

UNIVERSITY OF DENVER

A MULTI-MODAL APPROACH FOR FACE MODELING AND  
RECOGNITION

By

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A COMPREHENSIVE EXAM

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*To my beloved mother, deceased father,  
and  
to my lovely wife.*

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# Contents

• List of Figures	vi
• List of Tables	xv
• Mathematical Notation	xvii
<b>1 Xylo-Bot: An Interactive Music Teaching System</b>	<b>1</b>
1.1 NAO: A Humanoid Robot . . . . .	1
1.2 Accessories . . . . .	2
1.2.1 Xylophone: A Toy for Music Beginner . . . . .	2
1.2.2 Mallet Gripper Design . . . . .	2
1.2.3 Instrument Stand Design . . . . .	3
1.3 Module-Based Acoustic Music Interactive System Design . . . . .	3
1.3.1 Module 1: Eye-hand Self-Calibration Micro-Adjustment . . . . .	3
1.3.2 Module 2: Joint Trajectory Generator . . . . .	4
1.3.3 Module 3: Real-Time Performance Scoring Feedback . . . . .	4
1.4 Summary . . . . .	6
<b>2 Protocol 1: Acoustic Music Teaching Experiment Design Data Ac-</b>	

<b>quisition and Result</b>	<b>7</b>
2.1 Room Setup and Participants . . . . .	8
2.2 Experiment Design . . . . .	8
2.3 Methodology and Experiment Result . . . . .	9
2.3.1 Social Aspects Annotation and Coding Methods . . . . .	9
2.3.2 Motor Control Scoring System . . . . .	9
2.3.3 Emotion Detection . . . . .	9
2.3.4 Dialog System . . . . .	9
<b>3 X-Elophone: A New Instrument with New Experiment Design</b>	<b>11</b>
3.1 Xylophone Modification . . . . .	11
3.1.1 Components Selection . . . . .	11
3.1.2 Circuit Design . . . . .	14
3.2 Sound Design . . . . .	14
3.2.1 ChuckK: A On-the-fly Audio Programming Language . . . . .	14

# List of Figures

# List of Tables



# Chapter 1

## Xylo-Bot: An Interactive Music

## Teaching System

A novelty Interactive human-robot music teaching system design is presented in this chapter. In order to make robot play xylophone properly, several things need to be done before that. First is to find a proper xylophone with correct timber; second, we have to make the xylophone in a proper position in front of the robot that makes it to be seen properly and be reached to play; finally, design the intelligent music system for NAO.

### 1.1 NAO: A Humanoid Robot

We used a humanoid robot called NAO developed by Aldebaran Robotics in France. NAO is 58 cm (23 inches) tall, with 25 degrees of freedom this robot can conduct most of the human behaviors. It also features an onboard multimedia system including, four microphones for voice recognition, and sound localization, two speakers for text-to-speech synthesis, and two HD cameras with maximum image resolution 1280 x 960 for online observation. As shown in Figure somewhere, these utilities are located in the middle of the forehead and the mouth area. NAO's computer vision module includes facial and shape recognition units. By using the vision feature of the robot, that allows the robot be able to see the instrument from its lower camera and be able to do implement a eye-arm self-calibration system which allows the robot to have real-time micro-adjustment of its arm-joints in case of off positioning during music playing.

The robot arms have a length of approximately 31 cm. Each arm have five degrees of freedom and is equipped with the sensors to measure the position of each joint. To determine the pose of the instrument and the beaters' heads the robot analyzes images from the lower monocular camera located in its head, which has a diagonal field of view of 73 degree. These dimensions allows us to choose a proper instrument presented in next section.

Four microphones embedded on toy or NAO's head locations see figure somewhere. According the official Aldebaran documentation, these microphones has sensitivity of 20mV/Pa +/-3dB at 1kHz, and the input frequency range of 150Hz - 12kHz, data will be recorded as a 16 bits, 48000Hz, 4 channels wav file which meets the requirements for designing the online feedback audio score system which will be described below.

## 1.2 Accessories

The purpose of this study is to have a toy size humanoid robot to play music, some necessary accessories need to be purchased and made before teach the robot to play music. All accessories will be discussed in the following sections.

### 1.2.1 Xylophone: A Toy for Music Beginner

In this system, according NAO's open arms' length, we choose a Sonor Toy Sound SM soprano-xylophone with 11 sound bars of 2 cm in width. The instrument has a size of 31 cm x 9.5 cm x 4 cm, including the resonateing body. The smallest sound bar is playable in an area of 2.8 cm x 2 cm, the largest in an area of 4.8 cm x 2 cm. The instrument is diatonically tuned in C-Major/a-minor. The beaters/mallets, we use the pair which come with the xylophone with a modified 3D printed grips (details in next subsection) to allow the robot's hands to hold them properly. The mallets are approximately 21 cm in length include a head of 0.8 cm radius.

11 bars represent 11 different notes (11 frequencies) which covers approximate one and half octave scale starting from C6 to F7.

### 1.2.2 Mallet Gripper Design

According to NAO's hands size, we designed and 3D printed a pair of grippers to have the robot be able to hold the mallets properly. All dimensions can be found in figure somewhere.

### 1.2.3 Instrument Stand Design

A wooden base has designed and laser cut to hold the instrument in a proper place in order to have the robot be able to play music. All dimensions can be found in figure somewhere below.

## 1.3 Module-Based Acoustic Music Interactive System Design

In this section, a novelty module-based robot-music teaching system will be presented. Three modules have built in this intelligent system including module 1: eye-hand self-calibration micro-adjustment; module 2: joint trajectory generator; and module 3: real time performance scoring feedback.

### 1.3.1 Module 1: Eye-hand Self-Calibration Micro-Adjustment

Knowledge about the parameters of the robot's kinematic model is essential for tasks requiring high precision such as playing the xylophone. While the kinematic structure is known from the construction plan, errors can occur, e.g., due to the imperfect manufacturing. After multiple times of test, the targeted angle chain of arms never equals to the returned chain in reality. We therefore use a calibration method to accurately eliminate these errors.

#### A. Color-Based Object Tracking

To play the xylophone, the robot has to be able to adjust its motions according to the estimated relative poses of the instrument and the heads of the beaters it is holding. The approach to estimating these poses which adopted in this thesis, we uses a color-based technique.

The main idea is, based on the RGB color of the center blue bar, given a hypothesis about the instrument's pose, one can project the contour of the object's model into the camera image and compare them to actually observed contour. In this way, it is possible to estimate the likelihood of the pose hypothesis. By using this method, it allows the robot to track the instrument with very low cost in real-time.

## B. Calibration of Kinematic Parameters

(In progress, will not present in this version. The idea is to use both positions of the instrument and beaters' heads to compute for each sound bar a suitable beating configuration for arm kinematics chain. Suitable means that the beater's head can be placed on the surface of the sound bar at the desired angle. From this configuration, the control points of a predefined beating motion are updated.)

### 1.3.2 Module 2: Joint Trajectory Generator

Our system parses a list of hex-decimal numbers (from 1 to b) to obtain the sequence of notes to play. It converts the notes into a joint trajectory using the beating configurations obtained from inverse kinematics as control points. The timestamps for the control points will be defined by user in order to meet the experiment requirement. The trajectory is then computed using Bezier interpolation in joint space by the manufacturer-provided API and sent to the robot controller for execution. In this way, the robot plays in-time with the song.

### 1.3.3 Module 3: Real-Time Performance Scoring Feedback

The purpose of this system is to provide a real-life interaction experience using music therapy to teach kids social skills and music knowledge. In this scoring system, two core features have been designed to complete the task: 1) music detection; 2) intelligent scoring-feedback system.

## A. Music Detection

Music, in the understanding of science and technology can be considered as a combination of time and frequency. In order to make robot detect a sequence of frequencies, we adopt the short-time Fourier transform (STFT) to this audio feedback system. This allows the robot to be able to understand the music played by users and provide the proper feedback as a music teaching instructor.

The short-time Fourier transform (STFT), is a Fourier-related transform used to determine the sinusoidal frequency and phase content of local sections of a signal as it changes over time. In practice, the procedure for computing STFTs is to divide a longer time signal into shorter segments of equal length and then compute the Fourier transform separately on each shorter segment. This reveals the Fourier spectrum on each shorter segment. One then usually plots the changing spectra as a function of

time. In the discrete time case, the data to be transformed could be broken up into chunks or frames (which usually overlap each other, to reduce artifacts at the boundary). Each chunk is Fourier transformed, and the complex result is added to a matrix, which records magnitude and phase for each point in time and frequency. This can be expressed as:

$$\text{STFT}\{x[n]\}(m, \omega) \equiv X(m, \omega) = \sum_{n=-\infty}^{\infty} x[n]w[n-m]e^{-j\omega n}$$

likewise, with signal  $x[n]$  and window  $w[n]$ . In this case,  $m$  is discrete and  $\omega$  is continuous, but in most typical applications the STFT is performed on a computer using the Fast Fourier Transform, so both variables are discrete and quantized. The magnitude squared of the STFT yields the spectrogram representation of the Power Spectral Density of the function:

$$\text{spectrogram}\{x(t)\}(\tau, \omega) \equiv |X(\tau, \omega)|^2$$

After robot detect the notes from user input, a list of hex-decimal number will be returned, this list will be used in two purposes: 1) compared with target list for scoring and send feedback to user; 2) use this list as a new input to have robot playback in the game session which will be discussed in next chapter.

## B. Intelligent Scoring-Feedback System

In order to compare the detected notes and the target notes, we used an algorithm which normally used in information theory, linguistics called Levenshtein Distance. This algorithm is a string metric for measuring the difference between two sequences.

In our case, the Levenshtein distance between two string like hex-decimal numbers  $a, b$  (of length  $|a|$  and  $|b|$  respectively) is given by  $\text{lev}_{a,b}(|a|, |b|)$  where

$$\text{lev}_{a,b}(i, j) = \begin{cases} \max(i, j) & \text{if } \min(i, j) = 0, \\ \min \begin{cases} \text{lev}_{a,b}(i-1, j) + 1 \\ \text{lev}_{a,b}(i, j-1) + 1 \\ \text{lev}_{a,b}(i-1, j-1) + 1_{(a_i \neq b_j)} \end{cases} & \text{otherwise.} \end{cases}$$

where  $1_{(a_i \neq b_j)}$  is the indicator function equal to 0 when  $a_i = b_j$  and equal to 1 otherwise, and  $\text{lev}_{a,b}(i, j)$  is the distance between the first  $i$  characters of  $a$  and the first  $j$  characters of  $b$ .

Note that the first element in the minimum corresponds to deletion (from  $a$  to  $b$ ), the second to insertion and the third to match or mismatch, depending on whether the respective symbols are the same.

Based on the real life situation, we define a likelihood margin for determine whether the result is good or bad:

$$likelihood = \frac{len(target) - lev_{target,source}}{len(target)}$$

where if the likelihood is greater than 66% - 72%, system will consider it as a good result. This result will be passed to the accuracy calculation system to have robot decide whether it need to add more dosage to the practice. More details will be discussed in the next chapters which related to experiment design.

## 1.4 Summary

In this chapter, based on the purpose of this research, we have discussed both hardware and software design for getting ready to design the experiment sessions.

From Chapter One, we determined to have NAO as a music teaching instructor be able to teach children simple music and be able to deliver social content in the mean time. In order to have the system ready, first of all, we have to choose the proper agent which should be a kids friendly with social ability robot NAO. Second, based on the size of the robot, some necessary accessories have to purchased and handcrafted. A toy size color coded xylophone became the best option and based on the size and position, a wooden based xylophone stand was customized and assembled. Due to the limitation of NAO's hand size, a pair of mallet grippers have been 3-D printed and customized. Last, a intelligent module-based acoustic music interactive system has designed from scratch. Three modules has designed to have the robot to play, listen and teach the music freely and can become a great companion for children in both music learning and social life.

Module 1 provides a autonomous self awareness positioning system for robot to localize the instrument and make micro adjustment for arm joints in order to play the note bar properly. Module 2 allows robot to be able to play any customized song behave of user's requests. That means, any songs which can be translated to either C-Major or a-minor key, once a well trained person type in the hex-decimal playable score, robot should be play it in seconds. Module 3 is designed for providing real life music teaching experience for system users. Two key features of this module are designed: music detection and smart scoring feedback. Short time Fourier transform and Levenshtein distance are adopted to fulfill the requirement which allows the robot understands music and providing proper dosage of practice and oral feedback to users.

## Chapter 2

### Protocol 1: Acoustic Music Teaching

### Experiment Design Data Acquisition

### and Result

Few questions will be answered in terms of social skills in this chapter: 1) Turn Taking: How well kids with autism behave during the teaching and learning process compare to TD group, e.g., kid listen to the instruction or demonstration from robot before plays instrument; 2) Joint Attention and Eye-Gaze Attention: How well kids with ASD follow instructions and adapt hints compare to TD kids, e.g., kid follows hitting positions demonstrated by robot or follows the change of eye colors; 3) Motor Control: How well ASD kids play the xylophone in terms of volume, pitch and accuracy compare to TD group, e.g., a good multiple strikes should be recognized by STFT as a sequence of frequencies that designed from previous chapter; 4) Engagement and Event Based Emotion: How kids engage to different music teaching events and what are the emotions during certain events, e.g., using EDA signal to find out the emotions regarding different situations for example having hard time memorizing a sequence of notes; 5) Facial Expression and Emotions Correlation: (will not be presented in this version); 6) Music Emotions and Feelings: How kids perceive emotions in small pieces of music within different melodies, e.g., music in different keys.

## 2.1 Room Setup and Participants

## 2.2 Experiment Design

In order to collect all the data for answering the questions above, a set of intervention sessions has designed using music therapy concept. 6 - 7 sessions has settled for ASD group and 2 sessions for TD group. For ASD group, entire sessions have been divided into 3 parts: baseline session, intervention sessions and exit session. Similar to ASD group, TD group only includes baseline session and exit session for comparison purpose. In addition, a social music game play has also included in each session for system testing and entertainment purpose.

**Baseline Session:** Participants has been asked to follow all the instructions contains all the practices from the following intervention sessions. Including single bar strike, multiple bars strike, half song play and the whole song play. We choose a very popular kid's song "Twinkle Twinkle Little Star" for this specific session due to the well known of this song in almost everyone's childhood.

**Intervention Sessions:** These sessions are assigned to ASD group particularly. Including single strike with color hints, multiple strikes with colors hint, half-song practice and whole song practice. In this part, a special participant selected song will be used through the rest of the sessions. In the second half of this intervention session set, single/multiple strikes has also covered before the half/full song practice in order to have participants to use the color matching technique during the high level music play due to lack of professional music background knowledge. In addition, starting from session 2, a single strike warm up practice has added before the formal music practice starts. This particular practice has designed for having better motor control for ASD group, that allows the robot to recognize notes properly and also deliver the concept in telling the difference between "make a sound" and "play a musical note".

**Exit Session:** Both groups has assigned to go through the same steps as the baseline session in choice of their own songs. We would like to see the difference between two groups in learning a beloved song by their own.

Due to the difficulty of customized songs, the total session numbers can be various, 6 visits will be the minimum and cannot go beyond 8 times. More detailed experiment design is shown in the table below:



## 2.3 Methodology and Experiment Result

### 2.3.1 Social Aspects Annotation and Coding Methods

This part is to describe how to do video coding in these social features. Basically just post process by watching the front face videos and by listen to the audio to code how the social behaviors been performed. Have to copy some of the stuff from previous studies.

**Turn-Taking Coding**

**Joint Attention Coding**

**Eye-Gaze Attention Coding**

### 2.3.2 Motor Control Scoring System

This is related to hitting practice and play practice in real time during the session. Need to have connections with the module 2 real time performance scoring feedback system. Describe the how the flow goes during the session and how to have small add on dosage if the accuracy not good. Also have to make up a story when it goes to the half/whole song, how to manage that if the kid cannot play well, just cut the whole chunk into small continuous pieces and use the same methods and scoring system to deliver the idea.

### 2.3.3 Emotion Detection

In this section, have to include more of my journal paper material here. Including methods and valance thing here. Just copy and paste first for now.

### 2.3.4 Dialog System

**Speech Recognition**

<http://doc.aldebaran.com/2-1/naoqi/audio/alspeechrecognition.html>

**Dynamic Oral Feedback**

reason to design the dynamic feedback.

## Chapter 3

# X-Elophone: A New Instrument with New Experiment Design

In this section, a novelty instrument will be described in the following section.

reason why need this design. Due to the limitation of keys. This provides more possibility for different timber and major minor keys. That allows this system to play more customized song which kids love.

### 3.1 Xylophone Modification

#### 3.1.1 Components Selection

**A. Piezo Vibration Sensor:** The LDT0-028K is a flexible component comprising a 28 m thick piezoelectric PVDF polymer film with screen-printed Ag-ink electrodes, laminated to a 0.125 mm polyester substrate, and fitted with two crimped contacts. As the piezo film is displaced from the mechanical neutral axis, bending creates very high strain within the piezopolymer and therefore high voltages are generated. When the assembly is deflected by direct contact, the device acts as a flexible "switch", and the generated output is sufficient to trigger MOSFET or CMOS stages directly. If the assembly is supported by its contacts and left to vibrate "in free space" (with the inertia of the clamped/free beam creating bending stress), the device will behave as an accelerometer or vibration sensor. Adding mass, or altering the free length of the element by clamping, can change the resonant frequency and sensitivity

of the sensor to suit specific applications. Multi-axis response can be achieved by positioning the mass off center. The LDTM-028K is a vibration sensor where the sensing element comprises a cantilever beam loaded by an additional mass to offer high sensitivity at low frequencies.

Also have to show the circuit, how to design this and attach the figure from here <https://www.sparkfun.com/datasheets/Sensors/Flex/MSI-techman.pdf> page 39

**B. Op-Amp:** An operational amplifier (often op-amp or opamp) is a DC-coupled high-gain electronic voltage amplifier with a differential input and, usually, a single-ended output.[1] In this configuration, an op-amp produces an output potential (relative to circuit ground) that is typically hundreds of thousands of times larger than the potential difference between its input terminals. Operational amplifiers had their origins in analog computers, where they were used to perform mathematical operations in many linear, non-linear, and frequency-dependent circuits. The popularity of the op-amp as a building block in analog circuits is due to its versatility. By using negative feedback, the characteristics of an op-amp circuit, its gain, input and output impedance, bandwidth etc. are determined by external components and have little dependence on temperature coefficients or engineering tolerance in the op-amp itself. Op-amps are among the most widely used electronic devices today, being used in a vast array of consumer, industrial, and scientific devices. Many standard IC op-amps cost only a few cents in moderate production volume; however, some integrated or hybrid operational amplifiers with special performance specifications may cost over US 100 in small quantities.[2] Op-amps may be packaged as components or used as elements of more complex integrated circuits. The op-amp is one type of differential amplifier. Other types of differential amplifier include the fully differential amplifier (similar to the op-amp, but with two outputs), the instrumentation amplifier (usually built from three op-amps), the isolation amplifier (similar to the instrumentation amplifier, but with tolerance to common-mode voltages that would destroy an ordinary op-amp), and negative-feedback amplifier (usually built from one or more op-amps and a resistive feedback network). [https://en.wikipedia.org/wiki/Operational<sub>a</sub>mplifier](https://en.wikipedia.org/wiki/Operational_amplifier)

<https://ww1.microchip.com/downloads/en/DeviceDoc/21733j.pdf>

**C. Multiplexer:** In electronics, a multiplexer (or mux) is a device that selects between several analog or digital input signals and forwards it to a single output line.[1] A multiplexer of  $2^n$  inputs has  $n$  select lines, which are used to select which input line to send to the output.[2] Multiplexers are mainly used to increase the amount of data that can be sent over the network within a certain amount of time and bandwidth.[1] A multiplexer is also called a data selector. Multiplexers can also be used to implement Boolean functions of multiple variables. An electronic multiplexer makes it possible for several signals to share one device or resource, for example, one A/D converter or one communication line, instead of having one device per input signal. Conversely, a demultiplexer (or demux) is a device taking a single

input and selecting signals of the output of the compatible mux, which is connected to the single input, and a shared selection line. A multiplexer is often used with a complementary demultiplexer on the receiving end.[1] An electronic multiplexer can be considered as a multiple-input, single-output switch, and a demultiplexer as a single-input, multiple-output switch.[3] The schematic symbol for a multiplexer is an isosceles trapezoid with the longer parallel side containing the input pins and the short parallel side containing the output pin.[4] The schematic on the right shows a 2-to-1 multiplexer on the left and an equivalent switch on the right. The *sel* wire connects the desired input to the output. The 74HC4051; 74HCT4051 is a single-pole octal-throw analog switch (SP8T) suitable for use in analog or digital 8:1 multiplexer/demultiplexer applications. The switch features three digital select inputs (S0, S1 and S2), eight independent inputs/outputs (Yn), a common input/output (Z) and a digital enable input (E). When E is HIGH, the switches are turned off. Inputs include clamp diodes. This enables the use of current limiting resistors to interface inputs to voltages in excess of VCC. <https://en.wikipedia.org/wiki/Multiplexer> [https://cdn.sparkfun.com/assets/learn\\_tutorials/5/5/3/74HC\\_HCT4051.pdf](https://cdn.sparkfun.com/assets/learn_tutorials/5/5/3/74HC_HCT4051.pdf)

**D. Arduino UNO:** The Arduino Uno is an open-source microcontroller board based on the Microchip ATmega328P microcontroller and developed by Arduino.cc.[2][3] The board is equipped with sets of digital and analog input/output (I/O) pins that may be interfaced to various expansion boards (shields) and other circuits.[1] The board has 14 Digital pins, 6 Analog pins, and is programmable with the Arduino IDE (Integrated Development Environment) via a type B USB cable.[4] It can be powered by the USB cable or by an external 9-volt battery, though it accepts voltages between 7 and 20 volts. It is also similar to the Arduino Nano and Leonardo.[5][6] The hardware reference design is distributed under a Creative Commons Attribution Share-Alike 2.5 license and is available on the Arduino website. Layout and production files for some versions of the hardware are also available.

The word "uno" means "one" in Italian and was chosen to mark the initial release of the Arduino Software.[1] The Uno board is the first in a series of USB-based Arduino boards,[3] and it and version 1.0 of the Arduino IDE were the reference versions of Arduino, now evolved to newer releases.[4] The ATmega328 on the board comes preprogrammed with a bootloader that allows uploading new code to it without the use of an external hardware programmer.[3]

While the Uno communicates using the original STK500 protocol,[1] it differs from all preceding boards in that it does not use the FTDI USB-to-serial driver chip. Instead, it uses the Atmega16U2 (Atmega8U2 up to version R2) programmed as a USB-to-serial converter.[7] <https://en.wikipedia.org/wiki/ArduinoUno>

show block diagram of the code:

### 3.1.2 Circuit Design

## 3.2 Sound Design

### 3.2.1 ChuckK: A On-the-fly Audio Programming Language

[https://www.researchgate.net/profile/Gewang9/publication/259326122\\_The\\_ChuckK\\_programming\\_language\\_-\\_Timed\\_On-the-fly\\_Environmentality/links/0c96052b02acb79c2c000000.pdf](https://www.researchgate.net/profile/Gewang9/publication/259326122_The_ChuckK_programming_language_-_Timed_On-the-fly_Environmentality/links/0c96052b02acb79c2c000000.pdf)

briefly describe the language, and show the block diagram of of this code as well. The computer has long been considered an extremely attractive tool for creating, manipulating, and analyzing sound. Its precision, possibilities for new timbres, and potential for fantastical automation make it a compelling platform for expression and experimentation - but only to the extent that we are able to express to the computer what to do, and how to do it. To this end, the programming language has perhaps served as the most general, and yet most precise and intimate interface between humans and computers. Furthermore, “domain-specific” languages can bring additional expressiveness, conciseness, and perhaps even different ways of thinking to their users. This thesis argues for the philosophy, design, and development of ChuckK, a general-purpose programming language tailored for computer music. The goal is to create a language that is expressive and easy to write and read with respect to time and parallelism, and to provide a platform for precise audio synthesis/analysis and rapid experimentation in computer music. In particular, ChuckK provides a syntax for representing information flow, a new time-based concurrent programming model that allows programmers to flexibly and precisely control the flow of time in code (we call this “strongly-timed”), and facilities to develop programs on-the-fly - as they run. A ChuckKian approach to live coding as a new musical performance paradigm is also described. In turn, this motivates the Audicle, a specialized graphical environment designed to facilitate on-the-fly programming, to visualize and monitor ChuckK programs in real-time, and to provide a platform for building highly customizable user interfaces. In addition to presenting the ChuckK programming language, a history of music and programming is provided (Chapter 2), and the various aspects of the ChuckK language are evaluated in the context of computer music research, performance, and pedagogy (Chapter 6). As part of an extensive case study, the thesis discusses ChuckK as a primary teaching and development tool in the Princeton Laptop Orchestra (PLOrk), which continues to be a powerful platform for deploying ChuckK 1) to teach topics ranging from programming to sound synthesis to music composition, and 2) for crafting new instruments, compositions, and performances for computer-mediated ensembles. Additional applications are also described, including classrooms, live coding arenas, compositions and performances, user studies, and integrations of ChuckK into other software systems. The contributions of this work include the following. 1) A time-based programming mechanism (both language and

underlying implementation) for ultraprecise audio synthesis, naturally extensible to real-time audio analysis. 2) A nonpreemptive, time/event-based concurrent programming model that provides fundamental flexibility and readability without incurring many of the difficulties of programming concurrency. 3) A ChuckKian approach to writing code and designing audio programs on-the-fly. This rapid prototyping mentality has potentially wide ramifications in the way we think about coding audio, in designing/testing software (particular for real-time audio), as well as new paradigms and practices in computer-mediated live performance. 4) The Audicle as a new type of audio programming environment that combines live development with visualizations. 5) Extended case studies of using, teaching, composing, and performing with ChuckK, most prominently in the Laptop Orchestra. These show the power of teaching programming via music, and vice versa - and how these two disciplines can reinforce each other.