Exercise 1: Prove that DIRECTED DOMINATING SET is NP-Hard through a series of Karp reductions starting at 3SAT.

**Solution:** I will first introduce some notation. Let F be a boolean formula with N variables and M CNF clauses with 3 literals each. I will denote the variables as  $x_1, x_2, \ldots, x_N$  and the clauses as  $c_1, c_2, \ldots, c_M$ . For convenience, I will enumerate all possible literals as  $l_j = x_j, l_{N+j} = \overline{x_j}$  (there are 2N of them). I will denote  $c_i = (l_{i,1}, l_{j,2}, l_{j,3}) = (l_1^i, l_2^i, l_3^i) \in \{l_1, \ldots, l_{2N}\}^3$ .

I will now construct a directed graph G = (V, E) and  $k \in \mathbb{N}$  such that G contains a dominating set of size at most k if and only if F is satisfiable. Furthermore, the construction of the graph will clearly be polynomial in time, thus providing the Karp reduction we need directly.

First, I define the vertices V of G as:

- A vertex  $L_j$  for each literal  $l_j$  (2N in total, which can be created in linear time by scanning F). For convenience, I will denote  $L_u^i := L_{j_{i,u}}$ .
- A vertex  $C_i$  for each clause  $c_i$  (M in total, which similarly can be created in linear time).

Next, I define the edges E of G as:

- $(L_u^i, C_i)$  for  $1 \le u \le 3, 1 \le i \le M$  (each literal points to the clauses it appears in, which we can construct in linear time).
- $(L_s, L_{s+N})$  and  $(L_{s+N}, L_s)$  for  $1 \le s \le N$  (each literal points to its negation, which we can construct in linear time).

Finally, I define k = N. It remains to be proven that G has a dominating set of size  $k \iff F$  is satisfiable:

- $\Leftarrow$  ) Suppose we have an assignment  $x_s = B_s \in \{\text{True}, \text{False}\}\$  that satisfies F. I will show that the set  $S \coloneqq \{l_s | B_s = \text{True}\} \cup \{l_{s+N} | B_s = \text{False}\}\$ , which has size N = k, is dominating:
  - All  $L_j$  are either in S or pointed to by  $\overline{L_i} := L_{i \pm N} \in S$ .
  - All  $C_i$  are pointed to by their literals, at least one of which is in S.
- $\Longrightarrow$ ) Suppose there is a dominating set S of size at most k. for each variable  $x_s$ ,  $L_s$  must either be in S or pointed to by an element of S (that is, one of  $L_s$ ,  $L_{s+N}$  is in S). In fact, because there are N=k variables, exactly one of them is in S, because otherwise S would have more than k elements. Furthermore, S only contains vertices of this form.

This means that a variable assignment  $x_s = B_s$  where  $B_s = \text{True}$  if  $L_s \in S$  and  $B_s = \text{False}$  if  $L_{s+N} \in S$  can be defined. To show that this assignment satisfies F, note that for each clause  $c_i$ , there is a literal  $l_j$  such that  $L_j$  points to  $C_i$  and  $L_j \in S$ . If  $j \leq N$ , this means we have assigned  $x_j = \text{True}$  and  $x_j = l_u^i$  for  $1 \leq i \leq 3$ , satisfying the clause. Otherwise, we have assigned  $x_{j-N} = \text{False}$  and  $\overline{x_{j-N}} = l_u^i$  for  $1 \leq i \leq 3$ , satisfying the clause as well.

## Exercise 2:

## References

[1] Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Łukasz Kaiser, and Illia Polosukhin. Attention is all you need. Advances in neural information processing systems, 30, 2017.