

# COSC 201 Final Review - Feynman Liang

## Asymptotic Analysis

Focuses on dominant asymptotic term (generally highest order polynomial of n), non-dominant terms annihilated during proof. To prove, find  $c$  and  $n_0$  which holds for:

- $O(g(n)) - \exists c > 0 \text{ s.t. } \forall n > n_0, 0 \leq f(n) \leq cg(n)$
- $\Theta(g(n)) - \exists c_1, c_2 > 0 \text{ s.t. } \forall n > n_0, 0 \leq c_1g(n) \leq f(n) \leq c_2g(n)$
- $\Omega(g(n)) - \exists c > 0 \text{ s.t. } \forall n > n_0, 0 \leq cg(n) \leq f(n)$

## Recurrence

Function which calls itself. Needs a non-recursive **base case**. **Pre/post conditions** - truth at start/end of method **Assertions** - truth at point in execution **loop invariants** - truth at each iteration of loop

## Master Theorem

Quick way to solve recurrences  
 $T(n) = aT(\frac{n}{b}) + f(n)$  where  $a \geq 1, b > 1$

- Case 1: If  $f(n) \in O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) \in \Theta(n^{\log_b a})$
- Case 2: If  $f(n) \in \Theta(n^{\log_b a} \log^k n)$  for some constant  $k \geq 0$ , then  $T(n) \in \Theta(n^{\log_b a} \log^{k+1} n)$
- Case 3: If  $f(n) \in \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$  **AND**  $af(\frac{n}{b}) \leq cf(n)$  for some constant  $c < 1$  and sufficiently large  $n$ , then  $T(n) \in \Theta(n^{\log_b a})$

Ex: Straussen's matrix multiplication:

$$f(n) = \begin{cases} 1 & \text{if } n = 1 \\ 7f(\frac{n}{2}) + 18(\frac{n}{2})^2 & \text{if } n \neq 1 \end{cases}$$

This recurrence is case 1 ( $18(\frac{n}{2})^2 \in O(n^{\log_2 7 - \epsilon})$  for  $\epsilon \leq \log_2 7 - 2$ ), thus  $f(n) \in O(n^{\log_2 7})$

## Inductive Proof

To prove  $P(k)$  is true for  $\forall k \geq b$  using strong induction:

- Prove  $P(b)$  for some base case  $b$
- Assume  $P(n)$  is true for  $b \leq n \leq k$ , show that this implies  $P(k+1)$

Weak induction only assumes  $P(k)$  to prove  $P(k+1)$ . This doesn't allow you to use any states past the  $n-1$  state while proving  $P(n)$ .

## Divide and Conquer

Can be represented by a recurrence tree with number levels = depth of recurrence and children per node = number of sub-problems per recurrence.

## Dynamic Programming

Solves optimization problems which exhibit **optimal substructure** (optimal sol'n depends on optimal solution of subproblems). To implement, define the optimal solution to the problem recursively (ex.  $\text{best}(a,b) = \text{best}(a,k) + \text{best}(k+1,b)$  for  $k=a..b$ ). Examples: maximum-subsequence, traveling salesman, rod cutting ( $V(0) = 0, V(k) = \max(v(k-1)+p_i \text{ for } 0 \leq i \leq k)$ )

## Memoization

Cache past calculations, improve performance on overlapping subproblems.

## Solution reconstruction

Add a step to algorithm which keeps track of how optimal value was obtained by filling in array.

## Data Structures/ADTs

Data structures are used to abstract lower level implementation details while allowing organization of data. ADTs provide operation on the data structures.

## Arrays

- Insert -  $O(1)$  unsorted,  $O(n)$  sorted
- Delete -  $O(1)$  ( $O(n-1)$  if need to shift)
- Search -  $O(n)$  unsorted,  $O(\log(n))$  sorted

## Priority Queue

Data structure which maintains sorted order. Typically implemented using min/max heap or sorted array.

## Min/Max Heap

Tree structure which has property that  $\forall$  children  $\leq$  (max heap) or  $\geq$  (min heap) parent. Implies root node is max/min. To maintain heap property, must sift up (compare two children for one parent slot). Sorted array can be used as min/max heap where every  $2^k$  entries represent the  $k$ th level of the heap ( $\{1,2,2,3,3,3,3,...\}$ ). For binary (max 2 children) heap:

- insert -  $O(\log n)$  - insert to empty on bottom and sift up
- getMin/Max -  $O(1)$  - get root element
- deleteMin/Max -  $O(\log n)$  - delete top and sift up

## Graphs

A graph contains  $n$  vertices connected by  $m$  edges. Degree of vertex is number of edges it has, max degree is denoted  $d$ . Paths can have weight (weighted) and direction (digraph). Simple path is a path which does not visit a node twice, can prove shortest path is simple using induction on removing cycles in path.

Undirected max number of edges:  $\binom{n}{2} = \frac{n(n-1)}{2}$ , directed  $n^2$

Type	allNeighbors	isNeighbor	insertEdge
Adj. Matrix	$O(n)$	$O(1)$	$O(1)$
Adj. List	$O(d)$	$O(d)$	$O(1)$
Edge List	$O(m)$	$O(m)$	$O(1)$
Vertex-Edge	$O(\max(m,d))$	$O(\max(m,d))$	$O(m+n)$

Adj. matrix has isNeighbor run time advantage, space  $O(n^2)$ . Adj. list has allNeighbors advantage, space  $O(nd)$ .

## Set

Collection of elements with no redundancies. Maintaining set independence requires looking up the element during insertion to check redundancies. Hash table implementation  $O(1)$  for all, array implementation:

Op	Unsorted	Sorted
insert	$O(n)$	$O(n)$
lookup	$O(n)$	$O(\log n)$
delete	$O(n/2)$	$O(n)$

## Hash Table

Can be used to implement map/set/dictionary. Contains  $M$  buckets and  $n$  elements. Uses a hash function to convert input to a bucket index.

Good hash functions have uniform distribution of hash values, computationally cheap. Typically consume set number of bits and performs bit operations to hash. Without collisions, runtimes are:

- Insert -  $O(1)$
- Delete -  $O(1)$
- Lookup -  $O(1)$

To resolve collisions, we can find a new bucket (**open addressing**) using different methods ( $h(k,i)$  returns another place to insert  $i$  if bucket  $h(k)$  is taken):

- Linear probing -  $h(k,i) = (h(k) + i) \mod M$
- Quadratic probing -  $h(k,i) = (h(k) + i^2) \mod M$
- Double hashing -  $h(k,i) = (h(k) + i * h_2(k)) \mod M$

Problem with linear probing is if something collides once, it will always collide. Simpler probing faster but more likely to cause **clustering** - filled blocks which increase number of collisions. Large clusters at end of buckets effectively shrinks size of  $M$ . Quadratic and double hash resolve by varying probed bucket dependent upon  $i$  or  $h_2$ . **Separate chaining** is another collision resolution method where we just append to collided bucket (make linked list). Operations are  $\Theta(n+M)$ . Performance degrades more gracefully, don't need to resize when full. Performance of hash map depends on **load factor** =  $n/m$ .

## Problems and Algorithms

### Sorting

**Stable** - Relative positions of elements don't change after sort  
**In place** - Requires constant memory overhead

### Insertion Sort

Construct sorted sub-array at start of array. Insert elements one by one and swap to maintain order.  $O(n^2)$

### Merge Sort

Divide and conquer. Recursively split array down middle until single elements, then merge all back together.  
 $T(n) = 2T(\frac{n}{2}) + cn \in \Theta(n \log n)$

### Quick Sort

Pick partition element  $k$ , partition around  $k$  [ $< k, k, > k$ ]. Recur on both sides. Expected  $O(n \log n)$ , worst  $O(n^2)$  if partition is always max or min. Can randomize partition element to avoid worst case.

### Selection of $k$ th largest

#### Binary Search

Divide and conquer on sorted array. Guesses middle element and recurs on side dependent upon whether guess was  $>$  or  $<$  expected.  
 $T(n) = T(\frac{n}{2}) + c \in \Theta(\log n)$

### Quick Select

Randomized quick sort, discards half which does not contain  $k$ th largest,  $O(n^2)$  worst (always partition around min/max),  $\Omega(n \log n)$  best.

### Median of medians

Deterministic Quick Select. Partition into blocks of size 5, calculate medians of the  $n/5$  blocks and take median of that to be new partition element.  $T(\frac{n}{5}) + T(\frac{7n}{10}) + O(n) \in O(n)$  time.

## Matrix Algorithms

### Matrix Multiplication

Dynamic programming solves optimal chain parenthesis by diagonally filling in a solution matrix. Straussen's solves multiplication in  $O(n^{\log_2 7})$ .

### Floyd-Warshall

Solves APSP/transitive closure in  $O(n^3)$  instead of  $n^4$  by moving  $k$  loop outside. Iterates over intermediate node  $k$  rather than path length.

```
T <- G
for (k=1 to n)
  for (i=1 to n)
    for (j=1 to n)
      // Transitive Closure (Warshall algorithm)
      T[i,j] = T[i,j] || (T[i,k] && T[k,j])
      // All Pairs Shortest Paths (Floyd's algorithm)
      // iterate across the ks (all table lookups)
      M[i,j] = minof(T[i,j], T[i,k] + T[k,j])
```