Case competition in headless relatives

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(1) Ich lade ein, wem auch Maria vertraut. I invite $_{[Acc]}$ RP.DAT also Maria trust $_{[DAT]}$ 'I invite whoever Maria also trusts.' (Modern German, Vogel 2001: 344)

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'I invite whoever Maria also trusts.' (Modern German, Vogel 2001: 344)

- (1) Ich lade ein, wem auch Maria vertraut.

 I invite_[Acc] RP.DAT also Maria trust_[DAT]

 'I invite whoever Maria also trusts.' (Modern German, Vogel 2001: 344)
- (2) Ich lade die Person ein, **der Maria vertraut**.

 I invite_[ACC] the ACC person RP.DAT Maria trust_[DAT]

 'I invite the person that Maria trusts.'

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two factors determine grammaticality

1 the case of the relative pronoun

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- 1 the case of the relative pronoun
 - NOM < ACC < DAT

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- 1 the case of the relative pronoun
 - NOM < ACC < DAT
 - is stable across languages
- where the winning case comes from
 - INT/EXT

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I invite<sub>[ACC]</sub> RP.DAT also Maria trust<sub>[DAT]</sub>
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- the case of the relative pronoun
 - NOM < ACC < DAT
 - is stable across languages
- where the winning case comes from
 - INT/EXT
 - differs across languages

■ Illustrate generalizations with data

- Illustrate generalizations with data
 - the stable NOM < ACC < DAT

- Illustrate generalizations with data
 - the stable NOM < ACC < DAT
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 $\label{eq:NOM} \mbox{NOM} < \mbox{ACC} < \mbox{DAT} \\ \mbox{(cf. Harbert, 1978; Pittner, 1995; Vogel, 2001; Grosu, 2003a)}$

NOM < **ACC** < **DAT** (cf. Harbert, 1978; Pittner, 1995; Vogel, 2001; Grosu, 2003a)

(2) Ich lade ein, wem auch Maria vertraut.

I invite_[ACC] RP.DAT also Maria trust_[DAT]

'I invite whoever Maria also trusts.' (Modern German, Vogel 2001: 344)

- (2) Ich lade ein, wem auch Maria vertraut.

 I invite_[Acc] RP.DAT also Maria trust_[DAT]

 'I invite whoever Maria also trusts.' (Modern German, Vogel 2001: 344)
- (3) *Ich lade ein, wen auch Maria vertraut.

 I invite[ACC] RP.ACC also Maria trust[DAT]

 'I invite whoever Maria also trusts.' (Modern German, Vogel 2001: 344)

NOM < ACC < DAT (cf. Harbert, 1978; Pittner, 1995; Vogel, 2001; Grosu, 2003a)

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- (2) Ich lade ein, wem auch Maria vertraut.

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 'I invite whoever Maria also trusts.' (Modern German, Vogel 2001: 344)
- (3) Uns besucht, wen Maria mag.

 us visit_[NOM] RP.ACC Maria like_[ACC]

 'Who visits us, Maria likes.' (Modern German, Vogel 2001: 343)

- (2) Ich lade ein, wem auch Maria vertraut.

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 us visit_[NOM] RP.ACC Maria like_[ACC]

 'Who visits us, Maria likes.' (Modern German, Vogel 2001: 343)
- (4) *Uns besucht, wer Maria mag.

 us visit_[NOM] RP.NOM Maria like_[Acc]

 'Who visits us, Maria likes.' (Modern German, Vogel 2001: 343)

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NOM < ACC < DAT

(cf. Harbert, 1978; Pittner, 1995; Vogel, 2001; Grosu, 2003a)

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(Modern German, Vogel 2001: 343)

(4) Uns besucht, wem Maria vertraut.

us visit_[NOM] RP.DAT Maria trust_[DAT]

'Who visits us, Maria trusts.'

(Modern German, Vogel 2001: 343)

(5) *Uns besucht, wer Maria vertraut.

us visit_[NOM] RP.NOM Maria trust_[DAT]

'Who visits us, Maria trusts.'

(Modern German, Vogel 2001: 343)

NOM < ACC < DAT in Modern German

NOM < ACC < DAT

(cf. Harbert, 1978; Pittner, 1995; Vogel, 2001; Grosu, 2003a)

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 'I invite whoever Maria also trusts.' (Modern German, Vogel 2001: 344)
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 'Who visits us, Maria trusts.' (Modern German, Vogel 2001: 343)

NOM < ACC < DAT

NOM < ACC < DAT

(5) **hòn hoi theoì philoūsin** apothnę́skei néos

RP.ACC the god love_[ACC] die_[NOM] young

'He, whom the gods love, dies young.'

(Classical Greek, Menander, The Double Deceiver 125)

NOM < ACC < DAT

- (5) hòn hoi theoì philoũsin apothnę́skei néos
 RP.ACC the god love_[ACC] die_[NOM] young
 'He, whom the gods love, dies young.'
 (Classical Greek, Menander, The Double Deceiver 125)
- (6) **themo min uuirdit forlazan**, min minnot

 RP.DAT less become read_[DAT] less love_[NOM]

 'whom less is read, loves less' (Old High German, Tatian 138:13)

NOM < ACC < DAT

- (5) hòn hoi theoì philoūsin apothnę́skei néos RP.ACC the god love_[ACC] die_[NOM] young 'He, whom the gods love, dies young.' (Classical Greek, Menander, The Double Deceiver 125)
- (6) **themo min uuirdit forlazan**, min minnot

 RP.DAT less become read_[DAT] less love_[NOM]

 'whom less is read, loves less' (Old High German, Tatian 138:13)
- (7) ei galaubjaiþ þamm -ei insandida jains that believe_[DAT] RP.DAT -COMP send_[ACC] he 'that you believe in him whom he sent' (Gothic, John 6:29)

INT VS. EXT

INT VS. EXT

(cf. Vogel, 2001; Grosu, 2003b; Himmelreich, 2017; Cinque, 2020)

(8) Ich lade ein, wem auch Maria vertraut.

invite[ACC] RP.DAT also Maria trust[DAT]

'I invite whoever Maria also trusts.' (Modern German, Vogel 2001: 344)

INT VS. EXT

(cf. Vogel, 2001; Grosu, 2003b; Himmelreich, 2017; Cinque, 2020)

(8) Ich lade ein, wem auch Maria vertraut.

invite_[ACC] RP.DAT also Maria trust_[DAT]

'I invite whoever Maria also trusts.' (Modern German, Vogel 2001: 344)

INT VS. EXT

- (8) Ich lade ein, wem auch Maria vertraut.

 I invite_[Acc] RP.DAT also Maria trust_[DAT]

 'I invite whoever Maria also trusts.' (Modern German, Vogel 2001: 344)
- (9) *Ich vertraue, wem **auch Maria mag.**I trust_[DAT] RP.DAT also Maria like_[Acc]
 'I trust whoever Maria also likes.' (Modern German, Vogel 2001: 345)

INT VS. EXT

- (8) Ich lade ein, wem auch Maria vertraut.

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- (9) *Ich vertraue, wem auch Maria mag.

 I trust_[DAT] RP.DAT also Maria like_[ACC]

 'I trust whoever Maria also likes.' (Modern German, Vogel 2001: 345)
 - INT: yes
 - EXT: no

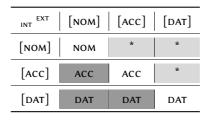
INT/EXT overview in Modern German

INT/EXT overview in Modern German

- INT: yes
- EXT: no

INT/EXT overview in Modern German

- INT: yes
- EXT: no



ınт/exт in Old High German

ınт/ехт in Old High German

- INT: yes
- EXT: yes

INT/EXT in Old High German

- INT: yes
- EXT: yes
- (10) **themo min uuirdit forlazan**, min minnot

 RP.DAT less become read_[DAT] less love_[NOM]

 'whom less is read, loves less' (Old High German, Tatian 138:13)

INT/EXT in Old High German

- INT: yes
- EXT: yes
- (10) **themo min uuirdit forlazan**, min minnot

 RP.DAT less become read_[DAT] less love_[NOM]

 'whom less is read, loves less' (Old High German, Tatian 138:13)
- (11) enti aer ant uurta demo zaimo sprah
 and he reply_[DAT] RP.DAT to him speak_[NOM]
 'and he replied to the one who spoke to him'
 (Old High German, MONS 7:24, after Pittner 1995: 199)

INT/EXT in Old High German

- INT: yes
- EXT: yes
- (10) **themo min uuirdit forlazan**, min minnot

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 'whom less is read, loves less' (Old High German, Tatian 138:13)
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EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	ACC	DAT
[ACC]	ACC	ACC	DAT
[DAT]	DAT	DAT	DAT

- INT: no
- EXT: no

- INT: no
- EXT: no
- (12) *Jan lubi komu -kolkwiek dokucza.

 Jan like_[Acc] RP.DAT ever tease_[DAT]

 'Jan likes whoever he teases.'

 (Polish, Citko 2013 after Himmelreich 2017: 17)

- INT: no
- EXT: no
- (12) *Jan lubi komu -kolkwiek dokucza.

 Jan like_[Acc] RP.DAT ever tease_[DAT]

 'Jan likes whoever he teases.'

 (Polish, Citko 2013 after Himmelreich 2017: 17)
- (13) *Jan ufa komu -kolkwiek wpuścił do domu.

 Jan trust_[DAT] RP.DAT ever let_[ACC] to home

 'Jan trusts whoever he let into the house.'

 (Polish, Citko 2013 after Himmelreich 2017: 17)

```
INT: no
```

(12) *Jan lubi komu -kolkwiek dokucza.

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Jan trust_[DAT] RP.DAT ever let_[ACC] to home

'Jan trusts whoever he let into the house.'

(Polish, Citko 2013 after Himmelreich 2017: 17)

(14) Jan lubi kogo -kolkwiek Maria lubi.

Jan like_[Acc] RP.Acc ever Maria like_[Acc]

'Jan likes whoever Maria likes.'

(Polish, Citko 2013 after Himmelreich 2017: 17)

- INT: no
- EXT: no
- (12) *Jan lubi komu -kolkwiek dokucza.

 Jan like_[Acc] RP.DAT ever tease_[DAT]

 'Jan likes whoever he teases.'

 (Polish, Citko 2013 after Himmelreich 2017: 17)
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 Jan trust_[DAT] RP.DAT ever let_[ACC] to home

 'Jan trusts whoever he let into the house.'

 (Polish, Citko 2013 after Himmelreich 2017: 17)

- INT: no
- EXT: no
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EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	*	ACC	*
[DAT]	*	*	DAT

Table 1: Modern German pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	ACC	ACC	*
[DAT]	DAT	DAT	DAT

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EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	ACC	ACC	*
[DAT]	DAT	DAT	DAT

Table 2: Old High German pattern

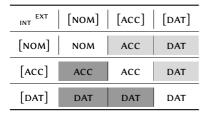


Table 1: Modern German pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	ACC	ACC	*
[DAT]	DAT	DAT	DAT

Table 2: Old High German pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	ACC	DAT
[ACC]	ACC	ACC	DAT
[DAT]	DAT	DAT	DAT

Table 3: Polish pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	*	ACC	*
[DAT]	*	*	DAT

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[DAT]	DAT	DAT	DAT

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EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	ACC	DAT
[ACC]	ACC	ACC	DAT
[DAT]	DAT	DAT	DAT

Table 3: Polish pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	*	ACC	*
[DAT]	*	*	DAT

Table 4: unattested pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	ACC	DAT
[ACC]	*	ACC	DAT
[DAT]	*	*	DAT

Table 1: Modern German pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	ACC	ACC	*
[DAT]	DAT	DAT	DAT

Table 2: Old High German pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	ACC	DAT
[ACC]	ACC	ACC	DAT
[DAT]	DAT	DAT	DAT

Table 3: Polish pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	*	ACC	*
[DAT]	*	*	DAT

This presentation

This presentation

- Illustrate generalizations with data
 - NOM < ACC < DAT = stable
 - the INT/EXT parameter
- Derive generalizations from the theory
 - NOM < ACC < DAT = stable
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Table 5: Khanty 3sc pronouns (Nikolaeva 1999: 16 after Smith et al. 2019)

	3sg	
NOM	luw	
ACC		
DAT		

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	3sg
NOM	luw
ACC	luw-e:l
DAT	

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	3sg
NOM	luw
ACC	luw-e:l
DAT	luw-e:l-na

Table 5: Khanty 3sg pronouns (Nikolaeva 1999: 16 after Smith et al. 2019)

	3sg	
NOM	luw	
ACC	luw-e:l	
DAT	luw-e:l-na	

syncretism patterns (cf. Baerman, Brown, and Corbett, 2005)

Table 5: Khanty 3sg pronouns (Nikolaeva 1999: 16 after Smith et al. 2019)

	3sg	
NOM	luw	
ACC	luw-e:l	
DAT	luw-e:l-na	

- syncretism patterns (cf. Baerman, Brown, and Corbett, 2005)
- agreement (cf. Moravcsik, 1978)

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	3sg	
NOM	luw	
ACC	luw-e:l	
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- syncretism patterns (cf. Baerman, Brown, and Corbett, 2005)
- agreement (cf. Moravcsik, 1978)
- relativization (cf. Keenan and Comrie, 1977)

Table 5: Khanty 3sg pronouns (Nikolaeva 1999: 16 after Smith et al. 2019)

	3sg	
NOM	luw	
ACC	luw-e:l	
DAT	luw-e:l-na	

- syncretism patterns (cf. Baerman, Brown, and Corbett, 2005)
- agreement (cf. Moravcsik, 1978)
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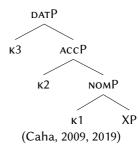
a single cause

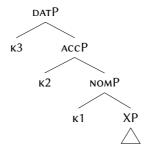
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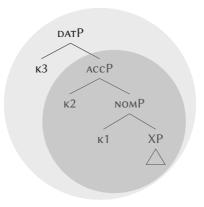
	3sg	
NOM	luw	
ACC	luw-e:l	
DAT	luw-e:l-na	

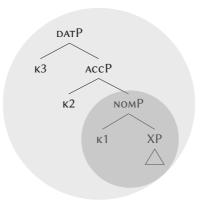
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a single cause









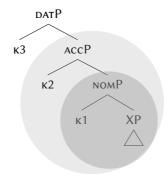


Table 6: Modern German pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	ACC	ACC	*
[DAT]	DAT	DAT	DAT

Table 6: Modern German pattern

EXT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	ACC	ACC	*
[DAT]	DAT	DAT	DAT

Table 7: Polish pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	*	ACC	*
[DAT]	*	*	DAT

Table 6: Modern German pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	ACC	ACC	*
[DAT]	DAT	DAT	DAT

Table 7: Polish pattern

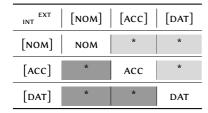


Table 8: Old High German pattern

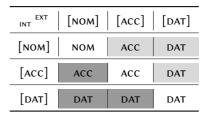


Table 6: Modern German pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	ACC	ACC	*
[DAT]	DAT	DAT	DAT

Table 7: Polish pattern

-				
	EXT INT	[NOM]	[ACC]	[DAT]
	[NOM]	NOM	*	*
	[ACC]	*	ACC	*
	[DAT]	*	*	DAT
-	•			•

Table 6: Modern German pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	ACC	ACC	*
[DAT]	DAT	DAT	DAT

Table 7: Polish pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	*	ACC	*
[DAT]	*	*	DAT

INT may surface

Modern German yes Polish no

Table 6: Modern German pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	ACC	ACC	*
[DAT]	DAT	DAT	DAT

Table 7: Polish pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	*	ACC	*
[DAT]	*	*	DAT

	INT may surface	Vogel 2001	
		OT constraints	
Modern German	yes	x » y	
Polish	no	y » x	

Table 6: Modern German pattern

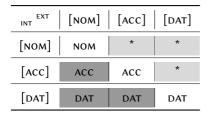


Table 7: Polish pattern

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	*	ACC	*
[DAT]	*	*	DAT

	INT may surface	Vogel 2001	Himmelreich 2017
		OT constraints	agree
Modern German	yes	x » y	no probe
Polish	no	y » x	probe

■ embedded in Nanosyntax (Starke, 2009)

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- same syntax and same spellout algorithm for each language

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- same syntax and same spellout algorithm for each language
- difference is in the lexicon (Borer-Chomsky Conjecture)

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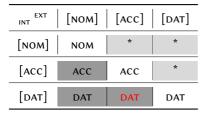
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 light head_{EXT} [relative pronoun_{INT} ...]
- deletion takes place when the relative pronoun contains the light head as a single constituent
- the relative pronoun contains the features of the light head plus an additional one

light head	relative	relative pronoun	
	RELP		
κР	REL	кР	
к фР	K	фР	

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	ACC	ACC	*
[DAT]	DAT	DAT	DAT



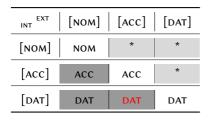


Table 8: Modern German LH and RP



Light head and relative pronoun in Modern German

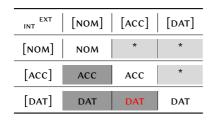
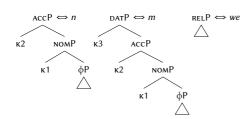


Table 8: Modern German LH and RP

LH	RP
n	we-m





Light head and relative pronoun in Modern German

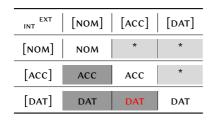
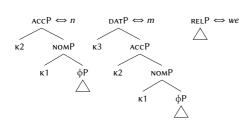


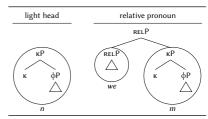
Table 8: Modern German LH and RP

n we-m

lexicon



internal syntax



ACCEXT VS. DATINT in Modern German

ACC_{EXT} vs. DAT_{INT} in Modern German

(14) Ich lade n ein, wem auch Maria vertraut.

I invite_[Acc] LH.ACC RP.DAT also Maria trust_[DAT]

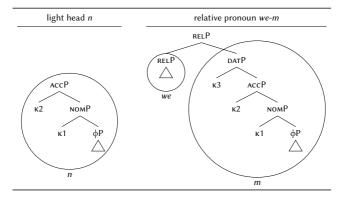
'I invite whoever Maria also trusts.' (Modern German, Vogel 2001: 344)

ACCEXT VS. DATINT in Modern German

(14) Ich lade n ein, wem auch Maria vertraut.

I invite_[ACC] LH.ACC RP.DAT also Maria trust_[DAT]

'I invite whoever Maria also trusts.' (Modern German, Vogel 2001: 344)

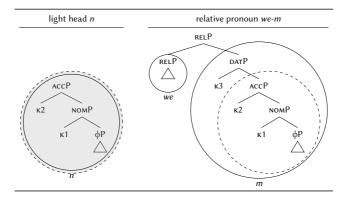


ACCEXT VS. DATINT in Modern German

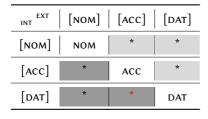
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EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	*	ACC	*
[DAT]	*	*	DAT



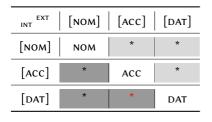


Table 9: Polish LH and RP

LH RP

o-go k-o-mu

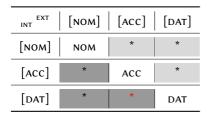
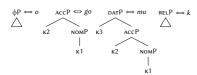


Table 9: Polish LH and RP

LH	RP	
o-go	k-o-mu	

lexicon



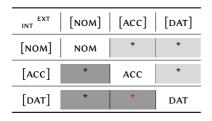
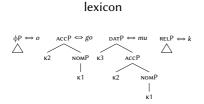
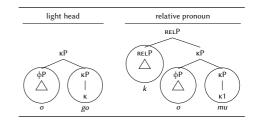


Table 9: Polish LH and RP

LH	RP
o-go	k-o-mu

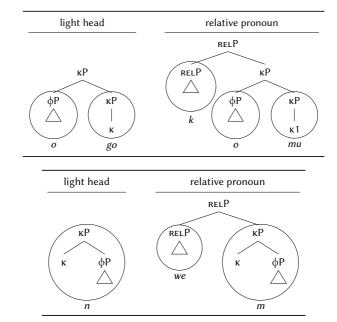
internal syntax





Comparing Polish to Modern German

Comparing Polish to Modern German



ACC_{EXT} vs. DAT_{INT} in Polish

ACCEXT VS. DATINT in Polish

(15) *Jan lubi ogo komu -kolkwiek dokucza.

Jan like_[ACC] LH.ACC RP.DAT ever tease_[DAT]

'Jan likes whoever he teases.'

(Polish, Citko 2013 after Himmelreich 2017: 17)

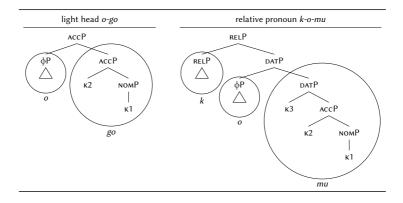
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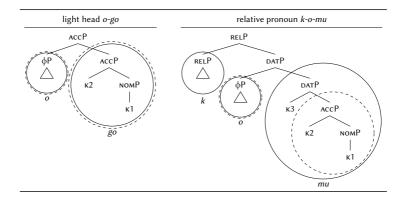
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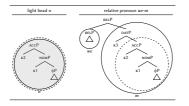
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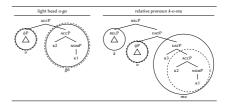


	INT may surface	
Modern German	yes	
Polish	no	

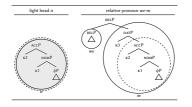
	INT may surface	ф + к
Modern German	yes	portmanteau
Polish	no	separate morphemes

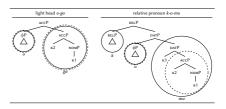
	INT may surface	ф + к
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	INT may surface	ф + к
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lexical entries \rightarrow internal syntax \rightarrow containment \rightarrow deletion \rightarrow headless relative

two factors influence grammaticality

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■ the stable NOM < ACC < DAT

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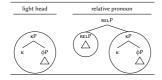
■ the stable NOM < ACC < DAT

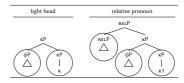


two factors influence grammaticality

■ the stable NOM < ACC < DAT



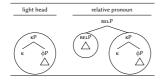


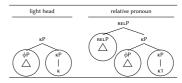


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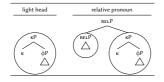


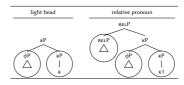
two factors influence grammaticality

■ the stable NOM < ACC < DAT



■ the INT/EXT parameter





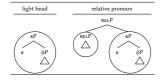
two factors \rightarrow one factor:

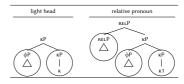
two factors influence grammaticality

■ the stable NOM < ACC < DAT



■ the INT/EXT parameter





two factors → one factor: **containment**

extra stuff * cinque trees * ohg comparisons