

# Algorithms II Cheat-Sheet

## Notation

$A \in [10] \equiv A \in [1..10]$   
 $\{a, b, c\}$  is a set of vertices  
 $G\{a, b, c\}$  is a graph  
 $\vec{x}$  just represents a vector  $x$

## Big O

Notation	Intuitive meaning	Analogue
$f(n) \in O(g(n))$	$f$ grows at most as fast as $g$	$\leq$
$f(n) \in \Omega(g(n))$	$f$ grows at least as fast as $g$	$\geq$
$f(n) \in \Theta(g(n))$	$f$ at the same rate as $g$	$=$
$f(n) \in o(g(n))$	$f$ grows strictly less fast than $g$	$<$
$f(n) \in \omega(g(n))$	$f$ grows strictly faster than $g$	$>$

Notation	Formal definition
$f(n) \in O(g(n))$	$\exists C, n_0: \forall n \geq n_0: f(n) \leq C \cdot g(n)$
$f(n) \in \Omega(g(n))$	$\exists c, n_0: \forall n \geq n_0: f(n) \geq c \cdot g(n)$
$f(n) \in \Theta(g(n))$	$\exists C, c, n_0: \forall n \geq n_0: c \cdot g(n) \leq f(n) \leq C \cdot g(n)$
$f(n) \in o(g(n))$	$\forall C: \exists n_0: \forall n \geq n_0: f(n) \leq C \cdot g(n)$
$f(n) \in \omega(g(n))$	$\forall c: \exists n_0: \forall n \geq n_0: f(n) \geq c \cdot g(n)$

## Interval Scheduling

A **request** is a pair of integers  $(s, f)$  with  $0 \leq s \leq f$ .  
 We call  $s$  the **start time** and  $f$  the **finish time**.

A set  $A$  of requests is **compatible** if for all distinct  $(s, f), (s', f') \in A$ , either  $s' \geq f$  or  $s \geq f'$  — that is, the requests' time intervals don't overlap.

### Interval Scheduling Problem

**Input:** An array  $\mathcal{R}$  of  $n$  requests  $(s_1, f_1), \dots, (s_n, f_n)$ .

**Desired Output:** A compatible subset of  $\mathcal{R}$  of maximum possible size.

**Algorithm:** GREEDYSCHEDULE

**Input:** An array  $\mathcal{R}$  of  $n$  requests.

**Output:** A maximum compatible subset of  $\mathcal{R}$ .

```

1 begin
2   Sort  $\mathcal{R}$ 's entries so that  $\mathcal{R} \leftarrow [(s_1, f_1), \dots, (s_n, f_n)]$  where  $f_1 \leq \dots \leq f_n$ .
3   Initialise  $A \leftarrow []$ ,  $\text{lastf} \leftarrow 0$ .
4   foreach  $i \in \{1, \dots, n\}$  do
5     if  $s_i \geq \text{lastf}$  then
6       Append  $(s_i, f_i)$  to  $A$  and update  $\text{lastf} \leftarrow f_i$ .
7   Return  $A$ .
```

### Complexity:

Step 2 takes  $O(n \log n)$

Steps 3–6 all take  $O(1)$  time and are executed at most  $n$  times.

$\therefore \text{totalrunningtime} = O(n \log n) + O(n)O(1) = O(n \log n)$ .

## Interval Scheduling

### Formal GreedySchedule:

$A^+ := \text{argmin} \{f : (s, f) \in R, A \cup \{(s, f)\} \text{ is compatible}\}$  for all  $A \subseteq R$ ,

$A_0 := \emptyset, \quad A_{i+1} := A_i \cup \{A_i^+\}$

$t := \max\{i: A_i \text{ is defined}\}$

### Interval Scheduling Proofs

**Lemma:** Greedy Schedule always outputs  $A_t$

**Proof:** By induction form the following loop invariant. At the start of the  $i$ 'th iteration of 4-7:

- $A$  is equal to  $A_t \cap \{(s_1, f_1), \dots, (s_{i-1}, f_{i-1})\}$
- $\text{lastf}$  is equal to the latest finish time of any request in  $A$  (or 0 if  $A = []$ )

**Lemma:**  $A_t$  is a compatible set

**Proof:** Instant by induction;  $A_0$  is compatible, and if  $A_i$  is compatible then so is  $A_{i+1} = A_i \cup A_i^+$  by the definition of  $A_i^+$

**Lemma:**  $A_t$  is a maximum compatible subset of the Array  $R$  (look in pseudocode)

**Proof:**

Base case for  $i = 1$ :  $A_0^+$  is the fastest finishing request in  $R$  by definition

Inductive step: Suppose  $A_i$  finishes faster than  $B_i$ .

Let  $B_i^+$  be the  $(i+1)$ 'st fastest-finishing element of  $B$ . Since  $A_i$  finishes faster than  $B_i$ ,  $A_i \cup \{B_i^+\}$  is compatible. Hence by definition,  $A_i^+$  exists and finishes no later than  $B_i^+$

**Theorem:** GreedySchedule outputs  $A_t$ , which is a maximum compatible set.

**Proof:** putting all of the above proofs together, we prove the theorem.

## Graph Theory

**Graph:**  $G = (V, E)$

**Edge:**  $E = E(G)$  is a set of edges contained in  $\{\{u, v\} : u, v \in V, u \neq v\}$

**Vertex:**  $V = V(G)$  is a set of vertices

**Subgraph:**  $H = (V_H, E_H)$  of  $G$  is a graph with  $V_H \subseteq V$  and  $E_H \subseteq E$

**Induced Subgraph:** is a subgraph if  $E_H = \{e \in E : e \subseteq V_H\}$

**Component:**  $H$  of  $G$  is a maximal connected induced subgraph of  $G$ .

**Degree:**  $d(v) = |N(v)|$

**Neighbourhood:**  $N(v) = \{w \in V : \{v, w\} \in E\}$

**Walk:** sequence of vertices  $v_0 \dots v_k$  such that  $\{v_i, v_{i+1}\} \in E$  for all  $i \leq k-1$

**Length:** the value of  $k$  (see above walk definition)

**Euler Walk:** a walk that contains every edge in  $G$  exactly once.

**Isomorphism:** two graphs are isomorphic if there is a bijection  $f: V_1 \rightarrow V_2$  such that  $\{f(u), f(v)\} \in E_2$  if and only if  $\{u, v\} \in E_1$

**Path:** is a walk in which no vertices repeat

**Connected:** A graph is connected if any two vertices are joined by a path

**Digraph:** is a pair  $G = (V, E)$ ,  $V$  is a set of vertices and  $E$  is a set of edges contained in  $\{(u, v) : u, v \in V, u \neq v\}$

**Strongly connected:**  $G$  is .. if for all  $u, v \in V$ , there is a path from  $u$  to  $v$  and a path from  $v$  to  $u$ .

**Weakly connected:**

**In-Neighbourhood:**  $N^-(v) = \{u \in V(G) : (u, v) \in E(G)\}$

**Out-Neighbourhood:**  $N^+(v) = \{w \in V(G) : (v, w) \in E(G)\}$

**Cycle:** is a walk  $W = w_0 \dots w_k$  with  $w_0 = w_k$  and  $k \geq 3$ , in which every vertex appears at most once except for  $w_0$  and  $w_k$  (which appear twice)

**Hamilton cycle:** is a cycle containing every vertex in the graph

**k-regular:** a graph is .. if every vertex has degree  $k$

**Bijection:**

## Graph Theory

**Theorem:** If  $G$  has an Euler walk, then either:

- every vertex of  $G$  has even degree; or
- all but two vertices  $v_0$  and  $v_k$  have even degree, and any euler walk must have  $v_0$  and  $v_k$  as endpoints

**Theorem:** let  $G = (V, E)$  be a digraph with no isolated vertices, and let  $U, v \in V$ . Then  $G$  has an Euler walk from  $u$  to  $v$  if and only if  $G$  is weakly connected and either:

- $u = v$  and every vertex of  $G$  has equal in- and out-degrees; order
- $u \neq v, d^+(u) = d^-(u) + 1, d^-(v) = d^+(v) + 1$  and every other vertex of  $G$  has equal in- and out-degrees

**Dirac's Theorem:** Let  $n \geq 3$ . Then any  $n$ -vertex graph  $G$  with minimum degree at least  $\frac{n}{2}$  has a Hamilton cycle.

**Handshake lemma:** For any graph

$G = (V, E), \sum_{v \in V} d(v) = 2|E|$

**Proof:** All edges contain two vertices, and each vertex  $v$  is in  $d(v)$  edges. Count the number of vertex-edge pairs: Let  $X = \{(v, e) \in V \times E : v \in e\}$ . Then  $|X| = 2|E|$  and  $|X| = \sum_{v \in V} d(v)$ , so we're done.

**Directed Handshake lemma:** For any graph

$G = (V, E), \sum_{v \in V} d^+(v) = \sum_{v \in V} d^-(v) = 2|E|$

**Proof:** TODO. Instead of counting vertex-edge pairs, we count tail-edge pairs. Each edge has one tail so  $|X| = |E|$

## Trees

**Forest:** a graph with no cycles

**Tree:** a forest that is connected

**Root:** for  $T = (V, E)$ . Root  $r \in V$  as follows. For all vertices  $v \neq r$ , let  $P_v$  be the unique path from  $r$  to  $v$ . Then direct each  $P_v$  from  $r$  to  $v$ .

**Leaf:** is a degree-1 vertex. Root cannot be a leaf.

**Ancestor:**  $u$  is an .. of  $v$  if  $u$  is on  $P_v$

**Parent:**  $u$  is the .. of  $v$  if  $u \in N^-(v)$

**level:** first ..  $L_0$  of  $T$  is  $r$ , and  $L_{i+1} = N^+(L_i)$ .

**depth:** of  $T$  is  $\max\{i: L_i \neq \emptyset\}$ . Root doesn't count

**Lemma 1:** If  $T = (V, E)$  is a tree, then any pair of vertices  $u, v \in V$  is joined by a unique path  $uTv$  in  $T$ .

**Lemma 2:** Any  $n$ -vertex tree has  $n-1$  edges

**Lemma 3:** Any  $n$ -vertex tree  $T = (V, E)$  with  $n \geq 2$  has at least 2 leaves

**Tree Properties:**

**A:**  $T$  is connected and has no cycles

**B:**  $T$  has  $n-1$  edges and is connected

**C:**  $T$  has  $n-1$  edges and has no cycles

**D:**  $T$  has a unique path between any pair of vertices

$A \implies B, C, D$

$A \iff B, C, D.$

## Depth First Search

**Graphs as data structures:**

**Adjacency Matrix:**

Storing:  $\Theta(|V|^2)$  space

Adjacency query:  $\Theta(1)$  time

Neighbourhood query:  $\Theta(|V|)$  time

**Adjacency List:**

$s \rightarrow b, a$

$a \rightarrow s, c$

Storing:  $\Theta(|V| + |E|)$  space

Adjacency query:  $\Theta(d^+(u))$  time

Neighbourhood query:  $\Theta(d^+(u))$  time

**DFS:**

**Input** : Graph  $G = (V, E)$ , vertex  $v \in V$ .

**Output** : List of vertices in  $v$ 's component.

1 Number the vertices of  $G$  as  $v_1, \dots, v_n$ .

2 Let  $\text{explored}[i] \leftarrow 0$  for all  $i \in [n]$ .

3 **Procedure**  $\text{helper}(v_i)$

4     **if**  $\text{explored}[i] = 0$  **then**

5         Set  $\text{explored}[i] \leftarrow 1$ .

6         **for**  $v_j$  adjacent to  $v_i$  **do**

7             **if**  $\text{explored}[j] = 0$  **then**

8                 Call  $\text{helper}(v_j)$ .

9 Call  $\text{helper}(v)$ .

10 Return  $[v_i: \text{explored}[i] = 1]$  (in some order).

**Complexity:** In total there are  $\sum_{v \in V} d(v) = O(|E|)$  calls to  $\text{helper}$  (each vertex only runs lines 5-7 once), and there is  $O(1)$  time between calls. So the running time is  $O(|V| + |E|)$ .

**Invariant:** When  $\text{helper}$  is called, if  $\text{explored}[i] = 1$  then  $v_i \in V(C)$ .

**Claim:** Every vertex in  $P$  is explored

**Proof by induction:** We prove  $x_1, \dots, x_i$  are explored for all  $i \leq t$ .  $x_1$  is explored. If  $x_i$  is explored, then  $\text{helper}(x_{i+1})$  will be called from  $\text{helper}(x_i)$ . so  $x_{i+1}$  will also be explored.

**DFS Tree:** a ..  $T$  of  $G$  is a rooted tree satisfying:

- $V(T)$  is the vertex set of a component of  $G$ ;
- If  $\{x, y\} \in E(G)$ , then  $x$  is an ancestor of  $y$  in  $T$  or vice versa.

## Breadth First Search

**Distance:** The distance between  $x$  and  $y$ ,  $d(x, y)$ , is the length in edges of a shortest path between  $x$  and  $y$ , or  $\infty$  if no such path exists.

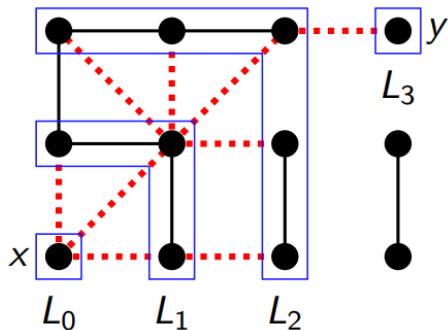
**BFS:**

**Input** : Graph  $G = (V, E)$ , vertex  $v \in V$ .

**Output** :  $d(v, y)$  for all  $y \in V$  and "a way of finding shortest paths".

- 1 Number the vertices of  $G$  as  $v = v_1, \dots, v_n$ .
- 2 Let  $L[i] \leftarrow \infty$  for all  $i \in [n]$ .
- 3 Let  $L[1] \leftarrow 0$ ,  $\text{pred}[1] \leftarrow \text{None}$ .
- 4 Let queue be a queue containing all tuples  $(v, v_j)$  with  $\{v, v_j\} \in E$ .
- 5 **while** queue *is not empty* **do**
- 6     Remove front tuple  $(v_i, v_j)$  from queue.
- 7     **if**  $L[j] = \infty$  **then**
- 8         Add  $(v_j, v_k)$  to queue for all  $\{v_j, v_k\} \in E, k \neq i$ .
- 9         Set  $L[j] \leftarrow L[i] + 1$ ,  $\text{pred}[j] = i$ .
- 10 **Return**  $L$  and  $\text{pred}$ .

**Complexity:** If  $G$  is in adjacency list form, each edge is added to queue at most twice, incurring  $O(1)$  overhead each time, so the running time is  $O(|V| + |E|)$ .



**BFS**

**explanation:** BFS works by starting at a vertex and then adding all adjacent vertices to a queue. We then take the first vertex in the queue and look for a new set of adjacent vertices to add, repeating the process until we have reached our destination.

## Dijkstra's Algorithm

**Weighted Graph:** is a pair  $(G, w)$ , where  $G$  is a graph and  $w : E(G) \rightarrow \mathbb{R}$  is a **weight function**

**Length:** of a path/walk  $P = x_1 \dots x_t$  is the total weight of  $P$ 's edges:  $\text{length}(P) = \sum_{i=1}^{t-1} w(x_i, x_{i+1})$ .

**Distance:** from  $x$  to  $y$  is the shortest length of any path/walk from  $x$  to  $y$ , or  $\infty$  if they are in different components

**Priority queue:** each element has a priority, and the first element is the one with the lowest priority.

**Dijkstra:**

**Input** : Weighted graph  $G = ((V, E), w)$ ,  $v \in V$ .  
**Output** :  $d(v, y)$  for all  $y \in V$ .

- 1 Number the vertices of  $G$  as  $v = v_1, \dots, v_n$ .
- 2  $\text{queue} \leftarrow \text{StartQueue}(n)$ .
- 3 **foreach**  $i = 1$  to  $n$  **do**
- 4      $\text{dist}[i] \leftarrow \infty$  and call  $\text{queue.Insert}(v_i, \infty)$ .
- 5 Call  $\text{queue.ChangeKey}(v_1, 0)$ .
- 6 **do**
- 7      $\text{vert} \leftarrow \text{queue.Extract}()$ , say  $\text{vert} = v_i$ .
- 8     **foreach**  $(v_j, v_j) \in E$  **do**
- 9          $\text{dist}[j] \leftarrow \min\{\text{dist}[j], \text{dist}[i] + w(i, j)\}$ .
- 10         Call  $\text{queue.ChangeKey}(v_j, \text{dist}[j])$ .
- 11 **while** queue *is not empty*
- 12 **Return**  $\text{dist}$ .

**Complexity:** We perform  $O(|V|)$  Insert operations and Extract operations, and  $O(|E|)$  ChangeKey operations, for a total of  $O((|V| + |E|)\log|V|)$  time when  $G$  is given in adjacency list form.

**Dijkstra Operations:**

- $\text{StartQueue}(n)$  returns a new priority queue of maximum length  $n$ .
- $\text{Insert}(x, p)$  inserts a new element  $x$  with priority  $p$ .
- $\text{Extract}()$  removes and returns the lowest-priority element.
- $\text{ChangeKey}(x, p)$  updates the priority of  $x$  to  $p$ .
- $\text{StartQueue}$  takes  $O(n)$  time, all other operations take  $O(\log(n))$  time.

## Linear Programming

**Feasible:** We say  $\vec{x} \in \mathbb{R}^n$  is a feasible solution if  $\vec{x} \geq \vec{0}$  and  $A\vec{x} \leq \vec{b}$ .

**Optimal:** We say  $\vec{x}$  is an optimal solution if  $f(\vec{y}) \leq f(\vec{x})$  for all feasible  $y \in \mathbb{R}^n$

**Polytope:** is a geometric object with flat sides

**Corollary:** There will always be an optimal solution

**Non-Standard Form:**

$-4x + 5y - z \rightarrow \max$  subject to

$x + y + z \leq 5;$

$x + y + z \geq 5;$

$x + 2y \geq 2;$

$x, z \geq 0.$

**Standard Form:**

$-4x + 5(y_1 - y_2) - z \rightarrow \max$  subject to

$x + (y_1 - y_2) + z \leq 5;$

$-x - (y_1 - y_2) - z \leq -5;$

$-x - 2(y_1 - y_2) \leq -2;$

$x, y_1, y_2, z \geq 0.$

**Matrix Form:**

$-4x + 5y_1 - 5y_2 - z \rightarrow \max$  subject to

$$\begin{pmatrix} 1 & 1 & -1 & 1 \\ -1 & -1 & 1 & -1 \\ -1 & -2 & 2 & 0 \end{pmatrix} \begin{pmatrix} x \\ y_1 \\ y_2 \\ z \end{pmatrix} \leq \begin{pmatrix} 5 \\ -5 \\ -2 \end{pmatrix};$$

$x, y_1, y_2, z \geq 0.$

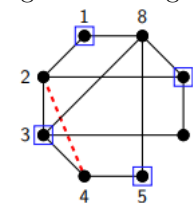
**Simplex Method:** Search greedily for a vertex of the feasible polytope which maximises the objective function

Worst case: hypercube which has  $\Omega(2^n)$  vertices.

In practice it only need  $\Theta(n)$  steps

**Vertex Cover:** in a graph  $G$ , is a set  $X \subseteq V$  such that every edge in  $E$  has at least one vertex in  $X$

We can express finding a minimum vertex cover as solving a linear program in which the solutions must be integers: an integer linear program.



$$\sum_v x_v \rightarrow \min \text{ subject to}$$

$$x_u + x_v \geq 1 \text{ for all } \{u, v\} \in E;$$

$$x_v \leq 1 \text{ for all } v \in V;$$

$$x_v \geq 0 \text{ for all } v \in V;$$

$$x_v \in \mathbb{N} \text{ for all } v \in V.$$

$X = \{1, 3, 5, 7\}$  is **not** a vertex cover.

Here we have  $x_1 = x_3 = x_5 = x_7 = 1$  and  $x_0 = x_2 = x_4 = x_6 = 0$ .

The uncovered edge  $\{2, 4\}$  corresponds to the constraint  $x_2 + x_4 \geq 1$ , which is violated.

## Flow Networks

**Flow Network:** consists of a directed graph  $G = (V, E)$ , a **capacity function**  $c : E \rightarrow \mathbb{N}$ , a **source** vertex  $s \in V$  with  $N^-(s) = \emptyset$ , and a **sink** vertex  $t \in V$  with  $N^+(t) = \emptyset$

**Flow:** is a function in  $(G, c, s, t)$   $f : E \rightarrow \mathbb{R}$  with properties:

- No edge has more flow than capacity; formally, for all  $e \in E$ ,  $0 \leq f(e) \leq c(e)$
- Flow is conserved at vertices; flow in = flow out

**Maximum Flow:** a flow  $f$  maximising the value of the flow,  $v(f)$

**Cut:** is any pair of disjoint edges  $A, B \subseteq V$  with  $A \cup B = V$ ,  $s \in A$  and  $t \in B$ .

**Lemma 1:** For all sets  $X \subseteq V \setminus \{s, t\}$ , we have  $f^+(X) = f^-(X)$ . So flow is conserved in sets/cuts as well as vertices

**Proof:** By summing conservation of flow over all  $v \in X$ :

$\sum_{v \in X} \sum_{u \in N^-(v)} f(u, v) = \sum_{v \in X} \sum_{w \in N^+(v)} f(v, w)$ .  
For all  $e \subseteq X$ ,  $f(e)$  appears once on each side; after cancelling those terms we're left with  $f^+(X) = f^-(X)$ .

**Lemma 2:** For all cuts  $(A, B)$ ,  $f^+(A) - f^-(A) = f^-(B) - f^+(B)$ .

**Proof:** We have shown that  $v(f) = f^+(A) - f^-(A)$  because  $A$  and  $B$  are disjoint and  $A \cup B = V$ .

**Lemma 3:**  $\text{Push}(G, c, s, t, f, P)$  returns a new flow  $f'$ , with value  $v(f') = v(f) + C$  in  $O(|V(G)|)$  time

**Ford-Fulkerson:**

**Input** : A (weakly connected) flow network  $(G, c, s, t)$ .

**Output** : A flow  $f$  with no augmenting paths.

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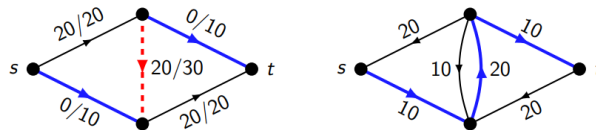
1 begin
2   Construct the flow  $f$  with  $f(e) = 0$  for all  $e \in E(G)$ .
3   Construct the residual graph  $G_f$ .
4   while  $G_f$  contains a path  $P$  from  $s$  to  $t$  do
5     Find  $P$  using depth-first (or breadth-first) search.
6     Update  $f \leftarrow \text{Push}(G, c, s, t, f, P)$ .
7     Update  $G_f$  on the edges of  $P$ .
8   Return  $f$ .
```

**Complexity:** Every step takes  $O(|E|)$  time or  $O(|V|)$  time, and since  $G$  is weakly connected we have  $|V| = O(|E|)$ . So the running time is  $O(v(f^*)|E|)$ .

## Flow Networks

**Residual graph:**  $G_f$  of  $(G, c, s, t)$  on  $V(G)$  as follows:

- if flow < capacity: then forward edge with value capacity-flow
- if flow > 0: add backward edge with value flow



**Residual capacity of edge:**  $\max\{\text{capacity} - \text{flow}, \text{backward edge flow}\}$

**Residual capacity of network:** minimum residual capacity of it's edges

**Augmenting Path:**

**Max-flow min-cut theorem:** The value of a maximum flow is equal to the minimum capacity of a cut, i.e. the minimum value of  $c^+(A)$  over all cuts  $(A, B)$ .

**Proof:** Let  $f$  be a maximum flow, and let  $(A, B)$  be a cut minimising  $c^+(A)$ . We already proved  $v(f) \leq c^+(A)$ . Moreover, there is no augmenting path for  $f$ , so exactly as before, there is a cut  $(A', B')$  with  $c^+(A') = v(f)$ ; thus  $v(f) \geq c^+(A)$ . The result follows.