

Algorithms II Cheat-Sheet

Notation

$A \in [10] \equiv A \in [1..10]$
 $\{a, b, c\}$ is a set of vertices
 $G\{a, b, c\}$ is a graph
 \vec{x} just represents a vector x

Big O

Notation	Intuitive meaning	Analogue
$f(n) \in O(g(n))$	f grows at most as fast as g	\leq
$f(n) \in \Omega(g(n))$	f grows at least as fast as g	\geq
$f(n) \in \Theta(g(n))$	f at the same rate as g	$=$
$f(n) \in o(g(n))$	f grows strictly less fast than g	$<$
$f(n) \in \omega(g(n))$	f grows strictly faster than g	$>$

Notation	Formal definition
$f(n) \in O(g(n))$	$\exists C, n_0: \forall n \geq n_0: f(n) \leq C \cdot g(n)$
$f(n) \in \Omega(g(n))$	$\exists c, n_0: \forall n \geq n_0: f(n) \geq c \cdot g(n)$
$f(n) \in \Theta(g(n))$	$\exists C, c, n_0: \forall n \geq n_0: c \cdot g(n) \leq f(n) \leq C \cdot g(n)$
$f(n) \in o(g(n))$	$\forall C: \exists n_0: \forall n \geq n_0: f(n) \leq C \cdot g(n)$
$f(n) \in \omega(g(n))$	$\forall c: \exists n_0: \forall n \geq n_0: f(n) \geq c \cdot g(n)$

Interval Scheduling

A **request** is a pair of integers (s, f) with $0 \leq s \leq f$.
 We call s the **start time** and f the **finish time**.

A set A of requests is **compatible** if for all distinct $(s, f), (s', f') \in A$, either $s' \geq f$ or $s \geq f'$ — that is, the requests' time intervals don't overlap.

Interval Scheduling Problem

Input: An array \mathcal{R} of n requests $(s_1, f_1), \dots, (s_n, f_n)$.

Desired Output: A compatible subset of \mathcal{R} of maximum possible size.

Algorithm: GREEDYSCHEDULE

Input: An array \mathcal{R} of n requests.

Output: A maximum compatible subset of \mathcal{R} .

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1 begin
2   Sort  $\mathcal{R}$ 's entries so that  $\mathcal{R} \leftarrow [(s_1, f_1), \dots, (s_n, f_n)]$  where  $f_1 \leq \dots \leq f_n$ .
3   Initialise  $A \leftarrow []$ , lastf  $\leftarrow 0$ .
4   foreach  $i \in \{1, \dots, n\}$  do
5     if  $s_i \geq \text{lastf}$  then
6       Append  $(s_i, f_i)$  to  $A$  and update lastf  $\leftarrow f_i$ .
7   Return  $A$ .
```

Complexity:

Step 2 takes $O(n \log n)$

Steps 3–6 all take $O(1)$ time and are executed at most n times.

$\therefore \text{totalrunningtime} = O(n \log n) + O(n)O(1) = O(n \log n)$.

Interval Scheduling

Formal GreedySchedule:

$A^+ := \text{argmin} \{f : (s, f) \in R, A \cup \{(s, f)\} \text{ is compatible}\}$ for all $A \subseteq R$,

$A_0 := \emptyset, \quad A_{i+1} := A_i \cup \{A_i^+\}$

$t := \max\{i: A_i \text{ is defined}\}$

Interval Scheduling Proofs

Lemma: Greedy Schedule always outputs A_t

Proof: By induction form the following loop invariant. At the start of the i 'th iteration of 4-7:

- A is equal to $A_t \cap \{(s_1, f_1), \dots, (s_{i-1}, f_{i-1})\}$
- lastf is equal to the latest finish time of any request in A (or 0 if $A = []$)

Lemma: A_t is a compatible set

Proof: Instant by induction; A_0 is compatible, and if A_i is compatible then so is $A_{i+1} = A_i \cup A_i^+$ by the definition of A_i^+

Lemma: A_t is a maximum compatible subset of the Array R (look in pseudocode)

Proof:

Base case for $i = 1$: A_0^+ is the fastest finishing request in R by definition

Inductive step: Suppose A_i finishes faster than B_i .

Let B_i^+ be the $(i+1)$ 'st fastest-finishing element of B . Since A_i finishes faster than B_i , $A_i \cup \{B_i^+\}$ is compatible. Hence by definition, A_i^+ exists and finishes no later than B_i^+

Theorem: GreedySchedule outputs A_t , which is a maximum compatible set.

Proof: putting all of the above proofs together, we prove the theorem.

Graph Theory

Graph: $G = (V, E)$

Edge: $E = E(G)$ is a set of edges contained in $\{\{u, v\} : u, v \in V, u \neq v\}$

Vertex: $V = V(G)$ is a set of vertices

Subgraph: $H = (V_H, E_H)$ of G is a graph with $V_H \subseteq V$ and $E_H \subseteq E$

Induced Subgraph: is a subgraph if $E_H = \{e \in E : e \subseteq V_H\}$

Component: H of G is a maximal connected induced subgraph of G .

Degree: $d(v) = |N(v)|$

Neighbourhood: $N(v) = \{w \in V : \{v, w\} \in E\}$

Walk: sequence of vertices $v_0 \dots v_k$ such that $\{v_i, v_{i+1}\} \in E$ for all $i \leq k-1$

Length: the value of k (see above walk definition)

Euler Walk: a walk that contains every edge in G exactly once.

Isomorphism: two graphs are isomorphic if there is a bijection $f: V_1 \rightarrow V_2$ such that $\{f(u), f(v)\} \in E_2$ if and only if $\{u, v\} \in E_1$

Path: is a walk in which no vertices repeat

Connected: A graph is connected if any two vertices are joined by a path

Digraph: is a pair $G = (V, E)$, V is a set of vertices and E is a set of edges contained in $\{(u, v) : u, v \in V, u \neq v\}$

Strongly connected: G is .. if for all $u, v \in V$, there is a path from u to v and a path from v to u .

Weakly connected:

In-Neighbourhood: $N^-(v) = \{u \in V(G) : (u, v) \in E(G)\}$

Out-Neighbourhood: $N^+(v) = \{w \in V(G) : (v, w) \in E(G)\}$

Cycle: is a walk $W = w_0 \dots w_k$ with $w_0 = w_k$ and $k \geq 3$, in which every vertex appears at most once except for w_0 and w_k (which appear twice)

Hamilton cycle: is a cycle containing every vertex in the graph

k-regular: a graph is .. if every vertex has degree k

Bijection:

Planar: a graph is planar if it can be drawn without any two edges overlapping.

Graph Theory

Theorem: If G has an Euler walk, then either:

- every vertex of G has even degree; or
- all but two vertices v_0 and v_k have even degree, and any euler walk must have v_0 and v_k as endpoints

Theorem: let $G = (V, E)$ be a digraph with no isolated vertices, and let $U, v \in V$. Then G has an Euler walk from u to v if and only if G is weakly connected and either:

- $u = v$ and every vertex of G has equal in- and out-degrees; order
- $u \neq v, d^+(u) = d^-(u) + 1, d^-(v) = d^+(v) + 1$ and every other vertex of G has equal in- and out-degrees

Dirac's Theorem: Let $n \geq 3$. Then any n -vertex graph G with minimum degree at least $\frac{n}{2}$ has a Hamilton cycle.

Handshake lemma: For any graph

$G = (V, E), \sum_{v \in V} d(v) = 2|E|$

Proof: All edges contain two vertices, and each vertex v is in $d(v)$ edges. Count the number of vertex-edge pairs: Let $X = \{(v, e) \in V \times E : v \in e\}$. Then $|X| = 2|E|$ and $|X| = \sum_{v \in V} d(v)$, so we're done.

Directed Handshake lemma: For any graph

$G = (V, E), \sum_{v \in V} d^+(v) = \sum_{v \in V} d^-(v) = 2|E|$

Proof: TODO. Instead of counting vertex-edge pairs, we count tail-edge pairs. Each edge has one tail so $|X| = |E|$

Trees

Forest: a graph with no cycles

Tree: a forest that is connected

Root: for $T = (V, E)$. Root $r \in V$ as follows. For all vertices $v \neq r$, let P_v be the unique path from r to v . Then direct each P_v from r to v .

Leaf: is a degree-1 vertex. Root cannot be a leaf.

Ancestor: u is an .. of v if u is on P_v

Parent: u is the .. of v if $u \in N^-(v)$

level: first .. L_0 of T is r , and $L_{i+1} = N^+(L_i)$.

depth: of T is $\max\{i: L_i \neq \emptyset\}$. Root doesn't count

Lemma 1: If $T = (V, E)$ is a tree, then any pair of vertices $u, v \in V$ is joined by a unique path uTv in T .

Lemma 2: Any n -vertex tree has $n-1$ edges

Lemma 3: Any n -vertex tree $T = (V, E)$ with $n \geq 2$ has at least 2 leaves

Tree Properties:

A: T is connected and has no cycles

B: T has $n-1$ edges and is connected

C: T has $n-1$ edges and has no cycles

D: T has a unique path between any pair of vertices

$A \implies B, C, D$

$A \iff B, C, D.$

Depth First Search

Graphs as data structures:

Adjacency Matrix:

Storing: $\Theta(|V|^2)$ space

Adjacency query: $\Theta(1)$ time

Neighbourhood query: $\Theta(|V|)$ time

Adjacency List:

$s \rightarrow b, a$

$a \rightarrow s, c$

Storing: $\Theta(|V| + |E|)$ space

Adjacency query: $\Theta(d^+(u))$ time

Neighbourhood query: $\Theta(d^+(u))$ time

DFS:

Input : Graph $G = (V, E)$, vertex $v \in V$.

Output : List of vertices in v 's component.

1 Number the vertices of G as v_1, \dots, v_n .

2 Let $\text{explored}[i] \leftarrow 0$ for all $i \in [n]$.

3 **Procedure** $\text{helper}(v_i)$

4 **if** $\text{explored}[i] = 0$ **then**

5 Set $\text{explored}[i] \leftarrow 1$.

6 **for** v_j adjacent to v_i **do**

7 **if** $\text{explored}[j] = 0$ **then**

8 Call $\text{helper}(v_j)$.

9 Call $\text{helper}(v)$.

10 Return $[v_i: \text{explored}[i] = 1]$ (in some order).

Complexity: In total there are $\sum_{v \in V} d(v) = O(|E|)$ calls to helper (each vertex only runs lines 5-7 once), and there is $O(1)$ time between calls. So the running time is $O(|V| + |E|)$.

Invariant: When helper is called, if $\text{explored}[i] = 1$ then $v_i \in V(C)$.

Claim: Every vertex in P is explored

Proof by induction: We prove x_1, \dots, x_i are explored for all $i \leq t$. x_1 is explored. If x_i is explored, then $\text{helper}(x_{i+1})$ will be called from $\text{helper}(x_i)$. so x_{i+1} will also be explored.

DFS Tree: a .. T of G is a rooted tree satisfying:

- $V(T)$ is the vertex set of a component of G ;
- If $\{x, y\} \in E(G)$, then x is an ancestor of y in T or vice versa.

Breadth First Search

Distance: The distance between x and y , $d(x, y)$, is the length in edges of a shortest path between x and y , or ∞ if no such path exists.

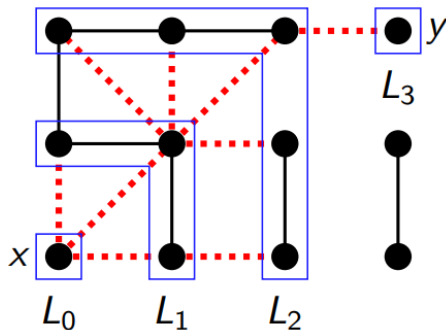
BFS:

Input : Graph $G = (V, E)$, vertex $v \in V$.

Output : $d(v, y)$ for all $y \in V$ and "a way of finding shortest paths".

- 1 Number the vertices of G as $v = v_1, \dots, v_n$.
- 2 Let $L[i] \leftarrow \infty$ for all $i \in [n]$.
- 3 Let $L[1] \leftarrow 0$, $\text{pred}[1] \leftarrow \text{None}$.
- 4 Let queue be a queue containing all tuples (v, v_j) with $\{v, v_j\} \in E$.
- 5 **while** queue *is not empty* **do**
- 6 Remove front tuple (v_i, v_j) from queue.
- 7 **if** $L[j] = \infty$ **then**
- 8 Add (v_j, v_k) to queue for all $\{v_j, v_k\} \in E, k \neq i$.
- 9 Set $L[j] \leftarrow L[i] + 1$, $\text{pred}[j] = i$.
- 10 **Return** L and pred .

Complexity: If G is in adjacency list form, each edge is added to queue at most twice, incurring $O(1)$ overhead each time, so the running time is $O(|V| + |E|)$.



BFS

explanation: BFS works by starting at a vertex and then adding all adjacent vertices to a queue. We then take the first vertex in the queue and look for a new set of adjacent vertices to add, repeating the process until we have reached our destination.

Dijkstra's Algorithm

Weighted Graph: is a pair (G, w) , where G is a graph and $w : E(G) \rightarrow \mathbb{R}$ is a **weight function**

Length: of a path/walk $P = x_1 \dots x_t$ is the total weight of P 's edges: $\text{length}(P) = \sum_{i=1}^{t-1} w(x_i, x_{i+1})$.

Distance: from x to y is the shortest length of any path/walk from x to y , or ∞ if they are in different components

Priority queue: each element has a priority, and the first element is the one with the lowest priority.

Dijkstra:

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Input : Weighted graph  $G = ((V, E), w)$ ,  $v \in V$ .
Output :  $d(v, y)$  for all  $y \in V$ .

1 Number the vertices of  $G$  as  $v = v_1, \dots, v_n$ .
2  $\text{queue} \leftarrow \text{StartQueue}(n)$ .
3 foreach  $i = 1$  to  $n$  do
4    $\text{dist}[i] \leftarrow \infty$  and call  $\text{queue.Insert}(v_i, \infty)$ .
5 Call  $\text{queue.ChangeKey}(v_1, 0)$ .
6 do
7    $\text{vert} \leftarrow \text{queue.Extract}()$ , say  $\text{vert} = v_i$ .
8   foreach  $(v_j, v_j) \in E$  do
9      $\text{dist}[j] \leftarrow \min\{\text{dist}[j], \text{dist}[i] + w(i, j)\}$ .
10    Call  $\text{queue.ChangeKey}(v_j, \text{dist}[j])$ .
11 while queue is not empty
12 Return  $\text{dist}$ .
  
```

Complexity: We perform $O(|V|)$ Insert operations and Extract operations, and $O(|E|)$ ChangeKey operations, for a total of $O((|V| + |E|)\log|V|)$ time when G is given in adjacency list form.

Dijkstra Operations:

- $\text{StartQueue}(n)$ returns a new priority queue of maximum length n .
- $\text{Insert}(x, p)$ inserts a new element x with priority p .
- $\text{Extract}()$ removes and returns the lowest-priority element.
- $\text{ChangeKey}(x, p)$ updates the priority of x to p .
- StartQueue takes $O(n)$ time, all other operations take $O(\log(n))$ time.

Matchings

Matching: A matching in a graph is a collection of disjoint edges. It is **perfect** if every vertex is contained in some matching edge.

Lemma: G is bipartite if and only if it has no odd-length cycle.

Proof of "only if": Suppose G has bipartition (A, B) and $C = v_1 \dots v_k$ is an odd cycle in G .

Wlog $v_1 \in A$. Since A has no edges, this means $v_2 \in B$. Continuing the argument, $v_i \in A$ if i is odd, and $v_i \in B$ if i is even. In particular, $v_k \in A$.

But v_1 and v_k are adjacent, so this is a contradiction. ✓

Bipartite Graph: A graph $G = (V, E)$ is bipartite if V can be partitioned into disjoint sets A and B which contain no edges

MaxMatching:

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1 begin
2   Find a bipartition  $(A, B)$  of  $G$ . Initialise  $M \leftarrow []$ .
3   repeat
4     Form the graph  $D_{G, M}$ .
5     Set  $P$  to be a path from  $U \cap A$  to  $U \cap B$  in  $D_{G, M}$  if one exists.
6     Otherwise, break.
7     Update  $M \leftarrow \text{Switch}(M, P)$ .
8   Return  $M$ .
  
```

Complexity:

- Steps 2, 4 and 6 can all be done in $O(|E|)$ time. (Exercise!)
- Step 5 can be done in $O(|E|)$ time using breadth-first search, if G is in adjacency-list form.
- Steps 4–6 repeat at most $|V|$ times.

So overall the running time is $O(|E||V|)$.

Berg's Lemma: M has no augmenting paths $\implies M$ is maximum.

Prim's Algorithm

Matching:

Matching:

Matching:

Matching:

Matching:

Matching:

Matching:

Matching:

Matching:

Linear Programming

Feasible: We say $\vec{x} \in \mathbb{R}^n$ is a feasible solution if $\vec{x} \geq \vec{0}$ and $A\vec{x} \leq \vec{b}$.

Optimal: We say \vec{x} is an optimal solution if $f(\vec{y}) \leq f(\vec{x})$ for all feasible $y \in \mathbb{R}^n$

Polytope: is a geometric object with flat sides

Corollary: There will always be an optimal solution

Non-Standard Form:

$-4x + 5y - z \rightarrow \max$ subject to

$x + y + z \leq 5;$

$x + y + z \geq 5;$

$x + 2y \geq 2;$

$x, z \geq 0.$

Standard Form:

$-4x + 5(y_1 - y_2) - z \rightarrow \max$ subject to

$x + (y_1 - y_2) + z \leq 5;$

$-x - (y_1 - y_2) - z \leq -5;$

$-x - 2(y_1 - y_2) \leq -2;$

$x, y_1, y_2, z \geq 0.$

Matrix Form:

$-4x + 5y_1 - 5y_2 - z \rightarrow \max$ subject to

$$\begin{pmatrix} 1 & 1 & -1 & 1 \\ -1 & -1 & 1 & -1 \\ -1 & -2 & 2 & 0 \end{pmatrix} \begin{pmatrix} x \\ y_1 \\ y_2 \\ z \end{pmatrix} \leq \begin{pmatrix} 5 \\ -5 \\ -2 \end{pmatrix};$$

$$x, y_1, y_2, z \geq 0.$$

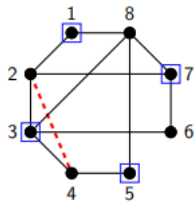
Simplex Method: Search greedily for a vertex of the feasible polytope which maximises the objective function

Worst case: hypercube which has $\Omega(2^n)$ vertices.

In practice it only need $\Theta(n)$ steps

Vertex Cover: in a graph G , is a set $X \subseteq V$ such that every edge in E has at least one vertex in X

We can express finding a minimum vertex cover as solving a linear program in which the solutions must be integers: an integer linear program.



$X = \{1, 3, 5, 7\}$ is **not** a vertex cover.

Here we have $x_1 = x_3 = x_5 = x_7 = 1$ and $x_2 = x_4 = x_6 = 0$.

The uncovered edge $\{2, 4\}$ corresponds to the constraint $x_2 + x_4 \geq 1$, which is violated.

$$\begin{aligned} \sum_v x_v &\rightarrow \min \text{ subject to} \\ x_u + x_v &\geq 1 \text{ for all } \{u, v\} \in E; \\ x_v &\leq 1 \text{ for all } v \in V; \\ x_v &\geq 0 \text{ for all } v \in V; \\ x_v &\in \mathbb{N} \text{ for all } v \in V. \end{aligned}$$

Flow Networks

Flow Network: consists of a directed graph $G = (V, E)$, a **capacity function** $c : E \rightarrow \mathbb{N}$, a **source** vertex $s \in V$ with $N^-(s) = \emptyset$, and a **sink** vertex $t \in V$ with $N^+(t) = \emptyset$

Flow: is a function in (G, c, s, t) $f : E \rightarrow \mathbb{R}$ with properties:

- No edge has more flow than capacity; formally, for all $e \in E$, $0 \leq f(e) \leq c(e)$
- Flow is conserved at vertices; flow in = flow out

Maximum Flow: a flow f maximising the value of the flow, $v(f)$

Cut: is any pair of disjoint edges $A, B \subseteq V$ with $A \cup B = V$, $s \in A$ and $t \in B$.

Lemma 1: For all sets $X \subseteq V \setminus \{s, t\}$, we have $f^+(X) = f^-(X)$. So flow is conserved in sets/cuts as well as vertices

Proof: By summing conservation of flow over all $v \in X$:

$\sum_{v \in X} \sum_{u \in N^-(v)} f(u, v) = \sum_{v \in X} \sum_{w \in N^+(v)} f(v, w)$. For all $e \subseteq X$, $f(e)$ appears once on each side; after cancelling those terms we're left with $f^+(X) = f^-(X)$.

Lemma 2: For all cuts (A, B) , $f^+(A) - f^-(A) = f^-(B) - f^+(B)$.

Proof: We have shown that $v(f) = f^+(A) - f^-(A)$ because A and B are disjoint and $A \cup B = V$.

Lemma 3: Push(G, c, s, t, f, P) returns a new flow f' , with value $v(f') = v(f) + C$ in $O(|V(G)|)$ time

Ford-Fulkerson:

Input : A (weakly connected) flow network (G, c, s, t) .

Output : A flow f with no augmenting paths.

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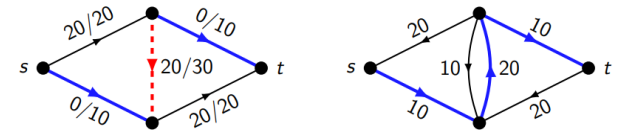
1 begin
2   Construct the flow  $f$  with  $f(e) = 0$  for all  $e \in E(G)$ .
3   Construct the residual graph  $G_f$ .
4   while  $G_f$  contains a path  $P$  from  $s$  to  $t$  do
5     Find  $P$  using depth-first (or breadth-first) search.
6     Update  $f \leftarrow \text{Push}(G, c, s, t, f, P)$ .
7     Update  $G_f$  on the edges of  $P$ .
8   Return  $f$ .
```

Complexity: Every step takes $O(|E|)$ time or $O(|V|)$ time, and since G is weakly connected we have $|V| = O(|E|)$. So the running time is $O(v(f^*)|E|)$.

Flow Networks

Residual graph: G_f of (G, c, s, t) on $V(G)$ as follows:

- if flow < capacity: then forward edge with value capacity-flow
- if flow > 0: add backward edge with value flow



Residual capacity of edge: $\max\{\text{capacity} - \text{flow}, \text{backward edge flow}\}$

Residual capacity of network: minimum residual capacity of it's edges

Augmenting Path:

Max-flow min-cut theorem: The value of a maximum flow is equal to the minimum capacity of a cut, i.e. the minimum value of $c^+(A)$ over all cuts (A, B) .

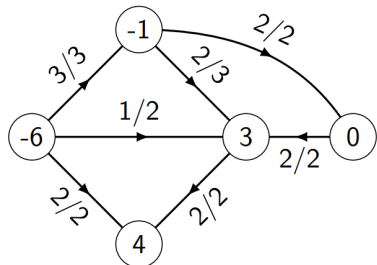
Proof: Let f be a maximum flow, and let (A, B) be a cut minimising $c^+(A)$. We already proved $v(f) \leq c^+(A)$. Moreover, there is no augmenting path for f , so exactly as before, there is a cut (A', B') with $c^+(A') = v(f)$; thus $v(f) \geq c^+(A)$. The result follows.

Special Flow Graphs

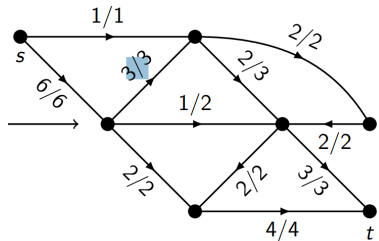
Circulation network: A circulation network (G, c, D) is a directed graph $G = (V, E)$, a capacity function $c : E \rightarrow \mathbb{N}$, and a **Demand function** $D : V \rightarrow \mathbb{Z}$.

Circulation: A circulation is a function $f : E \rightarrow \mathbb{R}$ with $0 \leq f(e) \leq c(e)$ for all $e \in E$, and $f^-(v) = f^+(v) = D(v)$ for all $v \in V$.

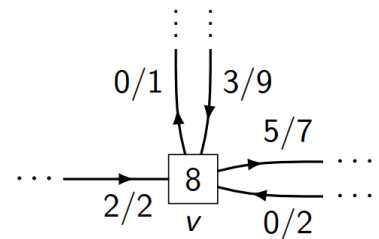
Demand Networks::



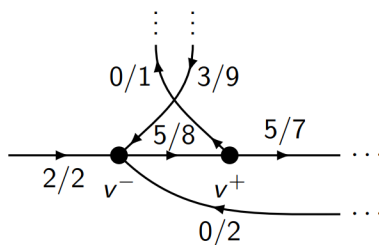
Transformed into normal flow graph:



Capacity flow:



Transformed into normal flow graph:



NP problems

NP: Formally, NP is the class of all decision problems X which have a polynomial-time algorithm **Verify** such that if and only if x is a Yes instance of X , then there is some bit string w (called a **witness**) with $\text{Verify}(x, w) = \text{Yes}$.

NP-hard: any problem in NP is Cook-reducible to it

NP-complete: is both NP-hard and in NP

CNF: And of Or clauses e.g. $(A \cup B) \cap (C \cup D)$

SAT problem: asks, "is this input satisfiable?". SAT is NP-complete.

Cook-levin Theorem: every problem in NP is reducible to SAT.

Cook reduction: from X to Y is an algorithm for problem X which, given an input of size s , runs in time $\text{poly}(s)$ while making $\text{poly}(s)$ calls to an oracle for Y whose input instances are all of size $\text{poly}(s)$.

Oracle: for Y is a black box which, given an instance of problem Y , outputs a valid solution in $O(1)$ time.

3-SAT: asks: is the input width-3 CNF formula satisfiable?

Theorem: 3-sat is np-complete

Proof:

C_i has width 2: Say $C_i = x \vee y$. Then we would like to replace C_i with $x \vee y \vee \text{False}$ in F' , since this is True if and only if $x \vee y = \text{True}$.

But False is not a literal... Can we add a new variable which is always False in any satisfying assignment? Yes! If we add this CNF to F :

$$F_2 = (\neg z_1 \vee z_2 \vee z_3) \wedge (\neg z_1 \vee z_2 \vee \neg z_3) \wedge (\neg z_1 \vee \neg z_2 \vee z_3) \wedge (\neg z_1 \vee \neg z_2 \vee \neg z_3)$$

then z_1 is forced to be False: No matter what value z_2 and z_3 take, their literals must both be False in one of the above OR clauses. ✓

If C_i has width 1: Say $C_i = \neg x$. Then we would like to replace C_i with $\neg x \vee \text{False} \vee \text{False}$... which we already know how to do!

We just need to introduce an extra copy of our always-False variable z_1 (since OR clauses can't contain two copies of the same literal). ✓

If C_i has width 3: We can just leave it as it is. ✓

If C_i has width $k \geq 4$: Say $C_i = \ell_1 \vee \dots \vee \ell_k$. We would like to replace

$$C_i \rightarrow (e_1 = \ell_1 \vee \ell_2) \wedge (e_2 = e_1 \vee \ell_3) \wedge \dots \wedge (e_{k-2} = e_{k-3} \vee \ell_{k-2}) \wedge (e_{k-2} \vee \ell_k),$$

as given the values of ℓ_1, \dots, ℓ_k , this is satisfiable if and only if $\ell_1 \vee \dots \vee \ell_k = \text{True}$. How do we implement the e_i 's? We have

$$(a = b \vee c) \text{ if and only if } (a \vee \neg b) \wedge (a \vee \neg c) \wedge (\neg a \vee b \vee c);$$

the first two clauses on the right enforce $a = \text{False} \Rightarrow b \vee c = \text{False}$, and the last enforces $b \vee c = \text{False} \Rightarrow a = \text{False}$. □

NP:

NP problems

Independent Set (IS): an independent set is a subset of V which contains no edges.

Decision problem example:

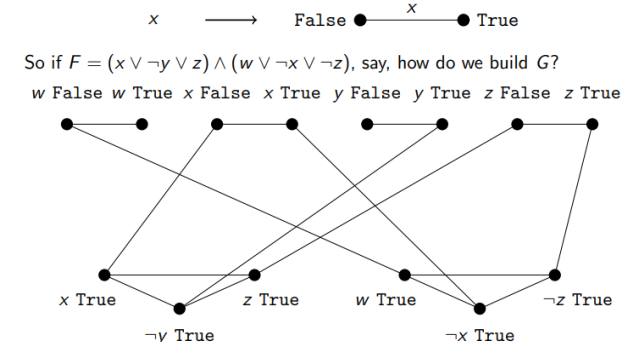
problem: what is the maximum independent set for graph G

Decision problem: Is there an independent set of size at least k for graph G

Theorem: IS is np-complete

Proof:

To simulate the variables of F , we want a gadget that can be in one of two states which will represent True and False...



Vertex Cover (VC):

Theorem: VC is NP-complete.

We can verify a set is a vertex cover in polynomial time, so $VC \in NP$. We'll prove NP-hardness by proving $IS \leq_c VC$.

This time though, we'll do it **non-constructively**, without gadgets.

Lemma: X is an independent set if and only if $V \setminus X$ is a vertex cover. (Because an edge intersects $V \setminus X$ if and only if it's **not** a subset of X .)

So G contains an independent set of size at **least** k if and only if G contains a vertex cover of size at **most** $|V| - k$.

Our reduction just passes the instance $(G, |V| - k)$ to our VC-oracle. □

$$SAT \leq_c 3\text{-SAT} \leq_c IS \leq_c VC \leq_c ILP$$

Complement: Given a decision problem X , we write \bar{X} for it's complement. Yes instances of X become No instances of \bar{X} and vice-versa.

Co-NP: We define Co-NP to be the set of decision problems whose complements are in NP, such as \overline{SAT}

Karp Reduction vs Cook reduction:

$X \leq_c Y$ means "X is no harder than Y".

$X \leq_K Y$ means "X is a special case of Y".

Dynamic Programming

Dynamic programming design steps:

step 1: come up with an exponential-time recursive algorithm for your problem by reducing it to multiple smaller versions of itself

step 2: arrange things so that most of the calls of your recursive algorithm are repeated, and use this to make it polynomial

step 3: rewrite the algorithm as an iterative one (table) note: how can you collapse the recursive algorithm?

tips:

Think of your problem as a sequence of choices/decisions

Recursively call program on each case that arises from the decision

Example:

```

Input : An array  $\mathcal{R}$  of  $n$  requests and a weight function  $w$ .
Output : A maximum-weight compatible subset of  $\mathcal{R}$ .
1 begin
2   if  $\mathcal{R} = \emptyset$  then
3     Return  $\emptyset$ .
4   else
5     Choose  $I \in \mathcal{R}$  arbitrarily.
6     Find the set  $X_I$  of intervals in  $\mathcal{R}$  incompatible with  $I$ .
7      $S_{\text{out}} \leftarrow \text{WIS}(\mathcal{R} \setminus \{I\}, w)$ .
8      $S_{\text{in}} \leftarrow \{I\} \cup \text{WIS}(\mathcal{R} \setminus X_I, w)$ .
9     if  $w(S_{\text{out}}) > w(S_{\text{in}})$  then
10      Return  $S_{\text{out}}$ .
11    else
12      Return  $S_{\text{in}}$ .

```

Memoise: every-time we do a call (maybe recursive), we store the result in a hashmap/ data structure

Why dijkstra doesn't work with negatives:
dijkstra works locally, therefore doesn't work with negative weights

Bellman-ford recursive:

```

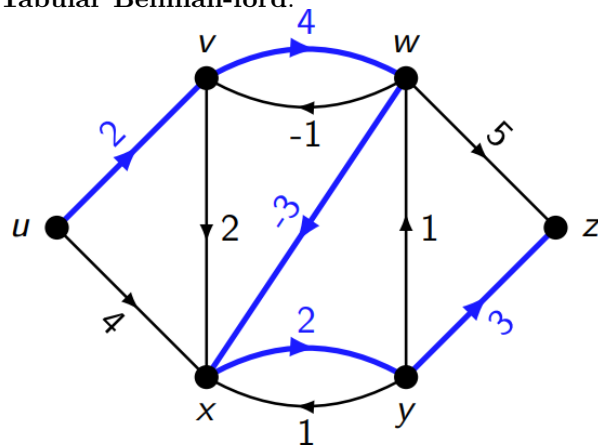
Input : A weighted digraph  $G = ((V, E), w)$  with no negative-weight cycles, two vertices  $s, t \in V(G)$ , and an integer  $k \geq 0$ .
Output : A shortest walk from  $s$  to  $t$  in  $G$  with at most  $k$  edges, or None if none exists.
1 begin
2   if  $k = 0$  then
3     Return the empty walk if  $s = t$ , and None otherwise.
4   Write  $N^+(s) = \{v_1, \dots, v_d\}$ , where  $d \geq 1$ .
5   Let  $P_i \leftarrow \text{GOODPATH}(G, v_i, t, k - 1)$  for all  $i \in [d]$ .
6   if  $P_i = \text{None}$  for all  $i \in [d]$  then
7     Return None.
8   Return whichever walk is shortest in  $\{sv_iP_i : i \in [d], P_i \neq \text{None}\}$ .

```

Complexity: $|V|^2$ calls, each call is $O(|V|)$.
 $\therefore O(|V|^3)$

Dynamic Programming 2

Tabular Bellman-ford:



$\begin{smallmatrix} s \\ k \end{smallmatrix}$	u	v	w	x	y	z
5	(uv, 8)	(vw, 6)	(wx, 2)	(xy, 5)	(yz, 3)	(z, 0)
4	(ux, 9)	(vw, 6)	(wx, 2)	(xy, 5)	(yz, 3)	(z, 0)
3	(ux, 9)	(vx, 7)	(wx, 2)	(xy, 5)	(yz, 3)	(z, 0)
2	(\emptyset , ∞)	(vw, 9)	(wz, 5)	(xy, 5)	(yz, 3)	(z, 0)
1	(\emptyset , ∞)	(\emptyset , ∞)	(wz, 5)	(\emptyset , ∞)	(yz, 3)	(z, 0)
0	(\emptyset , ∞)	(\emptyset , ∞)	(\emptyset , ∞)	(\emptyset , ∞)	(\emptyset , ∞)	(z, 0)