# Cross-Dataset Recommender System for Overcoming Selection Bias

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### **Abstract**

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# 9 1 Introduction

Selection bias is one of the most prevalent sources of biases for recommender systems [2]. Selection bias happens when there is a pattern in the users' ratings that is unique to the training set. For example, in a recommender system for movies, users might mainly rate movies that are recommended to them, which is a small section of movies already tailored to the users' tastes [6]. However, the environment the recommender system is deployed on contains all movies regardless of personal tastes. This discrepancy produces a misalignment between training and deployed settings, which is known as a distribution shift. Tackling the selection bias in a recommender dataset has been a constant challenge in designing recommender algorithms[7].

When recommender systems are deployed in real-world platforms, it is arguably likely that the clients 18 are able to collect rating-associated data from different source distributions. These data (or feedbacks) 19 are either implicit or explicit [1], while implicit data often comes in a highly-quantized, binary form 20 and explicit data is usually less quantized. For example, a user's explicit rating of a movie is usually 21 quantized to a number between 1 and 5, while the implicit feedback, such as if a user spontaneous searches for a movie, is often binary (more quantized.) We extend our hypothesis and argue that implicit data contains less or no selection bias compared to explicit data. This is because implicit 24 data are often from users' spontaneous actions while explicit data are prejudiced toward the output of 25 the recommender system in the previous feedback loop and also users' innate biases. 26

In this paper, we attempt to take advantage of datasets from differently quantized sources. More specifically, we proposed a way to feed both a 5-quantized dataset and a binary dataset to any gradient-based recommender algorithm. To ensure both datasets do not significantly lose their values in the presence of selection bias, we first examine the susceptibility to selection bias of differently quantized datasets from a single distribution. We design experiments under a simulated environment and shows that susceptibility to selection bias is not correlated with the way a dataset is quantized.

Then, since a less-quantized dataset inherently contains more information than a more-quantized dataset [10] and thus is more suitable for training, we decided to use it as the training data of

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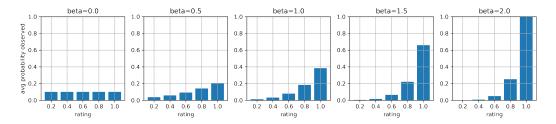


Figure 1: Visualizing the effect of controlling bias

matrix factorization and use the more-quantized dataset for propensity scoring and deriving the inverse-probability-scoring (IPS) estimator [9] [3], a causal inference approach applicable to matrix 36 completion-based recommender algorithms. In this way, our cross-dataset learning framework 37 empowers existing recommender algorithms to make use of the more quantized, less biased data. We 38 carried out experiment and found our method outperforming baselines by a significant margin. 39

#### 2 **Related Work** 40

- Prior works on overcoming selection bias-induced distribution shift via a propensity-based approach 41
- begins with the seminal paper [7], which introduces the IPS method into recommender systems. 42
- Follow-up works aim at providing a learning-based or behavioral model of user feedbacks for 43
- propensity estimation [4] [11], which remains the central concern of this approach. In this work 44
- we add to previous attempts the idea of using a more quantized, implicit dataset for more accurate 45
- propensity estimation. 46
- 47 The IPS method in our context is, essentially, a method of weighting training examples to correct the
- bias in the training data. Equivalent approaches such as importance weighting are widely used for 48
- domain adaptation in fields other than recommender systems [8] [12]. Discussions on the IPS-based 49
- domain adaptation for countering selection bias, which is most relevant to recommender systems, 50
- remain limited. 51

#### 3 Susceptibility to Selection Bias 52

- We first examine the susceptibility to selection bias of differently quantized data by manually 53
- introducing biased distributions of various degrees to the differently quantized training sets. It is 54
- crucial for us that the 2-quantized datasets do not exhibit particular weakness when facing selection 55
- bias so that they can be properly adopted for propensity estimation. 56

#### 3.1 Simulated Environment for Controlling Bias 57

Since selection bias is uncontrollable in a dataset completely drawn from real-world, we have to 58 adopt a simulated environment [5] with both semi-synthetic and synthetic datasets, which shall be 59 explained in section 5. In our environment, we propose the **softmax observation model** and introduce 60 a hyperparameter  $\beta$  to control the degree of bias. For a rating matrix R, the corresponding probability 61 matrix of each rating being observed is  $Pr(R_{u,i} \text{ is observed}) = k \operatorname{softmax}(\beta R_{u,i})$ , where k is set so 62 that the expected proportion of observed ratings is controlled. The effect of  $\beta$  on probability of being 63 64 observed for different ratings is visualized in figure 1. In our experiment we assume constantly 10%of ratings are observed. 65

#### 3.2 Results

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- Figure 2 shows our results on two dataset (see section 5) and 3 classic algorithms: user-KNN, 67 item-KNN, and SVD matrix factorization. Although the RMSE grows consistently as  $\beta$  increases 68
- for all datasets and algorithms, differently quantized datasets do not exhibit significantly different 69
- growth rates. We thus conclude it is a viable approach to use the more quantized, less biased dataset 70
- for propensity estimation.

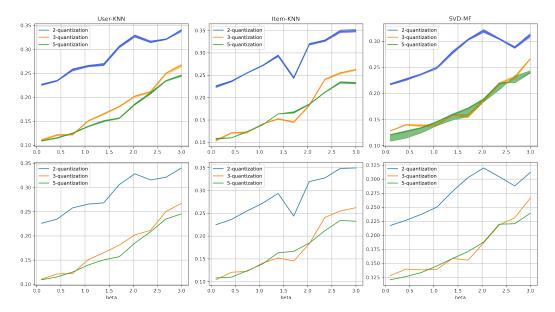


Figure 2: The effect of selection bias is not affected by quantization

Table 1: Test set RMSE and MAE for MF-NBPE and baselines

	Part	
Name	Description	Size $(\mu m)$
Dendrite Axon Soma	Input terminal Output terminal Cell body	$\begin{array}{c} \sim \! 100 \\ \sim \! 10 \\ \text{up to } 10^6 \end{array}$

# 2 4 Cross-Dataset Propensity Estimation

$$\mathop{\arg\min}_{V,W,A} \frac{1}{N} \left( \frac{(Y_{u,i} - (V_u^T W_i + A))^2}{P_{u,i}} \right) + c \|A\|^2$$

where  $A=\{b_u,b_i,\mu\}$  represents the standard bias parameters (offset),  $\hat{Y}=V_u^TW_i+A$  is the predicted rating, and  $c\|A\|^2$  is the regularizer.

# 75 **Experiment**

We designed experiments under simulated environments that verify the results introduced above.

# 77 5.1 Datasets

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We used two datasets in our simulated environment:

80 Imputed ML100K Dataset.

81 Latent Factors Simulated Dataset.

82 5.2 Baselines

83 5.3 Results

# 84 6 Conclusion

# 85 Acknowledgements

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