# Cluster Analysis: Identifying Parkinson's Disease Subtypes

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## 1 Preprocessing

### 1.1 Dataset Description

951 subjects, 145 metrics, collected 15-4-2012 from Pablo Martinez in. Only 19 features used for clustering and/or interpretation. 50 subjects with missing values of the features to be used in clustering (brought down to 901). Imputation may be a good idea later on.

#### 1.2 Selected Features

Combination of non-motor scale (NMS) symptoms and standard motor symptoms.

Name	Type	Format	Description
nms_d1	byte	%8.0g	cardiovascular
$nms_d2$	byte	%8.0g	sleep/fatigue
$nms_d3$	byte	%8.0g	mood/cognition
$nms_d4$	byte	%8.0g	percep/hallucinations
$nms_d5$	byte	%8.0g	attention/memory
$nms_d6$	byte	%8.0g	gastrointestinal
$\mathrm{nms}_{-}\mathrm{d}7$	byte	%8.0g	urinary
$nms_d8$	byte	%8.0g	sexual function
$nms_d9$	byte	%8.0g	miscellaneous
tremor	float	%9.0g	tremor
bradykin	float	%9.0g	bradykinesia <sup>1</sup>
rigidity	float	%9.0g	rigidity
axial	float	%9.0g	$  $ axial $ ^2$
$\operatorname{pigd}$	float	%9.0g	postural instability and gait difficulty

Table 1: Selected Features and Details

<sup>&</sup>lt;sup>1</sup>Impaired ability to adjust the body's position.

<sup>&</sup>lt;sup>2</sup>Issues affecting the middle of the body.

Name	$\mid \mu \mid$	$\sigma$	min-max
$nms_{-}d1$	1.73	3.35	0-24
$nms_d2$	8.75	8.70	0-48
$\mathrm{nms}_{-}\mathrm{d}3$	8.68	11.55	0-60
$\mathrm{nms}_{-}\mathrm{d}4$	1.64	3.86	0-33
$\mathrm{nms}_{-}\mathrm{d}5$	5.42	7.43	0-36
$\mathrm{nms\_d6}$	5.53	6.79	0-36
$\mathrm{nms}_{-}\mathrm{d}7$	8.08	8.94	0-36
$\mathrm{nms}_{-}\mathrm{d}8$	3.52	5.97	0-24
$nms_{-}d9$	7.13	7.79	0-48
tremor	2.59	2.58	0-12
bradykin	2.40	1.41	0-6
rigidity	2.24	1.36	0-6
axial	3.25	2.68	0-12
$\operatorname{pigd}$	3.31	2.71	0-12

Table 2: Descriptive Statistics

## 1.3 Dimensionality Reduction: PCA

May not be useful? If we're trying to identify *clinically* relevant features, merging them may not be a good idea. Regardless, Figure 1 shows results of preliminary PCA.

Figure 2 shows scree test elbow occurs around 2 or 2 or .4 Also, eigenvalues 1-5>1.

# Individuals factor map (PCA Variables factor map (PCA

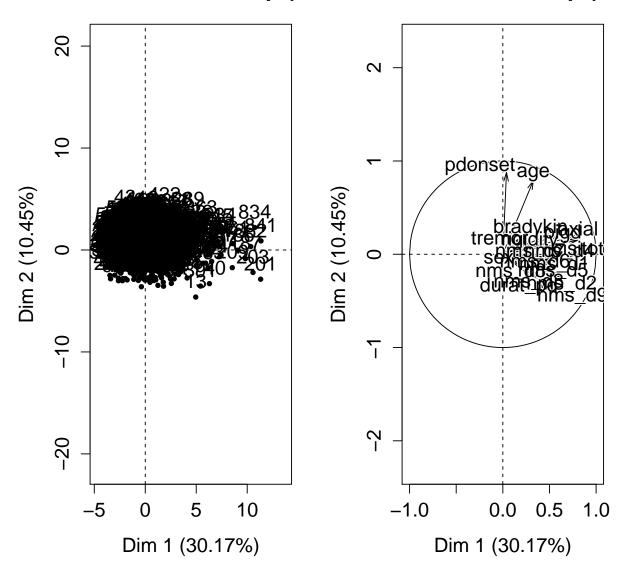


Figure 1: PCA Analysis

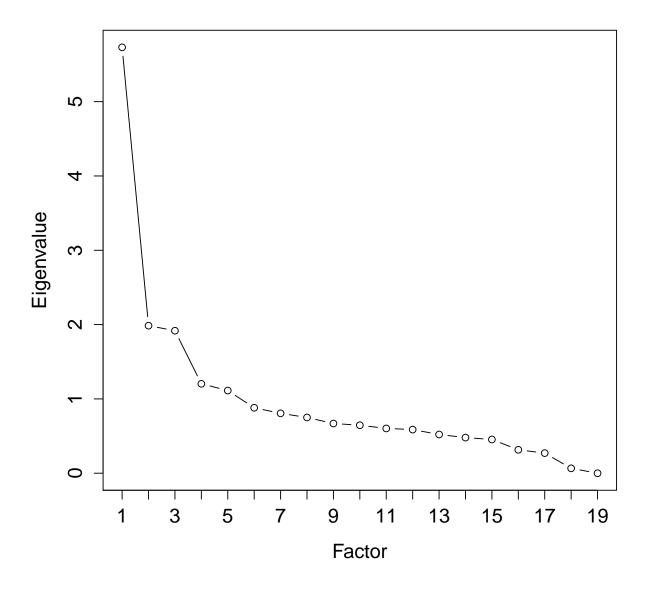


Figure 2: Scree test: eigenvalues by factor

## 2 k-means

### 2.1 Identifying optimal number of clusters

#### 2.1.1 WSS Error Scree Test

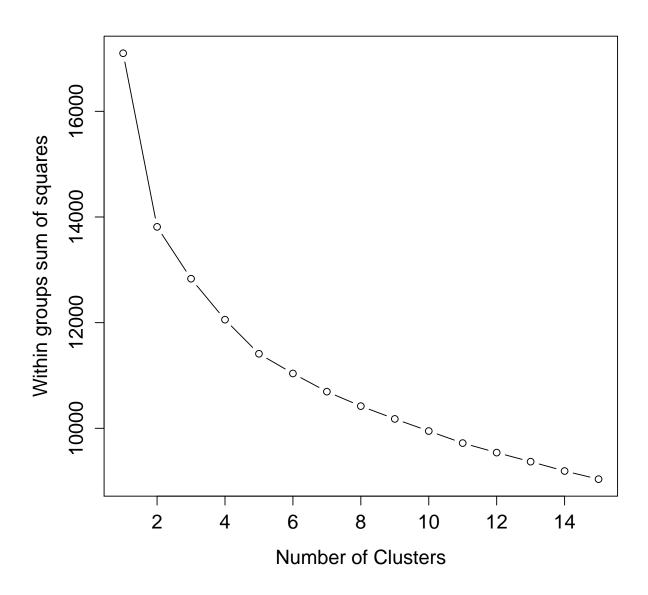


Figure 3: Scree test: WSS error by cluster size

Figure 3 shows no optimal elbow in scree test! Maybe 2-3?

### 2.1.2 Gap Statistic

Optimal cluster is the local maximum of the gap statistic, but it appears to be consistently increasing in Figure 4.

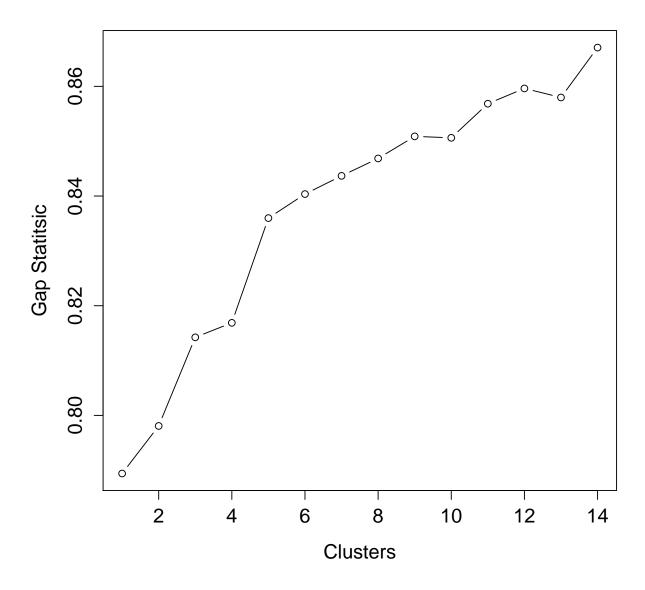


Figure 4: Gap statistic by cluster size

#### 2.1.3 Average Silhouette Width

Figure 5 shows average silhouette width as being consistently under 0.25 for all clusters, implying the data is not well structured.

#### 2.2 Cluster statistics

k	n	Within SS	sum(Within SS)
2	229/672	6118/7695	13813
3	333/134/434	4669/40009/4154	12832
4	79/394/275/153	2367/3357/3454/2880	12057

Table 3: Cluster statistics

### 2.3 Silhouette plots

Available in Figures 6, 7, and 8. Note: constructed with standardized z-score data.

#### 2.4 Decision trees based on clusters

k	$\mathbb{C}\mathrm{P}^3$	CV Xerror <sup>4</sup>	Root Feature	Root Error	Figure
2	0.0218	0.113	$axial \ge 4.5$	0.254	Figure 9
3	0.0107	0.191	$pigd \ge 2.5$	0.518	Figure 10
4	0.0100	0.255	pigd < 2.5	0.563	Figure 11

Table 4: k-kmeans decision trees statistics

### 2.5 Interpretation of Clusters

#### 2.5.1 Cluster summaries

Available in Figures 12, 13, and 14. Error bar is standard error.

### 2.5.2 Interpretation

k=2 seems too basic. Cluster is organized solely by severity - all symptoms, including motor and nonmotor, are higher in severity in cluster 1, and lower in cluster 2. Quite consistently, groups in cluster 1 are generally of slightly higher age and pd duration.

k=3 seems like a further development of k=2, where clusters are simply organized by linearly increasing severity.

k = 4 is where it gets interesting.

 $<sup>^3</sup>$ Complexity Parameter

<sup>&</sup>lt;sup>4</sup>10-fold cross validation

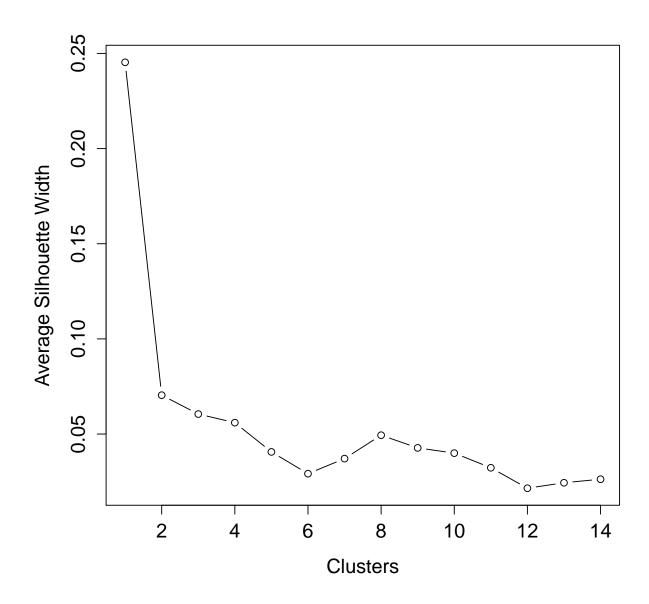
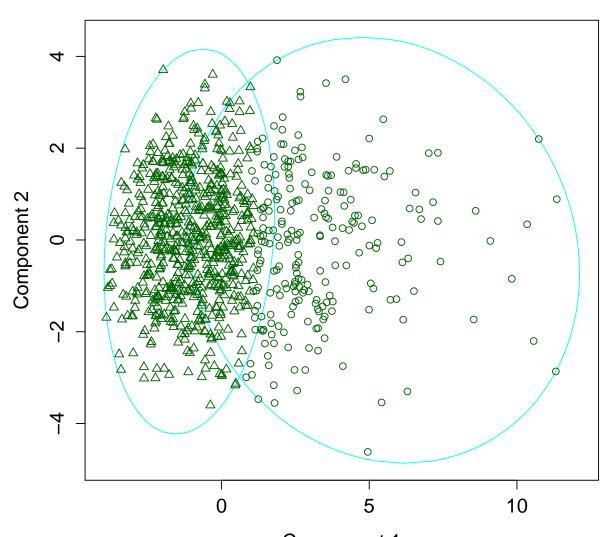


Figure 5: Average silhouette width by cluster size

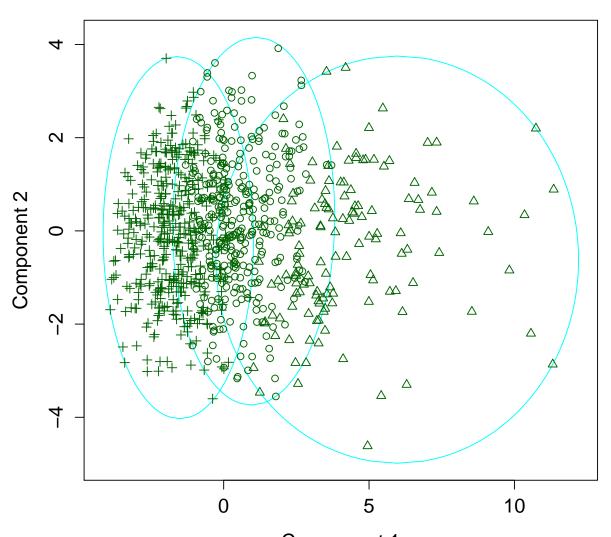
# Silhouette plot k = 4



Component 1
These two components explain 40.62 % of the point variability.

Figure 6: k-means cluster silhouette plot, k=2

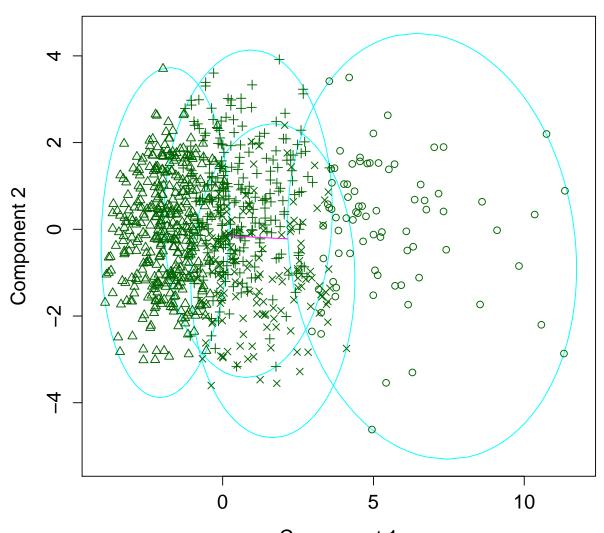
# Silhouette plot k = 4



Component 1
These two components explain 40.62 % of the point variability.

Figure 7: k-means cluster silhouette plot, k=3

# Silhouette plot k = 4



Component 1
These two components explain 40.62 % of the point variability.

Figure 8: k-means cluster silhouette plot, k=4

# **UNSCALED Pruned Tree, 2 clusters**

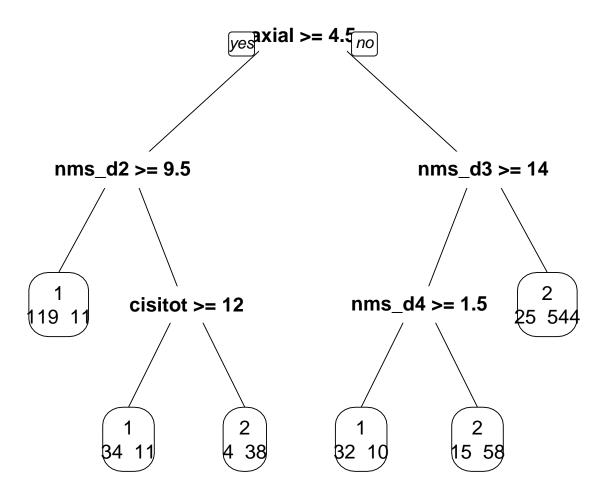


Figure 9: Decision Tree from k-means clustering, 2 clusters

# **UNSCALED Pruned Tree, 3 clusters**

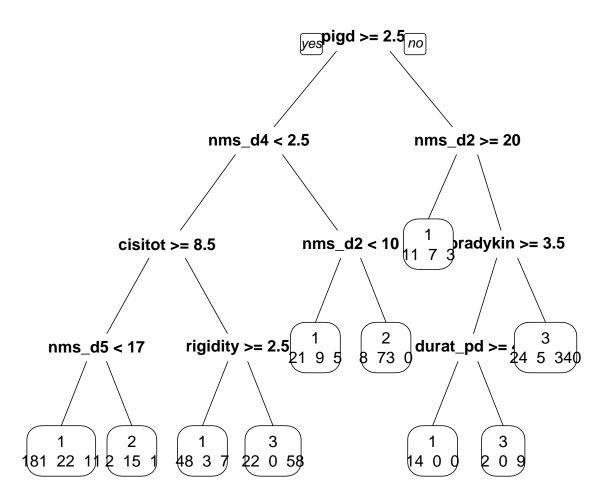


Figure 10: Decision Tree from k-means clustering, 3 clusters

### **UNSCALED Pruned Tree, 4 clusters**

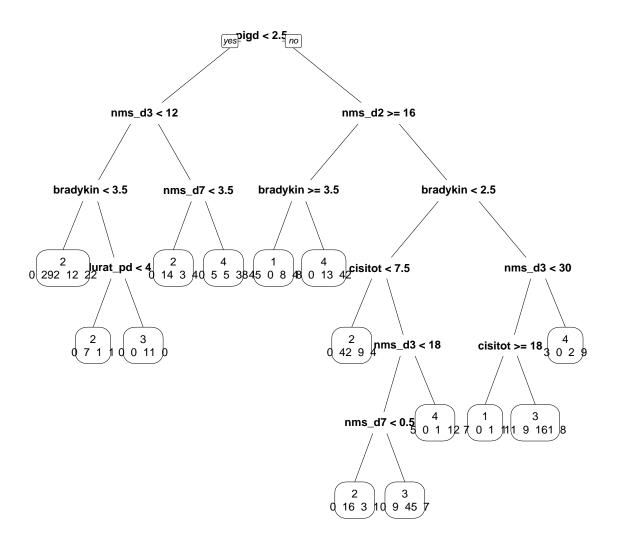


Figure 11: Decision Tree from k-means clustering, 4 clusters

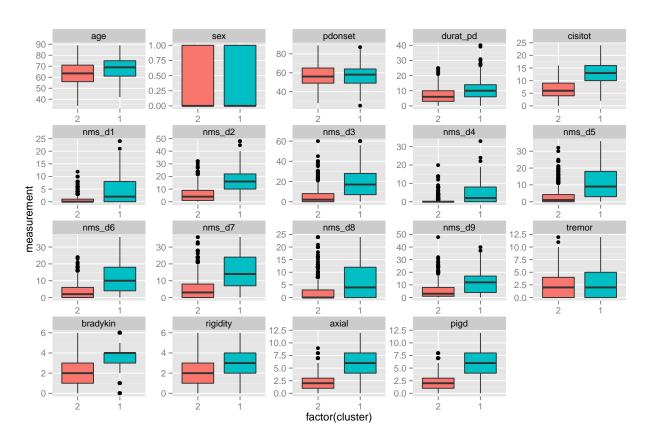


Figure 12: Cluster Summaries, k=2

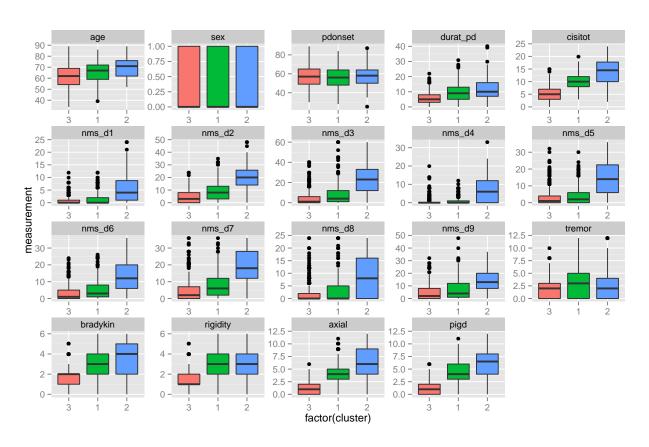


Figure 13: Cluster Summaries, k=3

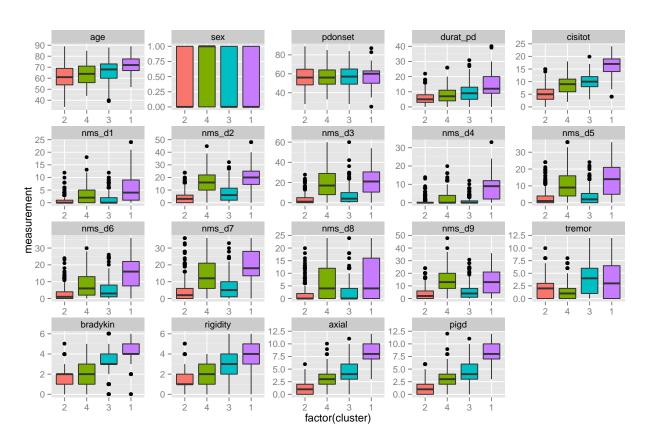


Figure 14: Cluster Summaries, k=4

## 3 Affinity Propagation

### 3.1 Clustering

Package apcluster was used. Distance matrix was the negative euclidean squared distance (r=2).

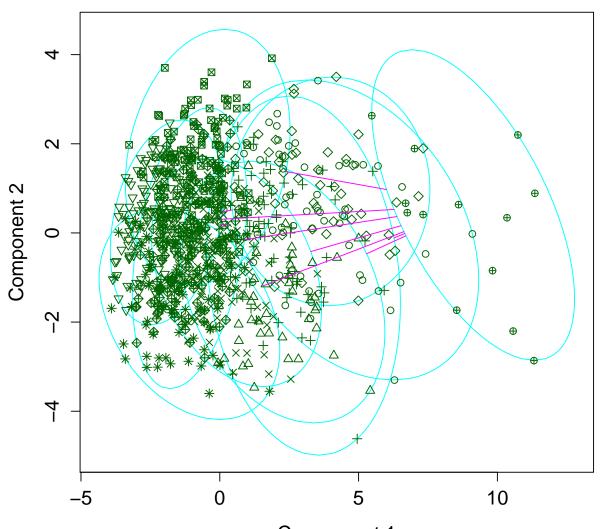
AP with input preferences minimized (q = 0) resulted in 8 clusters. With the standard median input preferences (q = 0.5), algorithm failed to converge with default parameters. Even setting damping factor to 0.98, maximum iterations to 10000, and convergence iterations to 1000 failed to converge. Might need to try a longer run.

*However*, given that input preferences control how many clusters are found, I don't think it's very useful to have some dozen clusters running around.

#### 3.1.1 Silhouette Plots

Silhouette plot in Figure 15 looks pretty weak, really. Tons of overlap between the clusters.

## **AP Silhouette Plot k = 10**



Component 1
These two components explain 40.62 % of the point variability.

Figure 15: AP silhouette plot, k = 8

## 4 Hierarchical Clustering

### 4.1 Clustering

Four dissimilarity methods were used with a euclidean distance matrix. Dendrograms available in Figure 16

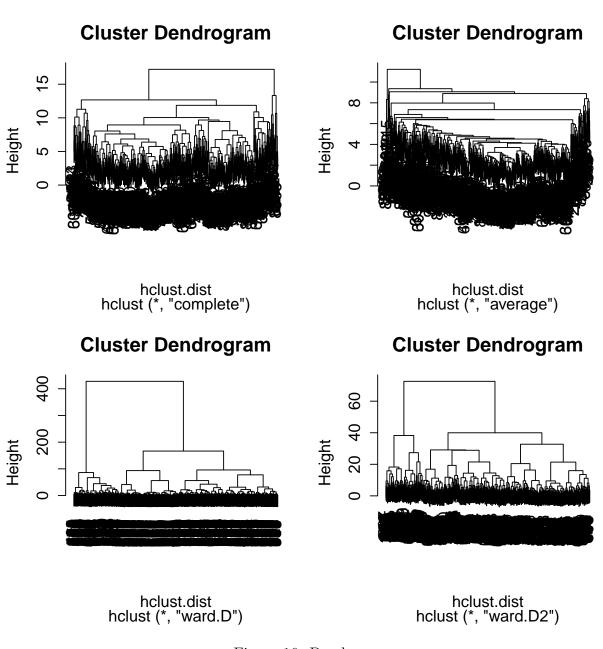


Figure 16: Dendrograms

Method	Condition	n	Figure
Complete	k=4	4 (790/81/18/12)	17
Complete	${ t dynamic Tree Cut}^5$	13 (255/99/77/64/62/58/56/46/44/41/37/32/30)	18
Ward	k=4	4 (200/237/263/201)	19
Ward	h = 100	3 (437/263/201)	20

Table 5: Clusters from Tree Cutting

### 4.2 Cutting Trees

### 4.3 Interpretation

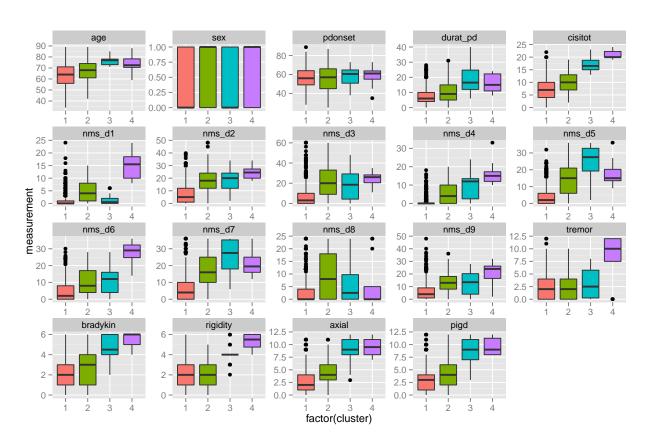


Figure 17: Using maximum (complete linkage) dissimilarity, cutting tree for k=4

### 4.4 Interpretation

Cluster sizes are available in Table 6

Boxplot summary of clusters available in Figure 21. Discussion forthcoming.

<sup>&</sup>lt;sup>5</sup>Package dynamicTreeCut in R (Langfelder P, Zhang B, Horvath S (2007)). Hybrid method, minimum cluster selection parameters

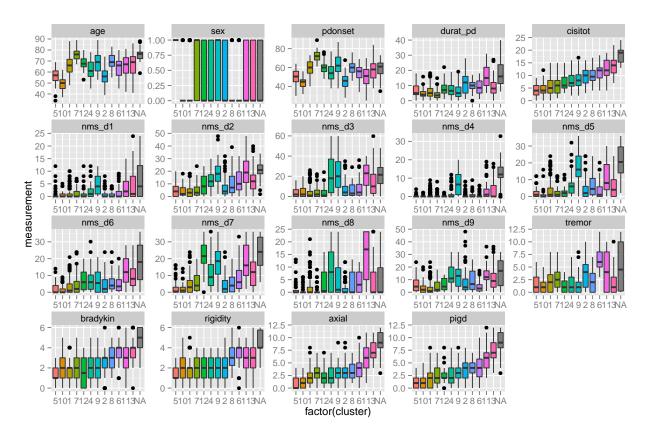


Figure 18: Using maximum (complete linkage) dissimilarity, cutting tree dynamically

## 5 Biclustering

Used BCBimax clustering algorithm. Clusters seem quite sparse.

## 6 Subspace clustering

## 7 Bayesian Networks

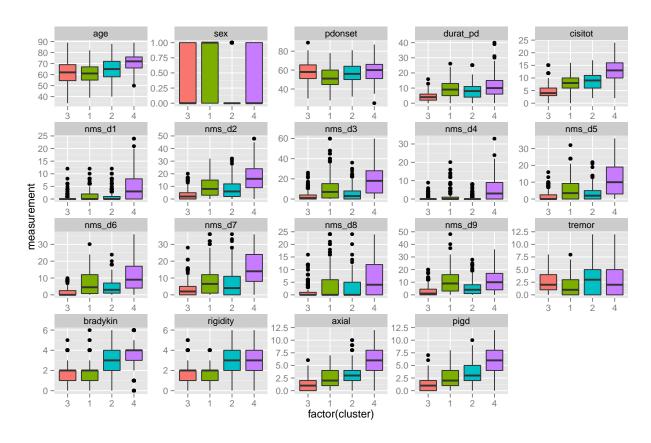


Figure 19: Using Ward (1963) dissimilarity, cutting tree for k=4

Cluster	Size
1	63
2	53
3	85
4	122
5	48
6	126
7	123
8	102
9	166
10	13

Table 6: AP Cluster Sizes

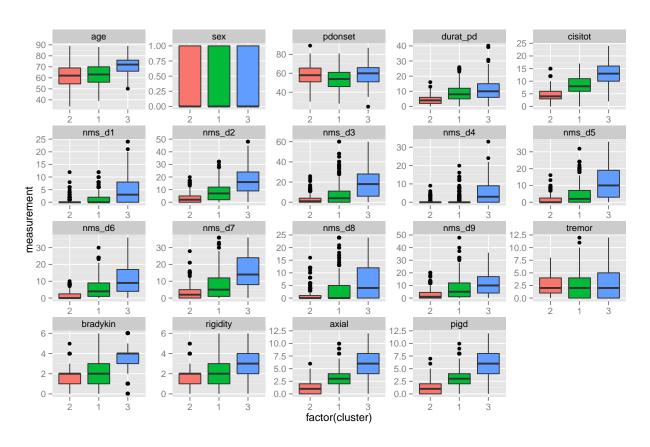


Figure 20: Using Ward (1963) dissimilarity, cutting tree at h=100

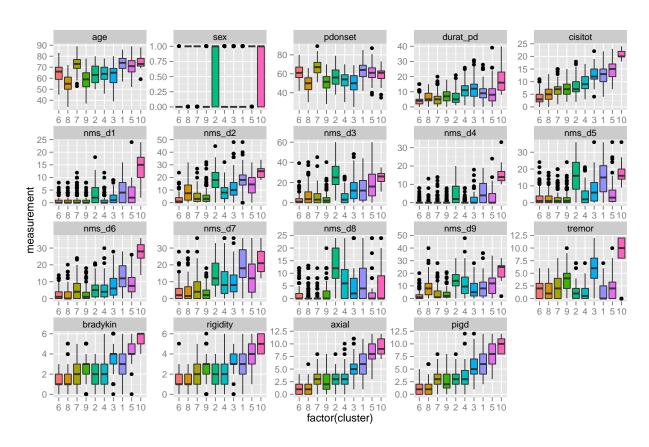


Figure 21: AP Boxplot Summaries

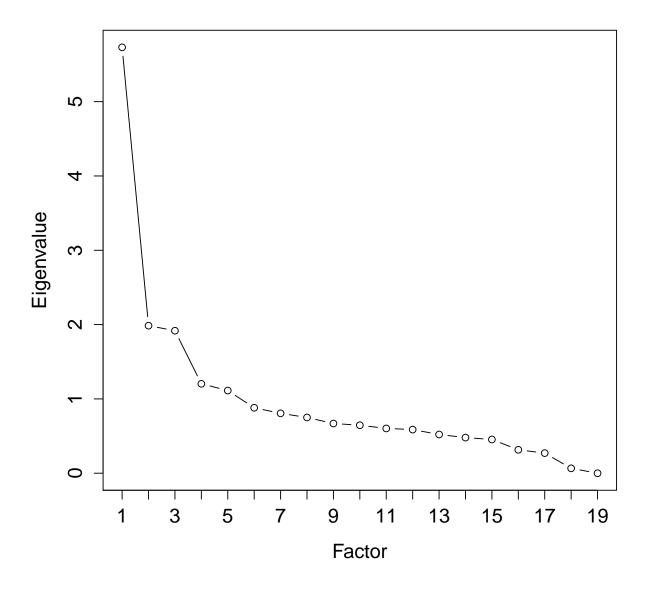


Figure 22: Biclustering N=16

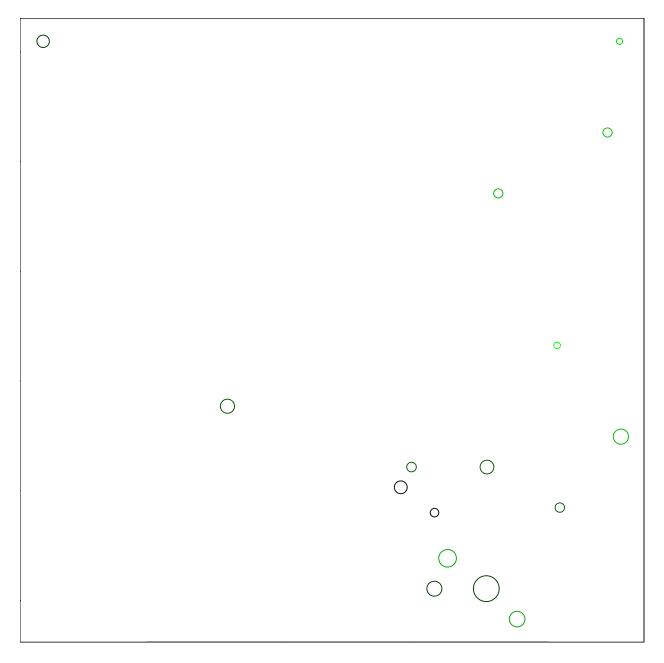


Figure 23: Bubbleplot N=16