

Ref of Fu's 1993 paper

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1 The formula of δW_k

The linearized drift kinetic equation is given by

$$\left(\partial_t + \mathbf{v}_d \cdot \nabla + v_{\parallel} \hat{\mathbf{b}} \cdot \nabla \right) g = i \frac{e}{M} \frac{\partial F}{\partial \epsilon} (\omega - \omega_*) \frac{i}{\omega} \mathbf{v}_d \cdot \delta \mathbf{E}_{\perp} \quad (1)$$

where, $\mathbf{v}_d = \frac{\hat{\mathbf{b}}}{\omega_c} \times (\mu \nabla B + \kappa v_{\parallel}^2) \approx \frac{v_{\perp}^2/2 + v_{\parallel}^2}{\omega_c} \hat{\mathbf{b}} \times \kappa$, $\mu = \frac{v_{\perp}^2}{2B}$, $\omega_* = \frac{i \hat{\mathbf{b}} \times \nabla F \cdot \nabla}{\Omega \partial F / \partial \epsilon}$, $\epsilon = \frac{1}{2} v^2$, $\delta \mathbf{E}_{\perp} = i \omega \vec{\xi} \times \mathbf{B}$, $\omega_c = \frac{Be}{M}$ is the particle cyclotron frequency. [Berk, Phys. Fluid B 4 1992]

The term $\mathbf{v}_d \cdot \delta \mathbf{E}_{\perp}$ can be expressed by the following form.

$$\begin{aligned} \mathbf{v}_d \cdot \delta \mathbf{E}_{\perp} &= \frac{v_{\perp}^2/2 + v_{\parallel}^2}{\omega_c} \hat{\mathbf{b}} \times \kappa \cdot \delta \mathbf{E}_{\perp} = i \omega \frac{v_{\perp}^2/2 + v_{\parallel}^2}{\omega_c} \hat{\mathbf{b}} \times \tilde{\kappa} \cdot (\vec{\xi} \times \mathbf{B}) \quad (2) \\ &= -i \omega B \frac{v_{\perp}^2/2 + v_{\parallel}^2}{\omega_c} \vec{\kappa} \cdot \vec{\xi} = -i \omega B \frac{v_{\perp}^2/2 + v_{\parallel}^2}{\omega_c} (\nabla \theta \kappa_{\theta} + \nabla r \kappa_r) \cdot (\xi_{\theta} \nabla \theta + \xi_r \nabla r) \\ &= -i \omega B \frac{v_{\perp}^2/2 + v_{\parallel}^2}{\omega_c} (\nabla \theta \cdot \nabla \theta \xi_{\theta} \kappa_{\theta} + \nabla r \cdot \nabla r \xi_r \kappa_r + \nabla \theta \cdot \nabla r \xi_r \kappa_{\theta} + \nabla r \cdot \nabla \theta \xi_{\theta} \kappa_r) \\ &= -i \omega B \frac{\epsilon}{\omega_c} \left(\frac{\Lambda}{b} + 2 \left(1 - \frac{\Lambda}{b} \right) \right) ((g^{\theta\theta} \kappa_{\theta} + g^{r\theta} \kappa_r) \xi_{\theta} + (g^{rr} \kappa_r + g^{r\theta} \kappa_{\theta}) \xi_r) \end{aligned}$$

with $g^{rr} = \nabla r \cdot \nabla r$, $g^{\theta r} = \nabla \theta \cdot \nabla r$, $g^{\theta\theta} = \nabla \theta \cdot \nabla \theta$. $\xi_r \nabla r = \xi_r \mathbf{e}_r$, $\xi_{\theta} \nabla \theta = \frac{1}{r} \xi_{\theta} \mathbf{e}_{\theta}$, $\kappa_{\theta} = -\frac{1}{R} \frac{\partial R}{\partial \theta}$, $\kappa_r \approx -\frac{1}{R} \frac{\partial R}{\partial r} - \frac{r}{q^2 R^2}$ [G. Y. Fu, PHYSICS OF PLASMAS 13 2006]. $\Lambda = \frac{\mu B_0}{\epsilon}$, $b = B_0/B \approx 1 + (r/R_0) \cos \theta$, $\epsilon = \frac{1}{2} v^2$, $\delta \mathbf{E}_{\perp}^* = -i \omega \vec{\xi}^* \times \mathbf{B}$. Thus, the complex conjugate term is

$$\mathbf{v}_d \cdot \delta \mathbf{E}_{\perp}^* = \frac{v_{\perp}^2/2 + v_{\parallel}^2}{\omega_c} \hat{\mathbf{b}} \times \kappa \cdot \delta \mathbf{E}_{\perp}^* = -i \omega \frac{v_{\perp}^2/2 + v_{\parallel}^2}{\omega_c} \hat{\mathbf{b}} \times \tilde{\kappa} \cdot (\vec{\xi}^* \times \mathbf{B}) \quad (3)$$

$$\begin{aligned}
&= i\omega B \frac{v_{\perp}^2/2 + v_{\parallel}^2}{\omega_c} \vec{\kappa} \cdot \vec{\xi}^* = i\omega B \frac{v_{\perp}^2/2 + v_{\parallel}^2}{\omega_c} (\nabla\theta\kappa_{\theta} + \nabla r\kappa_r) \cdot (\xi_{\theta}^* \nabla\theta + \xi_r^* \nabla r) \\
&= i\omega B \frac{v_{\perp}^2/2 + v_{\parallel}^2}{\omega_c} (\nabla\theta \cdot \nabla\theta \xi_{\theta}^* \kappa_{\theta} + \nabla r \cdot \nabla r \xi_r^* \kappa_r + \nabla\theta \cdot \nabla r \xi_r^* \kappa_{\theta} + \nabla r \cdot \nabla\theta \xi_{\theta}^* \kappa_r) \\
&= i\omega B \frac{\epsilon}{\omega_c} \left(\frac{\Lambda}{b} + 2 \left(1 - \frac{\Lambda}{b} \right) \right) ((g^{\theta\theta} \kappa_{\theta} + g^{r\theta} \kappa_r) \xi_{\theta}^* + (g^{rr} \kappa_r + g^{r\theta} \kappa_{\theta}) \xi_r^*)
\end{aligned}$$

The linearized drift kinetic equation is rewritten as

$$\frac{d}{dt}g = i \frac{e}{M} \frac{\partial F}{\partial \epsilon} (\omega - \omega_{*}) B \frac{\epsilon}{\omega_c} \left(\frac{\Lambda}{b} + 2 \left(1 - \frac{\Lambda}{b} \right) \right) ((g^{\theta\theta} \kappa_{\theta} + g^{r\theta} \kappa_r) \xi_{\theta} + (g^{rr} \kappa_r + g^{r\theta} \kappa_{\theta}) \xi_r) \quad (4)$$

$$\frac{d}{dt}g = H(r, \theta, \phi, t)$$

The solution of perturbed distribution function g is obtained in the followings. At equilibrium, the projection of the orbit on the poloidal cross section is a closed curve. For either mirror-trapped or passing orbit, we define the bounce time [F. Porcelli, R. Stankiewicz, and W. Kerner, Phys. Plasmas 1 1994]

$$\tau_b = \oint d\tau = \oint \frac{d\psi}{\dot{\psi}} = \oint \frac{d\theta}{\dot{\theta}} \quad (5)$$

as the time it takes to close an equilibrium orbit on the poloidal plane. We assume that perturbations have the form

$$X^{(1)} = \hat{X}^{(1)}(r, \theta) \exp(-i\omega t + in\phi) \quad (6)$$

Note that $\hat{X}^{(1)}(r, \theta)$ is complex, and we take the real part of RHS for any physical variable, i.e. $X^{(1)}$. Thus, [for internal kink mode, the displacement \$\vec{\xi}\$ is \$\vec{\xi} = \xi'_{\theta} \mathbf{e}_{\theta} + \xi'_r \mathbf{e}_r\$ and \$\xi'_r = \xi_0 \exp\(i\(\phi - \theta - \omega t\)\)\$ within the region \$q = 1\$ rational surface \$r = r_s\$. With cylindrical approximation, it can apply the relation \$\nabla \cdot \vec{\xi} = 0\$, and thus obtain \$\xi'_{\theta} = -i\xi_0 \exp\[i\(\phi - \theta - \omega t\)\]\$. Thus, the covariant forms of the perturbation are](#)

$$\xi_r = \xi_0 \exp(i(\phi - \theta - \omega t)), \quad (7)$$

$$\xi_{\theta} = -i\xi_0 r \exp(i(\phi - \theta - \omega t)) \quad (8)$$

within the region $q = 1$ surface. Similarly, the covariant forms of the perturbation are

$$\xi_r = \xi_0 \left(\frac{\Delta r - r + (r_s - \Delta r/2)}{\Delta r} \right) \exp(i(\phi - \theta - \omega t)), \quad (9)$$

$$\xi_\theta = -i\xi_0 r \left(\frac{\Delta r - 2r + (r_s - \Delta r/2)}{\Delta r} \right) \exp(i(\phi - \theta - \omega t)) \quad (10)$$

in the inertial region $r_s - \frac{\Delta r}{2} \leq r \leq r_s + \frac{\Delta r}{2}$. And $\xi_r = \xi_\theta = 0$ in the rest region.

The formal solution of the nonadiabatic distribution g is

$$g = \int_{-\infty}^t i \frac{e}{M} \frac{\partial F}{\partial \epsilon} (\omega - \omega_*) B \frac{\epsilon}{\omega_c} G(\tau) d\tau \quad (11)$$

with

$$G = \left(\frac{\Lambda}{b} + 2 \left(1 - \frac{\Lambda}{b} \right) \right) ((g^{\theta\theta} \kappa_\theta + g^{r\theta} \kappa_r) \xi_\theta + (g^{rr} \kappa_r + g^{r\theta} \kappa_\theta) \xi_r)$$

where $G(\tau) = \hat{G}[r(\tau), \theta(\tau)] \exp(-i\omega\tau + in\phi(\tau))$ and the τ dependence is through the following equations:

$$\dot{r} = \dot{\mathbf{R}} \cdot \nabla r, \dot{\theta} = \dot{\mathbf{R}} \cdot \nabla \theta, \dot{\phi} = \dot{\mathbf{R}} \cdot \nabla \phi \quad (12)$$

Let us separate $\phi(\tau)$ into its secular and oscillating parts:

$$\phi(\tau) = \langle \dot{\phi} \rangle \tau + \tilde{\phi}(\tau) \quad (13)$$

where the brackets indicate bounce averaging.

The quantity $\tilde{G}[r(\tau), \theta(\tau)] = \hat{G}[r(\tau), \theta(\tau)] \exp(in\tilde{\phi}(\tau))$ is a periodic function of τ , which can be expanded in Fourier series,

$$\tilde{G}(\tau) = \sum_{-\infty}^{\infty} Y_p(\Lambda, \epsilon, \bar{r}; \sigma) \exp(ip\omega_b \tau) \quad (14)$$

where,

$$Y_p(\Lambda, \epsilon, \bar{r}; \sigma) = \frac{1}{\tau_b} \oint d\tau \tilde{G}(\tau) \exp(-ip\omega_b \tau) \quad (15)$$

with $r(\tau) = \bar{r} + \rho_d \cos \theta(\tau)$, ρ_d represents the finite orbit width for passing particles. $\rho_d = \Omega_d / \omega_t$, $\Omega_d = \frac{(v_\perp^2/2 + v_\parallel^2)}{\omega_c R_0}$, $\omega_t = \frac{v_\parallel}{q R_0}$. Thus,

$$\rho_d = \frac{q}{\omega_c} \sqrt{\frac{\epsilon}{2(1 - \Lambda/b)}} \left[\frac{\Lambda}{b} + 2 \left(1 - \frac{\Lambda}{b} \right) \right] \quad (16)$$

Carrying out the time integration, the solution of g is obtained

$$g = \frac{e}{M} \frac{\partial F}{\partial \epsilon} (\omega - \omega_*) B \frac{\epsilon}{\omega_c} \sum_{-\infty}^{\infty} Y_p(\Lambda, \epsilon, \bar{r}; \sigma) \frac{\exp \left[i \left(n \langle \dot{\phi} \rangle + p\omega_b - \omega \right) t \right]}{n \langle \dot{\phi} \rangle + p\omega_b - \omega} \quad (17)$$

The formula of δW_k is derived as follows.

$$\begin{aligned} \delta W_k &= \int d^3x \vec{\xi}^* \cdot \nabla \cdot \delta \mathbf{P}_k = e \int d^3x \int d^3v \left(\frac{i}{\omega} \mathbf{v}_d \cdot \delta \mathbf{E}_\perp \right)^* g \\ &= e \int d^3x \int d^3v g B \frac{\epsilon}{\omega_c} \left(\frac{\Lambda}{b} + 2 \left(1 - \frac{\Lambda}{b} \right) \right) ((g^{\theta\theta} \kappa_\theta + g^{r\theta} \kappa_r) \xi_\theta^* + (g^{rr} \kappa_r + g^{r\theta} \kappa_\theta) \xi_r^*) \\ &= e \int d^3x \int d^3v g B \frac{\epsilon}{\omega_c} G^* \end{aligned} \quad (18)$$

where $G^* = \hat{G}^*[r(\tau), \theta(\tau)] \exp(i\omega\tau - in\phi(\tau))$. Let $\tilde{G}^*[r(\tau), \theta(\tau)] = \hat{G}^*[r(\tau), \theta(\tau)] \exp(-in\tilde{\phi}(\tau))$, which is a periodic function of τ , which can be expanded in Fourier series,

$$\tilde{G}^*(\tau) = \sum_{-\infty}^{\infty} Y_p^*(\Lambda, \epsilon, \bar{r}; \sigma) \exp(-ip\omega_b\tau) \quad (19)$$

where,

$$Y_p^*(\Lambda, \bar{r}; \sigma) = \frac{1}{\tau_b} \oint d\tau \tilde{G}^*(\tau) \exp(ip\omega_b\tau) \quad (20)$$

with $r(\tau) = \bar{r} + \rho_d \cos \theta(\tau)$.

$$\begin{aligned} \delta W_k &= \frac{e^2}{M} \int d^3x \int d^3v \frac{\partial F}{\partial \epsilon} (\omega - \omega_*) B^2 \frac{\epsilon^2}{\omega_c^2} \sum_{-\infty}^{\infty} Y_p(\Lambda, \bar{r}; \sigma) \\ &\cdot \frac{\exp \left[i \left(n \langle \dot{\phi} \rangle + p\omega_b - \omega \right) \tau \right]}{n \langle \dot{\phi} \rangle + p\omega_b - \omega} \sum_{-\infty}^{\infty} Y_{p'}^*(\Lambda, \epsilon, \bar{r}; \sigma) \exp \left(i\omega\tau - in \langle \dot{\phi} \rangle \tau - ip'\omega_b\tau \right) \end{aligned} \quad (21)$$

$$\begin{aligned} \delta W_k &= \frac{e^2}{M} \int d^3x \int d^3v \frac{\partial F}{\partial \epsilon} (\omega - \omega_*) B^2 \frac{\epsilon^2}{\omega_c^2} \\ &\cdot \sum_{-\infty}^{\infty} Y_p(\Lambda, \epsilon, \bar{r}; \sigma) \frac{\exp[ip\omega_b\tau]}{n \langle \dot{\phi} \rangle + p\omega_b - \omega} \sum_{-\infty}^{\infty} Y_{p'}^*(\Lambda, \epsilon, \bar{r}; \sigma) \exp(-ip'\omega_b\tau) \end{aligned} \quad (22)$$

Using $d^3v = \sqrt{2}\pi \frac{1}{b\sqrt{1-\frac{\Lambda}{b}}} d\Lambda \epsilon^{1/2} d\epsilon$, $d^3x = 2\pi J dr d\theta$, yields

$$\delta W_k = \frac{e^2}{M} \int 2\pi J dr d\theta \int \sqrt{2}\pi \frac{1}{b\sqrt{1-\frac{\Lambda}{b}}} d\Lambda \epsilon^{1/2} d\epsilon \frac{\partial F}{\partial \epsilon} (\omega - \omega_*) B^2 \frac{\epsilon^2}{\omega_c^2}$$

$$\cdot \sum_{-\infty}^{\infty} Y_p(\Lambda, \epsilon, \bar{r}; \sigma) \frac{\exp(ip\omega_b \tau)}{n \langle \dot{\phi} \rangle + p\omega_b - \omega} \sum_{-\infty}^{\infty} Y_{p'}^*(\Lambda, \epsilon, \bar{r}; \sigma) \exp(-ip' \omega_b \tau) \quad (23)$$

Applying $d\tau = \frac{qR_0}{\sigma\sqrt{2\epsilon b}\sqrt{1-\frac{\Lambda}{b}}} d\theta$, $\sigma = \pm 1$ for the direction of v_{\parallel} , one finally obtains

$$\delta W_k = \frac{4\pi^2 e^2 B^2}{M \omega_c^2} \frac{1}{R_0} \int \frac{J}{q} dr \int d\Lambda \epsilon^3 d\epsilon \frac{\partial F}{\partial \epsilon} \tau_b (\omega - \omega_*)$$

$$\cdot \sum_{-\infty}^{\infty} \frac{|Y_p|^2}{n \langle \dot{\phi} \rangle + p\omega_b - \omega}, \quad (24)$$

which is similar to Eq.(35) of Fu's 1993 paper with replacing ϵ and J by $\epsilon \equiv \frac{1}{2} M v^2$ and $B = qR/J$. Note that $\tilde{\phi} \cong 0$, $\langle \dot{\phi} \rangle \cong \omega_D^0 + q\omega_b, \omega_D^0 \approx 0$ for passing particles.

In angle-action coordinate,

$$J_b = \frac{1}{2\pi} \int p_{\parallel} ds \cong p_{\parallel e} R_{\parallel} \int_{-\theta_b}^{\theta_b} \sqrt{1 - \kappa^{-1} \sin^2 \frac{\theta}{2}} \frac{d\theta}{\pi} \quad (25)$$

$$J_t = \frac{1}{2\pi} \int p_{\parallel} ds \cong p_{\parallel e} R_{\parallel} \int_{-\pi}^{\pi} \sqrt{1 - \kappa^{-1} \sin^2 \frac{\theta}{2}} \frac{d\theta}{\pi} \quad (26)$$

The formulas of bounce/transit frequency is given by [Alain J. Brizard, PHYSICS OF PLASMAS 18 2011]

$$\omega_b = \frac{\partial H}{\partial J_b} = \left(\frac{\partial J_b}{\partial E} \right)^{-1} = \frac{\pi \omega_{\parallel}}{2K(\kappa)}, \kappa < 1 \quad (27)$$

$$\omega_t = \frac{\partial H}{\partial J_t} = \left(\frac{\partial J_t}{\partial E} \right)^{-1} = \frac{\pi \sqrt{\kappa} \omega_{\parallel}}{K(\kappa^{-1})}, \kappa > 1 \quad (28)$$

where $\omega_{\parallel} = \frac{1}{qR} \sqrt{\epsilon \mu B_0} = \frac{\sqrt{\epsilon}}{qR} \sqrt{\epsilon \Lambda}$, $\kappa = \frac{1-\Lambda(1-\epsilon)}{2\epsilon\Lambda}$, $\epsilon = \frac{r}{R_0}$. K denotes the complete elliptic integral of the first kind.

The normalized relations of the quantities are $F = \frac{n_0}{v_h^3} \bar{F}$, $v_h = \sqrt{\frac{2T_h}{M}}$, $\epsilon = \frac{T_h}{M} \bar{\epsilon}$, $r = ax$, $J = aR_0 \bar{J}$, $R = R_0 \bar{R}$, $\omega_b = \frac{v_h}{R_0} \bar{\omega}_b$, $\frac{1}{\tau_b} = \frac{v_h}{2\pi R_0} \bar{\omega}_b = \frac{v_h}{R_0} \frac{\bar{\omega}_b}{2\pi} = \frac{v_h}{R_0} \frac{1}{\bar{\tau}_b}$, $\omega = \frac{v_h}{R_0} \bar{\omega}$, $\omega_\phi = \frac{v_h}{R_0} \bar{\omega}_\phi$, $\omega_* = \frac{v_h}{R_0} \bar{\omega}_*$. T_h is arbitrary temperature/energy which can be charastic quantity, i.e. birth energy of fast ions.

$$\delta W_k = \pi^2 a^2 R_0 n_0 T_h \int \frac{\bar{J}}{q} dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \bar{\tau}_b (\bar{\omega} - \bar{\omega}_*)$$

$$\cdot \sum_{-\infty}^{\infty} \frac{|\bar{Y}_p|^2}{n \langle \dot{\phi} \rangle + p\bar{\omega}_b - \bar{\omega}} \quad (29)$$

For passing particles,

$$\bar{\omega}_b = \frac{\pi \sqrt{\kappa}}{K(\kappa^{-1})} \frac{\sqrt{\varepsilon \Lambda/2}}{q} \sqrt{\bar{\epsilon}} \quad (30)$$

$$\omega_b t = \bar{\omega}_b \frac{v_h}{R} \int_0^\theta \frac{q R_0}{\sqrt{2(T/M)} \bar{\epsilon} b \sqrt{1 - \frac{\Lambda}{b}}} d\theta \quad (31)$$

$$= \int_0^\theta \frac{\pi \sqrt{\kappa}}{K(\kappa^{-1})} \sqrt{\varepsilon \Lambda/2} \frac{1}{b \sqrt{1 - \frac{\Lambda}{b}}} d\theta$$

$$Y_p(\Lambda, \bar{r}; \sigma) = \frac{1}{\tau_b} \oint d\tau \tilde{G}(\tau) \exp(-ip\omega_b \tau) \quad (32)$$

$$= \frac{\omega_b}{2\pi} \oint d\tau \tilde{G}[r(\tau), \theta(\tau)] \exp(-ip\omega_b \tau)$$

$$= \frac{1}{2\pi} \oint d(\omega_b \tau) \tilde{G}[r(\tau), \theta(\tau)] \exp(-ip\omega_b \tau)$$

$$= \frac{1}{2\pi} \int_0^{2\pi} d\theta \frac{\sigma \pi \sqrt{\kappa}}{K(\kappa^{-1})} \frac{\sqrt{\varepsilon \Lambda/2}}{b \sqrt{1 - \frac{\Lambda}{b}}} \tilde{G}[r(\tau), \theta(\tau)] \exp\left(-ip \int_0^\theta d\theta' \frac{\sigma \pi \sqrt{\kappa}}{K(\kappa^{-1})} \frac{\sqrt{\varepsilon \Lambda/2}}{b \sqrt{1 - \frac{\Lambda}{b}}}\right)$$

where

$$\tilde{G}[r(\tau), \theta(\tau)] = \left(\frac{\Lambda}{b(r, \theta)} + 2 \left(1 - \frac{\Lambda}{b(r, \theta)} \right) \right)$$

$$\cdot \left((g^{\theta\theta} \kappa_\theta + g^{r\theta} \kappa_r) \hat{\xi}_\theta(\theta, \bar{r} + \rho_d \cos \theta) + (g^{rr} \kappa_r + g^{r\theta} \kappa_\theta) \hat{\xi}_r(\theta, \bar{r} + \rho_d \cos \theta) \right)$$

Note that the effect of finite orbit width is induced radially in the perturbed $\xi(r)$ for simply. Furthermore, \tilde{G} is a normalized quantity, so is Y_p ,

$$\tilde{G}[x(\tau), \theta(\tau)] = \left(\frac{\Lambda}{b(x, \theta)} + 2 \left(1 - \frac{\Lambda}{b(x, \theta)} \right) \right)$$

$$\cdot \left((\bar{g}^{\theta\theta} \bar{\kappa}_\theta + \bar{g}^{r\theta} \bar{\kappa}_r) \bar{\xi}_\theta \left(\theta, \bar{x} + \frac{\rho_d}{a} \cos \theta \right) + (\bar{g}^{rr} \bar{\kappa}_r + \bar{g}^{r\theta} \bar{\kappa}_\theta) \bar{\xi}_r \left(\theta, \bar{x} + \frac{\rho_d}{a} \cos \theta \right) \right)$$

where, the normalized displacements are $\bar{\xi}_{\theta m} = \hat{\xi}_{\theta m}/a^2$, $\bar{\xi}_{rm} = \hat{\xi}_{rm}/a$.

The slowing down distribution function of fast ions is given by [M. Schneller 2013]

$$F(x, \bar{\epsilon}, \Lambda) = \frac{n_0}{v_h^3} \frac{1}{\bar{\epsilon}^{3/2} + \bar{\epsilon}_c^{3/2}} \text{Erfc} \left(\frac{\bar{\epsilon} - \bar{\epsilon}_0}{\Delta \bar{\epsilon}} \right) \exp \left[- \left(\frac{x - x_0}{\Delta x} \right)^2 \right] \exp \left[- \left(\frac{\Lambda - \Lambda_0}{\Delta \Lambda} \right)^2 \right] \quad (33)$$

where n_0 is determined by

$$n(x) = \frac{n_0}{v_h^3} \int d^3 \mathbf{v} \frac{1}{\bar{\epsilon}^{3/2} + \bar{\epsilon}_c^{3/2}} \text{Erfc} \left(\frac{\bar{\epsilon} - \bar{\epsilon}_0}{\Delta \bar{\epsilon}} \right) \exp \left[- \left(\frac{x - x_0}{\Delta x} \right)^2 \right] \exp \left[- \left(\frac{\Lambda - \Lambda_0}{\Delta \Lambda} \right)^2 \right]$$

at $x = x_0$.

The normalized metric tensors are

$$\bar{g}^{rr} = 1 + 2\Delta' \cos \theta \quad (34)$$

with $\Delta' = (\varepsilon + \alpha)/4$, $\varepsilon = \frac{r}{R_0}$, $\alpha = -R_0 q^2 d\beta/dr$, $\beta = \frac{2\mu_0 P}{B^2}$ set $\alpha = 0$ if $\beta = 0$, or assume $\bar{g}^{rr} = 1$ without toroidal effect, θ independent. Specially, in low beta limit,

$$\bar{g}^{rr} = 1 + \frac{1}{2} \varepsilon \cos \theta \quad (35)$$

$$\bar{g}^{\theta\theta} = \frac{1}{x^2} [1 - 2(\varepsilon + \Delta') \cos \theta] \quad (36)$$

assume $\bar{g}^{\theta\theta} = \frac{1}{x^2}$ without toroidal effect. Specially, in low beta limit,

$$\bar{g}^{\theta\theta} = \frac{1}{x^2} \left[1 - \frac{5}{2} \varepsilon \cos \theta \right] \quad (37)$$

$$\bar{g}^{r\theta} = -\frac{1}{x} \left[\varepsilon + (r\Delta')' \right] \sin \theta \quad (38)$$

specially,

$$\bar{g}^{r\theta} = -\frac{1}{x} \frac{3}{2} \varepsilon \sin \theta \quad (39)$$

for low beta limit. and $\bar{g}^{r\theta} = 0$ without toroidal effect.

The normalized curvature are in low beta limit

$$\bar{\kappa}_r = -\frac{a}{R} \cos \theta + \frac{a}{R} \frac{\varepsilon}{4} - \frac{a}{R} \frac{5}{4} \varepsilon (\cos 2\theta - 1) - \left(\frac{a}{R}\right)^2 \frac{x}{q} \quad (40)$$

$$\bar{\kappa}_\theta = \varepsilon \sin \theta + \frac{5}{4} \varepsilon^2 \sin 2\theta \quad (41)$$

with $R = R_0 + r \cos \theta - \Delta(r) + r\eta(r)(\cos 2\theta - 1)$. $\eta(r) = (\varepsilon + \Delta')/2$.

The normalized ω_\star is

$$\bar{\omega}_\star = \frac{1}{2} \frac{m}{x} \frac{R}{a} \frac{\rho_h}{a} \frac{\partial \bar{F}/\partial x}{\partial \bar{F}/\partial \bar{\epsilon}} \quad (42)$$

where, m is poloidal mode number, $\rho_h = v_h/\omega_c$, $v_h = \sqrt{2T_h/M}$, $\omega_c = Be/M$. The normalized ρ_d is

$$\bar{\rho}_d = \frac{\rho_d}{a} = \frac{q}{2} \frac{\rho_h}{a} \sqrt{\frac{\bar{\epsilon}}{(1 - \Lambda/b)}} \left[\frac{\Lambda}{b} + 2 \left(1 - \frac{\Lambda}{b} \right) \right] \quad (43)$$

The normalized ξ are

$$\bar{\xi}_r(\theta, x) = \bar{\xi}_0 \exp(-i\theta)$$

$$\bar{\xi}_\theta(\theta, x) = -i\bar{\xi}_0 x \exp(-i\theta)$$

within $q = 1$ surface. In the inertial region $r_s - \frac{\Delta r}{2} \leq r \leq r_s + \frac{\Delta r}{2}$,

$$\bar{\xi}_r(\theta, x) = \bar{\xi}_0 \left(\frac{\bar{\Delta r} - x + (\bar{r}_s - \bar{\Delta r}/2)}{\bar{\Delta r}} \right) \exp(-i\theta)$$

$$\bar{\xi}_\theta(\theta, x) = -i\bar{\xi}_0 x \left(\frac{\bar{\Delta r} - 2x + (\bar{r}_s - \bar{\Delta r}/2)}{\bar{\Delta r}} \right) \exp(-i\theta)$$

with $\bar{\xi}_0 = \xi_0/a$, $\bar{r}_s = r_s/a$, $\bar{\Delta r} = \Delta r/a$, $x = r/a$.

The normalized $\delta \bar{W}_k$ is given by

$$\delta \bar{W}_k = \int \frac{\bar{J}}{q} dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \bar{\tau}_b (\bar{\omega} - \bar{\omega}_\star) \cdot \sum_{-\infty}^{\infty} \frac{|\bar{Y}_p|^2}{n \langle \dot{\phi} \rangle + p\bar{\omega}_b - \bar{\omega}}, \quad (44)$$

where $\bar{J} = x$.

For passing particles,

$$\bar{\omega}_b = \frac{\pi\sqrt{\kappa}}{K(\kappa^{-1})} \frac{\sqrt{\varepsilon\Lambda/2}}{q} \sqrt{\bar{\varepsilon}} \quad (45)$$

where, $\kappa = \frac{1-\Lambda(1-\varepsilon)}{2\varepsilon\Lambda}$, $\varepsilon = \frac{r}{R_0}$. and

$$\langle \dot{\phi} \rangle \cong q\bar{\omega}_b \quad (46)$$

$$\begin{aligned} \omega_b t &= \bar{\omega}_b \frac{v_h}{R} \int_0^\theta \frac{qR_0}{\sqrt{2(T/M)} \bar{\varepsilon} b \sqrt{1 - \frac{\Lambda}{b}}} d\theta \\ &= \int_0^\theta \frac{\pi\sqrt{\kappa}}{K(\kappa^{-1})} \sqrt{\varepsilon\Lambda/2} \frac{1}{b\sqrt{1 - \frac{\Lambda}{b}}} d\theta \end{aligned} \quad (47)$$

$$\begin{aligned} Y_p(\Lambda, \bar{r}; \sigma) &= \frac{1}{\tau_b} \oint d\tau \tilde{G}(\tau) \exp(-ip\omega_b \tau) \\ &= \frac{\omega_b}{2\pi} \oint d\tau \tilde{G}[r(\tau), \theta(\tau)] \exp(-ip\omega_b \tau) \\ &= \frac{1}{2\pi} \oint d(\omega_b \tau) \tilde{G}[r(\tau), \theta(\tau)] \exp(-ip\omega_b \tau) \end{aligned} \quad (48)$$

$$= \frac{1}{2\pi} \int_0^{2\pi} d\theta \frac{\sigma\pi\sqrt{\kappa}}{K(\kappa^{-1})} \frac{\sqrt{\varepsilon\Lambda/2}}{b\sqrt{1 - \frac{\Lambda}{b}}} \tilde{G}[r(\tau), \theta(\tau)] \exp\left(-ip \int_0^\theta d\theta' \frac{\sigma\pi\sqrt{\kappa}}{K(\kappa^{-1})} \frac{\sqrt{\varepsilon\Lambda/2}}{b\sqrt{1 - \frac{\Lambda}{b}}}\right)$$

where

$$\tilde{G}[x(\tau), \theta(\tau)] = \left(\frac{\Lambda}{b(x, \theta)} + 2 \left(1 - \frac{\Lambda}{b(x, \theta)} \right) \right)$$

$$\cdot \left((\bar{g}^{\theta\theta} \bar{\kappa}_\theta + \bar{g}^{r\theta} \bar{\kappa}_r) \bar{\xi}_\theta(\theta, \bar{x} + \bar{\rho}_d \cos \theta) + (\bar{g}^{rr} \bar{\kappa}_r + \bar{g}^{r\theta} \bar{\kappa}_\theta) \bar{\xi}_r(\theta, \bar{x} + \bar{\rho}_d \cos \theta) \right)$$

where, the normalized displacements are $\bar{\xi}_{\theta m} = \hat{\xi}_{\theta m}/a^2$, $\bar{\xi}_{rm} = \hat{\xi}_{rm}/a$ and normalized drift orbit width is $\bar{\rho}_d = \frac{\rho_d}{a}$.

The normalized slowing down distribution function of fast ions is given by [M. Schneller 2013]

$$\bar{F}(x, \bar{\varepsilon}, \Lambda) = \frac{1}{\bar{\varepsilon}^{3/2} + \bar{\varepsilon}_c^{3/2}} \text{Erfc} \left(\frac{\bar{\varepsilon} - \bar{\varepsilon}_0}{\Delta \bar{\varepsilon}} \right) \exp \left[- \left(\frac{x - x_0}{\Delta x} \right)^2 \right] \exp \left[- \left(\frac{\Lambda - \Lambda_0}{\Delta \Lambda} \right)^2 \right] \quad (49)$$

The normalized metric tensors are

$$\bar{g}^{rr} = 1 + \frac{1}{2}\varepsilon \cos \theta \quad (50)$$

$$\bar{g}^{\theta\theta} = \frac{1}{x^2} \left[1 - \frac{5}{2}\varepsilon \cos \theta \right] \quad (51)$$

$$\bar{g}^{r\theta} = -\frac{1}{x} \frac{3}{2}\varepsilon \sin \theta \quad (52)$$

The normalized curvature are in low beta limit

$$\bar{\kappa}_r = -\frac{a}{R} \cos \theta \quad (53)$$

$$\bar{\kappa}_\theta = \varepsilon \sin \theta \quad (54)$$

The normalized ω_\star is

$$\bar{\omega}_\star = \frac{1}{2} \frac{m \partial \bar{F} / \partial x}{\partial \bar{F} / \partial \bar{\epsilon}} \frac{1}{x} \frac{R}{a} \frac{\rho_h}{a} \quad (55)$$

with m being poloidal mode number.

The normalized ξ are

$$\bar{\xi}_r(\theta, x) = \bar{\xi}_0 \exp(-i\theta)$$

$$\bar{\xi}_\theta(\theta, x) = -i\bar{\xi}_0 x \exp(-i\theta)$$

within $q = 1$ surface. In the inertial region $r_s - \frac{\Delta r}{2} \leq r \leq r_s + \frac{\Delta r}{2}$,

$$\bar{\xi}_r(\theta, x) = \bar{\xi}_0 \left(\frac{\overline{\Delta r} - x + (\bar{r}_s - \overline{\Delta r}/2)}{\overline{\Delta r}} \right) \exp(-i\theta)$$

$$\bar{\xi}_\theta(\theta, x) = -i\bar{\xi}_0 x \left(\frac{\overline{\Delta r} - 2x + (\bar{r}_s - \overline{\Delta r}/2)}{\overline{\Delta r}} \right) \exp(-i\theta)$$

with $\bar{\xi}_0 = \xi_0/a$, $\bar{r}_s = r_s/a$, $\overline{\Delta r} = \Delta r/a$, $x = r/a$.

2 The fishbone dispersion relation

The formula for δW_{MHD} , δI [Miyamoto, “Plasma Physics and Controlled Nuclear Fusion”] The energy principle is

$$\delta W_{MHD} + \delta W_k + \delta I = 0 \quad (56)$$

where

$$\delta I = \frac{\gamma^2}{2} \int \rho_m |\xi|^2 d\vec{r} \quad (57)$$

$$\delta W_k = \frac{1}{2} \int \vec{\xi} \cdot \nabla \delta p_h d\vec{r} \quad (58)$$

Note that δW_k is half of δW_k given by Eq.(24). δW_{MHD} consists of the contribution δW_{MHD}^s from the singular region near the rational surface and the contribution δW_{MHD}^{ext} from the external region.

The MHD potential energy $\delta W_{MHDtor}^{ext}/2\pi R$ of toroidal plasma with circular cross-section is given by

$$\frac{\delta W_{MHDtor}^{ext}}{2\pi R} = \left(1 - \frac{1}{n^2}\right) \frac{\delta W_{MHDcycl}^{ext}}{2\pi R} + \frac{\pi B_{\theta s}^2}{2\mu_0} |\xi_s|^2 \delta \hat{W}_T \quad (59)$$

$$\delta \hat{W}_T = \pi \left(\frac{r_s}{R}\right)^2 3(1 - q_0) \left(\frac{13}{144} - \beta_{ps}^2\right) \quad (60)$$

The term δW_{MHD}^s for the singular region is

$$\frac{\delta W_{MHD}^s}{2\pi R} = \frac{\pi}{2\mu_0} \frac{B_{\theta s}^2}{2\pi} s n \gamma \tau_{A\theta} |\xi_s|^2$$

where $B_{\theta s} = \frac{r_s B_t}{R q_s}$, $\tau_{A\theta} = \frac{3^{1/2} r_s}{(B_{\theta s}^2 / \mu_0 \rho_m)^{1/2}}$, $\rho_m = m_p n_{p_{r=r_s}}$, $s = r_s \frac{dq}{dr_{r=r_s}}$, $\xi_s = \xi_{r=r_s}$, n is toroidal mode number.

Thus, for $m = 1, n = 1$, the total sum of MHD contributions are

$$\delta W_{MHD} + \delta I = 2\pi R \frac{B_{\theta s}^2}{2\mu_0} |\xi_s|^2 \left(\delta \hat{W}_T + \gamma \tau_{A\theta} \frac{s}{2} + \pi \gamma^2 \tau_{A\theta}^2 \right) \quad (61)$$

$$\approx 2\pi R \frac{B_{\theta s}^2}{2\mu_0} |\xi_s|^2 \left(\delta \hat{W}_T + \gamma \tau_{A\theta} \frac{s}{2} \right), \quad (62)$$

when $\gamma \tau_{A\theta} \ll 1$. With $\gamma = -i\omega$, the dispersion relation is

$$2\pi R \frac{B_{\theta s}^2}{2\mu_0} |\xi_s|^2 \left(\delta \hat{W}_T + \frac{-i\omega}{\omega_A} \right) + \frac{1}{2} \pi^2 a^2 R_0 n_0 T_h \delta \bar{W}_k = 0. \quad (63)$$

where, $\omega_A \equiv (\tau_{A\theta}s/2)^{-1}$ and $\delta\bar{W}_k$ is given by Eq.(44).
Finally,

$$-\frac{i\bar{\omega}}{\bar{\omega}_A} + \delta\hat{W}_T + \delta\hat{W}_k = 0, \quad (64)$$

with

$$\delta\hat{W}_k = \frac{1}{4}\pi \frac{1}{(r_s/Rq_s)^2} \frac{1}{|\xi_s/a|^2} \beta_{h0} \delta\bar{W}_k$$

where $\beta_{h0} = 2\mu_0 n_0 T_h / B_t^2$, n_p is plasma density at $r = r_s$. n_0 is energetic particle density at $x = x_0$. m_p , M is ion mass, and energetic particle mass respectively. And $\bar{\omega} = \omega / (v_h/R_0)$, $\bar{\omega}_A = \omega_A / (v_h/R_0)$.

3 Analytic form of the dispersion relation with passing particles and large aspect ratio approximation

For $\varepsilon \ll 1$, the normalized metric tensors are approximated as

$$\bar{g}^{rr} \approx 1 \quad (65)$$

$$\bar{g}^{\theta\theta} \approx \frac{1}{x^2} \quad (66)$$

$$\bar{g}^{r\theta} \approx -\frac{1}{x} \frac{3}{2} \varepsilon \sin \theta \quad (67)$$

and the normalized curvature are in low beta limit

$$\bar{\kappa}_r \approx -\frac{a}{R} \cos \theta \quad (68)$$

$$\bar{\kappa}_\theta \approx \varepsilon \sin \theta \quad (69)$$

The formula of ξ_θ and ξ_r are given by

$$\bar{\xi}_r(\theta, x) = \bar{\xi}_0(x) \exp(-i\theta), \quad (70)$$

$$\bar{\xi}_\theta(\theta, x) = -i\bar{\xi}_0(x) x \exp(-i\theta) \quad (71)$$

where, $\bar{\xi}_0(x) = \bar{\xi}_s H(x_s - x)$. $H(x)$ is Heaviside step function, $H = 1$ for $x > 0$ and $H = 0$ for $x < 0$, $dH/dx = \delta(x)$. Together with $\Lambda \ll 1$, one obtains

$$\tilde{G}[r(\tau), \theta(\tau)] = \left(\frac{\Lambda}{b(r, \theta)} + 2 \left(1 - \frac{\Lambda}{b(r, \theta)} \right) \right)$$

$$\begin{aligned}
& \cdot \left((\bar{g}^{\theta\theta} \bar{\kappa}_\theta + \bar{g}^{r\theta} \bar{\kappa}_r) \bar{\xi}_\theta (\theta, \bar{x} + \bar{\rho}_d \cos \theta) + (\bar{g}^{rr} \bar{\kappa}_r + \bar{g}^{r\theta} \bar{\kappa}_\theta) \bar{\xi}_r (\theta, \bar{x} + \bar{\rho}_d \cos \theta) \right) \\
& \approx 2 \left(\frac{1}{x^2} \varepsilon \sin \theta \bar{\xi}_\theta - \frac{a}{R} \cos \theta \bar{\xi}_r \right) \\
& \approx 2 \left(-\frac{a}{R} i \sin \theta \bar{\xi}_r (\theta, \bar{x} + \bar{\rho}_d \cos \theta) - \frac{a}{R} \cos \theta \bar{\xi}_r (\theta, \bar{x} + \bar{\rho}_d \cos \theta) \right) \\
& \approx -2 \frac{a}{R} \bar{\xi}_r (\theta, \bar{x} + \bar{\rho}_d \cos \theta) (i \sin \theta + \cos \theta) \\
& \approx -2 \frac{a}{R} \bar{\xi}_r (\theta, \bar{x} + \bar{\rho}_d \cos \theta) \exp(i\theta)
\end{aligned} \tag{72}$$

For $\kappa \gg 1$, the elliptic fuction K becomes $K(\kappa^{-1}) = \pi/2$. Thus,

$$\begin{aligned}
\frac{\pi \sqrt{\kappa}}{K(\kappa^{-1})} \frac{\sqrt{\varepsilon \Lambda/2}}{b \sqrt{1 - \frac{\Lambda}{b}}} & \approx \frac{\pi \sqrt{\frac{1}{2\varepsilon \Lambda}}}{\pi/2} \frac{\sqrt{\frac{\varepsilon \Lambda}{2}}}{b \sqrt{1 - \frac{\Lambda}{b}}} \\
& = \frac{1}{b \sqrt{1 - \Lambda/b}}
\end{aligned} \tag{73}$$

Using Eq.(72) and Eq.(73), Y_p is rewritten as

$$\begin{aligned}
Y_p & = -\frac{1}{2\pi} \int_0^{2\pi} d\theta \frac{1}{b \sqrt{1 - \frac{\Lambda}{b}}} 2 \frac{a}{R} \bar{\xi}_r (\theta, x + \bar{\rho}_d \cos \theta) \exp(i\theta) \exp \left(-ip \int_0^\theta d\theta' \frac{1}{b \sqrt{1 - \frac{\Lambda}{b}}} \right) \\
& = -\frac{1}{\pi} \frac{a}{R} \int_0^{2\pi} d\theta \bar{\xi}_r (\theta, x + \bar{\rho}_d \cos \theta) \exp(i\theta) \exp(-ip\theta) \\
& = -\frac{1}{\pi} \frac{a}{R} \int_0^{2\pi} d\theta \bar{\xi}_s H(x_s - x - \bar{\rho}_d \cos \theta) \exp(-i\theta) \exp(i\theta) \exp(-ip\theta)
\end{aligned} \tag{74}$$

The distribution function of passing particles for analytical purpose is given by

$$\bar{F}(x, \bar{\epsilon}, \Lambda) = \frac{p_h(x)}{\pi n_0 T_h \bar{\epsilon}_0} \frac{1}{\bar{\epsilon}^{3/2}} \delta(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}), \tag{75}$$

and its derivative of $\bar{\epsilon}$ is read as

$$\frac{\partial \bar{F}}{\partial \bar{\epsilon}} = \frac{p_h(x)}{\pi n_0 T_h \bar{\epsilon}_0} \left[-\frac{3}{2} \bar{\epsilon}^{-\frac{5}{2}} \delta(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) - \bar{\epsilon}^{-\frac{5}{2}} \Lambda \delta'(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) - \bar{\epsilon}^{-\frac{3}{2}} \delta(\Lambda) \delta(\bar{\epsilon}_0 - \bar{\epsilon}) \right].$$

$$\delta \bar{W}_k = I_1 + I_2 \quad (76)$$

where I_1 represents the term with $\bar{\omega}$ and I_2 the term with $\bar{\omega}_*$. Then I_1 decomposes three parts corresponding to $\partial \bar{F} / \partial \bar{\epsilon}$.

$$I_1 = I_1^{(1)} + I_1^{(2)} + I_1^{(3)} \quad (77)$$

3.1 The dispersion relation for the case of $\rho_d = 0$, $p = 0$

For $\bar{\rho}_d = 0$ and $p = 0$, we have

$$Y_0 = -\frac{1}{\pi} \frac{a}{R} \int_0^{2\pi} d\theta \bar{\xi}_s H(x_s - x) \exp(-i\theta) \exp(i\theta) \quad (78)$$

$$= -\frac{1}{\pi} \frac{a}{R} \int_0^{2\pi} d\theta \bar{\xi}_s H(x_s - x) \quad (79)$$

$$= -2 \frac{a}{R} \bar{\xi}_s H(x_s - x) \quad (80)$$

With $\bar{\omega}_b \simeq \sqrt{\bar{\epsilon}}/q$ and $p = 0$, $\delta \bar{W}_k$ of Eq.(44) becomes

$$\delta \bar{W}_k = \int_0^{x_s} \bar{J} dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \frac{2\pi}{\sqrt{\bar{\epsilon}}} (\bar{\omega} - \bar{\omega}_*) \cdot \frac{|\bar{Y}_0|^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}}, \quad (81)$$

here Y_0 is zero in the region $x > x_s$ where $H = 0$. According to Y_0 and \bar{J} as shown above, $\delta \bar{W}_k$ becomes

$$\delta \bar{W}_k = \int_0^{x_s} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \frac{2\pi}{\sqrt{\bar{\epsilon}}} (\bar{\omega} - \bar{\omega}_*) \cdot \frac{\left(-2 \frac{a}{R} \bar{\xi}_s\right)^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}}, \quad (82)$$

For $F_h(x, \epsilon, \Lambda) = c_0(x) \frac{1}{\epsilon^{3/2}} \delta(\Lambda) H(\epsilon_0 - \epsilon)$, we can get

$$\begin{aligned}
p_h(x) &= \int d^3v M \left(v_{\parallel}^2 + \frac{1}{2} v_{\perp}^2 \right) F_h \\
&\approx \int d^3v M v_{\parallel}^2 F_h \\
&= M \int \sqrt{2\pi} \frac{1}{b \sqrt{1 - \frac{\Lambda}{b}}} d\Lambda \epsilon^{1/2} d\epsilon 2\epsilon F_h \\
&= M \int 2^{\frac{3}{2}} \pi \epsilon^{\frac{3}{2}} \frac{1}{b \sqrt{1 - \frac{\Lambda}{b}}} c_0(x) \frac{1}{\epsilon^{3/2}} \delta(\Lambda) H(\epsilon_0 - \epsilon) d\Lambda d\epsilon \\
&= \pi 2^{\frac{3}{2}} M c_0(x) \epsilon_0
\end{aligned}$$

Thus, $F_h = \left[p_h(x) / \left(\pi M 2^{\frac{3}{2}} \epsilon_0 \right) \right] \frac{1}{\epsilon^{3/2}} \delta(\Lambda) H(\epsilon_0 - \epsilon)$ with $c_0 = p_h(x) / \left(\pi M 2^{\frac{3}{2}} \epsilon_0 \right)$.
Furthermore, $\bar{F}_h = \frac{p_h(x)}{\pi n_0 T_h \bar{\epsilon}_0} \frac{1}{\bar{\epsilon}^{\frac{3}{2}}} H(\bar{\epsilon}_0 - \bar{\epsilon}) \delta(\Lambda)$.

$$\frac{\partial \bar{F}}{\partial \bar{\epsilon}} = \frac{p_h(x)}{\pi n_0 T_h \bar{\epsilon}_0} \left[-\frac{3}{2} \bar{\epsilon}^{-\frac{5}{2}} \delta(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) - \bar{\epsilon}^{-\frac{5}{2}} \Lambda \delta'(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) - \bar{\epsilon}^{-\frac{3}{2}} \delta(\Lambda) \delta(\bar{\epsilon}_0 - \bar{\epsilon}) \right].$$

$$\begin{aligned}
I_1^{(1)} &= \int_0^{x_s} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{p_h(x)}{\pi n_0 T_h \bar{\epsilon}_0} \left(-\frac{3}{2} \right) \bar{\epsilon}^{-\frac{5}{2}} \delta(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) \frac{2\pi}{\sqrt{\bar{\epsilon}}} \bar{\omega} \\
&\quad \cdot \frac{\left(2 \frac{a}{R} \bar{\xi}_s \right)^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \\
&= -6\pi \frac{\bar{\omega}}{\pi n_0 T_h \bar{\epsilon}_0} \left(2 \frac{a}{R} \bar{\xi}_s \right)^2 \int_0^{x_s} x p_h(x) dx \int_0^{\bar{\epsilon}_0} \frac{\sqrt{\bar{\epsilon}} d\sqrt{\bar{\epsilon}}}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \\
&= -6\pi \frac{\bar{\omega}}{\pi n_0 T_h \bar{\epsilon}_0} \left(2 \frac{a}{R} \bar{\xi}_s \right)^2 \int_0^{x_s} x p_h(x) dx \left(1 + \Omega \ln \left(1 - \frac{1}{\Omega} \right) \right) \sqrt{\bar{\epsilon}_0} \\
&= -6\pi \frac{1}{\pi n_0 T_h} \left(2 \frac{a}{R} \bar{\xi}_s \right)^2 \left(\Omega + \Omega^2 \ln \left(1 - \frac{1}{\Omega} \right) \right) \int_0^{x_s} x p_h(x) dx
\end{aligned}$$

$$\begin{aligned}
I_1^{(2)} &= \int_0^{x_s} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{p_h(x)}{\pi n_0 T_h \bar{\epsilon}_0} (-1) \bar{\epsilon}^{-\frac{5}{2}} \Lambda \delta'(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) \frac{2\pi}{\sqrt{\bar{\epsilon}}} \bar{\omega} \cdot \frac{\left(2 \frac{a}{R} \bar{\xi}_s \right)^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \\
&= \int_0^{x_s} x dx \int d\Lambda d\bar{\epsilon} \frac{p_h(x)}{\pi n_0 T_h \bar{\epsilon}_0} (-1) \Lambda \delta'(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) 2\pi \bar{\omega} \cdot \frac{\left(2 \frac{a}{R} \bar{\xi}_s \right)^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}}
\end{aligned}$$

$$\begin{aligned}
&= 4\pi \left(2\frac{a}{R}\bar{\xi}_s\right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \bar{\omega} \cdot \int_0^{x_s} x p_h(x) dx \int_0^{\bar{\epsilon}_0} \frac{\sqrt{\bar{\epsilon}}}{\sqrt{\bar{\epsilon}} - \bar{\omega}} d\sqrt{\bar{\epsilon}} \\
&= 4\pi \frac{1}{\pi n_0 T_h} \left(2\frac{a}{R}\bar{\xi}_s\right)^2 \left(\Omega + \Omega^2 \ln\left(1 - \frac{1}{\Omega}\right)\right) \int_0^{x_s} x p_h(x) dx \\
I_1^{(3)} &= \int_0^{x_s} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{p_h(x)}{\pi n_0 T_h \bar{\epsilon}_0} (-1) \bar{\epsilon}^{-\frac{3}{2}} \delta(\Lambda) \delta(\bar{\epsilon}_0 - \bar{\epsilon}) \frac{2\pi}{\sqrt{\bar{\epsilon}}} \bar{\omega} \\
&\quad \cdot \frac{\left(2\frac{a}{R}\bar{\xi}_s\right)^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \\
I_1^{(3)} &= -2\pi \frac{1}{\pi n_0 T_h} \left(2\frac{a}{R}\bar{\xi}_s\right)^2 \frac{\Omega}{1 - \Omega} \int_0^{x_s} x p_h(x) dx
\end{aligned}$$

Thus,

$$\begin{aligned}
I_1 &= -2\pi \frac{1}{\pi n_0 T_h} \left(2\frac{a}{R}\bar{\xi}_s\right)^2 \left[\frac{\Omega}{1 - \Omega} + \Omega + \Omega^2 \ln\left(1 - \frac{1}{\Omega}\right)\right] \int_0^{x_s} x p_h(x) dx \\
\frac{\partial \bar{F}_h}{\partial x} &= \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \frac{dp_h(x)}{dx} \frac{1}{\bar{\epsilon}^{3/2}} \delta(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) \\
I_2 &= - \int_0^{x_s} \bar{J} dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \bar{\omega}_* \cdot \frac{\left(2\frac{a}{R}\bar{\xi}_s\right)^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \\
&= - \int_0^{x_s} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \frac{1}{2} m \frac{\partial \bar{F}}{\partial x} \frac{1}{x} \frac{R}{a} \frac{\rho_h}{a} \cdot \frac{\left(2\frac{a}{R}\bar{\xi}_s\right)^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \\
&= - \int_0^{x_s} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \frac{1}{2} m \frac{1}{x} \frac{R}{a} \frac{\rho_h}{a} \frac{\left(2\frac{a}{R}\bar{\xi}_s\right)^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \\
&\quad \cdot \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \frac{dp_h(x)}{dx} \frac{1}{\bar{\epsilon}^{3/2}} \delta(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) \\
&= - \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} 2\pi \frac{1}{2} \frac{R}{a} \frac{\rho_h}{a} \left(2\frac{a}{R}\bar{\xi}_s\right)^2 \int_0^{x_s} \frac{dp_h(x)}{dx} dx \int_0^{\bar{\epsilon}_0} \frac{\bar{\epsilon}}{\sqrt{\bar{\epsilon}} - \bar{\omega}} d\bar{\epsilon} \\
&= - \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} 2\pi \frac{R}{a} \frac{\rho_h}{a} \left(2\frac{a}{R}\bar{\xi}_s\right)^2 \int_0^{x_s} \frac{dp_h(x)}{dx} dx \int_0^{\bar{\epsilon}_0} \frac{(\sqrt{\bar{\epsilon}})^3}{\sqrt{\bar{\epsilon}} - \bar{\omega}} d\sqrt{\bar{\epsilon}} \\
&= -2\pi \frac{R}{a} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \left(2\frac{a}{R}\bar{\xi}_s\right)^2 \int_0^{x_s} \frac{dp_h(x)}{dx} dx \\
&\quad \cdot \left(\frac{1}{3} + \frac{1}{2}\Omega + \Omega^2 + \Omega^3 \ln\left(1 - \frac{1}{\Omega}\right)\right) (\sqrt{\bar{\epsilon}_0})^3
\end{aligned}$$

$$\begin{aligned}
\delta \bar{W}_k &= I_1 + I_2 \\
&= -2\pi \frac{1}{\pi n_0 T_h} \left(2 \frac{a}{R} \bar{\xi}_s\right)^2 \left[\frac{\Omega}{1-\Omega} + \Omega + \Omega^2 \ln \left(1 - \frac{1}{\Omega}\right) \right] \int_0^{x_s} x p_h(x) dx \\
&\quad - 2\pi \frac{R}{a} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \left(2 \frac{a}{R} \bar{\xi}_s\right)^2 \int_0^{x_s} \frac{dp_h(x)}{dx} dx \\
&\quad \cdot \left(\frac{1}{3} + \frac{1}{2} \Omega + \Omega^2 + \Omega^3 \ln \left(1 - \frac{1}{\Omega}\right) \right) (\sqrt{\bar{\epsilon}_0})^3
\end{aligned} \tag{83}$$

$$\begin{aligned}
\delta \hat{W}_k &= \frac{1}{4} \pi \frac{1}{(r_s/Rq_s)^2} \frac{1}{|\xi_s/a|^2} \beta_{h0} \delta \bar{W}_k \\
&\quad - 2\pi \frac{1}{(r_s/aq_s)^2} \frac{2\mu_0 \int_0^{x_s} x p_h(x) dx}{B_t^2} \left[\frac{\Omega}{1-\Omega} + \Omega + \Omega^2 \ln \left(1 - \frac{1}{\Omega}\right) \right] \\
&= -2\pi \frac{1}{\Omega_c (r_s/Rq_s)^2} \frac{2\mu_0 \int_0^{x_s} \frac{dp_h(x)}{dx} dx}{B_t^2} \left[\frac{1}{3} + \frac{1}{2} \Omega + \Omega^2 + \Omega^3 \ln \left(1 - \frac{1}{\Omega}\right) \right]
\end{aligned} \tag{84}$$

where $\Omega_c = \omega_c / [(v_h/R_0) \sqrt{\bar{\epsilon}_0}]$.

Moreover,

$$\begin{aligned}
\delta \hat{W}_k &= -\pi \frac{2\mu_0 \langle p_h \rangle}{B_t^2} \left[\frac{\Omega}{1-\Omega} + \Omega + \Omega^2 \ln \left(1 - \frac{1}{\Omega}\right) \right] + 2\pi \frac{1}{\Omega_c (r_s/Rq_s)^2} \\
&\quad \cdot \frac{2\mu_0 (p_h(0) - p_h(x_s))}{B_t^2} \left[\frac{1}{3} + \frac{1}{2} \Omega + \Omega^2 + \Omega^3 \ln \left(1 - \frac{1}{\Omega}\right) \right]
\end{aligned} \tag{85}$$

where $\langle p \rangle = \frac{2}{x_s^2} \int_0^{x_s} x p_h(x) dx$ is volume averaged pressure for $q_s = 1$, Then

$$\begin{aligned}
\delta \hat{W}_k &= -\pi \langle \beta_h \rangle \left[\frac{\Omega}{1-\Omega} + \Omega + \Omega^2 \ln \left(1 - \frac{1}{\Omega}\right) \right] + \pi \frac{1}{\Omega_c (r_s/Rq_s)^2} \\
&\quad \cdot 2(\beta_h(0) - \beta_h(x_s)) \left[\frac{1}{3} + \frac{1}{2} \Omega + \Omega^2 + \Omega^3 \ln \left(1 - \frac{1}{\Omega}\right) \right]
\end{aligned} \tag{86}$$

The dispersion relation for $p = 0$ is

$$-\frac{i\Omega}{\Omega_A} + \delta \hat{W}_T + \delta \hat{W}_k = 0, \tag{87}$$

where $\Omega_A = \bar{\omega}_A / \sqrt{\bar{\epsilon}_0}$.

3.2 The dispersion relation for the case of $\rho_d \neq 0$, $p = 0$

For $\bar{\rho}_d \neq 0$, $p = 0$ and using Eq.(74), we have

$$\begin{aligned} x < x_s - \bar{\rho}_d & \quad Y_0 = -\frac{1}{\pi} \frac{a}{R} \bar{\xi}_s \int_0^{2\pi} d\theta = -\frac{2a}{R} \bar{\xi}_s \triangleq Y'_0 \\ x_s - \bar{\rho}_d < x < x_s + \bar{\rho}_d & \quad Y_0 = -\frac{1}{\pi} \frac{a}{R} \bar{\xi}_s \int_{\theta^*}^{2\pi - \theta^*} d\theta = -\frac{2\pi - 2\theta^*}{\pi} \frac{a}{R} \bar{\xi}_s \triangleq Y''_0 \\ x > x_s + \bar{\rho}_d & \quad Y_0 = 0 \end{aligned}$$

where $\cos \theta^* = \frac{x_s - x}{\bar{\rho}_d} \in [0, \pi]$.

With $\bar{\omega}_b \simeq \sqrt{\bar{\epsilon}}/q$ and $p = 0$, $\delta \bar{W}_k$ of Eq.(44) becomes

$$\delta \bar{W}_k = \delta \bar{W}'_k + \delta \bar{W}''_k \quad (88)$$

with

$$\delta \bar{W}'_k = \int_0^{x_s - \bar{\rho}_d} \bar{J} dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \frac{2\pi}{\sqrt{\bar{\epsilon}}} (\bar{\omega} - \bar{\omega}_*) \frac{|Y'_0|^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}}$$

and

$$\delta \bar{W}''_k = \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \bar{J} dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \frac{2\pi}{\sqrt{\bar{\epsilon}}} (\bar{\omega} - \bar{\omega}_*) \frac{|Y''_0|^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}}$$

Due to $\int_0^{x_s} = \int_0^{x_s - \bar{\rho}_d} + \int_{x_s - \bar{\rho}_d}^{x_s}$, one gets

$$\delta \bar{W}'_k = \delta \bar{W}_k^0 - \delta \bar{W}'_k{}^s \quad (89)$$

where $\delta \bar{W}_k^0$ is given by the above Eq. (83), and

$$\delta \bar{W}'_k{}^s = \int_{x_s - \bar{\rho}_d}^{x_s} \bar{J} dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \frac{2\pi}{\sqrt{\bar{\epsilon}}} (\bar{\omega} - \bar{\omega}_*) \frac{|Y'_0|^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \quad (90)$$

$$\begin{aligned} = & -2\pi \frac{1}{\pi n_0 T_h} \left(2 \frac{a}{R} \bar{\xi}_s\right)^2 \left[\frac{\Omega}{1 - \Omega} + \Omega + \Omega^2 \ln \left(1 - \frac{1}{\Omega}\right) \right] \int_{x_s - \bar{\rho}_d}^{x_s} x p_h(x) dx \\ & - 2\pi \frac{R}{a} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \left(2 \frac{a}{R} \bar{\xi}_s\right)^2 \int_{x_s - \bar{\rho}_d}^{x_s} \frac{dp_h(x)}{dx} dx \\ & \cdot \left(\frac{1}{3} + \frac{1}{2} \Omega + \Omega^2 + \Omega^3 \ln \left(1 - \frac{1}{\Omega}\right) \right) (\sqrt{\bar{\epsilon}_0})^3 \end{aligned} \quad (91)$$

For simplicity, $\delta \bar{W}'_k$ may be approximate to $\delta \bar{W}_k^0$ as $\bar{\rho}_d \ll x_s$. We let $\delta \bar{W}''_k = I_1 + I_2$ and $I_1 = I_1^{(1)} + I_1^{(2)} + I_1^{(3)}$. Thus, for $\delta \bar{W}''_k$, one obtains

$$\begin{aligned}
I_1^{(1)} &= \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{p_h(x)}{\pi n_0 T_h \bar{\epsilon}_0} \left(-\frac{3}{2} \right) \bar{\epsilon}^{-\frac{5}{2}} \delta(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) \frac{2\pi}{\sqrt{\bar{\epsilon}}} \bar{\omega} \cdot \frac{\left(2 \frac{a}{R} \frac{\pi - \theta^*}{\pi} \bar{\xi}_s \right)^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \\
&= -3\pi \left(2 \frac{a}{R} \bar{\xi}_s \right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \int_0^{\bar{\epsilon}_0} \frac{\bar{\omega} d\bar{\epsilon}}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x p_h(x) \left[1 - \frac{\theta^*}{\pi} \right]^2 dx
\end{aligned}$$

for the normal profile of p_h , the identity $x p_h(x) = \frac{\delta_x}{2} \frac{p_h}{r_p}$ should be satisfied, where $r_p = -[dp_h/pdx]^{-1}$, δ_x is profile width. and $\int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \left[1 - \frac{\theta^*}{\pi} \right]^2 dx = \frac{\pi - 4}{\pi^2} \bar{\rho}_d$. With $\bar{\rho}_d \simeq q\sqrt{\bar{\epsilon}}\rho_h/a$, it yields

$$\begin{aligned}
I_1^{(1)} &\approx -6\pi \left(2 \frac{a}{R} \bar{\xi}_s \right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \frac{\delta_x}{2} \left(\frac{q p_h}{r_p} \right)_{x_s} \frac{\pi - 4}{\pi^2} \frac{\rho_h}{a} \bar{\omega} \int_0^{\bar{\epsilon}_0} d\sqrt{\bar{\epsilon}} \frac{(\sqrt{\bar{\epsilon}})^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \\
&\approx -6\pi \left(2 \frac{a}{R} \bar{\xi}_s \right)^2 \frac{1}{\pi n_0 T_h} \frac{\delta_x}{2} \left(\frac{q p_h}{r_p} \right)_{x_s} \frac{\pi - 4}{\pi^2} \frac{\rho_h}{a} \sqrt{\bar{\epsilon}_0} \\
&\quad \cdot \left[\frac{1}{2} \Omega + \Omega^2 + \Omega^3 \ln \left(1 - \frac{1}{\Omega} \right) \right] \\
&\approx -6\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{1}{\pi n_0 T_h} \frac{\delta_x}{2} \left(\frac{p_h}{r_p} \right)_{x_s} (\pi - 4) \Delta_b \\
&\quad \cdot \left[\frac{1}{2} \Omega + \Omega^2 + \Omega^3 \ln \left(1 - \frac{1}{\Omega} \right) \right]
\end{aligned}$$

where $\Delta_b = \frac{q_s \sqrt{\bar{\epsilon}_0} \rho_h}{a}$ is orbit width.

$$\begin{aligned}
I_1^{(2)} &= \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} (-1) \bar{\epsilon}^{-\frac{5}{2}} \Lambda \delta'(\Lambda) H(\bar{\epsilon}_c - \bar{\epsilon}) \frac{p_h(x)}{\pi n_0 T_h \bar{\epsilon}_0} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \bar{\omega} \cdot \frac{\left(2 \frac{a}{R} \frac{\pi - \theta^*}{\pi} \bar{\xi}_s \right)^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \\
&= 2\pi \left(2 \frac{a}{R} \bar{\xi}_s \right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \cdot \int_0^{\bar{\epsilon}_0} \frac{d\bar{\epsilon} \bar{\omega}}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x p_h(x) \left[1 - \frac{\theta^*}{\pi} \right]^2 dx \\
&\approx 4\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{1}{\pi n_0 T_h} \frac{\delta_x}{2} \left(\frac{p_h}{r_p} \right)_{x_s} (\pi - 4) \Delta_b \\
&\quad \cdot \left[\frac{1}{2} \Omega + \Omega^2 + \Omega^3 \ln \left(1 - \frac{1}{\Omega} \right) \right] \tag{92}
\end{aligned}$$

$$\begin{aligned}
I_1^{(3)} &= \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} (-1) \bar{\epsilon}^{-\frac{3}{2}} \delta(\Lambda) \delta(\bar{\epsilon}_0 - \bar{\epsilon}) \frac{p_h(x)}{\pi n_0 T_h \bar{\epsilon}_0} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \bar{\omega} \cdot \frac{\left(2 \frac{a}{R} \frac{\pi - \theta^*}{\pi} \bar{\xi}_s\right)^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \\
&= -2\pi \left(2 \frac{a}{R} \bar{\xi}_s\right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \cdot \bar{\omega} \int \frac{\bar{\epsilon} d\bar{\epsilon} \delta(\bar{\epsilon}_0 - \bar{\epsilon})}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x p_h(x) \left[1 - \frac{\theta^*}{\pi}\right]^2 dx \\
&= -2\pi \left(2 \frac{a}{R} \bar{\xi}_s\right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \frac{\delta_x}{2} \left(\frac{p_h}{r_p}\right)_{x_s} \frac{\pi - 4}{\pi^2} \bar{\omega} \int \frac{\bar{\epsilon} d\bar{\epsilon} \delta(\bar{\epsilon}_0 - \bar{\epsilon})}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \bar{\rho}_d \\
&= -2\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \frac{1}{\pi n_0 T_h} \frac{\delta_x}{2} \left(\frac{p_h}{r_p}\right)_{x_s} (\pi - 4) \Delta_b \frac{\Omega}{1 - \Omega}
\end{aligned}$$

Since

$$\begin{aligned}
\frac{\partial \bar{F}(x, \bar{\epsilon}, \Lambda)}{\partial x} &= \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \frac{1}{\bar{\epsilon}^{3/2}} \delta(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) \frac{dp_h(x)}{dx}, \quad (93) \\
I_2 &= - \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \bar{J} dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \bar{\omega}_* \cdot \frac{\left(2 \frac{a}{R} \frac{\pi - \theta^*}{\pi} \bar{\xi}_s\right)^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \\
&= - \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \frac{1}{2} m \frac{\partial \bar{F}}{\partial x} \frac{1}{x} \frac{R}{a} \frac{\rho_h}{a} \cdot \frac{\left(2 \frac{a}{R} \frac{\pi - \theta^*}{\pi} \bar{\xi}_s\right)^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \\
&= - \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \frac{1}{2} \frac{1}{x} \frac{R}{a} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \frac{1}{\bar{\epsilon}^{3/2}} \\
&\quad \cdot \delta(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) \frac{dp_h(x)}{dx} \cdot \frac{\left(2 \frac{a}{R} \frac{\pi - \theta^*}{\pi} \bar{\xi}_s\right)^2}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \\
&= -\pi \left(2 \frac{a}{R} \bar{\xi}_s\right)^2 \frac{R}{a} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \cdot \int_0^{\bar{\epsilon}_0} \frac{\bar{\epsilon} d\bar{\epsilon}}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \left[1 - \frac{\theta^*}{\pi}\right]^2 \frac{dp_h(x)}{dx} dx
\end{aligned}$$

Due to $r_p = -[dp_h/pdx]^{-1}$, the above integral of x yields

$$\begin{aligned}
&\int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \left[1 - \frac{\theta^*}{\pi}\right]^2 \frac{dp_h(x)}{dx} dx \\
&= - \left(\frac{p_h}{r_p}\right)_{x_s} \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \left[1 - \frac{\theta^*}{\pi}\right]^2 dx \\
&= - \left(\frac{p_h}{r_p}\right)_{x_s} \frac{\pi - 4}{\pi^2} \bar{\rho}_d
\end{aligned}$$

\Rightarrow

$$\begin{aligned}
&= \pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{R}{a} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \cdot \left(\frac{q p_h}{r_p} \right)_{x_s} \frac{\rho_h}{a} (\pi - 4) \int_0^{\bar{\epsilon}_0} \frac{\bar{\epsilon}^{3/2} d\bar{\epsilon}}{\sqrt{\bar{\epsilon}} - \bar{\omega}} \\
&= 2\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{R}{a} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h} \cdot \left(\frac{p_h}{r_p} \right)_{x_s} \sqrt{\bar{\epsilon}_0} (\pi - 4) \Delta_b \\
&\quad \cdot \left[\frac{1}{4} + \frac{1}{3} \Omega + \frac{1}{2} \Omega^2 + \Omega^3 + \Omega^4 \ln \left(1 - \frac{1}{\Omega} \right) \right]
\end{aligned}$$

Therefore,

$$\begin{aligned}
\delta \bar{W}_k'' &= -2\pi (\pi - 4) \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{1}{\pi n_0 T_h} \frac{\delta_x}{2} \left(\frac{p_h}{r_p} \right)_{x_s} \Delta_b \\
&\quad \cdot \left[\frac{1}{2} \Omega + \Omega^2 + \Omega^3 \ln \left(1 - \frac{1}{\Omega} \right) + \frac{\Omega}{1 - \Omega} \right] \\
&\quad + 2\pi (\pi - 4) \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{R}{a} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h} \cdot \left(\frac{p_h}{r_p} \right)_{x_s} \sqrt{\bar{\epsilon}_0} \Delta_b \\
&\quad \cdot \left[\frac{1}{4} + \frac{1}{3} \Omega + \frac{1}{2} \Omega^2 + \Omega^3 + \Omega^4 \ln \left(1 - \frac{1}{\Omega} \right) \right]
\end{aligned} \tag{94}$$

Using

$$\delta \hat{W}_k = \frac{1}{4} \pi \frac{1}{(r_s / R q_s)^2} \frac{1}{|\xi_s / a|^2} \beta_{h0} \delta \bar{W}_k \tag{95}$$

one obtains

$$\begin{aligned}
\delta \hat{W}_k'' &= -\frac{1}{(x_s / q_s)^2} \left(2 - \frac{8}{\pi} \right) \frac{\delta_x}{2} \left(\frac{\beta_h}{r_p} \right)_{x_s} \Delta_b \left[\frac{1}{2} \Omega + \Omega^2 + \Omega^3 \ln \left(1 - \frac{1}{\Omega} \right) + \frac{\Omega}{1 - \Omega} \right] \\
&\quad + \frac{1}{x_s^2} \left(2 - \frac{8}{\pi} \right) \frac{R}{a} \left(\frac{q \beta_h}{r_p} \right)_{x_s} \Delta_b^2 \left[\frac{1}{4} + \frac{1}{3} \Omega + \frac{1}{2} \Omega^2 + \Omega^3 + \Omega^4 \ln \left(1 - \frac{1}{\Omega} \right) \right]
\end{aligned}$$

and the dispersion relation is

$$-\frac{i\Omega}{\Omega_A} + \delta \hat{W}_T + \delta \hat{W}_k = 0 \tag{96}$$

where $\Omega_A = \bar{\omega}_A / \sqrt{\bar{\epsilon}_0}$, $\delta \hat{W}_k = \delta \hat{W}_k^0 + \delta \hat{W}_k''$.

3.3 The dispersion relation for the case of $p = 1$, $\rho_d \neq 0$

For $p = 1$, we arrive at

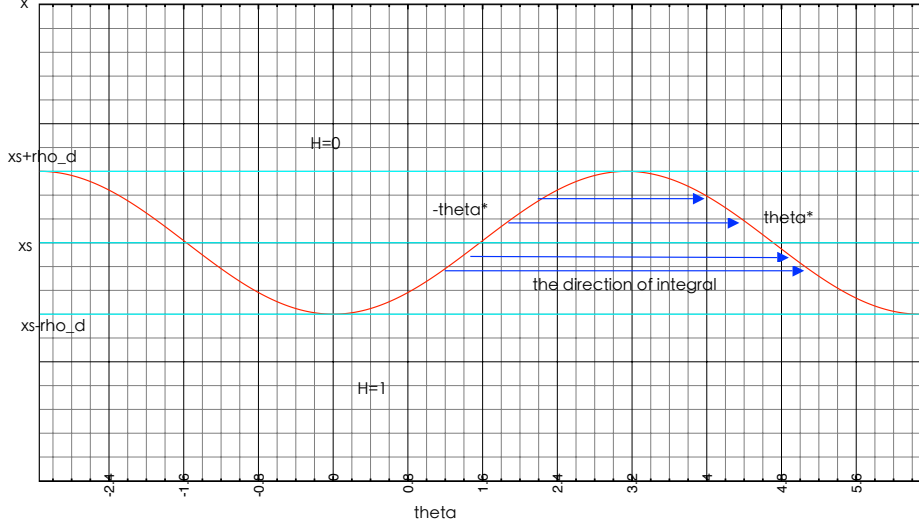


Figure 1: The integral region

$$Y_1 = -\frac{1}{\pi} \frac{a}{R} \int_0^{2\pi} d\theta \bar{\xi}_s H(x_s - \bar{x} - \bar{\rho}_d \cos \theta) \exp(-i\theta) \quad (97)$$

From Fig.1, Y_1 is zero in the region $x < x_s - \bar{\rho}_d$ where $H = 1$ for $\theta \in [0, 2\pi]$ since the θ integral of $\sim \exp(-i\theta)$ from 0 to 2π is zero. In the region $x > x_s + \bar{\rho}_d$, Y_1 also is zero since $H = 0$. Obviously, Y_1 is finite in the region $x_s - \bar{\rho}_d < x < x_s + \bar{\rho}_d$ where $H = 1$ for $\theta \in [\theta^*, -\theta^* + 2\pi]$. $\cos \theta^* = \frac{x_s - x}{\bar{\rho}_d} \in [0, \pi]$. Thus,

$$\begin{aligned} Y_1 &= -\frac{1}{\pi} \frac{a}{R} \int_{\theta^*}^{2\pi - \theta^*} d\theta \bar{\xi}_s \exp(-i\theta) \\ &= -\frac{1}{\pi} \frac{a}{R} \bar{\xi}_s i [\exp(-i(2\pi - \theta^*)) - \exp(-i\theta^*)] \\ &= -\frac{1}{\pi} \frac{a}{R} \bar{\xi}_s i [\exp(-i(2\pi - \theta^*)) - \exp(-i\theta^*)] \\ &= \frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \sin \theta^* \end{aligned} \quad (98)$$

With $\bar{\omega}_b \simeq \sqrt{\bar{\epsilon}}/q$ and $p = 1$, $\delta \bar{W}_k$ becomes

$$\delta \bar{W}_k = \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \bar{J} dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \frac{2\pi}{\sqrt{\bar{\epsilon}}} (\bar{\omega} - \bar{\omega}_*)$$

$$\cdot \frac{|Y_1|^2}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q} - \bar{\omega}}. \quad (99)$$

$$\delta \bar{W}_k = \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x dx \int d\Lambda \int_0^{\bar{\epsilon}_0} \bar{\epsilon}^3 d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \frac{2\pi}{\sqrt{\bar{\epsilon}}} (\bar{\omega} - \bar{\omega}_\star) \frac{\left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \sin^2 \theta^\star}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}} \quad (100)$$

$$\delta \bar{W}_k = \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x dx \int d\Lambda \int_0^{\bar{\epsilon}_0} \bar{\epsilon}^3 d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \frac{2\pi}{\sqrt{\bar{\epsilon}}} (\bar{\omega} - \bar{\omega}_\star) \frac{\left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}} \left(1 - \left(\frac{x_s - x}{\bar{\rho}_d}\right)^2\right) \quad (101)$$

with $\bar{J} = x$. The distribution function of passing particles for analytical purpose is given by

$$\bar{F}(x, \bar{\epsilon}, \Lambda) = \frac{p_h(x)}{\pi n_0 T_h \bar{\epsilon}_0} \frac{1}{\bar{\epsilon}^{3/2}} \delta(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}), \quad (102)$$

and its derivative of $\bar{\epsilon}$ is read as

$$\frac{\partial \bar{F}}{\partial \bar{\epsilon}} = \frac{p_h(x)}{\pi n_0 T_h \bar{\epsilon}_0} \left[-\frac{3}{2} \bar{\epsilon}^{-\frac{5}{2}} \delta(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) - \bar{\epsilon}^{-\frac{5}{2}} \Lambda \delta'(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) - \bar{\epsilon}^{-\frac{3}{2}} \delta(\Lambda) \delta(\bar{\epsilon}_0 - \bar{\epsilon}) \right].$$

$$\delta \bar{W}_k = I_1 + I_2 \quad (103)$$

where I_1 represents the term with $\bar{\omega}$ and I_2 the term with $\bar{\omega}_\star$. Then I_1 decomposes three parts corresponding to $\partial \bar{F} / \partial \bar{\epsilon}$.

$$I_1 = I_1^{(1)} + I_1^{(2)} + I_1^{(3)} \quad (104)$$

$$\begin{aligned} I_1^{(1)} &= \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \left(-\frac{3}{2}\right) \bar{\epsilon}^{-\frac{5}{2}} \delta(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) \frac{p_h(x)}{\pi n_0 T_h \bar{\epsilon}_0} \frac{2\pi \bar{\omega}}{\sqrt{\bar{\epsilon}}} \\ &\quad \cdot \frac{\left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}} \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d}\right)^2\right] \\ &= -3\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x p_h(x) \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d}\right)^2\right] dx \int_0^{\bar{\epsilon}_0} d\bar{\epsilon} \bar{\omega} \\ &\quad \cdot \frac{1}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}} \end{aligned}$$

for the normal profile of p_h , the identity $x p_h(x) = \frac{\delta_x}{2} \frac{p_h}{r_p}$ should be satisfied, where $r_p = -[dp_h/pdx]^{-1}$, δ_x is profile width. and $\int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d}\right)^2\right] dx = \frac{4}{3} \bar{\rho}_d$.

\Rightarrow

$$= -3\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \frac{\delta_x}{2} \left(\frac{p_h}{r_p}\right)_{x_s} \cdot \bar{\omega} \int_0^{\bar{\epsilon}_0} 2\sqrt{\bar{\epsilon}} d\sqrt{\bar{\epsilon}} \frac{1}{\left(1 + \frac{1}{q_s}\right) \sqrt{\bar{\epsilon}} - \bar{\omega}} \frac{4}{3} \bar{\rho}_d$$

With $\bar{\rho}_d \simeq q\sqrt{\bar{\epsilon}}\rho_h/a$, we obtain

$$I_1^{(1)} \approx -3\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \frac{\delta_x}{2} \left(\frac{q p_h}{r_p}\right)_{x_s} \frac{8}{3} \frac{\rho_h}{a} \frac{\bar{\omega}}{1 + \frac{1}{q_s}} \cdot \int_0^{\bar{\epsilon}_0} d\sqrt{\bar{\epsilon}} \frac{(\sqrt{\bar{\epsilon}})^2}{\sqrt{\bar{\epsilon}} - \bar{\omega} / \left(1 + \frac{1}{q_s}\right)}$$

The intergal identity is given by

$$\int dy \frac{y^2}{y-a} = \int dy \frac{y^2 - a^2 + a^2}{y-a} = \int dy (y+a) + \int dy \frac{a^2}{y-a} \quad (105)$$

$$= \frac{1}{2} y^2 + ay + a^2 \ln(y-a) \quad (106)$$

\Rightarrow

$$= -8\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \frac{\delta_x}{2} \left(\frac{q p_h}{r_p}\right)_{x_s} \frac{\rho_h}{a} \frac{\bar{\omega}}{1 + \frac{1}{q_s}} \left[\frac{1}{2} (\sqrt{\bar{\epsilon}_0})^2 + \frac{\bar{\omega}}{1 + \frac{1}{q_s}} \sqrt{\bar{\epsilon}_0} + \left(\frac{\bar{\omega}}{1 + \frac{1}{q_s}}\right)^2 \ln \left(\frac{\frac{\bar{\omega}}{1 + \frac{1}{q_s}} - \sqrt{\bar{\epsilon}_0}}{\frac{\bar{\omega}}{1 + \frac{1}{q_s}}} \right) \right] \quad (107)$$

$$I_1^{(2)} = \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} (-1) \bar{\epsilon}^{-\frac{5}{2}} \Lambda \delta'(\Lambda) H(\bar{\epsilon}_c - \bar{\epsilon}) \frac{p_h(x)}{\pi n_0 T_h \bar{\epsilon}_0} \frac{2\pi \bar{\omega}}{\sqrt{\bar{\epsilon}}} \cdot \frac{\left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}} \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d}\right)^2\right] \\ = 2\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x p_h(x) \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d}\right)^2\right] dx$$

$$\begin{aligned}
& \int_0^{\epsilon_0} d\bar{\epsilon} \bar{\omega} \frac{1}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}} \\
& \approx \frac{16}{3} \pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \frac{\delta_x}{2} \left(\frac{q p_h}{r_p} \right)_{x_s} \frac{\rho_h}{a} \\
& \cdot \frac{\bar{\omega}}{1 + \frac{1}{q_s}} \left[\frac{1}{2} (\sqrt{\bar{\epsilon}_0})^2 + \frac{\bar{\omega}}{1 + \frac{1}{q_s}} \sqrt{\bar{\epsilon}_0} + \left(\frac{\bar{\omega}}{1 + \frac{1}{q_s}} \right)^2 \ln \left(\frac{\frac{\bar{\omega}}{1 + \frac{1}{q_s}} - \sqrt{\bar{\epsilon}_0}}{\frac{\bar{\omega}}{1 + \frac{1}{q_s}}} \right) \right] \quad (108)
\end{aligned}$$

$$\begin{aligned}
I_1^{(3)} &= \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} (-1) \bar{\epsilon}^{-\frac{3}{2}} \delta(\Lambda) \delta(\bar{\epsilon}_0 - \bar{\epsilon}) \frac{p_h(x)}{\pi n_0 T_h \bar{\epsilon}_0} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \bar{\omega} \\
& \cdot \frac{\left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}} \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d} \right)^2 \right] \\
&= -2\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x p_h(x) \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d} \right)^2 \right] dx \\
& \cdot \bar{\omega} \int d\bar{\epsilon} \delta(\bar{\epsilon}_0 - \bar{\epsilon}) \frac{\bar{\epsilon}}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}} \\
&= -2\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \frac{\delta_x}{2} \left(\frac{p_h}{r_p} \right)_{x_s} \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d} \right)^2 \right] dx \\
& \cdot \bar{\omega} \int d\bar{\epsilon} \delta(\bar{\epsilon}_0 - \bar{\epsilon}) \frac{\bar{\epsilon}}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}} \\
&= -2\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \frac{\delta_x}{2} \left(\frac{p_h}{r_p} \right)_{x_s} \frac{\rho_h}{a} \frac{\bar{\omega}}{\sqrt{\bar{\epsilon}_0} + \frac{\sqrt{\bar{\epsilon}_0}}{q_s} - \bar{\omega}} \\
& \cdot \bar{\omega} \int d\bar{\epsilon} \delta(\bar{\epsilon}_0 - \bar{\epsilon}) \frac{\bar{\epsilon}}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}} \frac{4}{3} \bar{\rho}_d \\
&= -2\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \frac{\delta_x}{2} \left(\frac{q p_h}{r_p} \right)_{x_s} \frac{4}{3} \frac{\rho_h}{a} \frac{\bar{\omega} (\sqrt{\bar{\epsilon}_0})^3}{\sqrt{\bar{\epsilon}_0} + \frac{\sqrt{\bar{\epsilon}_0}}{q_s} - \bar{\omega}}
\end{aligned}$$

we have

$$\frac{\partial \bar{F}(x, \bar{\epsilon}, \Lambda)}{\partial x} = \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \frac{1}{\bar{\epsilon}^{3/2}} \delta(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) \frac{dp_h(x)}{dx}, \quad (109)$$

$$\begin{aligned}
I_2 &= - \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \bar{J} dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \bar{\omega}_* \\
&\quad \cdot \frac{\left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \sin^2 \theta^*}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}} \\
&= - \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \frac{1}{2} m \frac{\partial \bar{F}}{\partial x} \frac{1}{x} \frac{R}{a} \frac{\rho_h}{a} \\
&\quad \cdot \frac{\left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \sin^2 \theta^*}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}} \\
&= - \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \frac{1}{2} \frac{R}{x} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \frac{1}{\bar{\epsilon}^{3/2}} \\
&\quad \cdot \delta(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) \frac{dp_h(x)}{dx} \frac{\left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d}\right)^2\right]}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}} \\
&= -\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \frac{R}{a} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d}\right)^2\right] \frac{dp_h(x)}{dx} dx \\
&\quad \cdot \int_0^{\bar{\epsilon}_0} \bar{\epsilon} d\bar{\epsilon} \frac{1}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}}
\end{aligned}$$

Due to $r_p = -[dp_h/pdx]^{-1}$, the above integral of x yields

$$\begin{aligned}
&\int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d}\right)^2\right] \frac{dp_h(x)}{dx} dx \\
&= -\left(\frac{p_h}{r_p}\right)_{x_s} \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d}\right)^2\right] dx \\
&= -\frac{4}{3} \left(\frac{p_h}{r_p}\right)_{x_s} \bar{\rho}_d
\end{aligned}$$

\Rightarrow

$$= -\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \frac{R}{a} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \cdot \int_0^{\bar{\epsilon}_0} \bar{\epsilon} d\bar{\epsilon} \frac{1}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}} \left(-\frac{4}{3}\right) \left(\frac{p_h}{r_p}\right)_{x_s} \bar{\rho}_d$$

$$= -\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{R}{a} \left(\frac{\rho_h}{a} \right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \left(-\frac{4}{3} \right) \left(\frac{qp_h}{r_p} \right)_{x_s} \cdot \int_0^{\bar{\epsilon}_0} \frac{2 (\sqrt{\bar{\epsilon}})^4}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}} d\sqrt{\bar{\epsilon}}$$

The intergal identity is given by

$$\int dy \frac{y^4}{y-a} = \int dy \frac{y^4 - a^4 + a^4}{y-a} = \int dy (y+a) (y^2 + a^2) + \int dy \frac{a^4}{y-a} \quad (110)$$

$$= \frac{1}{4} y^4 + \frac{1}{3} a y^3 + \frac{1}{2} a^2 y^2 + a^3 y + a^4 \ln(y-a) \quad (111)$$

\Rightarrow

$$= \frac{8}{3} \pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{R}{a} \left(\frac{\rho_h}{a} \right)^2 \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \left(\frac{qp_h}{r_p} \right)_{x_s} \frac{1}{1 + \frac{1}{q_s}} \cdot \left[\begin{aligned} & \frac{1}{4} \bar{\epsilon}_0^2 + \frac{1}{3} \frac{\bar{\omega}}{1 + \frac{1}{q_s}} (\sqrt{\bar{\epsilon}_0})^3 + \frac{1}{2} \left(\frac{\bar{\omega}}{1 + \frac{1}{q_s}} \right)^2 (\sqrt{\bar{\epsilon}_0})^2 \\ & + \left(\frac{\bar{\omega}}{1 + \frac{1}{q_s}} \right)^3 (\sqrt{\bar{\epsilon}_0}) + \left(\frac{\bar{\omega}}{1 + \frac{1}{q_s}} \right)^4 \ln \left(\frac{\frac{\bar{\omega}}{1 + \frac{1}{q_s}} - \bar{\epsilon}_0^{1/2}}{\frac{\bar{\omega}}{1 + \frac{1}{q_s}}} \right) \end{aligned} \right]$$

Defining $\Omega = \frac{\bar{\omega}}{(1+1/q_s)\bar{\epsilon}_0^{1/2}}$, $\delta \bar{W}_k$ can be rewritten by

$$\begin{aligned} \delta \bar{W}_k &= I_1 + I_2 \\ &= \frac{8}{3} \pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{R}{a} \left(\frac{\rho_h}{a} \right)^2 \frac{(\sqrt{\bar{\epsilon}_0})^3}{\pi n_0 T_h \bar{\epsilon}_0} \frac{\delta_x}{2} \left(\frac{qp_h}{r_p} \right)_{x_s} \\ &\quad \cdot \left[\frac{1}{2} \Omega + \Omega^2 + \Omega^3 \ln \left(1 - \frac{1}{\Omega} \right) + \frac{1}{\Omega - 1} \right] \\ &+ \frac{8}{3} \pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{R}{a} \left(\frac{\rho_h}{a} \right)^2 \frac{\bar{\epsilon}_0^2}{\pi n_0 T_h \bar{\epsilon}_0} \left(\frac{qp_h}{r_p} \right)_{x_s} \frac{1}{1 + \frac{1}{q_s}} \\ &\quad \cdot \left[\frac{1}{4} + \frac{1}{3} \Omega + \frac{1}{2} \Omega^2 + \Omega^3 + \Omega^4 \ln \left(1 - \frac{1}{\Omega} \right) \right] \end{aligned}$$

$$\begin{aligned}
\delta\hat{W}_k &= \frac{1}{4}\pi \frac{1}{(r_s/Rq_s)^2} \frac{1}{|\xi_s/a|^2} \beta_{h0} \delta\bar{W}_k \\
&= \frac{8}{3\pi} \frac{1}{(r_s/Rq_s)^2} \left(\frac{q\beta_h}{r_p} \right)_{x_s} \frac{1}{\Omega_c} \frac{\delta_x}{2} \left[\frac{1}{\Omega-1} + \frac{1}{2}\Omega + \Omega^2 + \Omega^3 \ln \left(1 - \frac{1}{\Omega} \right) \right] \\
&\quad + \frac{8}{3\pi} \frac{1}{(r_s/Rq_s)^2} \frac{R}{a} \left(\frac{q\beta_h}{r_p} \right)_{x_s} \frac{1}{\Omega_c^2} \frac{1}{1 + \frac{1}{q_s}} \left[\frac{1}{4} + \frac{1}{3}\Omega + \frac{1}{2}\Omega^2 + \Omega^3 + \Omega^4 \ln \left(1 - \frac{1}{\Omega} \right) \right] \\
&\quad + \frac{8}{3\pi} \frac{1}{(r_s/Rq_s)^2} \frac{a}{R} \frac{\delta_x}{2} \left(\frac{\beta_h}{r_p} \right)_{x_s} \Delta_b \left[\frac{1}{\Omega-1} + \frac{1}{2}\Omega + \Omega^2 + \Omega^3 \ln \left(1 - \frac{1}{\Omega} \right) \right] \\
&= \frac{8}{3\pi} \frac{1}{(r_s/Rq_s)^2} \frac{1}{\Omega_c} \frac{1}{1 + \frac{1}{q_s}} \left(\frac{\beta_h}{r_p} \right)_{x_s} \Delta_b \left[\frac{1}{4} + \frac{1}{3}\Omega + \frac{1}{2}\Omega^2 + \Omega^3 + \Omega^4 \ln \left(1 - \frac{1}{\Omega} \right) \right]
\end{aligned} \tag{112}$$

where, $\Delta_b = \frac{q_s \sqrt{\epsilon_0} \rho_h}{a}$ is orbit width.

According to Eq. (112), the dispersion relation(64) thus can be written as

$$-\frac{i\Omega}{\Omega_A} + \delta\hat{W}_T + \delta\hat{W}_k = 0 \tag{113}$$

where $\Omega_A = \frac{\bar{\omega}_A}{(1+1/q_s)\epsilon_0^{1/2}}$.

3.4 The dispersion relation for the case of $p = -1$, $\rho_d \neq 0$

For $p = -1$, we arrive at

$$Y_{-1} = -\frac{1}{\pi} \frac{a}{R} \int_0^{2\pi} d\theta \bar{\xi}_s H(x_s - \bar{x} - \bar{\rho}_d \cos \theta) \exp(i\theta) \tag{114}$$

From Fig.1, Y_{-1} is zero in the region $x < x_s - \bar{\rho}_d$ where $H = 1$ for $\theta \in [0, 2\pi]$ since the θ integral of $\sim \exp(-i\theta)$ from 0 to 2π is zero. In the region $x > x_s + \bar{\rho}_d$, Y_1 also is zero since $H = 0$. Obviously, Y_{-1} is finite in the region $x_s - \bar{\rho}_d < x < x_s + \bar{\rho}_d$ where $H = 1$ for $\theta \in [\theta^*, -\theta^* + 2\pi]$. $\cos \theta^* = \frac{x_s - x}{\bar{\rho}_d} \in [0, \pi]$. Thus,

$$\begin{aligned}
Y_{-1} &= -\frac{1}{\pi} \frac{a}{R} \int_{\theta^*}^{2\pi - \theta^*} d\theta \bar{\xi}_s \exp(i\theta) \\
&= \frac{1}{\pi} \frac{a}{R} \bar{\xi}_s i [\exp(i(2\pi - \theta^*)) - \exp(i\theta^*)] \\
&= \frac{1}{\pi} \frac{a}{R} \bar{\xi}_s i [\exp(i(2\pi - \theta^*)) - \exp(i\theta^*)] \\
&= \frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \sin \theta^*
\end{aligned} \tag{115}$$

With $\bar{\omega}_b \simeq \sqrt{\bar{\epsilon}}/q$ and $p = -1$, $\delta\bar{W}_k$ becomes

$$\delta \bar{W}_k = \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \bar{J} dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \frac{2\pi}{\sqrt{\bar{\epsilon}}} (\bar{\omega} - \bar{\omega}_\star) \cdot \frac{|Y_{-1}|^2}{\sqrt{\bar{\epsilon}} - \frac{\sqrt{\bar{\epsilon}}}{q} - \bar{\omega}}. \quad (116)$$

The fishbone of $\bar{\omega} \sim \omega_{\star i}$ is studied. Thus the term I_2 dominates over the term I_1 and $|\Re(\delta \hat{W}_k)| \ll |\Im(\delta \hat{W}_k)|$.

$$\begin{aligned} I_2 &= - \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \bar{J} dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \bar{\omega}_\star \\ &\quad \cdot \frac{\left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \sin^2 \theta^\star}{\sqrt{\bar{\epsilon}} - \frac{\sqrt{\bar{\epsilon}}}{q} - \bar{\omega}} \\ &= - \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \frac{1}{2} m \frac{\partial \bar{F}}{\partial x} \frac{1}{x} \frac{R}{a} \frac{\rho_h}{a} \\ &\quad \cdot \frac{\left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \sin^2 \theta^\star}{\sqrt{\bar{\epsilon}} - \frac{\sqrt{\bar{\epsilon}}}{q} - \bar{\omega}} \\ &= - \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \frac{1}{2} \frac{1}{x} \frac{R}{a} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \frac{1}{\bar{\epsilon}^{3/2}} \\ &\quad \cdot \delta(\Lambda) H(\bar{\epsilon}_0 - \bar{\epsilon}) \frac{dp_h(x)}{dx} \frac{\left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d}\right)^2\right]}{\sqrt{\bar{\epsilon}} \frac{s(x_s)}{q_s x_s} (x - x_s) - \bar{\omega}} \\ &= -\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \frac{R}{a} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d}\right)^2\right] \frac{dp_h(x)}{dx} dx \\ &\quad \cdot \int_0^{\bar{\epsilon}_0} \bar{\epsilon} d\bar{\epsilon} \frac{1}{\sqrt{\bar{\epsilon}} \frac{s(x_s)}{q_s x_s} (x - x_s) - \bar{\omega}} \end{aligned}$$

since $q = q_s + dq/dx (x - x_s)$, $s = \frac{x}{q} \frac{dq}{dx}$.
 \Rightarrow

$$\begin{aligned} &= -\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \frac{R}{a} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \int_0^{\bar{\epsilon}_0} \bar{\epsilon} d\bar{\epsilon} \\ &\quad \cdot \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d}\right)^2\right] \frac{dp_h(x)}{dx} \frac{1}{\sqrt{\bar{\epsilon}} \frac{s(x_s)}{q_s x_s} (x - x_s) - \bar{\omega}} dx \end{aligned}$$

Due to $r_p = -[dp_h/pdx]^{-1}$, the imaginary part of the above integral of x yields

$$\begin{aligned}
& \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d} \right)^2 \right] \frac{dp_h(x)}{dx} \frac{1}{\sqrt{\bar{\epsilon} \frac{s(x_s)}{q_s x_s}} (x - x_s) - \bar{\omega}} dx \\
&= -i\pi \left(\frac{p_h}{r_p} \right)_{x_s} \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} \left[1 - \left(\frac{x_s - x}{\bar{\rho}_d} \right)^2 \right] \frac{1}{\sqrt{\bar{\epsilon} \frac{s(x_s)}{q_s x_s}} (x - x_s) - \bar{\omega}} dx \\
&= -i\pi \left(\frac{p_h}{r_p} \right)_{x_s} \left[1 - \left(\frac{\bar{\omega} x_s q_s}{\sqrt{\bar{\epsilon} s} \bar{\rho}_d} \right)^2 \right]
\end{aligned}$$

by using $\sqrt{\bar{\epsilon} \frac{s(x_s)}{q_s x_s}} (x - x_s) - \bar{\omega} = 0$. The imaginary part of I_2 with maximum drive by ignoring the term $\frac{\bar{\omega} x_s q_s}{\sqrt{\bar{\epsilon} s} \bar{\rho}_d}$ is

$$\begin{aligned}
I_2^{\Im} &= i\pi^2 \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{R}{a} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \left(\frac{p_h}{r_p} \right)_{x_s} \int_0^{\bar{\epsilon}_0} \bar{\epsilon} d\bar{\epsilon} \\
&= i\pi^2 \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{R}{a} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \left(\frac{p_h}{r_p} \right)_{x_s} \frac{1}{2} \bar{\epsilon}_0^2
\end{aligned}$$

Thus the imaginary part of $\delta \hat{W}_k$ is

$$\begin{aligned}
\delta \hat{W}_{k2}^{\Im} &= \frac{1}{4} \pi \frac{1}{(r_s/Rq_s)^2} \frac{1}{|\xi_s/a|^2} \beta_{h0} I_2^{\Im} \\
&= \frac{1}{4} \pi \frac{1}{(r_s/Rq_s)^2} \frac{1}{|\xi_s/a|^2} \beta_{h0} i\pi^2 \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s \right)^2 \frac{R}{a} \frac{\rho_h}{a} \frac{1}{\pi n_0 T_h \bar{\epsilon}_0} \left(\frac{p_h}{r_p} \right)_{x_s} \frac{1}{2} \bar{\epsilon}_0^2 \\
&= \frac{i}{2} \frac{\bar{\omega}_c}{(r_s/a)^2} \left(\frac{\beta_h}{r_p} \right)_{x_s} \Delta_b^2
\end{aligned} \tag{117}$$