Ref of Fu's 1993 paper

January 9, 2016

1 The formula of δW_k

The linearized drift kinetic equation is given by

$$\left(\partial_t + \mathbf{v}_d \cdot \nabla + v_{\parallel} \hat{\mathbf{b}} \cdot \nabla\right) g = i \frac{e}{M} \frac{\partial F}{\partial \epsilon} \left(\omega - \omega_{\star}\right) \frac{i}{\omega} \mathbf{v}_d \cdot \delta \mathbf{E}_{\perp} \tag{1}$$

where, $\mathbf{v}_d = \frac{\hat{\mathbf{b}}}{\Omega} \times \left(\mu \nabla B + \kappa v_{\parallel}^2 \right) \approx \frac{v_{\perp}^2/2 + v_{\parallel}^2}{\Omega} \hat{\mathbf{b}} \times \kappa$, $\mu = \frac{v_{\perp}^2}{2B}$, $\omega_{\star} = \frac{i\hat{\mathbf{b}} \times \nabla F \cdot \nabla}{\Omega \partial F/\partial \epsilon}$, $\epsilon = \frac{1}{2}v^2$, $\delta \mathbf{E}_{\perp} = i\omega \vec{\xi} \times \mathbf{B}$, $\Omega = \frac{Be}{M}$ is the particle cyclotron frequency. [Berk, Phys. Fluid B 4 1992]

The term $\mathbf{v}_d \cdot \delta \mathbf{E}_{\perp}$ can be expressed by the following form.

$$\mathbf{v}_{d} \cdot \delta \mathbf{E}_{\perp} = \frac{v_{\perp}^{2}/2 + v_{\parallel}^{2}}{\Omega} \hat{\mathbf{b}} \times \kappa \cdot \delta \mathbf{E}_{\perp} = i\omega \frac{v_{\perp}^{2}/2 + v_{\parallel}^{2}}{\Omega} \hat{\mathbf{b}} \times \tilde{\kappa} \cdot \left(\vec{\xi} \times \mathbf{B}\right)$$
(2)

$$=-i\omega B\frac{v_{\perp}^{2}/2+v_{\parallel}^{2}}{\Omega}\vec{\kappa}\cdot\vec{\xi}=-i\omega B\frac{v_{\perp}^{2}/2+v_{\parallel}^{2}}{\Omega}\left(\nabla\theta\kappa_{\theta}+\nabla r\kappa_{r}\right)\cdot\left(\xi_{\theta}\nabla\theta+\xi_{r}\nabla r\right)$$

$$=-i\omega B\frac{v_{\perp}^{2}/2+v_{\parallel}^{2}}{\Omega}\left(\nabla\theta\cdot\nabla\theta\xi_{\theta}\kappa_{\theta}+\nabla r\cdot\nabla r\xi_{r}\kappa_{r}+\nabla\theta\cdot\nabla r\xi_{r}\kappa_{\theta}+\nabla r\cdot\nabla\theta\xi_{\theta}\kappa_{r}\right)$$

$$=-i\omega B\frac{\epsilon}{\Omega}\left(\frac{\Lambda}{b}+2\left(1-\frac{\Lambda}{b}\right)\right)\left(\left(g^{\theta\theta}\kappa_{\theta}+g^{r\theta}\kappa_{r}\right)\xi_{\theta}+\left(g^{rr}\kappa_{r}+g^{r\theta}\kappa_{\theta}\right)\xi_{r}\right)$$

with $g^{rr} = \nabla r \cdot \nabla r$, $g^{\theta r} = \nabla \theta \cdot \nabla r$, $g^{\theta \theta} = \nabla \theta \cdot \nabla \theta$. $\xi_r \nabla r = \xi_r \mathbf{e}_r$, $\xi_\theta \nabla \theta = \frac{1}{r} \xi_\theta \mathbf{e}_\theta$, $\kappa_\theta = -\frac{1}{R} \frac{\partial R}{\partial \theta}$, $\kappa_r \approx -\frac{1}{R} \frac{\partial R}{\partial r} - \frac{r}{q^2 R^2}$ [G. Y. Fu, PHYSICS OF PLASMAS 13 2006]. $\Lambda = \frac{\mu B_0}{\epsilon}$, $b = B_0/B \approx 1 + (r/R_0) \cos \theta$, $\epsilon = \frac{1}{2} v^2$, $\delta \mathbf{E}_{\perp}^{\star} = -i\omega \vec{\xi}^{\star} \times \mathbf{B}$. Thus, the complex conjugate term is

$$\mathbf{v}_d \cdot \delta \mathbf{E}_{\perp}^{\star} = \frac{v_{\perp}^2 / 2 + v_{\parallel}^2}{\Omega} \hat{\mathbf{b}} \times \kappa \cdot \delta \mathbf{E}_{\perp}^{\star} = -i\omega \frac{v_{\perp}^2 / 2 + v_{\parallel}^2}{\Omega} \hat{\mathbf{b}} \times \tilde{\kappa} \cdot \left(\vec{\xi}^{\star} \times \mathbf{B}\right)$$
(3)

$$=i\omega B\frac{v_{\perp}^{2}/2+v_{\parallel}^{2}}{\Omega}\vec{\kappa}\cdot\vec{\xi^{\star}}=i\omega B\frac{v_{\perp}^{2}/2+v_{\parallel}^{2}}{\Omega}\left(\nabla\theta\kappa_{\theta}+\nabla r\kappa_{r}\right)\cdot\left(\xi_{\theta}^{\star}\nabla\theta+\xi_{r}^{\star}\nabla r\right)$$

$$= i\omega B \frac{v_{\perp}^2/2 + v_{\parallel}^2}{\Omega} \left(\nabla \theta \cdot \nabla \theta \xi_{\theta}^{\star} \kappa_{\theta} + \nabla r \cdot \nabla r \xi_{r}^{\star} \kappa_{r} + \nabla \theta \cdot \nabla r \xi_{r}^{\star} \kappa_{\theta} + \nabla r \cdot \nabla \theta \xi_{\theta}^{\star} \kappa_{r} \right)$$

$$= i\omega B \frac{\epsilon}{\Omega} \left(\frac{\Lambda}{b} + 2\left(1 - \frac{\Lambda}{b} \right) \right) \left(\left(g^{\theta\theta} \kappa_{\theta} + g^{r\theta} \kappa_{r} \right) \xi_{\theta}^{\star} + \left(g^{rr} \kappa_{r} + g^{r\theta} \kappa_{\theta} \right) \xi_{r}^{\star} \right)$$

The linearized drift kinetic equation is rewritten as

$$\frac{d}{dt}g = i\frac{e}{M}\frac{\partial F}{\partial \epsilon} \left(\omega - \omega_{\star}\right) B\frac{\epsilon}{\Omega} \left(\frac{\Lambda}{b} + 2\left(1 - \frac{\Lambda}{b}\right)\right) \left(\left(g^{\theta\theta}\kappa_{\theta} + g^{r\theta}\kappa_{r}\right)\xi_{\theta} + \left(g^{rr}\kappa_{r} + g^{r\theta}\kappa_{\theta}\right)\xi_{r}\right)
\frac{d}{dt}g = H\left(r, \theta, \phi, t\right)$$
(4)

The solution of perturbed distribution function g is obtained in the followings. At equilibrium, the projection of the orbit on the poloidal cross section is a closed curve. For either mirror-trapped or passing orbit, we define the bounce time [F. Porcelli, R. Stankiewicz, and W. Kerner, Phys. Plasmas 1 1994]

$$\tau_b = \oint d\tau = \oint \frac{d\psi}{\dot{\psi}} = \oint \frac{d\theta}{\dot{\theta}} \tag{5}$$

as the time it takes to close an equilibrium orbit on the poloidal plane. We assume that perturbations have the form

$$X^{(1)} = \hat{X}^{(1)}(r,\theta) \exp\left(-i\omega t + in\phi\right) \tag{6}$$

Note that $\hat{X}^{(1)}\left(r,\theta\right)$ is complex, and we take the real part of RHS for any physical variable, i.e. $X^{(1)}$. Thus, for internal kink mode, the displacement $\vec{\xi}$ is $\vec{\xi} = \xi_{\theta}' \mathbf{e}_{\theta} + \xi_{r}' \mathbf{e}_{r}$ and $\xi_{r}' = \xi_{0} \exp\left(i\left(\phi - \theta - \omega t\right)\right)$ within the region q = 1 rational surface $r = r_{s}$. With cylindrical approximation, it can apply the relation $\nabla \cdot \vec{\xi} = 0$, and thus obtain $\xi_{\theta}' = -i\xi_{0} \exp\left[i\left(\phi - \theta - \omega t\right)\right]$. Thus, the covariant forms of the perturbation are

$$\xi_r = \xi_0 \exp\left(i\left(\phi - \theta - \omega t\right)\right),\tag{7}$$

$$\xi_{\theta} = -i\xi_0 r \exp\left(i\left(\phi - \theta - \omega t\right)\right) \tag{8}$$

within the region q=1 surface. Similarly, the covariant forms of the perturbation are

$$\xi_r = \xi_0 \left(\frac{\Delta r - r + (r_s - \Delta r/2)}{\Delta r} \right) \exp\left(i \left(\phi - \theta - \omega t\right)\right), \tag{9}$$

$$\xi_{\theta} = -i\xi_{0}r \left(\frac{\Delta r - 2r + (r_{s} - \Delta r/2)}{\Delta r}\right) \exp\left(i\left(\phi - \theta - \omega t\right)\right)$$
(10)

in the inertial region $r_s-\frac{\Delta r}{2}\leq r\leq r_s+\frac{\Delta r}{2}$. And $\xi_r=\xi_\theta=0$ in the rest region.

The formal solution of the nonadibatic distribution g is

$$g = \int_{-\infty}^{t} i \frac{e}{M} \frac{\partial F}{\partial \epsilon} \left(\omega - \omega_{\star} \right) B \frac{\epsilon}{\Omega} G \left(\tau \right) d\tau \tag{11}$$

with

$$G = \left(\frac{\Lambda}{b} + 2\left(1 - \frac{\Lambda}{b}\right)\right) \left(\left(g^{\theta\theta}\kappa_{\theta} + g^{r\theta}\kappa_{r}\right)\xi_{\theta} + \left(g^{rr}\kappa_{r} + g^{r\theta}\kappa_{\theta}\right)\xi_{r}\right)$$

where $G(\tau) = \hat{G}[r(\tau), \theta(\tau)] \exp(-i\omega\tau + in\phi(\tau))$ and the τ dependence is through the following equations:

$$\dot{r} = \dot{\mathbf{R}} \cdot \nabla r, \dot{\theta} = \dot{\mathbf{R}} \cdot \nabla \theta, \dot{\phi} = \dot{\mathbf{R}} \cdot \nabla \phi \tag{12}$$

Let us separate $\phi(\tau)$ into its secular and oscillating parts:

$$\phi\left(\tau\right) = \left\langle \dot{\phi} \right\rangle \tau + \widetilde{\phi}\left(\tau\right) \tag{13}$$

where the brackets indicate bounce averaging.

The quantity $\tilde{G}[r(\tau), \theta(\tau)] = \hat{G}[r(\tau), \theta(\tau)] \exp(in\tilde{\phi}(\tau))$ is a periodic function of τ , which can be expanded in Fourier series,

$$\tilde{G}(\tau) = \sum_{-\infty}^{\infty} Y_p(\Lambda, \epsilon, \bar{r}; \sigma) \exp(ip\omega_b \tau)$$
(14)

where,

$$Y_{p}\left(\Lambda, \epsilon, \, \bar{r}; \sigma\right) = \frac{1}{\tau_{b}} \oint d\tau \tilde{G}\left(\tau\right) \exp\left(-ip\omega_{b}\tau\right) \tag{15}$$

with $r(\tau) = \bar{r} + \rho_d \cos \theta(\tau)$, ρ_d represents the finite orbit width for passing particles. $\rho_d = \Omega_d/\omega_t$, $\Omega_d = \frac{(v_\perp^2/2 + v_\parallel^2)}{\Omega R_0}$, $\omega_t = \frac{v_\parallel}{qR_0}$. Thus,

$$\rho_d = \frac{q}{\Omega} \sqrt{\frac{\epsilon}{2(1 - \Lambda/b)}} \left[\frac{\Lambda}{b} + 2\left(1 - \frac{\Lambda}{b}\right) \right]$$
 (16)

Carrying out the time integration, the solution of g is obtained

$$g = \frac{e}{M} \frac{\partial F}{\partial \epsilon} \left(\omega - \omega_{\star} \right) B \frac{\epsilon}{\Omega} \sum_{-\infty}^{\infty} Y_{p} \left(\Lambda, \epsilon, \bar{r}; \sigma \right) \frac{\exp \left[i \left(n \left\langle \dot{\phi} \right\rangle + p \omega_{b} - \omega \right) t \right]}{n \left\langle \dot{\phi} \right\rangle + p \omega_{b} - \omega}$$
(17)

The formula of δW_k is derived as follows.

$$\delta W_k = \int d^3 x \vec{\xi}^{\star} \cdot \nabla \cdot \delta \mathbf{P}_k = e \int d^3 x \int d^3 v \left(\frac{i}{\omega} \mathbf{v}_d \cdot \delta \mathbf{E}_{\perp} \right)^{\star} g$$

$$= e \int d^3x \int d^3v g B \frac{\epsilon}{\Omega} \left(\frac{\Lambda}{b} + 2 \left(1 - \frac{\Lambda}{b} \right) \right) \left(\left(g^{\theta\theta} \kappa_{\theta} + g^{r\theta} \kappa_{r} \right) \xi_{\theta}^{\star} + \left(g^{rr} \kappa_{r} + g^{r\theta} \kappa_{\theta} \right) \xi_{r}^{\star} \right)$$

$$= e \int d^3x \int d^3v g B \frac{\epsilon}{\Omega} G^{\star} \tag{18}$$

where $G^{\star} = \hat{G}^{\star} \left[r \left(\tau \right), \theta \left(\tau \right) \right] \exp \left(i \omega \tau - i n \phi \left(\tau \right) \right)$. Let $\tilde{G}^{\star} \left[r \left(\tau \right), \theta \left(\tau \right) \right] = \hat{G}^{\star} \left[r \left(\tau \right), \theta \left(\tau \right) \right] \exp \left(-i n \tilde{\phi} \left(\tau \right) \right)$, which is a periodic function of τ , which can be expanded in Fourier series,

$$\tilde{G}^{\star}(\tau) = \sum_{-\infty}^{\infty} Y_{p}^{\star}(\Lambda, \epsilon, \bar{r}; \sigma) \exp(-ip\omega_{b}\tau)$$
(19)

where,

$$Y_p^{\star}(\Lambda, \bar{r}; \sigma) = \frac{1}{\tau_b} \oint d\tau \tilde{G}^{\star}(\tau) \exp(ip\omega_b \tau)$$
 (20)

with $r(\tau) = \bar{r} + \rho_d \cos \theta(\tau)$.

$$\delta W_k = \frac{e^2}{M} \int d^3x \int d^3v \frac{\partial F}{\partial \epsilon} \left(\omega - \omega_{\star}\right) B^2 \frac{\epsilon^2}{\Omega^2} \sum_{n=1}^{\infty} Y_p \left(\Lambda, \bar{r}; \sigma\right)$$

$$\cdot \frac{\exp\left[i\left(n\left\langle\dot{\phi}\right\rangle + p\omega_b - \omega\right)\tau\right]}{n\left\langle\dot{\phi}\right\rangle + p\omega_b - \omega} \sum_{-\infty}^{\infty} Y_{p'}^{\star}\left(\Lambda, \epsilon, \bar{r}; \sigma\right) \exp\left(i\omega\tau - in\left\langle\dot{\phi}\right\rangle\tau - ip'\omega_b\tau\right)$$
(21)

 $\delta W_k = \frac{e^2}{M} \int d^3x \int d^3v \frac{\partial F}{\partial \epsilon} \left(\omega - \omega_\star\right) B^2 \frac{\epsilon^2}{\Omega^2}$

$$\cdot \sum_{-\infty}^{\infty} Y_p \left(\Lambda, \epsilon, \bar{r}; \sigma \right) \frac{\exp\left[ip\omega_b \tau \right]}{n \left\langle \dot{\phi} \right\rangle + p\omega_b - \omega} \sum_{-\infty}^{\infty} Y_{p'}^{\star} \left(\Lambda, \epsilon, \bar{r}; \sigma \right) \exp\left(-ip'\omega_b \tau \right) \tag{22}$$

Using $d^3v = \sqrt{2}\pi \frac{1}{b\sqrt{1-\frac{\Lambda}{b}}}d\Lambda \epsilon^{1/2}d\epsilon$, $d^3x = 2\pi J dr d\theta$, yields

$$\delta W_k = \frac{e^2}{M} \int 2\pi J dr d\theta \int \sqrt{2\pi} \frac{1}{b\sqrt{1 - \frac{\Lambda}{b}}} d\Lambda \epsilon^{1/2} d\epsilon \frac{\partial F}{\partial \epsilon} \left(\omega - \omega_{\star}\right) B^2 \frac{\epsilon^2}{\Omega^2}$$

$$\cdot \sum_{-\infty}^{\infty} Y_p \left(\Lambda, \epsilon, \bar{r}; \sigma \right) \frac{\exp\left(ip\omega_b \tau\right)}{n \left\langle \dot{\phi} \right\rangle + p\omega_b - \omega} \sum_{-\infty}^{\infty} Y_{p'}^{\star} \left(\Lambda, \epsilon, \bar{r}; \sigma \right) \exp\left(-ip'\omega_b \tau\right) \tag{23}$$

Applying $d\tau=\frac{qR_0}{\sigma\sqrt{2\epsilon}b\sqrt{1-\frac{\Lambda}{b}}}d\theta,\ \sigma=\pm1$ for the direction of v_{\parallel} , one finally obtains

$$\delta W_{k} = \frac{4\pi^{2}}{M} \frac{e^{2}B^{2}}{\Omega^{2}} \frac{1}{R_{0}} \int \frac{J}{q} dr \int d\Lambda \epsilon^{3} d\epsilon \frac{\partial F}{\partial \epsilon} \tau_{b} \left(\omega - \omega_{\star}\right)$$

$$\cdot \sum_{-\infty}^{\infty} \frac{|Y_{p}|^{2}}{n \left\langle \dot{\phi} \right\rangle + p\omega_{b} - \omega}, \tag{24}$$

which is similar to Eq.(35) of Fu's 1993 paper with replacing ϵ and J by $\epsilon \equiv \frac{1}{2}Mv^2$ and B = qR/J. Note that $\tilde{\phi} \cong 0$, $\langle \dot{\phi} \rangle \cong \omega_D^0 + q\omega_b, \omega_D^0 \approx 0$ for passing particles.

In angle-action coordinate,

$$J_{b} = \frac{1}{2\pi} \int p_{\parallel} ds \cong p_{\parallel e} R_{\parallel} \int_{-\theta_{h}}^{\theta_{b}} \sqrt{1 - \kappa^{-1} \sin^{2} \frac{\theta}{2}} \frac{d\theta}{\pi}$$
 (25)

$$J_{t} = \frac{1}{2\pi} \int p_{\parallel} ds \cong p_{\parallel e} R_{\parallel} \int_{-\pi}^{\pi} \sqrt{1 - \kappa^{-1} \sin^{2} \frac{\theta}{2}} \frac{d\theta}{\pi}$$
 (26)

The formulas of bounce/transit frequency is given by [Alain J. Brizard, PHYSICS OF PLASMAS $18\ 2011$]

$$\omega_b = \frac{\partial H}{\partial J_b} = \left(\frac{\partial J_b}{\partial E}\right)^{-1} = \frac{\pi \omega_{\parallel}}{2K(\kappa)}, \kappa < 1 \tag{27}$$

$$\omega_t = \frac{\partial H}{\partial J_t} = \left(\frac{\partial J_t}{\partial E}\right)^{-1} = \frac{\pi \sqrt{\kappa \omega_{\parallel}}}{K(\kappa^{-1})}, \kappa > 1$$
 (28)

where $\omega_{\parallel} = \frac{1}{qR}\sqrt{\varepsilon\mu B_0} = \frac{\sqrt{\epsilon}}{qR}\sqrt{\varepsilon\Lambda}$, $\kappa = \frac{1-\Lambda(1-\varepsilon)}{2\varepsilon\Lambda}$, $\varepsilon = \frac{r}{R_0}$. K denotes the complete elliptic integral of the first kind.

The normalized relations of the quantities are $F=\frac{n_0}{v_h^3}\bar{F},\ v_h=\sqrt{\frac{2T_h}{M}},$ $\epsilon=\frac{T_h}{M}\bar{\epsilon},\ r=a\bar{x},\ J=aR_0\bar{J},\ R=R_0\bar{R},\ \omega_t=\frac{v_h}{R_0}\bar{\omega}_t, \frac{1}{\tau_t}=\frac{v_h}{2\pi R_0}\bar{\omega}_t=\frac{v_h}{R_0}\frac{\bar{\omega}_t}{2\pi}=\frac{v_h}{R_0}\bar{\omega}_t,\ \omega_t=\frac{v_h}{R_0}\bar{\omega}_t,\ \omega_t=\frac{v_h}{R_0}\bar{\omega}_t$.

$$\delta W_k = \pi^2 a^2 R_0 n_0 T_h \int \frac{\bar{J}}{q} dx \int d\Lambda \bar{\epsilon}^3 d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \bar{\tau}_b \left(\bar{\omega} - \bar{\omega}_\star \right)$$

$$\cdot \sum_{-\infty}^{\infty} \frac{\left| \bar{Y}_p \right|^2}{n \left\langle \bar{\dot{\phi}} \right\rangle + p \bar{\omega}_b - \bar{\omega}}$$
(29)

For passing particles,

$$\bar{\omega}_b = \frac{\pi\sqrt{\kappa}}{K(\kappa^{-1})} \frac{\sqrt{\varepsilon\Lambda/2}}{q} \sqrt{\bar{\epsilon}}$$
(30)

$$\omega_b t = \bar{\omega}_b \frac{v_h}{R} \int_0^\theta \frac{qR_0}{\sqrt{2(T/M)}\,\bar{\epsilon}} b\sqrt{1 - \frac{\Lambda}{b}} d\theta \tag{31}$$

$$= \int_{0}^{\theta} \frac{\pi \sqrt{\kappa}}{K\left(\kappa^{-1}\right)} \sqrt{\varepsilon \Lambda/2} \frac{1}{b\sqrt{1 - \frac{\Lambda}{b}}} d\theta$$

$$Y_{p}(\Lambda, \bar{r}; \sigma) = \frac{1}{\tau_{b}} \oint d\tau \tilde{G}(\tau) \exp(-ip\omega_{b}\tau)$$

$$= \frac{\omega_{b}}{2\pi} \oint d\tau \tilde{G}[r(\tau), \theta(\tau)] \exp(-ip\omega_{b}\tau)$$
(32)

$$=\frac{1}{2\pi} \oint d\left(\omega_b \tau\right) \tilde{G}\left[r\left(\tau\right), \theta\left(\tau\right)\right] \exp\left(-ip\omega_b \tau\right)$$

$$=\frac{1}{2\pi}\int_{0}^{2\pi}d\theta\frac{\sigma\pi\sqrt{\kappa}}{K\left(\kappa^{-1}\right)}\frac{\sqrt{\varepsilon\Lambda/2}}{b\sqrt{1-\frac{\Lambda}{b}}}\tilde{G}\left[r\left(\tau\right),\theta\left(\tau\right)\right]\exp\left(-ip\int_{0}^{\theta}d\theta'\frac{\sigma\pi\sqrt{\kappa}}{K\left(\kappa^{-1}\right)}\frac{\sqrt{\varepsilon\Lambda/2}}{b\sqrt{1-\frac{\Lambda}{b}}}\right)$$

where

$$\tilde{G}\left[r\left(\tau\right),\theta\left(\tau\right)\right] = \left(\frac{\Lambda}{b\left(r,\theta\right)} + 2\left(1 - \frac{\Lambda}{b\left(r,\theta\right)}\right)\right)$$

$$\cdot \left(\left(g^{\theta\theta} \kappa_{\theta} + g^{r\theta} \kappa_{r} \right) \hat{\xi}_{\theta} \left(\theta, \bar{r} + \rho_{d} \cos \theta \right) + \left(g^{rr} \kappa_{r} + g^{r\theta} \kappa_{\theta} \right) \hat{\xi}_{r} \left(\theta, \bar{r} + \rho_{d} \cos \theta \right) \right)$$

Note that the effect of finite orbit width is induced radially in the perturbed $\xi(r)$ for simply. Furthermore, \tilde{G} is a normalized quantity, so is Y_p ,

$$\tilde{G}\left[x\left(\tau\right),\theta\left(\tau\right)\right] = \left(\frac{\Lambda}{b\left(x,\theta\right)} + 2\left(1 - \frac{\Lambda}{b\left(x,\theta\right)}\right)\right)$$

$$\cdot \left(\left(\bar{g}^{\theta\theta} \bar{\kappa}_{\theta} + \bar{g}^{r\theta} \bar{\kappa}_{r} \right) \bar{\hat{\xi}}_{\theta} \left(\theta, \bar{x} + \frac{\rho_{d}}{a} \cos \theta \right) + \left(\bar{g}^{rr} \bar{\kappa}_{r} + \bar{g}^{r\theta} \bar{\kappa}_{\theta} \right) \bar{\hat{\xi}}_{r} \left(\theta, \bar{x} + \frac{\rho_{d}}{a} \cos \theta \right) \right)$$

where, the normalized displacements are $\bar{\hat{\xi}}_{\theta m} = \hat{\xi}_{\theta m}/a^2$, $\bar{\hat{\xi}}_{rm} = \hat{\xi}_{rm}/a$. The slowing down distribution function of fast ions is given by [M. Schneller 2013]

$$F\left(x,\bar{\epsilon},\Lambda\right) = \frac{n_0}{v_h^3} \frac{1}{\bar{\epsilon}^{3/2} + \bar{\epsilon}_c^{3/2}} Erfc\left(\frac{\bar{\epsilon} - \bar{\epsilon}_0}{\Delta \bar{\epsilon}}\right) \exp\left[-\left(\frac{x - x_0}{\Delta x}\right)^2\right] \exp\left[-\left(\frac{\Lambda - \Lambda_0}{\Delta \Lambda}\right)^2\right]$$
(33)

where n_0 is determined by

$$n\left(x\right) = \frac{n_0}{v_h^3} \int d^3 \mathbf{v} \frac{1}{\bar{\epsilon}^{3/2} + \bar{\epsilon}_c^{3/2}} Erfc\left(\frac{\bar{\epsilon} - \bar{\epsilon}_0}{\Delta \bar{\epsilon}}\right) \exp\left[-\left(\frac{x - x_0}{\Delta x}\right)^2\right] \exp\left[-\left(\frac{\Lambda - \Lambda_0}{\Delta \Lambda}\right)^2\right]$$

at $x = x_0$.

The normalized metric tensors are

$$\bar{g}^{rr} = 1 + 2\Delta' \cos \theta \tag{34}$$

with $\Delta' = (\varepsilon + \alpha)/4$, $\varepsilon = \frac{r}{R_0}$, $\alpha = -R_0 q^2 d\beta/dr$, $\beta = \frac{2\mu_0 P}{B^2}$ set $\alpha = 0$ if $\beta = 0$, or assume $\bar{g}^{rr} = 1$ without toroidal effect, θ independent. Specially, in low beta limit,

$$\bar{g}^{rr} = 1 + \frac{1}{2}\varepsilon\cos\theta\tag{35}$$

$$\bar{g}^{\theta\theta} = \frac{1}{r^2} \left[1 - 2\left(\varepsilon + \Delta'\right) \cos \theta \right] \tag{36}$$

assume $\bar{g}^{\theta\theta} = \frac{1}{x^2}$ without toroidal effect. Specially, in low beta limit,

$$\bar{g}^{\theta\theta} = \frac{1}{x^2} \left[1 - \frac{5}{2} \varepsilon \cos \theta \right] \tag{37}$$

$$\bar{g}^{r\theta} = -\frac{1}{x} \left[\varepsilon + (r\Delta')' \right] \sin \theta \tag{38}$$

specially,

$$\bar{g}^{r\theta} = -\frac{1}{x} \frac{3}{2} \varepsilon \sin \theta \tag{39}$$

for low beta limit. and $\bar{g}^{r\theta} = 0$ without toroidal effect.

The normalized curvature are in low beta limit

$$\bar{\kappa}_r = -\frac{a}{R}\cos\theta + \frac{a}{R}\frac{\varepsilon}{4} - \frac{a}{R}\frac{5}{4}\varepsilon(\cos 2\theta - 1) - \left(\frac{a}{R}\right)^2\frac{x}{a} \tag{40}$$

$$\bar{\kappa}_{\theta} = \varepsilon \sin \theta + \frac{5}{4} \varepsilon^2 \sin 2\theta \tag{41}$$

with $R = R_0 + r \cos \theta - \Delta(r) + r \eta(r) (\cos 2\theta - 1) \cdot \eta(r) = (\varepsilon + \Delta')/2$. The normalized ω_{\star} is

$$\bar{\omega}_{\star} = \frac{1}{2} \frac{m}{x} \frac{R}{a} \frac{\rho_h}{a} \frac{\partial \bar{F}/\partial x}{\partial \bar{F}/\partial \bar{\epsilon}} \tag{42}$$

where, m is poloidal mode number, $\rho_h = v_h/\Omega$, $v_h = \sqrt{2T_h/M}$, $\Omega = Be/M$. The normalized ρ_d is

$$\bar{\rho}_d = \frac{\rho_d}{a} = \frac{q}{2} \frac{\rho_h}{a} \sqrt{\frac{\bar{\epsilon}}{(1 - \Lambda/b)}} \left[\frac{\Lambda}{b} + 2\left(1 - \frac{\Lambda}{b}\right) \right]$$
(43)

The normalized ξ are

$$\bar{\xi}_r(\theta, x) = \bar{\xi}_0 \exp(-i\theta)$$

$$\bar{\xi}_{\theta}(\theta, x) = -i\bar{\xi}_{0}x\exp(-i\theta)$$

within q=1 surface. In the inertial region $r_s - \frac{\Delta r}{2} \le r \le r_s + \frac{\Delta r}{2}$,

$$\bar{\xi}_r(\theta, x) = \bar{\xi}_0 \left(\frac{\overline{\Delta r} - x + (\bar{r}_s - \overline{\Delta r}/2)}{\overline{\Delta r}} \right) \exp(-i\theta)$$

$$\bar{\xi}_\theta(\theta, x) = -i\bar{\xi}_0 x \left(\frac{\overline{\Delta r} - 2x + (\bar{r}_s - \overline{\Delta r}/2)}{\overline{\Delta r}} \right) \exp(-i\theta)$$

with $\bar{\xi}_0 = \xi_0/a$, $\bar{r}_s = r_s/a$, $\overline{\Delta r} = \Delta r/a$, x = r/a.

The normalized $\delta \bar{W}_k$ is given by

$$\delta \bar{W}_{k} = \int \frac{\bar{J}}{q} dx \int d\Lambda \bar{\epsilon}^{3} d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \bar{\tau}_{b} (\bar{\omega} - \bar{\omega}_{\star})$$

$$\cdot \sum_{-\infty}^{\infty} \frac{|\bar{Y}_{p}|^{2}}{n \langle \bar{\phi} \rangle + p\bar{\omega}_{b} - \bar{\omega}}, \tag{44}$$

where $\bar{J} = x$.

For passing particles,

$$\bar{\omega}_b = \frac{\pi\sqrt{\kappa}}{K(\kappa^{-1})} \frac{\sqrt{\varepsilon\Lambda/2}}{q} \sqrt{\bar{\epsilon}}$$
 (45)

where, $\kappa = \frac{1 - \Lambda(1 - \varepsilon)}{2\varepsilon \Lambda}$, $\varepsilon = \frac{r}{R_0}$. and

$$\langle \bar{\phi} \rangle \cong q\bar{\omega}_b$$
 (46)

$$\omega_b t = \bar{\omega}_b \frac{v_h}{R} \int_0^\theta \frac{qR_0}{\sqrt{2(T/M)}\,\bar{\epsilon}} b\sqrt{1 - \frac{\Lambda}{b}} d\theta \tag{47}$$

$$= \int_0^\theta \frac{\pi\sqrt{\kappa}}{K(\kappa^{-1})} \sqrt{\varepsilon \Lambda/2} \frac{1}{b\sqrt{1-\frac{\Lambda}{b}}} d\theta$$

$$Y_{p}\left(\Lambda, \bar{r}; \sigma\right) = \frac{1}{\tau_{b}} \oint d\tau \tilde{G}\left(\tau\right) \exp\left(-ip\omega_{b}\tau\right) \tag{48}$$

$$=\frac{\omega_{b}}{2\pi}\oint d\tau \tilde{G}\left[r\left(\tau\right),\theta\left(\tau\right)\right]\exp\left(-ip\omega_{b}\tau\right)$$

$$=\frac{1}{2\pi} \oint d\left(\omega_b \tau\right) \tilde{G}\left[r\left(\tau\right), \theta\left(\tau\right)\right] \exp\left(-ip\omega_b \tau\right)$$

$$=\frac{1}{2\pi}\int_{0}^{2\pi}d\theta\frac{\sigma\pi\sqrt{\kappa}}{K\left(\kappa^{-1}\right)}\frac{\sqrt{\varepsilon\Lambda/2}}{b\sqrt{1-\frac{\Lambda}{b}}}\tilde{G}\left[r\left(\tau\right),\theta\left(\tau\right)\right]\exp\left(-ip\int_{0}^{\theta}d\theta'\frac{\sigma\pi\sqrt{\kappa}}{K\left(\kappa^{-1}\right)}\frac{\sqrt{\varepsilon\Lambda/2}}{b\sqrt{1-\frac{\Lambda}{b}}}\right)$$

where

$$\tilde{G}\left[x\left(\tau\right),\theta\left(\tau\right)\right] = \left(\frac{\Lambda}{b\left(x,\theta\right)} + 2\left(1 - \frac{\Lambda}{b\left(x,\theta\right)}\right)\right)$$

$$\cdot \left(\left(\bar{g}^{\theta\theta} \bar{\kappa}_{\theta} + \bar{g}^{r\theta} \bar{\kappa}_{r} \right) \bar{\hat{\xi}}_{\theta} \left(\theta, \bar{x} + \bar{\rho}_{d} \cos \theta \right) + \left(\bar{g}^{rr} \bar{\kappa}_{r} + \bar{g}^{r\theta} \bar{\kappa}_{\theta} \right) \bar{\hat{\xi}}_{r} \left(\theta, \bar{x} + \bar{\rho}_{d} \cos \theta \right) \right)$$

where, the normalized displacements are $\bar{\hat{\xi}}_{\theta m} = \hat{\xi}_{\theta m}/a^2$, $\bar{\hat{\xi}}_{rm} = \hat{\xi}_{rm}/a$ and normalized drift orbit width is $\bar{\rho}_d = \frac{\rho_d}{a}$. The normalized slowing down distribution function of fast ions is given by [M.

Schneller 2013

$$\bar{F}\left(x,\bar{\epsilon},\Lambda\right) = \frac{1}{\bar{\epsilon}^{3/2} + \bar{\epsilon}_{c}^{3/2}} Erfc\left(\frac{\bar{\epsilon} - \bar{\epsilon}_{0}}{\Delta \bar{\epsilon}}\right) \exp\left[-\left(\frac{x - x_{0}}{\Delta x}\right)^{2}\right] \exp\left[-\left(\frac{\Lambda - \Lambda_{0}}{\Delta \Lambda}\right)^{2}\right]$$
(49)

The normalized metric tensors are

$$\bar{g}^{rr} = 1 + \frac{1}{2}\varepsilon\cos\theta\tag{50}$$

$$\bar{g}^{\theta\theta} = \frac{1}{x^2} \left[1 - \frac{5}{2} \varepsilon \cos \theta \right] \tag{51}$$

$$\bar{g}^{r\theta} = -\frac{1}{x} \frac{3}{2} \varepsilon \sin \theta \tag{52}$$

The normalized curvature are in low beta limit

$$\bar{\kappa}_r = -\frac{a}{R}\cos\theta\tag{53}$$

$$\bar{\kappa}_{\theta} = \varepsilon \sin \theta \tag{54}$$

The normalized ω_{\star} is

$$\bar{\omega}_{\star} = \frac{1}{2} \frac{m \partial \bar{F} / \partial x}{\partial \bar{F} / \partial \bar{\epsilon}} \frac{1}{x} \frac{R}{a} \frac{\rho_h}{a}$$
 (55)

The normalized ξ are

$$\bar{\xi}_r(\theta, x) = \bar{\xi}_0 \exp(-i\theta)$$

$$\bar{\xi}_{\theta}(\theta, x) = -i\bar{\xi}_{0}x \exp(-i\theta)$$

within q=1 surface. In the inertial region $r_s-\frac{\Delta r}{2}\leq r\leq r_s+\frac{\Delta r}{2},$

$$\bar{\xi}_r(\theta, x) = \bar{\xi}_0 \left(\frac{\overline{\Delta r} - x + (\bar{r}_s - \overline{\Delta r}/2)}{\overline{\Delta r}} \right) \exp(-i\theta)$$

$$\bar{\xi}_{\theta}(\theta, x) = -i\bar{\xi}_{0}x\left(\frac{\overline{\Delta r} - 2x + (\bar{r}_{s} - \overline{\Delta r}/2)}{\overline{\Delta r}}\right)\exp(-i\theta)$$

with $\bar{\xi}_0 = \xi_0/a$, $\bar{r}_s = r_s/a$, $\overline{\Delta r} = \Delta r/a$, x = r/a.

2 The fishbone dispersion relation

The formula for δW_{MHD} , δI [Miyamoto, "Plasma Physics and Controlled Nuclear Fusion"] The energy principle is

$$\delta W_{MHD} + \delta W_k + \delta I = 0 \tag{56}$$

where

$$\delta I = \frac{\gamma^2}{2} \int \rho_m \left| \vec{\xi} \right|^2 d\vec{r} \tag{57}$$

$$\delta W_k = \frac{1}{2} \int \vec{\xi} \cdot \nabla \delta p_h d\vec{r} \tag{58}$$

Note that δW_k is half of δW_k given by Eq.(15). δW_{MHD} consists of the contribution δW^s_{MHD} from the singular region near the rational surface and the contribution δW^{ext}_{MHD} from the external region.

The MHD potential energy $\delta W_{MHDtor}^{ext}/2\pi R$ of toroidal plasma with circular cross-section is given by

$$\frac{\delta W_{MHDtor}^{ext}}{2\pi R} = \left(1 - \frac{1}{n^2}\right) \frac{\delta W_{MHDcycl}^{ext}}{2\pi R} + \frac{\pi B_{\theta s}^2}{2\mu_0} \left|\xi_s\right|^2 \delta \hat{W}_T \tag{59}$$

$$\delta \hat{W}_T = \pi \left(\frac{r_s}{R}\right)^2 3 \left(1 - q_0\right) \left(\frac{13}{144} - \beta_{ps}^2\right) \tag{60}$$

The term δW^s_{MHD} for the singular region is

$$\frac{\delta W_{MHD}^s}{2\pi R} = \frac{\pi}{2\mu_0} \frac{B_{\theta s}^2}{2\pi} sn\gamma \tau_{A\theta} \left| \xi_s \right|^2$$

where $B_{\theta s} = \frac{r_s B_t}{Rq_s}$, $\tau_{A\theta} = \frac{3^{1/2} r_s}{\left(B_{\theta s}^2/\mu_0 \rho_m\right)^{1/2}}$, $\rho_m = m_p n_{p_{r=r_s}}$, $s = r_s \frac{dq}{dr}_{r=r_s}$, $\xi_s = \xi_{r_{r=r_s}}$, n is toroidal mode number.

Thus, for m = 1, n = 1, the total sum of MHD contributions are

$$\delta W_{MHD} + \delta I = 2\pi R \frac{B_{\theta s}^2}{2\mu_0} \left| \xi_s \right|^2 \left(\delta \hat{W}_T + \gamma \tau_{A\theta} \frac{s}{2} + \pi \gamma^2 \tau_{A\theta}^2 \right)$$
 (61)

$$\approx 2\pi R \frac{B_{\theta s}^2}{2\mu_0} \left| \xi_s \right|^2 \left(\delta \hat{W}_T + \gamma \tau_{A\theta} \frac{s}{2} \right), \tag{62}$$

when $\gamma \tau_{A\theta} \ll 1$. With $\gamma = -i\omega$, the dispersion relation is

$$2\pi R \frac{B_{\theta s}^2}{2\mu_0} |\xi_s|^2 \left(\delta \hat{W}_T + \frac{-i\omega}{\omega_A}\right) + \frac{1}{2}\pi^2 a^2 R_0 n_0 T_h \delta \bar{W}_k = 0.$$
 (63)

where, $\omega_A \equiv (\tau_{A\theta} s/2)^{-1}$ and $\delta \bar{W}_k$ is given by Eq.(42). Finally,

$$\frac{4}{\pi} \frac{1}{q_s^2} \left(\frac{r_s}{R_0}\right)^2 \left|\frac{\xi_s}{a}\right|^2 \frac{1}{\beta_h} \delta \hat{W}_T + \frac{2\sqrt{3}}{\pi} \frac{s}{q_s} \sqrt{\frac{m_p n_p}{M n_0}} \left(\frac{r_s}{R_0}\right)^2 \left|\frac{\xi_s}{a}\right|^2 \frac{1}{\beta_h^{1/2}} (-i\bar{\omega}) + \delta \bar{W}_k = 0,$$
(64)

where $\beta_h = 2\mu_0 n_0 T_h/B_t^2$, n_p is plasma density at $r = r_s$. n_0 is energetic particle density at $x = x_0$. m_p , M is ion mass, and energetic particle mass respectively. And $\bar{\omega} = \omega/(v_h/R_0)$.

3 Analytic form of the dispersion relation with passing particles and large aspect ratio approximation

For $\varepsilon \ll 1$, the normalized metric tensors are approximated as

$$\bar{g}^{rr} \approx 1$$
 (65)

$$\bar{g}^{\theta\theta} \approx \frac{1}{r^2}$$
 (66)

$$\bar{g}^{r\theta} \approx -\frac{1}{x} \frac{3}{2} \varepsilon \sin \theta$$
 (67)

and the normalized curvature are in low beta limit

$$\bar{\kappa}_r \approx -\frac{a}{R}\cos\theta \tag{68}$$

$$\bar{\kappa}_{\theta} \approx \varepsilon \sin \theta$$
 (69)

The formula of ξ_{θ} and ξ_{r} are given by

$$\bar{\xi}_r(\theta, x) = \bar{\xi}_0(x) \exp(-i\theta), \qquad (70)$$

$$\bar{\xi}_{\theta}(\theta, x) = -i\bar{\xi}_{0}(x) x \exp(-i\theta)$$
(71)

where, $\bar{\xi}_0(x) = \bar{\xi}_s H(x_s - x)$. H(x) is step function, H = 1 for x > 0 and H = 0 for x < 0. Together with $\Lambda \ll 1$, one obtains

$$\tilde{G}\left[r\left(\tau\right),\theta\left(\tau\right)\right] = \left(\frac{\Lambda}{b\left(r,\theta\right)} + 2\left(1 - \frac{\Lambda}{b\left(r,\theta\right)}\right)\right)$$

$$\cdot \left(\left(\bar{g}^{\theta\theta} \bar{\kappa}_{\theta} + \bar{g}^{r\theta} \bar{\kappa}_{r} \right) \hat{\bar{\xi}}_{\theta} \left(\theta, \bar{x} + \bar{\rho}_{d} \cos \theta \right) + \left(\bar{g}^{rr} \bar{\kappa}_{r} + \bar{g}^{r\theta} \bar{\kappa}_{\theta} \right) \hat{\bar{\xi}}_{r} \left(\theta, \bar{x} + \bar{\rho}_{d} \cos \theta \right) \right)$$

$$\approx 2\left(\frac{1}{x^2}\varepsilon\sin\theta\bar{\xi}_{\theta} - \frac{a}{R}\cos\theta\bar{\xi}_{r}\right)$$

$$\approx 2\left(-\frac{a}{R}i\sin\theta\bar{\xi}_{r}\left(\theta,\bar{x} + \bar{\rho}_{d}\cos\theta\right) - \frac{a}{R}\cos\theta\bar{\xi}_{r}\left(\theta,\bar{x} + \bar{\rho}_{d}\cos\theta\right)\right)$$

$$\approx -2\frac{a}{R}\bar{\xi}_{r}\left(\theta,\bar{x} + \bar{\rho}_{d}\cos\theta\right)\left(i\sin\theta + \cos\theta\right)$$

$$\approx -2\frac{a}{R}\bar{\xi}_r(\theta, \bar{x} + \bar{\rho}_d \cos \theta) \exp(i\theta)$$
 (72)

For $\kappa \gg 1$, the ellipitic function K becomes $K(\kappa^{-1}) = \pi/2$. Thus,

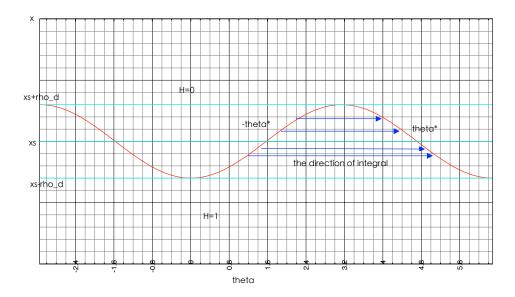


Figure 1: The integral region

$$\frac{\pi\sqrt{\kappa}}{K(\kappa^{-1})} \frac{\sqrt{\varepsilon\Lambda/2}}{b\sqrt{1-\frac{\Lambda}{b}}} \approx \frac{\pi\sqrt{\frac{1}{2\varepsilon\Lambda}}}{\pi/2} \frac{\sqrt{\frac{\varepsilon\Lambda}{2}}}{b\sqrt{1-\frac{\Lambda}{b}}}$$

$$= \frac{1}{b\sqrt{1-\Lambda/b}} \tag{73}$$

Using Eq.(73) and Eq.(74), Y_p is rewritten as

$$Y_{p} = -\frac{1}{2\pi} \int_{0}^{2\pi} d\theta \frac{1}{b\sqrt{1 - \frac{\Lambda}{b}}} 2\frac{a}{R} \bar{\xi}_{r} (\theta, \bar{x} + \bar{\rho}_{d} \cos \theta) \exp(i\theta) \exp\left(-ip \int_{0}^{\theta} d\theta' \frac{1}{b\sqrt{1 - \frac{\Lambda}{b}}}\right)$$
$$= -\frac{1}{\pi} \frac{a}{R} \int_{0}^{2\pi} d\theta \bar{\xi}_{r} (\theta, \bar{x} + \bar{\rho}_{d} \cos \theta) \exp(i\theta) \exp(-ip\theta)$$

$$= -\frac{1}{\pi} \frac{a}{R} \int_{0}^{2\pi} d\theta \bar{\xi}_{s} H\left(x_{s} - \bar{x} - \bar{\rho}_{d} \cos \theta\right) \exp\left(-i\theta\right) \exp\left(i\theta\right) \exp\left(-ip\theta\right)$$
 (74)

For p = 1, we arrive at

$$Y_1 = -\frac{1}{\pi} \frac{a}{R} \int_0^{2\pi} d\theta \bar{\xi}_s H\left(x_s - \bar{x} - \bar{\rho}_d \cos \theta\right) \exp\left(-i\theta\right) \tag{75}$$

From Fig.1, Y_1 is zero in the region $x < x_s - \bar{\rho}_d$ where H = 1 for $\theta \in [0, 2\pi]$ since the θ integral of $\sim \exp{(-i\theta)}$ from 0 to 2π is zero. In in the region $x > x_s + \bar{\rho}_d$, Y_1 also is zero since H = 0. Obviously, Y_1 is finite in the region $x_s - \bar{\rho}_d < x < x_s + \bar{\rho}_d$ where H = 1 for $\theta \in [\theta^\star, -\theta^\star + 2\pi]$. $\cos \theta^\star = \frac{x_s - x}{\bar{\rho}_d} \in [0, \pi]$. Thus,

$$Y_{1} = -\frac{1}{\pi} \frac{a}{R} \int_{\theta^{\star}}^{2\pi - \theta^{\star}} d\theta \bar{\xi}_{s} \exp(-i\theta)$$

$$= -\frac{1}{\pi} \frac{a}{R} \bar{\xi}_{s} i \left[\exp\left(-i\left(2\pi - \theta^{\star}\right)\right) - \exp\left(-i\theta^{\star}\right) \right]$$

$$= -\frac{1}{\pi} \frac{a}{R} \bar{\xi}_{s} i \left[\exp\left(-i\left(2\pi - \theta^{\star}\right)\right) - \exp\left(-i\theta^{\star}\right) \right]$$

$$= \frac{2}{\pi} \frac{a}{R} \bar{\xi}_{s} \sin \theta^{\star}$$

$$(76)$$

With $\bar{\omega}_b \simeq \sqrt{\bar{\epsilon}}/q$ and p = 1, $\delta \bar{W}_k$ becomes

$$\delta \bar{W}_{k} = \int_{x_{s} - \bar{\rho}_{d}}^{x_{s} + \bar{\rho}_{d}} \bar{J} dx \int d\Lambda \bar{\epsilon}^{3} d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \frac{2\pi}{\sqrt{\bar{\epsilon}}} (\bar{\omega} - \bar{\omega}_{\star})$$

$$\cdot \frac{|Y_{1}|^{2}}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q} - \bar{\omega}}.$$
(77)

Using $\bar{J}=x$ and the distribution function of passing particles for analytical purpose

$$\bar{F}(x,\bar{\epsilon},\Lambda) = \frac{1}{\bar{\epsilon}^{3/2}} \delta(\Lambda) H(\bar{\epsilon}_c - \bar{\epsilon}) \exp\left[-\left(\frac{x}{\Delta x}\right)^2\right]$$
 (78)

we have

$$\delta \bar{W}_{k} = \int_{x_{s} - \bar{\rho}_{d}}^{x_{s} + \bar{\rho}_{d}} x dx \int d\Lambda \int_{0}^{\bar{\epsilon}_{c}} \bar{\epsilon}^{3} d\bar{\epsilon} \left(-\frac{3}{2} \bar{\epsilon}^{-5/2} \right) \delta \left(\Lambda \right) \exp \left[-\left(\frac{x}{\Delta x} \right)^{2} \right] \frac{2\pi}{\sqrt{\bar{\epsilon}}} \left(\bar{\omega} - \bar{\omega}_{\star} \right)$$

$$\cdot \frac{\left(\frac{2}{\pi}\frac{a}{R}\bar{\xi}_s\right)^2 \sin^2 \theta^*}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}}.$$
 (79)

$$= \int_{x_s - \bar{\rho}_d}^{x_s + \bar{\rho}_d} x dx \int \bar{\epsilon}^3 d\bar{\epsilon} \left(-\frac{3}{2} \bar{\epsilon}^{-5/2} \right) \exp \left[-\left(\frac{x}{\Delta x}\right)^2 \right] \frac{2\pi}{\sqrt{\bar{\epsilon}}} \left(\bar{\omega} - \bar{\omega}_{\star} \right)$$

$$\cdot \frac{\left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 \sin^2 \theta^*}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_s} - \bar{\omega}}.$$
 (80)

$$\delta \bar{W}_k = I_1 + I_2 \tag{81}$$

$$I_{1} = -3\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_{s}\right)^{2} \int_{x_{s} - \bar{\rho}_{d}}^{x_{s} + \bar{\rho}_{d}} \exp\left[-\left(\frac{x}{\Delta x}\right)^{2}\right] \left[1 - \left(\frac{x_{s} - x}{\bar{\rho}_{d}}\right)^{2}\right] x dx \int d\bar{\epsilon} \bar{\omega}$$

$$\cdot \frac{1}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_{s}} - \bar{\omega}}$$

$$= -3\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_{s}\right)^{2} \begin{cases} x_{s} \left(\frac{\Delta x}{\bar{\rho}_{d}}\right)^{2} \int_{x_{s} - \bar{\rho}_{d}}^{x_{s} + \bar{\rho}_{d}} \exp\left[-\left(\frac{x}{\Delta x}\right)^{2}\right] dx - \frac{1}{2} \frac{\Delta x^{4}}{\bar{\rho}_{d}^{2}} \right\}$$

$$\cdot \left(\exp\left(-\left(\frac{x_{s} - \bar{\rho}_{d}}{\Delta x}\right)^{2}\right) - \exp\left(-\left(\frac{x_{s} + \bar{\rho}_{d}}{\Delta x}\right)^{2}\right)\right) \end{cases}$$

$$\cdot \bar{\omega} \int 2\sqrt{\bar{\epsilon}} d\sqrt{\bar{\epsilon}} \frac{1}{\left(1 + \frac{1}{q_{s}}\right)\sqrt{\bar{\epsilon}} - \bar{\omega}}$$

$$= -3\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_{s}\right)^{2} \begin{cases} x_{s} \left(\frac{\Delta x}{\bar{\rho}_{d}}\right)^{2} \int_{x_{s} - \bar{\rho}_{d}}^{x_{s} + \bar{\rho}_{d}} \exp\left[-\left(\frac{x}{\Delta x}\right)^{2}\right] dx - \frac{1}{2} \frac{\Delta x^{4}}{\bar{\rho}_{d}^{2}} \right\}$$

$$\cdot \exp\left(-\left(\frac{x_{s} - \bar{\rho}_{d}}{\Delta x}\right)^{2}\right) \left(1 - \exp\left(-\left(\frac{4x_{s} \bar{\rho}_{d}}{\Delta x^{2}}\right)\right)\right)$$

$$\cdot \bar{\omega} \int 2\sqrt{\bar{\epsilon}} d\sqrt{\bar{\epsilon}} \frac{1}{\left(1 + \frac{1}{q_{s}}\right)\sqrt{\bar{\epsilon}} - \bar{\omega}}$$

For the condition of maximum of damping, we only keep the first term in the $\{\cdots\}$ of the above equation and make the approximation, i.e. $\int_{x_s-\bar{\rho}_d}^{x_s+\bar{\rho}_d} \exp\left[-\left(\frac{x}{\Delta x}\right)^2\right] dx \simeq \exp\left[-\left(\frac{x_s}{\Delta x}\right)^2\right] \int_{x_s-\bar{\rho}_d}^{x_s+\bar{\rho}_d} dx = 2\bar{\rho}_d \exp\left[-\left(\frac{x_s}{\Delta x}\right)^2\right]$. With $\bar{\rho}_d \simeq q\sqrt{\bar{\epsilon}}\rho_h/a$, we obtain

$$I_{1} = -12\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_{s}\right)^{2} x_{s} \Delta x^{2} \bar{\omega} \frac{a}{q_{s} \rho_{h}} \frac{1}{1 + \frac{1}{q_{s}}} \exp\left[-\left(\frac{x_{s}}{\Delta x}\right)^{2}\right] \int_{0}^{\bar{\epsilon}_{c}} d\sqrt{\bar{\epsilon}} d\sqrt{\bar$$

$$= -12\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_s\right)^2 x_s \Delta x^2 \bar{\omega} \frac{a}{q_s \rho_h} \frac{1}{1 + \frac{1}{q_s}} \exp\left[-\left(\frac{x_s}{\Delta x}\right)^2\right] \ln\left(\frac{\frac{\bar{\omega}}{1 + \frac{1}{q_s}} - \bar{\epsilon}_c^{1/2}}{\frac{\bar{\omega}}{1 + \frac{1}{q_s}}}\right)$$
(82)

$$I_{2} = -\int_{x_{s}-\bar{\rho}d}^{x_{s}+\bar{\rho}d} \bar{J}dx \int d\Lambda \bar{\epsilon}^{3} d\bar{\epsilon} \frac{\partial \bar{F}}{\partial \bar{\epsilon}} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \bar{\omega}_{\star}$$

$$\cdot \frac{(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_{s})^{2} \sin^{2} \theta^{\star}}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_{s}} - \bar{\omega}}$$

$$= -\int_{x_{s}-\bar{\rho}d}^{x_{s}+\bar{\rho}d} x dx \int d\Lambda \bar{\epsilon}^{3} d\bar{\epsilon} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \frac{1}{2} m \frac{\partial \bar{F}}{\partial x} \frac{1}{x} \frac{R}{a} \frac{\rho_{h}}{a}$$

$$\cdot \frac{(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_{s})^{2} \sin^{2} \theta^{\star}}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_{s}} - \bar{\omega}}$$

$$= -\int_{x_{s}-\bar{\rho}d}^{x_{s}+\bar{\rho}d} x dx \int d\Lambda \bar{\epsilon}^{3} d\bar{\epsilon} \frac{2\pi}{\sqrt{\bar{\epsilon}}} \frac{1}{2} \frac{1}{x} \frac{R}{a} \frac{\rho_{h}}{a} \left(\frac{-2x}{\Delta x^{2}}\right) \frac{1}{\bar{\epsilon}^{3/2}} \delta(\Lambda) \exp\left(-\frac{x^{2}}{\Delta x^{2}}\right)$$

$$\cdot \frac{(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_{s})^{2} \left[1 - \left(\frac{x_{s}-x}{\bar{\rho}_{d}}\right)^{2}\right]}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_{s}} - \bar{\omega}}$$

$$= \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_{s}\right)^{2} \frac{R}{a} \frac{\rho_{h}}{a} \left(\frac{2\pi}{\Delta x^{2}}\right) \int_{x_{s}-\bar{\rho}d}^{x_{s}+\bar{\rho}d} \left[1 - \left(\frac{x_{s}-x}{\bar{\rho}_{d}}\right)^{2}\right] \exp\left(-\frac{x^{2}}{\Delta x^{2}}\right) x dx \int \bar{\epsilon}^{3} d\bar{\epsilon} \frac{1}{\sqrt{\bar{\epsilon}}} \frac{1}{\bar{\epsilon}^{3/2}}$$

$$\cdot \frac{1}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_{s}} - \bar{\omega}}$$

$$= \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_{s}\right)^{2} \frac{R}{a} \frac{\rho_{h}}{a} \left(\frac{2\pi}{\Delta x^{2}}\right) \int_{0}^{\bar{\epsilon}_{c}} \bar{\epsilon}^{3} d\bar{\epsilon} \frac{1}{\sqrt{\bar{\epsilon}}} \frac{1}{\bar{\epsilon}^{3/2}} \frac{1}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_{s}} - \bar{\omega}}}$$

$$\cdot \left\{ x_{s} \left(\frac{\Delta x}{\bar{\rho}_{d}}\right)^{2} \int_{x_{s}-\bar{\rho}_{d}}^{x_{s}+\bar{\rho}_{d}} \exp\left[-\left(\frac{x_{s}-\bar{\rho}_{d}}{\Delta x}\right)^{2}\right) dx - \frac{1}{2} \frac{\Delta x^{4}}{\bar{\rho}_{d}^{2}} \right\}$$

$$\cdot \left(\exp\left(-\left(\frac{x_{s}-\bar{\rho}_{d}}{\Delta x}\right)^{2}\right) - \exp\left(-\left(\frac{x_{s}+\bar{\rho}_{d}}{\Delta x}\right)^{2}\right)\right)$$

Similar to the approximation made for I_1 , we obtain

$$I_{2} = \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_{s}\right)^{2} \frac{R}{a} \frac{4\pi}{q_{s}} x_{s} \exp\left[-\left(\frac{x_{s}}{\Delta x}\right)^{2}\right] \int_{0}^{\bar{\epsilon}_{c}} d\bar{\epsilon} \frac{\sqrt{\bar{\epsilon}}}{\sqrt{\bar{\epsilon}} + \frac{\sqrt{\bar{\epsilon}}}{q_{s}} - \bar{\omega}}$$

$$= \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_{s}\right)^{2} \frac{R}{a} \frac{8\pi}{q_{s}} \frac{1}{1 + \frac{1}{q_{s}}} x_{s} \exp\left[-\left(\frac{x_{s}}{\Delta x}\right)^{2}\right] \int_{0}^{\bar{\epsilon}_{c}} d\sqrt{\bar{\epsilon}} \frac{\left(\sqrt{\bar{\epsilon}}\right)^{2}}{\sqrt{\bar{\epsilon}} - \bar{\omega}/\left(1 + \frac{1}{q_{s}}\right)}$$

The intergal identity is given by

$$\int dy \frac{y^2}{y-a} = \int dy \frac{y^2 - a^2 + a^2}{y-a} = \int dy (y+a) + \int dy \frac{a^2}{y-a}$$
(83)
= $\frac{1}{2}y^2 + ay + a^2 \ln(y-a)$ (84)

Thus

$$I_{2} = \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_{s}\right)^{2} \frac{R}{a} \frac{8\pi}{q_{s}} \frac{1}{1 + \frac{1}{q_{s}}} x_{s} \exp\left[-\left(\frac{x_{s}}{\Delta x}\right)^{2}\right] \left[\frac{1}{2} \bar{\epsilon}_{c} + \frac{\bar{\omega}}{1 + \frac{1}{q_{s}}} \sqrt{\bar{\epsilon}_{c}} + \left(\frac{\bar{\omega}}{1 + \frac{1}{q_{s}}}\right)^{2} \ln\left(\frac{\frac{\bar{\omega}}{1 + \frac{1}{q_{s}}} - \bar{\epsilon}_{c}^{1/2}}{\frac{\bar{\omega}}{1 + \frac{1}{q_{s}}}}\right)\right]$$

$$(85)$$

Defining $\Omega = \frac{\bar{\omega}}{(1+1/q_s)\bar{\epsilon}_c^{1/2}}, I_1$ and I_2 can be rewritten by

$$I_{1} = -12\pi \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_{s}\right)^{2} x_{s} \Delta x^{2} \sqrt{\bar{\epsilon}_{c}} \frac{a}{q_{s} \rho_{h}} \Omega \exp\left[-\left(\frac{x_{s}}{\Delta x}\right)^{2}\right] \ln\left(1 - \frac{1}{\Omega}\right)$$
(86)

$$I_{2} = \left(\frac{2}{\pi} \frac{a}{R} \bar{\xi}_{s}\right)^{2} \frac{R}{a} \frac{8\pi}{q_{s}} \frac{\bar{\epsilon}_{c}}{1 + \frac{1}{q_{s}}} x_{s} \exp\left[-\left(\frac{x_{s}}{\Delta x}\right)^{2}\right] \left[\frac{1}{2} + \Omega + \Omega^{2} \ln\left(1 - \frac{1}{\Omega}\right)\right]$$
(87)

According to Eq. (81), the dispersion relation(64) without MHD contribution thus can be written as

$$-i\Omega + a_1\Omega \ln\left(1 - \frac{1}{\Omega}\right) + b_1\left[\frac{1}{2} + \Omega + \Omega^2 \ln\left(1 - \frac{1}{\Omega}\right)\right] = 0$$
 (88)

with

$$a_1 = -8\sqrt{3}\frac{x_s}{s} \left(\frac{a}{r_s}\right)^2 \frac{a}{\rho_h} \frac{1}{1 + \frac{1}{a_s}} \sqrt{\frac{Mn_0}{m_p n_p}} \Delta x^2 \beta_h^{1/2} \exp\left[-\left(\frac{x_s}{\Delta x}\right)^2\right]$$

$$b_1 = \frac{16\sqrt{3}}{3} \left(\frac{a}{R}\right) \left(\frac{R}{r_s}\right)^2 \frac{x_s}{s} \sqrt{\frac{Mn_0}{m_p n_p}} \frac{\bar{\epsilon}_c^{1/2}}{\left(1 + \frac{1}{q_s}\right)^2} \beta_h^{1/2} \exp\left[-\left(\frac{x_s}{\Delta x}\right)^2\right]$$

and

$$\left|\frac{a_1}{b_1}\right| = \frac{3}{2} \left(\frac{a}{R}\right) \left(\frac{a}{\rho_h}\right) \Delta x^2 \left(1 + \frac{1}{q_s}\right) \overline{\epsilon}_c^{-1/2}.$$