



Introduction

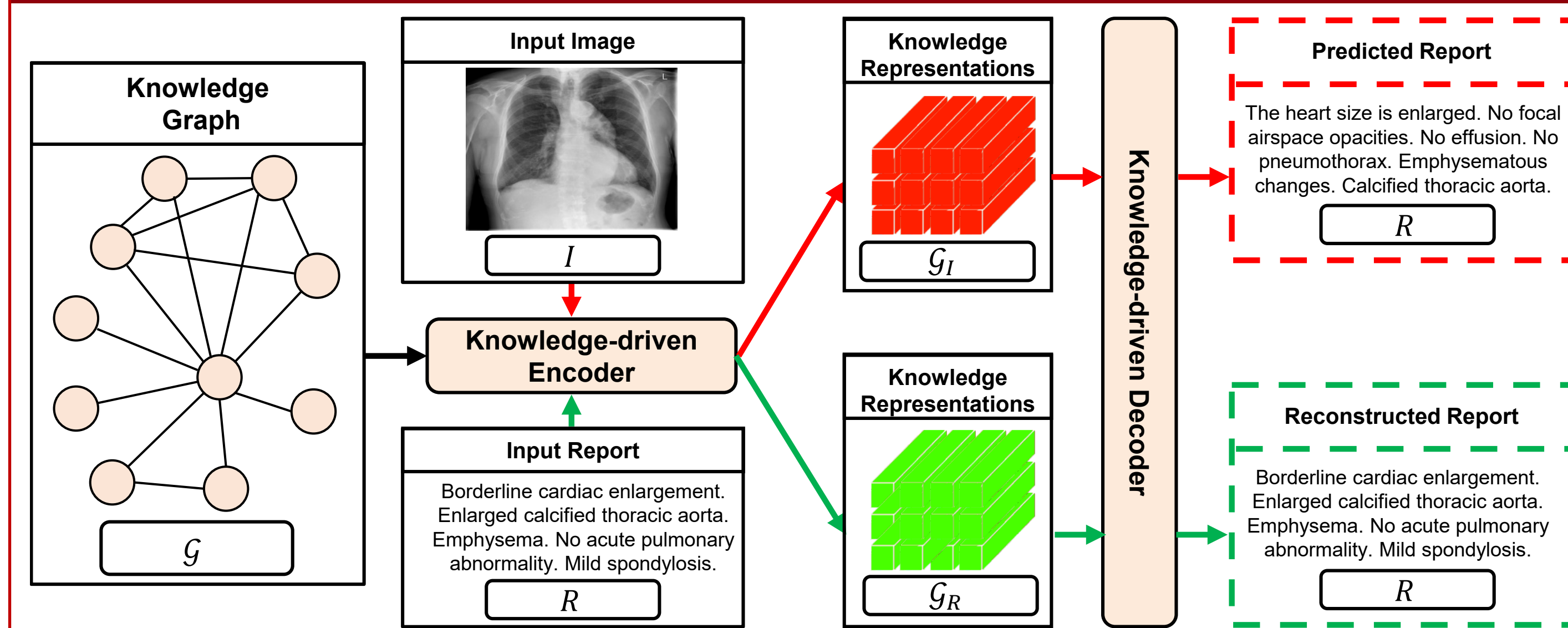


Figure 1. Illustration of our Knowledge Graph Auto-Encoder, which consists of a pre-constructed knowledge graph, a knowledge-driven encoder and a knowledge-driven decoder. The **Green** and **Red** lines denote the data flow in the training process and testing process of report generation, respectively.

Background:

- As shown in Figure 1, Medical Report Generation task aims to describe the **clinical findings** (R) in the input **medical image** (I), which can assist radiologists in clinical decision-making.
- Currently, the **data-driven** deep neural models, particularly those based on the **encoder-decoder frameworks** have achieved great success in advancing the state-of-the-art of medical report generation.

Limitation & Challenge:

- Existing models are trained in a **supervised learning** manner and heavily rely on **labeled paired image-report datasets**, which are not easy to acquire in the real world.
- The medical-related data can only be **manually** labeled by professional radiologists, and also involves **privacy issues**.
- The scales of widely-used datasets for medical report generation are relatively **small** compared to natural image related datasets.

To **relax** the reliance on the paired datasets, making use of **all available data**, like independent image or report sets, is important.

Approach

In this paper, we propose an **unsupervised model** Knowledge Graph Auto-Encoder (KGAE), which utilizes independent sets of images and reports in training (**the image and report set are separate and have no overlap**). As shown in Figure 1, our proposed KGAE consists of a pre-constructed **knowledge graph**, a **knowledge-driven encoder** and a **knowledge-driven decoder**.

Pre-constructed Knowledge Graph

In particular, we construct an **off-the-shelf** global medical knowledge graph $\mathcal{G} = (V, E)$ covering the **common abnormalities and normalities**, where $V = \{v_i\}_{i=1:N_{KG}} \in \mathbb{R}^{N_{KG} \times d}$ is a set of nodes and $E = \{e_{i,j}\}_{i,j=1:N_{KG}}$ is a set of edges. In detail, based on the report corpus, i.e., MIMIC-CXR [1], we consider the N_{KG} frequent clinical abnormalities (e.g., "enlarged heart size") and normalities (e.g., "heart size is normal" and "lungs are clear") as nodes. The edge weights are calculated by the **normalized co-occurrence** of different nodes computed from report corpus.

Knowledge-driven Encoder

The knowledge-driven encoder, including a **common mapping function** \mathcal{F} , take either the image I or the report R as queries and project them to **the same latent space**, acquiring \mathcal{G}_I and \mathcal{G}_R .

$$\mathcal{G}_I = \text{KE}_I(I, \mathcal{G}) = \mathcal{F}(\text{Attention}_I(I', V')); \quad \mathcal{G}_R = \text{KE}_R(R, \mathcal{G}) = \mathcal{F}(\text{Attention}_R(R', V'))$$

$$\text{Attention}(x, y) = \text{softmax}\left(\frac{xW_q(yW_k)^T}{\sqrt{d}}\right)yW_v.$$

As a result, our encoder can extract the **image and report knowledge representations** \mathcal{G}_I and \mathcal{G}_R , i.e., **the knowledge related to the image and report**, they (image, report knowledge) share the **common latent space**, which allows our model to **bridge the gap between vision and language domains without the training on the pairs of image and report**.

Knowledge-driven Decoder

The knowledge-driven decoder adopts the Transformer [2] to exploit \mathcal{G}_I and \mathcal{G}_R to generate report. **Unsupervised Training Details** In the training stage, we estimate the parameters of the decoder by **reconstructing** the input report R based on \mathcal{G}_R , i.e., $R \rightarrow \mathcal{G}_R \rightarrow R$ **auto-encoding pipeline**; In the prediction stage, we directly input \mathcal{G}_I into the trained decoder to generate the report, i.e., $I \rightarrow \mathcal{G}_I \rightarrow R$. In this way, our approach can produce desirable reports without any labeled image-report pairs. **Semi-Supervised and Supervised Training Details** We fine-tune the unsupervised KGAE using **partial** and **full image-report pairs** to acquire the **KGAE-Semi(-Supervised)** and **KGAE-Supervised**, respectively. In the (semi-)supervised setting, given the image-report pairs, i.e., I - R , we train our approach by generating the ground truth report in the $I \rightarrow \mathcal{G}_I \rightarrow R$ pipeline.

Experiments

- We evaluate our approach under three settings on two public datasets MIMIC-CXR [1] and IU X-ray [3].

Methods	Year	Ratio of Pairs	IU X-ray [9]						MIMIC-CXR [17]					
			B-1	B-2	B-3	B-4	M	R-L	B-1	B-2	B-3	B-4	M	R-L
NIC [39]	2015	100%	0.216	0.124	0.087	0.066	-	0.306	0.299	0.184	0.121	0.084	0.124	0.263
AdaAtt [31]	2017	100%	0.220	0.127	0.089	0.068	-	0.308	0.299	0.185	0.124	0.088	0.118	0.266
Att2in [35]	2017	100%	0.224	0.129	0.089	0.068	-	0.308	0.325	0.203	0.136	0.096	0.134	0.276
Transformer [6]	2020	100%	0.396	0.254	0.179	0.135	0.164	0.342	0.314	0.192	0.127	0.090	0.125	0.265
\mathcal{M}^2 Trans. [7]	2020	100%	0.437	0.290	0.205	0.152	0.176	0.353	0.238	0.151	0.102	0.067	0.110	0.249
R2Gen [6]	2020	100%	0.470	0.304	0.219	0.165	0.187	0.371	0.353	0.218	0.145	0.103	0.142	0.277
KGAE		0%	0.417	0.263	0.181	0.126	0.149	0.318	0.221	0.144	0.096	0.062	0.097	0.208
KGAE-Semi	Ours	60%	0.497	0.320	0.232	0.171	0.189	0.379	0.352	0.219	0.149	0.108	0.147	0.290
KGAE-Supervised		100%	0.512	0.327	0.240	0.179	0.195	0.383	0.369	0.231	0.156	0.118	0.153	0.295

Table 1. Performance in terms of natural language generation metrics. B-n, M and R-L are short for BLEU-n, METEOR and ROUGE-L.

Methods	Year	Ratio of Pairs	MIMIC-CXR [17]			Ratio of Pairs (IU X-ray)	Ratio of Pairs (MIMIC-CXR)
			Precision	Recall	F1		
NIC [39]	2015	100%	0.249	0.203	0.204		
AdaAtt [31]	2017	100%	0.268	0.186	0.181		
Att2in [35]	2017	100%	0.322	0.239	0.249		
Up-Down [1]	2018	100%	0.320	0.231	0.238		
\mathcal{M}^2 Trans. [7]	2020	100%	0.197	0.145	0.133		
Transformer [6]	2020	100%	0.331	0.224	0.228		
R2Gen [6]	2020	100%	0.333	0.273	0.276		
KGAE		0%	0.214	0.158	0.156		
KGAE-Semi	Ours	60%	0.360	0.302	0.307		
KGAE-Supervised		100%	0.389	0.362	0.355		

Table 2. Results in terms of clinical efficacy metrics, with respect to various amount of I - R pairs for training. The margins in different ratios of descriptions for clinical abnormalities are shown with polyline and right y-axis. **The fewer the pairs, the larger the margins.**

- The **unsupervised** KGAE can **even outperform** several supervised models. By using only 60% of paired dataset, KGAE is able to achieve **competitive** results with current state-of-art models; By training on fully paired datasets as in existing works, KGAE can set **new state-of-the-arts**.

References

- [1] MIMIC-CXR: A large publicly available database of labeled chest radiographs. *arXiv preprint arXiv:1901.07042*, 2019.
- [2] Attention is all you need. *In NIPS*, 2017.
- [3] Preparing a collection of radiology examinations for distribution and retrieval. *J. Am. Medical Informatics Assoc.*, 23(2):304–310, 2016.
- [4] Generating radiology reports via memory-driven transformer. *In EMNLP*, 2020.