

Topographic control on soil function evaluation - a case study from South Tyrol

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Abstract

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1. Introduction

Information on soil, a, at least from a human time perspective, non-renewable resource, is of increasing importance given erosion, soil degradation and soil sealing. It is necessary to know where and where not certain practises are applicable and to adjust land-use planning appropriately. According, soil function evaluation is an invaluable tool for the future.

Haslmayr et al. (2016) and further literature

In this study, we present the soil evaluation tool *Soil Evaluation for Planning Procedures (SEPP)* and investigate topographic and parent material control of the different soil functions by applying a cross-validated machine learning approach based on available soil pit information in the Oltradige/Überetsch region of the Autonomous Province Bolzano - South Tyrol.

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2. Data and methods

2.1. Study area and soil data

2.2. SEPP - Soil Evaluation for Planning Procedures

The software SEPP currently computes a soil function evaluation based on soil pit descriptions. It requires that the pit descriptions are performed following the Austrian Soil classification (Nestroy et al., 2000, 2011) and related mapping manuals. The minimum soil profile site characteristics are local slope, thickness of organic horizons, soil depth, groundwater table, soil parent material, soil type, humus form, altitudinal zone, moisture level and land use. For each horizon, the minimum characteristics necessary for computing the soil function are the master horizon designation, depth, pH value, proportion of the dominant soil structure type and class membership with regard to carbonate content, soil texture, organic content, abundance of rock fragments, bulk density, soil structure. These class attributes can be substituted by exact values if available. The soil functions, for which 15 different potentials are computed, are *habitat for living organisms* (specifically the potential as habitat for drought-tolerant species, moisture tolerant species, soil organisms and crops), *infiltration and drainage regulation* (minimum, average and heavy precipitation retention capacity as well as groundwater reformation rate), *natural soil fertility* as well as *filter and buffer for pollutants* (heavy metal, organic, acidifying and water-soluble). The result is a grade between 1 and 5 for each soil function potential, with 1 signifying a high potential and 5 a low one.

Potential as a habitat for drought-tolerant species. Both this potential and the following potential as a habitat for moisture-tolerant species are performed based on modifications of the approaches described by BayGLA and BayLfU (2003) and Lehmann et al. (2008). The evaluation of a soil's potential as a habitat for drought-tolerant species is based on the parameters land use, soil type and available field capacity. While the first two parameters are applied to distinguish especially suited (ruderal locations and corresponding soil types) or unsuited (mire deposits and soil types commonly found on these) sites, the latter is used to grade those soil profile sites showing the remaining landuse and soil type combinations.

Potential as a habitat for moisture-tolerant species. This potential is evaluated similarly to the the one for drought-tolerant species, in that specific soil

types, e.g. Gleysols, are attributed specific grades. In addition, the depth of the groundwater table is used to distinguish sites with high potential, and the available field capacity is used to differentiate even further.

Habitat for soil organisms. This potential is evaluated according to Beylich et al. (2005) with some minor adaptations. In this framework, a number of species groups are used as indicators for the composition of soil life, with emphasis on earth worms (Lumbricidae) as they are influential on soil structure and bioturbation. This method is based on the relationship between soil organism communities and a number of abiotic soil parameters. Specifically, one of 14 possible soil organism communities, which are the basis for the grade awarded to a site, is attributed to a site according to a classification tree applying the parameters pH, moisture level, land use and soil texture.

Potential for agricultural production. The assessment of the potential for agricultural production is performed according to the method proposed in the framework TUSEC-IP (Lehmann et al., 2008) by an accumulative rating of five criteria. The criteria *general conditions of the profile site* is rated based on soil depth, topsoil aggregate structure and topsoil as well as subsoil bulk density. While the criteria *water supply* is based on available field capacity and the depth of the groundwater table, the grade for *air supply* is derived from air capacity and for *nutrient supply* the alkaline cation exchange capacity is regarded. The *climate* criteria is derived from the mean annual temperature of the growing season if available, or else replaced by proxy values such as mean annual temperature or altitudinal zone. The combination of the grades of the individual criteria for agricultural production leads to an overall grade that is then adjusted for the slope gradient of the location.

Average and minimum precipitation retention capacity. Following a modified version of the procedures presented by Lehle et al. (1995) and BayGLA and BayLfU (2003), this potential is assessed by combining the permeability coefficient (using either the average value of the soil profile or the minimum value) with the water storage capacity. For more or less planar areas, the water storage capacity is regarded as the sum of the usable field capacity and the air capacity, whereas for steeper slopes only the former parameter is used. Additionally, permeability coefficient and water storage capacity is considered only for soil horizons not linked to contact with groundwater or stagnant water.

Retention capacity for heavy precipitation events. The evaluation of this soil potential is a modified version of the scheme proposed by (Lehmann et al., 2008). It differs from the average precipitation retention capacity by being based on the assumption that flooding hazards are greatest when soils are already saturated with water, and therefore only the air storage capacity is considered for retention. This retention volume is then compared to the design rainfall event under consideration of the infiltration rate.

Quality of groundwater reformation. Also following (Lehmann et al., 2008), this potential is assessed using the same parameters as the precipitation capacity but considers the assumption that very quick infiltration leads to an increase of pollutants in the groundwater. In the same reasoning, soil types linked to groundwater or locations with high groundwater table are given poorer grades.

Potential for providing nutrients for plants. Adhering to (Müller and Waldeck, 2011), the assessment of this potential uses the parameter alkaline cation exchange capacity. As this is only a coarse approximation, this potential is not differentiated into five, but only three classes (poor, average and high potential).

Potential as a CO₂ sink. By applying a modified version of the rating proposed by Gerstenberg and Smettan (2005), selected land uses, especially forests, are awarded good grades, whereas other landuses are graded based on the amount of organic matter, summed up over all soil horizons.

Potential for retention of heavy metals. In this assessment, the ability to bind cadmium is used as a proxy for other heavy metals. Based on modifications of the procedures proposed by AG Boden (2000) and BayGLA and BayLfU (2003), in a first step this ability is evaluated for different pH-values for sandy soils with little organic content, and later adjusted with regard to organic matter content and soil texture as a proxy for clay content.

Potential for transforming organic contaminants. As organic pollutants are generally transformed by soil organisms, this potential can be essentially assessed by rating the living conditions for soil micro-organisms. Consequently, the parameters which contribute to the rating procedure based on Lehle et al. (1995) are topsoil organic matter content, topsoil clay content and the average topsoil pH-value. In a first step, microbial activity is estimated based

120 on humus form and pH-value, and then the potential for transformation is further differentiated based on organic matter and clay content.

Potential as filter and buffer for organic contaminants. As the evaluation of a representative contaminant (such as cadmium for heavy metals) is not feasible for organic contaminants due to their variety, this potential is assessed
125 by estimating a mean binding capacity for organic pollutants using organic matter and clay content for the fine material contained within a soil profile.

Potential for retention of water-soluble contaminants. For the assessment of the potential for retention of water-soluble pollutants, with emphasis on nitrate, the yearly seepage rate is calculated based on mean precipitation,
130 mean evaporation and an estimate of surface run-off derived from soil texture. The grade for this potential is rewarded based on the annual exchange rate of soil water by comparing seepage volume with field capacity.

Potential as buffer for acidic contaminants. The potential buffer capacity is evaluated according to BayGLA and BayLfU (2003) by considering the
135 alkaline cation exchange capacity and the carbonate content of the mineral horizons on the one hand, and estimating the buffer capacity of the organic layer from its humus form and thickness on the other hand.

2.3. terrain parameters and landform classification

In their comparison of automated landform classifications and the topographic description of soil pit sites, Gruber et al. (2017) showed that the
140 r.geomorphon algorithm by Jasiewicz and Stepinski (2013) is a valuable tool for use in soil survey. It uses pattern recognition based on line-of-sight calculations to classify each grid cell of a digital terrain model as one of 10 possible landforms (Figure 1).

2.4. Variable selection procedure

Support vector machine (SVM) classification is a statistical learning approach first described by Cortes and Vapnik (1995). A forward step-wise feature selection procedure based on 10-fold cross-validation was applied to
150 select the best parameter or parameter combination for each soil function or potential. Cross-validation was also applied to the entire feature selection procedure. As the selection process sometimes revealed a number of parameter combinations leading to comparable cross-validated accuracies, these were then compared by performing the 10-fold CV 100 times, each time with 10

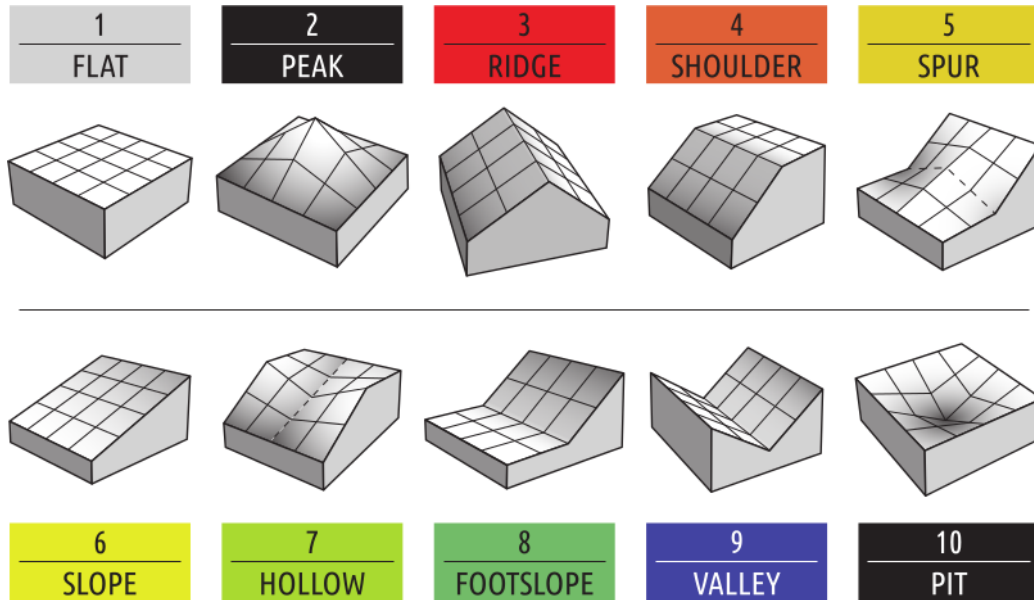


Figure 1: The r.geomorphon algorithm classifies every grid cell of a given digital terrain model as one of these 10 landforms based on line-of-sight calculations (figure based on Jasiewicz and Stepinski (2013))

different, random partitions. The median values and distribution of accuracy
 155 values were compared, as were the confusion matrixes based on the whole
 data set, where the final modelled soil function classes were attributed using
 the majority vote of the 100 predictions.

3. Results

The soil function grades for each of the 15 potentials was calculated for
 160 each of the 108 soil profile pits in the study area with the SEPP application.
 Figure 2 shows the distribution of these grades. A first evaluation of the
 feature selection procedure shows that mostly 2 parameters are sufficient,
 that is that there is no increase in cross-validated prediction accuracy by
 adding more predictors. In most cases, these parameter combinations include
 165 i) a landform classification and ii) a local terrain parameter.

3.1. Potential as a habitat for drought-tolerant species

Figure 2 shows that of the 108 soil profile sites in the study area, 38 fall
 into class 4 (35%) and 32 into class 5 regarding the potential as a habitat for

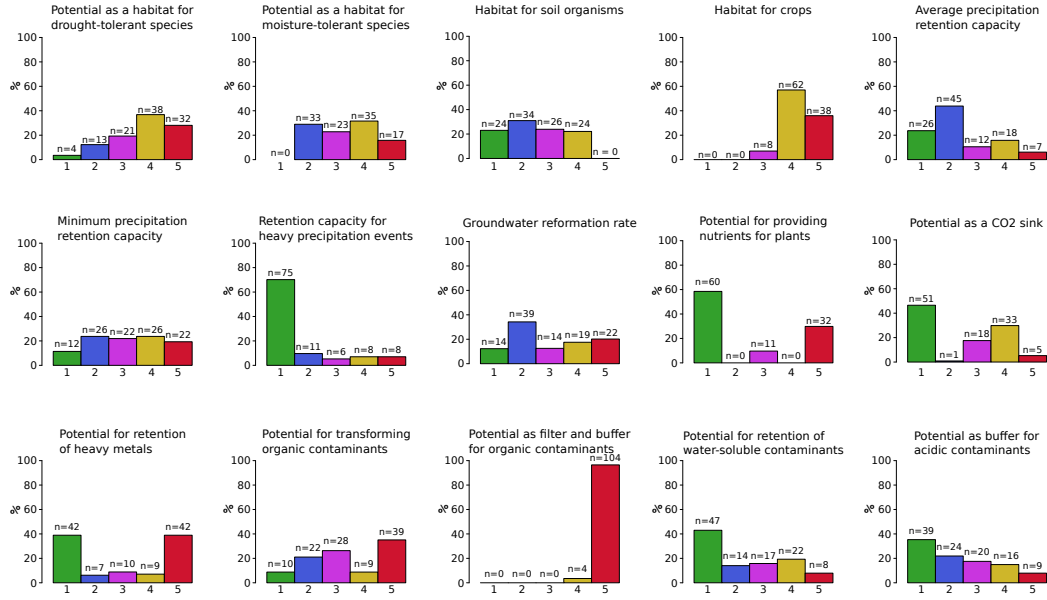


Figure 2: Barplots representing the distribution of the soil function grades for the various analysed potentials.

Table 1: Accuracy values (%) and parameters for the different potentials

potential	parameter	res	window size	multiacc	testacc
habitat for drought-tolerant species	landforms	10	100		
	slope	50	350	50.0	50.0
habitat for moisture-tolerant species	long. curvature	2.5	7.5		
	landforms	10	70	53.7	53.7
habitat for soil organisms	cross-sec. curvature	50	350		
	slope	50	350		
	convexity	50	150	59.3	62.0
agricultural production	slope	2.5	7.5	86.1	86.1
average precipitation retention capacity	cross-sec. curvature	2.5	47.5		
	profile curvature	10	150	50.9	54.6
minimum precipitation retention capacity	plan curvature	2.5	72.5		
	minimal curvature	50	150	41.6	46.3
retention capacity for heavy precipitation events	long. curvature	10	150	73.1	73.1
groundwater reformation rate	cross-sec. curvature	2.5	57.5		
	profile curvature	10	50	47.2	49.1
providing nutrients for plants	minimal curvature	2.5	22.5	71.3	72.2
	minimal curvature	2.5	27.5	61.1	61.1
retention of heavy metals	landforms	10	70	63.9	63.9
transforming organic contaminants	landforms	10	500		
	maximal curvature	10	70	46.3	50.0
filter and buffer for organic contaminants	-	-	-	-	-
retention of water-soluble contaminants	slope	2.5	27.5		
	minimal curvature	2.5	7.5	53.7	59.3
buffer for acidic contaminants	plan curvature	2.5	12.5		
	slope	2.5	12.5	48.1	50.0

170 drought-tolerant species. The intermediate class 3 contains 21 soil profiles whereas the high potential classes 1 and 2 are attribute to only 4 and 13 sites, respectively. As the predictor set does not contain landuse nor soil type, the

SVM classification essentially attempts to model the different classes of available field capacity. In the majority of the feature selection runs a landform map based on a flatness threshold between 3 and 5°, a spatial resolution of 10 m and a search radius of 100 m was chosen as the first predictive feature. The landform flat is dominant amongst the profile sites with a graded potential of 5, which is accordingly connected to minimal curvature values around 0. The landform slope is most common for profiles with a potential of 4, whereas spurs and hollow can present profile locations with a potential score of 2 and, as expected, have increasingly negative minimum curvature values. A support vector classifier using these landforms and slope at a low DTM resolution as predictor variables, results in a median cross-validated prediction accuracy of 50%, where the most common error is that a large number of sites are mistakenly classified as having grade 4. Nevertheless, the general implications of the feature selection are plausible, as flat areas can be expected to have higher field capacity values than sloping regions with negative curvature values.

3.2. *Potential as a habitat for moisture-tolerant species*

None of the soil profile sites in the study area is awarded the best grade (1) for its potential as a habitat for moisture-tolerant species. As seen in Figure 2, the intermediate classes (two to four) are quite evenly distributed with 33, 23 and 35 members, respectively. The class with the poorest potential consists of 17 soil profile sites. Given the similarity in soil parameters and profile site characteristics used for the evaluation of this potential and the potential as a habitat for drought-tolerant species, a very similar landform classification is chosen in the feature selection procedure (Table 1), the only difference being a slightly tighter search window of 70 m. This feature is complemented by the local terrain parameter longitudinal curvature to achieve the best median cross-validated accuracy of 53.7% with a SVM-model of this potential. The model predictions show that while the SVM-classifier associates good grades with curvature values around zero, soil pits with the worst potential for moisture tolerant species can be found at locations with negative longitudinal curvature. This trend is also visible in the landform distribution, where the landform flat, and, to a lesser degree, foot slopes are characteristic for soil pits with higher potentials. This combinations seems reasonable, given the potential hydrological situation on these landforms.

3.3. *Habitat for soil organisms*

With the exception of the class with grade 5 (low potential as a habitat for soil organisms), which does not occur, the four other grades are distributed relatively evenly amongst the soil pits in the study area. The class with the good grade of 2 is the most common with 34 members. The feature selection procedure distinguished three local terrain parameters as being most useful in separating the soil profile sites with different grades with regard to their potential as a habitat for soil organisms, representing the parameters slope, convexity, and cross-sectional curvature. Lower convexity values characterize those soil profile sites best suited for soil organisms. Similarly, high slope values are helpful in separating members the intermediate class with grade 3 from the remaining 3 classes, based on the general trend that the two best grades are more closely associated with lower slope angles than the grades 3 and 4. An analysis of the cross-sectional curvature values shows that the the class with grade 4 tends to have more members related to slightly positive curvature values, compared to the other classes. A SVM-classifier trained with the three aforementioned terrain parameters leads to a median accuracy rate of 59.3 % which is comparably high, even more so when considering that the soil pits are well distribute amongst the four classes.

WAS BEDEUTEN DIE BEIDEN ACCURACIES?

4. Conclusion

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