A typology of case competition in headless relatives

Fenna Bergsma

Goethe-Universität Frankfurt

Syntax colloquium November 28, 2020





Introduction — case

(1) Der Lehrer mag den Schüler. the.Nom teacher likes the.Acc pupil 'The teacher likes the pupil.'

Introduction — case

- (1) Der Lehrer mag den Schüler. the.Nom teacher likes the.Acc pupil 'The teacher likes the pupil.'
- (2) Der Schüler mag den Lehrer. the.Nom pupil likes the.Acc teacher 'The pupil likes the teacher.'

Introduction — case in relative clauses

(3) Der Lehrer mag den Schüler, der nach draußen guckt. the.nom teacher likes the.Acc pupil REL.SG.M.Nom to outside looks 'The teacher likes the pupil that is looking outside.'

Introduction — case in relative clauses

- (3) Der Lehrer mag den Schüler, der nach draußen guckt. the.nom teacher likes the.Acc pupil REL.SG.M.Nom to outside looks 'The teacher likes the pupil that is looking outside.'
- (4) Der Lehrer mag den Schüler, den er beim the.nom teacher likes the.ACC pupil REL.SG.M.ACC he at the Versteckspiel sucht. hide-and-seek game searches 'The teacher likes the pupil that he is searching for playing hide-and-seek.'

Introduction — case in headless relative clauses

Introduction — case competition

(6) **þan -ei frijos** siuks ist
REL.SG.M.ACC -COMP love.PRES.2SG.[ACC] sick be.PRES.3SG[NOM]

'the one whom you love is sick'

(Gothic, JOHN 11:3, adapted from citetharbert1978342)

Introduction — case competition

- (6) ban -ei frijos siuks ist REL.SG.M.ACC -COMP love.PRES.2SG.[ACC] sick be.PRES.3SG[NOM] 'the one whom you love is sick' (Gothic, JOHN 11:3, adapted from citetharbert 1978342)
- (7) jah þo -ei ist Laudeikaion jus us and REL.SG.N.ACC -COMP be.PRES.3SG[NOM] from Laodicea 2PL.NOM ussiggwaid read.[ACC] 'and you read the one which is from Laodicea' (Gothic, col 4:16, adapted from citetharbert 1978357)

Introduction — case competition

- (6) **þan -ei frijos** siuks ist

 REL.SG.M.ACC -COMP love.PRES.2SG.[ACC] sick be.PRES.3SG[NOM]

 'the one whom you love is sick'

 (Gothic, JOHN 11:3, adapted from citetharbert1978342)
- (7) jah þo -ei ist us Laudeikaion jus and REL.SG.N.ACC -COMP be.PRES.3SG_[NOM] from Laodicea 2PL.NOM ussiggwaid read._[ACC] 'and you read the one which is from Laodicea' (Gothic, col. 4:16, adapted from citetharbert1978357)
 - internal case
 - external case

Two aspects

Two aspects

■ Which case wins the case competition?

Two aspects

- Which case wins the case competition?
- Is the winner of the case competition allowed to surface?

Which case wins the case competition?

Which case wins the case competition?

NOM < ACC < DAT

Gothic — matching data

(8) ei **sa -ei þis matjai**, ni gadauþnai comp rel.sg.m.nom -comp dem.sg.m.gen eat.opt. $3sg_{[NOM]}$ not die.opt. $3sg_{[NOM]}$ 'that the one, who eats of this may not die' (Gothic, John 6:50, after citetharbert1978337)

Gothic — matching data

- (8) ei **sa -ei þis matjai**, ni gadauþnai comp rel.sg.m.nom -comp dem.sg.m.gen eat.opt.3sg_[NOM] not die.opt.3sg_[NOM] 'that the one, who eats of this may not die' (Gothic, John 6:50, after citetharbert1978337)
- (9) gaarma **þan -ei arma**pity.1sc_[Acc] REL.SG.M.ACC -COMP pity.1sc_[Acc]

 'I pity him, whom I pity' (Gothic, ROM 9:15, after citetharbert1978339)

Gothic — matching data

- (8) ei **sa -ei þis matjai**, ni gadauþnai comp rel.sg.m.nom -comp dem.sg.m.gen eat.opt.3sg_[NOM] not die.opt.3sg_[NOM] 'that the one, who eats of this may not die' (Gothic, JOHN 6:50, after citetharbert1978337)
- (9) gaarma **þan -ei arma**pity.1sG_[ACC] REL.SG.M.ACC -COMP pity.1sG_[ACC]

 'I pity him, whom I pity' (Gothic, ROM 9:15, after citetharbert1978339)
- (10) nist mein du giban, alja **þaim** -ei is not 1sg.poss.nom to give.Inf_[DAT] except for Rel.sg.m.dat -comp manwiþ was prepare.Ptcp be.pret.3sg_[DAT] 'it is not mine to give except for to the one, for whom it was prepared' (Gothic, MARK 10:49, after citetharbert1978339)

Gothic — overview matching

[NOM] NOM [ACC] ACC [DAT] DAT	EXT INT	[NOM]	[ACC]	[DAT]
	[NOM]	NOM		
[DAT] DAT	[ACC]		ACC	
	[DAT]			DAT

Gothic — Nom vs. Acc data

(11) **þan -ei frijos** siuks ist

REL.SG.M.ACC -COMP love.PRES.2SG.[ACC] sick be.PRES.3SG[NOM]

'the one whom you love is sick'

(Gothic, JOHN 11:3, adapted from citetharbert1978342)

Gothic — NOM vs. Acc data

- (11) **þan** -ei frijos siuks ist

 REL.SG.M.ACC -COMP love.PRES.2SG.[ACC] sick be.PRES.3SG[NOM]

 'the one whom you love is sick'

 (Gothic, JOHN 11:3, adapted from citetharbert1978342)
- (12) jah þo -ei ist us Laudeikaion jus and REL.SG.N.ACC -COMP be.PRES.3SG[NOM] from Laodicea 2.PL.NOM ussiggwaid read.[ACC] 'and you read the one which is from Laodicea' (Gothic, col. 4:16, adapted from citetharbert1978357)

Gothic — overview nom vs. Acc

	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*NOM	
[ACC]	*NOM	ACC	
[DAT]			DAT

Gothic — NOM VS. DAT data

(13) iþ þamm -ei leitil fraletada leitil frijod but REL.SG.M.DAT -COMP little forgive.PASS.PRES.3SG[DAT] little love.[NOM] 'but the one whom little is forgiven loves little'

(Gothic, LUKE 7:47, adapted from citetharbert1978342)

Gothic — NOM VS. DAT data

- (13) iþ **þamm** -ei leitil fraletada leitil frijod but REL.SG.M.DAT -COMP little forgive.PASS.PRES.3SG_[DAT] little love._[NOM] 'but the one whom little is forgiven loves little'

 (Gothic, LUKE 7:47, adapted from citetharbert1978342)
- (14) þaim **-ei iupa sind** fraþjaiþ
 REL.PL.N.DAT -COMP above be.PRES.3PL_[NOM] think about.OPT.PRES.2PL_[DAT]
 'think about those which are above'

(Gothic, COL 3:2, adapted from citetharbert 1978339)

Gothic — overview NOM — DAT

	[NOM]	[ACC]	[DAT]
[NOM]	NOM	ACC *NOM	*NOM
[ACC]	*NOM ACC	ACC	
[DAT]	*NOM		DAT

Gothic — Acc vs. DAT data

Gothic — Acc vs. DAT data

- (15)ushafjands bamm -ei ana pick up.pres.ptcp[acc] on[dat] rel.sg.n.dat -comp lie.pret.3sg 'picking up that what he lay on' (Gothic, LUKE 5:25, adapted from citetharbert 1978343)
- (16)galaubjaib bamm -ei insandida that believe.opt.pres.2pl[DAT] REL.SG.M.DAT -COMP send.PRET.3SG[ACC] jains DEM.SG.M.NOM

'that you believe in him whom he sent' (Gothic, JOHN 6:29)

Gothic — overview ACC — DAT

	[NOM]	[ACC]	[DAT]
[NOM]	NOM	ACC *NOM	DAT *NOM
[ACC]	*NOM ACC	ACC	*ACC
[DAT]	*NOM DAT	*ACC	DAT

Gothic: overview summary

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	ACC	DAT
[ACC]	ACC	ACC	DAT
[DAT]	DAT	DAT	DAT

Which case wins the case competition? NOM < ACC < DAT

■ The unrestricted type: the internal and the external case are allowed to surface when either of them wins the case competition

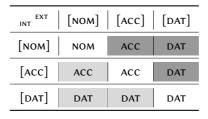
- The unrestricted type: the internal and the external case are allowed to surface when either of them wins the case competition
- The internal-only type: only the internal case is allowed to surface when it wins the case competition

- The unrestricted type: the internal and the external case are allowed to surface when either of them wins the case competition
- The internal-only type: only the internal case is allowed to surface when it wins the case competition
- The external-only type: only the external case is allowed to surface when it wins the case competition

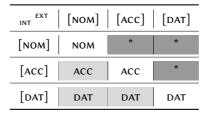
- The unrestricted type: the internal and the external case are allowed to surface when either of them wins the case competition
- The internal-only type: only the internal case is allowed to surface when it wins the case competition
- The external-only type: only the external case is allowed to surface when it wins the case competition
- The matching type: neither the internal case nor in the external case is allowed to surface when either of them wins the case competition

- The unrestricted type: the internal and the external case are allowed to surface when either of them wins the case competition
- The internal-only type: only the internal case is allowed to surface when it wins the case competition
- The external-only type: only the external case is allowed to surface when it wins the case competition
- The matching type: neither the internal case nor in the external case is allowed to surface when either of them wins the case competition

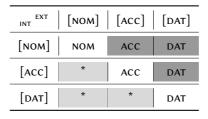
The unrestricted type



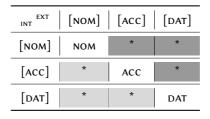
The internal-only type



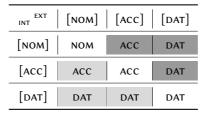
The external-only type



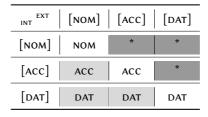
The matching type



Gothic as the unrestricted type



Modern German as the internal-only type



Modern German — matching data

(17) Uns besucht, wer Maria mag. 2PL.ACC visit.PRES.3SG[NOM] REL.AN.NOM Maria.ACC like.PRES.3SG[NOM] 'Who visits us likes Maria.'

Modern German — matching data

(17) Uns besucht, wer Maria mag. 2PL.ACC visit.PRES.3SG_[NOM] REL.AN.NOM Maria.ACC like.PRES.3SG_[NOM] 'Who visits us likes Maria.'

(Modern German, adapted from citetvogel2001343)

(18) Ich lade ein, wen auch Maria mag. 1sc.nom invite.pres.1sc_[acc] rel.an.acc Maria.nom like.pres.3sc_[acc] 'I invite who Maria also likes.'

Modern German — matching data

(17) Uns besucht, wer Maria mag.

2PL.ACC visit.PRES.3SG_[NOM] REL.AN.NOM Maria.ACC like.PRES.3SG_[NOM]

'Who visits us likes Maria.'

(Modern German, adapted from citetvogel2001343)

(18) Ich lade ein, wen auch Maria mag. 1sc.nom invite.pres.1sc[acc] rel.an.acc Maria.nom like.pres.3sc[acc] 'I invite who Maria also likes.'

(Modern German, adapted from citetvogel2001344)

(19) Ich folge, wem immer ich 1sg.nom folge.pres.1sg[dat] rel.an.dat ever 1sg.nom vertraue.

vertraue.PRES. $3SG_{[DAT]}$.

'I follow whoever I trust.'

Modern German — overview matching

EXT INT	NOM]	[ACC]	[DAT]
[NOM]	NOM		
[ACC]		ACC	
[DAT]			DAT

Modern German — NOM vs. Acc data

(20) Uns besucht, wen Maria mag. $2PL.ACC\ visit.PRES.3SG_{[NOM]}\ REL.AN.ACC\ Maria.NOM\ like.PRES.3SG_{[ACC]}$ 'Who visits us, Maria likes.'

Modern German — Nom vs. Acc data

(20) Uns besucht, wen Maria mag. $2PL.ACC\ visit.PRES.3SG_{[NOM]}\ REL.AN.ACC\ Maria.NOM\ like.PRES.3SG_{[ACC]}$ 'Who visits us, Maria likes.'

(Modern German, adapted from citetvogel2001343)

(21) *Uns besucht, wer Maria mag.

2PL.ACC visit.PRES.3SG_[NOM] REL.AN.NOM Maria.NOM like.PRES.3SG_[ACC]

'Who visits us, Maria likes.'

Modern German — NOM vs. Acc data

(20) Uns besucht, wen Maria mag. $2PL.ACC\ visit.PRES.3SG_{[NOM]}\ REL.AN.ACC\ Maria.NOM\ like.PRES.3SG_{[ACC]}$ 'Who visits us, Maria likes.'

(Modern German, adapted from citetvogel2001343)

(21) *Uns besucht, wer Maria mag.

2PL.ACC visit.PRES.3SG[NOM] REL.AN.NOM Maria.NOM like.PRES.3SG[ACC]

'Who visits us, Maria likes.'

(Modern German, adapted from citetvogel2001343)

(22) *Ich lade ein, wen mir sympathisch 1sg.nom invite.pres.1sg[Acc] REL.AN.ACC 1sg.DAT nice ist.

be.pres.3sg[NOM]

'I invite who I like.' (Modern German, adapted from citetyogel2001344)

Modern German — NOM vs. ACC data

(20) Uns besucht, wen Maria mag.

2PL.ACC visit.PRES.3SG[NOM] REL.AN.ACC Maria.NOM like.PRES.3SG[ACC]

'Who visits us, Maria likes.'

(Modern German, adapted from citetvogel2001343)

(21) *Uns besucht, wer Maria mag.

2PL.ACC visit.PRES.3SG[NOM] REL.AN.NOM Maria.NOM like.PRES.3SG[ACC]

'Who visits us, Maria likes.'

(Modern German, adapted from citatyogal 2001 243

- (22) *Ich lade ein, wen mir sympathisch
 1sg.nom invite.pres.1sg[acc] rel.an.acc 1sg.dat nice
 ist.
 be.pres.3sg[nom]
 'I invite who I like.' (Modern German, adapted from citetvogel2001344)
- (23) *Ich lade ein, wer mir sympathisch
 1SG.NOM invite.PRES.1SG[ACC] REL.AN.NOM 1SG.DAT nice
 ist.
 be.PRES.3SG[NOM]
 'I invite who I like.' (Modern German, adapted from citetyogel2001344)

Modern German — overview NOM — ACC

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	
[ACC]	ACC	ACC	
[DAT]			DAT

Modern German — NOM VS. DAT data

(24) Uns besucht, **wem Maria vertraut**. $2PL.ACC\ visit.PRES.3SG_{[NOM]}\ REL.AN.DAT\ Maria.NOM\ trust.PRES.3SG_{[DAT]}$ 'Who visits us, Maria trusts.'

(24) Uns besucht, **wem Maria vertraut**.

2PL.ACC visit.PRES.3SG_[NOM] REL.AN.DAT Maria.NOM trust.PRES.3SG_[DAT]

'Who visits us, Maria trusts.'

(Modern German, adapted from citetyogel2001343)

(25) *Uns besucht, **wer Maria vertraut**.

2PL.ACC visit.PRES.3SG_[NOM] REL.AN.NOM Maria.NOM trust.PRES.3SG_[DAT]

'Who visits us, Maria trusts.'

Modern German — NOM VS. DAT data

(24) Uns besucht, **wem Maria vertraut**.

2PL.ACC visit.PRES.3SG_[NOM] REL.AN.DAT Maria.NOM trust.PRES.3SG_[DAT]

'Who visits us, Maria trusts.'

(Modern German, adapted from citetvogel2001343)

(25) *Uns besucht, wer Maria vertraut.

2PL.ACC visit.PRES.3SG[NOM] REL.AN.NOM Maria.NOM trust.PRES.3SG[DAT]

'Who visits us, Maria trusts.'

(Modern German, adapted from citetvogel2001343)

(26) *Ich vertraue, wem **Hitchcock mag.**1sg.nom trust.pres.1sg_[DAT] rel.an.dat Hitchcock.acc like.pres.3sg_[NOM]
'I trust who likes Hitchcock.'

(24) Uns besucht, **wem Maria vertraut**.

2PL.ACC visit.PRES.3SG_[NOM] REL.AN.DAT Maria.NOM trust.PRES.3SG_[DAT]

'Who visits us, Maria trusts.'

(Modern German, adapted from citetyogel2001343)

(25) *Uns besucht, wer Maria vertraut.

2PL.ACC visit.PRES.3SG[NOM] REL.AN.NOM Maria.NOM trust.PRES.3SG[DAT]

'Who visits us, Maria trusts.'

(Modern German, adapted from citetvogel2001343)

(26) *Ich vertraue, wem **Hitchcock mag**.

1SG.NOM trust.PRES.1SG_[DAT] REL.AN.DAT Hitchcock.ACC like.PRES.3SG_[NOM]

'I trust who likes Hitchcock.'

(Modern German, adapted from citetvogel2001345)

(27) *Ich vertraue, wer **Hitchcock mag.**1SG.NOM trust.PRES.1SG_[DAT] REL.AN.NOM Hitchcock.Acc like.PRES.3SG_[NOM]
'I trust who likes Hitchcock.'

Modern German — overview NOM — DAT

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	ACC	ACC	
[DAT]	DAT		DAT

(28) Ich lade ein, **wem auch Maria vertraut**.

1SG.NOM invite.PRES.1SG_[ACC] REL.AN.DAT also Maria.NOM trust.PRES.3SG_[DAT].

'I invite whoever Maria also trusts.'

(28) Ich lade ein, **wem auch Maria vertraut**.

1SG.NOM invite.PRES.1SG_[ACC] REL.AN.DAT also Maria.NOM trust.PRES.3SG_[DAT].

'I invite whoever Maria also trusts.'

(Modern German, adapted from citetyogel2001344)

(29) *Ich lade ein, wen auch Maria vertraut.

1SG.NOM invite.PRES.1SG_[ACC] REL.AN.ACC also Maria.NOM trust.PRES.3SG_[DAT].

'I invite whoever Maria also trusts.'

(28) Ich lade ein, **wem auch Maria vertraut**.

1SG.NOM invite.PRES.1SG_[ACC] REL.AN.DAT also Maria.NOM trust.PRES.3SG_[DAT].

'I invite whoever Maria also trusts.'

(Modern German, adapted from citetyogel2001344)

(29) *Ich lade ein, wen auch Maria vertraut.

1SG.NOM invite.PRES.1SG_[ACC] REL.AN.ACC also Maria.NOM trust.PRES.3SG_[DAT].

'I invite whoever Maria also trusts.'

(Modern German, adapted from citetvogel2001344)

(30) *Ich vertraue, wem **auch Maria mag**.

1SG.NOM trust.PRES.1SG[DAT] REL.AN.DAT also Maria.NOM like.PRES.3SG[ACC].

'I trust whoever Maria also likes.'

(28) Ich lade ein, **wem auch Maria vertraut**.

1SG.NOM invite.PRES.1SG_[ACC] REL.AN.DAT also Maria.NOM trust.PRES.3SG_[DAT].

'I invite whoever Maria also trusts.'

(Modern German, adapted from citetvogel2001344)

(29) *Ich lade ein, wen auch Maria vertraut. $1sg.nom invite.pres.1sg_{[acc]}$ rel.an.acc also Maria.nom trust.pres. $3sg_{[dat]}$.

'I invite whoever Maria also trusts.'

(Modern German, adapted from citetvogel2001344)

(30) *Ich vertraue, wem **auch Maria mag**.

1sg.nom trust.pres.1sg_[DAT] rel..an.dat also Maria.nom like.pres.3sg_[Acc].

'I trust whoever Maria also likes'

(Modern German, adapted from citetyogel2001345)

(31) *Ich vertraue, wen **auch Maria mag**.

1sg.nom trust.pres.1sg_[DAT] rel.an.acc also Maria.nom like.pres.3sg_[Acc].

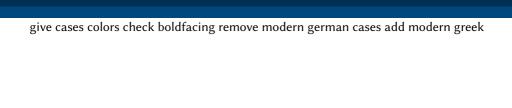
'I trust whoever Maria also likes'

Modern German — overview ACC — DAT

EXT INT	[NOM]	[ACC]	[DAT]
[NOM]	NOM	*	*
[ACC]	ACC	ACC	*
[DAT]	DAT	DAT	DAT

Polish as the matching type

Polish data and overview



References