0
```

```
authProvider.1=org.apache.zookeeper.server.auth.SASLAuthenticationProvider
requireClientAuthScheme=sasl
jaasLoginRenew=3600000
```

Note we are using SASLAuthenticationProvider from Kafka.

**ACTION** EDIT config/zookeeper.properties and follow instructions in file

## Modify ZooKeeper startup script add JAAS config location

We need to copy JAAS config files to `/opt/kafka/config/security`:

```
cd ~/kafka-training/labs/lab8.3/solution
cp -R resources/opt/kafka/conf/security /opt/kafka/conf/
```

**Note:** You can copy files from `~/kafka-training/labs/lab8.3` folder as well if you have updated the files.

**KAFKA\_OPTS** used by kafka startup scripts to pass extra args to JVM.

**Make ZooKeeper use JAAS config file**

**~/kafka-training/labs/lab8.3/bin/run-zookeeper.sh**

```
#!/usr/bin/env bash
CONFIG=`pwd`/config
cd ~/kafka-training

export KAFKA_JAAS_FILE="/opt/kafka/conf/security/zookeeper_jaas.conf"
export KAFKA_OPTS="-Djava.security.auth.login.config=$KAFKA_JAAS_FILE"

## Run ZooKeeper
kafka/bin/zookeeper-server-start.sh \
    "$CONFIG/zookeeper.properties"
```

**ACTION** EDIT bin/run-zookeeper.sh and follow instructions in file

## Create JAAS config for Kafka Brokers add users (admin, consumer, producer)

We will also need a JAAS config file for the broker which will live under

`/opt/kafka/conf/security/kafka_broker_jaas.conf`. This JAAS config file will set up users for admin for zookeeper, and for inter-broker communication, as well as set up users for consumers and producers.

**JAAS config file for Broker**

**~/kafka-training/labs/lab8.3/resources/opt/kafka/conf/security/kafka\_broker\_jaas.conf**

```
KafkaServer {
    org.apache.kafka.common.security.plain.PlainLoginModule required
    username="admin"
    password="kafka-123"
    user_admin="kafka-123"
    user_stocks_consumer="consumer123"
    user_stocks_producer="producer123";
```

```
};

// Zookeeper client authentication
Client {
    org.apache.kafka.common.security.plain.PlainLoginModule required
    username="admin"
    password="kafka-123";
};
```

**ACTION** - EDIT `resources/opt/kafka/conf/security/kafka_broker_jaas.conf` and follow instructions in file

## Modify Kafka Brokers Config properties file add SASL config

We will need to edit config files `config/server-0.properties`, `config/server-1.properties`, `config/server-2.properties`.

Enabled **SASL** support to use **PLAIN SASL**.

Inter-broker communication is using **SASL\_SSL** and config producers and consumers to use **10092, 10093, 10094** with **SASL\_SSL** protocol.

**~/kafka-training/labs/lab8.3/config/server-0.properties**

```
broker.id=0

listeners=PLAINTEXT://localhost:9092,SASL_SSL://localhost:10092
sasl.mechanism.inter.broker.protocol=PLAIN
sasl.enabled.mechanisms=PLAIN

ssl.keystore.location=/opt/kafka/conf/certs/kafka.keystore
ssl.keystore.password=kafka123
ssl.key.password=kafka123
ssl.truststore.location=/opt/kafka/conf/certs/kafka.truststore
ssl.truststore.password=kafka123
ssl.client.auth=required

log.dirs=./logs/kafka-0
default.replication.factor=3
num.partitions=8
min.insync.replicas=2
auto.create.topics.enable=false
broker.rack=us-west2-a
queued.max.requests=1000
auto.leader.rebalance.enable=true
zookeeper.connect=localhost:2181
delete.topic.enable=true
compression.type=producer
message.max.bytes=65536
replica.lag.time.max.ms=5000
num.network.threads=3
num.io.threads=8
socket.send.buffer.bytes=102400
```

```
socket.receive.buffer.bytes=102400
socket.request.max.bytes=104857600
num.recovery.threads.per.data.dir=1
log.retention.hours=168
log.segment.bytes=1073741824
log.retention.check.interval.ms=300000
zookeeper.connection.timeout.ms=6000
```

**ACTION** - EDIT config/server-0.properties and follow directions

**~/kafka-training/labs/lab8.3/config/server-1.properties**

```
broker.id=1
listeners=PLAINTEXT://localhost:9093,SASL_SSL://localhost:10093
sasl.mechanism.inter.broker.protocol=PLAIN
sasl.enabled.mechanisms=PLAIN

ssl.keystore.location=/opt/kafka/conf/certs/kafka.keystore
ssl.keystore.password=kafka123
ssl.key.password=kafka123
ssl.truststore.location=/opt/kafka/conf/certs/kafka.truststore
ssl.truststore.password=kafka123
ssl.client.auth=required

log.dirs=./logs/kafka-1
min.insync.replicas=1
auto.create.topics.enable=false
zookeeper.connect=localhost:2181
num.partitions=1
delete.topic.enable=true
broker.rack=rack1
auto.leader.rebalance.enable=true
compression.type=producer
message.max.bytes=65536
replica.lag.time.max.ms=5000
num.network.threads=3
num.io.threads=8
socket.send.buffer.bytes=102400
socket.receive.buffer.bytes=102400
socket.request.max.bytes=104857600
num.recovery.threads.per.data.dir=1
log.retention.hours=168
log.segment.bytes=1073741824
log.retention.check.interval.ms=300000
zookeeper.connection.timeout.ms=6000
```

**ACTION** - EDIT config/server-1.properties and follow directions

**~/kafka-training/labs/lab8.3/config/server-2.properties**

```
broker.id=2
```

```
listeners=PLAINTEXT://localhost:9094,SASL_SSL://localhost:10094
sasl.mechanism.inter.broker.protocol=PLAIN
sasl.enabled.mechanisms=PLAIN

ssl.keystore.location=/opt/kafka/conf/certs/kafka.keystore
ssl.keystore.password=kafka123
ssl.key.password=kafka123
ssl.truststore.location=/opt/kafka/conf/certs/kafka.truststore
ssl.truststore.password=kafka123
ssl.client.auth=required

log.dirs=./logs/kafka-2
min.insync.replicas=1
auto.create.topics.enable=true
zookeeper.connect=localhost:2181
num.partitions=1
delete.topic.enable=true
broker.rack=rack2
auto.leader.rebalance.enable=true
compression.type=producer
message.max.bytes=65536
replica.lag.time.max.ms=5000
num.network.threads=3
num.io.threads=8
socket.send.buffer.bytes=102400
socket.receive.buffer.bytes=102400
socket.request.max.bytes=104857600
num.recovery.threads.per.data.dir=1
log.retention.hours=168
log.segment.bytes=1073741824
log.retention.check.interval.ms=300000

zookeeper.connection.timeout.ms=6000
```

**ACTION** - EDIT config/server-2.properties and follow directions

## Modify Kafka Broker startup script add JAAS config location

~/kafka-training/labs/lab8.3/bin/start-1st-server.sh

```
#!/usr/bin/env bash
CONFIG=`pwd`/config
cd ~/kafka-training

export KAFKA_JAAS_FILE="/opt/kafka/conf/security/kafka_broker_jaas.conf"
export KAFKA_OPTS="-Djava.security.auth.login.config=$KAFKA_JAAS_FILE"

## Run Kafka for 1st Server
kafka/bin/kafka-server-start.sh \
    "$CONFIG/server-0.properties"
```

**ACTION** - EDIT bin/start-1st-server.sh and follow directions

~/kafka-training/labs/lab8.3/bin/start-1st-server.sh

```
#!/usr/bin/env bash
CONFIG=`pwd`/config
cd ~/kafka-training

export KAFKA_JAAS_FILE="/opt/kafka/conf/security/kafka_broker_jaas.conf"
export KAFKA_OPTS="-Djava.security.auth.login.config=$KAFKA_JAAS_FILE"

## Run Kafka for 1st Server
kafka/bin/kafka-server-start.sh \
    "$CONFIG/server-0.properties"
```

**ACTION** - EDIT bin/start-2nd-server.sh and follow directions

~/kafka-training/labs/lab8.3/bin/start-1st-server.sh

```
#!/usr/bin/env bash
CONFIG=`pwd`/config
cd ~/kafka-training

export KAFKA_JAAS_FILE="/opt/kafka/conf/security/kafka_broker_jaas.conf"
export KAFKA_OPTS="-Djava.security.auth.login.config=$KAFKA_JAAS_FILE"

## Run Kafka for 1st Server
kafka/bin/kafka-server-start.sh \
    "$CONFIG/server-0.properties"
```

**ACTION** - EDIT bin/start-3rd-server.sh and follow directions

## Create JAAS config for Consumer add user

We will need to configure username and password in JAAS file to log into Kafka Brokers.

~/kafka-training/labs/lab8.3/resources/opt/kafka/conf/security/kafka\_consumer\_stocks\_jaas.conf

```
KafkaClient {
    org.apache.kafka.common.security.plain.PlainLoginModule required
    username="stocks_consumer"
    password="consumer123";
};
```

**ACTION** - EDIT resources/opt/kafka/conf/security/kafka\_consumer\_stocks\_jaas.conf and follow directions

## Modify Consumer createConsumer() add SASL config and JAAS config location

~/kafka-training/labs/lab8.3/src/main/java/com/fenago/kafka/consumer/ConsumerUtil.java

```

package com.fenago.kafka.consumer;

import com.fenago.kafka.model.StockPrice;
import org.apache.kafka.clients.CommonClientConfigs;
import org.apache.kafka.clients.consumer.Consumer;
import org.apache.kafka.clients.consumer.ConsumerConfig;
import org.apache.kafka.clients.consumer.KafkaConsumer;
import org.apache.kafka.common.serialization.StringDeserializer;
import org.slf4j.Logger;
import org.slf4j.LoggerFactory;

import java.util.ArrayList;
import java.util.List;
import java.util.Properties;
import java.util.concurrent.ExecutorService;
import java.util.concurrent.TimeUnit;
import java.util.stream.IntStream;

import static java.util.concurrent.Executors.newFixedThreadPool;

public class ConsumerUtil {
    public static final String BROKERS = "localhost:10092,localhost:10093";

    private static Consumer<String, StockPrice> createConsumer(
        final String bootstrapServers, final String clientId ) {

        System.setProperty("java.security.auth.login.config",
            "/opt/kafka/conf/security/kafka_consumer_stocks_jaas.conf");

        final Properties props = new Properties();
        props.put(ConsumerConfig.BOOTSTRAP_SERVERS_CONFIG,
            bootstrapServers);
        props.put(CommonClientConfigs.SECURITY_PROTOCOL_CONFIG, "SASL_SSL");
        props.put("sasl.mechanism", "PLAIN");
        props.put("ssl.keystore.location",
            "/opt/kafka/conf/certs/kafka.keystore");
        props.put("ssl.keystore.password", "kafka123");
        props.put("ssl.truststore.location",
            "/opt/kafka/conf/certs/kafka.truststore");
        props.put("ssl.truststore.password", "kafka123");
        props.put(ConsumerConfig.ENABLE_AUTO_COMMIT_CONFIG, false);
        props.put(ConsumerConfig.CLIENT_ID_CONFIG, clientId);
        props.put(ConsumerConfig.GROUP_ID_CONFIG,
            "StockPriceConsumer");
        props.put(ConsumerConfig.KEY_DESERIALIZER_CLASS_CONFIG,
            StringDeserializer.class.getName());
        props.put(ConsumerConfig.VALUE_DESERIALIZER_CLASS_CONFIG,
            StockDeserializer.class.getName());
        props.put(ConsumerConfig.MAX_POLL_RECORDS_CONFIG, 500);
        return new KafkaConsumer<>(props);
    }
}

```

```
...  
}
```

**ACTION** - EDIT src/main/java/com/fenago/kafka/consumer/ConsumerUtil.java and follow directions

## Create JAAS config for Producer add user

We will need to configure username and password in JAAS file to log into Kafka Brokers.

~/kafka-training/labs/lab8.3/resources/opt/kafka/conf/security/kafka\_producer\_stocks\_jaas.conf

```
KafkaClient {  
    org.apache.kafka.common.security.plain.PlainLoginModule required  
    username="stocks_producer"  
    password="producer123";  
};
```

**ACTION** - EDIT resources/opt/kafka/conf/security/kafka\_producer\_stocks\_jaas.conf and follow directions

## Modify Producer createProducer() add SASL config and JAAS config location

~/kafka-training/labs/lab8.3/src/main/java/com/fenago/kafka/producer/support/StockPriceProducerUtils.java

```
package com.fenago.kafka.producer.support;  
  
import com.fenago.kafka.model.StockPrice;  
import io.advantageous.boon.core.Lists;  
import org.apache.kafka.clients.CommonClientConfigs;  
import org.apache.kafka.clients.producer.KafkaProducer;  
import org.apache.kafka.clients.producer.Producer;  
import org.apache.kafka.clients.producer.ProducerConfig;  
import org.apache.kafka.common.serialization.StringSerializer;  
import org.slf4j.Logger;  
import org.slf4j.LoggerFactory;  
  
import java.util.List;  
import java.util.Properties;  
import java.util.concurrent.ExecutorService;  
import java.util.concurrent.Executors;  
import java.util.concurrent.TimeUnit;  
  
public class StockPriceProducerUtils {  
  
    private static Producer<String, StockPrice> createProducer() {  
  
        System.setProperty("java.security.auth.login.config",  
            "/opt/kafka/conf/security/kafka_producer_stocks_jaas.conf");  
  
        final Properties props = new Properties();
```



```

        props.put(ProducerConfig.BOOTSTRAP_SERVERS_CONFIG,
            "localhost:10092,localhost:10093");
        props.put(CommonClientConfigs.SECURITY_PROTOCOL_CONFIG, "SASL_SSL");
        props.put("sasl.mechanism", "PLAIN");

        props.put("ssl.keystore.location",
            "/opt/kafka/conf/certs/kafka.keystore");
        props.put("ssl.keystore.password", "kafka123");
        props.put("ssl.truststore.location",
            "/opt/kafka/conf/certs/kafka.truststore");
        props.put("ssl.truststore.password", "kafka123");

        props.put(ProducerConfig.CLIENT_ID_CONFIG, "StockPriceProducerUtils");
        props.put(ProducerConfig.KEY_SERIALIZER_CLASS_CONFIG,
            StringSerializer.class.getName());
        props.put(ProducerConfig.VALUE_SERIALIZER_CLASS_CONFIG,
            StockPriceSerializer.class.getName());
        props.put(ProducerConfig.LINGER_MS_CONFIG, 100);
        props.put(ProducerConfig.BATCH_SIZE_CONFIG, 16_384 * 4);
        props.put(ProducerConfig.COMPRESSION_TYPE_CONFIG, "snappy");
        return new KafkaProducer<>(props);
    }
    ...
}

```

**ACTION** - EDIT `src/main/java/com/fenago/kafka/producer/support/StockPriceProducerUtils.java` and follow directions

**ACTION** - COPY JAAS files `cp -R resources/opt/kafka/conf/security /opt/kafka/conf/`

## Run the lab

Note: Make sure that you have completed lab 8.1 first.

**ACTION** - RUN ZooKeeper and three Kafka Brokers (scripts are under bin for ZooKeeper and Kafka Brokers).

Note: Do not run scripts inside `bin` directory. Run scripts from `~/kafka-training/labs/lab8.3/solution` directory

### Terminal 1

```

cd ~/kafka-training/labs/lab8.3/solution
bin/run-zookeeper.sh

```

### Terminal 2

```

cd ~/kafka-training/labs/lab8.3/solution
bin/start-1st-server.sh

```

### Terminal 3

```

cd ~/kafka-training/labs/lab8.3/solution
bin/start-2nd-server.sh

```

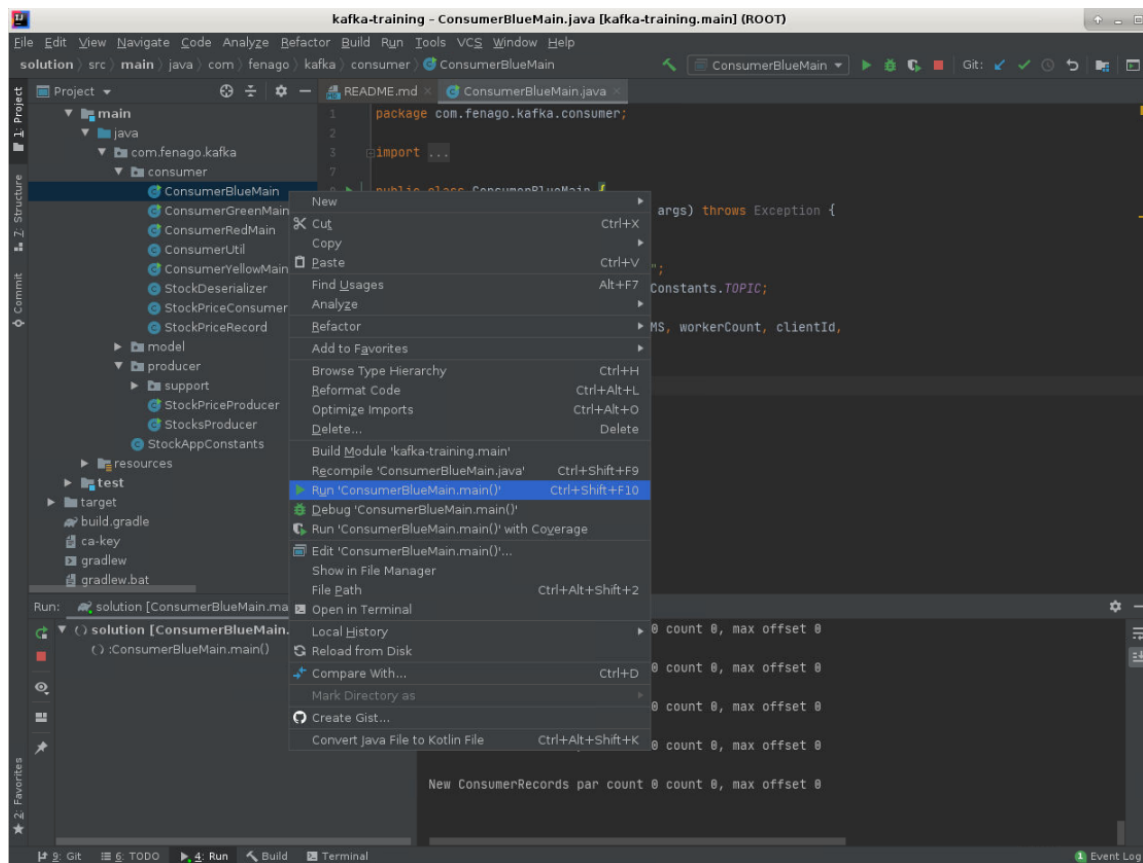
#### Terminal 4

```
cd ~/kafka-training/labs/lab8.3/solution
bin/start-3rd-server.sh
```

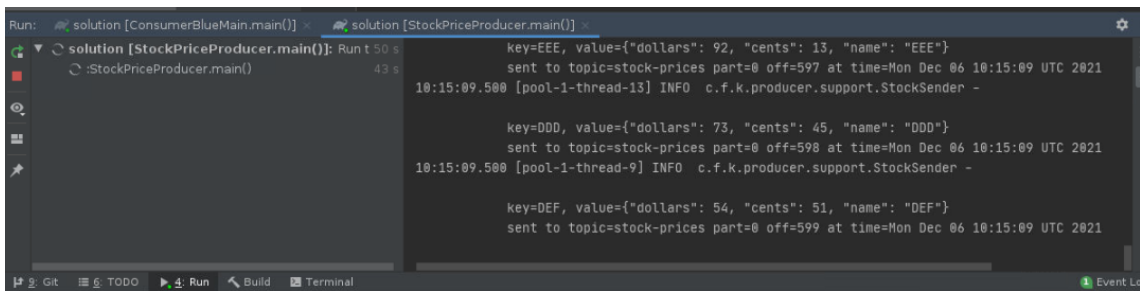
```
[2021-12-06 10:04:09,458] INFO Successfully authenticated client: authenticationID=admin; authorizationID=admin. (org.apache.zookeeper.server.auth.SaslServerCallbackHandler)
[2021-12-06 10:04:09,459] INFO Setting authorizedID: admin (org.apache.zookeeper.server.auth.SaslServerCallbackHandler)
[2021-12-06 10:04:09,459] INFO adding SASL authorization for authorizationID: admin (org.apache.zookeeper.server.ZooKeeperServer)
[2021-12-06 10:08:14,651] INFO Successfully authenticated client: authenticationID=admin; authorizationID=admin. (org.apache.zookeeper.server.auth.SaslServerCallbackHandler)
[2021-12-06 10:08:14,651] INFO Setting authorizedID: admin (org.apache.zookeeper.server.auth.SaslServerCallbackHandler)
[2021-12-06 10:08:14,651] INFO adding SASL authorization for authorizationID: admin (org.apache.zookeeper.server.ZooKeeperServer)
[2021-12-06 10:08:33,136] INFO Successfully authenticated client: authenticationID=admin; authorizationID=admin. (org.apache.zookeeper.server.auth.SaslServerCallbackHandler)
[2021-12-06 10:08:33,136] INFO Setting authorizedID: admin (org.apache.zookeeper.server.auth.SaslServerCallbackHandler)
[2021-12-06 10:08:33,136] INFO adding SASL authorization for authorizationID: admin (org.apache.zookeeper.server.ZooKeeperServer)
```

**Protip:** You should get above logs in zookeeper console after starting kafka servers.

**ACTION** - RUN ConsumerBlueMain from the IDE



**ACTION** - RUN StockPriceProducer from the IDE



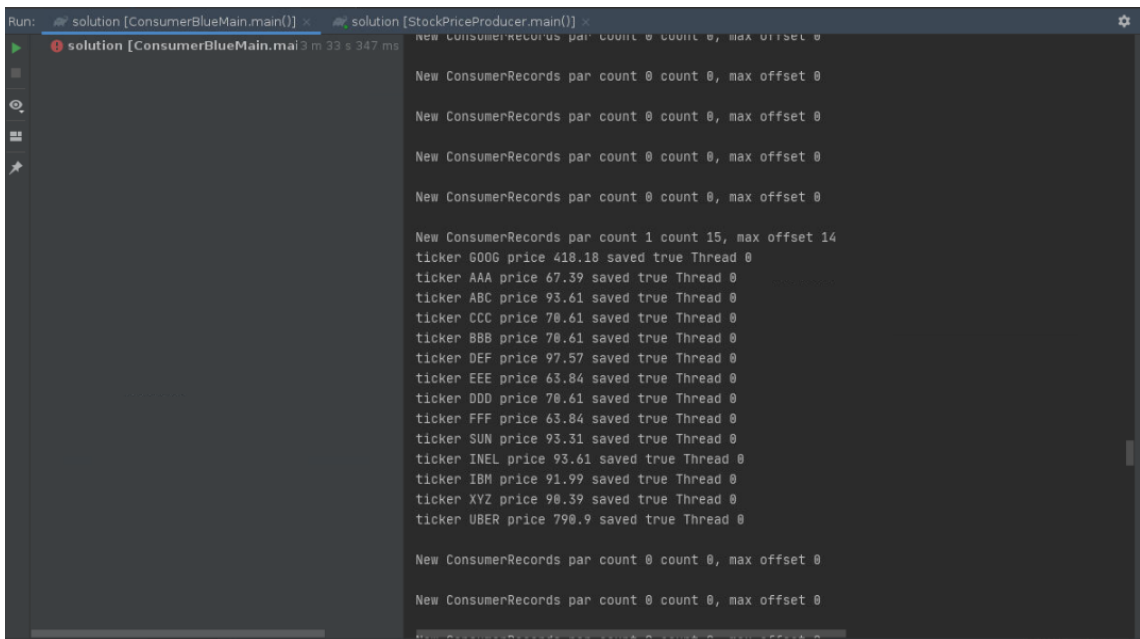
The screenshot shows an IDE with two tabs: 'solution [ConsumerBlueMain.main()]' and 'solution [StockPriceProducer.main()]'. The 'StockPriceProducer.main()' tab is active, displaying the following log output:

```
key=EEE, value={"dollars": 92, "cents": 13, "name": "EEE"}
sent to topic=stock-prices part=0 off=597 at time=Mon Dec 06 10:15:09 UTC 2021
10:15:09.500 [pool-1-thread-13] INFO c.f.k.producer.support.StockSender -

key=DDD, value={"dollars": 73, "cents": 45, "name": "DDD"}
sent to topic=stock-prices part=0 off=598 at time=Mon Dec 06 10:15:09 UTC 2021
10:15:09.500 [pool-1-thread-9] INFO c.f.k.producer.support.StockSender -

key=DEF, value={"dollars": 54, "cents": 51, "name": "DEF"}
sent to topic=stock-prices part=0 off=599 at time=Mon Dec 06 10:15:09 UTC 2021
```

*Wait for some time and verify that messages are logged in consumer console*



The screenshot shows the same IDE with the 'ConsumerBlueMain.main()' tab active. The log output shows the consumer processing records:

```
New ConsumerRecords par count 0 count 0, max offset 0
New ConsumerRecords par count 0 count 0, max offset 0
New ConsumerRecords par count 0 count 0, max offset 0
New ConsumerRecords par count 0 count 0, max offset 0

New ConsumerRecords par count 1 count 15, max offset 14
ticker GOOG price 418.18 saved true Thread 0
ticker AAA price 67.39 saved true Thread 0
ticker ABC price 93.61 saved true Thread 0
ticker CCC price 70.61 saved true Thread 0
ticker BBB price 70.61 saved true Thread 0
ticker DEF price 97.57 saved true Thread 0
ticker EEE price 63.84 saved true Thread 0
ticker DDD price 70.61 saved true Thread 0
ticker FFF price 63.84 saved true Thread 0
ticker SUN price 93.31 saved true Thread 0
ticker INEL price 93.61 saved true Thread 0
ticker IBM price 91.99 saved true Thread 0
ticker XYZ price 90.39 saved true Thread 0
ticker UBER price 790.9 saved true Thread 0

New ConsumerRecords par count 0 count 0, max offset 0
New ConsumerRecords par count 0 count 0, max offset 0
```

## Expected results

You should be able to send records from the producer to the broker and read records from the consumer to the broker using SASL PLAIN auth.